

# Using outbreak data to estimate the dynamic COVID-19 landscape in Eastern Africa

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## Research Article

**Keywords:** COVID-19, eSIR model, Runge-Kutta approximation, Basic reproduction number, Epidemic trend

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## RESEARCH ARTICLE

### Title: Using outbreak data to estimate the dynamic COVID-19 landscape in Eastern Africa

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## Abstract

### Background

The emergence of Coronavirus disease 2019 (COVID-19) as a global pandemic presents a serious health threat to African countries and the livelihoods of its people. To mitigate the impact of this disease, these countries implemented intervention measures including self-isolation, the closure of schools, banning of public gatherings, social distancing and border closures. Several epidemiological models have been used to improve our understanding of COVID-19 trajectory. This has helped inform decisions about pandemic planning, resource allocation, implementation of other non-pharmaceutical interventions (NPIs). This study presents estimates of the cases and fatalities due to COVID-19 and attempts to forecast the impact of governmental interventions in Burundi, Ethiopia, Kenya, Rwanda, South Sudan, Tanzania and Uganda. .

### Methods

We used time series COVID-19 case and mortality data collated from the Johns Hopkins University (JHU) repository and an extended susceptible-infected-removed (eSIR) compartmental model incorporating quarantine and vaccination compartments to account for transmission dynamics and vaccine-induced immunity over time. The predication accuracy was evaluated using the root mean square error and mean absolute error.

### Results

The number of new and confirmed cases show an exponential trend since March 02 2020. The mean basic reproductive number ( $R_0$ ) was between 1.32 (95% CI, 1.17 - 1.49) in Rwanda and 8.52 (95% CI: 3.73 - 14.10) in Kenya, under exponential growth. There would be a total of 115,505 (95% CI:109,999 - 121,264), 7,072,584 (6,945,505 - 7,203,084), 18,248,566(18,100,299 - 18,391,438), 410,599 (399,776 - 421528), 386,020 (376,478 - 396244), 107,265 (95,757 - 119982), 3,145,602 (3,089,070 - 3205017) infected cases under the current country blockade by January 16/2022 in Burundi, Ethiopia, Kenya, Rwanda, South Sudan, Tanzania and Uganda respectively. We show that the low apparent morbidity and mortality observed in EACs, is likely biased by underestimation of infected and mortality cases.

### Conclusion

The current NPI measures can effectively reduce further spread of COVID-19 and should be strengthened. The observed reduction in  $R_0$  is consistent with intervention measures implemented in EACs, in particular, lockdowns and roll-out of vaccination programmes. Future work should account for the negative impact of the interventions to the economy and food systems.

**Keywords:** COVID-19; eSIR model; Runge-Kutta approximation; Basic reproduction number; Epidemic trend.

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## Introduction

Coronavirus Disease 2019 (COVID-19) is a zoonotic disease caused by the Severe Acute Respiratory Syndrome Corona Virus 2 (SARS-CoV-2), a pathogen that was first discovered in Wuhan, China in 2019. Consequently, the disease has spread all over the world, leading to high morbidity and mortality in addition to negatively impacting the healthcare systems and the economy [1,2]. Following the first case reported on the African continent on the 14th February, 2020 in Egypt, a total of 6,543,882 COVID-19 confirmed cases and 166,234 deaths have been reported in 54 African countries by 28 July 2021 [3]. However, initial COVID-19 cases in Africa were imported from Europe and Asia through trade and tourism between the continents [4]. The Eastern Africa countries (EACs) have not been spared the impact of the pandemic with the following reported cases and mortalities by 28 July 2021: (Burundi 6,573 cases, 8 deaths; , Ethiopia 278,920 cases, 4,374 deaths; Kenya 198,935 cases, 3,882 deaths; Rwanda 66,967 cases, 771 deaths; South Sudan, 11,014 cases, 118 deaths; United Republic of Tanzania, 858 cases, deaths 29 deaths; Uganda, 92,795 cases, 2,590 deaths)[3].

Several non-pharmaceutical interventions (NPIs) have been implemented across EACs to contain the coronavirus disease (COVID-19) pandemic. Social distancing (SD) interventions applied so far have included school closures, remote working and quarantine [5]. While serological testing, contact tracing, physical distancing, hand hygiene, masks, and quarantine measures may reduce transmission, many countries have resorted to lockdown measures with varying degree of success [6–8]. Previously, these measures have been shown to have large impacts on pandemic influenza transmission [9]. However, the time point of implementation of NPIs is key to their success in reducing the peak of the epidemic [10]. As the pandemic evolves, it is imperative that the impact of NPIs is quantified in terms of their efficacy and appropriate use in order to influence public health policy. These measures need to be appropriately justified to the population in terms of their public perception and the optimal time when they could be eased [11].

76 Indeed, mathematical models have been used to unravel the understanding of coronavirus disease 2019  
77 (COVID-19), the disease caused by SARS-CoV-2 virus [5,12,13]. The essential components in analyzing  
78 all dynamic processes that contribute to disease transmission, include, first, solving the mathematical model  
79 numerically (forward modeling) and second, estimating the unknown parameters in the model (inverse  
80 modeling) [14]. Recently, the scientific community has used mathematical models to understand the  
81 COVID-19 disease transmission dynamics, including the impact of NPIs. For example, the University of  
82 Washington, Seattle, developed the Institute of Health Metrics (IHME) for fitting parametrized curves to  
83 COVID-19 data using extendable nonlinear mixed effects model [15]. The Imperial College London (ICL),  
84 developed a semi-mechanistic Bayesian model to estimate the rate of transmission, total number of infected  
85 people and mortality at a given time point, and the impact of NPIs on the basic reproduction number ( $R_0$ )  
86 as well as the time-varying reproduction number  $R(t)$ , [5]. The basic reproduction number ( $R_0$ ), a measure  
87 of contagiousness of infectious agents, refers to the number of new infections generated by each infected  
88 person [16]. If  $R_0 < 1$ , the disease will decline spreading in the population, and if  $R_0 > 1$ , the disease will  
89 spread faster [17]. However, foreseen risks include under-estimation of the disease extend due to  
90 asymptomatic cases.

91 Furthermore, compartmental models have long been used to model the dynamics of infectious diseases  
92 including influenza [18–20]. With the onset of the pandemic, the classical susceptible–infected–recovered  
93 (SIR) model [21] has been extended (eSIR) to simulate NPIs such as quarantine and vaccination using time-  
94 varying functions that modifies the transmission rate of the disease [22,23]. The extended state-space SIR  
95 model uses three compartments: susceptible, infected, and removed (recovered and dead) and a Bayesian  
96 hierarchical model to simulate future projections of the number of infected and removed population [22].

97  
98 Compartmental standard SIR models , eSIR model and the more complex Susceptible-Exposed-Infectious-  
99 Recovered (SEIR) use ordinary differential equations and a three- or four-state Markov chain to solve  
00 ordinary differential equations that mimic the infectious disease trajectory [24]. The eSIR model assumes  
01 a constant the transmission rate in the SIR model. However, the transmission rate can be altered to mimic

02 NPIs, such as personal protective measures, quarantine, and city lockdown. Indeed, the eSIR model  
03 introduces a transmission modifier  $\pi(t)$  to the SIR model, to allow a time-varying probability of the  
04 transmission rate (**Figure 1**).

05

06 **Figure 1. The extended Susceptible-Exposed-Removed (eSIR) basic model.** The transmission rate  
07 modifier  $\pi(t)$  takes on values according to actual interventions in different countries [22].

08

09 The eSIR model assumes that probabilities of the three compartments follow a Markov transition process  
10 with input as the proportions of infected and removed (recovered and dead) cases. The observed proportions  
11 of infected and removed cases on day  $t$  are denoted by  $Y_t^I$  and  $Y_t^R$ , respectively. The true underlying  
12 probabilities of the S, I, and R compartments on day  $t$  are denoted by  $\theta_t^S$ ,  $\theta_t^I$ , and  $\theta_t^R$ , respectively, and  
13 assume that for any  $t$ ,  $\theta_t^S + \theta_t^I + \theta_t^R = 1$ , which can be solved through ordinary differential equations  
14 (Equation 1-3):

$$15 \quad \frac{d\theta_t^S}{dt} = -\beta\pi(t)\theta_t^S\theta_t^I \quad (\text{Equation 1})$$

16

$$17 \quad \frac{d\theta_t^I}{dt} = -\beta\pi(t)\theta_t^S\theta_t^I - \gamma\theta_t^I, \quad (\text{Equation 2})$$

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$$19 \quad \frac{d\theta_t^R}{dt} = \gamma\theta_t^I \quad (\text{Equation 3})$$

20

21 Whereby,  $\beta > 0$  is the disease transmission rate, and  $\gamma > 0$  is the removal rate.  $R_0 = \beta/\gamma$  is the basic  
22 reproduction number assuming the whole population is susceptible. The Markov chain Monte Carlo  
23 (MCMC) algorithm is used to implement this model and provide the posterior estimates and credible  
24 intervals of the unknown parameters,  $R_0$ ,  $\beta$ , and  $\gamma$  [17,22].

25

## 26 **Materials and Methods**

### 27 **Data Sources**

28 We used publicly available COVID-19 daily recorded time-series data for the seven EACs (Burundi,  
29 Ethiopia, Kenya, Rwanda, South Sudan, United Republic of Tanzania, and Uganda) from WHO and the  
30 Johns Hopkins University Center for Systems Science and Engineering (JHU CCSE). This dataset includes  
31 daily counts of confirmed cases, recovered cases, and deaths from 22 January 2020.

### 32 33 **Epidemiological modelling**

34 Modelling of the impact of NPIs was implemented in R (version 4.0.4) and the differential equations were  
35 solved via the fourth-order Runge-Kutta approximation [25,26]. The input data was daily counts of  
36 confirmed cases and removed (recovered and deaths) cases. To estimate the epidemic trend in seven EACs,  
37 we used time-series data of COVID-19 from March 02/2020 to May 01/2020 and the same time period for  
38 the year 2021 as the training dataset while the remainder was used for testing the model. To avoid  
39 overfitting, the data was divided into a training set (70%) and testing set (30%). We first trained the model  
40 on the daily confirmed cases (“training set”), and then used 30% of data from model predictions (“testing  
41 set”) to gauge the accuracy of the resulting model [27]. The  $R_0$  was estimated using MCMC algorithm  
42 implemented in RJAGS and presented using the resulting posterior mean and 95% credible interval (CI)  
43 [28]. The basic SIR model does not consider NPIs in the projection of epidemic trajectories, hence we sort  
44 to use time-varying transmission (tvt) rate model, SIR with time-varying quarantine, antibody (herd  
45 immunity) and vaccination compartments to project future scenario(s).

