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Analysis and modelling of temperature at lake's water – atmosphere interface

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Abstract

The knowledge of micrometeorological conditions on water surface of impoundments is crucial for the better modeling of the temperature and water quality parameters distribution in the water body and against the climatic changes. Water temperature distribution is an important factor that affects most physical, chemical and biological processes and reactions occurring in lakes. In this work, different processes of water surface temperature of lake's estimation based on the energy balance method are considered. The daily meteorological data and the simulation results of energy balance components from an integrated heat transfer model for two complete years as well as the lake's characteristics for Vegoritis lake in northern Greece were used in this analysis.

The simulation results of energy balance components from a heat transfer model are considered as the reference and more accurate procedure to estimate water surface temperature. These results are used to compare the other processes. The examined processes include a) models of heat storage changes in relationship to net radiation ($Q_t(R_n)$ values, b) net radiation estimation with different approaches, as the process of Slob's equation with adjusted coefficients to lake data, and c) ANNs models with different architecture and input variables. The results show that the model of heat balance describes the water surface temperature with high accuracy ($r^2=0.916$, $RMSE=2.422^\circ C$). The ANN(5,6,1) model in which $T_{sw}(i-1)$ is incorporated in the input variables was considered the better of all other ANN structures ($r^2=0.995$, $RMSE=0.490^\circ C$). The use of different approaches for simulating net radiation (R_n) and $Q_t(R_n)$ in the equation of water surface temperature gives results with lower accuracy.

Keywords: Heat transport; water – atmosphere interface; energy balance components; net radiation; heat storage; ANN models

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37

38 **1. Introduction**

39

40 The heat flux near the water-atmosphere interface, of lakes and wetlands, plays a central role
41 in hydrology. The water temperature near the interface controls the partitioning of available energy
42 into latent and sensible heat fluxes into atmosphere (Parlange et al. 1998). In addition, biological
43 processes such as microbial activity and algae growth depend on the temperature status of the water
44 profile. The water and energy balances are linked at earth/water – atmosphere interface through the
45 evaporation. An increased interest has been about the water temperature and heat fluxes at water –
46 atmosphere interface during the last decades due to climate change. Studies on climate change and
47 lakes characteristics demonstrate that lakes are highly sensitive to climate, and their physical,
48 chemical and biological properties respond rapidly to climate-related changes (Arhonditsis et al.,
49 2004; Adrian et al., 2009). Temperature is also one of the most important driving variables for
50 models simulating chemical and biological parameters in lakes. There are in fact several reasons to
51 search for a reliable tool to estimate the dependence of water temperature on the various factors
52 influencing the heat balance of lakes.

53 Free-surface evaporation and sensible heat transfer are mainly driven either by the vapor
54 pressure gradient between the water surface (at temperature T_s) and the nearby surrounding air (at
55 temperature T_a) or the difference between water surface and nearby air temperature. This implies
56 that the knowledge of T_s is a prerequisite for the calculation of the energy budget and evaporation
57 rates.

58 Micrometeorological models are able to estimate water and near-water temperatures in lakes
59 and wetlands from air temperatures gauged by standard meteorological stations. These temperatures
60 could significantly improve the accuracy and realism of lake's water quality models and
61 consequently the performance of operational productivity simulation models currently used for
62 water quality management of lakes.

63 Burba et al. (1999a,b) presented an analysis of surface energy fluxes of *Phragmites australis*
64 in a prairie wetland. The Bowen ratio (energy balance method) was used to calculate sensible and
65 latent heat fluxes. Confalonieri et al. (2005) presented two micrometeorological models for the
66 simulation of thermal profile related to water and near water temperatures in flooded rice fields. The
67 mechanistic model is based on the solution of the equation of the surface energy balance and the
68 empirical one is funded on Gaussian filters. Both the models need as input data only daily values of

69 maximum and minimum temperatures and therefore are suitable for agroecological operational
70 purposes. Duan and Bastiaanssen (2015) presented a new empirical procedure for estimating intra-
71 annual heat storage changes in lakes and reservoirs using data from 22 lakes around the world.
72 Duan and Bastiaanssen, (2017) evaluated a derived heat storage changes hysteresis model in the
73 energy balance-based evaporation models to yield good evaporation estimates. Three energy
74 balance-based evaporation models were evaluated for five different lakes at the monthly timescale
75 where reliable reference evaporation from either the Bowen Ratio Energy Budget (BREB) or direct
76 Eddy Covariance (EC) measuring methods were obtained from published literature. Aschonitis and
77 Antonopoulos (2008) presented a study of heat transfer components of the paddy-rice fields using a
78 modification of the GLEAMS-PR model and field data. Ito and Momii (2021) studied the
79 contribution of meteorological factors to lake evaporation (E) with comprehensive analyses of lake
80 surface temperature (T_s) under different climate scenarios.

81 One of the most important water impoundments in Greece is Lake Vegoritis. It has suffered
82 from several environmental problems since the decade of 50s due to heavy industrial and
83 agricultural pollution in its catchment area, a negative trend in the water balance and due to natural
84 reasons as well (Gianniou and Antonopoulos, 2007a,b).

85 In this paper, micrometeorological approaches are used to estimate water and near-water
86 temperatures in lakes from air temperature and heat budget components. The heat transport model
87 (QUALAKE model) is been used to produce results which then are used to check the other
88 procedure (Antonopoulos and Gianniou, 2003; Gianniou and Antonopoulos, 2007a). Meteorological
89 data and water temperature and energy balance results of model simulation in Vegoritis Lake in
90 northern Greece are used in analysis and modeling presented in the current article.

91

92 **2 Mathematical descriptions**

93 **2.1 Lake water temperature modeling**

94

95 The thermal content of a lake and the water temperature distribution can be determined using
96 mathematical models. The mathematical model QUALAKE is a one-dimensional, eddy diffusion,
97 finite element, water quality prediction model which was developed to simulate the seasonal
98 temperature cycle, oxygen distribution and productivity for Lake Vegoritis as well as its
99 evaporation and heat budget (Antonopoulos and Gianniou, 2003; Gianniou and Antonopoulos,
100 2007a,b; 2014).

