

# Interaction of Sirtuin 1 (*SIRT1*) Candidate Longevity Gene and Particulate Matter (PM<sub>2.5</sub>) on All-cause Mortality: a Longitudinal Cohort Study in China

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## Research

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# Abstract

## Background

The *SIRT1* gene was associated with the lifespan in several organisms through inflammatory and oxidative stress pathways. Long-term air particulate matter (PM) is detrimental to health through the same pathways.

## Methods

We used the Chinese Longitudinal Healthy Longevity Survey (CLHLS) to investigate whether there is a gene-environment (G×E) interaction of *SIRT1* and air pollution on mortality in an older cohort in China. Among 7,083 participants with a mean age of 81.1 years, we genotyped the *SIRT1* alleles for each participant and assessed PM<sub>2.5</sub> concentration using 3-year average concentrations around each participant's residence. We used Cox-proportional hazards models to estimate the independent and joint effects of *SIRT1* polymorphisms and PM<sub>2.5</sub> exposure on all-cause mortality, adjusting for a set of confounders.

## Results

There were 2,843 deaths over 42,852 person-years. The mortality hazard ratio (HR) and 95% confidence interval (CI) for each 10 µg/m<sup>3</sup> increase in PM<sub>2.5</sub> was 1.08 (1.05-1.11); for *SIRT1*<sub>391</sub> was 0.77 (0.61, 0.98) in the recessive model after adjustment. In stratified analyses, participants carrying two *SIRT1*<sub>391</sub> minor alleles had a significantly higher HR for each 10 µg/m<sup>3</sup> increase in PM<sub>2.5</sub> than those carrying one or none minor allele (1.336 [1.079-1.653] vs. 1.078 [1.049-1.107]; p for interaction = 0.012). Moreover, the interaction of *SIRT1* and air pollution on mortality is significant among women but not among men. We did not see significant relationships for *SIRT1*<sub>366</sub>, *SIRT1*<sub>773</sub>, and *SIRT1*<sub>720</sub>.

## Conclusion

We found a gene-environment interaction of *SIRT1* and air pollution on mortality, possibly through the inflammation modulating mechanism of *SIRT1* polymorphisms.

## Background

*SIRT1* is a gene well-documented to be associated with aging and longevity[1]. It is a mammalian homolog of Sir2 longevity factor, and has been demonstrated as regulating lifespan in many model organisms such as yeast, *Caenorhabditis elegans* and rodents through several biopathways, including anti-inflammation, regulation of metabolism, hypoxic responses, and circadian rhythms [2, 3]. In humans, *SIRT1* improves healthy aging and affects human life expectancy through its protective role in a variety of diseases ranging from metabolic disorders, cellular senescence, cardiac aging, oxidative stress, neurodegeneration, inflammatory signaling, and placental cell survival [1, 4]. Human aging is

characterized by a chronic, low-grade inflammation level, and ambient air pollution can accelerate degenerative diseases such as aging arteries and brains through shared inflammatory pathways [5]. Biologically, *SIRT1* can modulate inflammatory genes such as *NF-κB* and *NLRP3* and further leads to delayed onset of age-related symptoms and pathologies [6, 7]. To date, a few observational studies have reported that *SIRT1* was linked to long-term survival and longevity in human population, their results were generally restricted by either a small population size or cross-sectional design [8–12].

Air pollution is one of the biggest environmental risk to human health, attributing to one in every nine deaths annually, and has been identified as a global priority in the sustainable development agenda [13]. Despite of effort on improving air quality in many cities globally, increasing epidemiological studies reported association of air pollutants with adverse health outcomes, for example, all-cause of mortality [14, 15]. According to an analysis of data from 2015 Global Burden of Disease Study, exposure to ambient fine particulate matter (PM<sub>2.5</sub>: particulate matter (PM) with aerodynamic diameters < 2.5 μm) is the fifth leading risk factor for death, accounting for 7.6% of total global deaths and 4.2% of global DALYs, with China accounting for a large share of these burdens [16]. China's coal-based energy-intensive development path has led to a steep increase in emissions of PM<sub>2.5</sub> and other pollutants [17], estimated to have led to 1.6 million deaths from heart and lung diseases or stroke, approximately accounting for one in six premature deaths in China [18]. Thus, comprehensively health impact of air pollutions in Low- and Middle-income countries such as China remains as one of the major health issues and further attentions as well as joint approaches are needed.

Increasingly, we have seen that air pollution does not affect everyone equally, with some populations more susceptible to its detrimental effects. Genetic susceptibility is likely to play a vital role in response to air pollution [19]. Inflammation and oxidative stress are documented to play a role on the mechanistic pathways, including nuclear factor kappa B cells (*NF-κB*) signaling, Krüppel-like Factor 2 (*Klf2*) mediated immune response, nuclear factor E2-related factor 2 (*Nrf2*)-mediated oxidative stress response, NLR family pyrin domain containing 3 (*NLRP3*) inflammasome activation, glutathione metabolism, coagulation system, endogenous reactive oxygen species (ROS) production, and other cytokines signaling, between air pollution exposures and adverse health outcome including mortality [20]. Notably, biological studies indicated that *SIRT1* can be modulated through most of those pathways, including *NF-κB* [21], *Klf2* [22], *Nrf2* [23], *NLRP3* [7], and ROS [24]. Additionally, previous experimental studies observed that air pollution and *SIRT1* have interactive effect on pulmonary diseases [25, 26], cardiovascular diseases [27]. Although the associations between exposure to air pollutants and *SIRT1* gene were observed in in vitro studies, less effort has been put forth in the investigation on population level, especially for vulnerable older adult. Additionally, epidemiologic evidence and rodent model showed the effect of *SIRT1* on disease and longevity vary by inflammatory levels [11, 28] and can be double-edged sword: lower levels of *SIRT1* at early time (short term exposure of toxicants) accentuate acute inflammation-related autotoxicity by increasing *NFκB RelA/p65* activity, but prolonged upgrading in *SIRT1* during late inflammation are associated with immunosuppression and increased mortality [29]. Given the *SIRT1* and PM<sub>2.5</sub> shared several common biological pathways containing inflammation and oxidative

stress on mortality and they are tended to interplay with each other [27, 30], we hypothesized an potential synergistic effect between  $PM_{2.5}$  exposure and *SIRT1* polymorphisms on mortality.

