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1 **Asthma-associated genetic variants induce *IL33* differential expression through a**
2 **novel regulatory region**

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43 **ABSTRACT**

44 Genome-wide association studies (GWAS) have implicated the *IL33* locus in asthma,
45 but the underlying mechanisms remain unclear. Here, we identify a 5 kb region within
46 the GWAS-defined segment that acts as a strong regulatory element *in vivo* and *in vitro*.
47 Chromatin conformation capture showed that this 5 kb region loops to the *IL33*
48 promoter, potentially regulating its expression. We show that genotype at the asthma-
49 associated SNP rs1888909, located within the 5 kb region, is associated with *IL33* gene
50 expression in human airway epithelial cells and IL-33 protein expression in human
51 plasma, potentially through differential binding of OCT-1 (POU2F1) to the asthma-risk
52 allele. Our data demonstrate that asthma-associated variants at the *IL33* locus mediate
53 allele-specific regulatory activity and *IL33* expression, providing a novel mechanism
54 through which a regulatory SNP contributes to genetic risk of asthma.

55

56 **Introduction**

57 Asthma is a common and chronic inflammatory disease of the airways, with
58 significant contributions from both genetic and environmental factors. Genetic factors
59 account for more than half of the overall disease liability¹ and GWAS have discovered
60 more than 60 loci contributing to asthma disease risk², with most of the associated
61 variants located in noncoding regions. Linking these noncoding variants to genes and
62 understanding the mechanisms through which they impart disease risk remains an
63 outstanding task for nearly all asthma GWAS loci.

64 Among the most highly replicated asthma loci are variants near the genes
65 encoding the cytokine IL-33 on chromosome 9p24.1 and its receptor, ST2 (encoded by
66 *IL1RL1*), on chromosome 2q12.1, highlighting the potential importance of this pathway
67 in the genetic etiology of asthma. A crucial role for IL-33 in allergic airway inflammation
68 and bronchial airway hyperresponsiveness has been known since its discovery in
69 2005³. Studies in individuals with asthma and in murine asthma models have identified
70 elevated levels of IL-33 protein in both sera and tissues^{4, 5}. This cytokine is a potent
71 inducer of type 2 immune responses through its receptor ST2 and has been broadly
72 implicated in other allergic and inflammatory conditions, such as atopic dermatitis,
73 allergic rhinitis, and eosinophilic esophagitis^{6, 7, 8}.

74 The single nucleotide polymorphisms (SNPs) associated with increased asthma
75 risk at the *IL33* GWAS locus reside within a linkage disequilibrium (LD) block in a
76 noncoding genomic segment located 2.3 kb upstream of the *IL33* gene. We therefore
77 posited that variants in this region impact on *IL33* expression by altering cis-regulatory
78 element(s) that control quantitative, spatial and/or temporal-specific gene expression.

79 Previous studies of complex diseases have shown how regulatory variants in promoters
80 and enhancer elements lead to an increased risk of disease through altering the
81 expression of nearby genes^{9, 10, 11, 12, 13}. In contrast, other types of cis-regulatory
82 elements, including repressors and insulators (also known as enhancer blocking
83 elements), are less understood and characterized than enhancers, but are also likely to
84 be functionally modified by regulatory variants¹⁴.

85 Here, we combined genetic fine-mapping using GWAS data sets with functional
86 annotations from relevant tissues to dissect the asthma-associated region upstream of
87 the *IL33* gene. We identified a regulatory element harboring SNPs that control *IL33*
88 expression. Genotypes at rs1888909, a SNP within this regulatory element, are
89 associated with *IL33* expression in ethnically diverse populations, as well as IL-33
90 plasma protein levels. Our study provides functional insights into the role of common
91 regulatory variants at the *IL33* locus and illustrate how a causal SNP can exert
92 phenotypic effects by modulating the function of regulatory elements that do not fit into
93 standard definitions of enhancers, insulators, or repressors.

94

95

96 **Results**

97 **Defining the *IL33* locus asthma-associated critical region**

98 Variants at the *IL33* locus have been robustly associated with asthma in GWAS
99 of ethnically-diverse populations^{2, 15, 16, 17, 18, 19, 20}. We first used LD between the most
100 significantly associated SNP in each GWAS (here on referred to as the lead SNP) and
101 other SNPs to define the region harboring potentially causal variants at this locus (Fig.

102 1a). Five lead SNPs were reported among seven large GWAS, defining an LD block
103 spanning 41 kb in European ancestry individuals (chr9: 6,172,380-6,213,468, hg19;
104 Supplementary Fig. 1a), which included 21 additional SNPs in LD ($r^2 \geq 0.80$) with at
105 least one of the five lead SNPs (26 total SNPs). Because LD tracts are longer in
106 European genomes compared to African ancestry genomes, we also sought results of
107 GWAS in African Americans to potentially narrow this region. Three multi-ancestry
108 GWAS^{16, 18, 19} included African Americans, but only one¹⁶ provided GWAS results
109 separately by ancestry. In that study, the lead GWAS SNP rs1888909 differed from the
110 lead SNPs in the European ancestry (rs1342326¹⁵, rs928413¹⁷, rs7848215²⁰ and
111 rs992969²) or combined multi-ancestry (rs2381416¹⁶ and rs992969^{18,19}) GWAS.
112 Because there was so little LD in this region in African Americans, we used an $r^2 \geq 0.40$
113 to define LD. SNPs in LD with rs1888909 at $r^2 \geq 0.4$ in African Americans defined a
114 region of 20 kb (chr9: 6,188,124-6,209,099, hg19; Supplementary Fig. 1b and
115 Supplementary Table 1) that excluded two lead SNPs (rs928413¹⁷ and rs7848215²⁰).

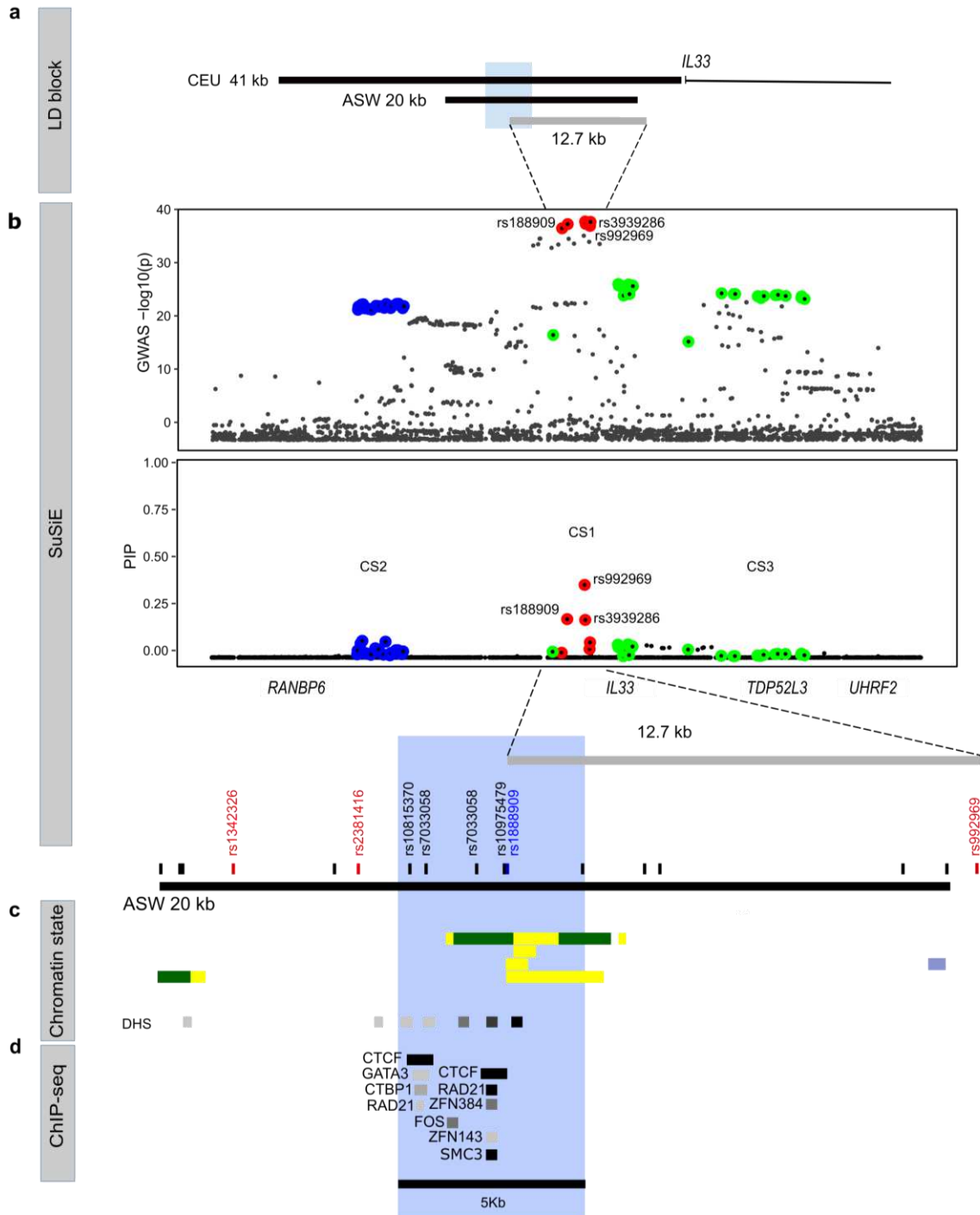
116 To gain more formal statistical support for this interval, we next used a Bayesian
117 method, Sum of Single Effects (SuSiE)²¹, to fine-map the associated region and identify
118 credible sets (CS) of SNPs at the *IL33* locus with high probabilities of being causal.
119 Within each CS, variants are assigned posterior inclusion probabilities (PIPs), with
120 higher PIPs reflecting higher probabilities of being causal and the sum of all PIPs within
121 a CS always equaling 1. Using all SNPs at this locus from a GWAS of childhood onset
122 asthma² in British white subjects from the UK Biobank²², we identified three CSs
123 (Fig.1b). CS1 contained variants with the highest PIPs and included six SNPs, all
124 among those defined by LD with the lead GWAS SNPs, defining a 12.7 kb region (chr9:

125 6,197,392-6,210,099; hg19) approximately 22 kb upstream of the transcriptional start
126 site of the *IL33* gene (Fig. 1a and Supplementary Table 2). The three CS1 SNPs with
127 the highest PIPs included the lead SNPs in two multi-ancestry GWAS (rs992969; PIP
128 0.391), the lead SNP in the African American GWAS¹⁶ (rs1888909; PIP 0.209), and a
129 SNP in LD with the lead SNPs (rs3939286; PIP 0.205). We note that two other CSs of
130 25 SNPs (CS2) and 28 SNPs (CS3) were identified by SuSiE, suggesting additional,
131 independent regions potentially regulating the expression of *IL33* or other genes.

132 Next, we used Roadmap Epigenome²³ and ENCODE²⁴ data to annotate the
133 regulatory landscape of the more inclusive 20 kb asthma-associated interval defined by
134 LD. This segment was enriched with chromatin marks and DNase hypersensitive sites
135 (Fig. 1c), suggestive of regulatory potential in multiple cell types. We also identified two
136 CTCF sites within 2 kb of each other with evidence of CTCF binding in multiple cell lines
137 (84 cell lines have CTCF binding to site 1 and 142 have CTCF binding to site 2 out 194
138 ENCODE-3 lines). In addition, the cohesin complex RAD21-SMC-3 subunits and zinc-
139 finger proteins such as ZNF384 and ZNF143 also bind to this region (Fig. 1d). Binding
140 of this multi-subunit complex along with CTCF can provide sequence specificity for
141 chromatin looping to promoters or have insulator functions^{25, 26}.

142 Interestingly, the three lead GWAS SNPs (rs1342326¹⁵, rs2381416¹⁶ and
143 rs992969^{2, 18, 19}) in the LD-defined 20 kb region did not overlap with regions of open
144 chromatin or transcription factor binding; one, rs992969^{2, 18, 19}, mapped within
145 heterochromatin (thick blue horizontal bar) in virtually every ENCODE cell line
146 (Supplementary Fig. 2). Heterochromatin is a highly compacted region in the genome
147 and not actively involved in gene regulation. For these reasons, it is not likely any of

148 these three lead SNPs within the LD-defined region are causative of the asthma
149 association. In contrast, five SNPs in high LD with the asthma-associated lead SNPs,
150 including two from CS1 and including the lead African American GWAS SNP
151 (rs1888909), overlapped with a region of open chromatin and CTCF, cohesin and ZNF
152 binding, delineating a discrete 5 kb region (chr9: 6,194,500-6,199,500, hg19) (Fig. 1c-
153 d). Collectively, these data reveal a 5 kb region that harbors both asthma-associated
154 SNPs and marks of regulatory activity that could modulate *IL33* expression through
155 long-range interactions.