101 The heat transport is based on the vertical diffusion equation of the form (Henderson-Sellers,
 102 1984; Chapra, 1997; Gianniou and Antonopoulos, 2007a,b):

$$103 \quad \frac{\partial T}{\partial t} = \frac{1}{A} \frac{\partial}{\partial z} \left(KA \frac{\partial T}{\partial z} \right) - \frac{1}{A \rho_w c_{pw}} \frac{\partial (Aq)}{\partial z} \quad (1)$$

104 where K is the eddy diffusion coefficient ($\text{m}^2\text{day}^{-1}$), $q(z)$ is the internal distribution of heat sources
 105 due to solar radiation absorption inside the water column ($\text{MJ m}^{-2}\text{day}^{-1}$), ρ_w is the water density
 106 ($=1000 \text{ kg m}^{-3}$), c_{pw} is the specific heat of water ($\text{MJ kg}^{-1}\text{C}^{-1}$), $A(z)$ is the horizontal area as a
 107 function of depth (m^2) and $T(z,t)$ is the water temperature ($^{\circ}\text{C}$).

108 The mathematical model QUALAKE, was developed to study the water quality, evaporation
 109 and energy budget of lakes and specifically Lake Vegoritis (Gianniou and Antonopoulos, 2007a,b;
 110 2014). It was calibrated and verified to predict water temperature, chlorophyll-a and dissolved
 111 oxygen distribution along the depth of the lake using measurements for two different years
 112 (Antonopoulos and Gianniou, 2003; Gianniou and Antonopoulos, 2014). The model results, during
 113 calibration and recalibration using measured data of water temperature profiles for two different
 114 years, showed (Gianniou and Antonopoulos, 2014) that there was good agreement between
 115 simulated and measured values of water temperature at different depths in the lake and on different
 116 days of the years. The values of RMSE ranged from 0.42 to 1.84 $^{\circ}\text{C}$ for the different water
 117 temperature profiles.

118 119 **2.2 Water surface energy balance**

120 The energy budget is based on the conservation of energy law, which accounts for incoming
 121 and outgoing energy balanced by the amount of energy stored in the system. The energy budget of a
 122 lake can be expressed as (Bowie et al., 1985; Sturrock et al., 1992):

$$123 \quad Q_t = R_s - R_{sr} + R_a - R_{ar} - R_{br} - H - LE \quad (2)$$

124 where Q_t is the change in the energy (thermal) content of the water body, R_s and R_a are the short-
 125 wave radiation incident to water surface and the incoming long-wave radiation from the atmosphere
 126 respectively, R_{sr} and R_{ar} are the reflected short-wave and long-wave radiation respectively, R_{br} is the
 127 back (long-wave) radiation emitted from the body of water, H is the energy conducted to or from
 128 the body of water as sensible heat, and LE is the latent heat flux (due to evaporation). The units
 129 used for the terms of Eq. (1) are $\text{MJ m}^{-2}\text{day}^{-1}$.

130 The water surface energy balance (Schelde et al., 1998; Colfalonieri et al., 2005; Gallego-
 131 Elvira et al., 2010) can be also described by:

$$132 \quad Q_t = R_n - H - LE \quad (3)$$

133 In this equation R_n is the net radiation, which is described as:

$$134 \quad R_n = R_s - R_{sr} + R_a - R_{ar} - R_{br} = (1 - \alpha_s)R_s + (1 - \alpha_a)R_a - R_{br} \quad (4)$$

135 where α_s is the reflectivity of short-wave radiation of water surface ($\alpha_s=0.07$) and α_a is the
136 reflectivity of long-wave radiation ($\alpha_a=0.03$).

137 The energy utilized for evaporation (LE) and the sensible heat flux (H) can be simplified with
138 the following approaches. The latent heat flux is as:

$$139 \quad LE = \rho_w \lambda E \quad (5)$$

140 in which λ is the latent heat of vaporization of water (MJ kg^{-1}) and E is the water evaporation (mm
141 day^{-1}). Latent heat of vaporization depends on water temperature according to (Allen et al., 1998):

$$142 \quad \lambda = 2.501 - (2.361 \times 10^{-3})T \quad (6)$$

143 where T is the water temperature ($^{\circ}\text{C}$).

144 The equation of sensible heat flux (H) can be expressed as:

$$145 \quad H = -\rho_a c_{pa} K_h \frac{\partial T}{\partial z} \quad (7)$$

146 where ρ_a is the density of air ($\rho_a = 1.205 \text{ kg m}^{-3}$ at 20°C), c_{pa} is the specific heat of air at constant
147 pressure ($c_{pa}=1005 \text{ J kg}^{-1} \text{ K}^{-1}$), K_h is the turbulent exchange coefficient for sensible heat ($\text{m}^2 \text{day}^{-1}$),
148 and $\partial T/\partial z$ is the gradient of potential air temperature. A simplification of eq. (7) is the following
149 (Schelde et al., 1998; Antonopoulos, 2006) :

$$150 \quad H = h_u (T_{sw} - T_a) \quad (8)$$

151 where T_{sw} is the water surface temperature ($^{\circ}\text{C}$), T_a is the air temperature ($^{\circ}\text{C}$) and h_u is the transfer
152 coefficient of sensible heat ($\text{MJ m}^{-2} \text{d}^{-1} \text{ }^{\circ}\text{C}^{-1}$), which value was expressed by Penman (1948) as a
153 function of wind velocity with the following equation (Allen et al., 1998):

$$154 \quad h_u = a_u (1 + b_u u_m) \quad (9)$$

155 where a_u and b_u are empirical coefficients. The values of $a_u = 0.64 \text{ MJ m}^{-2} \text{d}^{-1} \text{ }^{\circ}\text{C}^{-1}$ and $b_u = 0.5 \text{ sm}^{-1}$
156 with u_m the wind speed measured at 2 m height were suggested by Allen et al. (1998). In this study
157 the values of a_u and b_u , adjusted to the local conditions of Lake Vegoritis are $a_u = 0.25 \text{ MJ m}^{-2}$
158 $\text{d}^{-1} \text{ }^{\circ}\text{C}^{-1}$ and $b_u = 0.54 \text{ s m}^{-1}$.