To test our hypotheses, we used a nationally representative cohort of individuals aged 65 and older from the Chinese Longitudinal Healthy Longevity Study (CLHLS). First, we aim to estimate the independent and joint effects of *SIRT1* polymorphisms and  $PM_{2.5}$  exposure on all-cause mortality. Second, we take advantage of the sample size to study the interaction effect of *SIRT1* and air pollution on mortality. Third we aim to assess the effect of modifies through subgroup analyses by gender to look at sex differences in inflammation responses.

## Method

### Study design and participants

We used data from the Chinese Longitudinal Healthy Longevity Study (CLHLS), which are publicly available from Peking University Open Research Data (<https://opendata.pku.edu.cn/dataverse/CHADS>). The baseline and follow-up surveys were conducted in 1998, 2000, 2002, 2005, 2008–2009, 2011–2012, and 2014 in a randomly selected half of the counties and cities in 23 out of 31 provinces in China. The study was the first national longitudinal survey on determinants of healthy aging among the oldest old individuals in China. Details of descriptions of the CLHLS including the rationale and design have been described previously [31]. With 631 cities and counties randomly selected as the sample sites, the study sample roughly represents about 85% of the Chinese population (Fig. 1). CLHLS was approved by the Institutional Review Board, Duke University (Pro00062871), and the Biomedical Ethics Committee, Peking University (IRB00001052–13074). All participants or their legal representatives signed written consent forms to participate in the baseline and follow-up surveys. This study followed the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) reporting guidelines.

There was a total of 34394 participants in 5 waves of CLHLS, recruited from 2000 to 2011. We excluded 545 participants aged 64 or younger, 25220 participants without available *SIRT1* genotypic data, 212 participants with missing  $PM_{2.5}$  values, 567 participants were not Han ethnicity (according to the ID card or household registry) or having missing value in covariates; 767 participants lost to follow-up at the first follow-up survey. Accordingly, the final sample that met inclusion criteria for this study was 7083 participants (Figure S1). The sample consisted of 3677 women and 3406 men; 3272 participants were 65 to 79 years of age, 1840 were 80–89 years of age, 1305 were 90–99 years of age, and 667 were 100 years of age or older. To test the possibility of potential selection bias, gender, age, and residence were compared between participants who lost to follow-up (767 participants) or not (7083 participants) at the first follow-up survey; significant difference for ages (86.0 vs 81.1) and residence (rural: 53.8% vs 66.7%) between two groups, while no significant difference for sex (female: 52.7% vs 51.9%).

### Procedures

We estimated ground-level concentrations of PM<sub>2.5</sub> from the Atmospheric Composition Analysis Group based on participants' residential address [32]. It combines remote sensing from National Aeronautics and Space Administration's Moderate Resolution Imaging Spectroradiometer, Multiangle Imaging Spectroradiometers, and Sea-viewing Wide field-of-view Sensor satellite instruments; vertical profiles derived from the GEOS-Chem chemical transport model; and calibration to ground-based observations of PM<sub>2.5</sub> using geographically weighted regression. Annual PM<sub>2.5</sub> estimates were calculated from 2000 to 2014, at 1 km x 1 km spatial resolution, which was the longest and the highest resolution exposure dataset available [33, 34]. Additionally, our estimations were highly consistent with out-of-sample cross-validated concentrations from monitors ( $R^2=0.81$ ) and another exposure dataset in China ( $R^2=0.79$ ) [32]. Previous study found that three-year average PM<sub>2.5</sub> before death or the end of the study had the strongest association with mortality among old adults in China [33]. Therefore, we used three-year average PM<sub>2.5</sub> to reflect ambient air pollution in this study.

We selected candidate SNPs from the public database of the National Center for Biotechnology Information (NCBI; <http://www.ncbi.nlm.nih.gov/gene/23411>) to cover the *SIRT1* gene region in equally spaced intervals. The minor allele frequencies (MAF) of the polymorphisms required to be > 10% [35]. The selected and genotyped *SIRT1* SNPs were rs12778366 (promoter), rs3758391 (promoter), rs2273773 (exon), rs2236319 (intron), rs1885472 (intron), rs7069102 (intron), rs10823112 (intron), rs3818291 (intron), and rs4746720 (intron). Among the 9 SNPs, *SIRT1*<sub>366</sub> (rs12778366), *SIRT1*<sub>391</sub> (rs3758391), *SIRT1*<sub>773</sub> (rs2273773), and *SIRT1*<sub>720</sub> (rs4746720) were annotated and regarded as tagging SNPs due to the high linkage disequilibrium, which were used as proxies for the rest of 5 SNPs in the analysis (Table S1). The Hardy-Weinberg equilibrium of the 9 *SIRT1* SNPs was tested with the GENEPOP package (version 1.2). To determine the *SIRT1* carrier, we coded the genotype based on the minor allele number [36]. In the additive model, the genotype that contains zero, one, or two copies of minor allele was coded as 0, 1 or 2; In the dominant model, the genotype that contains at least one copy of minor allele was coded as 1 and otherwise it was coded as 0; In the recessive model, the genotype that contains two copies of minor allele was coded as 1 and otherwise it was coded as 0.