### 46 47 **SIR model with a time-varying transmission rate**

48 The following parameters were used to run the time-varying transmission (tvt) rate model: the transition  
49 rate modifier,  $\pi(t)$ , was allowed to vary between (1.0, 0.9, 0.5, and 0.1) according to actual governmental  
50 interventions. This was set at  $\pi(t) = 0.95$  if  $t < \text{Mar } 10$ , for city lockdown;  $\pi(t) = 0.9$  if  $t \in (\text{Mar } 10, \text{Mar } 22)$ ,

51 country lockdown;  $\pi(t) = 0.5$  if  $t \in (\text{Mar } 15, \text{April } 01)$ , shutdown of schools and non-essential businesses;  
52  $\pi(t) = 0.1$  if  $t > \text{Mar } 31$ , which corresponds to more enhanced quarantine protocols [23]. MCMC simulation  
53 was performed using four parallel chains, with the number of draws in each chain,  $M = 5e5$  and a burn-in  
54 period of  $n_{burnin} = 2e3$  under  $2 \times 10^5$  iteration number of adaptation in the MCMC ( $n_{adapt} = 2 \times 10^5$ ) [22].  
55 A time-dependent rate parameter ( $\pi(t)$ ) was introduced to vary the transmission rate ( $\beta$ ) and gamma ( $\gamma$ ) the  
56 average removal rate and thereby forecast time-varying changes caused by NPIs. The prior distribution of  
57 the hyperparameter of average transmission rate ( $\beta$ ) was set to 0.2586, and gamma ( $\gamma$ ) the hyperparameter  
58 of average removal rate was set to 0.0821 [22,23]. The output of these runs provided estimates of posterior  
59 parameters and prevalence of the disease in the three compartments in the eSIR model and proportions of  
60 the infected and the removed individuals under future scenario(s).

61

### 62 **SIR with time-varying quarantine**

63 We simulated the impact of quarantine measure by including a fourth compartment of quarantine population  
64 [22,23]. This time-varying quarantine model was simulated using parameters described in the tvt rate model  
65 described above. A vector  $\phi$  ( $\phi$ ) that assumes a Dirac delta function (a point mass prior at 0.1 - 0.4) was  
66 used to alter transition from susceptible to the quarantine compartment at time points corresponding to the  
67 days when quarantine measures were imposed in each country [18].

68

### 69 **Herd immunity**

70 To simulate the presence of natural acquired immunity/antibodies against COVID-19 within the population,  
71 an antibody (A) compartment was introduced and thereby altering the eSIR to eSAIR model [23,29]. The  
72 antibody (A) compartment consists of infected (I) but recovered/self-immunized individuals, with rate  
73 constants determining transition between the four compartments of Susceptible, Antibody, Infected and  
74 Removed (SAIR). The model was run using time-varying transmission rate parameters described above

75 under the assumption that about 20% ( $\alpha=0.2$ ) of the susceptible population had acquired neutralizing  
76 antibodies against SARS-CoV-2 (Equation 4).

$$\frac{d\theta_t^A}{dt} = \alpha(t)\theta_t^S \quad (\text{Equation 4})$$

78  
79 The probability of having neutralizing antibodies against COVID-19 was denoted by theta ( $d\theta_t^A$ ) at time  
80 point  $t$ , where  $\alpha(t)$  is the rate constant for the proportion of people moved into the antibody (A)  
81 compartment from the susceptible compartment.

### 83 **Vaccination**

84 The impact of vaccination was simulated using the eSVIR model and specific time points when vaccination  
85 began in the EACs. Consequently, the vaccination (V) compartment was introduced to integrate vaccination  
86 data into the basic SIR model. The parameters used to run the SIR model with a time-varying transmission  
87 rate parameters described above under the assumption that about 2% ( $\alpha=0.02$ ) of the susceptible population  
88 were vaccinated. However, Tanzania only began their vaccination campaign in July 2021, while Burundi is  
89 yet to receive vaccines. The differential equations to simulate vaccination is illustrated below (Equation 5).

$$\frac{d\theta_t^V}{dt} = \alpha(t)\theta_t^S, \frac{d\theta_t^S}{dt} = -\alpha(t)\theta_t^S - \beta\pi(t)\theta_t^S\theta_t^I, \frac{d\theta_t^I}{dt} = \beta\pi(t)\theta_t^S\theta_t^I - \gamma\theta_t^I, \text{ and } \frac{d\theta_t^R}{dt} = \gamma\theta_t^I \quad (\text{Equation 5})$$

### 93 **Validation of the model**

94 The reliability and usefulness of our approach, was evaluated by comparing the model predictions of  
95 COVID-19 case-counts against the observed data between 06/16/20 and 04/11/2021 in Ethiopia, Kenya,  
96 Rwanda and Uganda using two metrics, the Root Mean square error (RMSE), and Mean Absolute Error  
97 (MAE) [29]. The input data was divided into training set (70%) and testing set (30%) to avoid overfitting  
98 [27]. The model was calibrated using observed data of confirmed cases (“training set”) starting from the  
99 date of implementation of the intervention up to 7/14 days prior to the peak. Thereafter, model predictions

:00 (“testing set”) of case-counts after the training period were then compared with the observed trends to  
:01 evaluate the prediction accuracy.

:02

## :03 **Results**

### :04 **Scenario analysis of COVID-19 epidemic development**

:05 Simulation of NPIs estimated the effectiveness of government intervention in curbing the spread of the  
:06 disease. The number of new and confirmed cases in the seven EACs show an exponential increase since  
:07 March 02 to April 01 2020/2021 and 2021/2022. We estimated the posterior values of the mean basic  
:08 reproductive number ( $R_0$ ) for COVID-19 to between 2.70 - 3.10 and 1.32 - 8.52 under exponential growth  
:09 model for the time period of 2020/2021 and 2021/2022 (**Table 1**). The  $R_0$  posterior values and endpoint in  
:10 EACs for 2020/2021 and 2021/2022 window provide the epidemiological trends of the disease.

:11

:12 The model predicted a total of 115,505 (95%CI:109,999 - 121,264), 7,072,584 (95%CI: 6,945,505 -  
:13 7,203,084), 330,562 (95%CI: 307493 - 353404), 410,599 (95%CI: 399,776 - 421528), 386,020 (95%CI:  
:14 376,478 - 396244), 107,265 (95%CI: 95,757 - 119982), and 3,145,602 (95%CI: 3,089,070 - 3205017)  
:15 infected cases in Burundi, Ethiopia, Kenya, Rwanda, South Sudan, United Republic of Tanzania, and  
:16 Uganda respectively by January 16/2022 (**Table 2**). This number is alarming but it includes missed cases,  
:17 asymptomatic and confirmed cases.

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**Table 1.** Estimated  $R_0$  and endpoint in EACs using the eSIR model for the year 2020/2021.

Country	R0			Endpoint		95%CI	
	Median	Mean	95%CI	Mean	Date	Infected <sup>1</sup>	Removed <sup>2</sup>
<b>Burundi</b>	2.58	2.71	1.48 - 4.58	05/02/20	04/05/20 - 07/31/20	998 (116 - 2884)	351 (41 - 1100)
<b>Ethiopia</b>	2.62	2.75	1.57 - 4.65	04/27/20	04/04/20 - 07/01/20	6,566 (474 - 24130)	5,754 (665 - 20408)
<b>Kenya</b>	2.57	2.70	1.54 - 4.67	04/26/20	04/05/20 - 06/23/20	2,572 (455 - 6876)	2,317 (263 - 7475)
<b>Rwanda</b>	2.96	3.10	3.10 - 5.22	05/07/20	04/08/20 - 07/27/20	964 (259 - 2121)	397 (41 - 1370)
<b>South Sudan</b>	2.60	2.71	2.71 - 4.59	05/21/20	04/16/20 - 09/17/20	2,171 (130 - 10107)	631 (89 - 1920)
<b>Tanzania</b>	2.69	2.82	2.82 - 4.90	05/01/20	04/05/20 - 07/16/20	4,369 (614 - 14483)	3,353 (428 - 11942)
<b>Uganda</b>	2.75	2.87	2.87 - 4.79	05/06/20	04/06/20 - 08/03/20	4,219 (648 - 12354)	2,180 (211 - 8107)

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<sup>1</sup>Means of predicted infected population at the endpoint followed by the confidence interval in brackets ( $\alpha = 0.05$ ).

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<sup>2</sup>Means of predicted removed (recovered + deaths) population at the endpoint followed by the confidence interval in brackets ( $\alpha = 0.05$ ).

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**Table 2.** Estimated  $R_0$  and endpoint in EACs using the eSIR model for the year 2021/2022.

Country	R0			Endpoint		95%CI	
	Median	Mean	95%CI	Mean	Date	Infected <sup>1</sup>	Removed <sup>2</sup>
<b>Burundi</b>	2.74	2.84	1.83 - 4.45	01/16/22	01/16/22	115,505 (109,999 - 121,264)	153,638 (147508 - 159954)
<b>Ethiopia</b>	1.63	1.64	1.39 - 1.99	01/16/22	01/16/22	7,072,584 (6,945,505 - 7,203,084)	19,736,568 (19521417 - 19952888)
<b>Kenya</b>	8.39	8.52	3.73 - 14.10	01/16/22	01/16/22	330,562 (307493 - 353404)	18,248,566 (18,100,299 - 18,391,438)
<b>Rwanda</b>	1.31	1.32	1.17 - 1.49	01/16/22	01/16/22	410,599 (399,776 - 421528)	1,913,262 (1891033 - 1934980)
<b>South Sudan</b>	1.51	1.54	1.19 - 2.03	01/16/22	01/16/22	386,020 (376,478 - 396244)	751,872 (738686 - 765302)
<b>Tanzania</b>	2.46	2.57	1.45- 4.31	01/15/22	01/16/22	107,265 (95,757 - 119982)	70,197 (60262 - 80013)
<b>Uganda</b>	2.30	2.34	1.67 - 3.33	01/16/22	01/16/22	3,145,602 (3,089,070 - 3205017)	2,425,643 (2375840 - 2477153)

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<sup>1</sup>Means of predicted infected population at the endpoint followed by the confidence interval in brackets ( $\alpha = 0.05$ ).