159 The flux of sensible heat (H) is related to the evaporative heat flux (LE) through the Bowen
160 ratio (β) (Henderson-Sellers, 1984):

$$161 \quad \beta = \frac{H}{LE} = \gamma \frac{T_{sw} - T_a}{e_{sw} - e_d} \quad (10)$$

162 where e_{sw} is the saturation vapor pressure at the temperature of the water surface (kPa), e_d is the air
163 vapor pressure above water surface (kPa) and γ is the psychrometric constant (in $\text{kPa }^{\circ}\text{C}^{-1}$).

164 Combination of Eqs. (3) and (10) results in the following equation for evaporation estimation:

$$165 \quad LE = \frac{R_n - Q_t}{(1+\beta)} \quad (11)$$

166 Substituting in equation (3), equation (8) and rearranging the terms (Confalonieri et al., 2005) we
167 obtain:

$$168 \quad T_{sw} = T_a + \frac{R_n - LE - Q_t}{h_u} \quad (12)$$

169 **2.3 Heat storage and flux to the water column**

170 The energy balance combination method for estimating evaporation and lake surface temperature
171 requires the knowledge of heat storage changes (Q_t). The change in the thermal content of the water
172 body (Q_t) can be determined by the change of the lake's temperature for the time step of the
173 application of the energy budget method (day in this case) (Gianniou and Antonopoulos, 2007a),
174 according to the equation:

$$175 \quad Q_t = \frac{\rho_w c_{pw}}{A_s} \frac{d}{dt} \int_0^{z_{max}} AT dz \quad (13)$$

176 in which A_s is the surface area of the lake (m^2) and $T(z,t)$ is the water temperature ($^{\circ}C$) as a function
177 of depth (z) and time (t).

178 The lack of data on water temperature profiles hinders routine computation of Q_t (Duan and
179 Bastiaanssen, 2015). In many studies of lake evaporation estimation Q_t is ignored to overcome the
180 lack of measurements. Choudhury et al. (1987), Clothier et al. (1986) observed strong relationship
181 between the soil heat flux density and net radiation (R_n) for land surfaces. Duan and Bastiaanssen,
182 (2015) analyzing the data extracted from literature verified relationships of $Q_t(R_n)$ for each of 22
183 lakes they examined. Burda et al. (1999a) estimated Q_t using a linear empirical equation of R_n . The
184 same form of empirical equation was used by Confalonieri et al. (2005) to estimate Q_t in flooded
185 rice environment.

186 Quantifying approaches were developed to find a relationship between heat storage changes
187 and net radiation (Duan and Bastiaanssen, 2015). A hysteresis model based on the rate of change of
188 net radiation, dR_n/dt , for approximating Q_t in lakes was used as:

$$189 \quad Q_t = a R_n + b + c \frac{dR_n}{dt} \quad (14)$$

190 where dR_n/dt is the rate of change (or time derivative) of R_n . The term dR_n/dt is used to account for
191 the hysteresis-caused deviations from (or deviations that could not be explained by) the linear
192 model ($Q_t = a R_n + b$).

193 Duan and Bastiaanssen (2015) analyzed the relationship $Q_t(R_n)$ with data from 22 lakes. The
 194 hysteresis function (eq. 14) fits the 22 independently gathered datasets satisfactory (R^2 of 0.83 and
 195 RMSE of 22 W m^{-2}) for bi-weekly and monthly time scales. They proposed for Vegoritis Lake the
 196 equations:

$$197 \quad Q_t = 1.25 R_n - 100.25 + 41.94 \frac{dR_n}{dt} \quad (r^2=0.88, \text{RMSE}=37.1 \text{ W m}^{-2}) \quad (15a)$$

$$198 \quad Q_t = 0.87 R_n - 52.58 + 45.66 \frac{dR_n}{dt} \quad (r^2=0.92, \text{RMSE}=19.6 \text{ W m}^{-2}) \quad (15b)$$

199 Using the daily results of Q_t and R_n computed by the QUALAKE model for Vegoritis lake from
 200 February 2003 to January 2005, a numerical calculation of dR_n/dt and the statistically best fitting
 201 regression model for an equation of $R_n(t)$ similar to eq. (14) was estimated as it will presented later
 202 in “results and discussion” section.

203 204 **2.4 Other approaches of Net radiation and Heat budget components**

205 Since the procedure to estimate Q_t is based on R_n and there are not any instruments to
 206 measure R_n (either satellites or land surface instruments) empirical or semi-empirical methods have
 207 been developed to estimate R_n . In the most of them shortwave solar radiation (R_s) is the drive
 208 parameter. R_s can be estimated accurately also from satellite data (Pinker et al., 1995) or semi-
 209 empirical (as Hargreaves method), Artificial Neural networks (ANN) technology and multi-linear
 210 regression methods (MLR) (Antonopoulos et al., 2019). Various other methods for computing R_n
 211 for land surfaces were recently reviewed (Kjaersgaard et al., 2009).

212 In the current study we investigated the performance of the Slob's equation for open water
 213 bodies. The Slob's equation was first developed for grasslands in the Netherlands (De Bruin, 1987;
 214 De Bruin and Stricker, 2000). The equation is described as:

$$215 \quad R_n = (1-\alpha)R_s - a_{\text{slob}} \frac{R_s}{R_{\text{ex}}} \quad (16)$$

216 where α is the albedo of water (usually taken as 0.07, R_{ex} is the extraterrestrial atmospheric
 217 radiation and a_{slob} is the regression coefficient for net longwave radiation ($\text{MJ m}^{-2}\text{day}^{-1}$). The
 218 original value for the empirical coefficient in the Slob's equation for grasslands was $a_{\text{slob}} = 9.48 \text{ MJ}$
 219 $\text{m}^{-2}\text{day}^{-1}$ (110 W m^{-2}) (De Bruin, 1987). The value of a_{slob} ranged from 85 to 143 W m^{-2} under
 220 different natural ecosystems and climate regimes (Duan and Bastiaanssen, 2015).

221 222 **2.5. Artificial neural networks**

223 The artificial neural networks are non-linear models that make use of a structure capable to
 224 represent arbitrary complex non-linear processes that relate the inputs and outputs of any system

225 (Jain et al., 2008; Diamantopoulou et al., 2011; Kisi et al, 2015; Antonopoulos et al., 2016; Zhang et
226 al., 2017; Antonopoulos and Antonopoulos, 2017). The basic unit in an ANN is the neuron (node).
227 Neurons are connected to each other by links known as synapses, associated with each synapse
228 there is a weight factor.

