

# Modeling of Carbon Dioxide Fixation Rate by Micro Algae Using Hybrid Artificial Intelligence and Fuzzy Logic Methods and Optimization by Genetic Algorithm

Omkar Singh Kushwaha (✉ [kushwaha.iitmadr@gmail.com](mailto:kushwaha.iitmadr@gmail.com))

Indian Institute of Technology Madras

Haripriyan Uthayakumar

Anna University Chennai

Karthigaiselvan Kumaresan

Anna University Chennai

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## Research Article

**Keywords:** Adaptive Neuro-Fuzzy inference system, Genetic algorithm, CO<sub>2</sub> fixation rate and Root Mean Square Error, Industrial scale-up, Carbon capture

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1 **Modeling of carbon dioxide fixation rate by micro algae using hybrid Artificial**  
2 **Intelligence and Fuzzy Logic methods and optimization by Genetic Algorithm**

3 **Omkar Singh Kushwaha<sup>1†\*</sup>, Haripriyan Uthayakumar<sup>2†</sup>, Karthigaiselvan Kumaresan<sup>2</sup>**

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5 <sup>1</sup>Department of Chemical Engineering, Indian Institute of Technology, Madras, Tamilnadu, India

6 <sup>2</sup>Department of Chemical Engineering, Anna University, Chennai, Tamilnadu, India

7 \*Corresponding Author E-mail: [kushwaha.iitmadr@gmail.com](mailto:kushwaha.iitmadr@gmail.com)

8 <sup>†</sup>Equal first author contribution

9

10 **Abstract**

11 In this study we are reporting a prediction model for the estimation of carbon dioxide (CO<sub>2</sub>)  
12 fixation based on the Adaptive Neuro-Fuzzy Inference System (ANFIS) and Genetic Algorithm  
13 (GA) hybrid approach. The experimental parameters such as temperature and pH conditions of the  
14 micro-algae-based carbon dioxide uptake process were taken as the input variables and the  
15 CO<sub>2</sub> fixation rate was taken as the output variable. The optimization of ANFIS parameters and  
16 formation of the model structure were performed by genetic algorithm (GA) algorithm in order to  
17 achieve optimum prediction capability and industrial applicability. The best-fitting model was  
18 figured out using statistical analysis parameters such as RMSE, R<sup>2</sup> and AARD. According to the  
19 analysis, GA-ANFIS model depicted a superior prediction capability over ANFIS optimized  
20 model. The Root Mean Square Error (RMSE), coefficient of determination (R<sup>2</sup>) and AARD for  
21 GA-ANFIS were determined as 0.000431, 0.97865 and 0.044354 in the training phase and  
22 0.00056, 0.98457 and 0.032156 in the testing phase, respectively for the GA-ANFIS Model. As a  
23 result, it can be concluded that the proposed GA-ANFIS model is an efficient technique having  
24 very high potential to accurately calculate CO<sub>2</sub> fixation rate and the exploration of the industrial  
25 scale-up process for commercial activities.

26 **Keywords:** Adaptive Neuro-Fuzzy inference system, Genetic algorithm, CO<sub>2</sub> fixation rate and  
27 Root Mean Square Error, Industrial scale-up, Carbon capture

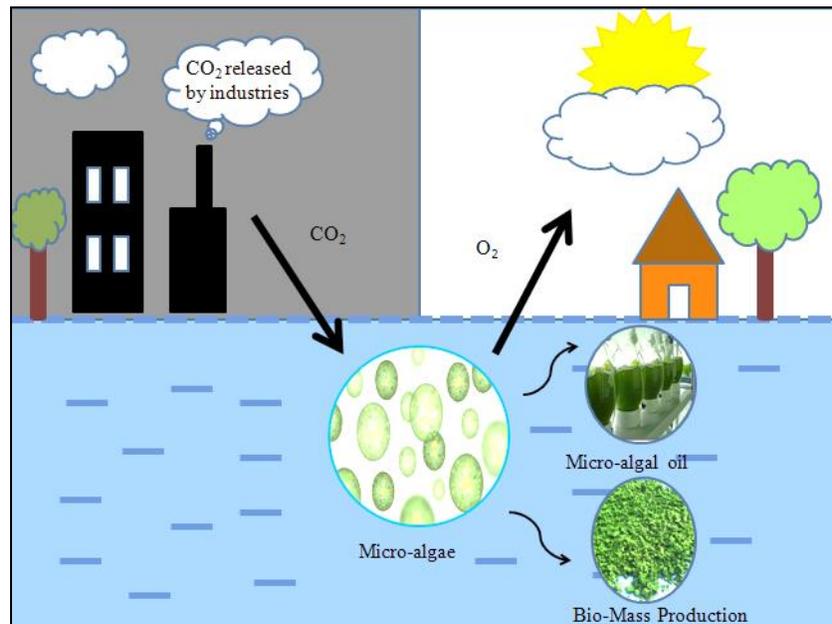
## 28 **1. Introduction**

29 It is evident that the rising in the level of gas emissions caused higher global temperatures which  
30 have led to the increase in frequency and the scale of the natural disasters (Xu et al. 2021; Appiah  
31 et al. 2021). These disasters and calamities are testing their extremes and have deeply impacted  
32 humans, animals and the plants. Human activities can be mostly attributable to the increase in  
33 greenhouse gases since the scaled industrial production leading to the industrial revolution (1880)  
34 (De Vries 1994). The greenhouse gas emissions which account to about 375 billion tonnes of  
35 carbon that have been emitted by humans into the atmosphere as carbon dioxide (CO<sub>2</sub>), roughly  
36 estimated as 1374 gigatons, and the emission climbed to a recorded 37.1 gigatons in the year 2018  
37 with very alarming future projections (Kahia and Jebli 2021; De Vries 1994). Significant reasons  
38 for such scaled emission involve the combustion of traditional fuels such as fossil fuels producing  
39 a large amount of CO<sub>2</sub>, which is responsible for climate changes and global warming. Statistically,  
40 the combined land and ocean temperature have increased at an average of 0.07°C (0.13°F) per  
41 decade since 1880. Scientific progresses to reduce the impact of emissions of carbon dioxide are  
42 undergoing in the broad fields of biotechnology (Ghosh and Kiran 2017), nanotechnology (Moniz  
43 2010; Aiyer et al. 2016; Kushwaha et al. 2015; Meena et al. 2019) and renewable energy (Panwar  
44 et al. 2011). The promising results are obtained from solar cell technology (Brabec et al. 2001;  
45 Singh and Kushwaha 2013), fuel cell technology (Barbir 2012; Kushwaha et al. 2013; Kushwaha  
46 et al. 2014) and the wind energy (Burton 2011). Accounting to this, Carbon Capture and Storage  
47 (CCS) becomes an area of significant global interest and concern for the researchers. CCS is the  
48 most common method for CO<sub>2</sub> management (Dods et al. 2021). CCS technology rose as the novel  
49 and effective carbon capture technology to achieve environmental benefits with harmful CO<sub>2</sub>  
50 emissions to the atmosphere in the limited land area and energy benefits from derived algal  
51 biomass (Boot-Handford et al. 2014; Gibbins and Hannah 2008).

