

# Genome Analysis of the Fatal Tapeworm *Sparganum Proliferum* Uncovers the Cryptic Life Cycle and Mechanisms Underlying Aberrant Larval Proliferation

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## Article

**Keywords:** pseudophyllidean tapeworm, gene family evolution, relaxed selection, extracellular matrix coordination, asexual reproduction, oncogenes, homeobox, fibronectin, cadherin

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2 mechanisms underlying aberrant larval proliferation

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27

## 28 **Abstract**

29

30 The cryptic parasite *Sparganum proliferum* proliferates in humans and invades tissues and organs.  
31 Only scattered cases have been reported, but *S. proliferum* infection is always fatal. However, *S.*  
32 *proliferum*'s phylogeny and life cycle remain enigmatic. To investigate the phylogenetic  
33 relationships between *S. proliferum* and other cestode species, and to examine the mechanisms  
34 underlying pathogenicity, we sequenced the entire genomes of *S. proliferum* and a closely related  
35 non-life-threatening tapeworm *Spirometra erinaceieuropaei*. Additionally, we performed larvae  
36 transcriptome analyses of *S. proliferum* plerocercoid to identify genes involved in asexual  
37 reproduction in the host. The genome sequences confirmed that the *S. proliferum* has experienced  
38 a clearly distinct evolutionary history from *S. erinaceieuropaei*. Moreover, we found that nonordinal  
39 extracellular matrix coordination allows asexual reproduction in the host, and loss of sexual  
40 maturity in *S. proliferum* are responsible for its fatal pathogenicity to humans. Our high-quality  
41 reference genome sequences should be valuable for future studies of pseudophyllidean tapeworm  
42 biology and parasitism.

43

44 **Keywords:** pseudophyllidean tapeworm, gene family evolution, relaxed selection, extracellular  
45 matrix coordination, asexual reproduction, oncogenes, homeobox, fibronectin, cadherin

46

## 47 **Background**

48 The cryptic parasite *Sparganum proliferum* was first identified in a 33-year-old woman in Tokyo in  
49 1904. The patient's skin was infected with numerous residing cestode larva of unknown taxonomy.  
50 Ijima *et al* [1] originally designated the parasite as *Plerocercoides prolifer*, and considered it a  
51 pseudophyllidean tapeworm in the plerocercoid larval stage. In 1907, an extremely similar human  
52 case was reported by Stiles in Florida, USA, and the responsible parasite was renamed *S.*  
53 *proliferum* [2]. Clinical symptoms and post-mortem findings indicate that *S. proliferum* proliferates  
54 in humans and invades various organs and tissues, including the skin, body walls, lungs,  
55 abdominal viscera, lymph nodes, blood vessels, and the central nervous system, leading to  
56 miserable disease prognosis [3, 4]. Not many cases have been reported to date but the infection  
57 was fatal in all reported cases (reviewed in [5]).

58 There was a postulation about the origin of this parasite. Some parasitologists considered it to be  
59 a new species of pseudophyllidean tapeworm, whereas others suspected that *S. proliferum* was a  
60 virus-infected or aberrant form of *Spirometra erinaceieuropaei*, based on morphological similarities  
61 [6, 7]. Recent DNA sequence analyses of mitochondrial NADH dehydrogenase subunit III,  
62 mitochondrial tRNA, cytochrome oxidase subunit I, and nuclear succinate dehydrogenase iron-  
63 sulfur protein subunit (sdhB) genes suggested that *S. proliferum* is a closely related but distinct  
64 species of *S. erinaceieuropaei* [8, 9]. However, the adult stage of *S. proliferum* has not been

65 observed and the precise taxonomic relationships of *S. proliferum* with other worms remain unclear  
66 because few genes have been sequenced.

67 In addition to taxonomic considerations, the pathogenicity of *S. proliferum* and its mechanisms of  
68 proliferation and invasion in mammalian hosts are of considerable interest. In principle,  
69 plerocercoids of pseudophyllidean tapeworms (spargana), including those of *S. erinaceieuropaei*  
70 and other *Spirometra* species, do not proliferate asexually, but migrate through subcutaneous  
71 connective tissues, causing only non-life threatening sparganosis (non-proliferative sparganosis).  
72 Other organs, such as the lungs and liver or the central nervous system, may be niches for these  
73 worms but are not commonly described. Symptoms of non-proliferative sparganosis are mainly  
74 caused by the simple mass effect [5].

75 Asexual proliferation of larvae and the destruction of host tissues are characteristic of  
76 cyclophyllidean tapeworms such as *Echinococcus*, which proliferates asexually by generating a  
77 peculiar germinative layer in a hydatid cyst form [10]. In another cyclophyllidean tapeworm,  
78 *Mesocestoides*, asexual multiplication is achieved by longitudinal fission [10, 11]. In contrast, the  
79 pseudophyllidean *Sparganum* plerocercoid undergoes continuous branching and budding after  
80 invading the human body by an unidentified route, and produces vast numbers of progeny  
81 plerocercoids.

82 To clarify the phylogenetic relationship of the enigmatic parasite *S. proliferum* with other cestode  
83 species and investigate the underlying pathogenic mechanisms, we sequenced its entire genome  
84 as well as that of newly isolated *S. erinaceieuropaei*. We also performed transcriptome analyses  
85 of *S. proliferum* plerocercoid larvae to identify genes that are involved in asexual reproduction in  
86 the host. Those analyses revealed its phylogeny and gene evolution that contribute to the  
87 proliferation and pathogenicity of *S. proliferum*.

88

## 89 **Results**

90 Genomic features of *S. proliferum* and *S. erinaceieuropaei*

91 We sequenced the *S. proliferum* genome using multiple insert-length sequence libraries  
92 (Additional Table S1) and compiled a 653.4-Mb assembly of 7388 scaffolds with N50 of 1.2 Mb.  
93 The *S. erinaceieuropaei* genome was assembled into 796 Mb comprising 5723 scaffolds with N50  
94 of 821 kb. These assembly sizes were 51.9% and 63.2% of the previously published *S.*  
95 *erinaceieuropaei* genome (UK isolate) [12]. CEGMA and BUSCO report the percentage of highly  
96 conserved eukaryotic gene families that are present as full or partial genes in assemblies and  
97 nearly 100% of core gene families are expected in most eukaryote genomes. BUSCO analyses  
98 showed that 88.1% and 88.5% of core gene families were represent in *S. proliferum* and *S.*  
99 *erinaceieuropaei* genomes, respectively, higher than or comparable to other previously published  
100 tapeworm genomes (Table 1). CEGMA completeness values for *S. proliferum* and *S.*  
101 *erinaceieuropaei* were slightly lower than those from BUSCO analyses. Low CEGMA  
102 completeness was also seen in other pseudophyllidea tapeworm genomes, including *S.*

103 *erinaceiropaei* UK isolate, *Diphyllobothrium latum*, and *Schistocephalus solidus* (Table 1). Low  
104 CEGMA completeness values of these two genome assemblies, therefore, indicate  
105 pseudophyllidean-specific loss or high divergence of the genes that are conserved in other  
106 eukaryotic taxa. The average numbers of CEGs (hits for 248 single-copy eukaryotic core genes)  
107 for *S. proliferum* and *S. erinaceiropaei* were 1.2 and 1.3, respectively, indicating that the  
108 assembly sizes roughly represent the haploid genome sizes of these tapeworms. However, in K-  
109 mer analyses of Illumina short reads, we estimated haploid genome sizes of 582.9 and 530.1 Mb  
110 for *S. proliferum* and *S. erinaceiropaei*, respectively (Additional Fig S1a), indicating that the  
111 assemblies contain heterozygous haplotypes and/or overestimated gap sizes. Ploidies were  
112 inferred from heterozygous K-mer pairs and were diploid for both species (Figure S1b).

113 The genomes of *S. proliferum* and *S. erinaceiropaei* are highly repetitive, with about 55.0%  
114 repetition of the total genome length in both genomes (Additional Fig S2 and Table 2). Long  
115 interspersed nuclear elements (LINEs) occupy 26.3% and 31.9% of the total genomes of *S.*  
116 *proliferum* and *S. erinaceiropaei*, respectively. These LINEs predominantly comprise the three  
117 types (Penelope, RTE-BovB, and CR1), which are also abundant in other pseudophyllidea  
118 genomes (Additional Fig S2).

119 A total of 25627 genes were predicted in *S. proliferum* assemblies, about 5000 fewer than for *S.*  
120 *erinaceiropaei* (30751), but more numerous than for other cestode genomes. In studies of the  
121 *S. erinaceiropaei* UK isolate {Bennett, 2014 #39}, the gene number (> 39000) was likely  
122 overestimated due to fragmentation and redundancy in the assembly.