The primary outcome was all-cause mortality. Mortality information was obtained from the follow-up survey done in 2011 and 2014. The date of death would be validated by death certificates when available - otherwise, the close family member's report was used.

Covariates were chosen as potential confounders between exposures and outcomes or predictors of outcomes. All self-reported information was collected through face-to-face home interview by trained research staff members. Interviewees were encouraged to answer as many questions as possible. If they were unable to answer questions, a close family member or another proxy, such as a primary caregiver, provided answers. We included baseline age, gender, marital status, residence, education, occupation, smoking status, drinking status, physical activity and wave of first interview as covariates. We classified marital status into two categories: currently married and living with spouse as married, and widowed/separated/divorced/never married/married but not living with spouse as not married. We divided residence into urban and rural areas based on governmental administrative categories. We used

the schooling year to evaluate education level. We categorized the occupation into two groups: professional and technical personnel, governmental, institutional, or managerial personnel as non-manual, and agriculture, forest, animal husbandry, fishery worker, industrial worker, and others as manual. We divided the regular exercise, smoking, and alcohol drinking status into three categories: “Current”, “Former”, and “Never”. For example, participants were asked “do you do exercise regularly at present (planned exercise like walking, playing balls, running and so on)?” and/or “did you do exercise regularly in the past?”. We defined the regular exercise status as “Current” for participants who answered “Yes” to the first question, “Former” for who answered “No” to the first question and “Yes” to the second question, and “Never” for who answered “No” to both two questions. We categorized the participants into two geographical regions: South China (Guangdong, Guangxi, Hainan, Chongqing, Sichuan, Anhui, Hubei, Fujian, Jiangxi, Jiangsu, Shanghai, and Zhejiang) and North China (Beijing, Shandong, Heilongjiang, Jilin, and Liaoning, Hebei, Henan, Shanxi, Tianjin, and Shaanxi).

## Statistical analysis

We used cox proportional hazard model for every *SIRT1* SNP and three-year average  $PM_{2.5}$  separately to evaluate their single effect on mortality. We added the interaction term of SNP and three-year average  $PM_{2.5}$  in the cox model to investigate the interaction of *SIRT1* and three-year average  $PM_{2.5}$ . The genotype can be defined as low-risk genotype (G0) and high-risk genotype (G1) based on the SNP's effect on mortality. The three-year average  $PM_{2.5}$  was discretized into two categories as low  $PM_{2.5}$  exposure (E0) versus high  $PM_{2.5}$  exposure (E1) using the median of three-year average  $PM_{2.5}$  as the cut-off point. The gene effect in different environment exposure and environment effect among participants with different genotypes can be evaluated by comparing the difference among the four categories (G0 × E0, G0 × E1, G1 × E0, and G1 × E1) of the combination term. To further investigate the gender-specific G × E effect, we adopt an integrated statistical model of three-way interaction to assess varied effect magnitude in the hazard ratio of mortality between those who have different combinations of sex, *SIRT1* genotypes, and exposure to  $PM_{2.5}$  [37].

We measured the survival time in months from the first interview date to the recorded death date or last interview date. All models adjusted for age, gender, marital status, residence area, education, occupation, smoking status, drinking status, and physical activity. We calculated hazard ratios (HRs) and 95% CIs to indicate the effect magnitude of *SIRT1* and  $PM_{2.5}$  on mortality. We analyzed for effect modification by potential modifier variables, then did stratified analyses by sex, urban or rural residence, financial status, smoking status, and North or South geographical regions.

We did additional analyses to verify the results. We compared baseline characteristics between the included participants and all participants in the five waves of CLHLS to assess the sample's representativeness. We also removed the participants who were died in six months. We adjusted the regression models by using more informative covariates and by excluding covariates with missing values. We also plotted the geographical distribution of the included participants.

We used R 3.6.1 (R Foundation for Statistical Computing) and SAS university edition to perform all the analyses. All  $p$  values were from 2-sided tests and results were deemed statistically significant at  $p < .05$  for all analyses.

## Results

### Descriptive statics

Among the 7083 participants, 48.1% were men and 51.9% were women, with a mean (SD) age of 81.1 (11.5) years. More than half of the participants were illiterate (57.1%), resident in rural (66.7%), responded to no engage in regular exercise (64.5%), never smoked (64.0%), and never drank alcohol (68.5%) (Table S2). The mean 3-year  $PM_{2.5}$  was  $51.0 \mu g/m^3$  (13.5), with populations in rural regions and southern regions experiencing lower ambient air pollution. Four tag SNPs (*SIRT1\_366*, *SIRT1\_391*, *SIRT1\_773*, and *SIRT1\_720*) were used to represent the 9 *SIRT1* SNPs as they had high Linkage Disequilibrium ( $r^2 \geq 0.975$ ; Figure S2). The distributions of the tag SNPs of *SIRT1* are similar across varied baseline characteristics including sex, age, and education (Table S2), indicating *SIRT1* SNPs were randomly distributed across population characteristics. While participants who were older, illiterate, responded of no regular exercise, reside in northern China tended to live in areas with higher  $PM_{2.5}$  exposure (Table S2).