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<sup>2</sup>Means of predicted removed (recovered + deaths) population at the endpoint followed by the confidence interval in brackets ( $\alpha = 0.05$ ).

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### **Time-varying changes caused by government interventions**

The COVID-19 pandemic has progressed across Eastern Africa with varying impacts. Hyperparameters introduced into the model allowed for inference of the impact of government interventions at specific time points to control the pandemic. Based on the time varying exponential and stepwise eSIR model, **Figure 2** and **Figure 3** respectively, indicate an epidemiological trend of COVID-19 under existing preventions in Kenya for the year 2020/2021 and 2021/2022. The exponential model simulated gradual community awareness of interventions by regional governments while the stepwise model simulated NPIs such as school closure, lockdowns and suspension of social gatherings. Additional exponential model projection results are available as supporting information in **Figure S1 - S6**, while the stepwise model outputs are shown in **Figure S7 - S12**, for Burundi, Ethiopia, Rwanda, South Sudan, Tanzania, and Uganda respectively.

**Figure 2. The exponential model of COVID-19 trend under existing interventions in Kenya.** The black dots left of the blue vertical line denote the observed proportions of the infected and removed compartments. The blue vertical line denotes time  $t(0)$ . The green and purple vertical lines denote the first and second turning points, respectively. The cyan and salmon colour area denotes the 95% CI of the predicted proportions of the infected and removed cases before and after  $t(0)$ , respectively. The gray and red curves are the posterior mean and median curves. (A, B) Prediction of the infection and removed (recovered and dead) proportions of COVID-19 in 2020/2021 time period; (C, D) Prediction of the infection and removed proportions of COVID-19 in 2021/2022 time period.

**Figure 3. The stepwise model of COVID-19 trend under existing interventions Kenya.** The black dots left of the blue vertical line denote the observed proportions of the infected and removed compartments. The blue vertical line denotes time  $t(0)$ . The green and purple vertical lines denote the

!60 first and second turning points, respectively. The cyan and salmon colour area denotes the 95% CI of  
!61 the predicted proportions of the infected and removed cases before and after  $t(0)$ , respectively. The gray  
!62 and red curves are the posterior mean and median curves. (A, B) Prediction of the infection and removed  
!63 (recovered and dead) proportions of COVID-19 in 2020/2021; (C, D) Prediction of the infection and  
!64 removed compartments of COVID-19 in 2021/2022.

!65  
!66 Under the basic SIR model without intervention, we observed rampant prevalence of infection and the  
!67 endpoints of the pandemic were prolonged as for the case of Kenya (**Figure 4**). We observed a decline in  
!68  $R_0$  due to the introduction of quarantine measures by regional governments during the initial phases of the  
!69 pandemic (**Table 1** and **Table 2**). However, with  $R_0$  remaining  $> 1$ , most EACs are still under threat from  
!70 the disease, with Kenya ( $R_0 = 8.52$ ) facing a higher risk (**Figure 5**). Infection prevalence was high when the  
!71 simulation of the epidemic was allowed to take its natural course without interventions especially in  
!72 2020/2021 time period when herd immunity was low in the population. Additional projection results are  
!73 available as supporting information in **Figure S13 – S18** for Burundi, Ethiopia, Rwanda, South Sudan,  
!74 Tanzania, and Uganda respectively. However, when time varying quarantine measures were introduced into  
!75 the model, there was a decline in  $R_0$  and infection prevalence in 2021/2022 window in comparison with  
!76 2020/2021 time period (**Figure S19 - S24**).

!77  
!78 **Figure 4. The standard state-space SIR model without interventions in Kenya.** (A) Prediction of the  
!79 infection of COVID-19 for 2020/2021 time period; (B) Prediction of the removed compartment for  
!80 2020/2021; (C) Plot of the first-order derivatives of the posterior prevalence of infection in 2020/2021. The  
!81 black curve is the posterior mean of the derivative, and the vertical lines mark times of turning points  
!82 corresponding respectively to those shown (A, B) and (D, E). The colored semi-transparent rectangles  
!83 represent the 95% CI of these turning points. (D) Prediction of the infection of COVID-19 during 2021/2022

:84 window; (E) Prediction of the removed compartment during 2021/2022 window; (F) Plot of the first-order  
:85 derivatives of the posterior prevalence of infection for 2021/2022 time period.

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:87 **Figure 5. SIR model projection in Kenya with time-varying quarantine.** (A) Prediction of COVID-19  
:88 infection during 2020/2021 window; (B) Prediction of the removed compartment during 2020/2021  
:89 window; (C) Plot of the first-order derivatives of the posterior prevalence of infection in 2020/2021. The  
:90 colored semi-transparent rectangles represent the 95% CI of these turning points. (D) Prediction of the  
:91 infection of COVID-19 for 2021/2022; (E) Prediction of the removed compartment during 2021/2022  
:92 window; (F) Plot of the first-order derivatives of the posterior prevalence of infection.

:93

:94 **Epidemiological trends with a subset of the population having Covid-19 antibodies and the impact of**  
:95 **vaccination campaigns**

:96 Herd immunity was simulated using SIR model with time-varying proportion (20%) of the population  
:97 assumed to have neutralizing antibodies against COVID-19. We observed a decline in  $R_0$  under the  
:98 assumption that 20% of the population in EACs had achieved herd immunity by 2021/2022. Furthermore,  
:99 we also simulated the impact of vaccination on the dynamics of COVID-19. For example,  $R_0$  declined from  
:00 8.52 to 2.14 under the assumption that 2% of the Kenyan population is vaccinated (**Figure 6**). While  
:01 vaccination eventually contributes to the achievement of herd immunity, vaccination had a bigger impact  
:02 than herd immunity in lowering  $R_0$  for the number of cases and deaths (**Figure S25 – S30**).

:03

:04 **Figure 6. The extended SIR model simulating herd immunity and vaccination campaign in Kenya.**

:05 (A) Prediction of COVID-19 infection under the assumption that 20% of the population has antibodies  
:06 against SARS-COV-2; (B) Prediction of the removed compartment under herd immunity; (C) Projection of  
:07 the infection in 2021/2022 assuming that 20% of the population has antibodies against SARS-COV-2; (D)  
:08 Prediction of COVID-19 infection under the assumption that 2% of the population is vaccinated; (E)

09 Prediction of the removed compartment assuming that 2% of the population is vaccinated; (F) Projection of  
10 infection in 2021/2022 assuming that 2% of the population is vaccinated.

11

12

### 13 **Validation of the model**

14 A reliable model results in predicted values being close to the observed data values, which implies a good  
15 model fit. We observed a good model fit between the forecasted cases and the actual observed cases of  
16 COVID-19 across the four EACs. Larger RMSE values indicate a wider difference between the predicted  
17 and observed values, which means poor regression model fit. Similarly, the computed MAE values for the  
18 model ranged between 1.24 – 10.52 (**Table 3**). In general, lower RMSE and MAE values provide better  
19 support for the model fit (**Figure 7**).

20

21 **Table 3.** Validation of the model for prediction of infected COVID-19 cases.  
22

	<b>Mean R0 (95% CI)</b>	<b>RMSE</b>	<b>MAE</b>
<b>Ethiopia</b>	4.56 (2.90 - 6.45)	9.97	10.52
<b>Kenya</b>	4.02 (2.69 - 5.62)	9.86	2.51
<b>Rwanda</b>	3.62 (2.22 - 5.40)	1.67	1.24
<b>Uganda</b>	4.42 (2.47 - 7.13)	1.98	2.53

23

24

25 **Figure 7. Validation of the model for prediction of infected COVID-19 cases using the Root Mean**  
26 **square error and Mean Absolute Error.** The RMSE and MAE values are indicated in the respective figure  
27 subtitles. (A) Uganda; (B) Kenya; (C) Rwanda; (D) Ethiopia.

28

## 29 **Discussion**

30 Following the first reported case of COVID-19 on February 2020 in Egypt, the number of cases gradually  
31 increased across African countries causing human and economic damages. Despite the high infectivity of

the virus, fatalities have remained low particularly during the initial phases of the pandemic. Several explanations to this observation have been proposed including experience with previous pandemics (Ebola virus disease, human immunodeficiency virus, polio, and tuberculosis), demographic factors, host genetics factors, climate and environmental factors [4]. However, to combat the pandemic, many countries had to implement Non-pharmaceutical interventions (NPIs) such as wearing masks, lockdown of cities, stop transports, school closure, social distancing, and hand washing [11].

In this work, we used the extended Susceptible-Exposed-Removed (eSIR) compartmental model [22,23] to project epidemiological trends of COVID-19 infections and the impact of NPIs in seven EACs. Publicly available data from JHU as at 30<sup>th</sup> July 2021 were used to estimate the transmission rate of the epidemic and to present the trend of infections and fatalities following governmental interventions. The basic reproduction number ( $R_0$ ) is an important parameter in epidemic models. For evidence based public health policy formulation, estimation of parameters such as the  $R_0$  are of great importance for policy makers to adopt the most efficient and effective interventions in order to contain the pandemic and minimize human and economic damages [14].

The epidemic trend of COVID-19 differs among EACs with infections remaining high while fatalities are low [30]. The  $R_0$  posterior values and endpoints in EACs for 2020/2021 and 2021/2022 window provided a snapshot of the trajectories of the disease.  $R_0$  is a measure of the contagiousness of COVID-19 and it refers to the number of new infections generated by each infected person [16]. If  $R_0 < 1$ , the disease will decline in the population, and if  $R_0 > 1$ , the disease will spread faster [17]. However, foreseen risks include underestimation of the disease extend due to asymptomatic cases. We found that interventions during the initial stages of the pandemic had a strong impact on reducing the transmission of the disease with  $R_0$  stabilizing with a mean of 2.81 indicating that interventions to lower the transmission fully. For example, after calibrating the model using time-series data of COVID-19 from March 02/2020 to May 01/2020, our predictions revealed a modest  $R_0$  value of 2.71 (95% CI:1.48 - 4.58), 2.75 (95% CI:1.57 - 4.65), 2.70 (95% CI:1.54 - 4.67), 3.10 (95% CI:3.10 - 5.22), 2.71 (95% CI:2.71 - 4.59), 2.82 (95% CI:2.82 - 4.90), 2.87 (95%

CI:2.87 - 4.79) for Burundi, Ethiopia, Kenya, Rwanda, South Sudan, Tanzania, and Uganda respectively. However,  $R_0$  marginally decreased under the same time period in 2021/2022 projections, except in Burundi and Kenya where the value increased to a mean of 2.84 and 8.52 respectively. Previous studies for COVID-19 reported the  $R_0$  value between 1.78 (95% CI: 1.44–2.14) to 3.46 (95% CI: 2.81–4.17) in Kenya [31–33]. Indeed, this is within the range of the prediction of the current study,  $R_0$  of 2.70 (95% CI: 1.54 - 4.67).