156

157 **Figure 1. Epigenetic characterization of the asthma-associated critical region in the *IL33* locus. a**

158 Schematic organization of the *IL33* gene and the asthma-associated region (black bars) of European

159 ancestry (CEU 41 kb, chr9: 6,172,380-6,213,468; hg19) and African ancestry (ASW 20 kb, chr9:

160 6,188,124-6,209,099; hg19) positioned upstream of exon 1. **b** Significance of SNP association in the

161 GWAS² (top) and fine mapping results (bottom) for each variant at the *IL33* locus (bottom). Colors

162 indicate each credible set (CS) identified. CS1: red, CS2 blue; CS3 green. CS1 (rs992969, rs1888909,
163 rs3939286) defines a region of 12.7 kb (chr9: 6,197,392-6,210,099; hg19). **c** Position of the lead GWAS
164 SNPs (in red) and additional SNPs in high LD ($r^2 \geq 0.8$) with the lead SNPs (in black) within the ASW 20 kb
165 LD region. The lead SNP rs1888909 in African ancestry is shown in blue. Chromatin states from
166 Roadmap Epigenomics Project showing regions with potential regulatory activity. Yellow: active
167 enhancer; green: transcribed sequence; blue: heterochromatin. DNase hypersensitive (DHS) sites
168 indicating open chromatin regions are shown. Tissues (from the top): E096 Lung primary HMM; E095 Left
169 ventricle primary HMM; E116 GM128781 Lymphoblastoid cell primary HMM; E122 HUVEC Umbilical Vein
170 Endothelial Primary Cells Primary HMM **d** ChIP-seq data from ENCODE-3 cell lines (338 factors; 130 cell
171 types) showing co-binding of CTCF, RAD2, ZFNs and SMC-3 at the 5 kb interval (blue shaded region;
172 chr9: 6,194,500-6,199,500; hg19).

173

174

175 **Regulatory properties of a 5 kb region upstream of *IL33***

176 Having identified a region of interest, we sought to determine its impact on *IL33*
177 expression. Because *IL33* is expressed in multiple tissues, we used an *in vivo* model
178 system to assay for the spatial regulatory properties of this 5 kb region. However, the
179 lack of evolutionary conservation at the locus between human and mouse
180 (Supplementary Fig. 3) required the creation of a “humanized” transgenic mouse model.
181 For this, we used a human Bacterial Artificial Chromosome (BAC - clone RP11-
182 725F15), approximately 166 kb long, spanning the coding region of the *IL33* gene and
183 its upstream sequences, including the 20 kb asthma-associated region. We recombined
184 a cassette containing a E2-Crimson reporter with a 3' stop codon into exon 2, in frame
185 with the *IL33* start codon (ATG). Any *IL33* regulatory regions within this BAC would
186 drive E2-Crimson expression, mimicking *IL33* endogenous spatio-temporal expression
187 patterns. Also, to directly test the regulatory impact of the 5 kb region, we selectively
188 deleted this asthma-associated DNA segment from the full BAC and assessed the

189 resultant *IL33* expression *in vivo*, in mice harboring either the full length or the 5 kb
190 deletion BAC (Fig. 2a).

191 Immunofluorescence staining of peripheral lymph node from full BAC transgenic
192 mice (*hIL33^{Crim}BAC*) showed that E2-Crimson fluorescent protein is highly expressed in
193 this tissue (Fig. 2b, upper panel and Supplementary Fig. 4a). Strikingly, constitutive
194 expression of the E2-Crimson reporter was co-localized with the endothelial cell marker
195 CD31 and observed in high endothelial venule (HEV) cells in mouse lymph nodes. This
196 observation validates the species-specificity of the E2-Crimson expression, as previous
197 studies report that while IL-33 is produced by HEV in humans, it is not found in murine
198 HEV²⁷. In contrast, deletion of the 5 kb region in the reporter BAC (*hIL33^{Crim}BAC5kdel*)
199 significantly depleted E2-Crimson immunostaining in lymph nodes compared to the
200 staining observed in the full BAC mice (Fig. 2b, lower panel and Supplementary Fig.
201 4b). The 5 kb deletion also significantly reduced E2-Crimson mRNA expression in heart
202 and lung in three out of four independent lines (Fig. 2c). These results show that the
203 BAC encodes human-specific regulatory patterns *in vivo* and demonstrate the
204 importance of the 5 kb noncoding segment for proper *IL33* expression.

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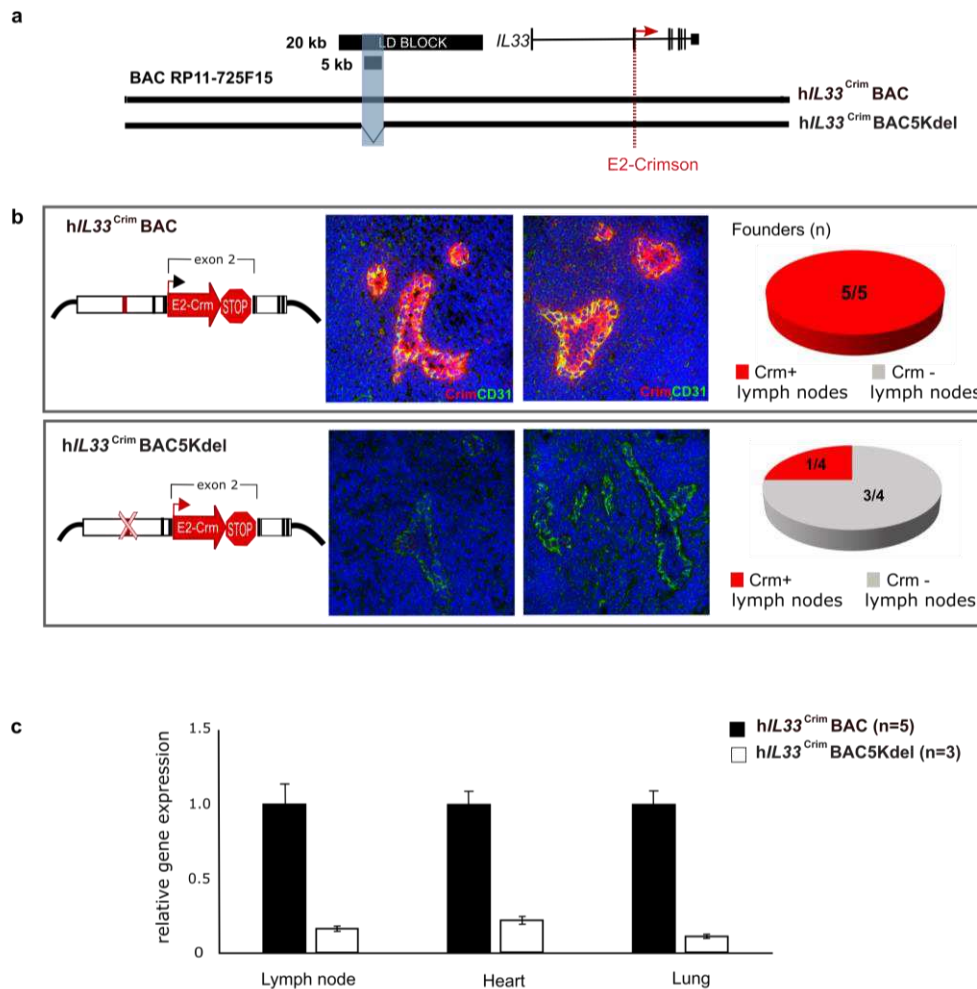
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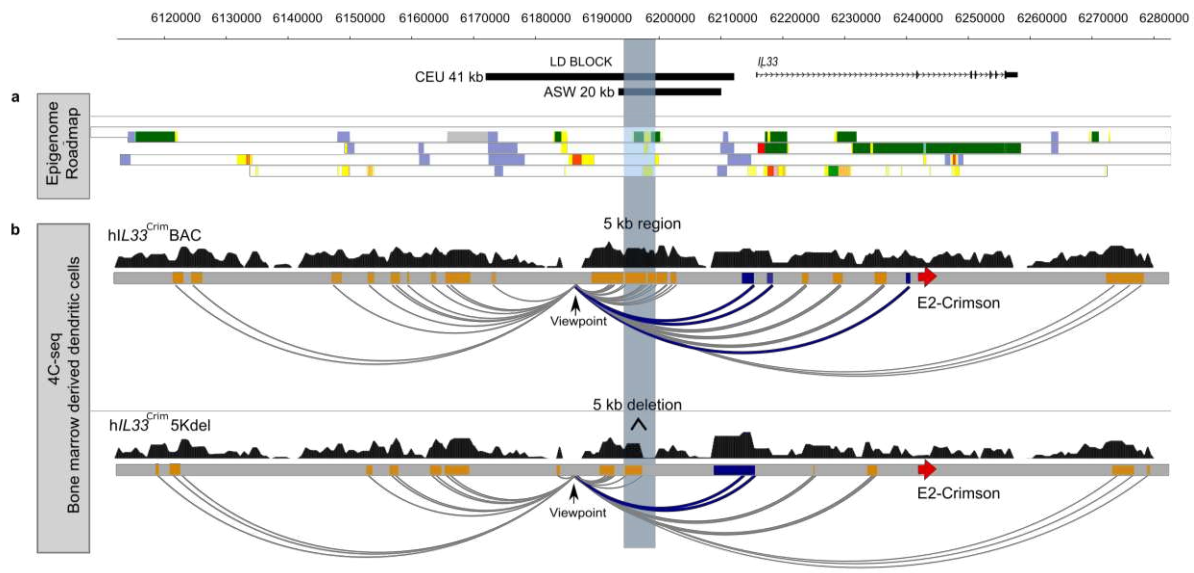


211
 212 **Figure 2. The *IL33*-containing BAC in transgenic mice encodes human-specific regulatory patterns**
 213 **and demonstrates the importance of the 5 kb noncoding segment for proper *IL33* expression. a**
 214 Schematic of human BAC clone RP11-725F15 (166 kb) spanning the entire coding region of *IL33* and its
 215 upstream region including the 20 kb asthma-associated interval and the 5 kb region of interest shaded in
 216 blue (black bars). To produce a human *IL33* reporter strain, a cassette containing E2-Crimson with a stop
 217 sequence was inserted into exon 2, in frame with the *IL33* translational start site (red dotted line).
 218 Transgenic mice were generated with either the full BAC (hIL33^{Crim}BAC) or a BAC containing a deletion of
 219 the 5 kb interval within the LD block (hIL33^{Crim} BAC5Kdel). **b** Immunofluorescence staining of mouse
 220 peripheral lymph node sections of E2-Crimson in hIL33^{Crim} BAC mice (upper panels) or hIL33^{Crim}
 221 BAC5Kdel (lower panels). Representative founder BAC transgenic lines are shown. Sections were
 222 stained with anti-E2-Crimson (red) and the mouse endothelial cell marker CD31 (green). Hoechst staining
 223 for nuclei is in blue. **c** qPCR analysis of E2-Crimson mRNA obtained from lymph node (LN), heart and
 224 lung from both BAC strains is shown

225 **Long-range chromatin interactions in the *IL33* locus**

226 We next assessed the physical interactions between the asthma-associated
227 region and the *IL33* promoters by performing circular chromosome conformation
228 capture followed by high-throughput sequencing (4C-seq) in cells obtained from
229 *hIL33^{Crim}BAC* and *hIL33^{Crim}BAC5kdel* mice. Chromatin state annotations from Roadmap
230 Epigenome suggest the presence of distal regulatory elements, such as enhancers
231 (yellow bars) distributed across the human BAC region containing the *IL33* gene and its
232 upstream non-coding DNA (Fig. 3a).

233 We positioned the viewpoint directly upstream of the 5 kb region in order to
234 capture interactions between this genomic region and the entire adjacent *IL33* locus
235 both in *hIL33^{Crim}BAC* cells and *hIL33^{Crim}BAC5kdel* cells (Fig. 3b). In both cases, we
236 observed interactions between the viewpoint and several potential regulatory regions
237 located upstream and downstream (gray arcs), including the less frequently used
238 promoter of the long transcript as well as the predominantly used promoter for the short
239 transcript of *IL33* (blue arcs). Deletion of the 5 kb fragment reduced the interactions at
240 the *IL33* locus compared to those observed using the full BAC, including the loss of the
241 interaction with the short transcript promoter, confirming the necessity of this region for
242 proper *IL33* regulation and explaining the loss of E2-Crimson expression in
243 *hIL33^{Crim}BAC5kdel* mice (Fig. 2b-c). These data further support the notion that the
244 asthma-associated LD region harbors regulatory elements that physically interact with
245 *IL33* to regulate its expression.



