

A Satellite-linked Tag for the Long-term Monitoring of Diving Behavior in Large Whales

Daniel M. Palacios (✉ daniel.palacios@oregonstate.edu)

Oregon State University <https://orcid.org/0000-0001-7069-7913>

Ladd M. Irvine

Oregon State University

Barbara A. Lagerquist

Oregon State University

James A. Fahlbusch

Stanford University Hopkins Marine Station

John Calambokidis

Cascadia Research Collective

Stanley M. Tomkiewicz

Telonics, Inc

Bruce R. Mate

Oregon State University

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2 **behavior in large whales**

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4 **Daniel M. Palacios^{1,2,†,*}, Ladd M. Irvine^{1,2,†}, Barbara A. Lagerquist^{1,2}, James A.**
5 **Fahlbusch^{3,4}, John Calambokidis⁴, Stanley M. Tomkiewicz⁵ and Bruce R. Mate^{1,2}**

6 ¹Marine Mammal Institute, Oregon State University, Newport, Oregon, USA

7 ²Department of Fisheries, Wildlife, and Conservation Sciences, Oregon State University,
8 Newport, Oregon, USA

9 ³Department of Biology, Hopkins Marine Station, Stanford University, Pacific Grove, California,
10 USA

11 ⁴Cascadia Research Collective, Olympia, Washington, USA

12 ⁵Telonics, Inc., Mesa, Arizona, 85204, USA

13

14 †These two authors contributed equally

15

16 *Corresponding author:

17 Daniel M. Palacios

18 daniel.palacios@oregonstate.edu

19

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21 **Abstract**

22 Despite spending most time underwater, the technology in use to track whales over large
23 geographic ranges via satellite has been largely limited to locational data, with most applications
24 focusing on characterizing their horizontal movements. We describe the development of the
25 RDW tag, a new Argos-based satellite telemetry device that incorporates sensors for monitoring
26 the movements and dive behavior of large whales over several months without requiring
27 recovery. Based on an implantable design, the tag features a saltwater conductivity switch, a tri-
28 axial accelerometer, and an optional pressure transducer, along with onboard software for data
29 processing and detection of behavioral events or activities of interest for transmission. We
30 configured the software to detect dives and create per-dive summaries describing behavioral
31 events associated with feeding activities in rorqual whales. We conducted a validation by proxy
32 of the dive summary and event detection algorithms using field data from a medium-duration
33 archival tag. We also conducted a simulation exercise to examine how the expected data
34 recovery would vary under different dive behavior scenarios and compared those results to
35 empirical values from field deployments of the RDW tag on blue (*Balaenoptera musculus*) and
36 humpback (*Megaptera novaeangliae*) whales. The dive summary algorithm accurately reported
37 dive depth and duration, while the accuracy of the lunge-feeding event detection algorithm was
38 dependent on the precision of the accelerometer data that was used, with a predicted accuracy of
39 0.74 for correctly classifying feeding dives from 1/64-G precision data and 0.95 from 1-mG
40 precision data. Simulated data recovery was lower with sparser transmission schedules, shorter
41 mean dive durations, and lower rates of successfully received transmissions. Empirical data
42 recovery was lower than expected from the simulation, suggesting the effect of additional factors
43 like data gaps. By measuring key aspects of the per-dive behavior of large whales over multi-

44 month timescales of movement, the RDW tags provide the ability to monitor previously
45 unobservable behaviors across entire geographic ranges, extending the applications of satellite
46 telemetry devices to new areas of whale physiology, behavior, ecology, and conservation.

47 **Keywords**

48 Argos satellite telemetry, data recovery, bio-logging, smart tags, accelerometry, adaptive
49 algorithm, event detection, diving behavior, foraging, lunge-feeding, ecological scales, rorqual
50 whales, cetaceans

51 **Background**

52 The field of wildlife tracking and bio-logging using electronic devices has experienced explosive
53 growth in the last two decades thanks to important technological advances and decreasing costs,
54 which have made it a widely accessible approach for the study of movement, both in terrestrial
55 and aquatic animals (1,2). Despite the dawn of this “golden age of animal tracking,” large whales
56 remain among the most difficult species to study using these technologies. Whales are large,
57 highly streamlined animals that cannot be captured for tag attachment. Additionally, deploying
58 and recovering electronic devices at sea on animals that have the capability to move great
59 distances in short periods of time involves complicated and expensive logistics (3). Furthermore,
60 as with other air-breathing aquatic animals that spend most of their time underwater, data
61 transmission to earth-orbiting satellite platforms is limited to the brief periods that a whale is at
62 the surface and a receiving satellite is simultaneously passing overhead. While these challenges
63 have been partially overcome at fine scales by high-resolution (but short-duration) archival tags
64 (e.g. (4–6)), the constraints associated with the transmission of large amounts of data over

65 extended times together with the limited functionality of longer-duration tags has led to a dearth
66 of behavioral data at large spatio-temporal scales. This situation has restricted the scope of
67 questions that have been addressed regarding whale distribution, movement, behavior, and
68 ecology.

69 The primary technology for tracking the long-distance movements of large whales, in use since
70 1997, has been a “consolidated” tag design (7) linked to the Argos satellite system. The
71 electronics and retention elements of consolidated tags are typically incorporated into a single
72 tag housing that is implanted on the whale’s dorsal surface, from which only the antenna and a
73 salt-water switch are external to minimize hydrodynamic drag (3,8,9). These non-recoverable
74 tags can stay attached for long periods of time (typically several months) before they fall off. In
75 addition to locational data, some consolidated tag models have included capabilities for reporting
76 surfacing intervals and summarized dive behavior data in the form of time spent in discrete depth
77 or temperature intervals (i.e., “histogram data”) or as per-dive metrics such as dive duration and
78 maximum dive depth (10–14). While such summaries have provided useful information on
79 overall diving behavior and time budgets, these data cannot be used to make inferences about
80 actual prey encounters or prey captures, and so their value in terms of understanding feeding
81 behavior is limited.

82 Most of what we know about large whale diving behavior comes from short- and medium-
83 duration archival tags, which permit collection of continuous, sensor-rich data streams. For
84 example, from these bio-loggers we have learned that prey capture events in several whale
85 species are often associated with rapid changes in motion that can be identified by their
86 stereotypical signatures in accelerometer data (15–18). This information has been used to
87 examine topics like the kinematics of feeding behavior (6,19,20), feeding strategies in relation to

88 the local prey field (21–25), or behavioral responses to anthropogenic activities (26–29).
89 However, the typical deployment period of these devices is limited to < 24 h (if attached with
90 suction cups) or to a few days or weeks (if attached with subdermal anchors), and recovery of the
91 tags is required to download the complete data record (4,26,29–32).

92 At broader scales, whale foraging behavior has only been inferred indirectly from tracking data,
93 either from the characteristics of horizontal movement (e.g., from concentrated locations (33)) or
94 from summarized data (e.g., per-dive or histogram data (10,11)). However, the relationship
95 between inferred and direct measurements of whale feeding behavior across spatial and temporal
96 scales remains unverified (34) and may vary with the scale of observation (i.e., the “grain size”;
97 (35,36)). As movement behavior is driven by resource tracking and food acquisition through a
98 hierarchy of processes that operate at multiple spatio-temporal scales (37), obtaining this
99 information is essential to quantify the variability of resource dynamics and foraging strategies,
100 to test predictions on emergent behavior, and to improve our overall understanding of how
101 whales perceive and respond to their environment (2,38). Now more than ever, this information
102 can be critical to guide biodiversity conservation in the face of rapid global change (1,2,38).

103 Here we present a new satellite telemetry device for tracking the movements and dive behavior
104 of large whales over several months without tag recovery. The tag, manufactured by Telonics,
105 Inc. (Mesa, Arizona, USA), collects dive duration from a salt-water switch, inertial motion from
106 a tri-axial accelerometer, and dive depth from a pressure transducer. The tag uses a
107 microprocessor-based approach (39) featuring data processing software for (a) detecting
108 behavioral events from accelerometer data using an adaptive algorithm to account for individual
109 variation in behavior, and (b) summarizing and compressing dive data streams for transmission
110 through the Argos system. While satellite-linked tag platforms featuring accelerometers and

111 associated software have been recently developed for detecting, abstracting, and transmitting
112 behavioral measures of activity in other marine top predators (40–42), this is the first time that
113 accelerometers with event detection software were used on a satellite-transmitting tag for large
114 whales.