123

#### 124 Phylogenetic placement of *S. proliferum*

125 Phylogenetic relationships of *S. proliferum* with other cestode species were inferred from 205  
126 single-copy orthologues (Figure 1). A clear separation was identified between pseudophyllidea and  
127 cyclophilidea clades. In the pseudophyllidea clade, *S. proliferum* occupied the basal position of the  
128 *Spirometra* cluster, in which two *S. erinaceiropaei* isolates (Japan and UK isolates) were placed  
129 beside each other.

130 Phylogenetic tree topology based on mitogenomes of the 14 cestodes and all available  
131 mitogenome data of *Spirometra* in the GenBank, was similar to that of the nuclear genome  
132 (Additional Fig S3). Yet in contrast with the nuclear genome tree, the *S. erinaceiropaei* UK  
133 isolate was located in a basal position of the *Spirometra* cluster, placing *S. proliferum* in the middle  
134 of *Spirometra* species, albeit with a long branch. These inconsistencies between nuclear and  
135 mitogenome trees may reflect uncertainties of species classification in the genus *Spirometra* [13,  
136 14]. Moreover, mitochondrial sequences can give poor inferences of species trees [15].  
137 Cumulatively, these results suggest that *S. proliferum* has a close phylogenetic relationship with  
138 *Spirometra* but is clearly distinguished by genomic features and gene contents.

139

#### 140 Gene family evolution

141 Protein family (Pfam) analyses revealed highly similar protein domain distributions of *S. proliferum*  
142 and *Spirometra* genomes ( $r = 0.99$ ; Figure 2, Additional Table S2). Few domains differed  
143 significantly in abundance between the two species. Among these, the *S. proliferum* genome was  
144 underrepresented in zinc-finger families (Zf-C2H2, Zf-C2H2\_4, Zf-C2H2\_6, Zf-C2H2\_jaz and Zf-  
145 met), reverse transcriptase (RVT\_1), exo/endonuclease/phosphatase, galactosyltransferase, and  
146 alpha/beta hydrolase (abhydrolase\_6). Overrepresented Pfam domains in *S. proliferum* included  
147 a distinct type of zinc-finger domain (zf-3CxxC), fibronectin type III (fn3), trypsin, RNA polymerase  
148 III RPC4 (RNA\_pol\_Rpc4), and an ADP-specific phosphofructokinase/glucokinase conserved  
149 region (ADP\_PFK\_GK).

150 We performed gene family analysis using OrthoFinder with the predicted proteomes of *S.*  
151 *proliferum*, *S. erinaceieuropaei*, and other selected cestode genomes. A total of 234522 proteins  
152 from 14 cestode species were placed into 39174 gene families (Figure 1). The *S. proliferum*  
153 proteome (25627 proteins) was encoded by 9136 gene families, among which 7364 were shared  
154 by all 14 cestodes and 2550 proteins were specific to the species or singleton. The *S.*  
155 *erinaceieuropaei* proteome (30751 proteins) was clustered into 9008 gene families, 3806 of which  
156 were species specific or singletons. Only four gene families were specific to both *Spirometra* and  
157 *Sparganum*.

158 We used computational analysis of gene family evolution (CAFE) to estimate gene family  
159 expansion and contraction, and identified gene families with significantly higher than expected  
160 rates of gains and losses (Figure 3, Additional Table S3). Twenty-one gene families were  
161 significantly expanded in the *S. proliferum* lineage, and these included annotations for fibronectin,  
162 reverse transcriptase, zinc-finger C2H2 type, and core histone (Additional Table S4). Significantly  
163 contracted gene families (43 families) had annotations relating to signal transduction proteins, such  
164 as phosphatases and kinases, and ion channels and ABC transporters (Additional Table S5).  
165 Fibronectin, reverse transcriptase, zinc-finger C2H2 type, and peptidases were present in  
166 expanded and contracted families.

167 In the *S. erinaceieuropaei* lineage, 63 and 15 gene families were significantly expanded or  
168 contracted (Additional Table S6 and S7), respectively. Among them, highly lineage specific  
169 expansion was found for 7 families (i.e. 10 or more genes in *S. erinaceieuropaei*, whereas one or  
170 no genes in *S. proliferum*. For example, the Orthogroup OG0000184 contains one *S. proliferum*  
171 gene and 44 *S. erinaceieuropaei* genes, encoding biphenyl hydrolase-like protein (BPHL), which  
172 harbors the Pfam domain abhydrolase\_6 (Figure 2). Although the other gene families mostly  
173 encode proteins of unknown function, they were likely expanded after speciation from *S. proliferum*  
174 and *S. erinaceieuropaei* and may have specific roles in the *S. erinaceieuropaei* lifecycle or  
175 parasitism.

176

177 Conserved developmental pathway genes

178 Homeobox transcription factors are involved in patterning of body plans in animals. The homeobox  
179 gene numbers are much fewer in parasitic flatworms than in most other bilaterian invertebrates,  
180 which have a conserved set of approximately 100 homeobox genes. Genome severance of four  
181 cyclophyllid cestodes revealed that out of 96 homeobox gene families that are thought to have  
182 existed at the origin of the bilateria, 24 are not present in cestodes [16]. The pseudophyllid  
183 cestodes *S. proliferum* and *S. erinaceieuropaei* have similar homeobox class repertoires as those  
184 in cyclophyllid cestodes, in which class ANTP was the most abundant, followed by classes PRD  
185 and TALE; Table 3). The total numbers of homeobox domains identified in *S. proliferum* and *S.*  
186 *erinaceieuropaei* are 64 and 71, respectively, and because these were fewer than in the  
187 cyclophyllids *Echinococcus multilocularis* and *Taenia solium* (Table 3), they are the most reduced  
188 of any studied bilaterian animal. The three homeobox families Pou/Pou6, ANTP/Bsx, and  
189 ANTP/Meox were not present in *S. proliferum* and *S. erinaceieuropaei*, whereas the homeobox  
190 family ANTP/Ro was found in *S. proliferum* and *S. erinaceieuropaei* but not in *E. multilocularis* and  
191 *T. solium* (Additional Fig S4).

192 Comparisons between *S. proliferum* and *S. erinaceieuropaei* showed that the homeobox families  
193 TALE/Pknox, ANTP/Hox1, ANTP/Msxlx, and POU/Pou-like are missing in *S. proliferum*, despite  
194 being present in the other cestodes. In contrast, the homeobox families ANTP/Dbx and PRD/Alx  
195 were found in *S. proliferum* but not in *S. erinaceieuropaei*.

196 Other conserved genes with roles in flatworm developmental pathways, such as Hedgehog and  
197 Notch, were conserved in *S. proliferum* and *S. erinaceieuropaei*. But in the Wnt pathway, whose  
198 complement is much smaller than the ancestral complement in tapeworms [16], two further genes  
199 (Axin and LEF1/TCF) were missing in *S. proliferum* and *S. erinaceieuropaei* (Table S8).

200

#### 201 Horizontally transferred genes

202 To determine whether the present genomes contained horizontally transferred genes (HTGs) from  
203 other organisms, we used a genome-wide prediction method based on a lineage probability index  
204 using the software Darkhorse2 identified 19 and 33 putative HTGs in *S. proliferum* and *S.*  
205 *erinaceieuropaei*, respectively (Additional Table S9 and S10). For these transfers, all possible host  
206 organisms were bacteria except for one *Spirometra* gene that has high similarity to a chlorella virus  
207 gene. Orthologues of most *S. proliferum* putative HTGs were also detected as horizontally  
208 transferred in *S. erinaceieuropaei*. Moreover, possible host bacteria, including *Marinifilum breve*,  
209 *Aphanizomenon flos-aquae*, *Alcanivorax* sp., and *Vibrio* sp., were shared by the two cestode  
210 species and were aquatic or marine bacteria, indicating that these genes were acquired by a  
211 common ancestor of the two tapeworms which had aquatic phase in the life cycle.

212

#### 213 Positive selection of the *S. proliferum* lineage

214 Positive selection is a mechanism by which new advantageous genetic variants sweep through a  
215 population and drive adaptive evolution. To investigate the roles of positive selection in the

216 evolution of *S. proliferum*, we performed dN/dS branch-site model analyses with single-copy  
217 orthologous genes from 12 tapeworms and identified a total of 35 positively selected genes in the  
218 *S. proliferum* lineage (Additional Table S11). Evolutionary pressures were identified for some  
219 genes that are essential to cellular processes, including transcription/RNA processing/translation  
220 genes encoding DNA-directed RNA polymerase II subunit, polypyrimidine tract-binding protein,  
221 adenylate kinase, ribosomal protein L21, snu13 NHP2-like protein, and eukaryotic translation  
222 initiation factors. Other identified genes were related to transportation (dynein intermediate chain  
223 2) and mitochondrial processes (Rieske). Genes involved in stress and immune responses, such  
224 as DNAJ/Hsp40, HIKESHI protein, Toll-like receptor, and Ig\_3/Ig, were also positively selected in  
225 the *S. proliferum* lineage, along with the RAS oncogene *Rab-4A*.

