

Experimental Analysis And Parameter Optimization On The Reduction of NO_x From Diesel Engine Using RSM And ANN Model

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1 **Experimental analysis and parameter optimization on the reduction of NOx from diesel engine using RSM**
2 **and ANN Model**

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8 **Abstract**

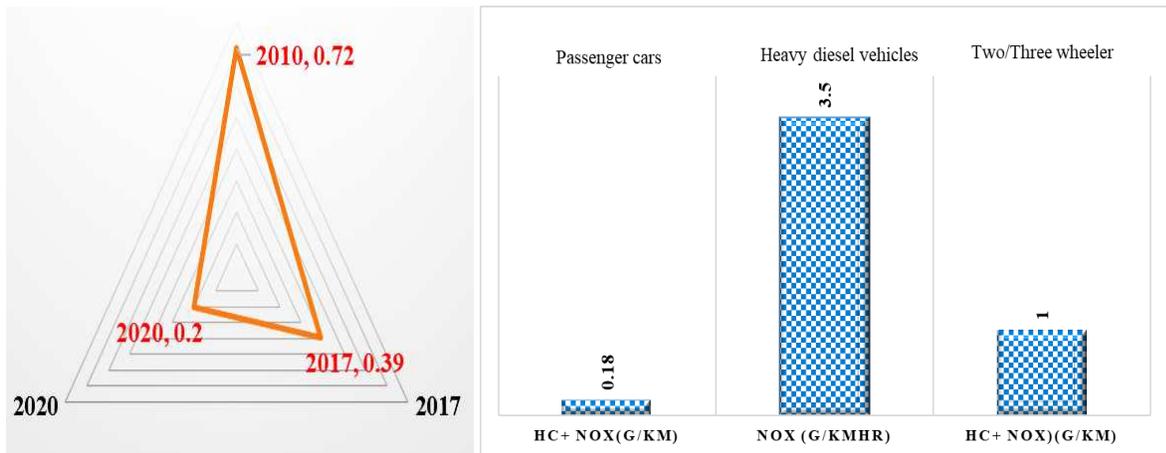
9 The feasibility of the Non-thermal Plasma (NTP) process is examined by four operating parameters including NOx
10 concentration (300-400 ppm), gas flow rate (2-6 lpm), voltage (20-30 kV) and electrode gap (3-5 mm) using a
11 Dielectric Barrier Discharge (DBD) reactor for removing NOx from diesel engine exhaust. Based on the NTP study,
12 the NOx removal efficiency and energy efficiency of the NTP reactor are measured. Optimization of process
13 parameters have been carried out using response surface-based Box Behnken Design (BBD) method and Artificial
14 Neural Network (ANN) method. ANN based optimization is carried out using feed-forward network algorithm which
15 has 4 input nodes, 10 hidden nodes and 2 output nodes. Based on the RSM and ANN model study, R² value are
16 obtained as 0.98 and 0.99 respectively. These models demonstrates that they have strong agreement with the
17 experimental results. The results are indicated that the RSM model's optimum conditions resulted in a maximum NOx
18 reduction of 60.5% and an energy efficiency of 66.24 g/J. The comparison between the two models confirmed the
19 findings, whereas this ANN model displayed a stronger correlation to the experimental evidence.

20 **Keywords:** Air pollution control; Artificial neural network (ANN); Dielectric Barrier Discharge (DBD); NOx
21 reduction; Nonthermal Plasma (NTP); Response surface method (RSM);

22 **Introduction**

23 Since the second half of the 20th century, global warming has gained the status of a major concern due to a rise in the
24 earth's temperature. In one third of the United States, pollution has greatly increased, allowing gases to enter the
25 atmosphere and due to this, global warming has accelerated at a much greater rate. Around 40% of NOx emissions
26 are due to vehicle use of the road. Emissions of gases such as sulphur oxides, nitrogen oxides and carbon monoxide
27 contribute to toxicity, which has both human and environmental consequences (Chen &Liu 2010, Kampa &Castanas
28 2008). Release of NOx among these gases has a significant impact on environmental degradation. The cause of NOx
29 pollution is automotive exhausts, exhaust gases generated in industries like turbines, power plants and cement kilns
30 (Amoatey et al. 2019, Sohn et al. 2021). When combustion occurs at high temperature, NOx is likely to be formed.
31 Oxidation of nitric oxide (NO) results in the production of a tropospheric greenhouse gas with a strong odor. These
32 exhaust sources, while feasible in theory, are still challenging to get rid of the NOx entirely (Maheswari 2014, Li et
33 al. 2011). There is a need for an efficient method for reducing the amount of NOx emitted by these sources.

34 The Indian government strongly demands that diesel generator manufacturers adhere to the permissible NOx
 35 and hydrocarbon emission limits in the atmosphere. With respect to commercial vehicles, India's National Emission
 36 Standards-EU has proposed a drop from 0.39 grams of hydrocarbon emission limits per kilometre in 2017 to 0.20
 37 grammes of NOx limits in 2020 as illustrated in Fig. 1a. There are over a million vehicles sold in the commercial
 38 vehicle sector for the first time in the fiscal year, an all-time high level of production for Indian trucks and buses in
 39 2019. The most rapid growth in the year is for heavy trucks. As shown in Fig. 1b, according to the Central Pollution
 40 Control Board (CPCB), 3.5 g/kmHr of NOx is emitted into the atmosphere in 2020 as a result of heavy diesel vehicles
 41 (Source CPCB, 2020).



42
 43 **Fig. 1** Emission control standards a. light commercial vehicles and b. emission norms for different vehicles (Source:
 44 CPCB-India,2020)

45 The use of emerging technology and improvements to existing techniques have become needed to confront
 46 the constraints on NOx pollution. Selective of non-catalytic reduction (SCR) is commonly used nowadays, especially
 47 for reducing NOx emission during coal combustion. Several other methods, such as mechanical scrubbing, adsorption,
 48 absorption, electron beam, electrochemical cell or vapour diffusion are often used (Arun kumar 2019, Maheswari
 49 2013, Skalska et al. 2010). Nonetheless, each of these techniques has its limits and drawbacks. Additionally, certain
 50 developing nations have placed highly stringent limits on NOx emissions (Maheswari et al. 2017). As a result, research
 51 is being conducted worldwide to develop more reliable approaches. Plasma-assisted deletion is an important and
 52 superior suggested approach for cleaner air among NOx pollution control techniques (Tang et al. 2021). Removal of
 53 nitrogen oxides using Nonthermal Plasma (NTP) has been put forward as a viable option (Takaki et al. 2004). In the
 54 NTP systems, toxins are easy to remove, there are no organic contaminants and maintenance costs, and primary
 55 processing is at ambient pressure (Suresh et al. 2021a, Suresh et al. 2021b).

56 In view of the benefits of NTP, even though the treatment efficiency of NOx reduction is substantial, the key
 57 difficulty of using this technology is energy consumption. Many parameters, such as initial concentration of NOx, gas
 58 flow rates, treatment time, duty cycle, electrode gap, electrode geometry and applied voltage will affect purification

59 performance and energy rate of plasma system (Kuwahara et al. 2016, Mizuno 2007, 2013, Takaki et al. 2004). In the
60 present study, the Dielectric Barrier Discharge (DBD) based NTP reactor is developed to reduce the NO_x which will
61 clearly provide a homogeneous, low-energy top-discharge with higher efficiency when placing dielectric material
62 between the high-voltage and ground electrodes (Ansari et al. 2020, Khan & Kim 2020). NTP tends to be more ideal
63 for the removal of NO_x due to its ability to efficiently convert nitrogen to OH radicals and the ease of installation,
64 increasing the risk of the formation of free radicals, such as hydroxyl radical (OH) (Krosuri et al. 2021). Electrons
65 with energy ranges from 1 to 10 eV are emitted during plasma process and exposed to nitrogen in gases to process
66 nitric oxide to nitrogen dioxide (Tang et al. 2021). Zhu et al. (2020) found an alternative approach that used the DBD.
67 Only ammonia is used to make DBD radicals, which are combined with the flue gases to start a reaction. The removal
68 efficiencies ranged between 93.89% and energy density of 500 J/lit.