115 **Methods**

116 **Tag development**

117 Development of the tag proceeded incrementally between 2015 and 2017, during which time we
118 tested a variety of tag and software configurations in collaboration with Telonics. The initial
119 model (RDW-640) used the salt-water switch to distinguish between dives and surfacings, and
120 these data were compressed and relayed via Argos. This model also included a tri-axial
121 accelerometer, but the software for processing this data stream was not yet developed, so the
122 sensor was not active. A subsequent model (RDW-665) added a pressure sensor, increased
123 battery capacity, and implemented a behavioral event detection algorithm to analyze the
124 accelerometer data stream in real time. The updated model generated a data summary for every
125 dive, consisting of dive duration, maximum dive depth, and number of behavioral events
126 detected. Software onboard the tag’s microprocessor packaged these summaries into messages
127 for transmission through Argos, completing the development of the device. As the RDW-665
128 model included all components and configurations that were used by the RDW-640 model, from
129 this point forward we refer to all tag versions as the “RDW tag” unless specifically noted.

130 *Tag components and design*

131 The RDW tag follows the same design of other consolidated tags for large whales in use since
132 1997 (3), which consists of a main body, an antenna and external sensor endcap at the distal end,
133 a penetrating tip at the proximal end, and an anchoring system (Figure 1). The main body
134 consists of a stainless-steel cylinder 18.5 cm in length \times 1.9 cm in diameter that houses a
135 motherboard, a certified Argos transmitter (401.650 MHz \pm 30 kHz operational frequency), a
136 thermistor for internal tag temperature monitoring, a tri-axial accelerometer, and a lithium
137 battery pack (two DL2/3A Duracell® 1550 mAh 3 V cells in parallel). An external flexible whip
138 antenna (15.8-cm long) and a stalked salt-water switch (2.2-cm long), both constructed of single-
139 strand nitinol (1.27 mm in diameter), are connected to the transmitter and mounted on a
140 polycarbonate endcap (2.6 cm in external length) that seal the distal end of the cylinder with two
141 rubber O-rings. The endcap is held in place by four stainless-steel set screws drilled through the
142 stainless-steel cylinder. The port for the pressure sensor of the RDW-665 model is also mounted
143 on the endcap (Figure 1).

144 The endcap has two perpendicular stops (1.5 cm long \times 0.9 cm wide \times 0.6 cm thick) extending
145 laterally to prevent tags from embedding too deeply on deployment or from migrating inward
146 after deployment. The penetrating tip is attached to the main body by a threaded screw (1.17 cm
147 long \times 0.64 cm in diameter) and fixed with a set screw to prevent unthreading after deployment.
148 It consists of a polyoxymethylene (Delrin®) nose cone into which a ferrule shaft with four
149 double-edged blades is pressed and secured with a transverse roll-pin to prevent unintentional
150 removal. The anchoring system consists of two rows of ten outwardly curved metal strips (each
151 strip was 3.2 cm long \times 0.6 cm wide) mounted on the main body at the nose cone (proximal) end
152 (Figure 1). Total tag weight is approximately 300 g.

153 The tag's cylinder is partially coated with a long-dispersant polymer matrix (Resomer® or
154 Eudragit®) in which a broad-spectrum antibiotic (gentamicin sulfate) is mixed to allow for a
155 continual release of antibiotic into the tag site for an extended time to reduce the chances of
156 infection (Figure 1). Like other consolidated tags, the RDW tag was designed to be almost
157 completely implantable (except for the perpendicular stops, antenna, and salt-water switch), and
158 is ultimately shed from the whale due to hydrodynamic drag and/or the natural migration out of
159 the tissue as a foreign body response (3). The expected functional life of the RDW tags is 188 d
160 when transmitting 24 h per day with a 45-s repetition rate, and 95% of time spent underwater
161 (43). The ethics of the use of consolidated implantable tags on large whales are briefly discussed
162 in the *Ethics approval* section, below.

163 *Tag sensors*

164 Argos transmissions are only attempted when the tag is above the water's surface to save battery
165 power (39). The status of the salt-water switch (wet/dry) is used to record dive start and end
166 times to calculate dive duration. The onboard pressure transducer allows collection of dive depth
167 data with an accuracy of ± 2 m down to 200 m and then to $\pm 1\%$ of deeper depths. A tri-axial
168 accelerometer is also included, and records data at 8-bit precision ($1/64$ G), with an accuracy of
169 ± 0.003 G and a dynamic range of -2 to 2 G. The sampling rate of tag sensors is user-
170 programmable and for our trials it was set to 1 Hz (1 s) for the salt-water switch, 0.2 Hz (5 s) for
171 the pressure transducer, and 4 Hz (0.25 s) for the accelerometer. All versions of the RDW tag
172 used in this study were tested in a water-pressure chamber to depths of 500 m with no failures.

173 *Tag software*

174 (a) *Dive summary algorithm:*

175 Dive behavior is continuously recorded and summarized for “selected dives,” defined as dives
176 meeting user-specified criteria for depth and duration, to generate “dive summaries”. For the tag
177 deployments presented here, selected dives were identified as dives > 2 min in duration and > 10
178 m in depth. Summary parameters including the start date, time, and duration of each selected
179 dive were recorded, along with the maximum depth of the dive. Other possible dive depth-related
180 metrics can be reported by the tag, such as a summarized profile of individual dives based on a
181 subset of inflection points or the percentage of time spent in user-defined depth bins (44), but we
182 did not record them in this study.

183 *(b) Event detection algorithm:*

184 The RDW tag can optionally be programmed for behavior event detection within selected dives
185 using the accelerometer to detect rapid changes in motion, such as those often associated with
186 lunge-feeding, or as a more general measure of activity based on variability of the accelerometer
187 data, with the results included in the dive summary. Accelerometer sensor data are processed by
188 the tag’s microprocessor using an adaptive event detection algorithm. Threshold parameters for
189 the event detection algorithm are continually updated from the sensor data stream and informed
190 future iterations of the algorithm, allowing it to adapt over time. This adaptability can account
191 for differences in tag placement on the whale’s body, which can affect the magnitude and
192 potential offsets of a sensor’s signal owing to site-specific differences in acceleration and
193 mechanical processes (17,45,46). The event detection algorithm was specifically developed to
194 detect lunge-feeding behavior in rorqual whales (family *Balaenopteridae*), which produces
195 strong stereotypical signatures in acceleration data (47,48) that can be used as a measure of
196 feeding effort.

197 For selected dives, events are inferred from the change in the acceleration vector (“jerk”), which
198 for high-resolution archival tags is calculated as the norm of the difference in consecutive
199 acceleration readings (17). However, for this application, the jerk calculation is integrated over
200 one full second (four measurements) by taking the magnitude of the vector difference in the
201 current accelerometer readings from those one second previous. This variation is used to
202 standardize each measurement to 1 s and to reduce the effect of spurious readings. Additionally,
203 accelerometer readings from the first 5 s and final 5 s of each selected dive are excluded to
204 eliminate artifacts from fluke stroking associated with the start or end of a dive (19), as well as
205 from ocean surface wave drag (20).

206 The development of the event detection algorithm went through two iterations:

207 Version 1: A study by Simon et al. (19) showed that rorqual feeding lunges produce distinct
208 peaks in jerk, so the initial event detection algorithm identified jerk values that exceeded the
209 mean jerk by a threshold of 3.5 standard deviations (sd), with a 30 s blanking time (17) between
210 identified events to account for prey handling. If the threshold was exceeded multiple times
211 during the blanking time, only the first instance was recorded. Software in the tag’s
212 microprocessor allowed mean and sd of jerk values to be continually updated following each
213 selected dive, making them the mean and sd of jerk for all selected dives up to that point. By
214 updating criteria to identify lunge-feeding events, the algorithm was able to adapt over time and
215 converge on threshold values that better accounted for individual differences in accelerometer
216 readings.