246

247 **Figure 3. Interaction maps show looping of the asthma-associated region to the *IL33* promoter. a**
 248 Roadmap Epigenome data from heart (E095 Left ventricle primary HMM), lung (E096 Lung primary
 249 HMM), LCL (E116 GM128781 Lymphoblastoid cell primary HMM) and endothelial cells (E122 HUVEC
 250 Umbilical Vein Endothelial Primary Cells Primary HM). Chromatin state assignments are indicated as:
 251 transcribed, green; active enhancer, yellow; active promoter, red; repressed, gray; heterochromatin, blue.
 252 **b** 4C-seq in bone marrow derived dendritic cells obtained from mice containing the BAC (*hIL33^{Crim}BAC*,
 253 top) and a deletion of the 5 kb interval within the LD block (*hIL33^{Crim}BAC5Kdel*, bottom). Gray bars
 254 correspond to a schematic representation of the human BAC showing the E2-Crimson reporter insertion
 255 site on exon 2 of the *IL33* gene (red arrow) and the asthma associated 5 kb region (blue shaded box). In
 256 both experiments, reads were mapped to the coordinates corresponding to the human BAC (chr9:
 257 6,112,733-6,279,294; hg19) and peaks shown in dark blue and orange represent the promoter and distal
 258 elements, respectively. Arcs depict interactions from the viewpoint located upstream the 5 kb region.
 259 Interactions between the LD region, near to the 5 kb region (viewpoint), and the *IL33* promoters are noted
 260 in blue

261

262

263 **Asthma-associated SNPs modify regulatory properties of the 5 kb region**

264 To functionally characterize the regulatory impact of allelic variants of asthma-
 265 associated SNPs in the 5 kb region, we utilized a combination of *in vitro* and *in vivo*
 266 reporter assays. Because this region overlaps with chromatin states suggestive of

267 enhancer function in some cell types (Fig. 1c), and because its deletion in the BAC
268 resulted in a generalized loss of reporter expression *in vivo*, we hypothesized that the 5
269 kb region corresponds to an enhancer. To test the regulatory potential of this DNA
270 segment *in vitro*, we cloned both the risk and non-risk haplotypes of the 5 kb fragment in
271 a luciferase vector and transfected them in human cell lines. We used an immortalized
272 human aortic endothelial cell line (TeloHAEC) and K562, as the ENCODE project
273 chromatin data annotate this region as a putative enhancer in endothelial and blood
274 cells. We failed to detect enhancer activity of either haplotype in the 5 kb fragment
275 (Supplementary Fig. 5).

276 Interestingly, upon close inspection of ENCODE data we notice that this 5 kb
277 region is not bound by transcription factors usually associated with enhancer activity,
278 including transcriptional activators, repressors, or RNA Pol. Rather, it is bound by both
279 CTCF and subunits of the cohesin complex across multiple cell lines (Fig. 1d). Both
280 CTCF and cohesin are key determinants of chromatin loop formation and stabilization,
281 including the positioning of regulatory elements close to the promoters of their target
282 genes, or serving as insulators, with enhancer blocking properties.

283 To test the enhancer blocking properties of the 5 kb fragment, we first used an *in*
284 *vitro* luciferase-based assay in which candidate sequences are cloned between a strong
285 promoter (SV40) and a strong enhancer (HS2 element in human beta-globin LCR)²⁸.
286 Luciferase expression is driven by the enhancer and promoter elements, and a
287 decrease in luciferase activity would be interpreted as enhancer blocking activity, with
288 the enhancer not able to loop to the adjacent SV40 promoter. When the 5 kb region was
289 cloned into this vector we observed prominent enhancer-blocking activity (Fig. 4a).

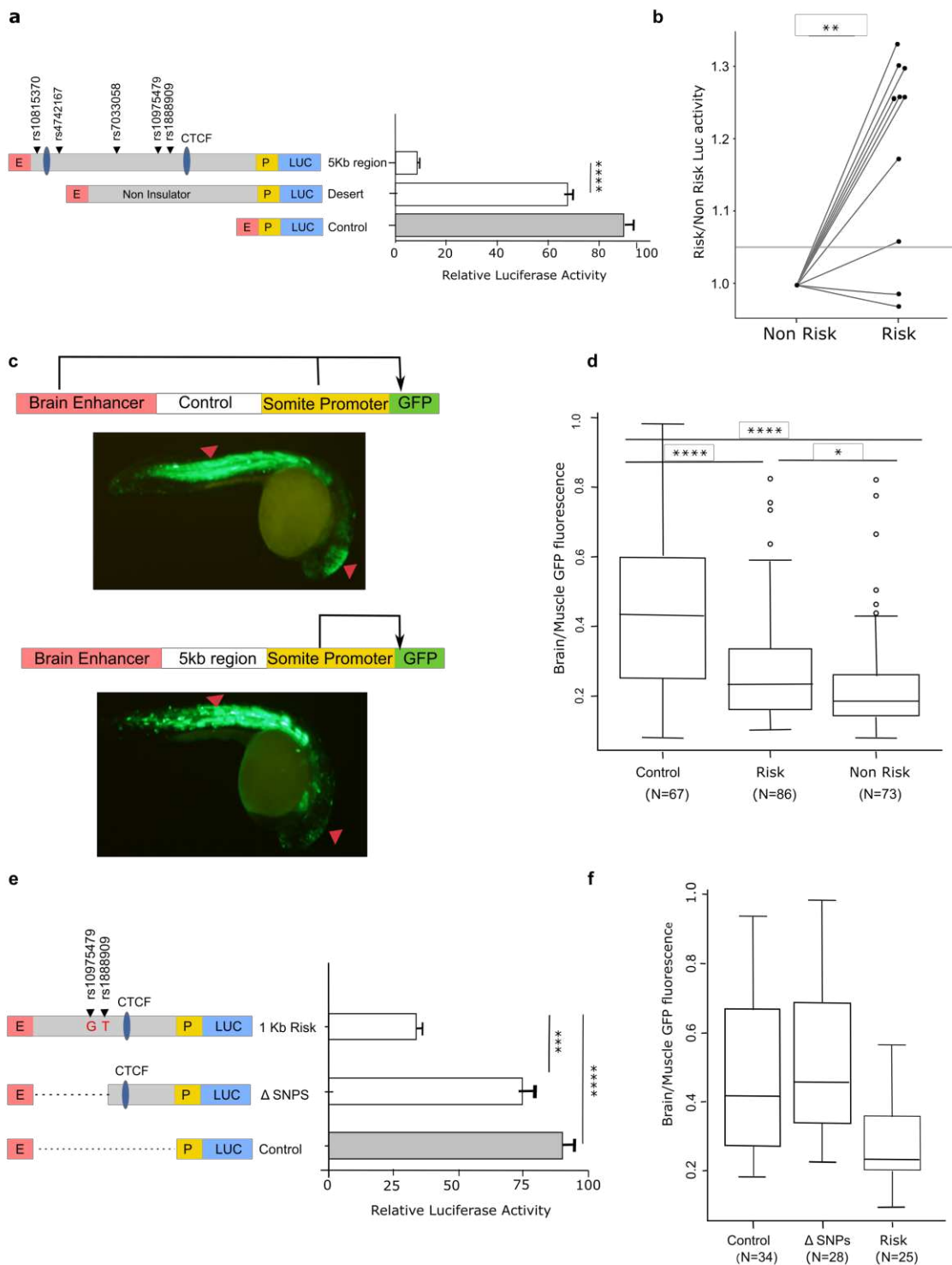
290 Significant differences were also observed between fragments containing either the risk
291 or non-risk alleles for the five SNPs, with the risk allele showing weakened enhancer
292 blocking activity (Fig. 4b; $p=0.001$). As a control, we used a DNA sequence located 60
293 kb upstream of *IL33* and devoid of any epigenetic marks of active chromatin and with no
294 evidence of CTCF or cohesin binding. This control sequence had no significant impact
295 on the reporter gene expression (Fig. 4a).

296 To test the this enhancer blocking property *in vivo* we used a zebrafish reporter
297 assay²⁹. The 5 kb region was cloned in a reporter cassette containing Green
298 Fluorescent Protein (GFP) driven by a cardiac actin promoter and a midbrain enhancer.
299 An enhancer blocking element cloned in this vector would restrict the access of the
300 midbrain enhancer to the GFP reporter gene, while the ability of the actin promoter to
301 activate GFP in skeletal muscle and somites would be maintained (Fig. 4c). In these
302 experiments, the 5 kb region led to a decreased mid-brain-specific GFP signal when
303 compared to the control sequence, which displayed no enhancer blocking activity (Fig.
304 4d; $p<0.001$). Similar to the data in the *in vitro* luciferase experiments, there was a
305 significant difference in GFP activity between fragments containing the risk or non-risk
306 alleles ($p=0.041$), with the risk alleles resulting in reduced enhancer blocking activity.
307 Taken together, our data provide evidence that the 5 kb region has enhancer blocking
308 properties *in vitro* and *in vivo*, and that alleles of asthma-associated SNPs within this
309 region are able to modulate this property.

310 The 5 kb fragment contains two CTCF sites within 2 kb of each other and 5
311 asthma-associated SNPs. To determine the impact of the SNPs on its regulatory
312 function, we cloned a smaller 1 kb fragment, including one CTCF site and the SNPs

313 rs10975479 and rs1888909. These SNPs are located 15 bp apart from each other and
314 display the highest LD (r^2) with the tag SNP rs992969 (0.56 and 0.96, in CEU
315 respectively). This segment of the 5 kb region overlaps with the minimal critical region
316 predicted by fine-mapping by SuSie (Fig. 1a). This shorter fragment still showed
317 prominent enhancer blocking activity *in vitro*, in luciferase assays (Fig. 4e).
318 Furthermore, deletion of 400 bp within the 1 kb element, harboring the SNPs
319 rs10975479 and rs1888909, abrogated the enhancer blocking activity of this fragment.
320 Importantly, this 400 bp deletion does not span the CTCF binding site within the 1 kb
321 fragment. Testing these fragments in our *in vivo* zebrafish transgenic reporter assay
322 confirmed the 1 kb fragment enhancer blocking activity and the functional importance of
323 one or both SNPs within the 400 bp deleted region in regulating GFP expression (Fig.
324 4f). Together, these data characterize a regulatory region upstream of the *IL33* locus
325 and implicate the asthma-associated SNPs rs10975479 and rs1888909 in regulating
326 *IL33* expression.

327



328

329 **Figure 4. Impact of the asthma-associated variants in the regulatory property of the 5 kb region. a**

330 *In vitro* transgenic reporter assay. Luciferase based enhancer barrier assay using 5 kb constructs (chr9:

331 6,194,500-6,199,500; hg19) that were cloned between HS2 enhancer (E) and SV40 promoter (P)

332 sequences. SNPs in the construct are noted (black arrowheads). Results are from 3 independent
333 experiments. **** $p < 0.0001$, unpaired t-test. **b** Luciferase activity values of the risk construct is shown as
334 fold change over the activity obtained in the non-risk sequence. Results shown represent data from 10
335 independent experiments. ** $p = 0.0014$, two-way ANOVA. **c** *In vivo* zebrafish transgenic reporter assay.
336 Green fluorescent protein (GFP) expression 24 hours post fertilization (hpf) in mosaic F0 embryos
337 injected with vectors containing a control sequence (top panel) or 5 kb interval sequence (bottom panel).
338 **d** Comparison between 5 kb constructs containing risk or non-risk alleles for enhancer blocking property.
339 Data is presented as midbrain/somites EGFP intensity ratio compared with empty gateway vector which
340 has no enhancer blocking activity. **** $p = 1.1 \times 10^{-5}$; * $p = 0.041$, ANOVA pairwise T-test. **e** 1 kb DNA fragment
341 (chr9: 6,197,000- 6,197,917; hg19) containing the risk alleles for asthma variants rs10975479 and
342 rs1888909 or a 400bp deletion in the region harboring those SNPs (chr9: 6,197,399-6,197,914; hg19)
343 were assayed for luciferase reporter activity in K562 cells. Results are representative of 3 independent
344 experiments *** $p = 0.0005$, unpaired t-test. **f** Zebrafish reporter assay comparing the 1 kb DNA fragment
345 and fragment with the 400bp deletion (Δ SNP). Data are presented as in (d).