69 Exhaustive simulation and process optimization efforts have been devoted to understanding the system
70 behavior and finding the global optimal for chemical processes so far and some have used the statistical simulation
71 approach like mathematical modeling, Response surface methodology (RSM) and Artificial Neural Network (ANN)
72 (Bhatti et al. 2011, Zhao et al. 2020). Each approach has its own set of benefits and drawbacks. Mathematical
73 modelling, for example, involves a system comprehension that includes functions that apply to the system. It can
74 contain calculations, equations, constitutive equations and restrictions. The consistency of the model works relatively
75 well when the dependent and independent variables maintain a constant relationship, but decreases dramatically when
76 the equation exhibits nonlinear behavior (Chen & Tan 2012). Other tools, such as RSMs or ANNs, have been used
77 with nonlinear systems to see how input variables impact the behavior of the system (Zhao et al. 2020). The DOE
78 research will investigate all the independent variables within the bounds of each variable and integrate the data. Out
79 of all techniques, in specific, RSM has actually been used in numerous technical fields.

80 Complex activities like learning and pattern recognition have given rise to a vast quantity of computational
81 investigation into small networks of basic components termed ANNs. In the last few years, scientists in a number of
82 scientific fields have taken an interest in the possible mathematical usefulness of artificial intelligence techniques to
83 tackle a number of issues. While ANNs are designed to help understand the roles of the brain in mammalian.
84 Additionally, ANNs are used to render an approximation of hidden feature. It's quite powerful for managing very
85 subjective data, particularly in those areas where analysis is essential. Other methods of neural network creation are
86 in progress, in addition to ANN. To name a few, feed-forward networks and real-time control systems are adopted as
87 well as an adaptive neural network is applied to systems that needed it (Agatonovic-Kustrin & Beresford 2000, Picos-
88 Benítez et al. 2017, Zhao et al. 2020).

89 The purpose of this work is to develop a DBD reactor with a larger efficiency for eliminating NO_x. To
90 enhance the reactor efficiency of removing NO_x, four parameters are analyzed via BBD design: the initial NO_x
91 concentration, gas flow rate, electrode gap and voltage. Additionally, the energy efficiency of the DBD reactor is
92 evaluated. The artificial neural network (ANN) uses both inter-variable interactions as well as both interactive factors

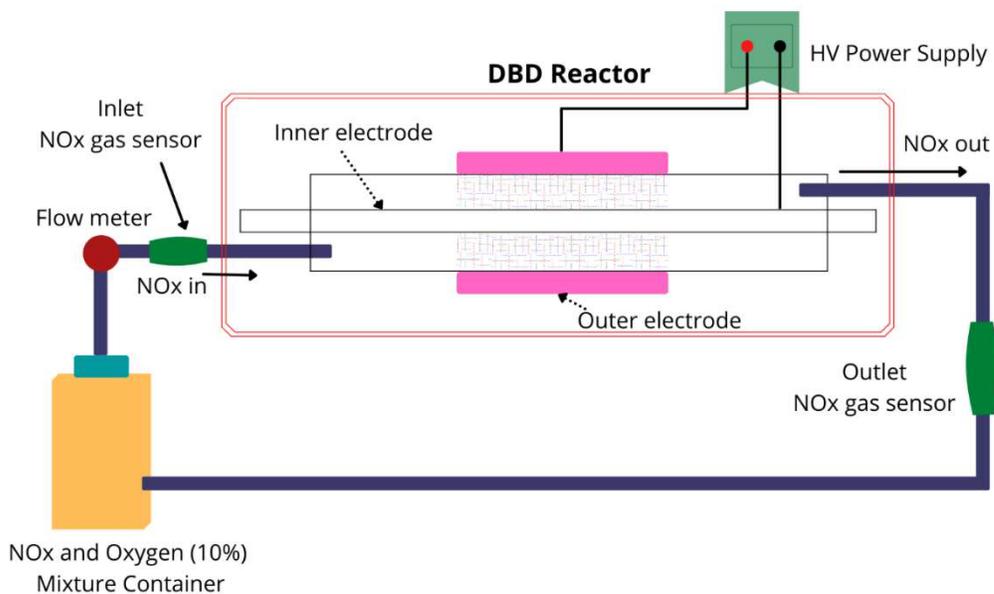
93 in predicting and simulating interrelationships. This is used to determine the predictor importance of the resulting
94 model.

95

96 **Experimental Methodology**

97 **Experimental setup**

98 The schematic experimental setup for NO_x reduction is illustrated in Fig. 2 and its specifications are mentioned in the
99 previous study (Suresh et al. 2021b). This design is comprised of four main elements: gas supply, DBD reactor, power
100 supply module and gas-sensing apparatus. A graphical representation of the DBD reactor is shown in Fig. 2. Inner
101 electrode has the dielectric shielding placed inside the reactor. The outer electrode is constructed from copper wires
102 that is fixed to the dielectric layer. Stainless steel is used as the grounding electrode. Due to the existence of large
103 electrical foils (centroids) in the middle of the dielectric tubes, flashover is avoided by using foil sides that is lower in
104 voltage. In the reactor, glass used as the dielectric barrier. Surface thickness of all components is 2 mm, as is shown
105 as a strong dielectric tube in Fig. 2. The reactor is attached to a high voltage supply to produce plasma that should be
106 with peak intensity. Fluidised gases are introduced by a nozzle into the reactor. The specific quantity of NO_x and
107 oxygen are mixed thoroughly and entered into the DBD reactor. To measure the inlet and outlet NO_x concentrations,
108 the NO_x sensor with transmitters is fixed. The flue gas from the diesel engine containing nitrogen oxide (NO_x) is
109 mixed with 10% oxygen (O₂) and then it is injected to the reactor. By using air flow regulator, the mixture gas flow
110 rate is regulated. Based on the NO_x level in the untreated air, the NO_x sensor is used to determine the NO_x level. DAQ
111 is the data acquisition card that is used to retrieve sensor (NO_x) data and pass control signals to the ultimate feedback
112 controller. NO_x emissions are detected and regulated using a customized ADu841 VVME processor-based VMAT
113 DAQ card.



114

115 **Fig. 2** Experimental setup for NO_x reduction

116 **RSM modeling**

117 Experimental conditions are determined using the RSM and planning technique that decreases the workload
118 significantly while still obtaining an optimal model result. One of the main operations in process optimization design
119 studies, the Box Behnken Design (or BBD), describes how much more improvements in production output or
120 efficiency can be attained by the process as well as the conditions in which it can be carried out (Srikanth et al. 2021).
121 For the experimental layout, Minitab 18 software is being used. Four parameters and three stages are involved in the
122 BBD configuration. As seen in Table 1, the independent variables are NOx initial concentration, gas flow rate, high
123 voltage and electrode gap and respective values have been labeled as: +1, 0, -1, and thus the response variable being
124 NOx reduction and reactor energy efficiency. Experimental runs included 27 runs which typically consisted of three
125 attempts each to correct and/prove an error before they are incorporated into the calculations to determine experimental
126 error (Table 2).

127 **Table 1** Experimental ranges for the BBD system.

Variables	Ranges		
	low	centre	high
A: NOx Concentration (ppm)	300	350	400
B: Flow rate (lpm)	2	4	6
C: Voltage (kV)	20	25	30
D: Electrode gap (mm)	3	4	5