### Association between *SIRT1* polymorphisms and all-cause mortality

The mean follow-up time (SD) was 6.1 (3.5) years (range: 0 to 14 years). In the 42852 person-years of follow-up, we saw 2843 mortality events (40.1%) between 2002–2014. The mortality rate was 6.6 per 100 person-years for our entire study population. In the additive model, *SIRT1\_391*, *SIRT1\_472*, and *SIRT1\_102* minor allele carriers had lower mortality than their counterparts. The recessive model showed similar results to the addictive model, yet no statistical significance was observed in the dominant model (Table 1). We also did not see significant association of *SIRT1\_366*, *SIRT1\_773*, and *SIRT1\_720* with mortality.

Table 1  
The association between *SIRT1* SNPs with mortality

Minor allele copy number	n	HR (95% CI)	p value
<i>SIRT1</i> _366 (n = 7055)			
Additive model			
0	5281	Ref	
1	1635	1.050 (0.963,1.146)	0.269
2	139	1.209 (0.905,1.615)	0.199
Dominant model			
0	5281	Ref	
1 or 2	1774	1.059 (0.973,1.153)	0.182
Recessive model			
0 or 1	6916	Ref	
2	139	1.195 (0.895,1.595)	0.227
<i>SIRT1</i> _391 (n = 7077)			
Additive model			
0	5006	Ref	
1	1887	1.023 (0.942,1.111)	0.589
2	184	0.769 (0.603,0.981)	0.035
Dominant model			
0	5006	Ref	
1 or 2	2071	0.997 (0.92,1.081)	0.947
Recessive model			
0 or 1	6893	Ref	
2	184	0.764 (0.599,0.974)	0.030
<i>SIRT1</i> _773 (n = 7071)			
Additive model			
0	3795	Ref	
Note: All models were adjusted for age at baseline, sex, education, marriage, occupation, residence, exercise, smoking, and alcohol consumption.			

Minor allele copy number	n	HR (95% CI)	p value
1	2743	0.993 (0.919,1.072)	0.854
2	533	0.960 (0.825,1.117)	0.597
Dominant model			
0	3795	Ref	
1 or 2	3276	0.988 (0.918,1.064)	0.748
Recessive model			
0 or 1	6538	Ref	
2	533	0.963 (0.831,1.116)	0.616
<i>SIRT1</i> <sub>720</sub> (n = 7046)			
Additive model			
0	2292	Ref	
1	3383	1.045 (0.961,1.137)	0.302
2	1371	1.013 (0.910,1.127)	0.814
Dominant model			
0	2292	Ref	
1 or 2	4754	1.036 (0.957,1.121)	0.383
Recessive model			
0 or 1	5675	Ref	
2	1371	0.986 (0.898,1.083)	0.774
Note: All models were adjusted for age at baseline, sex, education, marriage, occupation, residence, exercise, smoking, and alcohol consumption.			

## Association between PM<sub>2.5</sub> exposure and all-cause mortality

In the fully-adjusted model, the all-cause mortality hazard ratio (HR) and 95% CI for each 10 µg/m<sup>3</sup> increase in PM<sub>2.5</sub> was 1.082 (1.053, 1.111). We also conducted our analysis by quartiles, to account for a possible nonlinear dose-response relationship between PM<sub>2.5</sub> exposure and risk of longevity. Compared with the participants who resided in areas with lowest quartile of PM<sub>2.5</sub>, the HR of mortality for 2nd quartile, 3rd quartile, and 4th quartile were 1.203 (1.078, 1.343), 1.431 (1.287, 1.593), 1.297 (1.167, 1.442), respectively (Table 2). In the stratified analyses by tags of *SIRT1* genotypes, participants who carry zero

or one *SIRT1*\_391 minor allele had a HR of 1.078 (95% CI: 1.049,1.107) on mortality for each 10 µg/m<sup>3</sup> increase in PM<sub>2.5</sub>, while the HR was 1.336 (95% CI: 1.079, 1.653) in those who carry two *SIRT1*\_391 minor alleles (data not shown).

Table 2  
The association between three-year average PM<sub>2.5</sub> with mortality

PM <sub>2.5</sub>	n	HR (95% CI)	p value
Quartiles of PM <sub>2.5</sub>			
Quartile 1	1,786	1.00 (reference)	/
Quartile 2	1,761	1.203 (1.078, 1.343)	0.000939
Quartile 3	1,765	1.431 (1.287, 1.593)	4.42E-11
Quartile 4	1,771	1.297 (1.167, 1.442)	1.37E-06
10-µg/m <sup>3</sup> change in PM <sub>2.5</sub>	/	1.082 (1.053, 1.111)	1.20E-08
Note: All models were adjusted for age at baseline, sex, education, marriage, occupation, residence, exercise, smoking, and alcohol consumption.			

### The interaction between baseline PM<sub>2.5</sub> exposure and *SIRT1* polymorphisms on all-cause mortality

According to an additive model with interaction terms of *SIRT1* SNPs and PM<sub>2.5</sub>, the detrimental effect of PM<sub>2.5</sub> exposure on mortality for the participants carrying two *SIRT1*\_391 minor alleles was stronger than those carrying zero allele. Similar results were found in the *SIRT1*\_472 and *SIRT1*\_102, of which were in LD with *SIRT1*\_391. For instance, the test for the interaction between *SIRT1*\_391 and PM<sub>2.5</sub> in additive models revealed a significant gene-environment interaction ( $p$  for interaction = 0.03). The HR of 10-µg/m<sup>3</sup> 3-year average PM<sub>2.5</sub> on mortality for the participants carrying two *SIRT1*\_391 minor allele was  $e^{(0.06+0.220)} = 1.323$  (95% CI: 1.088, 1.610) and for those carrying zero minor allele would be  $e^{0.06} = 1.062$  (1.028, 1.096) (Table 3). We also tested the interaction effect of *SIRT1* SNPs and PM<sub>2.5</sub> in recessive model, the results are not meaningfully different from findings based on the additive model (Table S3). As for the dominant model, we found there was no difference of PM<sub>2.5</sub> on mortality between participants carrying one or two alleles and those carrying zero allele (Table S4).