346

347 **Differential binding of OCT-1 to allelic variants of rs1888909**

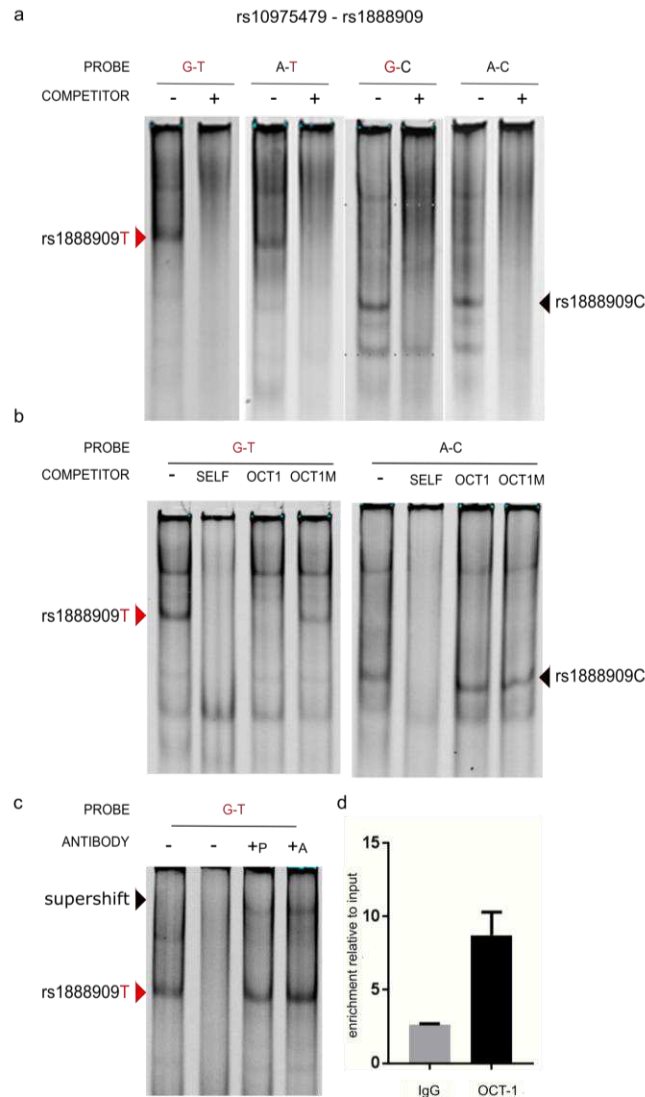
348 Based on the regulatory properties of this region *in vitro* and *in vivo*, we
349 hypothesized that the risk alleles of rs1888909 may alter transcription factor binding,
350 resulting in altered regulatory activity and *IL33* expression. Although not predicted to be
351 a causal SNP (Fig. 1b), we also studied rs10975479 (G/A) as it is only 15 bp away from
352 rs1888909. We performed an electrophoretic mobility shift assay (EMSA) using small
353 labeled DNA probes and unlabeled competitors spanning four different combinations of
354 the risk or non-risk alleles for variants rs10975479 (G/A) and rs1888909 (T/C). Upon
355 incubation with nuclear extract, we observed differences in binding patterns between
356 the risk (G-T) and non-risk (A-C) probes, suggesting that different transcription factor
357 binding complexes are formed in the presence of these two alleles (Fig. 5a). The major
358 changes in binding are observed with both probes carrying the rs1888909 (T) allele,
359 thereby indicating that the difference is driven by the risk allele T of this SNP. These

360 data demonstrate that risk allele (T) of the asthma-associated SNP rs1888909 alters
361 protein binding properties, possibly influencing *IL33* expression.

362 To identify nuclear proteins that bind to the rs1888909 (T) vs rs1888909 (C)
363 probes, we isolated 3 bands from the non-risk rs1888909 (C) lane, and 2 bands from
364 the risk rs1888909 (T) lane for mass spectrometry analysis (Supplementary Fig. 6). As
365 a control, we isolated the same regions in the lane where the lysate had been incubated
366 with a cold probe competitor. First, we filtered out the proteins that were also found in
367 the control lane and nuclear proteins that aren't known to bind to DNA (Supplementary
368 Table 3). We identified three transcription factors with known DNA binding motifs bound
369 only to the non-risk probe (NFE2, TFCEP2 and FOXL2) and four transcription factors
370 bound only to the risk probe (POU2F1, FOXP1, STAT3 and STAT5b). We then used the
371 UniPROBE protein array database³⁰ to select the transcription factors known to bind to
372 at least one octamer containing a risk or non-risk allele. This analysis resulted in one
373 transcription factor: OCT-1 (POU2F1), which bound to the EMSA probe and was
374 differentially bound to the risk allele. OCT-1 was selected as our primary candidate for
375 further investigation.

376 To confirm OCT-1-specific binding to the risk probe we first used a cold
377 competition assay and demonstrated that the band specifically competed with an OCT-1
378 canonical DNA binding motif, but not with a mutated oligonucleotide (Fig. 5b, left panel).
379 Conversely, binding to the non-risk A-C probe was not competed by the consensus or
380 mutated OCT-1 oligonucleotides, demonstrating specificity of OCT-1 association with
381 only the risk allele (Fig. 5b, right panel). Further, an OCT-1 specific antibody
382 supershifted the nuclear complex formed with the rs1888909 (T) probe (Fig. 5c). We

383 were able to visualize this shift independently of the order of incubation of the nuclear
384 extract with probe or antibody, suggesting robust protein binding. We then performed
385 chromatin immunoprecipitation (ChIP) followed by qPCR to demonstrate enrichment of
386 OCT-1 binding to the DNA region containing the rs18889099 (T) (Fig. 5d). These
387 experiments provide strong evidence that OCT-1 binds differentially to the risk allele
388 and directs the formation of differential allelic nuclear complexes at the rs1888909
389 variant.
390



391

392 **Figure 5. Regulatory region containing the risk allele rs1888909T selectively binds OCT-1.**

393 **a** Radiolabeled probes carrying the risk (in red) and/or non-risk sequences for SNPs rs10975479 and
 394 rs1888909 were incubated with nuclear extract obtained from K562 cells. Different complexes formed by

395 rs1888909 are marked by red or black arrows. **b** Cold competition assay with OCT-1 consensus (OCT1)
 396 or mutated OCT-1 (OCT1M) oligonucleotides. EMSA probes and oligo competitor (100x molar excess)

397 are noted above each gel. **c** Supershift complex formation with addition of anti-OCT-1 antibody as
 398 indicated by the red arrow. +P indicates addition of probe with nuclear extract, followed by incubation with

399 antibody. +A indicates incubation of extract with antibody followed by addition of probe, +P indicated
 400 incubation of extract with probe followed by antibody. **d** Chromatin immunoprecipitation of H292

401 chromatin with anti-OCT-1 antibody shows percent enrichment to input chromatin compared to control
 402 IgG antibody. Results are representative of 3 independent experiment

403 **Asthma-associated SNPs are associated with *IL33* mRNA and IL-33 protein levels**

404 Finally, we tested the functional consequences of the GWAS SNPs rs1888909,
405 rs10975479 and rs992969 on *IL33* mRNA and IL-33 protein abundance. The first two
406 SNPs are within the 5 kb region. The latter, rs992969, is located outside of this region,
407 but was previously reported to be associated with *IL33* expression in bronchial epithelial
408 cells from primarily non-Hispanic white subjects³¹. SNP rs992969 is in high LD with
409 rs1888909 in European ancestry populations ($r^2=1$ in CEU), but less so in African
410 American populations ($r^2=0.45$ in ASW), and in low LD with rs10975479 in both
411 populations ($r^2=0.56$ and 0.13 , respectively) (Supplementary Figure 1 and
412 Supplementary Table 1). We used RNA-seq data from endobronchial brushings
413 obtained from 124 asthmatic and non-asthmatic adult subjects, mostly of European
414 ancestry (Fig. 6a, upper panel), and from nasal epithelial cell brushings from 189
415 African American children from high risk asthma families (Fig. 6a, lower panel). In both
416 populations, carriers of one or two copies of the rs1888909 (T) risk allele had
417 significantly higher *IL33* transcript levels compared to non-carriers of this allele.
418 Genotypes at rs992969 were more modestly associated with transcript levels and only
419 significant in the African American samples after correcting for multiple (3) tests; while
420 rs10975479 was not associated with *IL33* transcript abundance in either sample (Fig.
421 6a, Supplementary Table 4). These results are consistent with the EMSA data
422 suggesting that allelic variants of rs1888909 result in differential protein binding. The
423 stronger effects of rs1888909 on *IL33* expression in both populations suggest that
424 rs1888909 is the causal variant in this region and that associations with other variants

425 (such as rs992969) in GWAS and in gene expression studies were due to LD with the
426 causal variant.

427 We observed similar patterns of association in studies of IL-33 protein levels in
428 plasma from 30 children³² of European ancestry (Fig. 6b). Children who carried the
429 asthma risk alleles at rs1888909 or rs992969 had more IL-33 protein compared to
430 children not carrying these alleles. There was no association between genotype at SNP
431 rs10975479 and IL-33 protein levels.

432 The aggregate of our mouse transgenic assays, *in vivo* and *in vitro* reporter
433 assays, and EMSA collectively supported a role for rs1888909 as a causal variant for
434 the association with *IL33* expression. The associations between the risk alleles and
435 increased *IL33* transcript levels and IL-33 protein abundance further support a role for
436 this region in regulating the *IL33* gene and point to rs1888909 as the causal variant in
437 this region, corroborating predictions from our *in vitro* and *in vivo* studies.

438

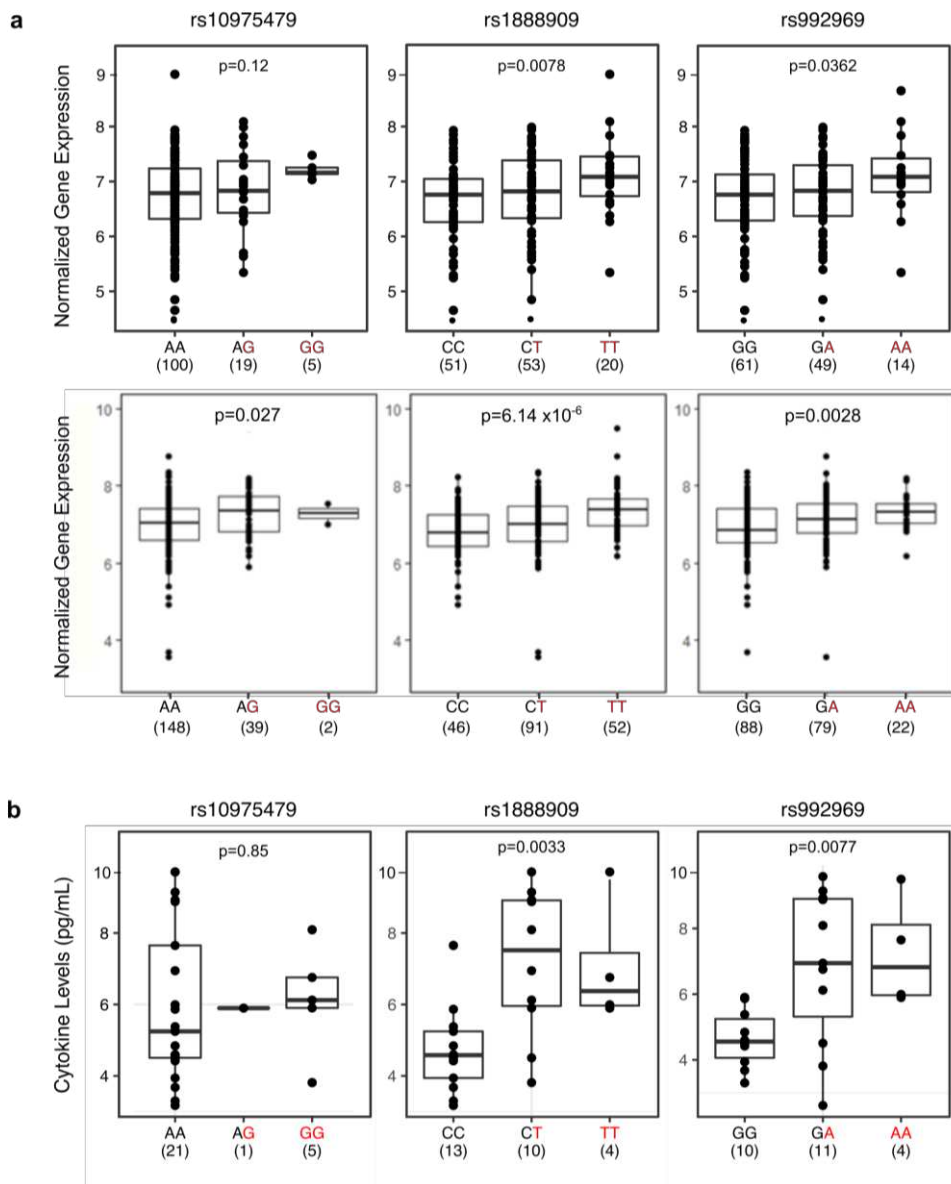
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444
 445 **Figure 6. The rs1888909 (T) and rs992969 (A) alleles are associated with increased *IL33* expression**
 446 **and IL-33 protein levels. a** Comparison of *IL33* expression between genotypes for SNPs rs10975479,
 447 rs1888909 and rs992969 from endobronchial brushings from 124 asthmatic and non-asthmatic adult
 448 subjects, mostly of European ancestry (upper panels) and nasal epithelial cells from 189 African
 449 American children from high risk asthma families (lower panels). **b** Comparison of IL-33 cytokine levels
 450 between genotypes for SNPs rs10975479 (n=26), rs1888909 (n=27) and rs992969 (n=25) measured in
 451 plasma from Hutterite children (all European ancestry). The asthma-associated risk allele at each SNP is
 452 highlighted in red (x axis). The number of subjects per group is shown below the genotype. Boxes
 453 indicate the interquartile range, whiskers represent the 95% confidence intervals. Statistical significance
 454 was determined using an additive linear model.