128

129 **Table 1** Experimental results as per BBD design

Run	Factors				Actual		BBD Predicted		BBD Error (%)		ANN Predicted		ANN Error (%)	
order	A	B	C	D	Nox Reduction (%)	Energy Efficiency (g/J)								
1	300	2	25	4	54.6	26.21	53.16	23.54	2.64	10.18	54.901	26.65	-0.55	-1.68
2	400	2	25	4	47.5	30.4	46.99	29.94	1.08	1.51	47.564	30.316	-0.13	0.28
3	300	6	25	4	37.2	53.65	37.48	54.66	-0.74	-1.87	37.163	53.735	0.10	-0.16
4	400	6	25	4	26.4	50.76	27.61	53.97	-4.56	-6.33	26.489	50.767	-0.34	-0.01
5	350	4	20	3	38.9	54.48	39.39	53.78	-1.26	1.29	36.45	54.161	6.30	0.58
6	350	4	30	3	48.3	45.09	49.04	46.49	-1.54	-3.10	48.188	45.023	0.23	0.15
7	350	4	20	5	33.8	47.32	33.56	47.38	0.72	-0.13	33.848	47.286	-0.14	0.07
8	350	4	30	5	43.7	40.78	43.21	40.09	1.13	1.69	43.706	40.731	-0.01	0.12
9	300	4	25	3	47.3	45.4	46.56	45.74	1.56	-0.74	47.293	45.413	0.01	-0.03
10	400	4	25	3	38.1	48.76	38.54	48.59	-1.16	0.34	38.009	48.724	0.24	0.07
11	300	4	25	5	40.1	38.49	40.73	39.34	-1.57	-2.21	39.334	39.215	1.91	-1.88
12	400	4	25	5	31.6	40.44	32.71	42.20	-3.51	-4.35	31.587	40.476	0.04	-0.09
13	350	2	20	4	44.8	31.36	40.88	33.35	8.75	-6.35	44.751	31.416	0.11	-0.18
14	350	6	20	4	29.6	62.03	29.38	60.93	0.74	1.78	27.731	62.028	6.31	0.00
15	350	2	30	4	56.4	26.32	56.56	26.06	-0.29	0.98	56.376	26.364	0.04	-0.17
16	350	6	30	4	40.4	56.56	39.03	53.64	3.39	5.17	40.602	56.756	-0.50	-0.35
17	300	4	20	4	39.7	47.64	38.82	46.18	2.22	3.06	39.663	47.501	0.09	0.29
18	400	4	20	4	31.8	50.88	30.80	49.04	3.14	3.61	31.941	50.908	-0.44	-0.06
19	300	4	30	4	46.2	36.96	48.47	38.89	-4.91	-5.23	53.131	36.939	-15.00	0.06

20	400	4	30	4	41.5	44.26	40.45	41.75	2.53	5.67	41.091	42.631	0.99	3.68
21	350	2	25	3	55.3	30.97	54.66	32.90	1.16	-6.25	55.468	32.719	-0.30	-5.65
22	350	6	25	3	36.4	61.25	37.12	60.48	-1.98	1.26	36.371	61.19	0.08	0.10
23	350	2	25	5	48.3	27.05	48.82	26.51	-1.08	2.00	48.289	27.177	0.02	-0.47
24	350	6	25	5	31.8	53.5	31.29	54.08	1.61	-1.09	31.777	53.455	0.07	0.08
25	350	4	25	4	41.6	46.59	41.30	46.93	0.72	-0.74	41.531	46.653	0.17	-0.13
26	350	4	25	4	41.6	46.59	41.30	46.93	0.72	-0.74	41.531	46.653	0.17	-0.13
27	350	4	25	4	41.6	46.59	41.30	46.93	0.72	-0.74	41.531	46.653	0.17	-0.13

131 The NOx elimination BBD model displayed an association between independent variable as a secondary
 132 response surface model. Following equation (Eq 1) demonstrates the relationship between independent and dependent
 133 variables,

134

$$135 \quad Y_0 = \delta_0 + \sum_{i=1}^k \delta_i X_i + \sum_{i=1}^k \delta_{ii} X_i^2 + \sum_i \sum_{<j=2}^k \delta_{ij} X_i X_j + \vartheta_i \quad (1)$$

136

137 Where, Y_0 denotes the predicted variable response, X_i and X_j denotes independent variables, δ_i , δ_{ii} and δ_{ij}
 138 denotes the i^{th} linear, quadratic and interaction coefficients and ϑ_i denotes the error. The original concentration of NOx
 139 pumped into the reactor is referred to as NO_{in} , while the concentration of NOx at the reactor's vent or exit is referred
 140 to as NO_{out} . As seen in equation (Eq 2), NOx removal is measured in ppm (parts per million).

$$141 \quad NOx \text{ removal } (\%) = \frac{NO_{in} - NO_{out}}{NO_{in}} \times 100 \quad (2)$$

142 The most commonly used metrics for measuring non-thermal plasma energy consumption are power density
 143 and energy efficiency, which are determined using the following equations (Eq 3-4) (Mansouri et al. 2020, Suresh et
 144 al. 2021b).

$$145 \quad Power \text{ density } (J/lit) = \frac{Power (W) \times 60}{Gas \text{ flow rate } (lpm)} \quad (3)$$

$$146 \quad Energy \text{ Efficiency } (g/J) = \frac{NO_{in} - NO_{out}}{Power \text{ density}} \quad (4)$$

147 ANOVA is a form of analysis of variance that is employed in statistics, which looks for the combination of
 148 internal variability (randomness) and underlying trends (systematic) variables that accounts for the total variability
 149 discovered in the study. Statistical variables may or may not affect the given results, depending on whether they are
 150 systematically distributed. This statistical analysis helps researchers estimate the effect that the study variables have
 151 about the results of a study's dependent variable (McHugh 2011). When forecasting the result of the given occurrence,
 152 the coefficient of determination would be a mathematical calculation that evaluates the extent to which variations
 153 throughout one parameter could be justified by differences in another variable. In other words, this coefficient, more
 154 often referred to as R-squared (R^2), quantifies the strength of a linear interaction between two variables and has been
 155 heavily used by researchers when doing pattern analysis (Armstrong et al. 2002, Keselman et al. 1998). To understand
 156 the significance of the effect of the responses to be assessed, ANOVA has been used. In accordance with the quadratic
 157 model calculation, 2-based contour plots are created and they have been used to arrive at conclusions regarding the
 158 influence of each parameter as well as to examine the interplay between them (Zwanenburg et al. 2011).

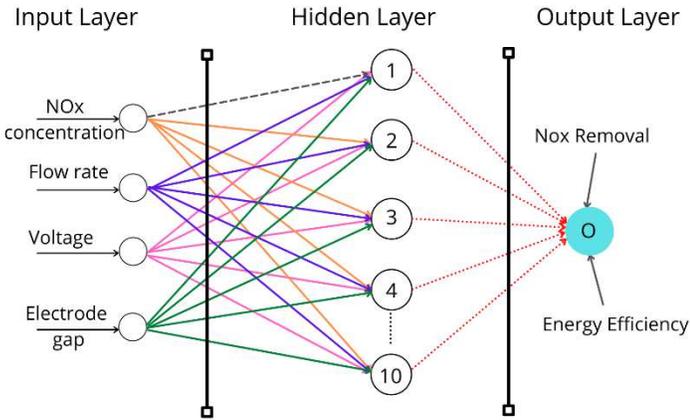
159 ANN modeling

160 ANN has a biological analogy for the fundamental concept of a highly flexible and effective computer device. The
 161 network consists of several interconnected units to allow for data communications between them. These units are basic

162 generators which run in parallel. They also are called nodes or neurons (Agatonovic-Kustrin & Beresford 2000). Each
 163 neuron is linked by a communication connection to another neuron. Each link has been linked to a weight that provides
 164 information on the input signal. This has been the most helpful input for the resolution of a specific problem by neurons
 165 since the weight normally stimulates or prevents the signal. The internal condition of each neuron has been known as
 166 a signal stimulation. Some signals are produced after being combined with an input rule; and signals resulting from
 167 combining the two would always be transmitted to other units (Agatonovic-Kustrin & Beresford 2000, Elmolla et al.
 168 2010).

169 A back-propagation trained model is used for the neural network. Neural background nets with a single
 170 hidden layer are shown to provide reliable approximations to any continuous function if adequate hidden neurons are
 171 present. One essential aspect of back propagation neural networks has been that the relations among different variables
 172 are not defined. Instead, they benefit from the explanations that are shown to them. Additionally, individuals are
 173 capable of generalizing accurate answers one that superficially mimic the information used during the learning process
 174 (Civelekoglu et al. 2009, Sakiewicz et al. 2020).

175 Modeling the ANN framework is done using the same dataset that is used for the modelling of RSM is one of the
 176 exercises is a major upgrade in the RSM model. Based on experimental results (Table 2), numerical and numerical
 177 prediction, a full model is created to forecast the NOx reduction (using the MathWorks' MATLAB programme). The
 178 application used a 3-layered neural network. There have been four input nodes, ten hidden nodes and two output
 179 nodes as illustrated in Fig. 3. A back propagation algorithm based on the Levenberg–Marquardt principle with a
 180 sigmoidal function has been introduced. The experimental neurons contained an NOx concentration, flow rate,
 181 and electrode gap, which are each fed into the evaluate to calculate the output NOx reduction and energy efficiency.