226 Environmental change often eliminates or weakens selective pressures that were formerly  
227 important for the maintenance of a particular trait [17]. We detected 9 genes that were subject to  
228 these circumstances of “relaxed selection” in the *S. proliferum* lineage, relative to the other  
229 tapeworm lineages (Additional Table 12). These genes encode proteins with putative roles in  
230 developmental regulation and cell differentiation. In particular, the receptor roundabout (ROBO)  
231 and secreted molecules of the SLIT family, together, play important roles in guiding axons and  
232 proper morphogenesis [18]. The Rho GTPase-activating protein is also highly expressed in highly  
233 differentiated tissues and affects cell differentiation by negatively regulating Rho-GTPase signaling  
234 [19]. Delta-like protein (DLL) is an inhibitory ligand of the Notch receptor pathway and is expressed  
235 during brain development [20]. Vascular endothelial growth factor receptor is also known to  
236 regulate stem cell homeostasis and repopulation in planarian species [21]. Hence, these instances  
237 of relaxed selection indicate that the worm has long since used certain developmental pathways.  
238 We also identified two genes encoding cadherin (protocadherin) that were subject to relaxed  
239 selection. Cadherein is a transmembrane protein that mediates cell–cell adhesion in animals and  
240 those relaxed selections indicate diverging cell adhesion process in the worm.

241

242 Differential gene expression involved in asexual proliferation and parasitism

243 We maintained *S. proliferum* via serial infection of mice and found that some plerocercoid worms  
244 exhibit a highly branching structure (medusa-head form; Figure 4a), which was observed  
245 frequently in heavily infected mice. In contrast, in mice with low worm burdens, most worms had  
246 unadorned non-branching morphology (wasabi-root form). Worms with the medusa-head form are  
247 considered the main sources of new plerocercoid worms in the host, and their proliferation is highly  
248 related to their pathogenicity. We, therefore, identified genes with expression levels that  
249 distinguished medusa-head and wasabi-root forms.

250 RNAseq analysis revealed 357 differentially expressed genes (DE genes) between medusa-head  
251 and wasabi-root forms (246 upregulated and 111 downregulated in medusa-head) (Figure 4b).  
252 The upregulated set in medusa-head forms were dominated by genes encoding peptidases and  
253 peptidase inhibitors, such as tolloid-like proteins (19 genes), chymotrypsin-like proteins (6 genes)

254 and CAP domain-containing proteins (12 genes) as well as transposon-related proteins such as  
255 gag-pol polyproteins and reverse transcriptases (30 genes) (Additional Table S13). This set of DE  
256 genes was enriched in the GO categories for metalloendopeptidase activity and proteolysis  
257 (Additional Table S14). Downregulated genes also encoded a variety of peptidases and peptidase  
258 inhibitors, including leucyl aminopeptidase (5 genes), chymotrypsin-like elastase (7 genes), and  
259 kunitz bovine pancreatic trypsin inhibitor domain protein (3 genes), with high representation under  
260 the GO terms metalloexopeptidase, aminopeptidase, and manganese ion binding (Additional  
261 Table S14). Peptidases and peptidase inhibitors are secreted by many types of pathogens,  
262 including bacteria, fungi, and parasites, and often play critical roles in survival and virulence [22-  
263 24]. Other genes known to be involved in pathogenicity in other pathogens were also upregulated  
264 in the medusa-head form, including genes encoding multidrug resistance-associated proteins [25]  
265 and tetraspanins. The latter proteins have four transmembrane domains and not only play roles in  
266 a various aspects of cell biology but also are used by several pathogens for infection and regulate  
267 cancer progression [26].

268 Genes that are involved in cell-growth and cancer development were also upregulated in the  
269 medusa-head form, including those encoding proteins from wnt (wnt-111 and wnt-5) and ras/rab  
270 (ras-0b, ras-2 and Rasef) pathways, transcription factors/receptors (sox1a, fibroblast growth factor  
271 receptor) and homeobox proteins (prospero, PAX, orthopedia ALX and ISL2).

272 It has been shown that expansions of gene families and changes in expression levels have been  
273 associated with the evolution of parasitism in previous studies [27, 28]. An upregulation of genes  
274 from expanded gene families was also found in *S. proliferum*. For instance, 15 genes were  
275 identified as upregulated from an expanded gene family (OrthoGroup OG0000040). The  
276 orthogroup OG0000044 includes genes encoding mastin precursors, and six of these were  
277 upregulated and another six were downregulated in the medusa-head form (Additional Table S13).  
278 Phylogenetic analyses of those gene families indicate that some of these orthogroups are  
279 conserved across flatworms, while others are specific to the Pseudophillidea clade of flatworms  
280 (Additional Fig S5).

281 Among the present DE genes, 85 that were upregulated in medusa-head forms have no known  
282 functions. These included 17, 10, 3, 2, and 2 genes from orthogroups OG0000083, OG0003096,  
283 OG0010117, OG0011363, and OG0011373, respectively. These orthogroups were expanded in  
284 the *S. proliferum* lineage and the DE genes had extremely high fold changes (Figure 4c). Because  
285 their products predominantly harbour secretion signal peptides (Additional Table S13), they are  
286 likely to be secreted by the parasite into the host and play important roles in parasitism, aberrant  
287 larval proliferation in the host, and/or modulation of host immunity.

288

289

290 **Discussion**

291 *S. proliferum* is a cryptic parasite with fatal consequences, but its phylogeny and lifecycle are  
292 poorly understood. In this study, we sequenced the *S. proliferum* genome and performed  
293 comparative genomics with other tapeworm species, including the newly-sequenced *S.*  
294 *erinaceieuropaei* genome. The *S. erinaceieuropaei* genome was sequenced previously [12], with  
295 an estimated genome size of more than 1.2 Gb, but because the source material was from a biopsy  
296 the assembled sequence was highly fragmented. Hence, the *S. erinaceieuropaei* genome  
297 presented herein provides a more reliable estimate of the size and contents of this parasite  
298 genome. The new genome assembly was about two thirds of the size of the previous assembly  
299 but remains the largest genome among sequenced tapeworms. Compared to cyclophyllidean  
300 tapeworms, including *Echinococcus* and *Taenia* spp., for which high-quality genome references  
301 are available [16, 29, 30], genome information for pseudophyllidean tapeworms is limited [31]. The  
302 genomes presented in this study could, therefore, serve as a powerful resource for more  
303 comprehensive studies of tapeworm genomics and will facilitate the understanding of  
304 pseudophyllidean tapeworm biology and parasitism.

305

306 There have been three big knowledge gaps for the present cryptic tapeworm: 1) its phylogenetic  
307 relationship with *Spirometra* species, 2) its lifecycle including the definitive and intermediate hosts,  
308 and 3) genetic and physiological differences with non-proliferating *Spirometra* species that enable  
309 the worm to reproduce asexually in non-definitive hosts, such as humans and mice.

310 To determine phylogenetic relationships, we confirmed that the genetic sequence of *S. proliferum*  
311 is distinct from that of *S. erinaceieuropaei*, despite the close relationship between these species.  
312 Specifically, the *S. proliferum* genome is about 150-Mb smaller and contains 5000 fewer protein  
313 coding genes than in *S. erinaceieuropaei*. Both genomes, nonetheless, showed diploidy. These  
314 data suggest that *S. proliferum* is not an aberrant form of *Spirometra* worm by virus infection or by  
315 small mutations [6, 7] and not a hybrid origin of multiple *Spirometra* species. In agreement, no  
316 virus-like sequences were detected in *S. proliferum* DNA or RNA raw reads.

317 We were unable to identify definitive or intermediate hosts of *S. proliferum* in the current study.  
318 Recent horizontal transfers of genes or mobile elements can indicate phylogenetic relationships,  
319 because HGT events occur between closely associated organisms. Well-known examples include  
320 HGT from *Wolbachia* symbionts to their host insect [32, 33] and transfer of BovB retrotransposons  
321 between ruminants and snakes via parasitic ticks [34, 35]. We found that RTE/BovB repeats are  
322 abundant in the *S. proliferum* genome, but were likely acquired by an ancestral pseudophyllidea,  
323 as indicated by their abundance in *D. latum* and *S. solidus*. Moreover, our HGT screening analyses  
324 indicate several genes that were likely acquired from bacteria but these HGTs likely have occurred  
325 before specification of *S. proliferum* and *S. erinaceieuropaei*. The high-quality reference genomes  
326 presented herein, however, provide valuable resources for further attempts to identify vestigial *S.*  
327 *proliferum* sequences in other organisms or to perform analyses of protein–protein interactions  
328 between hosts and parasites.