455 **Discussion**

456 We described an integrated pipeline to fine-map and functionally annotate the
457 asthma-associated locus that includes the *IL33* gene. We used the LD structure at this
458 locus across populations of different ethnicities combined with a Bayesian fine-mapping
459 tool to define a critical 20 kb genomic interval containing candidate causal SNPs for the
460 asthma association. Epigenetic signatures further reduced this region to 5 kb, which we
461 demonstrated to have a crucial role in the development of chromatin loops creating
462 contacts between *IL33* promoters and regulatory elements within the critical interval to
463 control *IL33* expression. Associations between rs1888909 (T) allele copies and
464 increased *IL33* mRNA and IL-33 protein levels further suggests that this regulatory
465 variant mediates the development of asthma among individuals carrying this risk allele.

466 The 5 kb region that we delineated and characterized in this study defies the
467 standard definition of regulatory elements. A loss of function assay showed that this
468 region is necessary for *IL33* expression *in vivo*, suggestive of an enhancer function.
469 However, we failed to detect enhancer activity in reporter assays in cells where the
470 region displays chromatin markers associated with enhancers. Moreover, ENCODE
471 profiled the binding of hundreds of transcription factors across multiple cell types and
472 reported no binding of transcription activators, transcriptional co-factors, RNA PolIII or
473 other factors usually associated with enhancers within this 5 kb region. Rather, the
474 region is bound by CTCF and cohesin in most cell lines assayed by ENCODE. We
475 showed that this region possesses enhancer blocking activity *in vivo* and *in vitro*,
476 reminiscent of insulator activities. Nevertheless, the region does not appear to behave
477 as a classically described insulator, as we fail to see a change in chromatin topology
478 and long-range interactions in the locus secondary to the deletion of the 5 kb region in

479 the human BAC, which are typically seen when insulators are deleted³³. While we
480 cannot ascribe a well-defined category for this regulatory element, we were able to
481 dissect its functional properties, to fine-map a variant that is likely causal to the
482 association with asthma and to identify the molecular effector binding to this regulatory
483 variant.

484 CTCF plays a critical role in chromatin loop formation and participates in
485 demarcation of Topological Association Domains (TADs). Loop formation within TADs
486 facilitates contacts between specific genes and enhancers, allowing for appropriate
487 temporal and tissue-specific expression³⁴. We observed that the 5 kb risk haplotype
488 carrying the asthma-associated allele possessed reduced enhancer blocking function in
489 both *in vitro* and *in vivo* assays, and identified a smaller 1 kb fragment which maintained
490 enhancer blocking activity. Interestingly, the loss of a 400bp region within this 1 kb
491 fragment, harboring 2 asthma-associated SNPs, led to a significant loss of function,
492 demonstrating a requirement for factors binding in that region for its proper regulatory
493 activity.

494 We identified OCT-1 as a transcription factor that binds differentially to the risk
495 allele of rs1888909, thus implicating OCT-1 in the function(s) of this 5 kb region. OCT-1
496 can regulate gene expression both positively and negatively. It has been shown to bind
497 enhancers and regulatory regions upstream of multiple cytokines, including IL-3³⁵, IL-
498 12p40³⁶, IL-13³⁷, IL-4³⁸ and IL-17³⁹. The mechanisms by which OCT-1 functions are
499 strikingly diverse, and there are numerous studies reporting the coordinated activity of
500 CTCF and OCT-1. For example, at the *IL17* gene cluster on chromosome 3, OCT-1 and
501 CTCF facilitate long-range associations with the IL-2 locus in naïve T cells. In a parallel

502 fashion, it is possible that OCT-1 coordinates with CTCF to direct *IL33* expression at the
503 locus through creation of appropriate chromatin interactions between enhancers and the
504 *IL33* promoter. The distinct binding complexes formed on the risk and non-risk alleles at
505 the rs1888909 genomic region may be responsible for alterations in these interactions,
506 which in turn may lead to altered *IL33* expression levels.

507 While our data support a role of rs1888909 in the regulation of *IL33* expression
508 and its primary role with asthma risk, our fine mapping results identified two other
509 potential causative sets of SNPs associated with asthma at the *IL33* locus, upstream
510 and downstream to the region that we studied (Fig1b). This suggests that other variants
511 in this locus may have independent effects that alter the function of other regulatory
512 elements and potentially control expression of *IL33* or other genes. Future studies will
513 be needed to further dissect the genetic architecture at this complex locus and address
514 this hypothesis.

515 In summary, we identified a small 5 kb noncoding interval which is integral to
516 *IL33* expression in several cell types. We propose a model in which CTCF mediates
517 interaction of the 5 kb region to the *IL33* promoters through the formation of chromatin
518 loops. The interplay between the distinct regulatory elements in the locus promotes
519 spatial and temporal-specific regulation which is affected by OCT-1 binding to
520 rs1888909. These results together with the increased *IL33* expression observed in
521 humans with the risk allele offer a plausible mechanistic explanation for the association
522 of these variants and asthma risk. A deeper characterization of these mechanisms will
523 not only enhance our understanding of *IL33* regulation but may also open new

524 strategies in directing future studies, as well as other common diseases in which
525 dysregulation of IL-33 plays a role.

526 **Materials and Methods.**

527 **Experimental animals**

528 Generation of h*IL33*^{Crm} BAC and h*IL33*^{Crm} 5Kdel transgenic mice was performed by the
529 University of Chicago Transgenic Core Facility. Modified DNA was diluted to a
530 concentration of 2 ng/μl and used for pronuclear injections of CD1 embryos in
531 accordance with standard protocols approved by the University of Chicago.

532

533 **Red/ET BAC modification**

534 BAC RP11725F15, obtained from the BACPAC Resource Center (Oakland, CA), was
535 modified in vitro using the RED/ET recombination kit (Gene Bridges, Heidelberg
536 Germany) according to the manufacturer's instructions.

537 Crimson- kanamycin cassette was PCR amplified from the pE2-Crimson-N1 vector. The
538 reporter cassette was inserted in frame to the ATG of the human *IL33* gene, to replace
539 the second exon of the gene, while maintaining fully intact boundaries and flanking
540 regions. Primers containing 50-bp BAC-homology arms used for generation of the
541 recombination cassette were as follows: IL33_CrimsonKanF:

542 TTGAGACAAATGAACTAATATTATATTTTAATCCAACAGAATACTGAAAAATGGATAG

543 CACTGAGAACGTCA; IL33_CrimsonKanR,

544 GCGTAAAACATTCAGAGATAACTTAAGTCCTTACTTCCCAGCTTGAAACATCAGAAG

545 AACTCGTCAAGAAGG. Successful recombinants were screened for homologous

546 insertion using the following external/internal primers IL33F-Crm5',

547 AGCCACAGTTGTTTCCGTTT; IL33R-Crm5', TTGAGGTAGTCGGGGATGTC and IL-

548 33F-Crm3', ATCGCCTTCTATCGCCTTCT; IL33R, Crm3',

549 TGTGGAGCAAAAAGTGGTTG.

550 The asthma-associated 5 kb interval (chr9: 6,194,500-6,199,500; hg19) was deleted
551 using RED/ET recombination kit (Gene Bridges). The 5 kb region of interest was
552 replaced by the ampicillin gene using the following primers containing 50 pb homology
553 arms flanking the BAC region to be deleted. IL33InsDEL_F,
554 GCACACCTGTAAGTCTCTGCATTTTGCCACTTATACAACTTCATCTTTGAGTGGCAC
555 TTTTCGGGGAAATG; IL33InsDEL_R,
556 AACTTACATCAAATAAAATCTCAACACAGAATTCATACATGTCAACATACTCGAGG
557 CTAGCTCTAGAAGTC. All correctly modified BACs were verified by fingerprinting and
558 sequencing. BAC DNA was extracted using the Nucleobond PC20 kit (Macherey-Nagel)
559 and diluted for pronuclear injection. BAC copy number was determined as previously
560 described ⁴⁰

561

562 **Quantitative RT-PCR**

563 RNA from flash frozen tissues were extracted from control and insulator deleted mice
564 using TRI-reagent (Sigma). cDNA was generated using Super Script II (Thermo). IL33
565 primers (5'GCCAAGCTGCAAGTGACCAA 3'; 5'GCCTTGGAGCCGTAGAAGAA 3') and
566 HPRT housekeeping gene primers were used to quantify *IL33* mRNA expression levels.
567 RNA samples with no reverse transcriptase added were used to test for genomic
568 contamination.

569

570

571

572 **Tissue preparation, Immunofluorescence Staining, and Microscopy**

573 Mouse lymph nodes were embedded into blocks with Optimal Cutting Temperature
574 compound (OCT 4583) and stored at -80°C. Frozen tissues were sliced into sections
575 5µm thick and dried onto slides overnight. Sections were fixed, permeabilized,
576 quenched and blocked. Tissues were immunostained with the primary antibodies rat
577 anti-mouse CD31:Biotin (clone 390, Biolegend, San Diego, CA, USA) and Living Colors
578 DsRed Polyclonal Antibody (rabbit anti-E2-Crimson, Clontech [now Takara Bio USA],
579 Mountain View, CA, USA). Sections were washed and stained with the secondary
580 antibodies Streptavidin:Alexa Fluor 488 (Biolegend, San Diego, CA, USA) and Goat
581 anti-Rabbit IgG:Alexa Fluor 633 (Life Technologies, Thermo Fisher, Waltham, MA,
582 USA), along with the nucleic acid stain Hoechst 33342 (Life Technologies, Thermo
583 Fisher, Waltham, MA, USA). Coverslips were set with ProLong Diamond Antifade
584 Mountant (Life Technologies, ThermoFisher, Waltham, MA, USA). Imaging was
585 performed at the University of Chicago Integrated Light Microscopy Facility. Images
586 were captured with a Leica TCS SP2 laser scanning confocal microscope (Leica
587 Microsystems, Inc., Buffalo Grove, IL, USA) using a 63x/1.4 UV oil immersion objective
588 and LAS_AF acquisition software (Leica Microsystems, Inc., Buffalo Grove, IL, USA).
589 Further processing was completed using ImageJ software (National Institutes of Health,
590 Bethesda, MD, USA).

591

592 **Circularized Chromosome Conformation Capture sequencing (4C-seq)**

593 4C-seq assays were performed as previously reported⁹. 20 million bone marrow derived
594 dendritic cells were obtained from control and insulator deleted mice. Immediately before

595 4C-seq library preparation, cells were cross-linked with 1% fresh formaldehyde for 15
596 minutes and treated with lysis buffer (10 mM Tris-HCl pH 8, 10 mM NaCl, 0.3% IGEPAL
597 CA-630 (Sigma-Aldrich), 1X complete protease inhibitor cocktail (Roche). Nuclei were
598 digested with Csp6-I endonuclease (Life Technologies, ThermoFisher, Waltham, MA)
599 and ligated with T4 DNA ligase (Promega, Madison, WI) for 12 hours at 4°C, followed by
600 reverse crosslinking at 65°C for 12 hours with proteinase K. Subsequently, NlaIII
601 endonuclease (New England Biolabs) was used in a second round of digestion, and the
602 DNA was ligated again. Specific primers were designed near the 5 kb region of interest.
603 Viewpoint fragment ends (fragends) coordinate was chr9:6,186,164-6,186,468. Primers
604 used for 4C-Seq experiments are as follows: 4C*m/L33/L33*Enh_nonread, 5'
605 CAAGCAGAAGACGGCATAACGAACAACCTTCACTCAGAGGCATG 3' and
606 4C*m/L33/L33*Enh_Read 5'
607 AATGATACGGCGACCACCGAACACTCTTTCCCTACACGACGCTCTTCCGATCTGAG
608 ATGGCGCCACTGTAC 3'

610 **Construct preparation**

611 PCR fragments of genomic DNA from a risk and non-risk cell line were cloned into the
612 pDONR vector (Life Technologies, ThermoFisher, Waltham, MA) and sequenced by the
613 University of Chicago DNA Sequencing and Genotyping facility. DNA was subcloned
614 into the enhancer barrier vector²⁸ (gift from Dr. Laura Elnitski, NHGRI) or pGL4.23 for
615 enhancer assays (Promega, Madison, WI). Constructs were prepared using the Plasmid
616 MidiPrep Kit (Qiagen, Hilden, Germany) and re-sequenced to confirm genotype.

617

618 **Luciferase assay**

619 K562 cells were transfected using TransIT-2020 Transfection Reagent (Mirus Bio,
620 Madison, WI). Briefly, 10^5 cells per well were plated 24 hours prior to transfection. The
621 transfection mix included 0.5 μ g of barrier or enhancer plasmid, 10ng hprl normalization
622 control plasmid, and 1.5 μ l transfection reagent. TeloHaecs were transfected using
623 JetPRIME transfection reagent (Polyplus). $2 \cdot 10^4$ cells per well in 24 well plates were
624 transfected 3 days later with 250ng of test plasmid DNA and 25 ng of hprl normalization
625 control plasmid. After 48 hours cells were harvested and assayed for luciferase with a
626 20/20ⁿ luminometer (Turner Biosystems, now Fisher Scientific, Hampton, NH) using the
627 Dual Luciferase Reporter Assay System (Promega, Madison, WI). Firefly luciferase was
628 normalized to the Renilla luciferase. A minimum of three independent transfections
629 using three different DNA preparations were assayed.