The exponential model which was implemented to reflect increased community-level awareness of NPIs, had more impact in lowering the transmission rate of the disease than the stepwise model that was implemented to reflect governmental interventions at specific timepoints. As the pandemic evolves, the public perceptions and attitudes towards the interventions change [11]. This can be attributed to the timing of introduction of the interventions and social factors that determine adherence to public policies. Moreover, the time point of implementation of NPIs is key to their success in reducing the peak of the epidemic [10].

Overall, the 2021/2022 epidemic trajectories of the seven EACs indicate that they are facing challenges in their efforts to contain community transmission of COVID-19. The projected mean  $R_0$  value remains above 2 ( $R_0 > 2$ ) with the exception of Ethiopia, Rwanda and South Sudan. This is further compounded by the weak health systems, inadequate preparedness and capacity to respond to emerging epidemics. Based on these results, we suggest stringent implementation of intervention policies, such as enforcement of lockdowns, face-mask wearing, long-term surveillance and COVID-19 vaccine roll-out to contain the pandemic. However, we recommend careful interpretation of the  $R_0$  values because of the unforeseen risks such as under-estimation of the disease extend due to asymptomatic cases and low testing rate which is not randomized.

Under the current intervention measures, the long-term projection of the extended SIR exponential model indicates that about 0.97%, 6.15%, 33.94%, 3.17%, 3.45%, 0.18%, 6.88% of the population will be infected by 16<sup>th</sup> January 2022 in Burundi, Ethiopia, Kenya, Rwanda, South Sudan, Tanzania, and Uganda respectively (**Table 2**). The high number of recorded cases of COVID-19 could be attributed to the weak health infrastructure, crowded social life and poor personal hygiene. Moreover, disease comorbidities like hypertension, obesity, type II diabetes, HIV, tuberculosis and malaria are highly prevalent in Africa and

184 may contribute to the weak immune response to COVID-19 [4,34,35]. The comorbid individuals must be  
185 prioritized in terms of healthcare and vaccine roll-out.

186

187 Previous predictive models suggested that Africa could be the next hotspot of COVID-19, yet to-date,  
188 recorded cases and deaths have remained low. Multiple factors have been attributed to the low COVID-19  
189 reported cases and fatalities in Africa including herd immunity due to antibodies against SARS-COV-2,  
190 climate, comorbidities, parasite exposure, and young population structure [30,36,37]. Currently, the  
191 reported seroprevalence of antibodies against SARS-CoV-2 range from 0.4% in Cape Verde and 49% in  
192 antenatal care clinics in Kenya [38,39]. However, most of these factors have not been studied conclusively  
193 to establish the interactions of COVID-19 with TB, HIV, malaria and other comorbidities in Africa [4].

194 Several hypotheses have been proposed to explain the low mortality rates associated with COVID-19 in  
195 Africa. For example, the “trained immunity” hypothesis suggests that the Bacillus Calmette-Guérin (BCG)  
196 vaccine that has been extensively administered in Africa for tuberculosis confers protection against COVID-  
197 19 [30]. Genetically, Africans have mutations in the SARS-CoV-2 receptor, angiotensin-converting  
198 enzyme-2 (ACE-2) gene, which confers low response to ACE inhibitors and therefore linked to low  
199 prevalence of COVID-19 [40]. Furthermore, previous exposure to *Plasmodium falciparum* and other  
200 pathogens is associated with protective immunity and has been linked to a lower prevalence of COVID-19  
201 in malaria-endemic areas. the SARS-CoV-2 virus shares [36,41]. Demographic structure of Africa’s  
202 population that has a predominantly young population aged below 35 years, and with few comorbidities has  
203 been linked to low prevalence to COVID-19. However, such population can be super spreaders of the virus  
204 because they are largely asymptomatic [42].

205 We estimated the herd effect due to genetic factors and COVID-19 vaccination programmes by  
206 incorporating proportions of the population with antibodies against SARS-CoV-2 and vaccine-  
207 immunization into the simulation of infection dynamics. By assuming that about 20% of the population in  
208 each country had neutralizing antibodies against COVID-19, we observed a significant decline in  $R_0$  from  
209 8.52 to 2.62 by January 16<sup>th</sup> 2021/2022 in all the EACs. Similarly,  $R_0$  declined from 8.52 to 2.14 under the

l10 assumption that 2% of the Kenyan population is vaccinated. While vaccination eventually contributes to the  
l11 achievement of herd immunity, vaccination had a bigger impact than herd immunity in lowering  $R_0$  and  
l12 hence the number of cases and deaths.

l13  
l14 During the initial phases of pandemic, the entire African population had no immunity against COVID-19,  
l15 hence the virus spread quickly across communities. However, as the disease evolved, gradual immunity  
l16 developed aided by genetic factors, previous parasite exposure, and a young population structure with few  
l17 underlying comorbidities. The COVID-19 vaccine has been rolled-out in Africa with 49 countries having  
l18 administered at least one dose. However, the vaccination coverage required to establish herd immunity  
l19 against COVID-19 is quite heterogeneous, ranging from 0%, 2.0%, 2.2%, 3.5%, 0.46%, 0.18% and 2.5%  
l20 of the population having received at least one dose of the vaccine in Burundi, Ethiopia, Kenya, Rwanda,  
l21 South Sudan, Tanzania, and Uganda respectively as of 12<sup>th</sup> August 2021 [43,44]. Flattening the curve will  
l22 require a significant percentage of population to be immunized. In particular, we recommend that countries  
l23 with high basic reproduction number ( $R_0 > 1$ ) such as Kenya (8.52), Burundi (2.84), Uganda (2.34) and  
l24 Tanzania (2.57) should increase vaccine coverage required to establish herd immunity against COVID-19  
l25 and strictly enforce interventions. However, the current situation is further complicated by weak health  
l26 systems in EACs, the inequitable vaccine distribution, vaccine hesitancy and negative perception of  
l27 government interventions. Furthermore, the emergence of COVID-19 variants, such as B.1.617 (“Delta”)  
l28 variant, has led to upsurge of cases due to declining protective immunity or the circulation of immune escape  
l29 viral variants [4,45–47].

l30  
l31 Epidemiological models for projecting infectious disease spread have been used to inform public health  
l32 policy [20,48–50]. To evaluate the reliability and usefulness of our model, we compared model predictions  
l33 of the case-counts against the observed data for COVID-19 in Ethiopia, Kenya, Rwanda and Uganda using  
l34 the Root Mean square error (RMSE) and Mean Absolute Error (MAE). The metrics provided good support  
l35 to the model fit to the observed COVID-19 cases with larger values indicative of a wider difference between

l36 the predicted and observed values, hence poor model fit. The modelling techniques that we used in this  
l37 study to characterize the epidemic dynamics has been successfully applied to the data in India and Wuhan,  
l38 China, separately [22,23,29]. A reliable model results in predicted values close to the observed data values  
l39 [51,52].

l40 The original eSIR epidemiology model does not provide validation of the predictions [22]. One of the novel  
l41 contributions to the model was to validate the predictions made by the model using subsequent data from  
l42 Ethiopia, Kenya, Rwanda and Uganda. A second additional strength was the incorporation of a vaccination  
l43 compartment into the model to account for vaccine-induced immunity over time. However, we acknowledge  
l44 that some aspects of these analyses have limitations. For example, the model did not account for under  
l45 estimation of the reported cases, asymptomatic cases, the population structure, health systems, climate and  
l46 environmental factors that can affect predictions and forecasts [5,15,53,54].

l47

## l48 **Conclusion**

l49 The current intervention measures can efficaciously prevent the further spread of COVID-19 and should be  
l50 strengthened. However, the impact of these interventions is highly heterogeneous across EACs. Close  
l51 collaboration between regional governments, the scientific community, and health care providers is required  
l52 to manage the pandemic. Moreover, comparison of the basic reproduction number ( $R_0$ ) between countries  
l53 should take into consideration the under estimation of the reported cases, asymptomatic cases, demographic  
l54 factors such as the population structure, health systems, host genetics factors, climate and environmental  
l55 factors. The observed reduction in  $R_0$  is consistent with intervention measures implemented in EACs, in  
l56 particular, lockdowns and roll-out of vaccination programmes. Future work should account for the negative  
l57 impact of the interventions to the economy and food systems.

l58

## l59 **Availability of data and materials**

l60 The data of cumulative number of COVID-19 infected cases are available from COVID-19 Data Repository  
l61 by the Johns Hopkins University Center for Systems Science and Engineering (JHU CCSE) at  
l62 <https://github.com/CSSEGISandData/COVID-19>. The R package for eSIR implementation is publicly  
l63 available at <https://github.com/lilywang1988/eSIR>.  
l64

## l65 **List of abbreviations**

l66 **COVID-19:** Coronavirus disease 2019

l67 **SARS-CoV-2:** Severe Acute Respiratory Syndrome Coronavirus 2

l68 **NPIs:** non-pharmaceutical intervention(s)

l69 **EACs:** Eastern Africa Countries

l70 **SIR:** Susceptible, Infected, Recovered

l71 **eSIR:** extended Susceptible, Infected, Removed

l72 **SAIR:** Susceptible, Antibody, Infected and Removed

l73 **R<sub>0</sub>:** the basic reproduction number

l74 **R(t):** time-varying reproduction number

l75 **MCMC:** Markov chain Monte Carlo

l76 **RMSE:** Root Mean square error

l77 **MAE:** Mean Absolute Error (MAE)

l78 **JHU:** Johns Hopkins University

l79 **IHME:** Institute of Health Metrics, Washington, Seattle

l80 **ICL:** Imperial College London  
l81

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## i28

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## i35

## i36 **Contributions**

i37 H.E.Z. Tonnang conceptualized and designed the work. M.W. acquired, analyzed and interpreted the data.  
i38 Both authors contributed equally in drafting, reviewing of the article and final approval of the version to  
i39 be published.

i40

## i41 **Ethics declarations**

### i42 **Ethics approval and consent to participate**

i43 This is an epidemiological modelling research and therefore presents secondary data. No ethical approvals  
i44 were required.