217 Version 2: A subsequent study by Allen et al. (16) indicated that rorqual feeding lunges were
218 best characterized by a jerk value above a specified threshold (jerk maximum) followed by a

219 value below a lower threshold (jerk minimum), so we updated the event detection algorithm to
220 identify instances when the jerk value exceeded a threshold of 1.5 sd above the mean, followed
221 by a value less than one half of the mean occurring within 30 s after the jerk peak. Jerk values
222 had to exceed the upper threshold for 2 s to qualify as a lunge-feeding event, to account for
223 transitory crossings possibly generated by error. Lunge-feeding events for each selected dive
224 were then counted after applying a 35 s blanking time, which retained the first event if multiple
225 ones were detected. As with version 1, the threshold mean and sd jerk values were updated
226 following each selected dive. Thresholds and blanking times were chosen based on those from
227 Allen et al. (16), but modified to be more conservative due to the lack of additional information
228 provided by a hydrophone in the high-resolution archival tags used in Allen et al. and the lower
229 sampling rate of RDW tags (4 Hz versus 50-500 Hz). Further description of the lunge detection
230 methodology is presented in Irvine et al. (24).

231 *(c) Data transmission via Argos:*

232 The RDW tag makes use of a highly compressed data transmission protocol to increase
233 throughput of summarized dive data via Argos. Dive summaries are collected into “dive
234 summary messages,” consisting of a variable number of consecutive (typically four to ten)
235 selected dives, depending on the number of reported summary parameters and other data
236 compression factors such as the similarity of data values being reported. The tag maintains a
237 buffer that held up to ten dive summary messages in the tag’s microprocessor random-access
238 memory. When enough dive summaries are recorded to create a new dive summary message, it
239 is added to the buffer. If there are already ten messages in the buffer, the oldest message is
240 discarded to make space for the new message.

241 Tag transmissions can contain either one dive summary message (randomly selected from the
242 buffer) or a utility message consisting of the tag's current internal temperature and voltage for
243 diagnostic purposes. The update to version 2 of the event detection algorithm also added the
244 current jerk mean and sd values into utility messages to monitor trends in those criteria over
245 time.

246 **Validation approach**

247 In principle, field validation of data collected by the RDW tag would involve a quantitative
248 comparison of the dive summaries obtained through Argos with equivalent summaries generated
249 from data recorded onboard the tag after a deployment. However, consolidated tags are not
250 designed for recovery (3,7), so this was not an option. Instead, in addition to verifying sensor
251 functionality in the laboratory, we implemented a validation by proxy of our event-detection
252 algorithm by running the tag software on a continuous data record obtained by an archival tag
253 under field conditions. Additionally, we evaluated the impact of transmission regimes on data
254 recovery via Argos using simulations compared to empirical data obtained from field
255 deployments of the RDW tag.

256 *Sensor functionality*

257 During development, we tested prototype tags in the laboratory to evaluate sensor functionality
258 and ability to report dive summaries through the Argos system. We replicated dives of varying
259 depths, duration, and complexity by closing the salt-water switch and placing the tags in a
260 pressurized chamber to replicate water depth. Enough dives were replicated to fill multiple dive
261 summary messages, which were then transmitted during an Argos satellite pass and used to
262 confirm agreement between the maximum dive depths and durations of replicated dives and the

263 corresponding tag-summarized values. Additionally, diagnostic software in the tag allowed direct
264 download of a short-duration segment of the continuous accelerometer record, which we used to
265 confirm the sensor’s ability to record rapid changes in orientation and acceleration resulting from
266 a person manipulating the tag to simulate abrupt motion changes.

267 *Dive summary and event detection algorithms*

268 We implemented a proxy validation of the tag’s dive summary and event detection algorithms.
269 For this purpose, we used a continuous data record from a Wildlife Computers TDR10-F
270 medium-duration archival tag (hereafter “TDR10 archival data”) deployed on a blue whale
271 (*Balaenoptera musculus*) for 17.8 d while it was foraging off southern California in summer
272 2017 (26,31). We examined the performance of the RDW tag dive summary and event detection
273 algorithms by running the TDR10 archival data record through the RDW tag’s algorithms and
274 comparing this output to the corresponding dive summaries calculated from the TDR10 archival
275 data using standard analytical workflows for dive data. Analyses were implemented in the R
276 software for statistical computing, v. 4.0.2 (49). We note that, while the high resolution and
277 precision of archival data are commonly used to investigate cetacean behavior, they are not
278 guaranteed to fully represent the true behavior of a tagged whale. Thus, “known” events in the
279 proxy validation analysis will refer to events known from the TDR10 archival data, rather than
280 the true behavior of the whale.

281 As the TDR10 archival tag continuously recorded pressure (depth) and tri-axial accelerometer
282 data at 32 Hz, we decimated the sensor data to 4 Hz to match the sampling rate of the RDW tag.
283 Additionally, the TDR10 archival accelerometer data were recorded with a native precision of 1
284 mG, so we subsequently reduced it to 1/64 G to match the precision of the RDW tag

285 observations. We identified “TDR10 dives” as those > 10 m depth using the *find_dives()*
286 function from the R package *tagtools* **Error! Hyperlink reference not valid.**(17,50). We then
287 calculated the maximum depth and duration for each dive, as well as their start and end times
288 using custom R scripts. Feeding lunges were identified manually using stereotypical kinematic
289 signatures from the 32-Hz accelerometer data (i.e., the animal’s depth, pitch, roll, and speed,
290 (51)).

291 We used the RDW dive summary and event detection algorithms to generate dive summaries
292 from the TDR10 archival data (hereafter “RDW dives”) as they would be received through
293 Argos during an *in-situ* deployment (i.e., dive start date-time, maximum dive depth, dive
294 duration, and number of lunge-feeding events) based on the decimated 4-Hz pressure and 1/64-G
295 accelerometer data. To validate the RDW dive summary algorithm, we matched known dive
296 summaries from the TDR10 dives to corresponding RDW dives using the dive start date-times
297 and used linear regression to quantify the correspondence between maximum dive depth and
298 dive duration values. For the number of lunge-feeding events per dive, we used polychoric
299 correlation to assess the relationship between the number of RDW-detected lunge-feeding events
300 and the number of known feeding lunges identified in the TDR10 archival data summary. This
301 analysis provided an approximation of a Pearson’s correlation coefficient for two ordinal
302 variables and was conducted using the R package *polycor* v. 0.7-10 (52).

303 The ordinal nature of the number of lunge-feeding events per dive limited the utility of typical
304 classification analyses like confusion matrices. Instead, we grouped known dives from the
305 TDR10 archival data for each ordinal level of feeding lunges per dive, and calculated a false
306 negative rate as the number of false negatives in the RDW-detected lunge-feeding events divided
307 by the number of dives in the TDR10 archival data summary for that level. A similar procedure

308 was conducted to calculate the false positive rate. These values represent the mean number of
309 lunge-feeding events missed per dive and the mean number of incorrectly identified lunges per
310 dive, respectively, for each ordinal level.

311 As indicated above, the precision of the accelerometer sensor of the RDW tags was limited to
312 1/64 G, while the TDR10 accelerometer data were natively recorded at 1 mG. This allowed us to
313 repeat the proxy validation process at the higher precision to determine if sensor precision
314 influenced the accuracy of the RDW event detection algorithm, offering the opportunity to
315 improve future versions of the tag.

316 *Synthetic metrics of feeding behavior*

317 Ecological studies often require data to be considered at different grain sizes to investigate
318 emergent patterns at different domains of scale (53–55). The RDW tag was developed to monitor
319 large whale feeding behavior across ecologically relevant spatio-temporal scales (i.e., 10s to
320 1000s of km and days to months), such that further synthesis of event data into coarser-grained
321 metrics of feeding behavior may offer insights at broader scales (35,54,55). We evaluated the
322 ability of RDW data to describe patterns of feeding at the per-dive level (i.e., feeding versus non-
323 feeding dives) and at the per-feeding-bout level (i.e., sequences of feeding dives) relative to the
324 TDR10 archival data.

325 The classification of RDW-derived feeding dives was validated by comparison to known feeding
326 dives identified from the TDR10 archival data using a confusion matrix calculated with the
327 function *confusionMatrix()* in R package *caret* v. 6.0-86 (56). For this classification, we report
328 the true positive detection rate as the number of correctly classified feeding dives divided by all
329 known feeding dives, the false positive detection rate as the number of incorrectly classified

330 feeding dives divided by all known non-feeding dives, and the accuracy as the sum of correctly
331 classified feeding and non-feeding dives divided by the total number of dives.