229 The ANN architecture is defined by the way in which the neurons are interconnected. The
230 network is fed with a set of input-output pairs and is trained to reproduce the output. The structure
231 of each ANN is represented as (i, j, k) , where i expresses the number of nodes in the input layer, j
232 the nodes in the hidden layer and k the nodes in the output layer. There is a wide variety of
233 algorithms available for training a network and adjusting its weights (Premalatha and Vala Arasu,
234 2016). In this article, an algorithm of the multi-layer feed forward artificial neural networks and of
235 the back-propagation for optimization was used. The main task in developing an ANN model is to
236 identify the input variables and the optimal network structure in order to produce the desired output
237 accurately.

238 A typical structure of a multi-layer ANN model, as example, ANN (4-5-1) structure is
239 presented with 4 variables (T_a , RH, u_2 , Rs) in the input layer, 5 nodes in the hidden layer and 1 node
240 (T_s) in the output layer.

241 There is no established methodology for the selection of the appropriate network architecture
242 before training (Jain et al., 2008; Wu et al., 2014; Antonopoulos and Antonopoulos, 2017). In this
243 study, the number of hidden neurons was identified by various trials. The trial and error procedure
244 showed that when the number of neurons in the hidden layer is between 4 and 6 similar results are
245 produced. As Maier et al. (2010) noted, it is not possible to draw any conclusion as to which
246 architecture model should be used in particular circumstances and little effort has been directed into
247 the area of network structure of ANN models development process. Details of ANN models
248 selection and the procedure that was followed in this study have been presented at Antonopoulos et
249 al. (2016; 2019) and Antonopoulos and Antonopoulos (2017).

250

251 **2.6 Results evaluation**

252 The quantitative procedures of model evaluation consisted of the use of the statistical analysis
253 to calculate the root mean square error (RMSE) and coefficient of determination (r^2) between the
254 measured and simulated values. These relationships have the form (Loague and Green 1991).

$$255 \quad \text{RMSE} = \left[\frac{\sum_{i=1}^n (P_i - O_i)^2}{n} \right]^{1/2} \quad (17)$$

$$r^2 = \left\{ \frac{\left(\sum_{i=1}^n (O_i - \bar{O})(P_i - \bar{P}) \right)}{\left(\sum_{i=1}^n (O_i - \bar{O})^2 \sum_{i=1}^n (P_i - \bar{P})^2 \right)} \right\}^2 \quad (18)$$

where P_i and O_i are the simulated and measured value of water content, respectively, \bar{O} and \bar{P} is the mean of the measured and simulated values and n is the number of water content values. The optimum values of RMSE criteria are zero, while of r^2 is one.

2.7 Lake Vegoritis description

Lake Vegoritis is a very important natural impoundment in Greece. It is located in the northern part of Greece at northern latitude of $40^{\circ}47'$ and at an altitude of 518 m (nowadays) (Gianniou and Antonopoulos 2014; Doulgeris et al., 2017). The surface area and the maximum depth of the lake ranged from 31 to 32.1 km² and 39 to 40 m, respectively, in 2003-04, while the altitude, surface area and volume reached to 518 m, 47.16 km² and 1207x10⁶ m³, respectively the last period of 2015 to 2021. Lake Vegoritis appears to have significant quantitative and qualitative problems. The quantitative problems are mainly caused by lake's water usage for irrigation and to supply a number of thermo-electrical power stations and hydroelectrical demand in the area. Lake Vegoritis has been contaminated mainly with nutrients and mineral salts from industrial and urban waste of the surrounding area. The climate in the area is semi-dry, Mediterranean with two-distinguishing warm-dry and cold-wet periods during the year.

The meteorological data used for heat balance components estimations, as input in the diffusion equation of heat transfer in the lake and the evaporation estimation for Lake Vegoritis, are daily values of air temperature, relative humidity, wind speed and sunshine hours provided by two meteorological stations nearby the lake. These stations are located at the north-eastern and south-west of the lake and at a distance of one kilometre from the coast.

3. Results and discussion

Daily water surface temperature, evaporation and energy budget at the surface of Vegoritis Lake were estimated for the years 2003 and 2004 using QUALAKE model. Simulations of temperature distribution started for both years of the application on February and continued in daily time steps until the next January, because in February the water temperature distribution over the depth of the lake is considered uniform. The simulation results of QUALAKE model are considered

288 as reference data (they are referred in the next part of the article as reference data, e.g T_{sw} reference)

289

290 **3.1 T_s estimation using heat budget components from model simulation results**

291 In the 1st application, the lake water surface temperature (T_s) was estimated using of eq. (12)
292 in which the heat budget components computed during the simulation of lake temperature with the
293 QUALAKE model for Vegorits Lake. In Figure 1, the lake water surface temperature (ten days
294 average) estimated during the temperature simulation of the lake with the QUALAKE model, the
295 approach of eq. (12) and the air temperature from the meteorological station, for the periods of
296 11/2/2003 to 10/2/2004 and 11/2/2004 to 10/2/2005 are presented.

297

298

299 The water surface temperature is estimated with the approach of energy balance with satisfactory
300 accuracy. It presents divergences some days from the reference data (T_{sw}) which are smoother. The
301 statistical measurements r^2 and RMSE of T_s estimation in relation to T_{sw} are 0.916 and 2.422°C,
302 respectively. There are months with high approach of T_{sw} and months with lower approach, as the
303 spring months. These differences during the spring months may be due to the unstable conditions of
304 this period and the difficulties in approach of Bower ratio. The average water surface temperatures
305 for the two year of the simulation are 15.07 and 13.86°C for T_s and T_{sw} , respectively.

306 In a study of Livingstone and Lotter (1998) in lakes of Swiss Plateau they concluded that lake
307 surface water temperature responses to air temperature more faithfully during periods of increasing
308 air temperature (when the epilimnion is thin and thermal stability suppresses mixing) than during
309 periods of decreasing air temperature (when convective mixing is deepening the epilimnion). This
310 implies that the relationship between lake surface water temperature and air temperature is
311 generally stronger during early summer (June, July) than during late summer (August). From Figure
312 1 it is obvious that similar behavior between the air and water surface temperature could be
313 observed for Vegoritis lake.