Table 3  
The interaction between PM<sub>2.5</sub> and *SIRT1* SNPs (additive model) on mortality

Additive model	Model without interaction term		Model with interaction term		
	HR (95% CI)	<i>p</i> value	$\beta$	SE	<i>p</i> value
Carrying <i>SIRT1</i> <sub>366</sub> minor allele status					
0 copy	Ref	/	/	/	/
1 copy	1.059 (0.970,1.155)	0.200	0.248	0.171	0.147
2 copies	1.245 (0.931,1.663)	0.139	0.011	0.545	0.984
10- $\mu\text{g}/\text{m}^3$ unit of PM <sub>2.5</sub>	1.083 (1.054,1.113)	7.04E-09	0.088	0.016	3.50E-08
Interaction term					
zero <i>SIRT1</i> <sub>366</sub> minor allele $\times$ PM <sub>2.5</sub>	/	/	/	/	/
one <i>SIRT1</i> <sub>366</sub> minor allele $\times$ PM <sub>2.5</sub>	/	/	-0.037	0.032	0.249
two <i>SIRT1</i> <sub>366</sub> minor alleles $\times$ PM <sub>2.5</sub>	/	/	0.043	0.105	0.685
Carrying <i>SIRT1</i> <sub>391</sub> minor allele status					
0 copy	Ref	/	/	/	/
1 copy	1.026 (0.944,1.114)	0.548	-0.255	0.167	0.127
2 copies	0.768 (0.602,0.980)	0.034	-1.452	0.577	0.012
10- $\mu\text{g}/\text{m}^3$ unit of PM <sub>2.5</sub>	1.082 (1.053,1.112)	1.07E-08	0.06	0.016	2.64E-04
Interaction term					
zero <i>SIRT1</i> <sub>391</sub> minor allele $\times$ PM <sub>2.5</sub>	/	/	/	/	/
one <i>SIRT1</i> <sub>391</sub> minor allele $\times$ PM <sub>2.5</sub>	/	/	0.054	0.031	0.081

Note: All models were adjusted for age at baseline, sex, education, marriage, occupation, residence, exercise, smoking, and alcohol consumption.

<b>Additive model</b>	<b>Model without interaction term</b>		<b>Model with interaction term</b>		
two <i>SIRT1_391</i> minor alleles × PM <sub>2.5</sub>	/	/	0.220	0.101	0.030
Carrying <i>SIRT1_773</i> minor allele status					
0 copy	Ref	/	/	/	/
1 copy	1.001 (0.926,1.081)	0.986	0.109	0.153	0.478
2 copies	0.951 (0.817,1.106)	0.513	-0.379	0.318	0.234
10-µg/m <sup>3</sup> unit of PM <sub>2.5</sub>	1.082 (1.053,1.112)	1.19E-08	0.083	0.019	1.33E-05
Interaction term					
zero <i>SIRT1_773</i> minor allele × PM <sub>2.5</sub>	/	/	/	/	/
one <i>SIRT1_773</i> minor allele × PM <sub>2.5</sub>	/	/	-0.021	0.029	0.464
two <i>SIRT1_773</i> minor alleles × PM <sub>2.5</sub>	/	/	0.06	0.056	0.286
Carrying <i>SIRT1_720</i> minor allele status					
0 copy	Ref	/	/	/	/
1 copy	1.044 (0.960,1.135)	0.319	0.381	0.168	0.023
2 copies	1.001 (0.899,1.113)	0.992	0.201	0.223	0.366
10-µg/m <sup>3</sup> unit of PM <sub>2.5</sub>	1.081 (1.052,1.111)	1.93E-08	0.117	0.024	1.45E-06
Interaction term					
zero <i>SIRT1_720</i> minor allele × PM <sub>2.5</sub>	/	/	/	/	/
one <i>SIRT1_720</i> minor allele × PM <sub>2.5</sub>	/	/	-0.065	0.031	0.036
two <i>SIRT1_720</i> minor alleles × PM <sub>2.5</sub>	/	/	-0.038	0.041	0.345
Note: All models were adjusted for age at baseline, sex, education, marriage, occupation, residence, exercise, smoking, and alcohol consumption.					

Further, we conducted a three-way interaction analysis to examine whether there is a sex difference in the effect of  $G \times E$  interaction. Figure 2 demonstrates exposure to higher  $PM_{2.5}$  does not affect mortality significantly in male and female who carry zero or one *SIRT1\_391* allele, but  $PM_{2.5}$  exposure substantially increases the mortality risk among male and female carriers of two *SIRT1\_391* alleles. It is noted that among the participants with exposure to high concentration of  $PM_{2.5}$ , females carry two *SIRT1\_391* alleles have significantly excess risk of mortality than those with zero or one *SIRT1\_391* allele; but the interaction is not significant among male participants. Specifically, in females who carrying two *SIRT1\_391*, exposure to higher level of  $PM_{2.5}$  is associated with 47.7% higher risk of mortality, while the effect size is 16.8% in men.