182
 183 **Fig. 3** ANN model structure

184 **Model validation using RSM and ANN**

185 The coefficient of determination (R^2), the adjusted R^2 value and the mean squared error are used to measure and equate
 186 the RSM and ANN models' accuracy. Equations (Eq 5-8), characterise MSE, RMSE, MAPE and R^2 , respectively
 187 (Kim et al. 2019, Soleimanzadeh et al. 2019).

188
$$MSE = \frac{\sum_{i=1}^n (E_i - Y_i)^2}{n} \quad (5)$$

189
$$RMSE = \sqrt{\frac{\sum_{i=1}^n (E_i - Y_i)^2}{n}} \quad (6)$$

190
$$MAPE = \frac{\sum_{i=1}^n |E_i - Y_i| / E_i}{n} \times 100 \quad (7)$$

191
$$R^2 = 1 - \frac{\sum_{i=1}^n (E_i - Y_i)^2}{\sum_{i=1}^n (E_i - E_m)^2} \quad (8)$$

192 The residuals, E_i , is the variance between the observed response. If the estimates from the model matched the
 193 experimental values exactly, R^2 is equal to 1.0. The R^2 is a slightly changed abbreviation of the original that
 194 incorporates the number of predictors. From Equation (Eq 5-8), n denotes number of trials, Y_i denotes predicted
 195 response and E_m denotes average observed response.

196 **Results and Discussion**

197 **RSM modeling**

198 **Model validation**

199 Table 3 shows the experimental outcomes achieved by BBD model and reasonably similar the calculated data of the
 200 3 replicated groupings showing the data are reproducible properly. Predicted accuracy and error rates and the
 201 experiment shows agreement with the results, thereby proving that the prediction works. The discrepancy between the
 202 expected values and the actual value is lower than 2, which means that data fits as predicted. To determine the factors
 203 to be tested, the design is built using the second-order polynomial model. NOx concentration (A), flow rate (B),
 204 voltage (C) and electrode gap (D) are used as independent inputs to determine the dependent variable includes NOx
 205 reduction and energy efficiency. The equation (Eq 9-10) is effective for characterizing the effect of each variable's
 206 coefficient

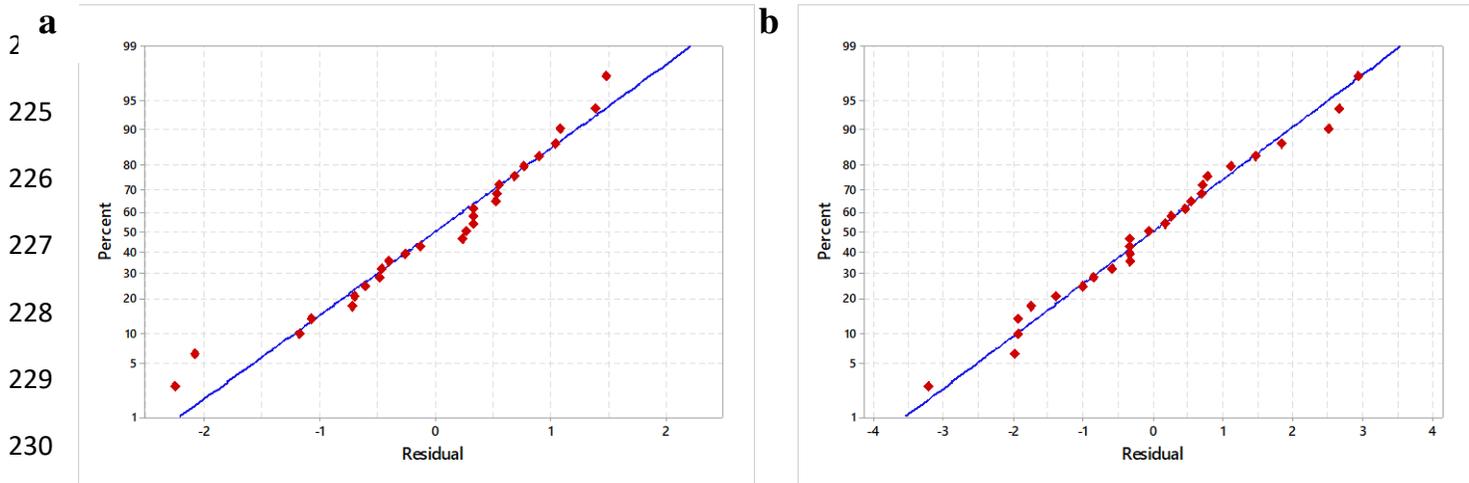
$$\begin{aligned} \text{Nox Reduction (\%)} &= -13.4 + 0.423 A - 4.49 B + 0.9650 C - 2.917 D \\ &\quad - 0.000666 AXA + 0.418 BXB - 0.00925 AXB \end{aligned} \quad (9)$$

$$\begin{aligned} \text{Energy Efficiency (g/J)} &= -143.5 + 0.930 A + 19.97 B - 0.729 C - 3.197 D \\ &\quad - 0.001186 AXA - 0.860 BXB - 0.01770 AXB \end{aligned} \quad (10)$$

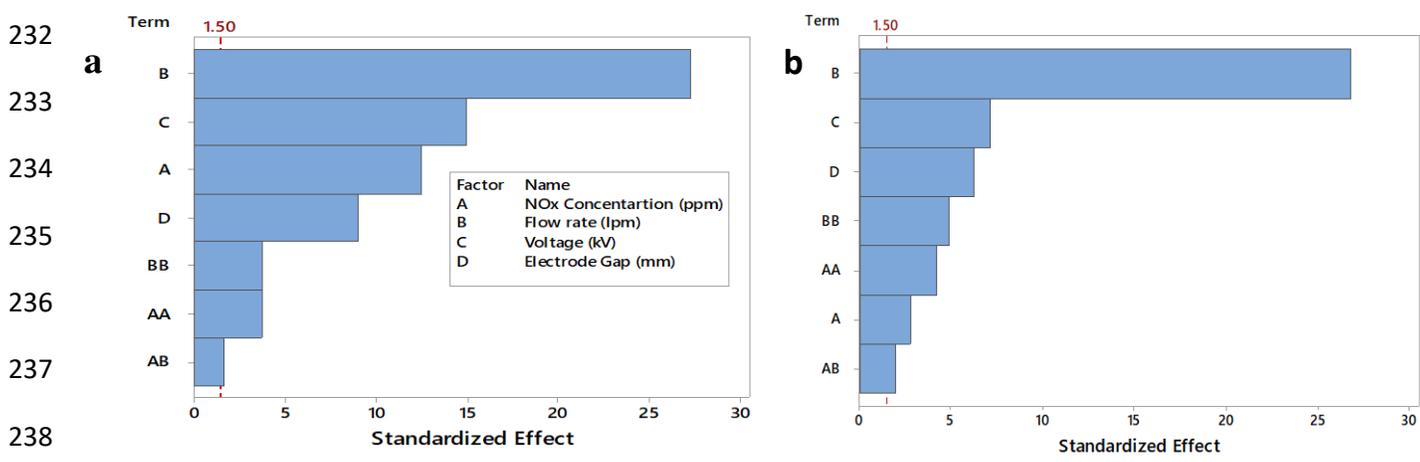
207

208 The above results show the model's quadratic coefficient of flow rate to have the most beneficial effect on NOx
 209 elimination with its maximum negative coefficient and energy efficiency with its maximum positive coefficient. This
 210 is due to the decrease in NOx from increasing the gas flow rate, while the energy efficiency is enhanced by the rise in
 211 the flow rate. The negative symbol for the electrode gap is the second most important variable to reduce the reduction
 212 of NOx and energy efficiency by increasing the flow rate. Similar results are obtained by Mansouri et al. (2020) in the
 213 experiment of the NOx reduction by packed bed DBD reactor.