329 Loss of genes that are involved in the development of multicellular organisms and nervous systems,  
330 including homeobox genes and genes for zinc-finger domain containing proteins, and relaxed  
331 selection of some developmental genes (ROBO, Slit, RHOGAP, etc.) suggests that *S. proliferum*  
332 has lost the ability to undergo proper development and complete the sexual lifecycle. Although the  
333 precise functions of homeobox genes in tapeworms remain elusive, proteins of homeobox families  
334 that are missing in *S. proliferum* (TALE/Pknox, ANTP/Hox1, ANTP/Msx1x and POU/Pou-like)  
335 appear to have important roles in the development of embryos and adult body plans. For example,  
336 Hox1 of the HOX gene family specifies regions of the body plan of embryos and the head–tail axis  
337 of animals [36]. Products of the Pknox gene family, also known as the PREP gene family, are  
338 implicated as cofactors of Hox proteins [37]. Msx1x homeobox gene was highly upregulated in the  
339 ovaries and was continually expressed in fertilized ova in the uterus in *Hymenolepis microstoma*.  
340 This gene was related to the female reproductive system in this tapeworm [38]. POU class genes  
341 are present in all animals and are extensively in nervous system development and the regulation  
342 of stem cell pluripotency in vertebrates [39]. Specific loss of Pou-like genes and relaxed selection  
343 of Pou3 suggest that *S. proliferum* has low dependency on POU genes.

344 We contend that the loss of sexual maturity of this parasite is related to its fatal pathogenicity in  
345 humans, because survival of the parasite is dependent on asexual reproductive traits of budding  
346 and branching, which lead to 100% lethality in infected humans. Accordingly, we identified genes  
347 that are upregulated in vigorously budding worms using transcriptome analyses and then selected  
348 genes that are putatively important for asexual proliferation, such as a variety of peptidase genes  
349 and oncogene-like genes. Among them, groups of secreted proteins with unknown functions were  
350 of great interest. They were expanded in the *S. proliferum* genome and showed more than 10-fold  
351 changes in expression levels. Recently, an *S. erinaceieuropaei* gene belonging to one of those  
352 groups (orthogroup OG0000083) was cloned and named plerocercoid-immunosuppressive factor  
353 (P-ISF) (Yoko Kondo, under review). P-ISF is a cysteine-rich glycoprotein abundant in plerocercoid  
354 excretory/secretory products and likely involved in immunomodulation of its hosts by suppressing  
355 osteoclastogenesis including the gene expression of TNF- $\alpha$  and IL-1 $\beta$ , and nitric oxide production  
356 in macrophages [40, 41]. Upregulation of P-ISF genes in *S. proliferum* proliferating worms is  
357 therefore reasonable and the expansion of the gene family in *S. proliferum* indicates the  
358 considerable contribution to the specific lifecycle. The other upregulated gene families of unknown  
359 function are also expanded in *S. proliferum* suggesting possible important roles in the hosts,  
360 therefore, future studies of these novel genes are required to fully understand the mechanism  
361 underlying the *S. proliferum* parasitism.

362 Fibronectin is an extracellular matrix (ECM) glycoprotein that controls the deposition of other ECM  
363 proteins, including collagens and latent TGF-beta binding protein [42]. During branching  
364 morphogenesis, accumulations of fibronectin fibrils promote cleft formation by suppressing  
365 cadherin localization, leading to loss of cell–cell adhesion [43]. The present observations of the *S.*  
366 *proliferum* lineage show specific expansions of three gene families containing fibronectin type III

367 domains. *S. proliferum* also had fewer cadherin genes than *S. erinaceieuropaei* and three of them  
368 are subject to relaxed selection in *S. proliferum*. These results collectively suggest nonordinal ECM  
369 coordination in *S. proliferum*, allowing the formation of highly branching structures and enabling  
370 asexual proliferation in the host.

371

372

## 373 **Methods**

374 Biological materials

375 *S. proliferum* strain Venezuela was used for the genome analyses. The parasite was originally  
376 isolated from a Venezuelan patient in 1981 and has been maintained by serial passages using  
377 BALB/c mice via intraperitoneal injections of the plerocercoids in National Science Museum as  
378 described in Noya et al [44, 45]. *S. erinaceieuropaei* was isolated from a Japanese four-striped rat  
379 snake (*Elaphe quadrivirgata*) collected in Yamaguchi prefecture, Japan in 2014.

380

381 DNA and RNA extraction and sequencing

382 *S. proliferum* worms were collected from the abdominal cavity of infected mice and washed  
383 thoroughly with 1x PBS. Plerocercoids of *S. erinaceieuropaei* were isolated from the subcutaneous  
384 tissues of the snake. Genomic DNA was extracted using Genomic-tip (Qiagen) following the  
385 manufacturer's instructions.

386 Paired-end sequencing libraries (Additional Table 1) were prepared using the TruSeq DNA Sample  
387 Prep kit (Illumina) according to the manufacturer's instructions. Multiple mate-paired libraries (3, 8,  
388 12 and 16 kb) were also constructed using the Nextera Mate-Paired Library Construction kit  
389 (Illumina). Libraries were sequenced on the Illumina HiSeq 2500 sequencer using the Illumina  
390 TruSeq PE Cluster kit v3 and TruSeq SBS kit v3 (101, 150 or 250 cycles x 2) or the Illumina MiSeq  
391 sequencer with the v3 kit (301 cycles x 2) (Additional Table S1). The raw sequence data were  
392 analysed using the RTA 1.12.4.2 analysis pipeline and were used for genome assembly after  
393 removal of adapter, low quality, and duplicate reads.

394 RNA was extracted from individual worms using TRI reagent according to the manufacturer's  
395 instructions. Total RNA samples were qualified using Bioanalyzer 2100 (Agilent Technology, Inc.).  
396 Only samples with an RNA integrity value (RIN) greater than 8.0 were used for library construction.  
397 One hundred ng of total RNA was used to construct an Illumina sequencing library using the  
398 TruSeq RNA-seq Sample Prep kit according to the manufacturer's recommended protocols  
399 (Illumina, San Diego, USA). The libraries were sequenced for 101 or 151 bp paired-ends on an  
400 Illumina HiSeq2500 sequencer using the standard protocol (Illumina).

401

402 K-mer Analysis

403 A k-mer count analysis was performed using K-mer Counter (KMC) [46], on the paired-end Illumina  
404 data. Only the first read was used to avoid counting overlapping k-mers. Genome size and ploidy  
405 estimations were performed using Genomescope [47] and Smudgeplot, respectively [48].

406

#### 407 Genome assembly

408 Illumina reads from multiple paired-end and mate-pair libraries (Additional Table 1) were  
409 assembled using the Platanus assembler [49] with the default parameter. Haplomerger2 [50] was  
410 then used to remove remaining haplotypic sequences in the assembly and contigs were further  
411 scaffolded using Illumina mate-pair reads using SSPACE [51]. CEGMA v2 [52] and BUSCO [53]  
412 were used to assess the completeness of the assemblies.

413 Mitochondrial genomes (mitogenomes) were reconstructed from Illumina reads with MITObim  
414 version 1.6 [54]. Mitochondrial fragments in the nuclear genome assembly were identified by  
415 BLASTX using *S. mansonai* mitochondrial genes as queries and those fragments were extended  
416 by iterative mappings of Illumina short reads using MITObim. Assembled mitogenomes were  
417 annotated for protein-coding, tRNA and rRNA genes using the MITOS web server [55]. Assemblies  
418 and annotations were manually curated using the Artemis genome annotation tool [56] with based  
419 on evidence supports from sequence similarity to other published mitogenomes.

420

#### 421 Repeat analysis

422 Repeats within the genome assemblies were identified using RepeatModeler (v1.0.4,  
423 <http://www.repeatmasker.org/RepeatModeler.html>) and RepeatMasker (v.3.2.8,  
424 <http://www.repeatmasker.org>) to calculate the distribution of each repeat and its abundance in the  
425 genome.