### i45 **Consent for publication**

i46 Not applicable.

### i47 **Competing interests**

i48 The authors have declared that no competing interests exist.

i49

## i50 **Supplementary Information**

i51 **S1 Figure. The exponential model of COVID-19 under existing interventions in Burundi.** The black  
i52 dots left of the blue vertical line denote the observed proportions of the infected and removed compartments.  
i53 The blue vertical line denotes time  $t(0)$ . The green and purple vertical lines denote the first and second  
i54 turning points, respectively. The cyan and salmon colour area denotes the 95% CI of the predicted  
i55 proportions of the infected and removed cases before and after  $t(0)$ , respectively. The gray and red curves  
i56 are the posterior mean and median curves. (A, B) Prediction of the infection and removed (recovered and  
i57 dead) proportions of COVID-19 during 2020/2021 window; (C, D) Prediction of the infection and removed  
i58 proportions of COVID-19 during 2021/2022 window.

i59

560 **S2 Figure. The exponential model of COVID-19 under existing interventions in Ethiopia.** The black  
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562 The blue vertical line denotes time  $t(0)$ . The green and purple vertical lines denote the first and second  
563 turning points, respectively. The cyan and salmon colour area denotes the 95% CI of the predicted  
564 proportions of the infected and removed cases before and after  $t(0)$ , respectively. The gray and red curves  
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566 dead) proportions of COVID-19 during 2020/2021 window; (C, D) Prediction of the infection and removed  
567 proportions of COVID-19 during 2021/2022 window.

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569 **S3 Figure. The exponential model COVID-19 under existing interventions in Rwanda.** The black dots  
570 left of the blue vertical line denote the observed proportions of the infected and removed compartments.  
571 The blue vertical line denotes time  $t(0)$ . The green and purple vertical lines denote the first and second  
572 turning points, respectively. The cyan and salmon colour area denotes the 95% CI of the predicted  
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574 are the posterior mean and median curves. (A, B) Prediction of the infection and removed (recovered and  
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576 proportions of COVID-19 during 2021/2022 window.

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578 **S4 Figure. The exponential model of COVID-19 under existing interventions in South Sudan.** The  
579 black dots left of the blue vertical line denote the observed proportions of the infected and removed  
580 compartments. The blue vertical line denotes time  $t(0)$ . The green and purple vertical lines denote the first  
581 and second turning points, respectively. The cyan and salmon colour area denotes the 95% CI of the  
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583 red curves are the posterior mean and median curves. (A, B) Prediction of the infection and removed  
584 (recovered and dead) proportions of COVID-19 during 2020/2021 window; (C, D) Prediction of the  
585 infection and removed proportions of COVID-19 during 2021/2022 window.

86

87 **S5 Figure. The exponential model of COVID-19 under existing interventions in Tanzania.** The black  
88 dots left of the blue vertical line denote the observed proportions of the infected and removed compartments.  
89 The blue vertical line denotes time  $t(0)$ . The green and purple vertical lines denote the first and second  
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94 proportions of COVID-19 in 2021/2022 window.

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96 **S6 Figure. The exponential model of COVID-19 under existing interventions in Uganda.** The black  
97 dots left of the blue vertical line denote the observed proportions of the infected and removed compartments.  
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'03 proportions of COVID-19 in 2021/2022 window.

'04

'05 **S7 Figure. The stepwise model of COVID-19 trend under existing interventions Burundi.** (A, B)  
'06 Prediction of the infection and removed (recovered and dead) proportions of COVID-19 during 2020/2021  
'07 window; (C, D) Prediction of the infection and removed proportions of COVID-19 during 2021/2022  
'08 window.

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'10 **S8 Figure. The stepwise model of COVID-19 trend under existing interventions Ethiopia.** (A, B)  
'11 Prediction of the infection and removed (recovered and dead) proportions of COVID-19 during 2020/2021

712 window; (C, D) Prediction of the infection and removed proportions of COVID-19 during 2021/2022  
713 window.

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715 **S9 Figure. The stepwise model of COVID-19 trend under existing interventions Rwanda.** (A, B)

716 Prediction of the infection and removed (recovered and dead) proportions of COVID-19 during 2020/2021  
717 window; (C, D) Prediction of the infection and removed proportions of COVID-19 during 2021/2022  
718 window.

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720 **S10 Figure. The stepwise model of COVID-19 trend under existing interventions South Sudan.** (A, B)

721 Prediction of the infection and removed (recovered and dead) proportions of COVID-19 during 2020/2021  
722 window; (C, D) Prediction of the infection and removed proportions of COVID-19 during 2021/2022  
723 window.

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725 **S11 Figure. The stepwise model of COVID-19 trend under existing interventions Tanzania.** (A, B)

726 Prediction of the infection and removed (recovered and dead) proportions of COVID-19 during 2020/2021  
727 window; (C, D) Prediction of the infection and removed proportions of COVID-19 during 2021/2022  
728 window.

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730 **S12 Figure. The stepwise model of COVID-19 trend under existing interventions Uganda.** (A, B)

731 Prediction of the infection and removed (recovered and dead) proportions of COVID-19 during 2020/2021  
732 window; (C, D) Prediction of the infection and removed proportions of COVID-19 during 2021/2022  
733 window.

734

735 **S13 Figure. The standard state-space SIR model without interventions in Burundi.** (A, B) Prediction

736 of the infection and removed (recovered and dead) proportions of COVID-19 during 2020/2021 window;  
737 (C) Plot of the first-order derivatives of the posterior prevalence of infection in 2020/2021. (D, E) Prediction

'38 of the infection and removed proportions of COVID-19 during 2021/2022 window. (F) Plot of the first-  
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'41 of the derivative, and the vertical lines mark times of turning points corresponding respectively to those  
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'45 **S14 Figure. The standard state-space SIR model without interventions in Ethiopia.** (A, B) Prediction  
'46 of the infection and removed (recovered and dead) proportions of COVID-19 during 2020/2021 window;  
'47 (C) Plot of the first-order derivatives of the posterior prevalence of infection in 2020/2021. (D, E) Prediction  
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'54 **S15 Figure. The standard state-space SIR model without interventions in Rwanda.** (A, B) Prediction  
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'56 (C) Plot of the first-order derivatives of the posterior prevalence of infection in 2020/2021. (D, E) Prediction  
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'63 **S16 Figure. The standard state-space SIR model without interventions in South Sudan.** (A, B)  
'64 Prediction of the infection and removed (recovered and dead) proportions of COVID-19 during 2020/2021  
'65 window; (C) Plot of the first-order derivatives of the posterior prevalence of infection in 2020/2021. (D, E)  
'66 Prediction of the infection and removed proportions of COVID-19 during 2021/2022 window. (F) Plot of  
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'68 semi-transparent rectangles represent the 95% CI of these turning points. The black curve is the posterior  
'69 mean of the derivative, and the vertical lines mark times of turning points corresponding respectively to  
'70 those shown (A, B) and (D, E).

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'72 **S17 Figure. The standard state-space SIR model without interventions in Tanzania.** (A, B) Prediction  
'73 of the infection and removed (recovered and dead) proportions of COVID-19 during 2020/2021 window;  
'74 (C) Plot of the first-order derivatives of the posterior prevalence of infection in 2020/2021. (D, E) Prediction  
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'81 **S18 Figure. The standard state-space SIR model without interventions in Uganda.** (A, B) Prediction  
'82 of the infection and removed (recovered and dead) proportions of COVID-19 during 2020/2021 window;  
'83 (C) Plot of the first-order derivatives of the posterior prevalence of infection in 2020/2021. (D, E) Prediction  
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**S19 Figure. SIR model projection in Burundi with time-varying quarantine.** (A) Prediction of COVID-19 infection during 2020/2021 window; (B) Prediction of the removed compartment during 2020/2021 window; (C) Plot of the first-order derivatives of the posterior prevalence of infection in 2020/2021. The colored semi-transparent rectangles represent the 95% CI of these turning points. (D) Prediction of the infection of COVID-19 for 2021/2022; (E) Prediction of the removed compartment during 2021/2022 window; (F) Plot of the first-order derivatives of the posterior prevalence of infection during 2021/2022 window.

**S20 Figure. SIR model projection in Ethiopia with time-varying quarantine.** (A) Prediction of COVID-19 infection during 2020/2021 window; (B) Prediction of the removed compartment during 2020/2021 window; (C) Plot of the first-order derivatives of the posterior prevalence of infection in 2020/2021. The colored semi-transparent rectangles represent the 95% CI of these turning points. (D) Prediction of the infection of COVID-19 for 2021/2022; (E) Prediction of the removed compartment during 2021/2022 window; (F) Plot of the first-order derivatives of the posterior prevalence of infection during 2021/2022 window.

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314 **S22 Figure. SIR model projection in South Sudan with time-varying quarantine.** (A) Prediction of  
315 COVID-19 infection during 2020/2021 window; (B) Prediction of the removed compartment during  
316 2020/2021 window; (C) Plot of the first-order derivatives of the posterior prevalence of infection in  
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318 Prediction of the infection of COVID-19 for 2021/2022; (E) Prediction of the removed compartment during  
319 2021/2022 window; (F) Plot of the first-order derivatives of the posterior prevalence of infection during  
320 2021/2022 window.

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322 **S23 Figure. SIR model projection in Tanzania with time-varying quarantine.** (A) Prediction of  
323 COVID-19 infection during 2020/2021 window; (B) Prediction of the removed compartment during  
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328 2021/2022 window.