214 The normal test plot has been used to see whether results follow the bell curve or the normal distribution.
 215 According to Fig. 4a and b, the residuals are closely compared to the linear line and ranged between -2 and 2 in terms
 216 of NOx reduction and -4 to 4 in terms of energy efficiency. The Pareto chart shows the prevalence of defects and also
 217 its overall effect, which would also be usually referred to as a bar chart. It would be very helpful to use pareto charts
 218 to identify flaws in order to locate the most prevalent elements of the model. From Fig. 5, the bars are listed from
 219 largest to smallest in ascending order (from tallest to shortest). The size of the largest bar represents the most critical
 220 aspect of the response. Fig. 5a illustrates the pareto curve for NOx reduction, indicating that the most critical element
 221 is gas flow rate, followed by voltage, NOx concentration, and electrode gap. Similar to that is shown in Fig. 5b, the
 222 gas flow rate has the highest influencing factor compared to voltage, electrode gap and NOx concentration being taken
 223 into consideration when measuring performance.



231 **Fig. 4** Normal plot a. NOx reduction and b. Energy Efficiency



239 **Fig. 5** Pareto plot a. NOx reduction and b. Energy Efficiency

240 **ANOVA analysis**

241 Tables 3 and 4 demonstrate the ANOVA findings for the BBD model for NOx reduction and energy efficiency,
 242 respectively. The data illustrate the SS (Sum of Squares), DF (degree of freedom), MS (Mean square), F value and

243 probabilities (p value) for all the linear and quadratic parameters. The studies have been performed to see the impact
 244 of both of individual factors as well as the synergistic ones as in BBD model is of several factors.

245 Table 4 displays the NO_x reduction ANOVA effects of the BBD model. Many of the linear terms includes
 246 NO_x concentration, flow rate, voltage and electrode gap in the RSM model had p values below 0.0001, which implies
 247 that they are important to the model. The most important of the four factors is in particular the gas flow rate which is
 248 contributed 58.74% in NO_x reduction. By examining the ANOVA table 4, thus learn how often independent variables
 249 impact on the reduction of NO_x. By analyzing the significance level of the variables' quadratically terms, are observed
 250 that the model is applicable to A², B², C² and D². The model should be subjected to the following conditions: F > 0.1,
 251 R-sq > 0.95, R-sq (pred) > 0.7 and R-sq (adj)- R-sq (pred) < 0.2. P-value and f-value is employed to validate the model.
 252 From Table 3, the fit of this model is good in the regression field, the F value of the model is 71.62 with p < 0.0001.
 253 R-sq value of 0.9882, R-sq (pred) of 0.9319 and R-sq (adj)- R-sq (pred) of 0.0425 demonstrating a high level of
 254 consistency and credibility.

255 Similarly, the Table 4 presented the ANOVA effects of energy efficiency in BBD model. The contribution
 256 of the overall model is greater than that is stated here, with a p value of <0.0001, which implies that the variables do
 257 significantly impact the response. According to the model, the NO_x concentration, flow rate, voltage and electrode
 258 gap (linear terms) had a significant effect on energy efficiency of DBD reactor. Out of these factors, flow rate has a
 259 major significant one which contributes 82.33% for energy efficiency. The initial NO_x concentration and flow rate
 260 affected the energy efficiency of DBD reactor, which is quadratic rather than linear. From Table 4, the fit of this model
 261 is good in the regression field, the F value of the model is 122.18 with p < 0.0001. R-sq value of 0.9783, R-sq (pred)
 262 of 0.9357 and R-sq (adj)- R-sq (pred) of 0.0346 demonstrating a high level of consistency and credibility. Fig. 6 shows
 263 a close association between the prevised and real values with the R² high coefficient value of 0.9788 for the reductions
 264 in NO_x and energy efficiency. So as a result, measurement established a reasonable expectation of the expected
 265 response within the scope of the study.

266 **Table 2** ANOVA results for the NO_x reduction

Source	DF	Adj SS	Adj MS	F-Value	P-Value	Remarks
Model	14	1548.49	110.607	71.62	<0.0001	Highly significant
A	1	193.60	193.603	125.36	<0.0001	Highly significant
B	1	920.50	920.501	596.03	<0.0001	Highly significant
C	1	279.37	279.367	180.89	<0.0001	Highly significant
D	1	102.08	102.083	66.10	<0.0001	Highly significant
A X A	1	17.04	17.041	11.03	0.006	Significant
B X B	1	12.81	12.813	8.30	0.014	Significant
C X C	1	0.12	0.120	0.08	0.785	Significant
D X D	1	0.61	0.608	0.39	0.542	Significant

Error	12	18.53	1.544			
Lack-of-Fit	10	18.53	1.853	5.24	0.345	Not Significant
Total	26	1567.03				
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S		1.2427				
R-sq		0.9882				
R-sq (adj)		0.9744				
R-sq (pred)		0.9319				
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267

268 **Table 3** ANOVA results for the energy efficiency

Source	DF	Seq SS	Adj MS	F-Value	P-Value	Remarks
Model	7	2710.33	387.19	122.18	<0.0001	Highly significant
			646.87	204.12	<0.0001	Highly significant
A	1	24.51	24.51	7.73	0.012	Significant
B	1	2280.87	2280.87	719.72	<0.0001	Highly significant
C	1	159.43	159.43	50.31	<0.0001	Highly significant
D	1	122.69	122.69	38.71	<0.0001	Highly significant
A X A	1	34.59	56.29	17.76	<0.0001	Highly significant
B X B	1	75.71	75.71	23.89	<0.0001	Highly significant
Error	19	60.21	3.17			
Lack-of-Fit	17	60.21	3.54	6.24	0.547	Not Significant
Total	26	2770.54				
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S		1.78020				
R-sq		97.83%				
R-sq(adj)		97.03%				
R-sq(pred)		93.57%				
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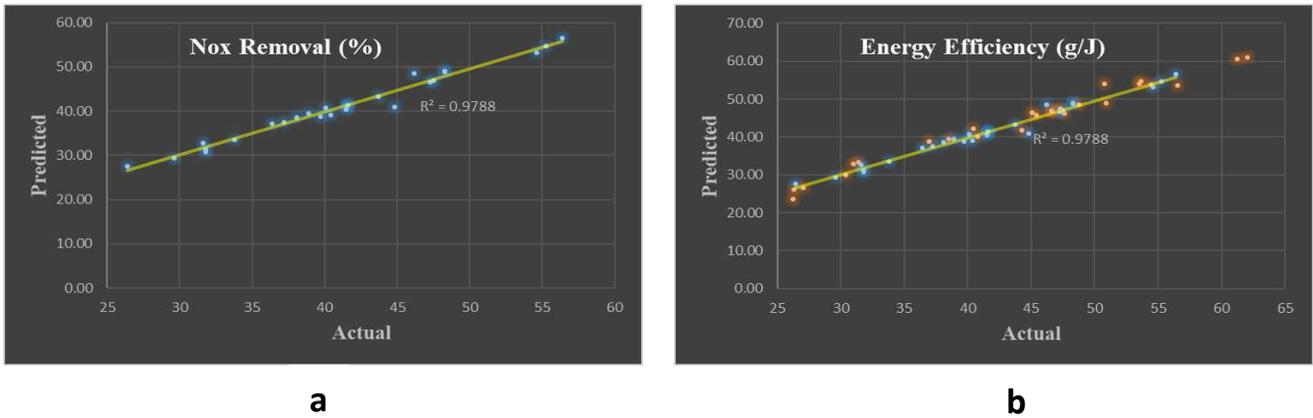


Fig. 6 Actual vs Predicted plot a. NOx reduction and b. Energy Efficiency

Effect of independent variables on the responses

The two-dimensional contours shown in Fig. 7 and 8 examine the influence of the input factors in the DBD reactor, as seen in the BBD model, on NOx reductions and on the energy efficiency. Figs 7a, b and c examine the impact of two variables, with a different flow rate, voltage and electrode gap respectively, that the NOx concentration is set. The darker portion of Fig. 7a, b, and c show the greatest amount of nitrogen oxides removed, whilst the lightest part of Fig. 7b shows the lowest exclusion. In Fig. 7a, NOx concentration and gas flow rate are modified, while a central value of 25 kV and 4 mm respectively held the voltage and gap variable constant. These graphs demonstrate that rising NOx concentrations from 300 to 400 ppm and flow rates from 2 to 6 lpm results in a NOx reduction. With regard to Fig. 8a, however, increasing the NOx concentration and flow rate is more energy efficient. In equations (Eq 3-4), the flow improvement decreases energy density and, in essence, improves energy efficiency by enhancing gas flow (Shin et al. 2019). The greater the gas flow rate, the lower the gas holding duration in the reactor, leading to reductions in energy demand per unit mass and increased energy efficiency, leading to the decrease in NOx level. Similar findings are occurred in NOx deduction by plasma method as stated the researcher Shin et al. (2019).