52 The Microalgae growing system might be a potential environmentally friendly technique for  
53 efficiently converting inorganic CO<sub>2</sub> into biomass through photosynthesis, which can then be  
54 utilized to produce high-density biofuels and high-value medicinal components. Microalgae  
55 cultivation system offers several advantages such as high photosynthetic efficiency and growth  
56 rate, allowing it to be harvested in a short period of time; low-quality water, can be used for growth  
57 thus eliminating the need for extra nutrients; using non-fertile land not suited for agriculture; and

58 microalgae having high tolerance towards  $\text{SO}_x$  and  $\text{NO}_x$  in flue gases thus  $\text{CO}_2$  demand might be  
59 met by using flue gas (Sayre and Richard 2010; Jonker et al 2013). The **Figure 1** depicts the  $\text{CO}_2$   
60 fixation using Micro-algal based process.

61

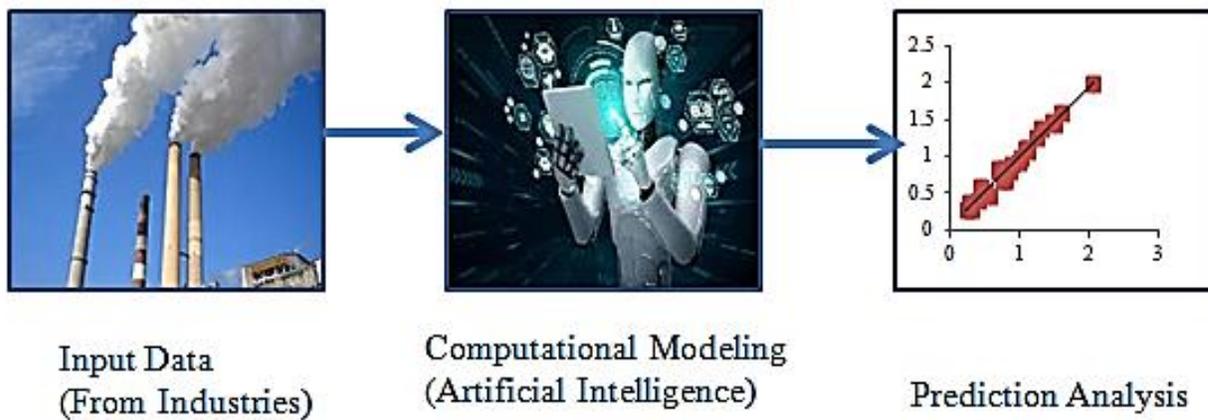


62

63 **Fig. 1** A representative diagram showing carbon dioxide fixation using Micro-algae-based process

64

65 Artificial Neural Networks (ANNs) and Fuzzy Logic (FL) have been immensely used in many  
66 upcoming engineering and technological sectors (Jang 1993; Buragohain & Mahanta 2008). ANN  
67 which is the branch of Artificial Intelligence (AI), function in a similar way to the human brain  
68 using historical data (Jang 1993; Buragohain & Mahanta 2008). **Figure 2** depicts the overview of  
69 the application of AI to solve Industrial Problems. They have the potentiality of learning from the  
70 datasets using the parallel connected nodes called neurons, which process inputs with respect to  
71 their adaptable weights usually in an iterative manner for approximation. (Buragohain & Mahanta  
72 2008)



73

74 **Fig. 2** An overview diagram of application of AI to solve Industrial Problems

75

76 Fuzzy logic is employed to manoeuvre the fundamental idea of partial truth, where the truth value  
 77 may differ between completely true and completely false. Takagi and Sugeno improvised the  
 78 Fuzzy Logic with a novel rule-based modeling technique (Rezakazemi et al. 2017; Singh et al.  
 79 2012). Acquiring the mathematical models was very intricate and sluggish in time consumption  
 80 due to the complex process of designing the operating point and linearization. Fuzzy Logic permits  
 81 one to generate a model for a system using human intelligence along with IF- THEN rules. On the  
 82 other hand, ANN deals only with datasets rather than the linguistic expressions. Thus, the above  
 83 inadequacy may be improved by combining both ANN and Fuzzy logic (Zhou et al. 2019; Singh  
 84 et al. 2012).

85 This paper uses Adaptive Neuro Fuzzy Inference System (ANFIS) that eliminates the basic  
 86 problems in ANN using the learning ability of fuzzy system design for automatic if-then rule  
 87 generation and parameter optimization. The combination of ANN and Fuzzy system is called the  
 88 Neuro fuzzy system (Zhou et al. 2019; Alarifi et al. 2019). ANFIS is one of the best hybrids of  
 89 neural and fuzzy system, has better smoothness than ANN. Regardless of the fact that ANFIS can  
 90 handle complicated engineering problems, it has a number of drawbacks, including a sluggish  
 91 convergence rate, a low learning rate, and the possibility of being caught in a local extreme. An  
 92 evolutionary algorithm combined with ANFIS was utilized to tackle these problems and improve  
 93 network reliability by avoiding local minima and achieving global convergence quickly and

94 correctly. Many optimization algorithms (OA), such as the genetic algorithm (GA), may be used  
95 to change the weight and bias of ANNs to improve their performance and lower the total mean  
96 squared error (Alarifi et al. 2019).

97  
98 The concept of natural selection and hereditary behavior based genetic algorithms (GAs) are  
99 nowadays predominantly employed for the optimization than the other optimization techniques.  
100 The potentiality of the binary digit control system also causes the genetic algorithms distinctive  
101 from the rest other methodologies (Vaefi et al. 2015). Ergo there is an extreme necessity to  
102 construct such a model that can establish perfect connection between the output and the input  
103 factors. The GA integrated hybrid approach such as GA-ANFIS is propounded in this analysis, to  
104 scrutinize the impact of process variables on the CO<sub>2</sub> fixation rate (Yang et al. 2020; Sarkheyli et  
105 al. 2015). The GA integrated hybrid approach is suitable to develop a better inter-connection  
106 between the process parameters and the response values by improvising the performance level of  
107 ANFIS model. In this work, the GA- ANFIS is used to optimize the micro-algae-based carbon  
108 capture process by varying the cultivating conditions (pH and temperature) to obtain the best-  
109 desired product and the most beneficial outcome (CO<sub>2</sub> fixation rate) (Vaefi et al. 2015; Yang et al.  
110 2020).

111

## 112 **2. Materials and Methods**

### 113 **2.1 Dataset**

114 The principal focus of this study is to forecast Micro-algae selectivity based on the analysis results  
115 which can be acquired effortlessly, instantly and without needing exorbitant and sophisticated  
116 equipment. Thus, in this study, the components of analysis, temperature and pH are used as input  
117 parameters to obtain CO<sub>2</sub> fixation rate as an output. To ensure that the proposed model has a wide  
118 range of validity, a data set containing CO<sub>2</sub> with different characteristics was created by reviewing  
119 the literature (Jacob-Lopes et al. 2009; Murakami and Ikenouchi 1997; Yun et al. 1997; Scragg et  
120 al. 2002; Stephenson et al. 2010; Sakai et al. 1995; Chiu et al. 2011; Zhao et al. 2019; Huntley and  
121 Redalje 2007; de Morais and Costa 2007b; Gomez-Villa et al. 2005). Repeated samples were  
122 extracted from this data set and a simplified data set containing 27 samples was obtained. The

123 temperature of samples was ranged from approximately 19 °C to 40 °C by weight. Similarly, the  
124 pH was found between 5.5 to 9.4. The output CO<sub>2</sub> fixation rate was 0.280 to 2.04. In the present  
125 study only 27 datasets have been used so as to study the behavior of ANFIS and GA-ANFIS  
126 models on training, and testing a smaller number of datasets.