630

631 **Zebrafish transgenesis**

632 The Tol2 vector contains a strong midbrain enhancer, a Gateway entry site and the
633 cardiac actin promoter controlling the expression of EGFP, and was developed to
634 screen for insulator activity²⁹. Each candidate sequence was recombined between the
635 midbrain enhancer and the cardiac actin promoter. As a reference, the empty backbone
636 was used (INS-zero). One cell-stage embryos were injected with 3–5 nL of a solution
637 containing 25 nM of each construct plus 25 nM of Tol2 mRNA. Embryos were then
638 incubated at 28°C and EGFP expression was evaluated 24 hpf. The midbrain/somites
639 EGFP intensity ratio was quantified using ImageJ freeware and was directly proportional
640 to the enhancer-blocking capacity. As a positive control, the chicken beta-globin

641 insulator 5HS4 was used. Each experiment was repeated independently and double-
642 blinded to the operators.

643

644 **EMSA**

645 Nuclear extracts were prepared from K562 cells using NE-PER Nuclear and
646 Cytoplasmic Extraction Kit (Thermo Fisher, Waltham, MA) supplemented with HALT
647 Protease Inhibitor Cocktail and PMSF (Thermo Fisher, Waltham, MA). Protein
648 concentrations were determined with the BCA kit (Thermo Fisher, Waltham, MA).
649 Oligonucleotides to be used as probes were synthesized with a 5'IRDye 700
650 modification (IDT). Binding reactions were performed using the Odyssey EMSA kit (LI-
651 COR Biosciences, Lincoln, NE) and contained 5µg nuclear extract, 2.5nM labeled
652 probe, and 100x excess unlabeled oligonucleotide when noted. For supershift assays,
653 2µg Oct-1 antibody (Santa Cruz Biotechnology, Dallas, TX, sc-232) was added and
654 incubated for another 20 minutes. Reaction mixtures were run on a 4% nondenaturing
655 polyacrylamide gel and analyzed with the LI-COR Odyssey Imaging System.

656

657 **Oligonucleotide/Probe sequences:**

658 rs10975479G:rs1888909T:TCTGATGCAGAACAGCAATGTGTTTTCCAIGTGCACTTGGTC

659 rs10975479G:rs1888909C:CCTGATGCAGAACAGCAATGTGTTTTCCACGTGCACTTGGTC

660 rs10975479A:rs1888909T:TCTGATGCAGAACAACAATGTGTTTTCCAIGTGCACTTGGTC

661 rs10975479A:rs1888909C:CCTGATGCAGAACAACAATGTGTTTTCCACGTGCACTTGGTC

662 Consensus OCT-1 TGTCGAATGCAAATCACTAGAA

663 Mutant OCT-1 TGTCGAATGCAAGCCACTAGAA.

664 **Mass Spectrophotometry and protein identification**

665 The sections to be analyzed from the EMSA gel were excised, washed and destained
666 using 100mM NH₄HCO₃ in 50% acetonitrile (ACN). Sections then underwent reduction,
667 alkylation, and trypsinization. Peptides were extracted with 5% formic acid, followed by
668 75% ACN in formic acid, and cleaned up with C-18 spin columns (Pierce). The samples
669 were analyzed via electrospray tandem spectrometry (LC-MS/MS) on a Thermo Q-
670 Exactive Orbitrap mass spectrometer at the Proteomics Core at Mayo Clinic, Rochester,
671 Minnesota. Tandem mass spectra were extracted and then analyzed by Mascot and
672 X!Tandem algorithms. Scaffold v4.8.4 (Proteome Software Inc., Portland,OR) was used
673 to validate the protein identifications. Peptide identifications were accepted if they could
674 be established at greater than 98% probability to achieve an FDR less than 1.0%.

675

676 **Candidate Transcription factor analysis**

677 Panther pathway analysis database⁴¹ was used to filter for DNA-binding proteins.
678 Jaspar (<http://jaspar.genereg.net>) and Alggen-promo (<http://alggen.lsi.upc.es>)
679 databases were used to screen these factors for known DNA binding sites. We
680 downloaded binding data for random octamers from UniProbe
681 (http://thebrain.bwh.harvard.edu/pbms/webworks_pub_dev/downloads.php) and
682 identified all transcription factors that bound at least one octamer containing a risk or
683 non-risk allele.

684

685

686 **Chromatin Immunoprecipitation**

687 ChIP assays were performed using the Millipore ChIP assay kit and protocol. 2×10^6
688 H292 cells (heterozygous for the risk allele) were fixed with 1% formaldehyde.
689 Chromatin was sonicated using a diagenode BioRuptor. Lysates were incubated
690 overnight with 5ug of Oct-1 (Santa Cruz, sc-232x) or an IgG control (Santa Cruz, sc-
691 2027). Following the washes, elution of chromatin complexes and reversal of crosslinks,
692 DNA was recovered using Qiagen pcr purification kit. Input DNA was also processed.
693 QPCR was performed using the primers: F: 5'GCCTCTGGTCTCAGTGGATA3' and
694 R: 5'CTGCTCATAGGAGACACAGTAAAG3'.

695

696 **Gene expression and genotype studies**

697 RNA-seq data from airway epithelial cells were available from two sources. The first
698 was from bronchial epithelial cells sampled from adults (44 European American, 48
699 African American), with and without asthma (76 cases, 60 controls), who participated in
700 a study of asthma in Chicago. Procedures for bronchoscopy, cell and RNA processing,
701 and genotyping have been previously described⁴². For this study, normalized gene
702 expression counts were adjusted for age, sex, current smoking status, sequencing pool,
703 the first three ancestry PCs. Linear regression considering additive genotype effects on
704 gene expression was performed using limma in R (v3.3.3). *P*-values were adjusted for 3
705 tests; $P < 0.016$ was considered significant. All subjects provided written informed
706 consent; these studies were approved by University Chicago Institutional Review Board.
707 The second source was from nasal epithelial cells sampled from 246 African American
708 children (125 cases, 121 controls) from a birth cohort of children at high risk for

709 asthma⁴³. Procedures for nasal brushing and cell processing followed standard
710 procedures⁴⁴. Genotypes were determined using Illumina Multi-Ethnic Genotyping Array
711 (MEGA), and processed using standard QC⁴². To test for association between
712 genotypes for three SNPs and *IL33* transcript levels, we used an additive effects linear
713 model, including as covariates sex, study site, batch id, epithelial cell proportion and 12
714 latent factors in the epithelial cell studies. Latent factors were included to correct for
715 unwanted variation⁴⁵. *P*-values were adjusted for 3 tests; *P*<0.016 was considered
716 significant. Parents of all children provided written informed consent, and children
717 provided written assent, for genetic studies; these studies were approved by University
718 Chicago Institutional Review Board.

719

720 **IL-33 cytokine and genotype studies**

721 Blood for cytokine studies was drawn from 30 Hutterite children during trips to South
722 Dakota, as previously described⁴⁶. Written consent was obtained from the parents and
723 written assent was obtained from the children. The study was approved by the
724 institutional review boards at the University of Chicago. Briefly, The Milliplex Map
725 Human TH17 Magnetic Bead Panel (Millipore, Burlington, MA) was used to measure IL-
726 33 levels in thawed supernatants at the University of Chicago Immunology Core facility
727 using standard protocols, as previously described.⁴⁷

728 Associations between genotypes at rs1888909, rs992969 and rs10975479 and
729 normalized IL-33 cytokine abundance were tested using a linear model, assuming
730 additive effects. Prior to analysis, voom-transformed gene expression counts were
731 adjusted for age, sex, current smoking status, sequencing pool and the first three

732 ancestry PCs using the function removeBatchEffect from the R package limma⁴⁸. Linear
733 regression between the genotypes and IL-33 levels was performed with the FastQTL
734 software package⁴⁹. One subject had poor IL-33 cytokine data and was removed. Of the
735 remaining subjects, 27 subjects for rs10975479 and rs1888909 and 25 subjects for
736 rs992969 had good quality genotype calls and were retained. Linear regression
737 between the genotypes and IL-33 cytokine levels was performed using limma, adjusting
738 for sex and age. Genotypes were obtained using PRIMAL⁵⁰, an in-house pedigree-
739 based imputation tool that imputes variants from whole genome sequences from 98
740 Hutterite individuals to >1600 individuals who were genotyped with an Affymetrix
741 genotyping array.

742

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747 barrier plasmids. Dr. Benjamin Glick (University of Chicago) for supplying the E2-
748 Crimson reporter vector and DiRienzo lab (University of Chicago) for the TeloHAECs
749 cells. This work was supported by NIH grants R01HL128075 (M.A.N.), R01HL119577
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751

752

753 **Disclosures**

754 The authors have no financial conflict of interest.

755

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Figures

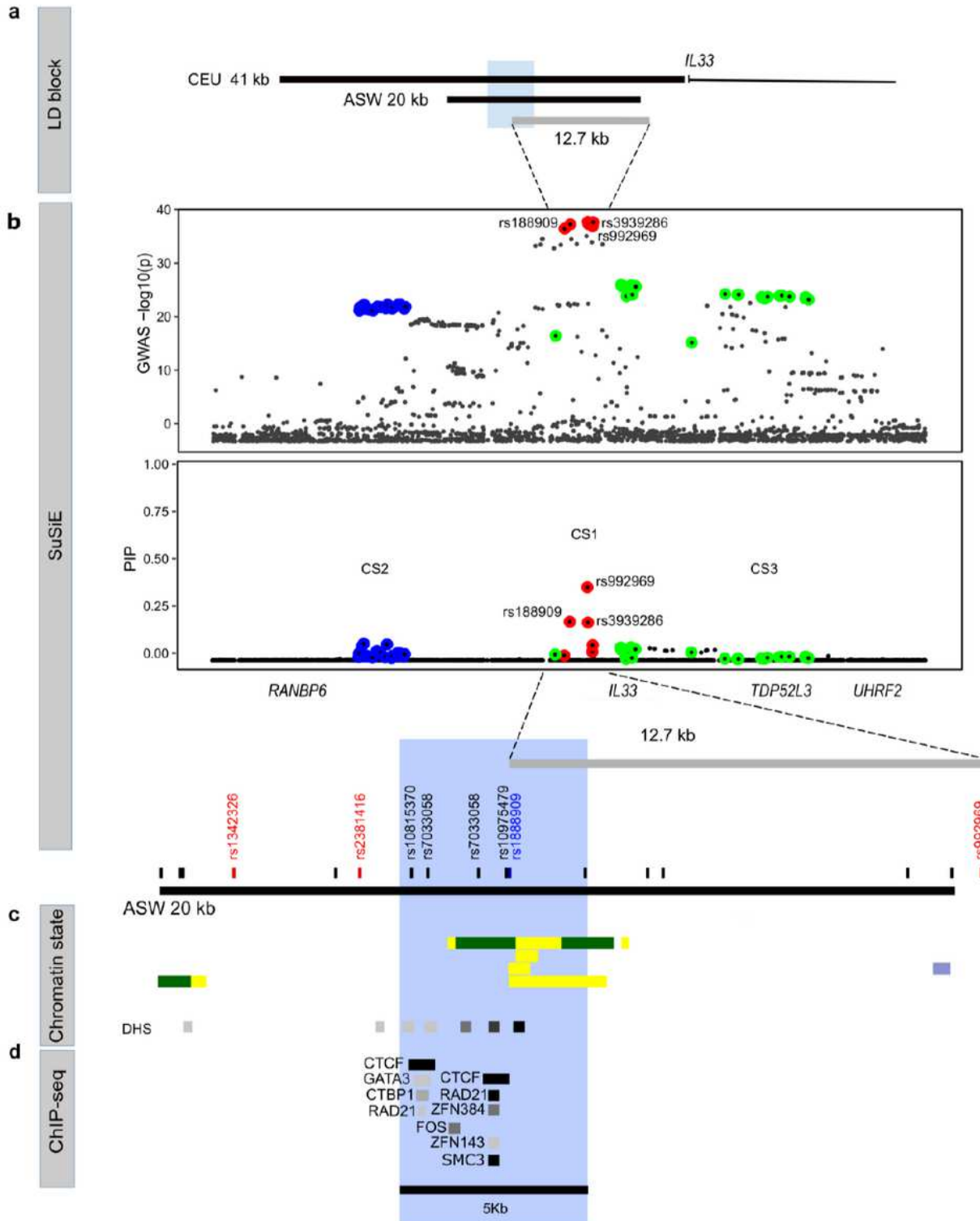


Figure 1

Epigenetic characterization of the asthma-associated critical region in the *IL33* locus. a Schematic organization of the *IL33* gene and the asthma-associated region (black bars) of European ancestry (CEU 41 kb, chr9: 6,172,380-6,213,468; hg19) and African ancestry (ASW 20 kb, chr9: 6,188,124-6,209,099;

hg19) positioned upstream of exon 1. b Significance of SNP association in the GWAS2 (top) and fine mapping results (bottom) for each variant at the IL33 locus (bottom). Colors indicate each credible set (CS) identified. CS1: red, CS2 blue; CS3 green. CS1 (rs992969, rs1888909, rs3939286) defines a region of 12.7 kb (chr9: 6,197,392-6,210,099; hg19). c Position of the lead GWAS SNPs (in red) and additional SNPs in high LD ($r^2 \geq 0.8$) with the lead SNPs (in black) within the ASW 20 kb LD region. The lead SNP rs1888909 in African ancestry is shown in blue. Chromatin states from Roadmap Epigenomics Project showing regions with potential regulatory activity. Yellow: active enhancer; green: transcribed sequence; blue: heterochromatin. DNase hypersensitive (DHS) sites indicating open chromatin regions are shown. Tissues (from the top): E096 Lung primary HMM; E095 Left ventricle primary HMM; E116 GM128781 Lymphoblastoid cell primary HMM; E122 HUVEC Umbilical Vein Endothelial Primary Cells Primary HMM d CHIP-seq data from ENCODE-3 cell lines (338 factors; 130 cell types) showing co-binding of CTCF, RAD2, ZFNs and SMC-3 at the 5 kb interval (blue shaded region; chr9: 6,194,500-6,199,500; hg19).