426

#### 427 Gene prediction and functional annotation

428 To predict protein-coding genes, Augustus (v. 3.0.1) [57] was trained for *S. proliferum* and *S.*  
429 *erinaceieuropaei*, individually, based on a training set of 500 non-overlapping, manually curated  
430 genes. To obtain high-confidence curated genes, a selection of gene models from gene predictions  
431 based on Augustus *S. mansonai* parameters, were manually curated in Artemis using aligned  
432 RNA-seq data and BLAST matches against the NCBI database. RNA-seq reads were mapped to  
433 the genomes using Hisat2 (parameters: --rna-strandness RF --min-intronlen 20 --max-intronlen  
434 10000) [58]. Based on the Hisat2 alignments, the bam2hints program (part of the Augustus  
435 package) was used to create the intron hints, with minimum length set to 20 bp. Augustus were  
436 run with trained parameters using all the hints for that species as input. Introns starting with 'AT'  
437 and ending with 'AC' were allowed (--allow\_hinted\_splicesites=atac). A weight of  $10^5$  was given to  
438 intron and exonpart hints from RNA-seq. If Augustus predicted multiple, alternatively spliced  
439 transcripts for a gene, we only kept the transcript corresponding to the longest predicted protein  
440 for further analyses.

441 Functional annotations were performed on the gene models based on multiple pieces of evidence  
442 including BLASTP search against NCBI nr database and the latest version Pfam search (ver. 30.0)  
443 with HMMER3 [59]. Gene ontology (GO) terms were assigned to genes using Blast2Go (v2) [60]  
444 with BLAST search against NCBI nr database and the InterProScan results.

445

#### 446 Species tree reconstruction

447 Amino acid sequences in each single-copy gene family were aligned using MAFFT version  
448 v7.22152 [61], poorly aligned regions were trimmed using GBLOCKS v0.91b53 [62], and then the  
449 trimmed alignments were concatenated. A maximum-likelihood phylogenetic tree was produced  
450 based on the concatenated alignment using RAxML v8.2.754 [63] with 500 bootstrap replicates.  
451 The best-fitting substitution model for each protein alignment was identified using the RAxML  
452 option (-m PROTGAMMAAUTO). Mitochondrial genome phylogeny was also constructed by the  
453 same method using 12 protein coding genes on mitogenomes.

454

#### 455 Gene family analysis

456 To estimate branch or lineage specific gain and loss of orthologous gene families, OrthoFinder  
457 [64] and CAFÉ (v3) [65] under parameters “-p 0.01, -r 1000” were used.

458

#### 459 Screening for horizontally transferred genes

460 To screen potential horizontal gene transfers (HGTs) into the *S. proliferum* and *S. erinaceieuropaei*  
461 lineages, we used DarkHorse v2, which detects phylogenetically atypical proteins based on  
462 phylogenetic relatedness of blastp hits against a taxonomically diverse reference database using  
463 a taxonomically-weighted distance algorithm [66]. Options (-n 1 -b 0.5 -f 0.1) were used in  
464 DarkHorse HGT screening.

465

#### 466 Positive Selection Scans (dNdS)

467 To analyse selection pressures in *S. proliferum* genes, the ETE3 Python package [67] for CODEML  
468 [68] was employed to calculate the non-synonymous (dN) and synonymous (dS) substitutions  
469 rates, and the ratio (dN/dS or  $\omega$ ). Nucleotide sequences of single copy orthologue genes from 12  
470 cestode species (*S. proliferum*, *S. erinaceieuropaei*, *Diphyllobothrium latum*, *Schistocephalus*  
471 *solidus*, *Hymenolepis diminuta*, *Hymenolepis nana*, *Hydatigera taeniaeformis*, *Taenia solium*,  
472 *Taenia asiatica*, *Echinococcus multilocularis*, *Echinococcus granulosus*, *Mesocestoides corti*)  
473 were aligned based on amino acid alignment using Pal2aln v14 [69] with the parameters (-  
474 nomismatch and -nogap). dN/dS were estimated using branch-site models with *S. proliferum* as  
475 the foreground and other branches in the tree as the background. The non-null model (bsA) were  
476 compared with the null model (bsA1) for each tree using a likelihood ratio test (LRT), where log-  
477 likelihood ratios were compared to a chi-square distribution with 1 degree of freedom. False

478 discovery rate (FDR) correction were performed over all the P-values and genes showing FDR  
479 <0.05 were manually curated before obtaining final dN/dS values.

480 Test for relaxed selection was performed using the RELAX tool [70] with aforementioned single  
481 copy orthologue gene sets. The relaxation parameter k was calculated for each branch and tested  
482 by LRT with *S. proliferum* as foreground and the others as background.

483  
484 RNAseq analysis

485 For gene expression analyses, *S. proliferum* plerocercoid worms were grouped into two types  
486 based on the morphology and proliferation activity; worms vigorously branching to form structure  
487 like “Medusa head” and worms under static form to form like “Wasabi root” (Figure 4a). Worms  
488 were collected from infected mice on ~50 weeks post inoculation. RNA was extracted from the  
489 individual worms and sequenced as described above. RNAseq reads were mapped to the *S.*  
490 *proliferum* reference genomes (v2.2) using Hisat2 [58] (parameters: --rna-strandness RF --min-  
491 intronlen 20 --max-intronlen 10000). Mapped read count of each gene was calculated using HTSeq  
492 [71] with options (-s no, -a 10, -m union) and differential expression analyses were performed  
493 using EdgeR v3.2.4 [72]. A transcript was identified as differentially expressed in a pairwise  
494 comparison if the following criteria were met: false discovery rate (FDR) ≤ 0.001 and fold change  
495 ≥ 2.0. FPKM values were calculated using Cufflinks packages v2.2.1 [73] and used to generate for  
496 multidimensional scaling (MDS) plots and gene expression heatmaps.

497  
498

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## 687 **Declarations**

688 **Ethics approval and consent to participate:** All animal experiments in this study were performed  
689 under the applicable laws and guidelines for the care and use of laboratory animals, as specified  
690 in the Fundamental Guidelines for Proper Conduct of Animal Experiment and Related Activities  
691 in Academic Research Institutions under the jurisdiction of the Ministry of Education, Culture,  
692 Sports, Science and Technology, Japan, 2006.

693 **Consent for publication:** Not applicable.

694 **Availability of data and materials:** All sequence data from the genome projects have been deposited  
695 at DDBJ/ENA/GenBank under BioProject accession PRJEB35374 and PRJEB35375. All  
696 relevant data are available from the authors.

697 **Competing interests:** The authors declare that they have no competing interests

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701 **Authors' Contributions:** T.Ki., T.Ku. and H.M. conceived the study. T.Ki. contributed to study design.  
702 V.L.H., H.M. and T.Ki. wrote the manuscript with inputs from others. BAN, ON, SK prepared

703 biological samples. Ki and T.Ku. conducted experiments. V.L.H., M.D., Y.M., A.T. and T.Ki.  
704 completed genome assembly and analysed genome data.

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711 Table 1. Statistics of genome assemblies

	<i>Sparganum proliferum</i> (v2.2)	<i>Spirometra erinaceieur opaei</i> (v2.0)	<i>Spirometra erinaceieur opaei</i> (UK) (WBPS13)	<i>Diphyllobotrium latum</i> (WBPS13)	<i>Schistocephalus solidus</i> (WBPS13)	<i>Hymenolepis microstoma</i> (WBPS13)	<i>Taenia solium</i> (WBPS13)	<i>Echinococcus multilocularis</i> (WBPS13)
Assembly size (Mb)	653.4	796.0	1258.7	531.4	539.4	182.1	122.4	114.5
Num. scaffolds	7,388	5,723	482,608	140,336	56,778	3,643	11,237	1,288
Average (kb)	88.4	139.0	2.6	3.8	10.0	50.0	11.2	889.3
Largest scaff (kb)	8,099	5,490	90	80	595	2,234	740	15,981
N50 (kb)	1,242	821	5	7	32	767	68	5,229
N90 (kb)	110	167	1	2	5	41	5	213
Gaps (kb)	51,020	77,788	128,163	38,407	22,091	259	164	336
CEGMA completeness complete/partial (%)	61.7/81.5	58.5/80.2	29.4/45.9	49.6/65.3	76.6/87.9	91.9/92.7	87.1/90.7	93.2/93.2
Average CEG number complete/partial	1.1/1.2	1.1/1.3	1.8/2.2	1.5/1.6	1.2/1.3	1.1/1.1	1.2/1.2	1.1/1.1
BUSCO completeness (Metazoa dataset/Eukaryota dataset)	72.0/88.1	71.9/88.5	33.6/37.3	38.1/53.8	70.3/86.2	78.6/90.4	72.6/85.5	72.2/88.1
Num. coding genes	25,627	30,751	39,557	19,966	20,228	12,373	12,481	10,273
Coding gene size (median; aa)	665.0	627.0	200.0	216.0	455.0	709.0	609.0	596.0

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715 Table 2. Statistics of repeats in genomes