## Discussion

Our study confirmed the detrimental effect of air pollution on all-cause mortality. We found there were protective effect of some alleles of *SIRT1* on all-cause mortality, in concurrence with several prior animal studies and population studies. An added evidence of our study is finding an interaction between  $PM_{2.5}$  induced premature mortality and carriers of *SIRT1\_391*, *SIRT1\_472*, and *SIRT1\_102* genotypes, which carriers of 2 alleles of *SIRT1\_391* can counteract detrimental effect of  $PM_{2.5}$  and reduce 26.1% risk of premature mortality. Our study used a large old cohort to better elucidate evidence of several cellular and molecular pathways studies on the protective role of *SIRT1*. Prior studies indicate *SIRT1* is involved in the pathway of PM-induced airway inflammation with the activation of *SIRT1* to prevent airway disorders. On the cellular and molecular side, using in vivo models of airway inflammation and in vitro culture of human bronchial epithelial (HBE) cells exposed to  $PM_{2.5}$  and resveratrol (*SIRT1* activator), *SIRT1* expression was decreased in HBE cells and lung tissues after  $PM_{2.5}$  exposure, suggesting that *SIRT1* is involved in the pathogenesis of PM-induced airway inflammation [30]. Second, a plethora of animal studies investigating  $PM_{2.5}$  have documented pathways of induces oxidative stress to trigger inflammation and thrombosis [38]. It was hypothesized that *SIRT1* as a member of class III histone deacetylase, controls lung inflammation and coagulation after  $PM_{2.5}$  exposure. *SIRT1* knock-out mice exhibited aggravated lung vascular leakage and inflammation after  $PM_{2.5}$  exposure, correlated with increased *NF- $\kappa$ B* acetylation and activation. This indicates that *SIRT1* functions as a suppressor of coagulation after particulate matter exposure [30]. Further molecular evidence also implicates the role of *SIRT1* in protein/histone deacetylase and as a protective factor of the development of pulmonary emphysema [39].  $PM_{2.5}$  exposure in animal models was also demonstrated to introduce repression activity of *SIRT1* in the mice liver [40].

Our study builds on these animal models, and is the largest population-based study on the role of *SIRT1* in air pollution-induced mortality. Previously, a series of genes involved in oxidative stress and inflammatory pathways were studied for interaction with air pollutants, including: *GSTM1*, *GSTP1*, *NQO1*, and *TNF*. There were both positive and null findings [41]. Studies indicate genetic susceptibility is likely to play a role in response to air pollution, especially on cardiovascular and respiratory outcomes [30]. A recent review indicated that *SIRT1* plays in toxicological damage caused by environmental toxicants such

as PM<sub>2.5</sub>, the PM-induced injury affects *SIRT1* expression, which then affects the expression and activity of downstream proteins, resulting in toxic damage [42]. In addition, one molecular biology finding suggested that PM<sub>2.5</sub> can upregulate MicroRNA-146a-3p and induces the inflammatory macrophage polarization by targeting *SIRT1* [43]. A recent population study also found that exposure to long-term air pollution, even at low level, can alter the gene expression and in turn to impact individual's health [19]. Various studies have indicated that aging and lifespan are modulated by genetic and environmental factors as well as their interactions. Extant basic and translational studies have reported the interaction effects of air pollution and *SIRT1* on incidence or progression of pulmonary diseases [26, 30, 44] and cardiovascular diseases [27]. As we do not have a complete understanding of the synergistic health effects of air pollution and *SIRT1* in humans, our finding of an interactive effect needs validation.

We also observe significant gender differentials in the interaction effect of PM<sub>2.5</sub> and *SIRT1* genotypes on mortality. The association of PM<sub>2.5</sub> exposure with a reversal of the negative effects of carrying two *SIRT1*<sub>391</sub> alleles on mortality is much stronger in females than in males. In females who carrying two *SIRT1*<sub>391</sub>, exposure to higher level of PM<sub>2.5</sub> is associated with 47.7% higher risk of mortality, while the effect size is 16.8% in men. Underlying mechanisms gender difference may be due to sex hormones and distinct innate immune system [45]. First, estrogen can downregulation of *SIRT1*, which in turn reduce the anti-oxidative anti-inflammatory effect on PM-induced toxicants [46]. Although production of estrogen declined dramatically in females after menopause status, the estrogen remained at moderately low level. *SIRT1*, possibly through the *AKT* and *ERK* signaling pathways, plays a crucial role in estrogen in protecting arteries from senescence and atherosclerosis [47]. Resveratrol also functions as a suppressor of PM-induced inflammatory signaling pathways by inhibiting COX-2/PGE2 expression [48]. Second, sex-specific analyses of longevity gene indicates "innate immune system in men and of the tryptophan and *PGC-1* pathways in the regulation of immune-related pathways in women suggests that women and men have different approaches for longevity, in which the *SIRT1* deacetylates *PGC-1α* and enhances *PGC-1α* activity, insufficient NAD<sup>+</sup> availability and *SIRT1* enzymatic activity may be contributing factors [49]. Moreover, another sex-specific study on human heart reveals a female sex-specific downregulation of *SIRT1* and *SIRT3* in aged hearts, as well as a decline in mitochondrial anti-oxidative defense and a pro-inflammatory shift in old female hearts but not in male hearts. Sex-specific downgrade of *SIRT1* in female than in male might predispose females in a more susceptible conditions when exposure to PM-derived toxicants [50].