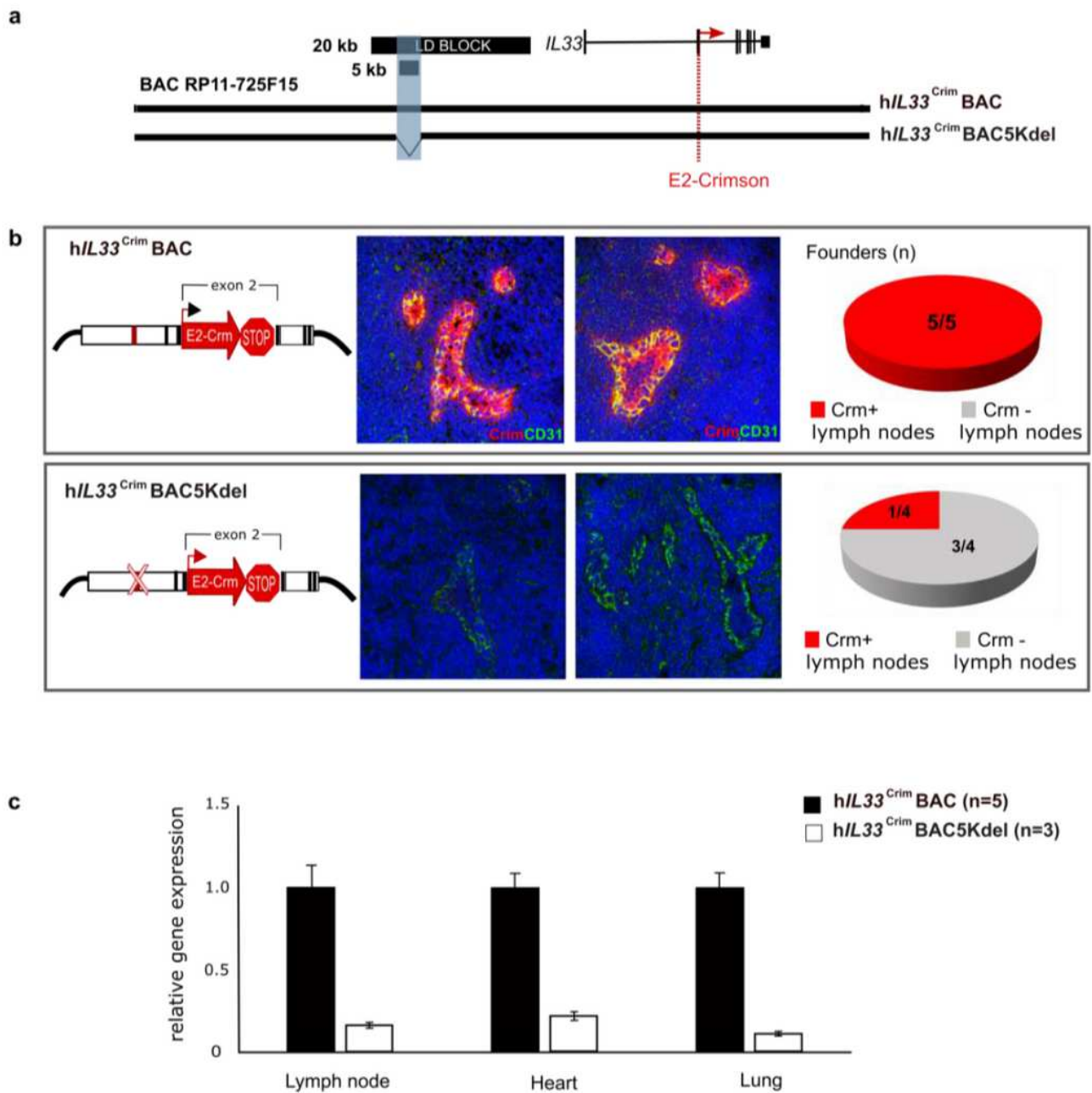


Figure 2

The IL33-containing BAC in transgenic mice encodes human-specific regulatory patterns and demonstrates the importance of the 5 kb noncoding segment for proper IL33 expression. a Schematic of human BAC clone RP11-725F15 (166 kb) spanning the entire coding region of IL33 and its upstream region including the 20 kb asthma-associated interval and the 5 kb region of interest shaded in blue (black bars). To produce a human IL33 reporter strain, a cassette containing E2-Crimson with a stop sequence was inserted into exon 2, in frame with the IL33 translational start site (red dotted line). Transgenic mice were generated with either the full BAC (hIL33^{Crim}BAC) or a BAC containing a deletion of the 5 kb interval within the LD block (hIL33^{Crim} BAC5Kdel). b Immunofluorescence staining of mouse peripheral lymph node sections of E2-Crimson in hIL33^{Crim} BAC mice (upper panels) or hIL33^{Crim}

BAC5Kdel (lower panels). Representative founder BAC transgenic lines are shown. Sections were stained with anti-E2-Crimson (red) and the mouse endothelial cell marker CD31 (green). Hoechst staining for nuclei is in blue. c qPCR analysis of E2-Crimson mRNA obtained from lymph node (LN), heart and lung from both BAC strains is shown

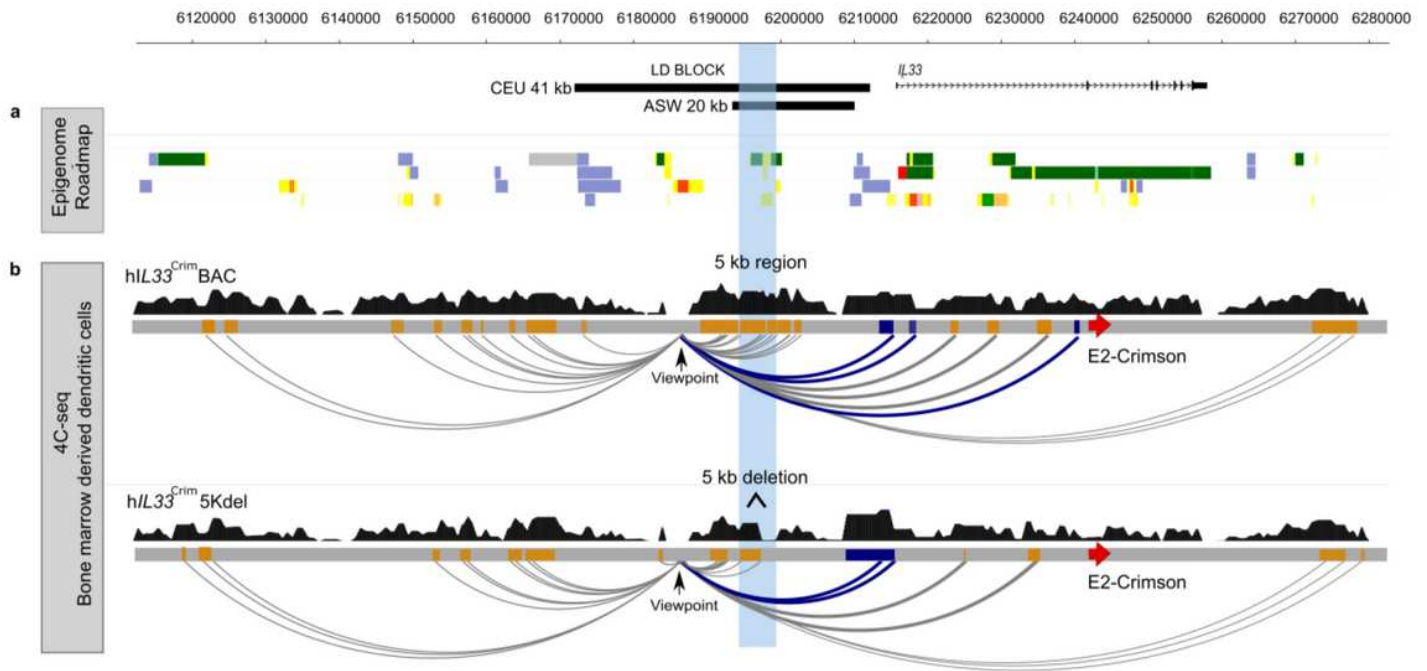


Figure 3

Interaction maps show looping of the asthma-associated region to the IL33 promoter. a Roadmap Epigenome data from heart (E095 Left ventricle primary HMM), lung (E096 Lung primary HMM), LCL (E116 GM128781 Lymphoblastoid cell primary HMM) and endothelial cells (E122 HUVEC Umbilical Vein Endothelial Primary Cells Primary HM). Chromatin state assignments are indicated as: transcribed, green; active enhancer, yellow; active promoter, red; repressed, gray; heterochromatin, blue. b 4C-seq in bone marrow derived dendritic cells obtained from mice containing the BAC (hIL33CrimBAC, top) and a deletion of the 5 kb interval within the LD block (hIL33Crim BAC5Kdel, bottom). Gray bars correspond to a schematic representation of the human BAC showing the E2-Crimson reporter insertion site on exon 2 of the IL33 gene (red arrow) and the asthma associated 5 kb region (blue shaded box). In both experiments, reads were mapped to the coordinates corresponding to the human BAC (chr9: 6,112,733-6,279,294; hg19) and peaks shown in dark blue and orange represent the promoter and distal elements, respectively. Arcs depict interactions from the viewpoint located upstream the 5 kb region. Interactions between the LD region, near to the 5 kb region (viewpoint), and the IL33 promoters are noted in blue