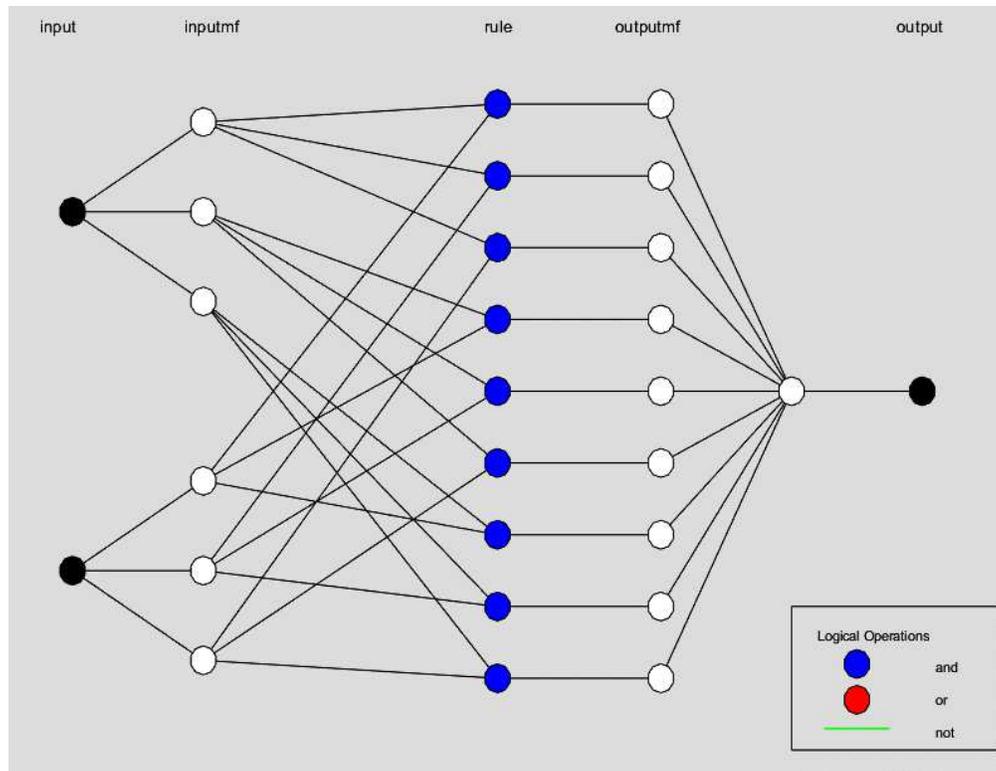
127

## 128 **2.2 ANFIS (Adaptive Network based Fuzzy Inference System)**

129

130 Adaptive neuro-fuzzy inference system is the combination of quick-witted techniques of neural  
131 network and fuzzy inference system. ANFIS is a unique methodology which is employed  
132 especially in the modelling of the non-linear functions. The modeling was performed to develop a  
133 relation between the independent and dependent variables. The advantage of using these soft  
134 computing methods is to develop a black box model without the need of mathematical models  
135 (Najafi et al. 2018a; Khashei-Siuki et al. 2015). The fuzzy system alone was unable to acquire the  
136 precise outcome because it couldn't alter the membership functions automatically. The  
137 amalgamation of both (ANN and Fuzzy) was capable of generating the precise value at any  
138 circumstances. ANFIS is used to formulate a heuristic pattern between the input-output based on  
139 the initial given fuzzy system and available input-output data pairs by employing learning  
140 methodologies (Yang et al. 2020; Najafi et al. 2018a). The most pre-dominantly employed fuzzy  
141 inference system (FIS) which are used in diverse applications are the Mamdani inference system  
142 and Sugeno inference system (Najafi et al. 2018a). Further, in the present study MATLAB R2020a  
143 software package was used to develop the models, and the modelling was performed in two stages:  
144 Training and Testing. In this work, 70 % of the dataset is employed to train models and the remains,  
145 30 % is used for testing the models. The training stage is a vital step in the formation of networks.  
146 After developing the target network, the selected testing data is then applied to the network in  
147 order to obtain the results of the testing stage (Najafi et al. 2018a; Yilmaz et al. 2011). It is very  
148 important to go for the pertinent sample for training and testing towards the decrease of prediction  
149 error. **Figure 3** represents the basic ANFIS model structure using MATLAB R2020a.

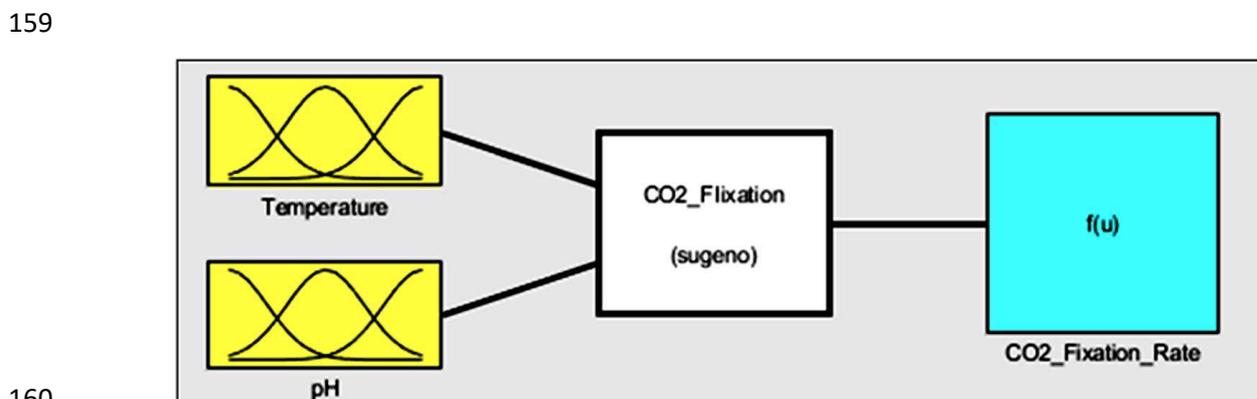
150



151  
 152 **Fig. 3** A representative outlay of the basic ANFIS Architecture

153  
 154 **2.3 Modeling using ANFIS**

155 The two most commonly employed fuzzy inference systems (FI) which are used for various  
 156 application are Mamdani inference system and Sugeno inference system (Rezaeianzadeh et al.  
 157 2014). In the present work ANFIS is constructed using Sugeno fuzzy model (Najafi et al. 2018b).  
 158 The representation of rules for Sugeno fuzzy inference system is given below in the **Figure 4**.



160  
 161 **Fig. 4** A representative overview of the Sugeno fuzzy model.

162 Rule 1: If  $x$  is  $A_1$  and  $y$  is  $B_1$ , then  $f_1 = p_1x + q_1y + r_1$  (1)

163 Rule 2: If  $x$  is  $A_2$  and  $y$  is  $B_2$ , then  $f_2 = p_2x + q_2y + r_2$  (2)

164 Where,  $x$  and  $y$  are the two inputs.  $A$  and  $B$  are the membership function.  $p$ ,  $q$ , and  $r$  linear  
165 parameter. 1,2, represent the number of rules.

166

167 The architecture of ANFIS comprises of five different layers. Each layer of the network  
168 accommodates several nodes described by the node function (Yaseen et al. 2017). The overview  
169 and further details of the multilayered ANFIS architecture can be observed in **Figure 5**.