Our study has several limitations. The biggest limitation is that our cohort did not ascertain reliable cause-specific mortality which means we could not determine the possible pathways of air-way induced mortality. Second, we used satellite derived residential area-level PM<sub>2.5</sub> measurement, which has been shown to be reliable, but may not be as precise as ground level monitors and personal monitors. However, we do not expect differential misclassification that biases our effect estimates. In addition, we used 3-year average PM<sub>2.5</sub>, so we can only study long term air pollution difference. Third, our study did not have biomarkers or dietary determinants of nicotinamide mononucleotide (NMN), an NAD<sup>+</sup> precursor, to assess *SIRT1* activation activity; however, we do not expect these factors to vary with air pollution levels.

Lastly, we also did not have biomarker data or clinical diagnosis of pulmonary function of the participants to ascertain differences based on *SIRT1* genotype status. Thus, our study cannot distinguish the possible mechanism of the interaction between *SIRT1* and air pollution on neuroprotection, metabolism, and cell survival. Lastly, we currently do not possess epigenetic markers in this cohort to measure gene-expression.

Our study has many strengths. First it is one of the largest population studies on the role of *SIRT1* in human populations. Second, we had over one decade of follow up on survival status of participants. We had a highly diverse group of individuals from within China, including all climatic and geographical regions covering 23 provinces. Third, as our cohort was designed to study socioeconomic determinants of health, we had a wide range of possible confounders to adjust for. Fourth, conducting this study in a developing country, we had high heterogeneity of a range of low and high ambient air pollution levels so that we can see a dose-response relationship. Lastly, a relatively large sample size gave us statistical power for interaction variables and stratified analyses.

## Conclusions

In conclusion, our study demonstrated a gene-environmental interaction of *SIRT1* genotype and air pollution in a large population-based cohort, provided epidemiological human evidence to validate the many animal studies. If *SIRT1* does protect against PM<sub>2.5</sub> exposure, those living in areas with high levels of air pollution may benefit from induced *SIRT1* activity through supplementation or interventions. Our findings indicate future clinical trials are needed to validate this hypothesis. If successful, this may be a public health and clinical intervention through targeting mechanisms to protect against air pollution insult on the human body.

## Declarations

### Ethical Approval and Consent to participate

CLHLS was approved by the Institutional Review Board, Duke University (Pro00062871), and the Biomedical Ethics Committee, Peking University (IRB00001052–13074). All participants or their legal representatives signed written consent forms to participate in the baseline and follow-up surveys. This study followed the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) reporting guidelines.

### Consent for publication

Written informed consent for publication was obtained from all participants.

### Availability of supporting data

The data that support the findings of this study are available from the corresponding author, upon reasonable request.

### **Competing interests**

None reported.

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### **Authors' contributions**

Yao Yao drafted the original manuscript, made review and edit. Linxin Liu performed the analysis, made review and edit. Guang Guo and Yi Zeng made review and edit. John S. Ji conceptualized the idea, designed the methodology, made review and edit.