	<i>Sparganum proliferum</i> (v2.2)		<i>Spirometra erinaceieuropaei</i> (v2)	
	num element	% in bp	num element	% in bp
SINEs:	49435	1.59	45184	1.09
LINEs:	390951	26.32	519275	31.90
LINE/Penelope	139623	7.95	214116	10.41
LINE/RTE-BovB	162469	10.24	188656	11.18
LINE/CR1	75037	7.59	101503	9.37
LTR element:1	18276	1.79	25374	1.88
LTR/Gypsy	16179	1.56	24544	1.81
DNA element:	22386	1.38	54802	2.48
DNA/CMC-EnSpm	5795	0.35	16161	0.69
DNA/TcMar-Tc1	8162	0.59	7416	0.53
Small RNA:	2906	0.15	2955	0.08
Satellites:	10962	0.39	5823	0.16
Simple repeat:	79986	1.21	68909	0.76
Low complexity:	3799	0.04	5690	0.06
Unclassified:	378820	20.68	425608	15.92
TOTAL	1004498 (55.01%)		1185136 (55.14%)	

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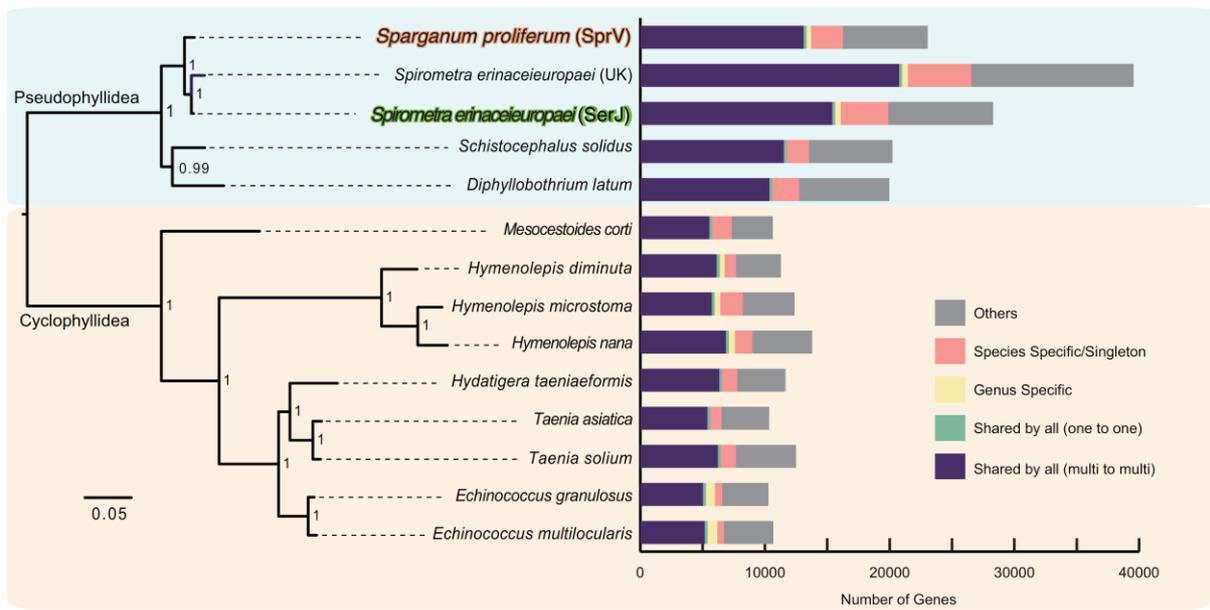
718

719 Table 3. Homeobox complement in *S. proliferum* and *S. erinaceieuropaei* compared with other tapeworms and bilaterians

homeobox class	<i>Sparganum proliferum</i> (v2.2)	<i>Spirometra erinaceieuropaei</i> (v2.0)	<i>Taenia solium</i> (WBPS13)	<i>Echinococcus multilocularis</i> (WBPS13)	<i>Branchiostoma floridae</i>
ANTP	25	30	36	25	58
PRD	10	8	11	15(18)	21
CUT	3	4	3	3	4
SINE	3	4	2	3	3
TALE	8	10	11	12	10
CERS	2	1	2	2	1
POU	3	4	4	5	6
LIM	6	6	7	7	8
ZF	4	4	3(2)	3(2)	4
Total	64	71	79	75	115

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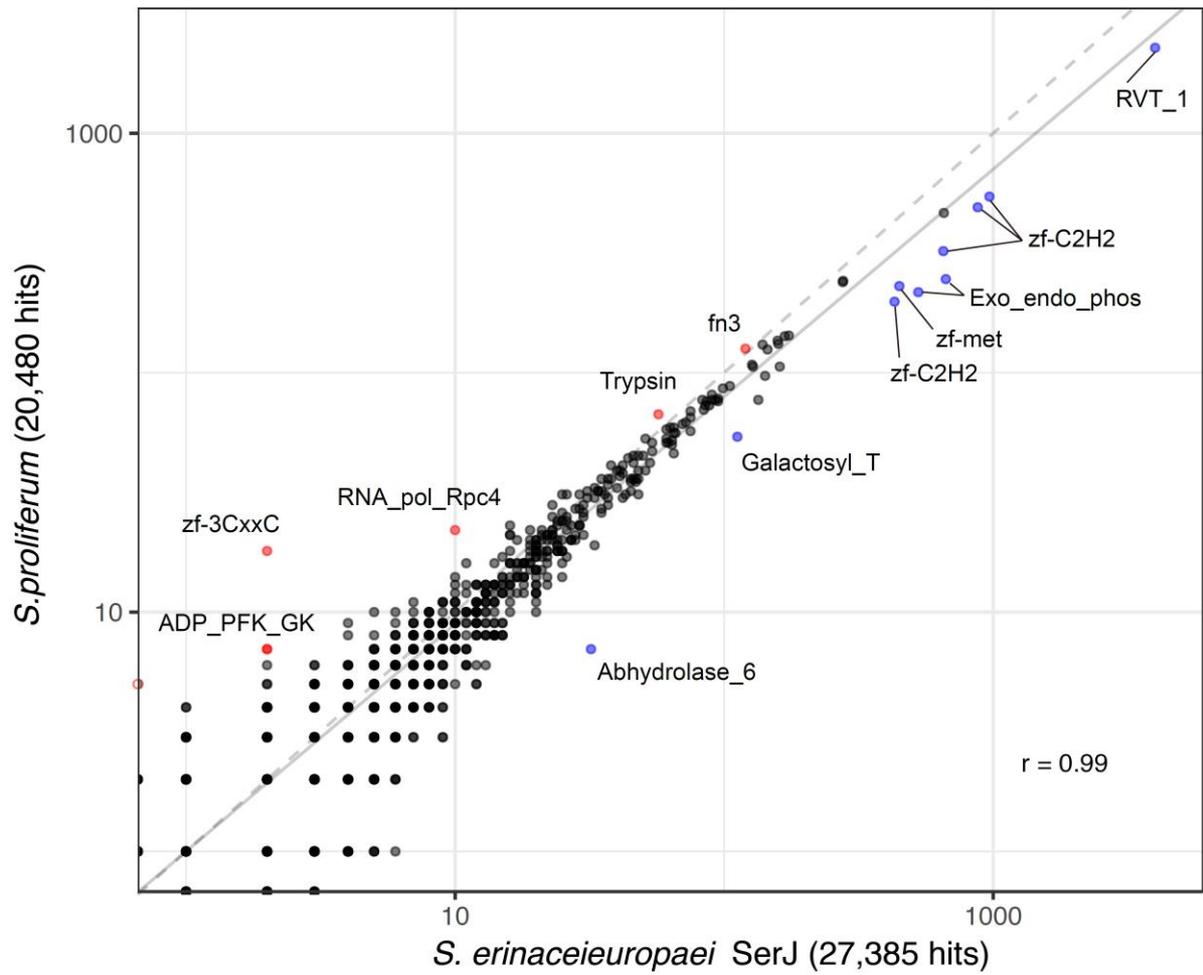


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724 Figure 1. Phylogeny and gene contents; genes are categorized in a stack bar, and the length of  
725 stack bar is proportional to number of genes.