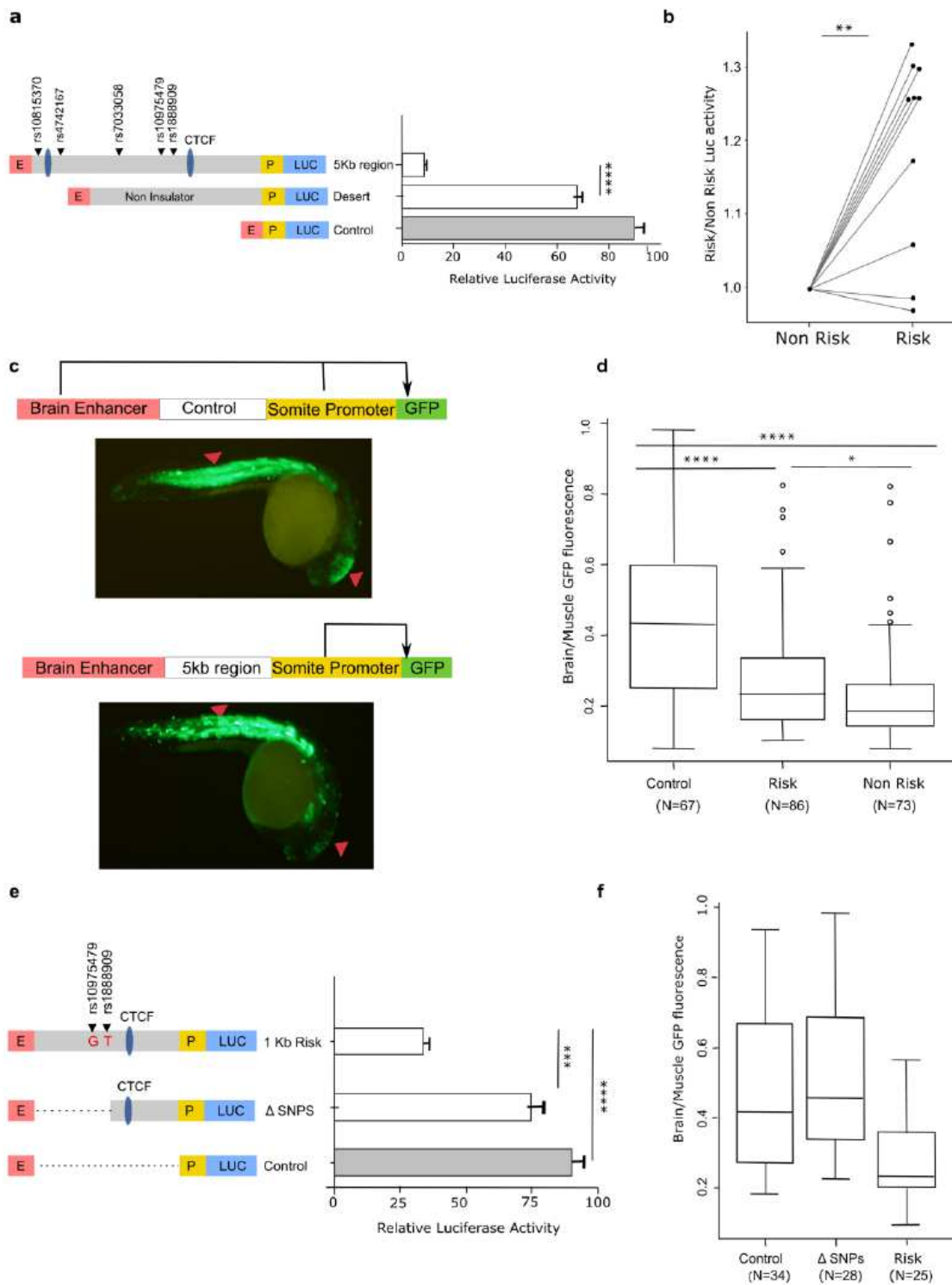


Figure 4

Impact of the asthma-associated variants in the regulatory property of the 5 kb region. **a** In vitro transgenic reporter assay. Luciferase based enhancer barrier assay using 5 kb constructs (chr9: 6,194,500-6,199,500; hg19) that were cloned between HS2 enhancer (E) and SV40 promoter (P) sequences. SNPs in the construct are noted (black arrowheads). Results are from 3 independent experiments. **** $p < 0.0001$, unpaired t-test. **b** Luciferase activity values of the risk construct is shown as

fold change over the activity obtained in the non-risk sequence. Results shown represent data from 10 independent experiments. ** $p=0.0014$, two-way ANOVA. c In vivo zebrafish transgenic reporter assay. Green fluorescent protein (GFP) expression 24 hours post fertilization (hpf) in mosaic F0 embryos injected with vectors containing a control sequence (top panel) or 5 kb interval sequence (bottom panel). d Comparison between 5 kb constructs containing risk or non-risk alleles for enhancer blocking property. Data is presented as midbrain/somites EGFP intensity ratio compared with empty gateway vector which has no enhancer blocking activity. **** $p=1.1e-5$; * $p=0.041$, ANOVA pairwise T-test. e 1 kb DNA fragment (chr9: 6,197,000- 6,197,917; hg19) containing the risk alleles for asthma variants rs10975479 and rs1888909 or a 400bp deletion in the region harboring those SNPs (chr9: 6,197,399-6,197,914; hg19) were assayed for luciferase reporter activity in K562 cells. Results are representative of 3 independent experiments *** $p=0.0005$, unpaired t-test. f Zebrafish reporter assay comparing the 1 kb DNA fragment and fragment with the 400bp deletion (Δ SNP). Data are presented as in (d).

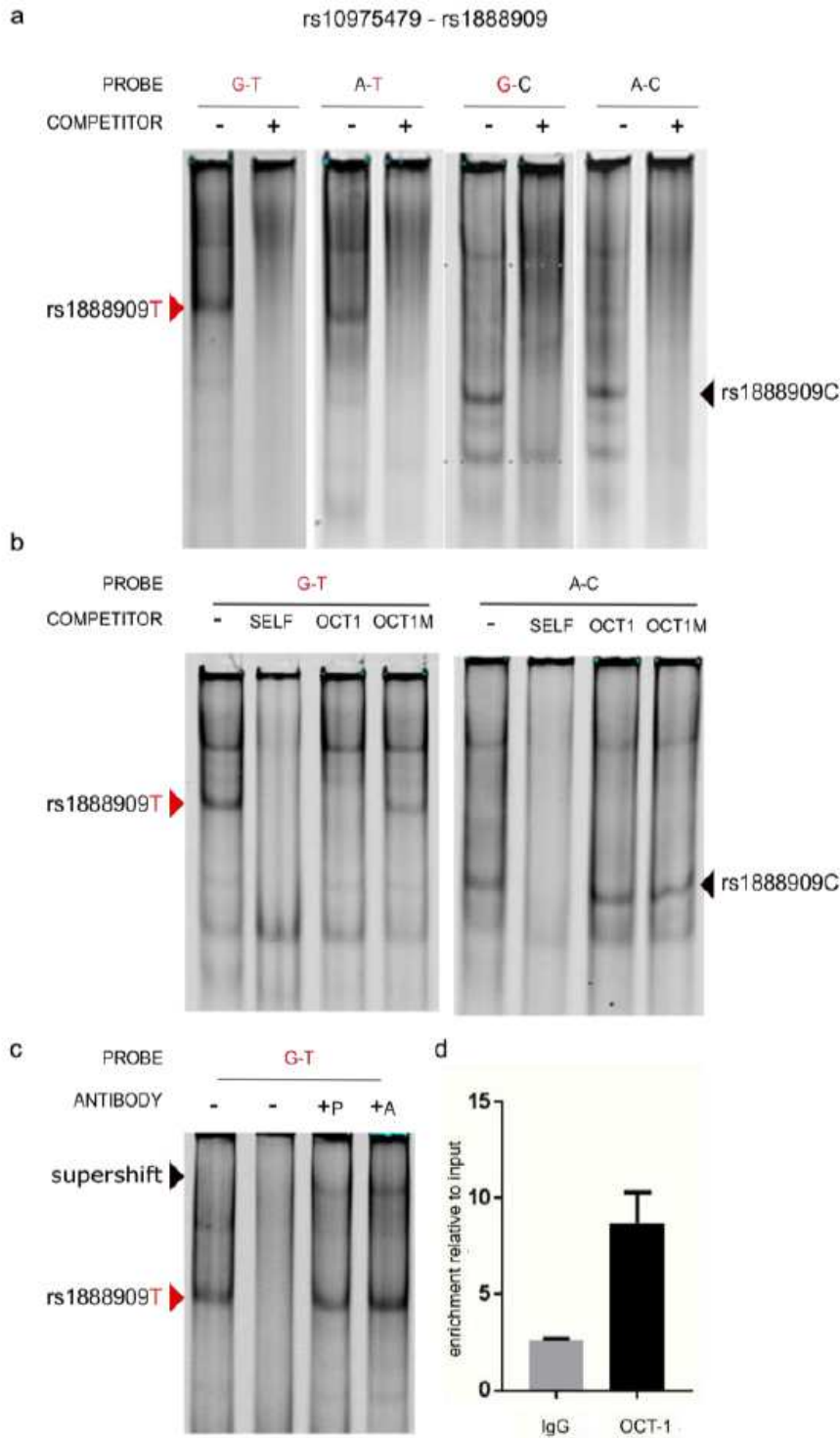


Figure 5

Regulatory region containing the risk allele rs1888909T selectively binds OCT-1. a Radiolabeled probes carrying the risk (in red) and/or non-risk sequences for SNPs rs10975479 and rs1888909 were incubated with nuclear extract obtained from K562 cells. Different complexes formed by rs1888909 are marked by red or black arrows. b Cold competition assay with OCT-1 consensus (OCT1) or mutated OCT-1 (OCT1M) oligonucleotides. EMSA probes and oligo competitor (100x molar excess) are noted above each gel. c

Supershift complex formation with addition of anti-OCT-1 antibody as indicated by the red arrow. +P indicates addition of probe with nuclear extract, followed by incubation with antibody. +A indicates incubation of extract with antibody followed by addition of probe, +P indicated incubation of extract with probe followed by antibody. d Chromatin immunoprecipitation of H292 chromatin with anti-OCT-1 antibody shows percent enrichment to input chromatin compared to control IgG antibody. Results are representative of 3 independent experiment

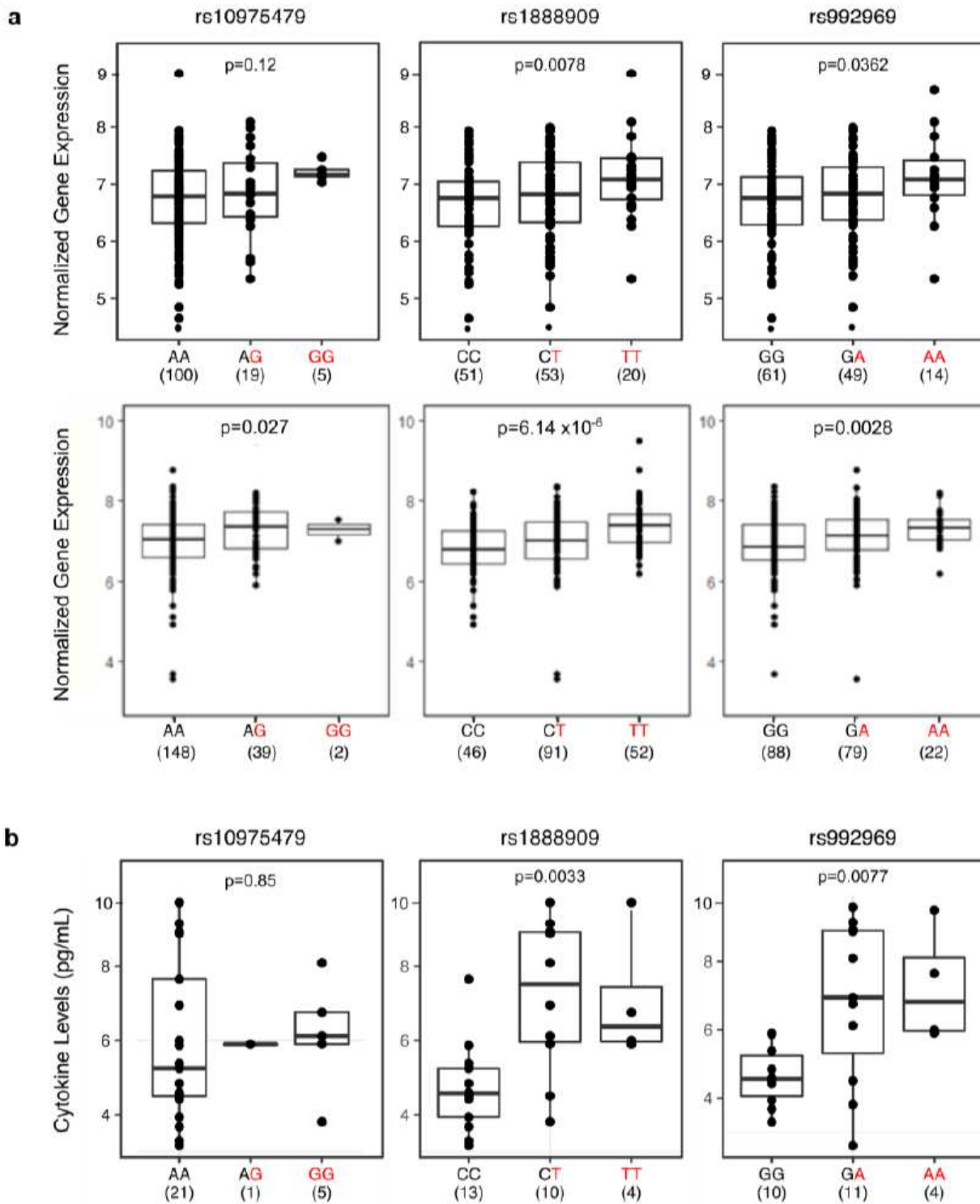


Figure 6

The rs1888909 (T) and rs992969 (A) alleles are associated with increased IL33 expression and IL-33 protein levels. a Comparison of IL33 expression between genotypes for SNPs rs10975479, rs1888909 and rs992969 from endobronchial brushings from 124 asthmatic and non-asthmatic adult subjects, mostly of European ancestry (upper panels) and nasal epithelial cells from 189 African American children from high risk asthma families (lower panels). b Comparison of IL-33 cytokine levels between genotypes for SNPs rs10975479 (n=26), rs1888909 (n=27) and rs992969 (n=25) measured in plasma from Hutterite children (all European ancestry). The asthma-associated risk allele at each SNP is highlighted in red (x axis). The number of subjects per group is shown below the genotype. Boxes indicate the interquartile range, whiskers represent the 95% confidence intervals. Statistical significance was determined using an additive linear model.

Supplementary Files

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