### 170 Layer 1

171 This layer also known as fuzzification layer. In this layer the nodes are squares with a  
172 Membership function associated with each one of them.

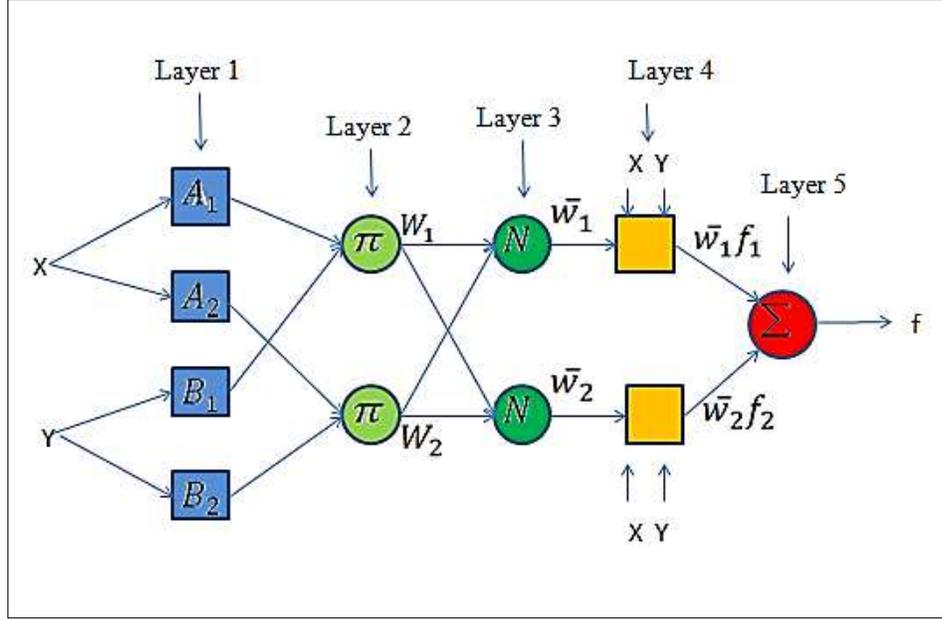
173  $O_i^1 = \mu_{A_i}(x)$  (3)

174 Where,  $x$  serves as an input to the particular node,  $A_i$  being the linguistic label,  $O_i^1$  is the  
175 output of the first layer and  $\mu_{A_i}(x)$  is the membership function. The membership used in this  
176 study is Triangular membership function (trimf) The further details regarding triangular  
177 membership function for the input variables are given in **Figure 6**.

178

179 
$$f(x; a, b, c) = \left\{ \begin{array}{ll} 0, & x \leq a \\ \frac{x-a}{b-a}, & a \leq x \leq b \\ \frac{c-x}{c-b}, & b \leq x \leq c \\ 0, & c \leq x \end{array} \right\}$$

180



181

182 **Fig. 5** A representative overview of the ANFIS Architecture.

183

184 **Layer 2**

185 Nodes of this layer execute the function of multiplying the inputs and passing out the product.  
 186 The output of various nodes depicts the strong firing of the rule.

187  $w_i = \mu_{A_i}(x) \times \mu_{B_i}(y), \quad i = 1, 2$  (5)

188 **Layer 3**

189 Nodes of this layer execute the purpose of calculating the ratio of the  $i^{\text{th}}$  rule's firing strength to  
 190 the sum of all rule's firing strength:

191  $W_i = \frac{w_i}{w_1 + w_2}, \quad i = 1, 2$  (6)

192 **Layer 4**

193 Nodes of this layer are adaptive in nature and execute the overall output:

194  $O_i^4 = W_i f_i = W_i(p_i x + q_i y + r_i)$  (7)

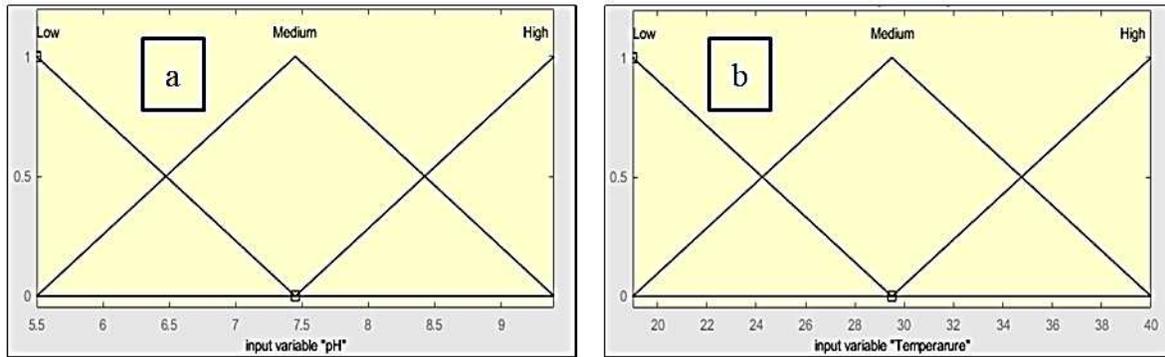
195 **Layer 5**

196 This single node is a circular node with the symbol  $\Sigma$  (sigma) that is employed to calculate the  
197 overall summation of all the incoming signals.

198  $O_1^5 = \text{overall output} = \sum_i w_i f_i = \frac{\sum_i w_i f_i}{\sum_i w_i}$  (8)

199 ANFIS employs the hybrid-learning algorithm, which comprises of the amalgamation of “gradient  
200 descent” and “least squares” methods to upgrade the model parameters (Yaseen et al. 2017; Kaveh  
201 et al. 2018)

202



203  
204 **Fig. 6** Triangular membership function for the input variables (a) pH and (b) Temperature.

205

206 **2.4. Genetic Algorithm (GA)**

207 Genetic Algorithm (GA) is an evolutionary heuristic search algorithm which reckon on natural  
208 selection and genetic science based on Charles Darwin’s theory of Natural evolution. This  
209 furnishes an arbitrary search that is employed to decipher optimization problems (Shahlaei et al.  
210 2012, Maulik et al. 2000). In nature, rivalry between individuals for insufficient resources always  
211 leads to the emergence of the strongest individuals who dominate the weakest. The GA generally  
212 initializes by generating an initial population with an assemblage of possible solutions. Each  
213 population has a chromosome with the same length as the total number of process variables (Houck

214 et al. 1995; Maulik et al. 2000). The objective function fitness value is considered when assessing  
215 these chromosomes. Selection, Crossover, and Mutation processes are predominantly employed to  
216 create new chromosomes termed off-spring. The chromosome with the elevated fitness value has  
217 the best prospect of being chosen (Mathew 2012). In the present study the computation is  
218 accomplished when the fitness function and the chromosomes achieve the point of convergence,  
219 at which the optimum process parameter value for maximum CO<sub>2</sub> fixation rate is attained (Shahlaei  
220 et al. 2012; Kaveh et al. 2018).