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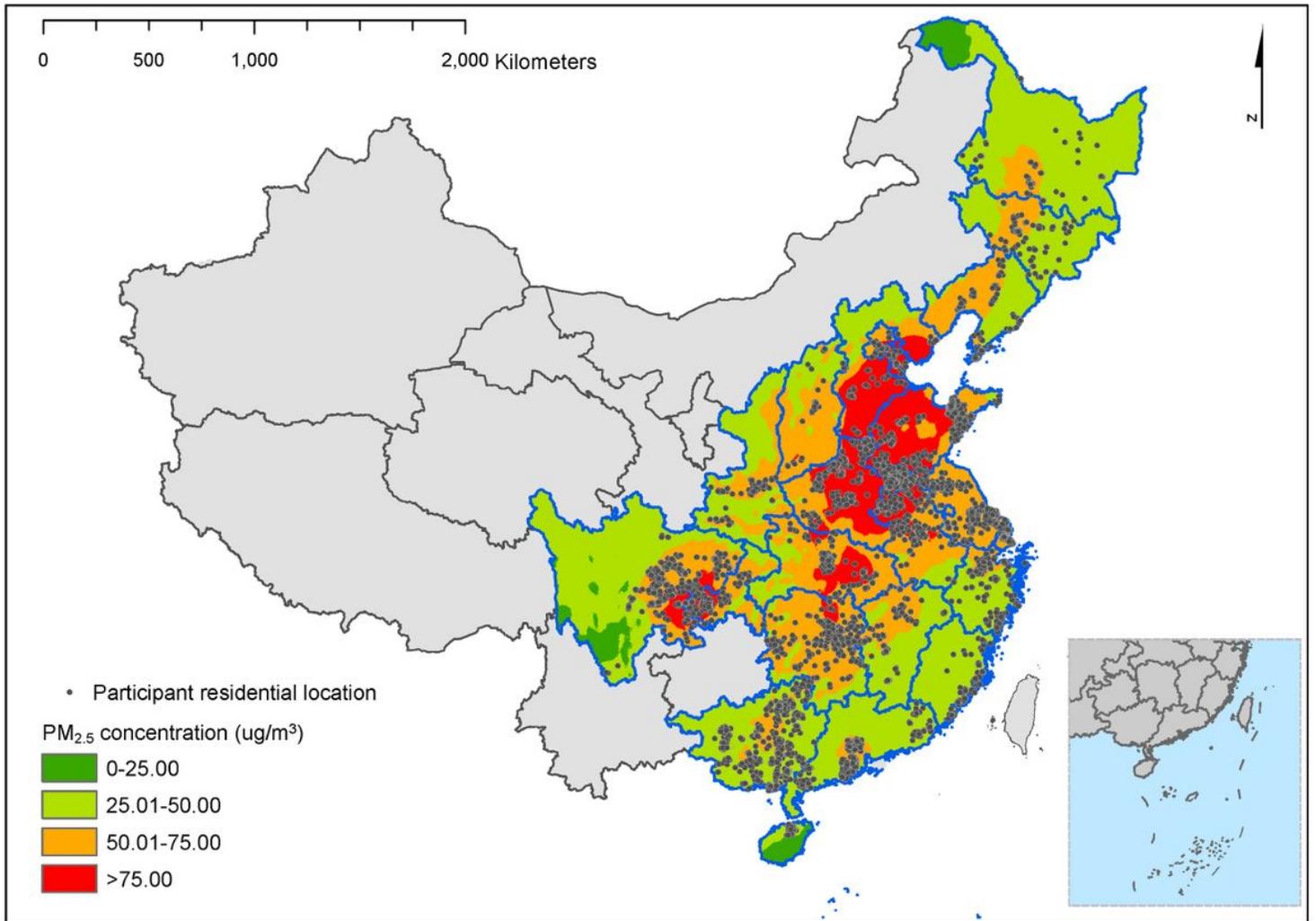
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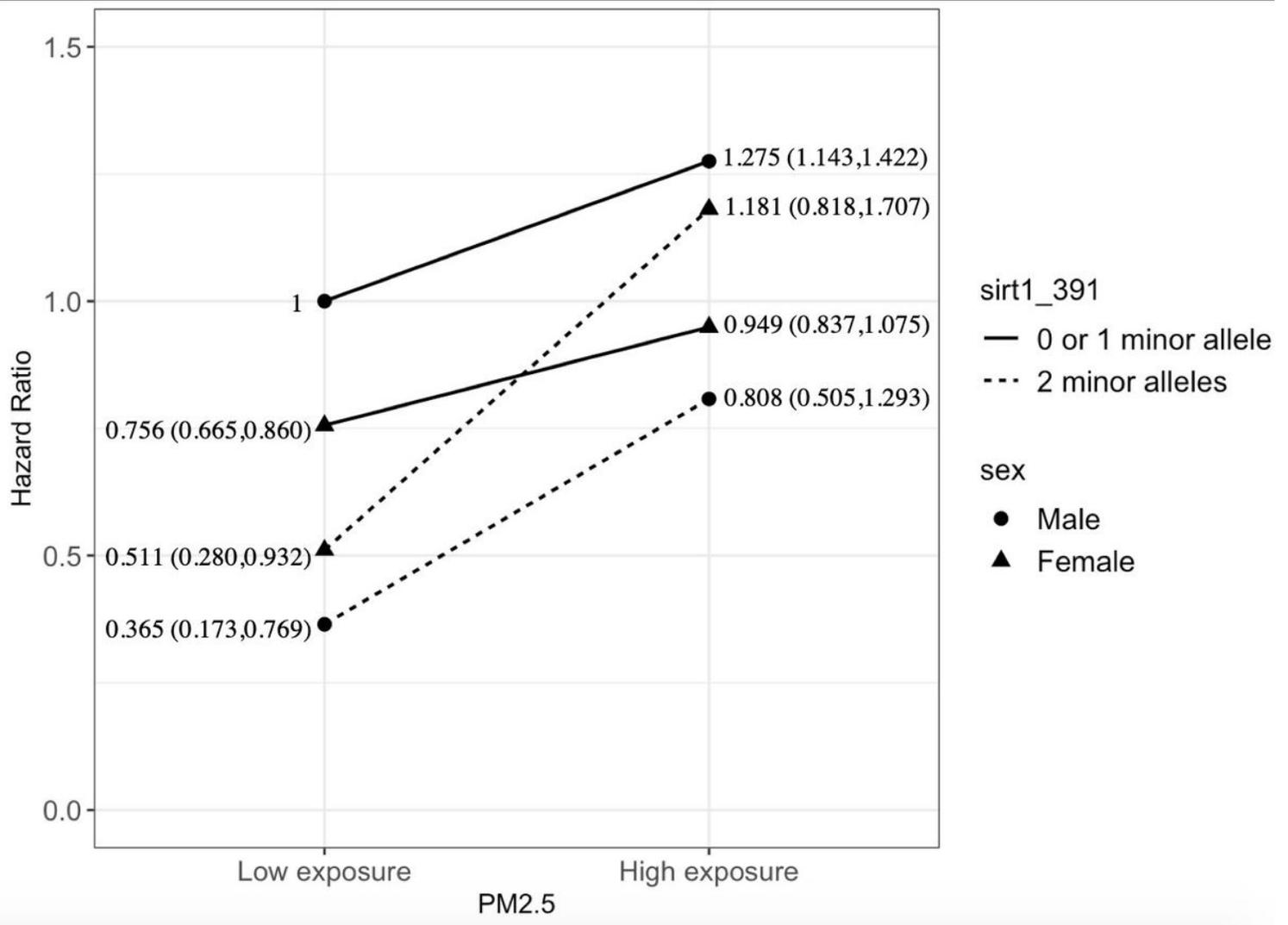
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## Figures



**Figure 1**

Distribution of the study population from participants of the Chinese Longitudinal Healthy Longevity Survey (CLHLS). Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 2**

The sex-specific interaction analysis on PM2.5, SIRT1\_391 and mortality. Note: The male participants without two SIRT1\_391 minor allele copies and with low exposure of PM2.5 was regarded as the reference group. The model adjusted for age at baseline, sex, education, marriage, occupation, residence, exercise, smoking, and alcohol consumption.

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