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330 **S24 Figure. SIR model projection in Uganda with time-varying quarantine.** (A) Prediction of COVID-  
331 19 infection during 2020/2021 window; (B) Prediction of the removed compartment during 2020/2021  
332 window; (C) Plot of the first-order derivatives of the posterior prevalence of infection in 2020/2021. The  
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339 **S25 Figure. The extended SIR model simulating herd immunity and vaccination campaign in**  
340 **Burundi.** (A) Prediction of COVID-19 infection under the assumption that 20% of the population has  
341 antibodies against SARS-COV-2; (B) Prediction of the removed compartment under herd immunity; (C)  
342 Projection of the infection in 2021/2022 assuming that 20% of the population has antibodies against SARS-  
343 COV-2; (D) Prediction of COVID-19 infection under the assumption that 2% of the population is  
344 vaccinated; (E) Prediction of the removed compartment assuming that 2% of the population is vaccinated;  
345 (F) Projection of infection in 2021/2022 assuming that 2% of the population is vaccinated.

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347 **S26 Figure. The extended SIR model simulating herd immunity and vaccination campaign in**  
348 **Ethiopia.** (A) Prediction of COVID-19 infection under the assumption that 20% of the population has  
349 antibodies against SARS-COV-2; (B) Prediction of the removed compartment under herd immunity; (C)  
350 Projection of the infection in 2021/2022 assuming that 20% of the population has antibodies against SARS-  
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352 vaccinated; (E) Prediction of the removed compartment assuming that 2% of the population is vaccinated;  
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361 (F) Projection of infection in 2021/2022 assuming that 2% of the population is vaccinated.

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363 **S28 Figure. The extended SIR model simulating herd immunity and vaccination campaign in South**  
364 **Sudan.** (A) Prediction of COVID-19 infection under the assumption that 20% of the population has

365 antibodies against SARS-COV-2; (B) Prediction of the removed compartment under herd immunity; (C)  
366 Projection of the infection in 2021/2022 assuming that 20% of the population has antibodies against SARS-  
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368 vaccinated; (E) Prediction of the removed compartment assuming that 2% of the population is vaccinated;  
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371 **S29 Figure. The extended SIR model simulating herd immunity and vaccination campaign in**

372 **Tanzania.** (A) Prediction of COVID-19 infection under the assumption that 20% of the population has  
373 antibodies against SARS-COV-2; (B) Prediction of the removed compartment under herd immunity; (C)  
374 Projection of the infection in 2021/2022 assuming that 20% of the population has antibodies against SARS-  
375 COV-2; (D) Prediction of COVID-19 infection under the assumption that 2% of the population is  
376 vaccinated; (E) Prediction of the removed compartment assuming that 2% of the population is vaccinated;  
377 (F) Projection of infection in 2021/2022 assuming that 2% of the population is vaccinated.

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379 **S30 Figure. The extended SIR model simulating herd immunity and vaccination campaign in Uganda.**

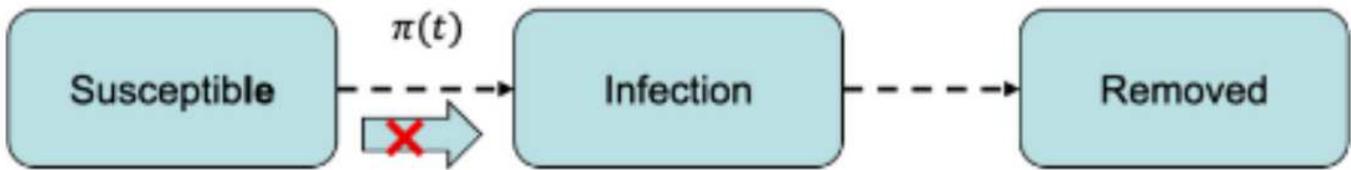
380 (A) Prediction of COVID-19 infection under the assumption that 20% of the population has antibodies  
381 against SARS-COV-2; (B) Prediction of the removed compartment under herd immunity; (C) Projection of  
382 the infection in 2021/2022 assuming that 20% of the population has antibodies against SARS-COV-2; (D)  
383 Prediction of COVID-19 infection under the assumption that 2% of the population is vaccinated; (E)  
384 Prediction of the removed compartment assuming that 2% of the population is vaccinated; (F) Projection of  
385 infection in 2021/2022 assuming that 2% of the population is vaccinated.

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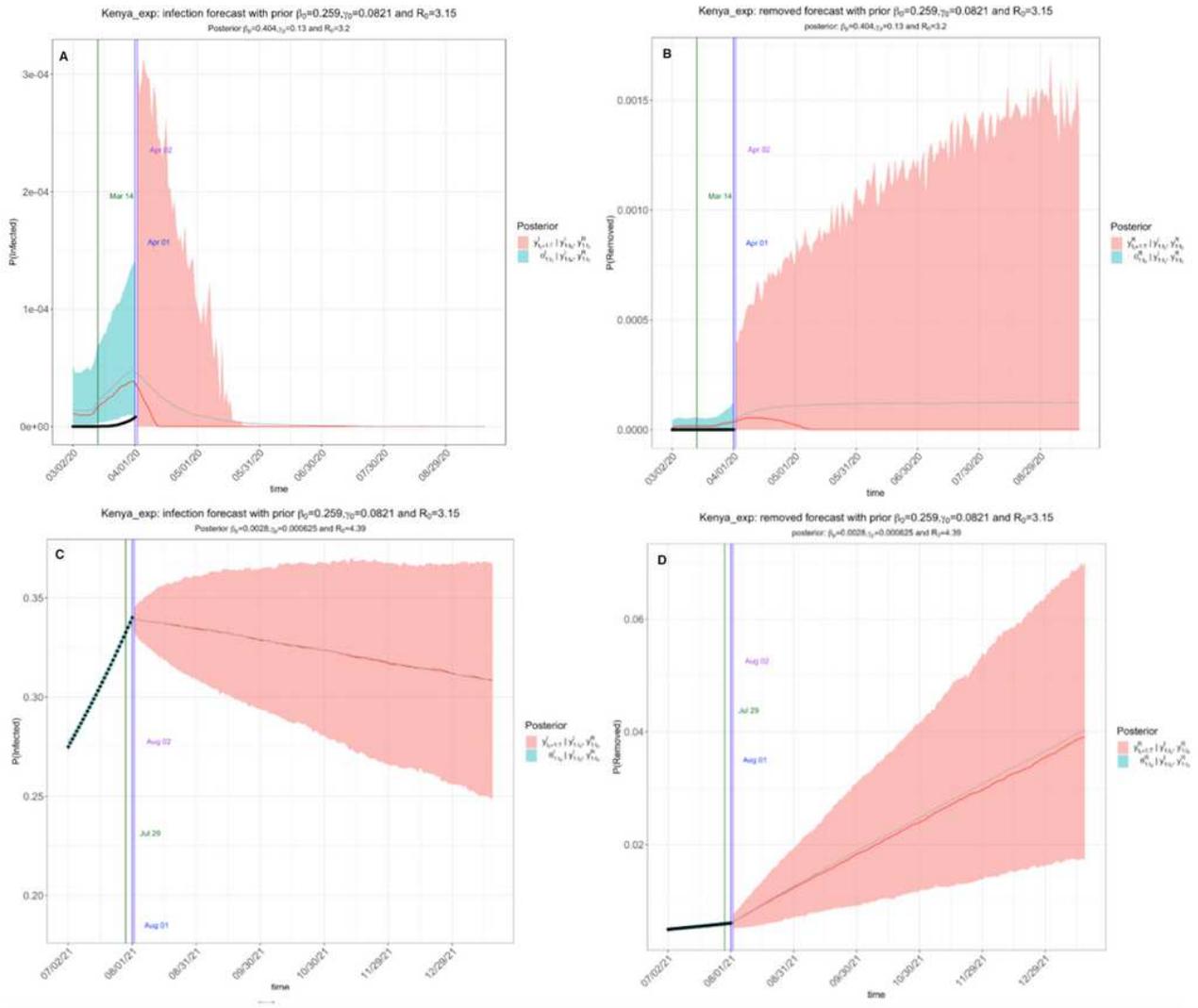
# Figures



$$\frac{d\theta_t^S}{dt} = -\beta\pi(t)\theta_t^S\theta_t^I, \quad \frac{d\theta_t^I}{dt} = \beta\pi(t)\theta_t^S\theta_t^I - \gamma\theta_t^I, \quad \frac{d\theta_t^R}{dt} = \gamma\theta_t^I$$