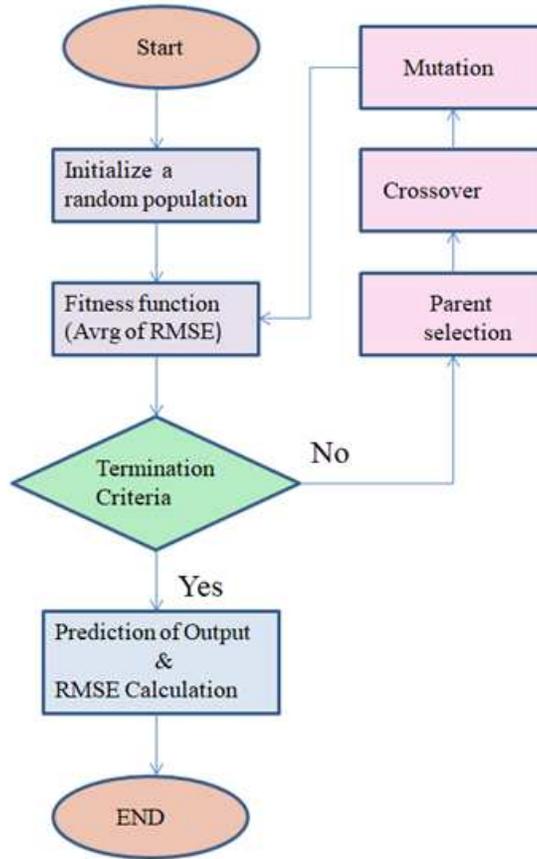
221

## 222 **2.5 GA-ANFIS hybrid approach**

223 GA-ANFIS is a hybrid approach for handling non-linear datasets where adaptive neuro-fuzzy  
224 inference (ANFIS) executes the process of training the dataset and eventually performs  
225 optimization using GA. ANFIS is a hybrid approach that uses the advantages of both neural  
226 networks' best learning skills and fuzzy systems' inference capabilities to accomplish a desired  
227 execution (Houck et al. 1995; Moayedi et al. 2019). The main objective of the fuzzy controller is  
228 to accomplish proper execution in the presence of fluctuations (Vafaei et al. 2015). The ANFIS  
229 methodology is employed to delineate the MFs and regulate the fuzzy inference system (FIS) file  
230 using back propagation algorithm. The lesser the epoch error, the more exact the training model is  
231 executed. The FIS data created by the model after learning is then used with GA to obtain  
232 optimized results (Yadav et al. 2019; Karimi et al. 2012).

233

234 To improve the ANFIS results, GA is used in this study. To put it in other way, GA is used to find  
235 the optimum ANFIS parameters as shown in ANFIS-GA flow chart in **Figure 7** (Yadav et al.  
236 2019). Here we can say that this evolutionary hybrid method has perfectly accounted for its  
237 significance in the outcomes to improve the CO<sub>2</sub> fixation rate so as to further the result  
238 optimization of the process of CO<sub>2</sub> capture (Vafaei et al. 2015, Moayedi et al. 2019; Yadav et al.  
239 2019). The potentiality of this hybrid method to tune the member functions (MFs) of fuzzy  
240 inference systems and managing intricate decision-making or diagnosis systems through hybrid  
241 learning rules made this method to be triumphant.



242

243 **Fig. 7** ANFIS-GA Flow Chart

244

245 **2.6 Evaluation of Model Performances**

246 The performances of models were evaluated according to the statistical tools such as root mean  
 247 square error (RMSE), and coefficient of regression ( $R^2$ ), Average absolute relative deviation  
 248 (AARD) (Wei et al. 2013). The related equations for calculation of, RMSE, AARD,  $R^2$  are given  
 249 in Eqs. 9,10 and 11 as follows:

250 
$$RMSE = \sqrt{\left(\frac{1}{N}\right) \sum_{i=1}^N (X_i^{exp} - X_i^{predicted})^2}$$
 (9)

251 
$$R^2 = 1 - \frac{\sum_{i=1}^N (X_i^{exp} - X_i^{predicted})^2}{\sum_{i=1}^N (X_i^{exp} - X^{exp})^2}$$
 (10)

252

$$AARD = \frac{100}{N} \sum_{i=1}^N \left| \frac{X_i^{exp} - X_i^{predicted}}{X_i^{exp}} \right| \quad (11)$$

254

255 The  $R^2$  accepts values between 0 and 1, with values close to 1 depicting a better fit, helps assess  
 256 the model's performance in modeling the data set. The RMSE is used to calculate the square root  
 257 of variance of the prediction model's data and the actual data Which can be used to check the  
 258 accuracy of the developed models (Wei et al. 2013; Fazlic et al. 2015). The deviation between the  
 259 predicted and the experimental value was evaluated using absolute average relative deviation  
 260 (AARD). The model resulting in the least value of the AARD will be the best model that can be  
 261 used for forecasting the CO<sub>2</sub> fixation (Kaveh et al. 2018; Fazlic et al. 2015).

262

### 263 **3. Results and Discussion**

#### 264 **3.1 ANFIS Modeling**

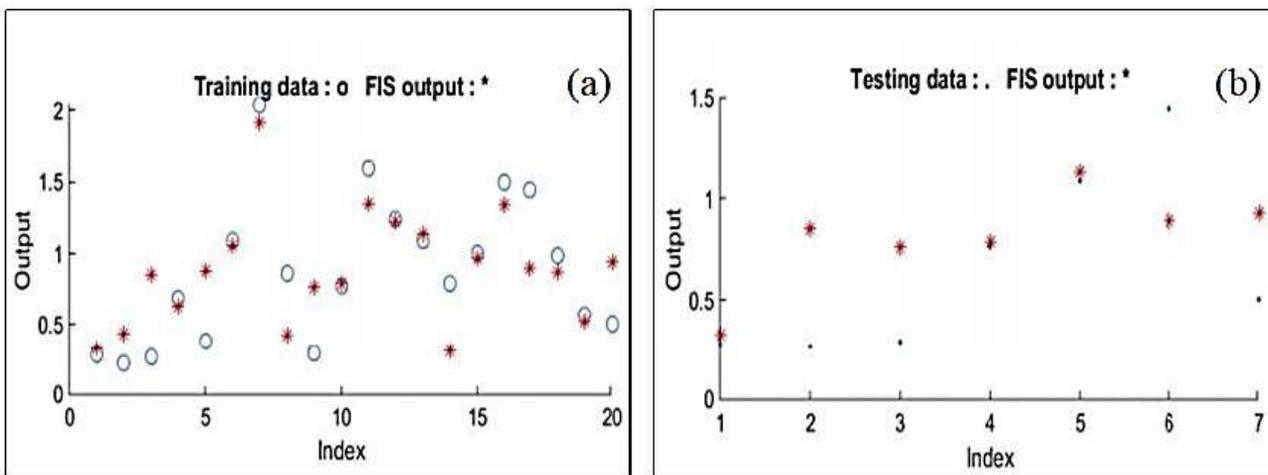
265 As the principal focus of this analysis is to forecast the Micro-algae selectivity for Carbon (CO<sub>2</sub>)  
 266 Capture under the operating conditions, the CO<sub>2</sub> fixation rate was taken as the output parameter,  
 267 while the temperature and pH conditions were taken as the input parameters. It is mandatory to fix  
 268 the number and type of MFs, as well as the number of iterations, in order to employ the ANFIS  
 269 model (Lei et al. 2007; Wei et al. 2013). The input and output data ranges are critical and should  
 270 not be omitted while determining various operating range parameters (Lei et al 2007; Rubio et al.  
 271 2019).