314

315

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318 **3.2 Multilinear regression models (MLR) for estimation of Q_t and T_s .**

319 A multilinear regression was applied to find a relationship between heat storage changes (Q_t)
320 and the net radiation (R_n) using the 2 years daily data of Vegoritits Lake. The following equations
321 were developed:

$$322 \quad Q_t(t) = A_{QI} + 0.817026R_n(t) - 0.00726653(dR_n/dt) \quad (r^2=0.752) \quad (19)$$

$$323 \quad Q_t(t) = A_{QII} + 0.803878 R_n(t) - 0.0587375 R_n(t-1) \quad (r^2=0.759) \quad (20)$$

324 In these equations the constant A_Q takes values ranging from -2 to -8. The higher values are during
325 the warm days (from June 21 to September 30), the lower values during the cold days (from January
326 15 to February 28) and the mild values during the days of spring and autumn.

327 In Figure 2 the scatter diagrams between Q_t estimated with MLR models (eq.19 and 20) and
328 Q_t derived from the data are presented. The relation is very strong with r^2 of 0.752 and 0.759
329 respectively for the two MLR models of eqs (19) and (20).

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331

332 In Figure 3 Q_t estimated with the models MLRI (eq.19) and MLRII (eq.20) in relation to Q_t values
333 of the energy budget at water surface using the reference data is presented. The values of Q_t
334 estimated with the two multilinear regression models present lower variation in relation to the
335 reference data. The maximum and minimum values are 22.07 and -21.73 (data), 19.17 and -12.68
336 (MLRI) and 14.87 and -9.17 (MLRII), respectively.

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338

339 In Figure 4 a comparison of monthly average Q_t values estimated with the two models (eq. 15a, Q_t -
340 DB1 and eq.15b Q_t -DB2) proposed from Duan and Bastiaanssen (2015 and 2017) versus Q_t values
341 of the energy budget from QUALAKE model simulations and the monthly average net radiation for
342 the years 2003-04 and 2004-05 is presented. The r^2 and RMSE of the two models of Duan and
343 Bastiaanssen (2015, 2017) are 0.842 and 0.70 and 40.33 Wm^{-2} and 74.04 Wm^{-2} respectively. These
344 values are slightly worse from the Eqs (19) and (20).

345
346

347 In Figure 5 the lake water surface temperature (T_s) estimated with the heat budget approach (eq. 12)
348 using the three different approaches for Q_t estimation is presented for the period of 11/2/2003 to
349 10/2/2005. The maximum and minimum values of T_{sw} are 26.07, and 3.59 (data), 40.43 and -18.98
350 (MLRI) and 40.80, and -12.39 (MLRII), respectively. The statistical criteria values with these
351 approaches are presented in Table 1.

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357 **3.3 R_n evaluation using different approaches**

358 **The Slob's equation for estimation R_n** contains a coefficient that was estimated for specific
359 locations. This equation was modified by adjusting the coefficient to obtain better comparison with
360 R_n values using the data of R_n, R_s and R_{ex} from the meteorological station near Vegoritis lake. The
361 modified equations are as:

$$362 \quad RnSlob1=(1-a)R_s-13.057(R_s/R_{ex}) \quad (r^2=0.257) \quad (21)$$

$$363 \quad RnSlob2=(1-a)R_s-7.796(R_s/R_{ex})-3.118 \quad (r^2=0.539) \quad (22)$$

364 The maximum, minimum and average values of R_n estimated with the two modified Slob's
365 equations are 20.48, 21.54; -0.56, -2.27 and 7.41, 7.01 MJ m⁻²day⁻¹, respectively for eq. (21) and
366 (22).

367 Figure 6 presents the T_{sw} values (10 days average) estimated using the heat budget components
368 in which R_n was computed using the two adjusted Slob's equations, as they are described by eqs
369 (21) and (22), respectively. In Table 2 the statistical criteria of three models are presented.

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373 **3.4 Results of ANN models**

374 The architecture of the ANN model was identified by the trial and error procedure
375 (Antonopoulos and Antonopoulos, 2017). The structure of ANN models which was chosen to
376 derive in this application contain 4 or 5 neurons in the input layer, 6 neurons in the hidden layer and
377 1 neuron in the output layer which corresponds to the water surface temperature estimated with the
378 QUALAKE model, using a sigmoid transfer function. Before training and testing, the variables (T_a,
379 RH_a, u₂, R_s) were standardized and were used as input variables. The target output variable T_s was
380 also standardized before training and testing.

381 The input and output data sets were standardized by

$$382 \quad y=0.9(x-x_{max})/(x_{max}-x_{min})+0.1 \quad (23)$$

383 where y is the normalized value, x is the value to be normalized and x_{max}, x_{min} are the maximum and
384 minimum values of the related value, respectively. The most accurate ANN model was selected
385 based on the minimum value of RMSE and the maximum value of r.

386 The 365 daily data of the period 11/2/2003 to 10/2/2004 and the 365 daily data of the period
387 11/2/2004 to 10/2/2005 were employed for training and testing these models. Five cases of input
388 variables were examined: 1) four (4) input variables (T_a , RH_a , u_2 , R_s), 2) five (5) input variables (T_a ,
389 RH_a , u_2 , R_s , $T_s(i-1)$), 3) five (5) input variables (T_a , $T_a(i-1)$, RH_a , u_2 , R_s), 4) five (5) input variables (T_a ,
390 RH_a , u_2 , R_s , $R_s(i-1)$) and 5) three (3) input variables (T_a , u_2 , R_s) and (T_a , RH_a , R_s). The target variable is
391 T_{sw} estimated with the simulation of temperature distribution in the lake using QUALAKE model.
392 For each case the daily data of the period 11/2/2003 to 10/2/2004 was used for training and for
393 testing and the daily data of the periods of 11/2/2003 to 10/2/2004 and 11/2/2004 to 10/2/2005 was
394 employed for testing, respectively. In Table 3 the ANN models and the statistical criteria of each of
395 them are presented. Two cases with limited input variables were also examined. In the 1st the input
396 variables were T_a , u and R_s and in the 2nd T_a , RH and R_s .