The graphs 7b and 8b illustrate the effect of two variables, NOx concentration and voltage, on NOx reduction and energy efficiency. Because the voltage's value is so closely correlated with NOx concentration, it is apparent that this with respect to DBD revealed the DBD/NOx product voltage is the most dramatically influential. Additionally, the energy efficiency reduced as the voltage is increased in relation to the NOx concentration. Increased voltage greatly raises the energy density of micro discharge, which accelerates NOx reduction but reduces the DBD reactor's energy efficiency. The similar findings are stated several researchers (Aerts et al. 2015, Chen et al. 2017, Talebizadeh et al. 2014). It is also found that in the process of raising the voltage that the electron density in the gas triggered a further reaction (Eq 11-14), which took place in the plasma phase (Talebizadeh et al. 2014).

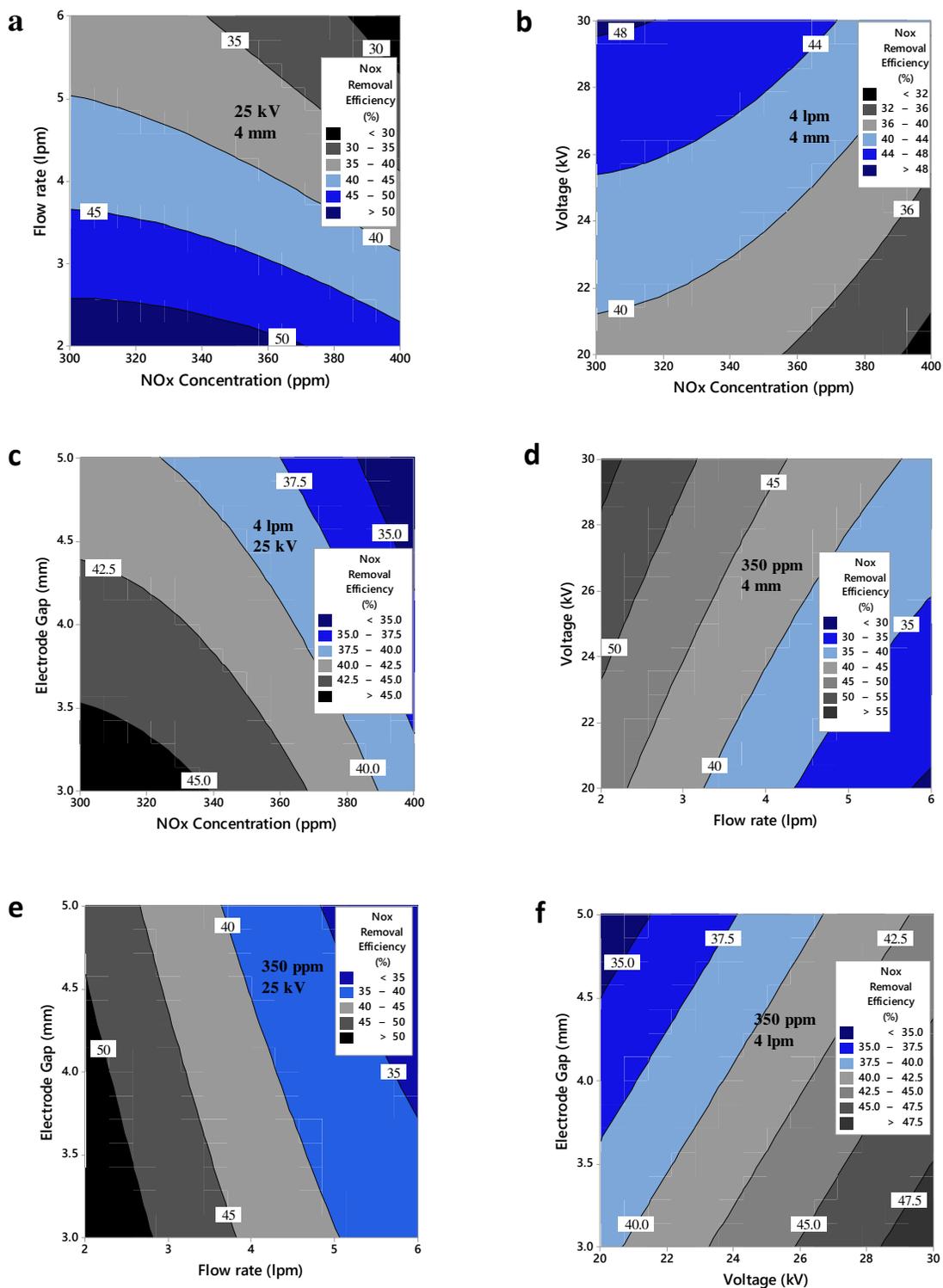




307 After exciting phase, electrons are allowed to react with the mixture of oxygen, it is then split into two
308 molecules and the component gases are then mixed, one of which is nitrous oxide and the other remained unreactive.
309 This reinforced, unbroken, chain of environmental mechanisms has a much greater influence on NO_x reduction
310 capabilities. Specifically, O₃ has been shown to be an undesirable by product of many approaches to O₂ elimination.
311 As an efficient generator, like O₃ causes the formation (such as NO₂) to occur, which affects the overall performance
312 of the reaction phase (Wang et al. 2020). In addition to this, plasma enhanced NO_x formation is another issue during
313 the plasma aided NO_x removal N₂O is regarded as the third most important greenhouse gas and the most significant
314 stratospheric ozone diminishing substance (Tang et al. 2021).

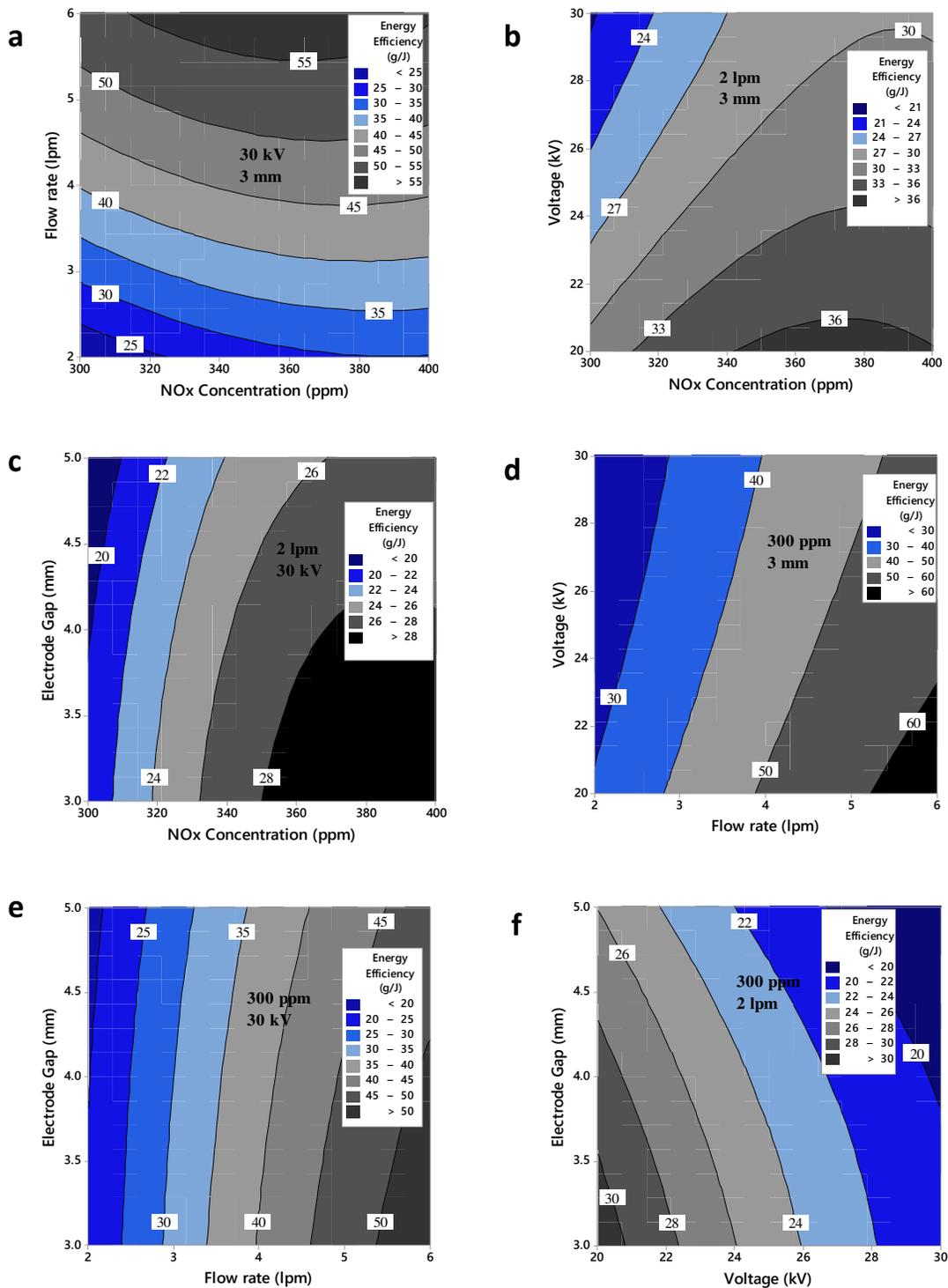
315 The relationship among NO_x concentration and electrode distance on NO_x reduction and energy efficiency
316 is depicted in Fig. 7c and 8c. Increases in the electrode gap as NO_x concentration increased had a detrimental effect
317 on NO_x removal and energy production in the DBD reactor. It is discovered when a higher voltage applied to the outer
318 electrode, as well as when a lower discharge gap is chosen, a lower voltage level is needed and thus better NO_x
319 removal could be accomplished with the same voltage (Vishal & Srihari 2020). Finally, reducing the electrode gap to
320 3 mm caused a significant increase in NO_x removal reliability, because of the decrease in the discharge gap.