332 To assess temporal trends in feeding intensity through feeding bouts obtained from the RDW and
333 TDR10 dive summaries, we graphically examined the probability density distribution of the
334 period of time between feeding dives to identify a behavioral change point criterion where the
335 right tail of the distribution stabilized at a low value. Feeding bouts were identified as sequences
336 of dives where feeding dives were separated by a period no longer than the criterion. A period
337 longer than the criterion was interpreted as the whale changing its behavior, or possibly leaving a
338 feeding patch. We tested the RDW-derived probability density distribution for consistency with
339 the distribution of values from TDR10 data using Bhattacharyya's similarity coefficient (57,58),
340 where values < 0.05 and > 0.95 indicate that the distributions are significantly different, or
341 similar, respectively, and intermediate values indicate the probability of overlap between the two
342 distributions (57). The number of RDW-derived bouts was then compared to the number of
343 known TDR10-derived bouts. As described above for the dive summary and event detection
344 algorithms, the synthetic metrics described in this section were generated at the 1/64-G precision
345 of the RDW accelerometer sensor as well as at the 1-mG precision of the TDR10 sensor.

346 *Assessment of data recovery via Argos*

347 Recovery of RDW dive summary data via Argos during field deployments is dependent on the
348 coincident occurrence of the whale at the surface, with the tag scheduled to transmit, while a
349 satellite is overhead to receive the transmission. If a dive summary message is replaced by a new
350 message in the transmission buffer without having been received by a satellite, its data are lost.

351 Thus, data recovery (i.e., the number of summarized dives received) will depend on the number
352 of messages received by a satellite over a given time period. Whales making short-duration dives

353 will generate more dive summary messages per day than whales making long-duration dives,
354 filling the transmission buffer more quickly and potentially replacing messages before they are
355 received by a satellite. Further, longer time periods spent at the surface will allow more messages
356 to be transmitted compared to shorter surface periods, increasing the likelihood of a satellite
357 being overhead when a message is transmitted.

358 To characterize the expected data recovery during an RDW tag deployment we conducted
359 simulation experiments where time series of dives for a hypothetical whale were generated under
360 a range of behavioral and tag programming regimes, to test their effect on data recovery. The
361 results of these simulations were then compared to empirical values collected from field
362 deployments conducted on blue and humpback (*Megaptera novaeangliae*) whales off California
363 during summer of 2017. A dive time series was composed of sequences of dives followed by
364 post-dive intervals (PDI) during which a whale is near the surface for a cycle of respirations
365 before the next dive. We generated two representative time series of dives ($n = 4,000$), matched
366 in time to real satellite pass intervals, by sequentially drawing a dive duration from log-normal
367 distribution, then calculating a corresponding PDI based on predictions from a linear model fit to
368 dive duration and PDI values gleaned from Dolphin (59) and Acevedo-Gutiérrez et al. (60) (PDI
369 $= 0.07944 + 0.29333 \times \text{duration}$). A “short-dive” time series simulated a whale making short-
370 duration dives (mean = 3 min, sd = 1.5 min), while a “long-dive” time series consisted of longer-
371 duration dives (mean = 9 min, sd = 1.3 min). These values were intended to broadly reflect the
372 dive behavior of humpback (59) and blue whales (60,61), respectively, although the species
373 designation is ultimately unimportant, as we expect similar results from other species with
374 similar dive behavior. The 4,000-dive length of the time series was chosen so the time series

375 would last multiple weeks, allowing for a range of daily satellite pass durations to be
376 incorporated.

377 For each simulated dive time series, different tag programming regimes were implemented to
378 determine their effect on data recovery. Sequential groups of seven dives from a time series were
379 identified to replicate RDW tag dive summary messages and added to (and later removed from) a
380 simulated transmission buffer holding ten messages. Daily simulated transmissions occurred
381 either during six, 1-h periods (“6-h schedule”) scheduled to coincide with the most likely time a
382 satellite would be overhead, or during alternating hours of the day (“12-h schedule”) to replicate
383 the range of compromises that Argos users often have to make between continuously
384 transmitting and conserving battery power by reducing the number of transmissions. Satellite
385 pass predictions were obtained with the satellite pass prediction tool available to users of the
386 Argos system via their website (62) for the area off Newport, OR, USA (45°N, 124°W).

387 During each PDI when simulated transmissions were scheduled to occur, a dive summary
388 message was randomly selected with replacement from the transmit buffer every 30, 60, or 120 s
389 (transmit interval) and assumed to have been transmitted. The different transmit intervals are
390 meant to reflect individual and interspecific differences in a whale’s respiration cycle while at
391 the surface, which controls when tags can transmit. Data from a transmitted dive summary
392 message were considered “received” if the transmission time coincided with a predicted satellite
393 pass and it was retained after accounting for an empirically determined message corruption rate
394 of 44% (detailed in the next section). We ran simulations for both short- and long-dive time
395 series with all combinations of tag programming conditions and calculated the proportion of all
396 dive summaries received (after excluding duplicates) compared to the true number of dives in the
397 simulated time series. Hourly plots of the number of received dives were also made to examine

398 temporal trends in data recovery that might be related to patterns of satellite coverage and/or
399 transmit schedule.

400 *Field deployments*

401 To provide an empirical comparison to proxy validation results and simulated predictions, we
402 obtained the event-detection threshold values and characterized data recovery and message
403 corruption for 28 RDW tags deployed on blue (n = 14) and humpback whales (n = 14) off
404 southern and central California during July-August 2017 (63,64). Seven humpback whale tags
405 were RDW-660 (which only reported dive start time and duration), while the other seven tags
406 were RDW-665 (which recorded dive start time, duration, maximum dive depth, and number of
407 lunge-feeding events). All blue whale tags were RDW-665. To conserve battery power and
408 maximize operational tag life, RDW-660 tags were programmed to transmit when at the surface
409 for five 1-h periods each day, while RDW-665 tags were programmed to transmit for six 1-h
410 periods. Both RDW tag models were programmed with a 10-s transmit repetition rate when at
411 the surface. These transmission periods were selected based on satellite pass predictions for the
412 time and location of tag deployment, available to users of the Argos system via their website
413 (62).

414 For each tag deployment, we used the received data to extract the event-detection threshold
415 values (mean and sd jerk) from utility messages and summarized them based on their minimum,
416 maximum and last values reported. We also identified and removed corrupted messages of all
417 types using the Cyclic Redundancy Check (CRC) code, and determined the proportion of
418 corrupted messages relative to the total number of received messages. We then calculated the
419 number of unique daily dive summary messages received and plotted the number of received

420 dives by hour for comparison to simulated results. We also calculated the percent of both the
421 simulated and empirical time series data that was summarized by received transmissions as the
422 sum of all received dive durations and PDIs divided by the total duration of the time series.
423 During field deployments, dive end times were calculated as the sum of the dive start time and
424 duration, while PDI was calculated as the difference between a dive start time and the end time
425 of the previous dive. As the PDI value for the last dive in a dive summary message cannot be
426 calculated, the complete dive time series cannot be recovered. For this reason, we removed the
427 last PDI value from each received dive summary message in our simulation study to better match
428 the empirical data when calculating the percent of the tracking period summarized.

429 **Results**

430 **Proxy validation of dive summary data**

431 *1/64-G precision data*

432 The RDW dive summary algorithm identified 2,462 selected dives and 1,302 lunge-feeding
433 events (range = 0-11 per dive; Figure A1 in Additional file) when implemented on TDR10
434 archival data at the reduced precision of the accelerometer sensor of the RDW tags used in field
435 deployments (1/64 G). Of these, 753 dives contained at least one feeding lunge, and were
436 consequently classified as feeding dives. A total of 6,317 feeding lunges (range = 0-12 per dive)
437 were manually identified in 1,345 feeding dives when generating known dive summaries from
438 the TDR10 archival data. There was a near-perfect correlation between the two sets of dive
439 summaries for both maximum dive depth and duration (Spearman's rank correlation, $\rho = 1$;
440 Figures A2 and A3 in Additional file).

441 The threshold values (mean and sd of jerk) of the RDW event detection algorithm stabilized
442 quickly and had no variation after about 80 dives over the initial 13 h of the 17.8-d tracking
443 period (mean jerk = 2/64 G/s, sd jerk = 4/64 G/s; Figure A4 in Additional file). The number of
444 detected RDW lunge-feeding events per dive was positively correlated with the number of
445 feeding lunges detected in the TDR10 archival data (polychoric correlation $r = 0.63$; Figure 2).
446 The false negative rate by lunges per dive ranged from 0.56 to 5.6 (excluding a single 12-lunge
447 dive that the event-detection algorithm missed by 1 lunge), while the false positive rate ranged
448 from 0 to 0.02 (Table A2 in Additional file).