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399 Figure 7 shows the comparison of daily T_s values estimated using the ANN models and the
400 referent T_s values estimated with the energy budget components of lake simulations. In these
401 Figures the cases of ANN models that use as input variable a) T_a , RH , u , R_s , b) T_a , RH , u , R_s , $T_s(i-$
402 $1)$, c) T_a , $T_a(i-1)$, RH , u , R_s and d) T_a , RH , u , R_s , $R_s(i-1)$, the daily data of the period 11/2/2003 to
403 10/2/2004 for training and the daily data of the period of 11/2/2003 to 10/2/2005 for testing are
404 presented.

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408 The ANNs models results, when using either 4 (T_a , RH , u_2 , R_s) or 5 (T_a , $T_a(i-1)$, RH , u_2 , R_s
409 or T_a , RH , u_2 , R_s , $R_s(i-1)$) of basic meteorological variables as input, show (Table 3) high accuracy
410 with coefficient of determination (r^2) and RMSE ranging between 0.761 to 0.987 and 0.105 to 0.161
411 °C, respectively either the daily data of 2004 or the 2 years data of T_{sw} was used in the testing
412 process. Adding in input variables the variable $T_s(i-1)$, the ANN models improve the results (0.995
413 for r^2 and 0.029 and 0.018 °C for RMSE). The accuracy and statistical criteria of ANN models of
414 (5,6,1) structure in which $T_s(i-1)$ is incorporated in the input variables was considered the better of
415 all other ANN structures. Introducing $R_s(i-1)$ in the input variables, the accuracy of the results is not
416 improved.

417 Using limited input variables (T_a , u and R_s or T_a , RH and R_s) the ANN results are not losing
418 significant in accuracy in relation to using the four basic meteorological variables (T_a , RH , u_2 , R_s).

419

420 **4. Conclusions**

421 The lake's water surface temperature was estimated with a method based on energy budget
422 components at the interface of atmosphere and water. Different approaches of energy budget
423 components estimation were derived. The heat storage changes and the net radiation estimation
424 were approach in different ways. ANNs modeling technique was used also to estimate the surface
425 temperature. The reference values of lake's surface temperature were estimated with a mathematical
426 model of temperature and energy budget simulation (QUALAKE model). Daily meteorological data
427 and simulation results of the heat transfer model for two years (from February 1st of 2003 to January
428 31th of 2005) for Lake Vegoritis in the northern Greece were used.

429 The main conclusions are summarized as:

430 1) The results of energy budget components calculated with QUALAKE model was used to
431 estimate the temperature at lake water- atmosphere interface of Vegoritis Lake for the 2 years of
432 applications. The daily T_s presents the same variation of T_{sw} (reference data) during the year, but it
433 presents some oscillations around T_{sw} , which are higher during the period of January to May. It
434 approaches with high accuracy T_{sw} the other months. The coefficient of determination (r^2) is 0.916
435 and RMSE is 2.42 °C.

436 2) The heat storage changes were estimated with the QUALAKE model simulations and then
437 relationships between the daily heat storage changes and net radiation were derived. The last were
438 then used to estimate the surface temperature with the energy balance approach. The two MLR
439 models present high correlation between Q_t and R_n (r^2 near to 0.75). The linear correlation of $Q_t(R_n)$
440 functions show slopes of 0.64 and 0.85, which explain why the proposed models underestimate the
441 values of Q_t at the high positive and negative values of R_n . In monthly basis Q_t values show the
442 hysteresis that was observed by Duan and Bastiaanssen (2005) in relation to R_n values. T_s values
443 calculated with the MLR models show intensive oscillations during the year. There are days with
444 very high or very low surface temperature, which could not be explained because they are not in
445 agreement with the values of of reference data (T_{sw}).

446 3) The net radiation was estimated with different approaches, as the Slob's equation. The
447 coefficient of Slob's equation was adjusted to the local conditions and the data of Vegoritis lake.
448 These approaches were used then in the process of surface temperature estimation. Two models of
449 Slob's equation were adjusted to local conditions. The one model in which a constant term was
450 added show higher correlation in relation to the 2nd model. T_{sw} values of heat budget components

451 evaluation show oscillations around the reference data. The statistical criteria are 0.639 and 0.758
452 for r^2 and 5.015 and 4.324 °C for RMSE, respectively.

453 4) The ANNs modeling techniques were also used to estimate the surface temperature, using
454 different model architects. The derived ANN models of different structure showed that they could
455 describe T_s with high accuracy. In these models 4 input meteorological variables (T_a , RH, R_s , u_2)
456 and 5 alternative variables (T_a , (or $T_a(i-1)$), RH, R_s , (or $R_s(i-1)$), u_2 and $T_s(i-1)$) were analyzed. The r^2
457 and RMSE of examined ANN models ranged from 0.952 to 0.697 and 0.49 to 3.40 °C, respectively.
458 The most accurate is that with T_a , RH, u , R_s , $T_s(i-1)$ as input variables. The results, for the training
459 and the test data sets clearly demonstrate the ability of the ANN models to predict very well the
460 daily values of T_s at the interface of air-water of lakes by using daily meteorological parameters
461 which are introduced as inputs into the chosen ANN models. The ANN models introduced in
462 this study have the ability to develop a generalized solution and seem promising to be applicable for
463 the prediction of daily values of T_s .

464 A general conclusion is that ranging the examined cases of models with different
465 configuration, ANN models are the most accurate, followed by the models of R_n calculated using
466 the adjusted Slob's equations and then the models with the $Q_t(R_n)$ functions. The heat storage
467 changes (Q_t) is the crucial variable in estimating T_s . Long time data sets of Q_t should be collected
468 either through water temperature simulation models (diffusion models) either satellite
469 measurements.

470 **Availability of data and materials**

471 The datasets generated during and/or analysed during the current study are available from the
472 corresponding author on reasonable request.

473 **Competing interests/Conflict of interest**

474

475 There is not any disclose interests that are directly or indirectly related to the work submitted for
476 publication.

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482 **Authors' contributions**

483

484 All authors contributed to the study conception and design. Material preparation, data collection and
485 analysis were performed by Vassilis Antonopoulos and Soultana Gianniou. The first draft of the
486 manuscript was written by Vassilis Antonopoulos and all authors commented on previous versions of the
487 manuscript. All authors read and approved the final manuscript.