321 Fig. 7d shows the interrelationship of voltage to flow rate on NO_x reduction with a given NO_x concentration
322 and distance of 350 ppm and 4 mm. Increasing the voltage as the flow rate increased had a beneficial effect on NO_x
323 reduction and energy consumption (Fig. 8d). Similarly, raising the flow rate with a distance has a negligible effect on
324 energy efficiency (Fig. 8e), but the minimum flow rate with a small gap has the greatest effect on NO_x reduction (Fig.
325 7e). Additionally, Fig. 7f illustrates the effect of increasing the voltage with an increase in the electrode gap on NO_x
326 reduction at a constant NO_x concentration and flow rate, despite the fact that increasing the voltage with an increase
327 in the electrode gap had a negative effect on NO_x reduction. A similar finding is obtained for the DBD reactor's energy
328 efficiency (Fig. 8f).



329

330 **Fig. 7** Contour plot for NO_x reduction a. flowrate vs NO_x concentration, b. voltage vs NO_x concentration, c. gap vs
 331 NO_x concentration, d. voltage vs flowrate, e. gap vs flowrate and f. gap vs voltage



332

333 **Fig. 8** Contour plot for energy efficiency a. flowrate vs NOx concentration, b. voltage vs NOx concentration, c. gap

334 vs NOx concentration, d. voltage vs flowrate, e. gap vs flowrate and f. gap vs voltage

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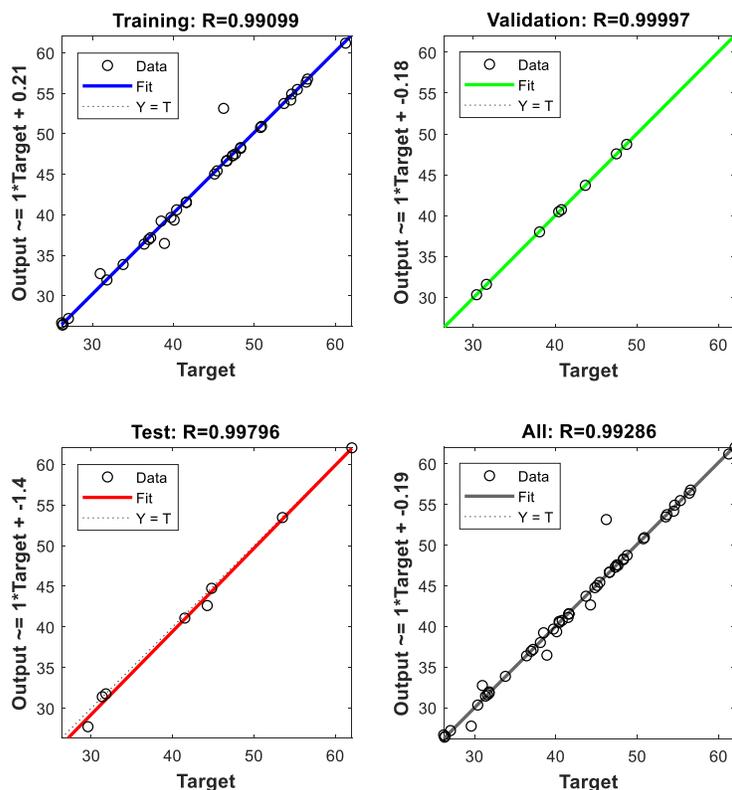
337 **Optimum conditions**

338 The maximum response is used as a benchmark for optimization concerning NOx reduction and energy efficiency, as
 339 well as other parameters including NOx concentration, flow rate, voltage, and electrode gap in the experimental study
 340 region. Using experimental trials, the optimal values obtained for NOx concentration, flow rate, voltage and voltage
 341 are presented in Table 6. As a result of this optimum condition, the overall NOx reduction is 60.5 % and the energy
 342 efficiency is 66.24 J/lit.

343 **Table 4** Optimum conditions from BBD design

NOx Concentration (ppm)	Flow rate (lpm)	Voltage (kV)	Electrode gap (mm)	Result
NOx reduction				
300	2	30	3	60.5%
Energy Efficiency				
350	6	20	3	66.24 g/J

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345

346 **Fig. 9** ANN Regression plot

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348 **ANN modeling**

349 ANN is used for the modelling and testing of the neuronal network model in NOx reduction experiments using the
350 experimental results under the BBD operating conditions. To evaluate the optimal ANN model, a hidden layer of 1–
351 14 neurons is used. Fig. 9 showed the preparation, evaluation, and test network performance curves along with their
352 R-squared values for the R-terms (predictor-predicted), for comparison between all possible configurations of the
353 network architectures. The accurate outcome presented here (network inputs equal output requirements) comes up
354 with R=0.99. The findings show a strong association between the output values and goals during training (R=0.99099)
355 and testing (R=0.9979). The results showed good correlations between output values and objectives. From Table 2
356 predicted findings are checked by the experimental data, confirming that the model had a good correlation with the
357 actual result. Additional tests also demonstrate that the nonlinearity of the structure correctly as measured by the ANN
358 model.

359 The "cause and effect" of the input variables is modelled by means of sensitivity analysis. Therefore, the system
360 efficiency is determined to be improved by the results of the inputs of the predictor factors. In order to establish the
361 value of the network variables for NOx reduction, the Garson equation and Connection weights algorithm have been
362 employed. ANN given the coefficients, which stand for the interactions between inputs and outputs in an NTP reactor,
363 each of which is calculated based on how much of the signal they received. The Garson equation and connection
364 weights method are used to determine the relative influence of four input variables, as seen in Eq 15-16 (Goh 1995,
365 Shin et al. 2019).

366
$$RI_G = \frac{\sum_{n=1}^k |w_{mn}w_{nh}|/\sum_{t=1}^v |w_{tn}|}{\sum_{m=1}^k \sum_{n=1}^k (|w_{mn}w_{nh}|/\sum_{t=1}^v |w_{tn}|)} \quad (15)$$

367
$$RI_c = \sum_{n=1}^k |w_{mn}w_{nh}| \quad (16)$$

368 Where, RI_G and RI_c denotes relative importance by garsons and connection weights algorithm, v and k denote
369 number of hidden and input neurons, w_{mn} and w_{nh} denotes connection weight among input & hidden neuron and
370 connection weight among hidden & output neuron.