272 The ANFIS model may be effectively trained without degrading the results by normalizing or  
 273 scaling (Najafi et al. 2018b). The boundary is [0 1], and variables are scaled in this boundary via  
 274 mapping. MineMax equation (12) is generally employed to normalize the outputs and inputs:

$$X_n = \frac{X' - X_{min}}{X_{max} - X_{min}} \quad (12)$$

276 Where  $X_{max}$ ,  $X_{min}$  and  $X_n$  are maximum, minimum and normalized data for every parameter.

277 The data for model development was obtained from the experimental results available in the  
278 literature. Determining the input parameters for learning is necessary for ANFIS, and it is among  
279 the substantial challenges in the nonlinear systems modeling. The total 27 datasets are shown in  
280 **Table S1** (see the supporting information) split into training (20) and testing (7) to avoid over-  
281 fitting. The Training data comparison with the ANFIS outputs is shown in **Figure 8**.



282  
283 **Fig. 8 (a)** Training and **(b)** Testing Data Comparison-ANFIS

284  
285 The training error (the difference between the training data and output value) calculated using root  
286 mean squared error (RMSE) at each epoch and found as 0.012962 as shown in **Figure 8a**. The  
287 testing data is used to check the generalization potentiality of the fuzzy inference system at each  
288 epoch and to validate the fuzzy inference model (Kurian et al. 2006). The testing error was found  
289 RMSE as 0.024753 as shown in the **Figure 8b**. The result obtained from the ANFIS models  
290 suggests that the best model is considered as the one which has got the lowest testing data error.  
291 At the initial part, a hybrid learning employed for predicting the parameters. The model training  
292 was set at 1000 epochs and the computational duration was less than 1 minute (Kurian et al. 2006;  
293 Ghiazi et al. 2016).

294 The ANFIS methodology was employed to forecast the CO<sub>2</sub> fixation rate and to find out the  
295 relation between the input variables and output variables. **Table 1** represents the ANFIS  
296 parameters. The most vital advantage of a Sugeno Fuzzy Inference System is that they capitulate

297 a more accurate relationship between a larger number of outputs and inputs. Therefore, the MFs  
298 are determined via trial-and-error method.

299

300 **Table 1** Details of the ANFIS for predicting the CO<sub>2</sub> fixation rate

Type	Description/Value
Fuzzy Structure	Sugeno-type
MF type	Triangular (trimf)
Output MF	Constant
Number of fuzzy Rules	9
Number of Inputs	2
Number of Outputs	1
Training Maximum epoch number	1000
Computational Time	Less than one min

301

302 The structure of ANFIS model-developed in this study was based on Triangular membership  
303 function for the input and Constant membership function for the Output (Husein et al. 2019). The  
304 parameters of the triangular membership function for the input variables and their ranges are shown  
305 in **Table S2** and **Table S3** (see the supporting information). The parameters of the constant  
306 membership function and their ranges for the output variable (CO<sub>2</sub> fixation rate) is shown in **Table**  
307 **S4** (see the supporting information). The Number of fuzzy rules was 9 which are shown in **Table**  
308 **2**. The values of the output membership functions for the rules are given in **Table S4** (see the  
309 supporting information). It is very clear that the maximum CO<sub>2</sub> fixation can be obtained for the  
310 following rules (Alarifi et al. 2019; Dasari et al. 2019).

- 311 • If (Temperature is Low) and (pH is High) then (CO<sub>2</sub>\_fixation\_rate is 2.28708)
- 312 • If (Temperature is High) and (pH is Medium) then (CO<sub>2</sub>\_fixation\_rate is 2.86869)

313

314 **Table 2** Fuzzy Rule base of the optimum ANFIS structure for predicting the CO<sub>2</sub> fixation rate

S.No	Rules
1	If (Temperature is Low) and (pH is Low) then (CO <sub>2</sub> _fixation_rate is out1mf1)
2	If (Temperature is Low) and (pH is Medium) then (CO <sub>2</sub> _fixation_rate is out1mf2)
3	If (Temperature is Low) and (pH is High) then (CO <sub>2</sub> _fixation_rate is out1mf3)
4	If (Temperature is Medium) and (pH is Low) then (CO <sub>2</sub> _fixation_rate is out1mf4)
5	If (Temperature is Medium) and (pH is Medium) then (CO <sub>2</sub> _fixation_rate is out1mf5)
6	If (Temperature is Medium) and (pH is High) then (CO <sub>2</sub> _fixation_rate is out1mf6)
7	If (Temperature is High) and (pH is Low) then (CO <sub>2</sub> _fixation_rate is out1mf7)
8	If (Temperature is High) and (pH is Medium) then (CO <sub>2</sub> _fixation_rate is out1mf8)
9	If (Temperature is High) and (pH is High) then (CO <sub>2</sub> _fixation_rate is out1mf9)

315

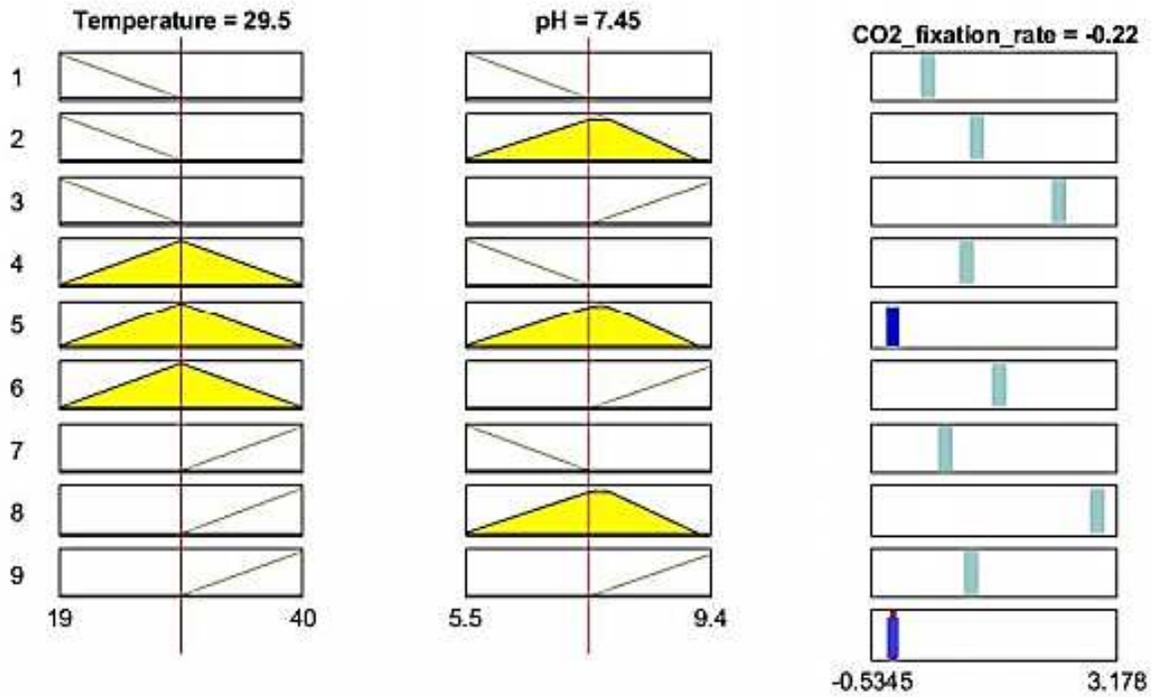
### 316 3.2 Interpolation by ANFIS model

317 One of the benefits of ANFIS is that it has the capability to forecast a certain parameter for different  
 318 inputs within the training data range. Hence, in this research, the data from various resources were  
 319 taken into account as ANFIS model's inputs to forecast the selectivity as shown in **Figure 9** (Lei  
 320 et al. 2021; Jiang et al. 2021).