488

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Figures

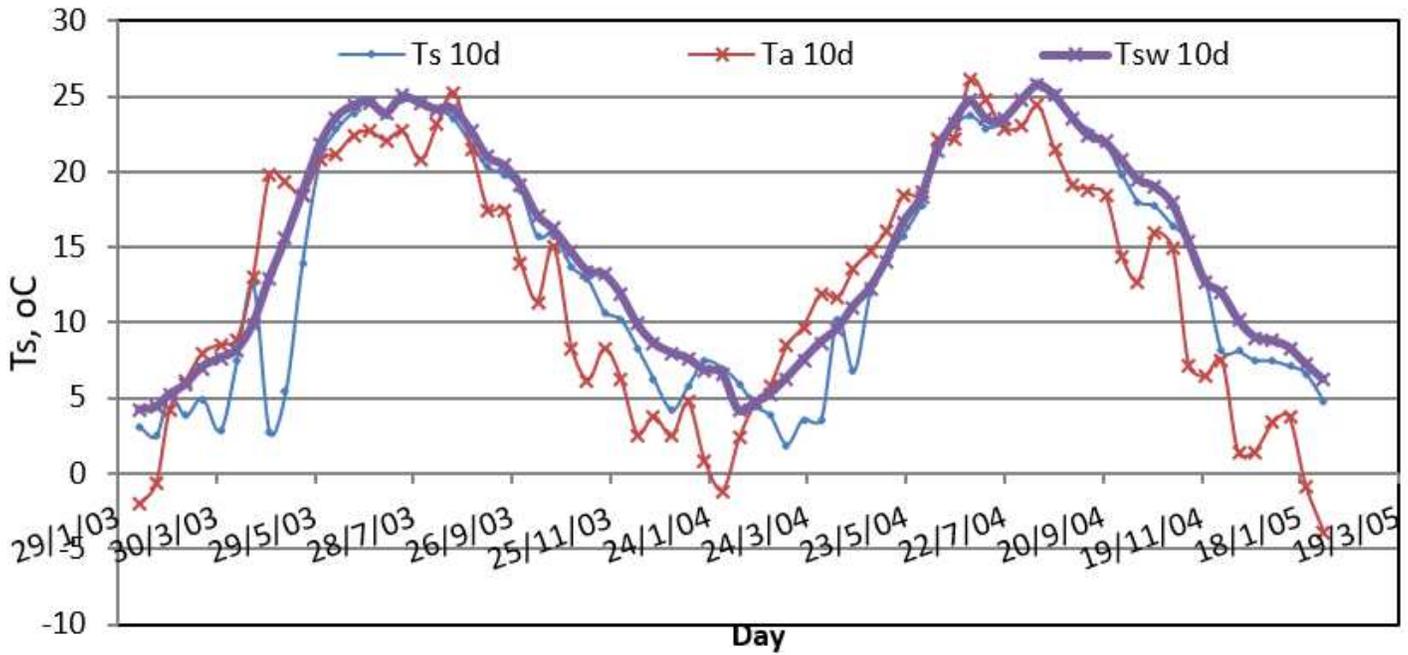


Figure 1

Comparison of Ts estimated using QUALAKE model heat budget components and eq. (12) (Ts), air temperature (Ta) and surface water temperature of the lake (Tsw) (values of Ts, Tsw and Ta are 10 days averages).

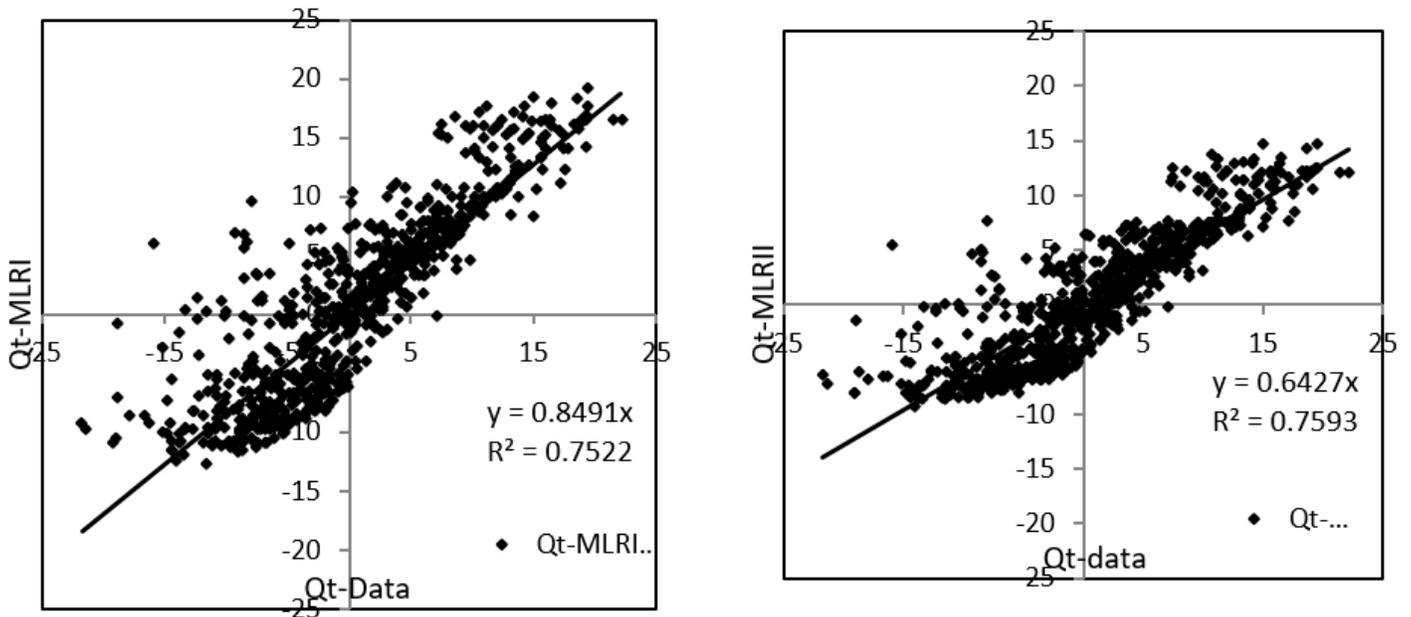


Figure 2

Scatter diagrams between Q_t estimated with MLR equations (19) (MLRI) and (20) (MLRII) and Q_t derived from the data.