449 The accuracy of the RDW event detection algorithm when classifying feeding/non-feeding dives
450 at 1/64-G precision was 0.74 when compared to known feeding dives from the TDR10 archival
451 data (Table 1). The true-positive detection rate was 0.55, indicating many feeding dives were not
452 correctly identified by the RDW event detection algorithm. However, the false-positive detection
453 rate was 0.018, indicating that, when a feeding dive was identified, it was almost always
454 correctly classified.

455 The probability density distribution of time between feeding dives showed a high degree of
456 overlap between RDW and TDR10 data (Bhattacharyya's similarity coefficient = 0.82). Most
457 times between feeding dives occurred at ≤ 60 min (Figure A5 in Additional file), indicating 60
458 min as a good criterion to identify the end of a feeding bout. Using this criterion, the RDW data
459 generated 70 feeding bouts, 21 of which were single-dive bouts. Since our goal for identifying
460 feeding bouts was to illustrate how coarse-grained metrics of feeding activity could be generated
461 from RDW tag data, single-dive bouts were removed, resulting in a total of 49 feeding bouts,
462 compared to 20 known feeding bouts recorded by TDR10 data (none of which were single-dive
463 bouts).

464 *1-mG precision data*

465 Implementing the RDW event detection algorithm at the native (1-mG) resolution of the TDR10
466 archive data resulted in the detection of 4,452 lunge-feeding events (range = 0-10 per dive;
467 Figure A1 in Additional file) and 1,372 feeding dives, compared to 6,317 known lunge-feeding
468 events and 1,345 feeding dives in the TDR10 archive. The threshold values (mean and sd of jerk)
469 of the RDW event detection algorithm converged on initial ranges of ± 5 mG/s for both
470 parameters after about 110 dives over the initial 19 h of the 17.8-d TDR10 tag deployment, and
471 eventually stabilized to consistent values after approximately one week (mean jerk = 49.9 mG/s,
472 sd jerk = 67.5 mG/s; Figure A4 in Additional file). The number of RDW lunge-feeding events
473 per dive detected from 1-mG data was closely positively correlated with the number of known
474 feeding lunges from the TDR10 archive data (polychoric correlation $r = 0.88$; Figure 2). The
475 mean false negative rate by lunges per dive ranged from 0.29 to 1.83 (excluding two single-dive
476 ordinal levels with one and two false negatives), while the false positive rate ranged from 0 to
477 0.22 (Table A2 in Additional file).

478 The accuracy of the RDW event detection algorithm when classifying a feeding dive at the
479 native (1-mG) resolution of the TDR10 archive data was 0.95 when compared to known feeding
480 dives identified from the TDR10 archive data (Table 1). The true-positive detection rate was
481 0.96, while the false-positive detection rate was 0.067, indicating that the vast majority of
482 feeding dives were correctly classified by 1-mG RDW dives.

483 The probability density distribution of time between feeding dives showed a high degree of
484 overlap between RDW and TDR10 dives (Bhattacharyya's similarity coefficient = 0.83). Most
485 times between feeding dives occurred at ≤ 60 min (Figure A6 in Additional file), indicating a

486 good criterion to identify the end of a feeding bout. Using this threshold, the RDW data
487 generated 31 feeding bouts, 11 of which were single-dive bouts. When single-dive bouts were
488 removed, RDW data reported 20 feeding bouts, which was the same as recorded by TDR10 data.

489 **Data recovery via Argos**

490 As expected, simulated data recovery increased with decreasing transmit intervals (i.e., more
491 received dive summary messages), while longer mean dive duration and PDI increased the
492 proportion of simulated dive summaries that were recovered (Table 2). The 6-h transmit schedule
493 resulted in the recovery of 80.8-97.5% of long-dive summaries across all transmit intervals
494 compared to 53.2-74.6% for short-dive summaries. This represents a relative increase in data
495 recovery of 20.6% and 40.2% respectively for a quadrupling of the dive summary messages
496 transmitted between the shortest and longest transmit intervals. More than 84% of the simulated
497 dive summaries were recovered across both short- and long-dives when the 12-h schedule was
498 used (Table 2), with a relative increase in data recovery of 14.3% for short-dive summaries and
499 only 1.2% for long-dive summaries across the range of transmit intervals. For the short-dive
500 time series, gaps were present in the daily pattern of recovered dives when using the 6-h transmit
501 schedule and were centered on gaps in transmit times, related to satellite coverage (Figure 3,
502 Additional file Figure A6). In these instances, most dives were recovered for the period 3-4 h
503 before a scheduled transmission hour. No data gaps were present in the daily pattern of recovered
504 dives for the long-dive time series, although for longer transmit intervals (60 s, 120 s), fewer
505 dives were recovered during hours near the start of long gaps in satellite coverage (Table 2,
506 Figure 3, Additional file Figure A6).

507 **Field deployments**

508 Event-detection threshold values received from RDW tag utility messages were generally higher
509 than those calculated by the proxy validation using the TDR10 archival data, with mean jerk
510 thresholds for blue whales ranging from 5/64 to 16/64 G/s (sd jerk range: 9/64-19/64 G/s) and
511 from 9/64 to 19/64 G/s (sd jerk range: 6/64-18/64 G/s) for humpback whales (Table A1 in
512 Additional file). The median percentage of corrupted messages for blue whales was 44% (range:
513 28-58%) for blue whales and 18% (range: 10-32%) for humpback whales (Table A3 in
514 Additional file). Fewer dive summaries were recovered from RDW tags deployed on blue and
515 humpback whales than would be expected from the simulation of data recovery rates. A mean of
516 8.8 (sd = 5.2) unique dive summary messages were received per day from tags attached to blue
517 whales, summarizing a mean of 45.8% (sd = 15.0%) of the tracking duration (Table 2, Figure 4).
518 Fewer unique daily dive summary messages were received from humpback whales tagged with
519 RDW-660 tags (mean = 5.6, sd = 3.3; transmit schedule: five 1-h periods) compared to those
520 tagged with RDW-665 tags (mean = 11.1, sd = 4.6; transmit schedule: six 1-h periods), which
521 resulted in a smaller percentage of the tracking period being summarized (mean = 33.9% versus
522 71.1%, sd = 15.0% versus 8.3%, respectively). However, by reporting one less parameter
523 (maximum dive depth), RDW-660 tags were able to transmit over 40% more dives per dive
524 summary message compared to RDW-665 tags (mean = 10.1 versus 7.0 dives per transmission,
525 respectively; Figure 4C).

526 **Discussion**

527 **Proxy validation of dive summary data**

528 The RDW dive summary and event detection algorithms were able to capture with varying
529 success the observed dive and feeding behavior of a blue whale tracked for 17.8 d with a TDR10

530 tag, whose archival record was used for validation. Maximum dive depth and duration were
531 reported with high accuracy, while accuracy was low for the 1/64-G precision accelerometer data
532 when reporting the number of lunge-feeding events made during a dive. However, the higher
533 accuracy and very low false-positive detection rate when classifying dives as feeding or non-
534 feeding, as well as the similarity of feeding bout metrics derived from RDW and TDR10 data,
535 indicate that these data are useful for coarser-grained characterizations of feeding behavior.
536 Further, the performance of the event detection algorithm was significantly improved in all
537 aspects by increasing the precision of accelerometer readings from 1/64 G to 1 mG.

538 The small mean jerk values calculated from the TDR10 archival data ($\sim 2/64$ G/s; Figure A4 in
539 Additional file), and used as thresholds to trigger an event detection, meant that the minimum
540 jerk criteria (less than half the mean jerk) could not be resolved well at 1/64-G resolution. The
541 added precision of the 1-mG data better resolved these small values, allowing for a greater
542 number of possible values that met the minimum jerk criteria, resulting in improved detection
543 performance. All new versions of the RDW tag now support 1-mG precision accelerometer data.
544 (Parenthetically, the RDW event detection algorithm at the 1/64-G precision may have
545 performed better during field deployments than our validation results indicated, as empirical
546 mean and sd jerk threshold values were larger than those reported for the TDR10 data, allowing
547 a greater ability to resolve values below the minimum jerk criteria).