321 Additionally, the **Figure 10** illustrates the CO<sub>2</sub> fixation rate versus temperature and pH. As  
 322 observed, the temperature and pH have a significant effect on the CO<sub>2</sub> fixation rates. While  
 323 increasing the temperature and pH, there is observed an increase in the CO<sub>2</sub> fixation rate which  
 324 further decreases above 35 °C. This may be attributed to the fact that the CO<sub>2</sub> tolerance of green

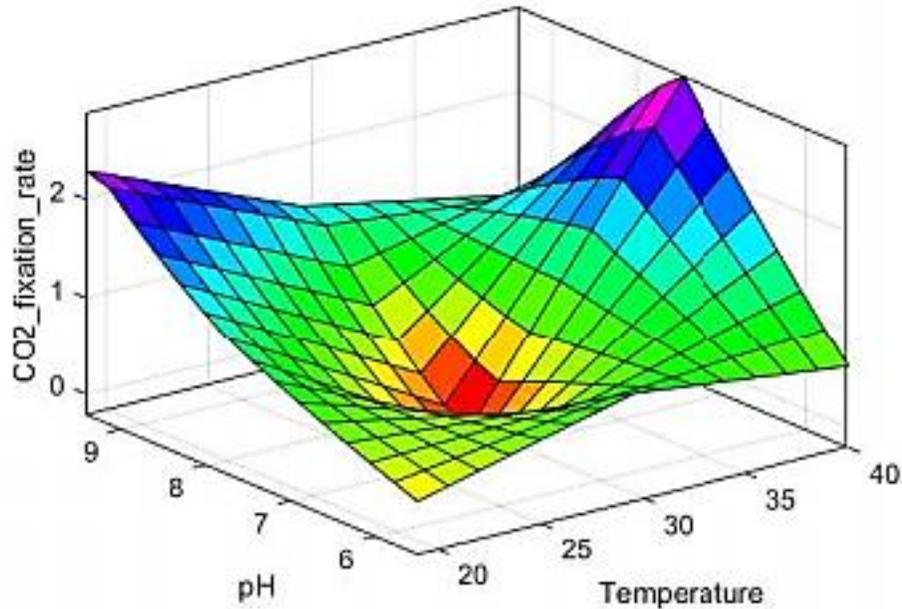
325 algae decreases at elevated temperatures. It is very clear from the results that low or medium  
 326 temperature conditions and high pH conditions are highly suitable for maximum CO<sub>2</sub> fixation  
 327 rates. The above results can be used by the industries for scaling up the Carbon-capture process  
 328 using Micro-algal species (Eseye et al. 2017; Xu et al. 2011).

329



330

331 **Fig. 9** Rules used in ANFIS for the input parameters temperature and pH, and the output  
 332 parameter CO<sub>2</sub> fixation rate.



333

334 **Fig. 10** Surface plot of the CO<sub>2</sub> fixation rate with respect to pH and temperature

335

### 336 **3.3 Configuring GA-ANFIS model for CO<sub>2</sub> Fixation rate optimization**

337 In this study, using the programming language of MATLAB R2020a, GA-ANFIS hybrid approach  
 338 was propounded for CO<sub>2</sub> fixation rate prediction. As the first step, the parameters (Temperature  
 339 and pH) were set as input factors, and CO<sub>2</sub> fixation rate was set as output factor for the ANFIS  
 340 Models. The results from ANFIS shows that Training and Testing datasets have an R<sup>2</sup> value of  
 341 0.92345 and 0.91724 respectively as shown in **Table 3**.

342 In order to increase the prediction potentiality of the ANFIS model, hybrid models were formed  
 343 by using GA algorithm to optimize the ANFIS parameters. In GA-ANFIS, GA and ANFIS are  
 344 integrated to enlarge its prediction efficiency. GA-ANFIS method was also configured by coding  
 345 in MATLAB 2020a to forecast the CO<sub>2</sub> fixation rate of various micro-algal species. The average  
 346 of the Root mean square error (RMSE) of the training and testing datasets was considered as the  
 347 fitness function for the Genetic Algorithm (Shahlaei et al. 2012). GA is accomplished to ameliorate  
 348 ANFIS performance and lessen the error percentage by tuning and optimizing the membership  
 349 functions of a Sugeno type fuzzy inference system (Yadav et al. 2019; Karimi et al. 2012).

350 **Table 3** Stastical indexes for the prediction model of CO<sub>2</sub> fixation rate (ANFIS/GA-ANFIS)

	ANFIS		GA-ANFIS	
	Training dataset	Testing dataset	Training dataset	Testing dataset
RMSE	0.012962	0.024753	0.000431	0.00056
R <sup>2</sup>	0.92345	0.91724	0.97865	0.98457
AARD	0.083262	0.064512	0.044354	0.032156

351

352 Global Optimization tool box in MATLAB R2020a were used for generating the evolution of the  
 353 best and average fitness over 150 generations using ‘ga’ function. The pertinent GA parameters  
 354 used in the optimization system are given in **Table 4**. The number of individuals in each iteration  
 355 or population is 100 and maximum number of generations is 150 (based on trial-and-error method)  
 356 (Shahlaei et al. 2012). In the present study, the Population Size is set to 100. By enlarging the  
 357 population size, the genetic algorithm is able to discover more points and produce a better outcome.  
 358 (Momeni et al. 2014; Armaghani et al. 2019).

359

360 **Table 4** GA Parameters

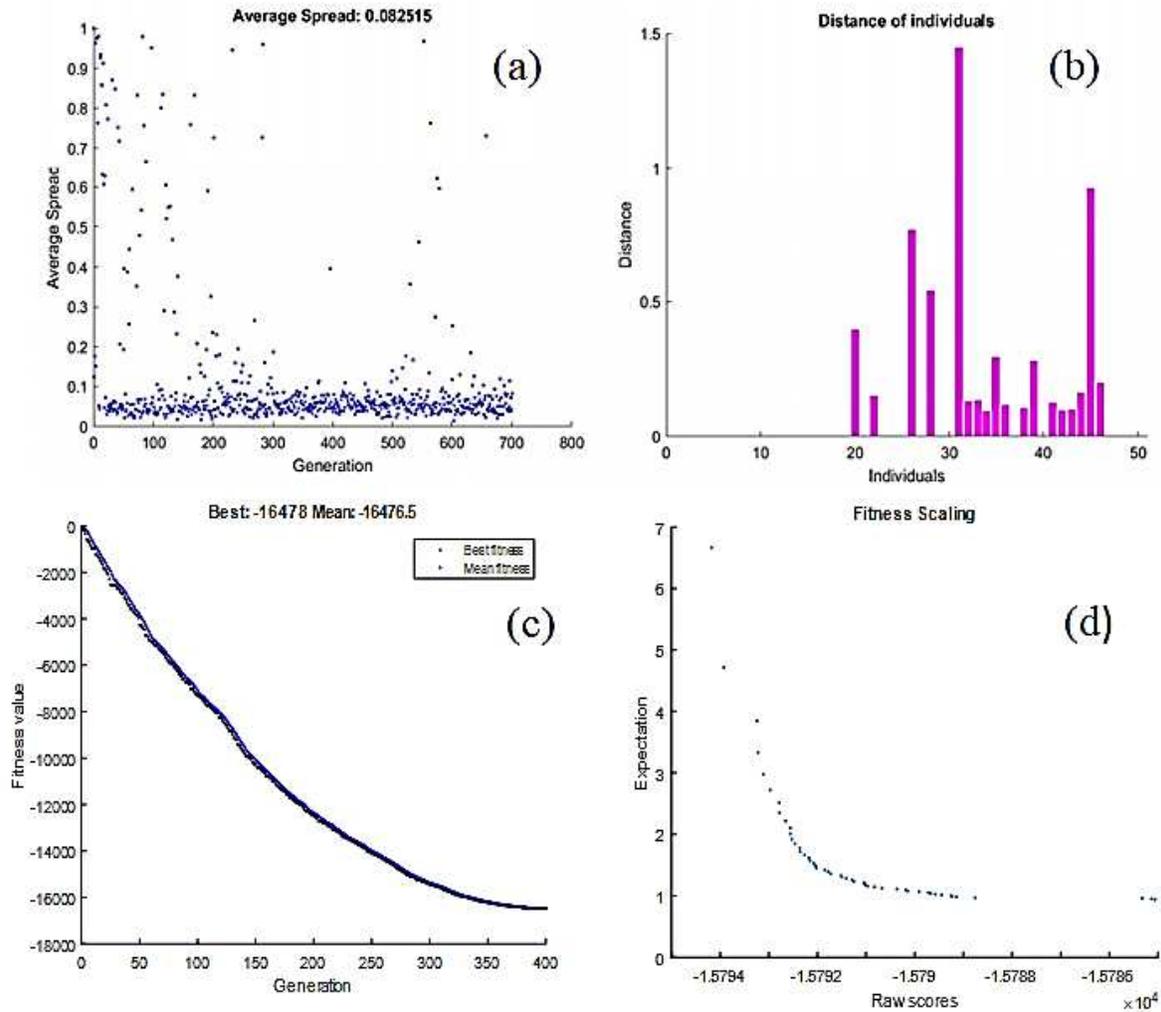
Parameter	Value
Maximum Iterations Number	300
Population size	100
No of generations	150
Parent selection	Stochastic uniform
Elite count	3
Crossover fraction	0.9
Mutation function	Adaptive feasible