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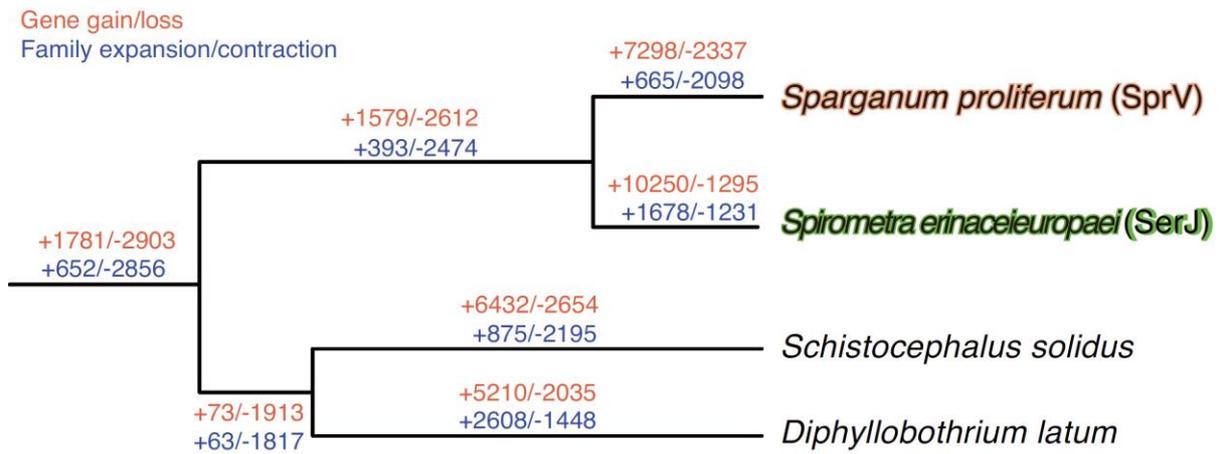
728

729 Figure 2. A scatterplot showing the abundance of Pfam domains in *S. proliferum* and *S.*  
 730 *erinaceiuropeaei* genomes; Pfam domains that are more enriched in *S. proliferum* than in *S.*  
 731 *erinaceiuropeaei* are highlighted in red. Those enriched in *S. erinaceiuropeaei* relative to *S.*  
 732 *proliferum* are highlighted in blue.

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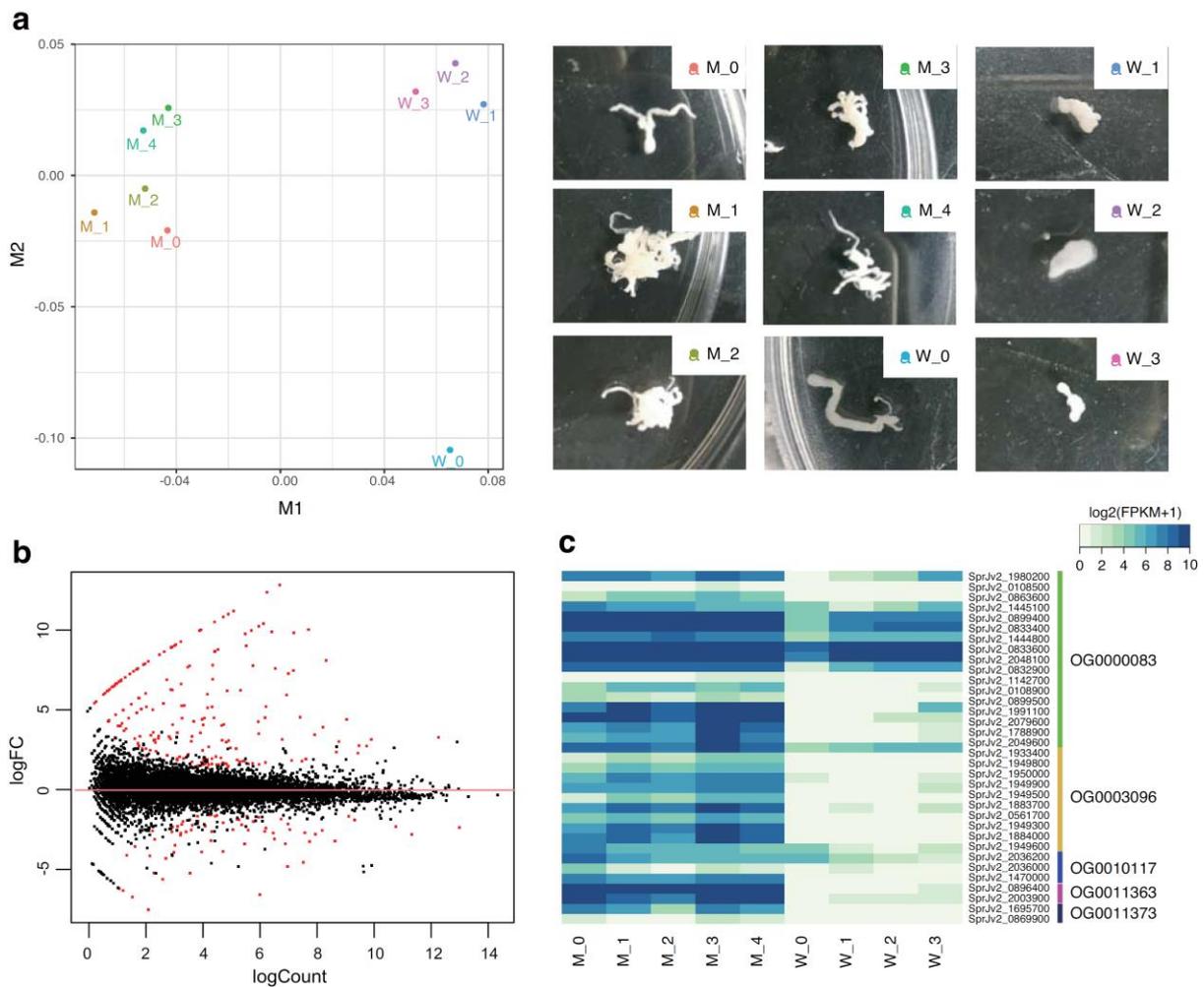
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739 Figure 3. Gene family evolution of selected cestode species was inferred using computational  
740 analysis of gene family evolution (CAFE). Numbers on each branch (or lineage) indicate specific  
741 gains/losses of that branch (or lineage).

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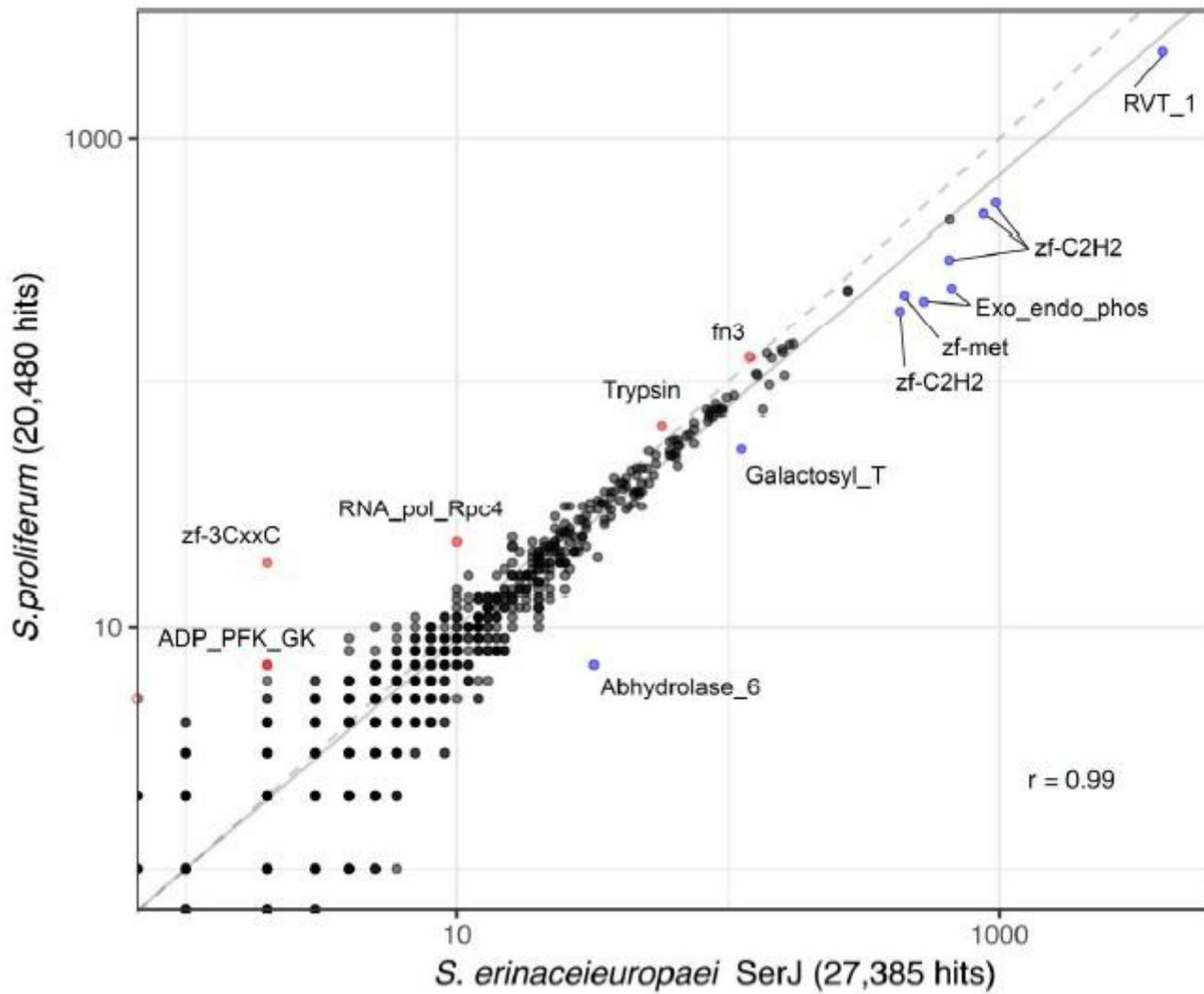