548 Probability density curves for time between feeding dives were generally similar in shape for
549 both 1/64- and 1-mG data, although when the behavioral change point criterion was applied,
550 1/64-G data produced more bouts, including numerous single-dive bouts. This result was likely
551 due to the high number of false-negative feeding dives identified by the RDW event detection
552 algorithm at 1/64 G, which divided feeding bouts observed in the TDR10 archival data into

553 multiple shorter bouts. An implementation of this feeding bout analysis is not currently feasible
554 for field deployments of RDW tags, as limitations of satellite coverage, whale surfacing
555 behavior, and other factors affecting tag transmission can result in often incomplete time series
556 of dive summaries (see results from the data recovery rate simulation; Figure 3). However,
557 planned improvements to the Argos satellite constellation, as well as other recent developments
558 to improve reception of satellite transmissions (65,66) raise the possibility of better data recovery
559 in the future, leading to an improved ability to characterize feeding behavior at varying spatial
560 and temporal scales.

561 **Data recovery via Argos**

562 The simulation exercise suggested that very high data recovery rates should be possible, and,
563 although the empirical results did not perform as well, these results, and the relative differences
564 in data recovery, provided insights for how users can plan deployments and maximize the
565 amount of data collected. The rate of transmissions received by the satellite (reception rate) is the
566 primary constraint on data recovery, so increasing the daily transmit hours will boost data
567 recovery, as demonstrated by the difference in percent of the time series summarized between 6-
568 h and 12-h daily transmission schedules (Table 2). However, the gain in received transmissions
569 from increased transmit hours will come with a trade-off of higher battery consumption rate, and
570 further depend on species-specific variation in behaviors like surfacing rates, which might limit
571 opportunities for a tag to transmit, as well as potential differences in message corruption rate as
572 suggested by our empirical estimates (67).

573 The time period summarized by each transmission is an additional constraint on the data
574 recovery rate. Assuming reception rates are equivalent, a greater proportion of the tracking

575 period will be summarized from animals making long-duration dives compared to shorter dives
576 (as observed with the long-dive time series). Each dive summary message will report a longer
577 portion of the tracking period, and fewer messages need to be received to summarize the entire
578 track. If a target species makes short-duration dives, the time period recorded by a dive summary
579 message can be expanded to boost data recovery by selecting only longer-duration dives to
580 summarize, provided it fits with the research goals. Similarly, the time period summarized by a
581 transmission is dependent on the number of dives it reports, with more dives representing a
582 longer summary time period. By reporting one less parameter, the RDW-660 tags reported a
583 mean of ten dives per transmission, compared to seven by RDW-665 tags. In this case, the gain
584 in data recovery was likely offset by the reduction of transmission hours from 6 to 5 but it offers
585 an additional way to increase data recovery depending on the needs of the study.

586 Argos satellite coverage is not ubiquitous, and the effect of coverage gaps was observed in the
587 temporal pattern of reduced hourly data recovery (Figure 3). During longer gaps in satellite
588 coverage, dive summary messages can pass through the transmission buffer without a satellite
589 ever having been overhead, especially if new messages are generated quickly, as was the case for
590 the short-dive time series. Shorter satellite-coverage-related data gaps can be expected for
591 animals making longer-duration dives (as shown by the long-dive time series), as each dive
592 summary message will report a longer portion of the tracking period, bridging more of the gap in
593 satellite coverage.

594 Our empirical assessment of data recovery from field deployments of the RDW tag was lower
595 than the expectation from our simulation exercise. This is not entirely surprising, as satellite
596 tracking data, especially in the marine realm, are oftentimes affected by poorly understood or
597 undetermined extrinsic factors that result in data gaps and that reduce the amount of data

598 reported (see next section). The transmission schedule for these tags was set as a compromise
599 between data recovery and battery longevity, and future deployments will use different protocols
600 to meet the needs of each specific project.

601 **Limitations**

602 Recovery of data from instrumented animals is a significant hurdle for research, especially when
603 conducted on large whales, which can move > 100 km per day and do not return to a central
604 place where a tag can reliably be recovered (3,68). For RDW tags, data recovery is limited by the
605 coincident occurrence of the tagged whale surfacing while an Argos satellite is overhead to
606 receive a transmission. As shown by our simulations, the duration of recorded dives can also
607 affect data recovery, as longer dives will summarize a greater portion of the tracking period with
608 each transmission. Collectively, this means that dive summary time series from RDW tags are
609 rarely complete, and the number of dives reported from each tag can vary widely depending on
610 individual behavior and transmission schedule. Further, even if recovery of the entire
611 summarized time series is achieved, it must be understood that dives not meeting selected dive
612 criteria are not recorded by the RDW tag. Extrinsic factors like bad weather or biofouling can
613 also affect data recovery by limiting or corrupting satellite transmissions. The biases associated
614 with these dive summaries are related to the degree of irregularity and the scale of the behavior
615 being studied (69,70), but a more adequate characterization will require dedicated research. For
616 these reasons, RDW tags should be considered to provide a relative index of dive behavior,
617 rather than a continuous and complete record.

618 We assumed that the received dives were a random sample of selected dives that occurred during
619 the tracking period. However, the process of grouping consecutive dives for transmission may

620 introduce bias through serial correlation, while the whales' surfacing patterns and tag duty
621 cycling may further contribute to a lack of independence in ways that remain to be characterized.
622 Thus, more research is needed to assess how dive summaries are received, if animal behavior
623 might affect these trends, and how the relevant scales of behavior being studied might be
624 affected. For this reason, *in-situ* validation of detected events by means of using concurrently
625 attached bio-loggers on whales carrying RDW tags remains a high priority.

626 **Conclusions**

627 The correspondence between RDW and TDR10 dive summaries and their derived feeding bouts
628 in the proxy validation exercise demonstrated that RDW tags can link local-scale behavior to
629 broader, regional, or ecosystem-scale processes by monitoring per-dive behavior over multi-
630 month timescales of movement. A previous study using medium-duration tags (24) showed that
631 the number of feeding lunges made per dive is related to the duration of feeding bouts in both
632 blue and fin whales, suggesting that longer-term behavioral monitoring can more fully describe
633 the drivers of residence time over the course of the feeding season. Additionally, these
634 behaviorally mediated processes like sex-based habitat partitioning or diel changes in depth
635 related to prey distribution can lead to variable or differential exposure to anthropogenic impacts
636 (24,26,71), making this information highly useful to management and conservation
637 organizations.

638 Conceptually, the flexible sensor configuration and adaptive software capabilities of RDW tags
639 makes them generalizable for a variety of applications with cetaceans, which may extend to
640 studies of species that use other foraging tactics like raptorial feeding or ram-filtration (72).
641 Known behavioral cues associated with non-feeding behaviors could also be incorporated into

642 the event detection algorithm, for example to investigate patterns and trends in male singing
643 (73,74) or agonistic interactions (75). The tags could also be used to monitor changes in body
644 condition over time based on trends in buoyancy, as implemented through hydrodynamic glide
645 models (76–78). Such information could further inform studies of the effects of anthropogenic
646 disturbance on individuals and how related changes in fitness might scale up to the larger
647 population (e.g., (79,80)).

648 Advances in microprocessor technology continue to reduce component size, operating voltage,
649 and current consumption, while at the same time increasing the available on-board memory and
650 processing speed. Future improvements to the software (e.g., refinement of event detection
651 algorithms) and advances in hardware (e.g., addition of other environmental sensors and
652 increasing sensor precision) will further expand RDW tag applications for ecology, management,
653 and conservation. The RDW tag joins a new generation of devices with the technological
654 capacity to collect and, in some cases, process large volumes of data onboard (26,41,81). These
655 advances pave the way for the routine generation of key metrics of dive behavior for marine
656 wildlife onboard non-recoverable smart tags across large spatial and temporal scales, while the
657 ability to dynamically update event detection parameters (e.g., to account for differences in tag
658 placement or behavioral trends) offers opportunities for improved long-term behavioral and
659 physiological monitoring.

660 **Declarations**

661 **Ethics approval**

662 The activities reported in this study involving deployment of RDW tags on large whales were
663 carried out under the authorization of U.S. National Marine Fisheries Service Marine Mammal

664 Protection Act and Endangered Species Act scientific research permit No. 14856 and Oregon
665 State University Institutional Animal Care and Use Committee Permit Nos. 4495 and 4884,
666 issued to Bruce R Mate. The activities involving deployment of the TDR10-F archival tag on
667 large whales were carried out under U.S. National Marine Fisheries Service permit No. 16111-02
668 issued to John Calambokidis.