371 In all cases, the findings show that all relation weights displayed positive or negative values, and in addition
372 to being influenced. To prevent this, all relation weights have been determined in the adjusted Garson equation with
373 their absolute value. Thus, the two approaches are compared on the end results, and tested based on the weights that
374 are derived from preparation (Zhou et al. 2015). The changes in initial weights influenced the final weight. As seen in
375 Table 5, the parameters are ranked according to their relative significance. This is based on the findings of the link
376 weight process, which showed voltage is the most influential in the rate of reduction of NOx emission, followed by
377 electrode distance and NOx concentration.

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383 **Table 5** Relative importance of input factors for NOx reduction

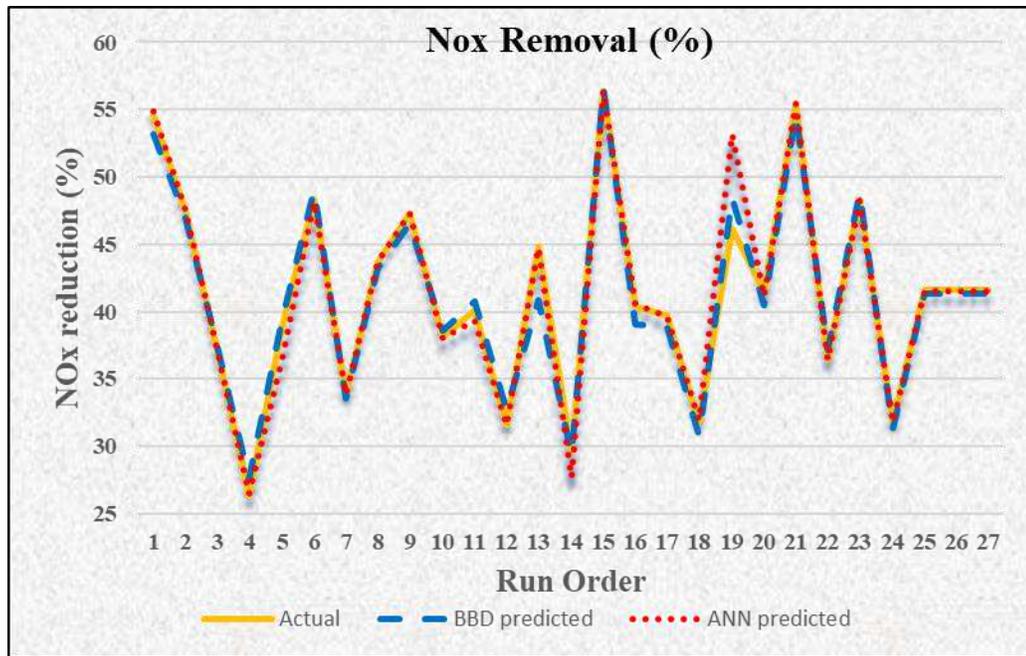
Input Variables	Connection Weight Method		Garson Method	
	Relative importance	Rank	Relative importance	Rank
NOx Concentration	16.98	4	2.33	3
Flow rate (lpm)	25.25	3	2.67	2
Voltage (kV)	32.28	1	2.68	1
Electrode Gap (mm)	28.42	2	2.32	4

384
 385 In the data obtained by the Garson method (Table 11), it can be concluded that voltage and flow rate have
 386 the greatest effect on the removal rate, then NOx concentration and electrode gap, followed by NOx concentration. In
 387 terms of voltage and flow rate, this finding is not commensurate with the RSM result. The most important element
 388 (58,74% contribution) is that of voltage, according to the RSM model than flow rate (17.83 percent contribution).
 389 From this perspective, both flow rate and voltage have been the most critical variables, while electrode distance is the
 390 least critical. Garnson method is highly consistent with the BBD paradigm, according to this comparison of the relative
 391 value of ANN to BBD. Sensitivity analysis can quantify the proportion of effect, but the quadratic function describes
 392 the positive/negative correlation.

393 **RSM and ANN model validation**

394 **Table 6** Comparison results for BBD and ANN

Response	Error	RSM	ANN
NOx Reduction	MSE	1.274	2.167
	RMSE	1.128	1.472
	MAPE	2.053	1.276
	R ²	0.98	0.99
Energy Efficiency	MSE	2.230	0.246
	RMSE	1.493	0.496
	MAPE	2.903	0.615
	R ²	0.97	0.99



395

396 **Fig. 10** Comparison plot for NOx reduction

397 The predictions, which are gathered from the experimentally observed responses, are compared to find out
 398 whether an ANN or an RSM approach is more efficient. The good use of R^2 values near 1.0, with the comparison of
 399 the statistic parameters acquired by ANN and RSM, are validated with the good usefulness of model's prediction. The
 400 findings of RSM and ANN experiments in NOx reduction and energy efficiency have been seen in Table 7, which
 401 compared their values to those of R^2 , MSE, RMSE and MAPE. RSM Models NOx drop, R^2 , MSE, RMSE and MAPE
 402 are respectively 0.98, 1.274, 1.128 and 2.053. In ANN, 0.99, 2.167, 1.472 and 1.276 respectively for the R^2 , MSE,
 403 RMSE and MAPE model. Similarly, the R^2 , MSE, RMSE and MAPE values from the RSM models are respectively
 404 0.97, 2.230, 1.493, and 2.903 for energy efficiency. A for ANN model, the R^2 , MSE, RMSE and MAPE models
 405 included 0.99, 0.246, 0.46 and 0.615. It is found that the ANN models are more accurate than the RSM model at
 406 predicting the NOx removal/reduction and efficiency data. Fig. 10 depicts the distribution of experimental and
 407 predicted values of BBD and ANN model for NOx reduction of DBD system.

408 **Conclusion**

409 The experimentation was carried out in this study to limit NOx emissions from diesel engines using an NTP-based
 410 DBD reactor. The NOx elimination and energy efficiency of the DBD reactor have been modelled using Box Behnken
 411 Design (BBD) and Artificial Neural Network (ANN) techniques. Two approaches were used to optimise NOx
 412 concentration, gas flow rate, voltage and electrode gap parameters for reactor optimization of NOx reduction and
 413 energy efficiency. The current study's key conclusions can be outlined as follows:

- 414 • Contour plots have an effective means of analyzing factor relationships, as well as the final output model for
 415 the NTP process outlined 98.82% of variance in NOx reduction and 97.83% of the variation in energy
 416 efficiency. The plots show that the rise in the flow rate has been a strong factor in the reduction of NOx,

417 which has a direct effect on energy efficiency as the flow rate increases. Further, the voltage and electrode
418 gap are positively correlated with NO_x reduction but have a negative impact on energy efficiency.

- 419 • The optimum operating parameters of the NTP method was evaluated for NO_x removal at NO_x concentration
420 of 300 ppm, voltage of 30 kV, electrode gap of 3 mm and flow rate of 2 lpm and for energy efficiency at
421 NO_x concentration of 350 ppm, voltage of 20 kV, electrode gap of 3 mm, and flow rate of 6 lpm. NO_x
422 removal was calculated to be 60.5% under these optimal conditions with an energy efficiency of 66.24 g/J.
- 423 • The validation experimental results showed that the ANN model (4-10-2) built from the minimal
424 experimental data performed reasonably well. Furthermore, with a co-operative correlation coefficient of
425 0.99 for NO_x reduction and energy efficiency, this model was capable of capturing the uncertainties of the
426 experimental data stronger than the RSM model. As a result, the ANN model's accuracy in estimating the
427 NO_x removal process and in looking for the optimal condition was well than the RSM model. ANN explained
428 it in terms of the relative importance of the various variables as listed higher to lower rank such as, voltage,
429 flow rate, NO_x concentration, and electrode gap on NO_x reduction.
- 430 • These studies have led to potential outcomes in wide-ranging application of plasma technologies for the NO_x
431 removal.

432 **Declarations**

433 **Ethics approval and consent to participate**

434 Not applicable

435 **Consent for publication**

436 Not applicable

437 **Availability of data and materials**

438 Not applicable

439 **Competing interests**

440 We have no conflicts of interest to disclose.

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443 Major research project (File No. 39-883/2010(SR)).

444 **Authors' contributions**

445 CM supervised and validated the whole project. ASR analyzed, interpreted and wrote the manuscript. RB
446 helped in the ANN analyse. NS, RR and MV conducted the experiments.

447

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