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745 Figure 4. Comparison of gene expression in highly branching worms (medusa-head form) relative  
 746 to static worms (wasabi-root form) of *S. proliferum*: A) multidimensional scaling (MDS) analyses of  
 747 RNA-seq samples clearly separate the two forms by dimension 1. Pictures of used samples are  
 748 shown on the right. B) Bland-Altman (MA) plot of the two-form comparison; dots represent  
 749 transcripts and log<sub>2</sub> fold changes (medusa-head/wasabi-root) plotted against average abundance  
 750 in counts per million. Red dots indicate differentially expressed transcripts with false discovery  
 751 rates (FDR) of < 0.05 and fold changes of > 2. C) Heatmap of gene families encoding novel  
 752 secreted proteins; the heat map shows log<sub>2</sub> fragments per kilobase per million reads mapped  
 753 (FPKM) values for 5 gene families.

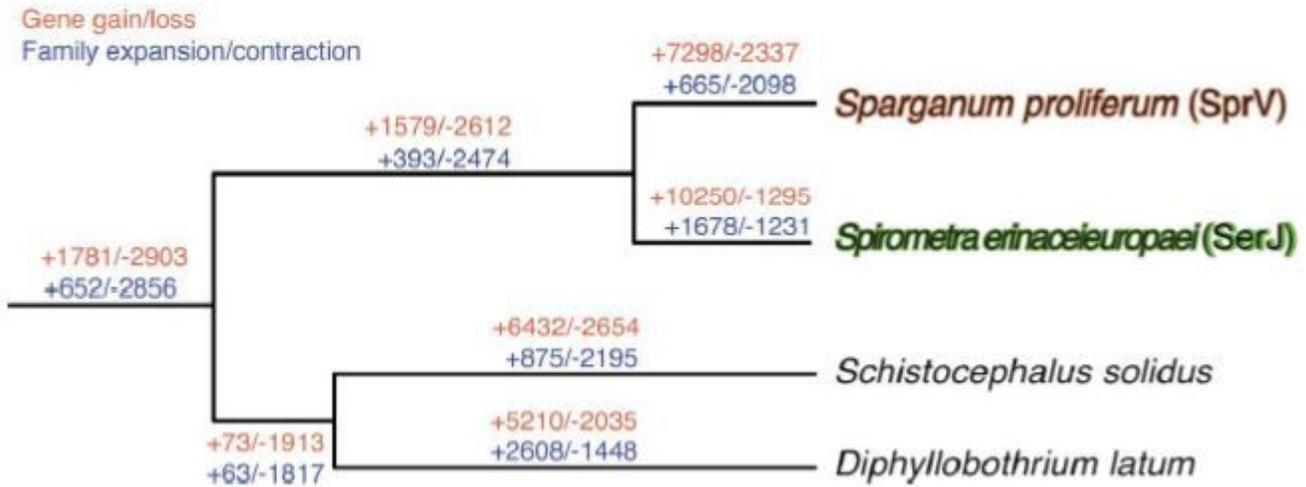
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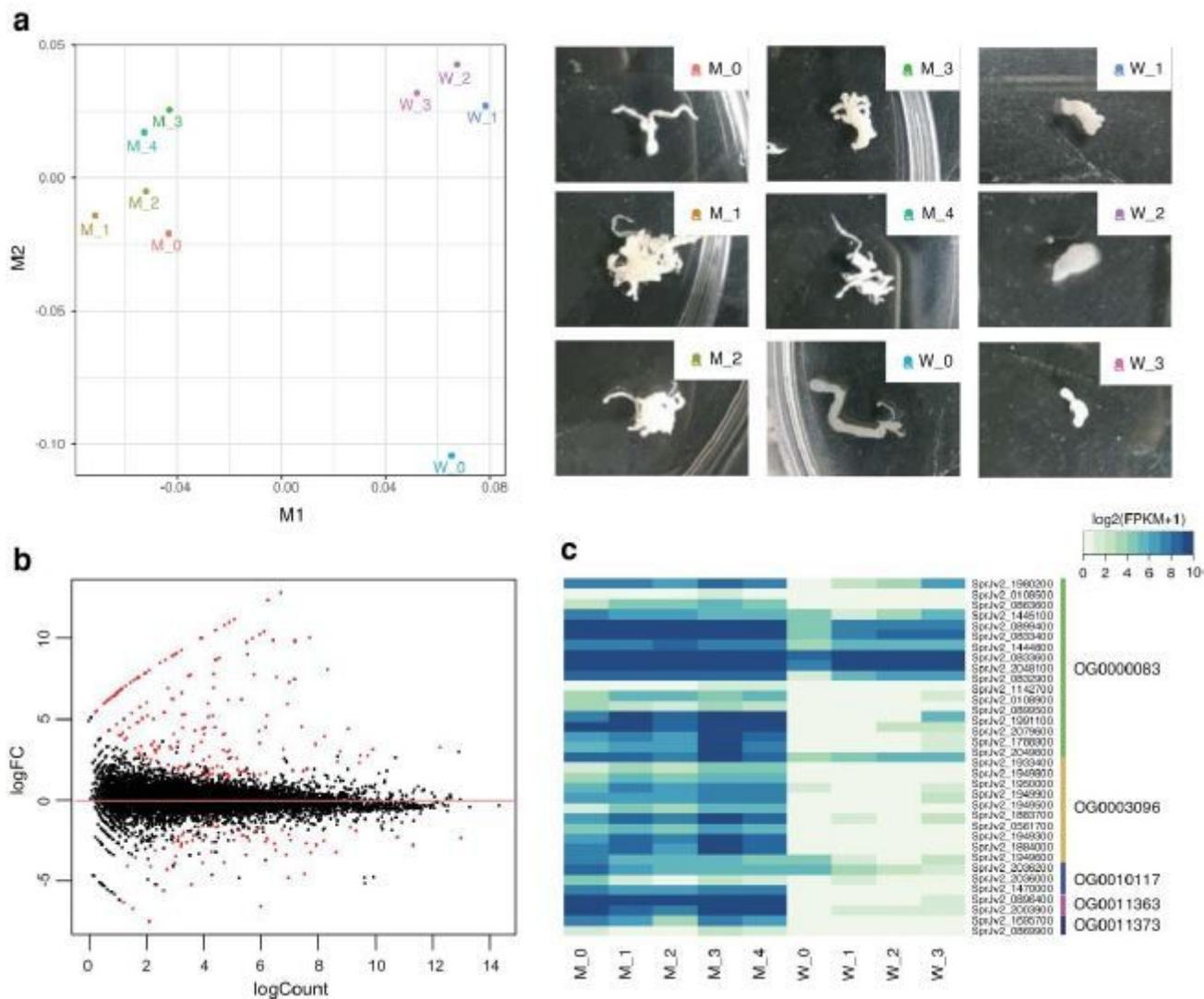
**Figure 2**

A scatterplot showing the abundance of Pfam domains in *S. proliferum* and *S. erinaceiueuropaei* genomes; Pfam domains that are more enriched in *S. proliferum* than in *S. erinaceiueuropaei* are highlighted in red. Those enriched in *S. erinaceiueuropaei* relative to *S. proliferum* are highlighted in blue.



**Figure 3**

Gene family evolution of selected cestode species was inferred using computational analysis of gene family evolution (CAFE). Numbers on each branch (or lineage) indicate specific gains/losses of that branch (or lineage).



**Figure 4**

Comparison of gene expression in highly branching worms (medusa-head form) relative to static worms (wasabi-root form) of *S. proliferum*: A) multidimensional scaling (MDS) analyses of RNA-seq samples clearly separate the two forms by dimension 1. Pictures of used samples are shown on the right. B) Bland-Altman (MA) plot of the two-form comparison; dots represent transcripts and log<sub>2</sub> fold changes (medusa-head/wasabi-root) plotted against average abundance in counts per million. Red dots indicate differentially expressed transcripts with false discovery rates (FDR) of < 0.05 and fold changes of > 2. C) Heatmap of gene families encoding novel secreted proteins; the heat map shows log<sub>2</sub> fragments per kilobase per million reads mapped (FPKM) values for 5 gene families.

## Supplementary Files

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