669 Implantable electronic tags for large whales are increasingly used as tools for collecting
670 information on physiology, behavior, and ecology, and for enhancing conservation and
671 management policies for their populations (7). We recognize, however, that while not well-
672 understood, tags and tagging procedures may have detrimental effects on the subject animals
673 during any of the phases of the research, including approach, deployment, operation, detachment,
674 and post-detachment (7). We approach whales and deploy tags in a manner that mitigates
675 disturbance, stress, and harm to the subject animals. To the extent possible, we also conduct
676 follow-up field observations and/or obtain photographs of tagged animals from a network of
677 collaborators and citizen scientists to increase re-sightings for documentation of wound healing,
678 survival, body condition, and reproductive success in the short, medium, and long term. While
679 we have not documented significant effects from our current technology, our tag development
680 philosophy is aligned with the principles of reducing tag size and improving tag deployment
681 equipment (82,83), while also striving to expand device capabilities and produce richer data
682 streams from the same form factor. However, we also recognize the potential for additional
683 impacts relating to the discomfort experienced by a whale swimming with an implanted tag for
684 extended periods of time. There is evidence that discomfort from tags on wildlife may vary
685 widely by taxa and lifestyle (84,85). In large whales, one study suggested that placement of
686 implanted tags high on the body near the dorsal midline and anterior to the dorsal fin will

687 mitigate tissue damage and trauma (86). However, a significant information gap remains in our
688 understanding of the level of discomfort associated with implanted intra-muscular tags on
689 whales.

690 **Consent for publication**

691 Not applicable.

692 **Availability of data and materials**

693 The datasets supporting the conclusions of this article, including the 4-Hz, 1/64-G TDR10 data,
694 will be deposited in a Movebank Repository (<https://www.datarepository.movebank.org>) upon
695 acceptance.

696 **Competing interests**

697 ST is Director of Telonics, Inc., which developed the RDW tags for commercial use. As the
698 manufacturer, Telonics may benefit from the publication of this manuscript.

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711 **Author's contributions**

712 BM conceived of and secured the funding for the RDW tag. ST led the design and development
713 of the RDW devices for Telonics, Inc. LI contributed to the development of event detection
714 algorithms. LI and BL conducted the testing of sensor functionality in the lab and contributed to
715 the RDW field data collection. DP and LI conceived the conceptual approach of the manuscript.
716 LI and DP analyzed the data. JF and JC collected the TDR10 data, analyzed the TDR10 record,
717 and contributed to RDW validation. DP and LI drafted the manuscript with contributions from
718 BL, JF, ST, and BM. All authors read and approved the final manuscript.

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985

986 **Additional files**

987 additional_file.pdf

988 Title: Additional file: Additional tables and figures

989 Description: This file contains two tables and five figures providing supplementary information
990 related to the proxy validation of the RDW tag dive summary and event detection algorithms. It
991 also includes one table providing per-tag information on data corruption from transmission
992 through Argos, and one figure with simulation of data recovery through Argos using a 12-h
993 transmission schedule.

994

995

996 **Table 1.** Confusion matrix showing the classification of feeding and non-feeding dives by
 997 version 2 of the RDW-665 event detection algorithm compared to dives summarized from
 998 continuous Wildlife Computers TDR10-F archive data. True positive (TP) and false positive
 999 (FP) detection rates are presented in the right margin.

1000

| | | TDR10 archive dives | | |
|---------------------|-------------|---------------------|-------------|-----------------|
| | | Feeding | Non-feeding | |
| 1/64-G RDW dives | Feeding | 733 | 20 | TP rate = 0.55 |
| | Non-feeding | 612 | 1,097 | FP rate = 0.018 |
| 1-mG RDW dives | Feeding | 1,297 | 75 | TP rate = 0.96 |
| | Non-feeding | 48 | 1,042 | FP rate = 0.067 |

1001

1002

1003 **Table 2.** Summary results for a simulation exercise investigating the effect of different dive
 1004 behaviors and Argos transmission regimes on data recovery.

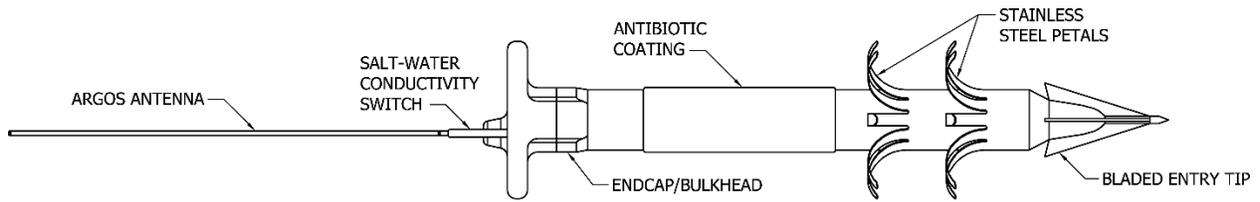
| Daily transmission schedule | Transmit interval (s) | Mean (sd) unique daily received transmissions | % of time series summarized | Mean (sd) unique daily received transmissions | % of time series summarized |
|-----------------------------|-----------------------|---|-----------------------------|---|-----------------------------|
| | | Short-dive time series | | Long-dive time series | |
| 6 h | 30 | 34.1 (8.4) | 74.60% | 16.9 (2.8) | 97% |
| | 60 | 28.5 (7.4) | 62.80% | 16.3 (2.9) | 93.30% |
| | 120 | 24.5(6.9) | 53.20% | 14.2 (2.9) | 80.80% |
| 12 h | 30 | 44.5 (9.6) | 96.10% | 17.1 (2.3) | 97% |
| | 60 | 43.1 (9.6) | 92.90% | 17 (2.5) | 96.30% |
| | 120 | 38.3 (8.9) | 84.10% | 16.8 (2.6) | 95.80% |
| Field Deployments | | Humpback | | Blue | |
| RDW-665 (6 h) | | 11.1 (4.6) | 71.1% (18.3) | 8.8 (5.2) | 45.8% (15.0) |
| RDW-660 (5 h) | | 5.6 (3.3) | 33.9% (15.0) | | |

1005

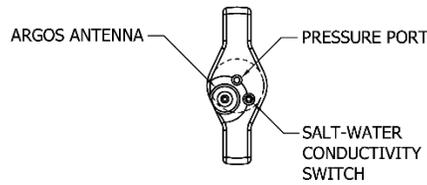
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SIDE VIEW



TOP VIEW

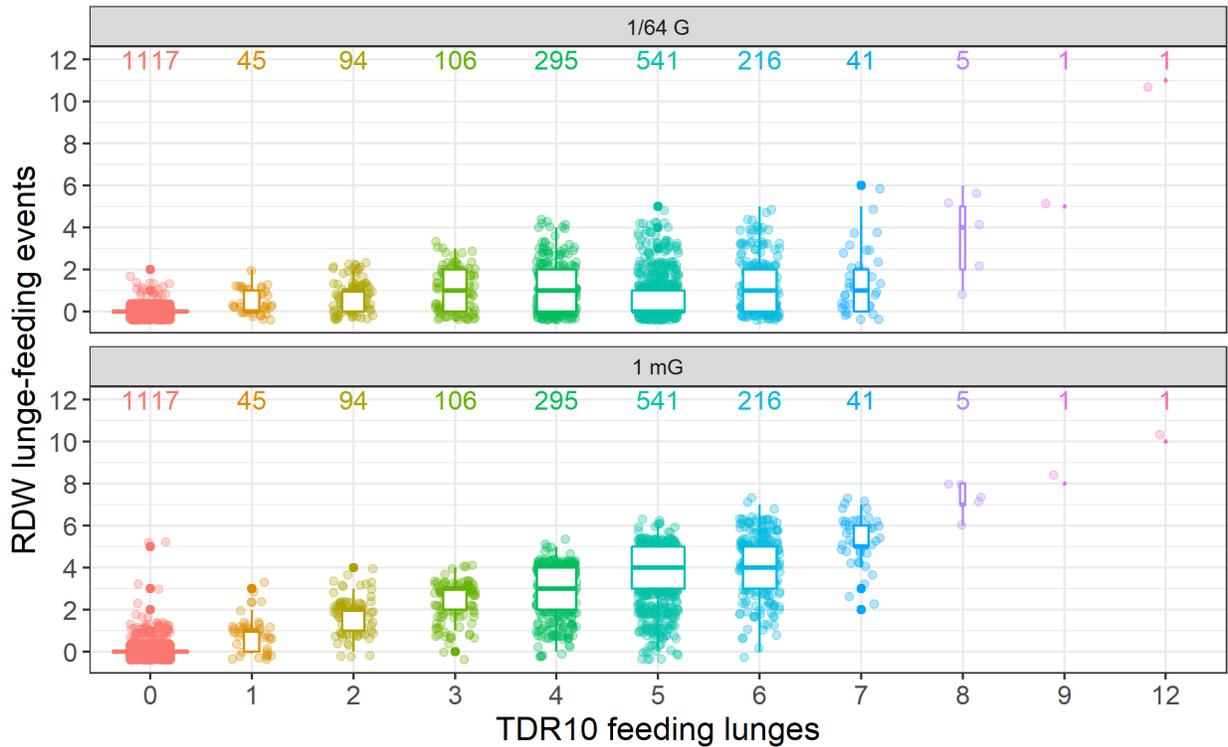
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1010