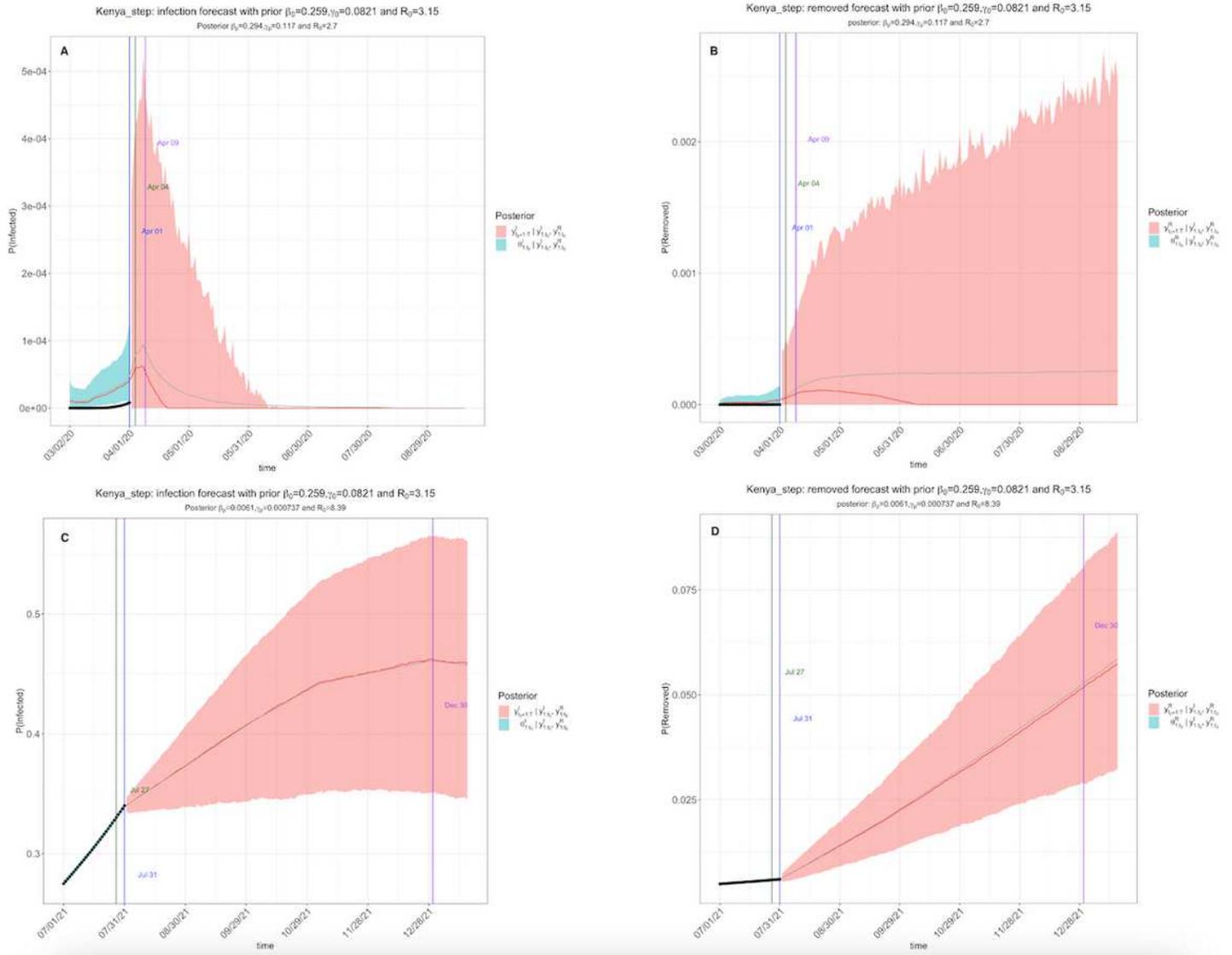
Figure 1

The extended Susceptible-Exposed-Removed (eSIR) basic model. The transmission rate modifier  $\pi(t)$  takes on values according to actual interventions in different countries [22].



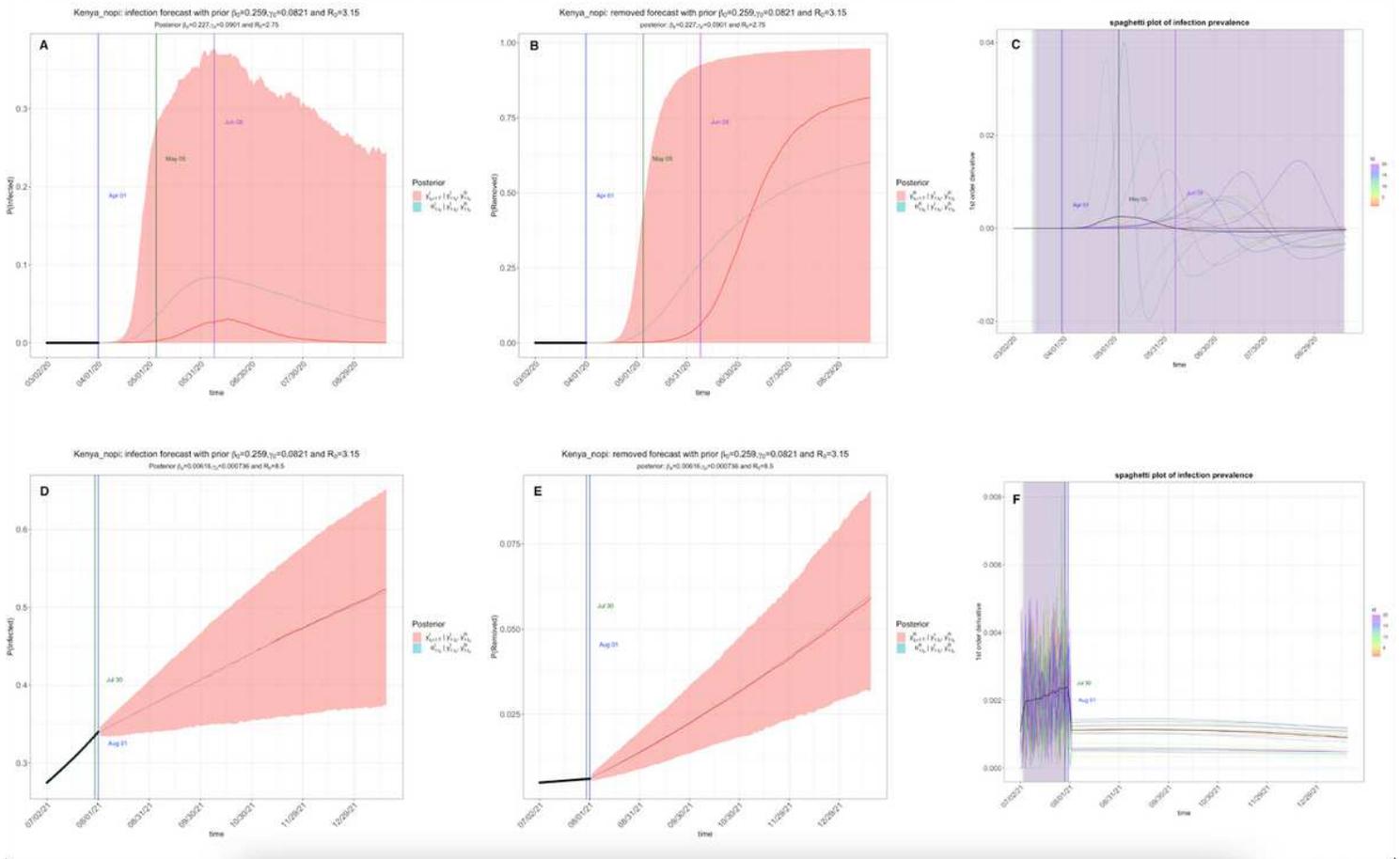
**Figure 2**

The exponential model of COVID-19 trend under existing interventions in Kenya. The black dots left of the blue vertical line denote the observed proportions of the infected and removed compartments. The blue vertical line denotes time  $t(0)$ . The green and purple vertical lines denote the first and second turning points, respectively. The cyan and salmon colour area denotes the 95% CI of the predicted proportions of the infected and removed cases before and after  $t(0)$ , respectively. The gray and red curves are the posterior mean and median curves. (A, B) Prediction of the infection and removed (recovered and dead) proportions of COVID-19 in 2020/2021 time period; (C, D) Prediction of the infection and removed proportions of COVID-19 in 2021/2022 time period.



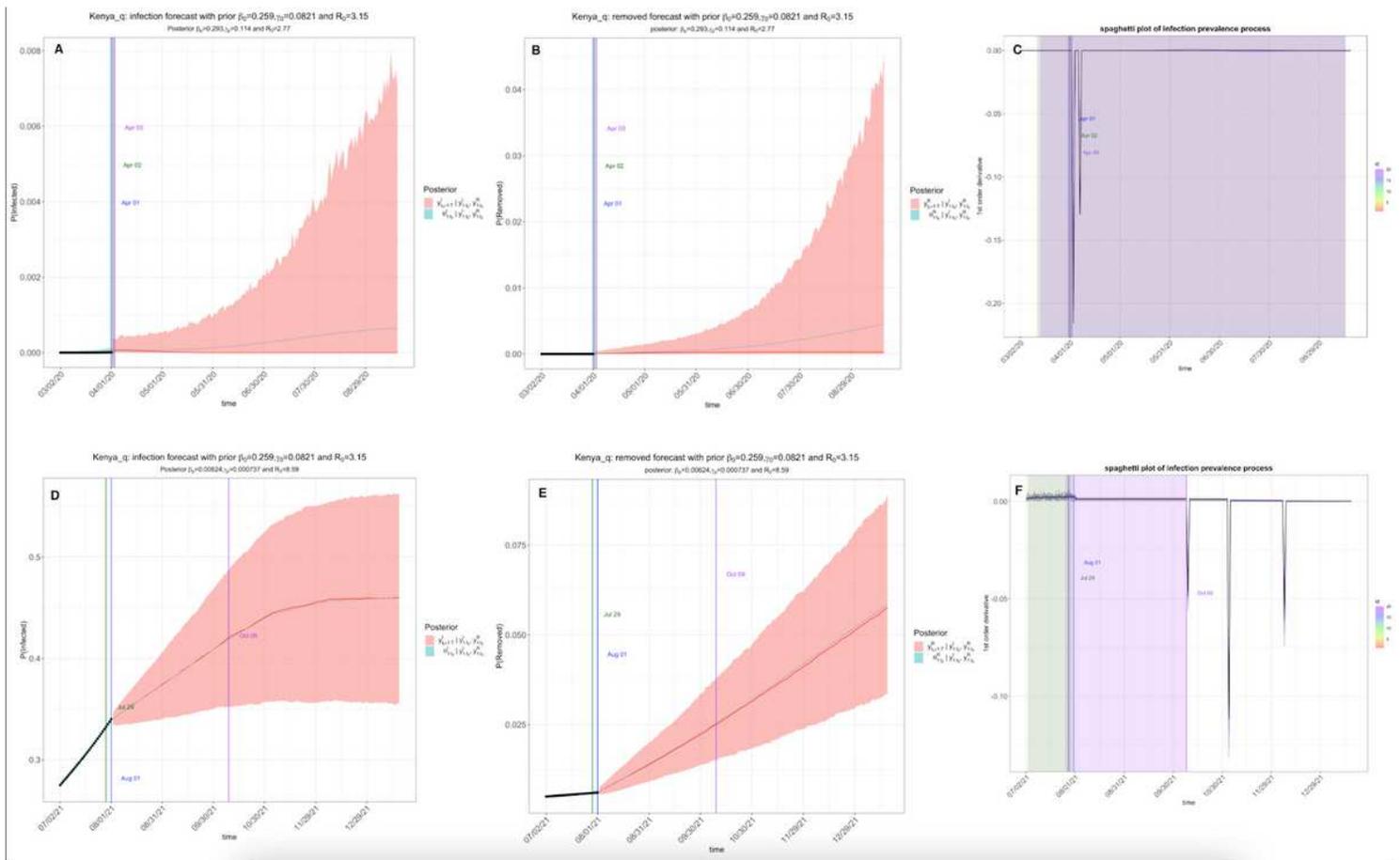
**Figure 3**

The stepwise model of COVID-19 trend under existing interventions Kenya. The black dots left of the blue vertical line denote the observed proportions of the infected and removed compartments. The blue vertical line denotes time  $t(0)$ . The green and purple vertical lines denote the first and second turning points, respectively. The cyan and salmon colour area denotes the 95% CI of the predicted proportions of the infected and removed cases before and after  $t(0)$ , respectively. The gray and red curves are the posterior mean and median curves. (A, B) Prediction of the infection and removed (recovered and dead) proportions of COVID-19 in 2020/2021; (C, D) Prediction of the infection and removed compartments of COVID-19 in 2021/2022.