361

362

363 Crossover Fraction is defined as the fraction of individuals in the upcoming generation, excluding  
364 the elite children, that are generated by crossover (rest of them are generated by mutation). A  
365 crossover fraction of '1' delineates that all children except the elite individuals are crossover  
366 children. (Saraswat et al. 2013). A crossover fraction of '0' delineates that all children are mutation  
367 children. (Shahlaei et al. 2012). A crossover rate of 90 % is used and the crossover operator is  
368 executed using scattered crossover function. Scattered crossover function generates an arbitrary  
369 binary vector that amalgamates the genes to produce new chromosome (Karimi et al. 2012). An  
370 adaptive mutation function has been employed that arbitrarily generates directions that are  
371 adaptive with respect to the last triumphant or unsuccessful generation. The mutation determines  
372 the direction and step length that assuage bounds and linear constraints (Shahlaei et al. 2012;  
373 Kaveh et al. 2018). In the present study the elite count is 3 which signifies the number of  
374 individuals with the best fitness values in the present generation that are guaranteed to survive to  
375 the next generation. These individuals are better known as the elite children (Badhwar et al. 2020;  
376 Kumar et al. 2019).

377 The performance of a GA is affected by the diversity of the initial population as shown in **Figure**  
378 **11a** and **Figure 11b**. If the average distance between the individuals is large, it is indication of  
379 high diversity, if the average distance is small its represent low diversity in the population. If the  
380 diversity is too high or too low, the genetic algorithm might not perform well (Momeni et al. 2014;  
381 Armaghani et al. 2019). The best fitness plot for the GA maps the convergence of the best fitness  
382 values of successive generations towards the final optimum value as shown in **Figure 11c**. In the  
383 present study, the population size is set to 100 (Badhwar et al. 2020; Kumar et al. 2019). Increasing  
384 the population size permits the genetic algorithm to explore more points and as a way to obtain a  
385 better result (Momeni et al. 2014; Armaghani et al. 2019). Some of the eminent selection methods  
386 used includes uniform, roulette wheel and tournament. The selection method used in the present  
387 study is stochastic uniform an individual can be chosen as a parent several times; in which case it  
388 gives its genes to multiple children.



389

390 **Fig. 11 (a)** Average spread of the Individuals with respect to the Generations using Genetic  
 391 Algorithm **(b)** average distance between the Individuals using Genetic Algorithm **(c)** Representative  
 392 plots generated from the optimization by GA using MATLAB R2020a: Best and average fitness  
 393 values with successive generations showed good convergence to the optimum value **(d)** Fitness  
 394 scaling of the raw scores

395

396 Eventually, another parameter that influences the diversity of the population is the fitness scaling  
 397 as shown in **Figure 11d**. Before the GA's selection phase, the fitness scaling modulates the fitness  
 398 values (scaled values). This is accomplished without altering the ranking order, thus the fittest  
 399 individual based on raw fitness value is still the best in the scaled rank. The only thing that changes

400 are the values, and hence the likelihood of an individual being chosen for mating via the selection  
401 method (Saraswat et al. 2013). If the fitness values differ too extensively, the individuals with the  
402 least values replicate instantly, taking over the population gene pool too swiftly and preventing the  
403 GA from searching other areas of the solution space (Badhwar et al. 2020; Armaghani et al. 2019).  
404 Contrarily, if the values differ only a bit, all individuals have almost the same possibility of  
405 reproduction and this process will advance very deliberately. Table 3 indicates the  $R^2$ , RMSE and  
406 AARD values for the given ANFIS and GA-ANFIS models. The results clearly show that GA-  
407 ANFIS have better performance compared to ANFIS models as shown in **Table 3** and **Figure S1**  
408 and **Figure S2** (see the supporting information) (Kumar et al. 2019; Badhwar et al. 2020).

409

#### 410 **4. Conclusions**

411 The higher CO<sub>2</sub> fixation rate of micro-algae is of great significance in determining the potential of  
412 biomass and its possible application areas for industrial purpose. In this study, the effect of two  
413 input-parameters on CO<sub>2</sub> fixation rate have been investigated with artificial intelligence tools such  
414 as, ANFIS, GA-ANFIS models. The prime findings have been summarized as-

415 A dataset consisting of 27 data pairs (CO<sub>2</sub> fixation rate) collected from various sources were  
416 employed to examine the authenticity of the ANFIS and GA-ANFIS models and to study their  
417 behavior for training a small number of datasets. Firstly, ANFIS models were developed for  
418 predicting the CO<sub>2</sub> fixation rate for the given micro-algae with Temperature and pH as the input  
419 parameters. The Gaussian membership function was used for the input and constant membership  
420 function was used for the output respectively. The hybrid GA-ANFIS models improved the  
421 prediction efficiency of the ANFIS model to avoid local minima and achieve global convergence  
422 rapidly with a great precision. The statistical parameters demonstrated that the GA-ANFIS model  
423 has the best predicting performance compared to ANFIS and have better prediction results for  
424 small datasets. The developed models in the present study may be of great importance to the  
425 researchers in order to increase the CO<sub>2</sub> fixation rate for industrial scale-up.

426

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433 supervision, writing.

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437 **Data availability** The data generated or analyzed during this study is included in this published article and its  
438 supplementary information files.

439 **Compliance with ethical standards**

440 **Ethics approval and consent to participate** Not applicable for this section.

441 **Consent for publication** Not applicable for this section.

442 **Conflict of interest** The authors declare that they have no competing interests.

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