1011 **Figure 1.** Schematic diagram of a fully assembled Telonics RDW-665 tag. Side view (top
1012 drawing) shows, from left to right, the distal endcap with the exposed Argos antenna and salt-
1013 water conductivity switch, the main body partially coated with antibiotic, and the penetrating tip
1014 and anchoring system with two rows of stainless-steel strips (“petals”) in deployed position. Top
1015 view (bottom drawing) shows the placing of the salt-water switch and the pressure transducer on
1016 the endcap. Both views show the two stop tabs extending laterally from the endcap to prevent the
1017 tag from embedding too deeply into the whale.

1018

1019

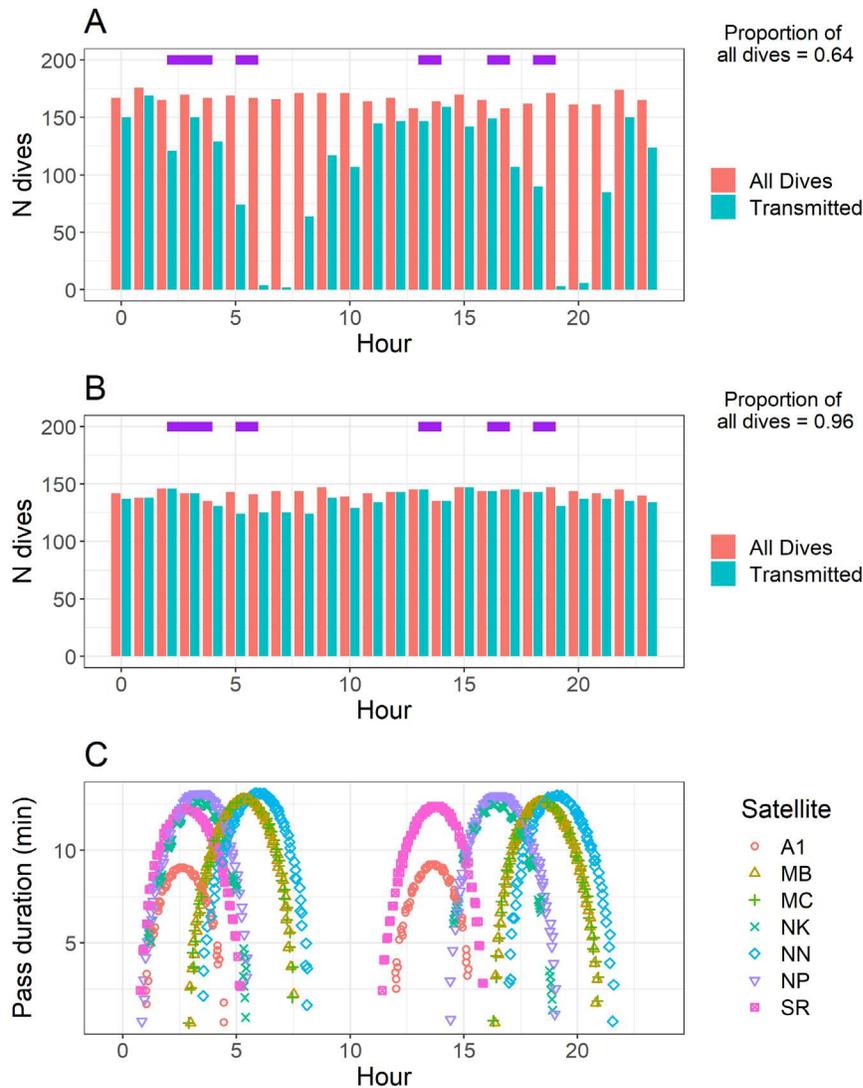


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1021

1022 **Figure 2.** Boxplots of the results of the proxy validation of the RDW tag’s event detection
1023 algorithm showing the number of detected lunge-feeding events per dive as a function of the
1024 known number of feeding lunges per dive determined from a 17.8-d TDR10 archival record of a
1025 blue whale. Top panel shows results for 1/64-G data while the bottom panel shows results for 1-
1026 mG data. Width of boxes is proportional to the sample size for that category and the numbers at
1027 the top of the plot represent the number of dives in that category. Data points are jittered on both
1028 axes for better visibility.

1029

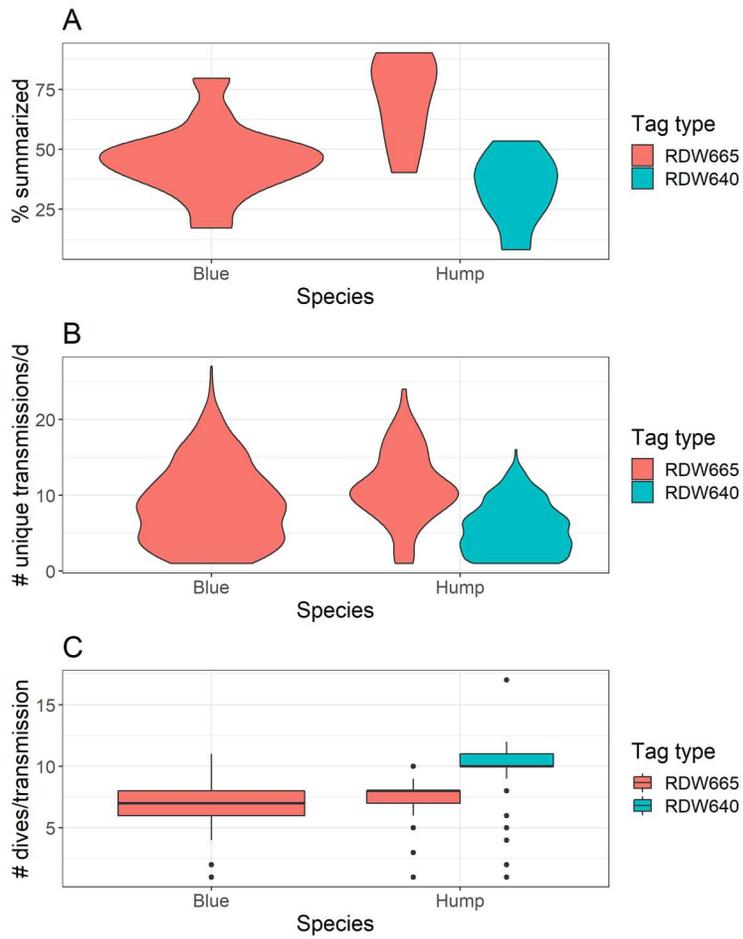


1030

1031 **Figure 3.** Simulation results to test the effect of RDW tag dive summary and transmission
 1032 regimes on data recovery. Dives were simulated for an animal making relatively short-duration
 1033 dives (e.g., humpback whales; A) and long-duration dives (e.g., blue whales; B). Dive summary
 1034 messages were assumed to have been transmitted every 60 s during six 1-h periods (purple
 1035 horizontal bars) scheduled to coincide with the greatest chance of a predicted satellite pass (C).
 1036 Dive summaries were assumed to have been received if a satellite was predicted to be overhead
 1037 when the message was transmitted.

1038

1039



1040

1041 **Figure 4.** Percent of tracking period summarized (A), number of unique dive summary messages
1042 received per day (B), and number of received dive summaries per transmission (C) from RDW
1043 tags deployed on humpback and blue whales off California during summer 2017.

1044

1045

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [additionalfile.pdf](#)