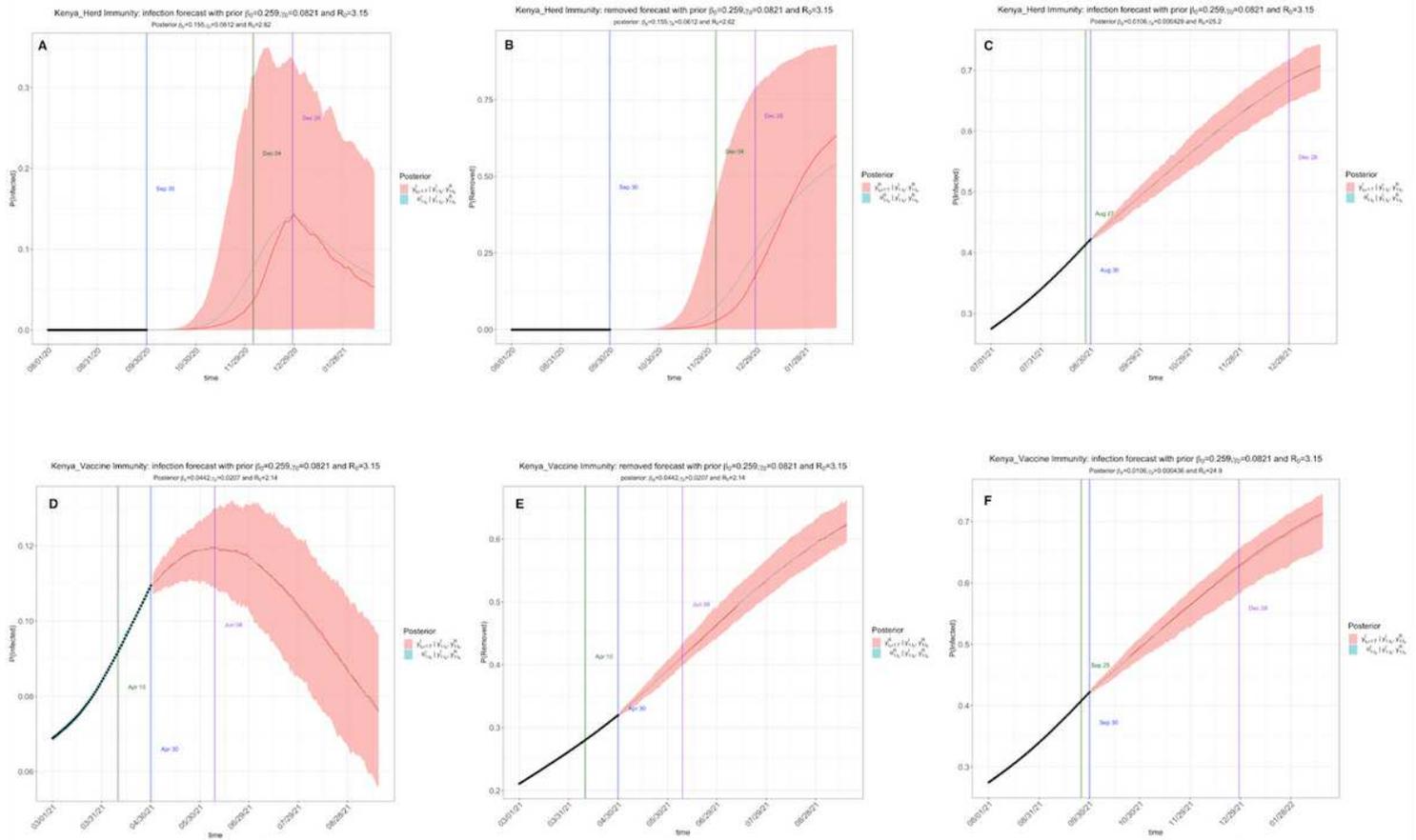
**Figure 4**

The standard state-space SIR model without interventions in Kenya. (A) Prediction of the infection of COVID-19 for 2020/2021 time period; (B) Prediction of the removed compartment for 2020/2021; (C) Plot of the first-order derivatives of the posterior prevalence of infection in 2020/2021. The black curve is the posterior mean of the derivative, and the vertical lines mark times of turning points corresponding respectively to those shown (A, B) and (D, E). The colored semi-transparent rectangles represent the 95% CI of these turning points. (D) Prediction of the infection of COVID-19 during 2021/2022 window; (E) Prediction of the removed compartment during 2021/2022 window; (F) Plot of the first-order derivatives of the posterior prevalence of infection for 2021/2022 time period.



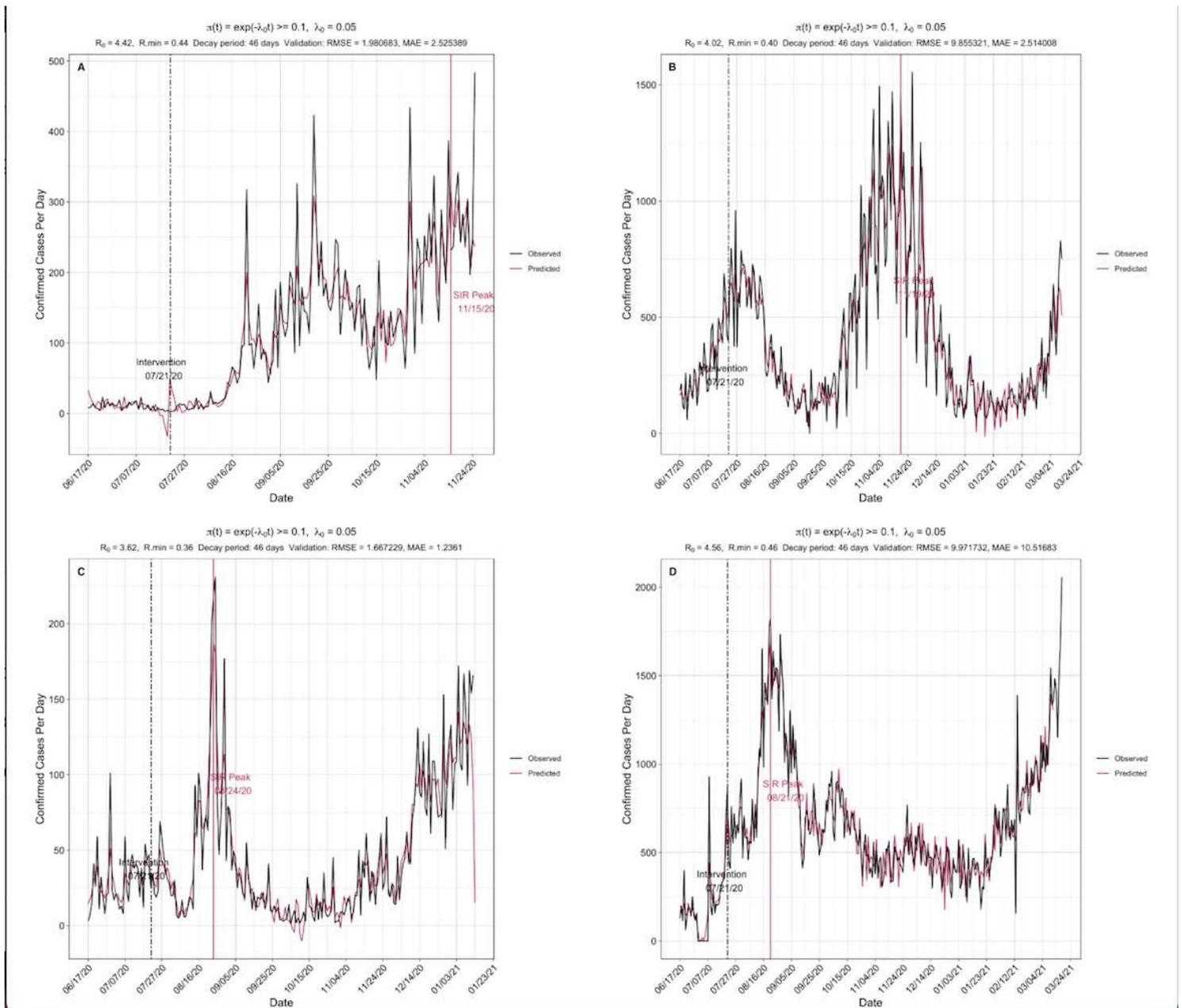
**Figure 5**

SIR model projection in Kenya with time-varying quarantine. (A) Prediction of COVID-19 infection during 2020/2021 window; (B) Prediction of the removed compartment during 2020/2021 window; (C) Plot of the first-order derivatives of the posterior prevalence of infection in 2020/2021. The colored semi-transparent rectangles represent the 95% CI of these turning points. (D) Prediction of the infection of COVID-19 for 2021/2022; (E) Prediction of the removed compartment during 2021/2022 window; (F) Plot of the first-order derivatives of the posterior prevalence of infection.



**Figure 6**

The extended SIR model simulating herd immunity and vaccination campaign in Kenya. (A) Prediction of COVID-19 infection under the assumption that 20% of the population has antibodies against SARS-COV-2; (B) Prediction of the removed compartment under herd immunity; (C) Projection of the infection in 2021/2022 assuming that 20% of the population has antibodies against SARS-COV-2; (D) Prediction of COVID-19 infection under the assumption that 2% of the population is vaccinated; (E) Prediction of the removed compartment assuming that 2% of the population is vaccinated; (F) Projection of infection in 2021/2022 assuming that 2% of the population is vaccinated.



**Figure 7**

Validation of the model for prediction of infected COVID-19 cases using the Root Mean square error and Mean Absolute Error. The RMSE and MAE values are indicated in the respective figure subtitles. (A) Uganda; (B) Kenya; (C) Rwanda; (D) Ethiopia.

## Supplementary Files

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