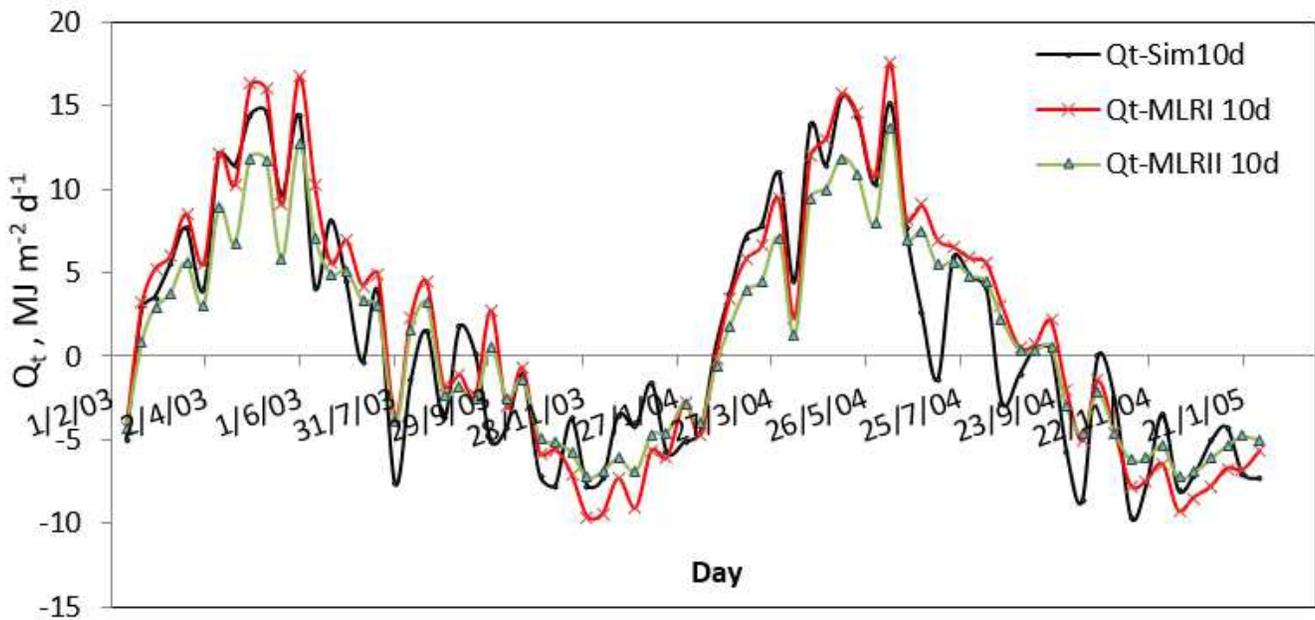


Figure 3

Comparison of Q_t estimated with the two multilinear regression models (MLRI) and (MLRII) versus Q_t values derived from the reference data (The values of Q_t are the 10 days averages).

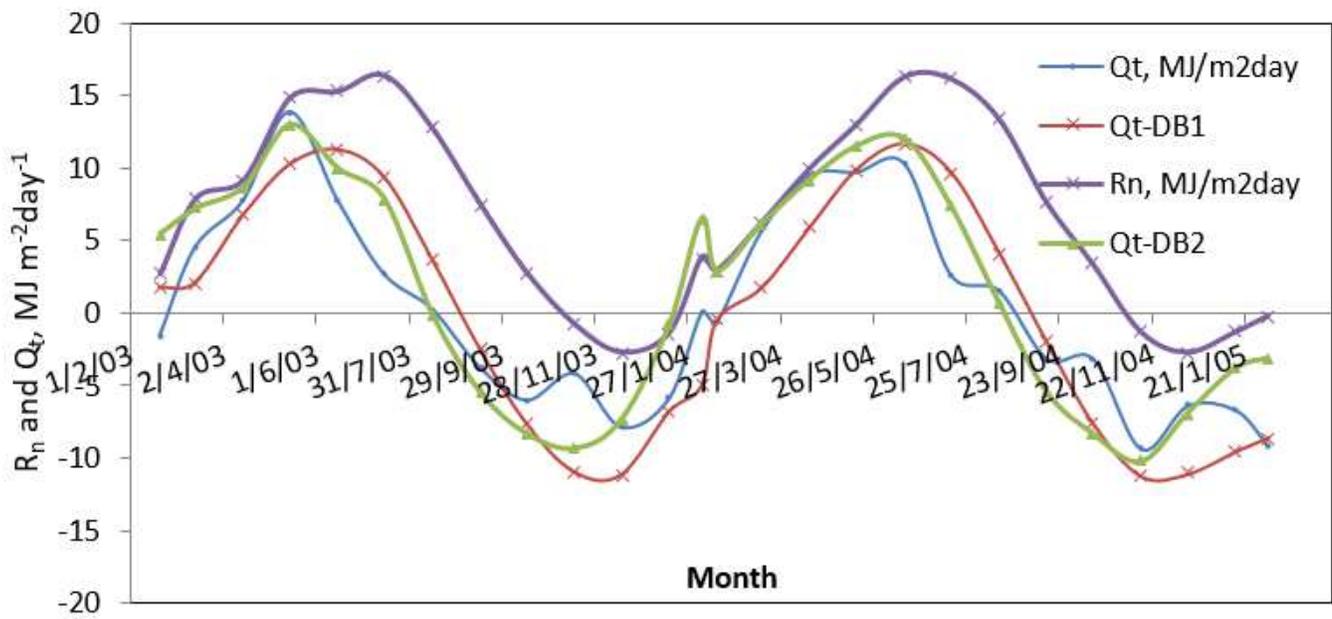


Figure 4

Comparison of monthly average Q_t values estimated with the two models (Q_t -DB1 and Q_t -DB2) proposed from Duan and Bastiaanssen versus the Q_t values of energy budget estimated by the QUALAKE model and monthly average net radiation for the years 2003-04 and 2004-05.

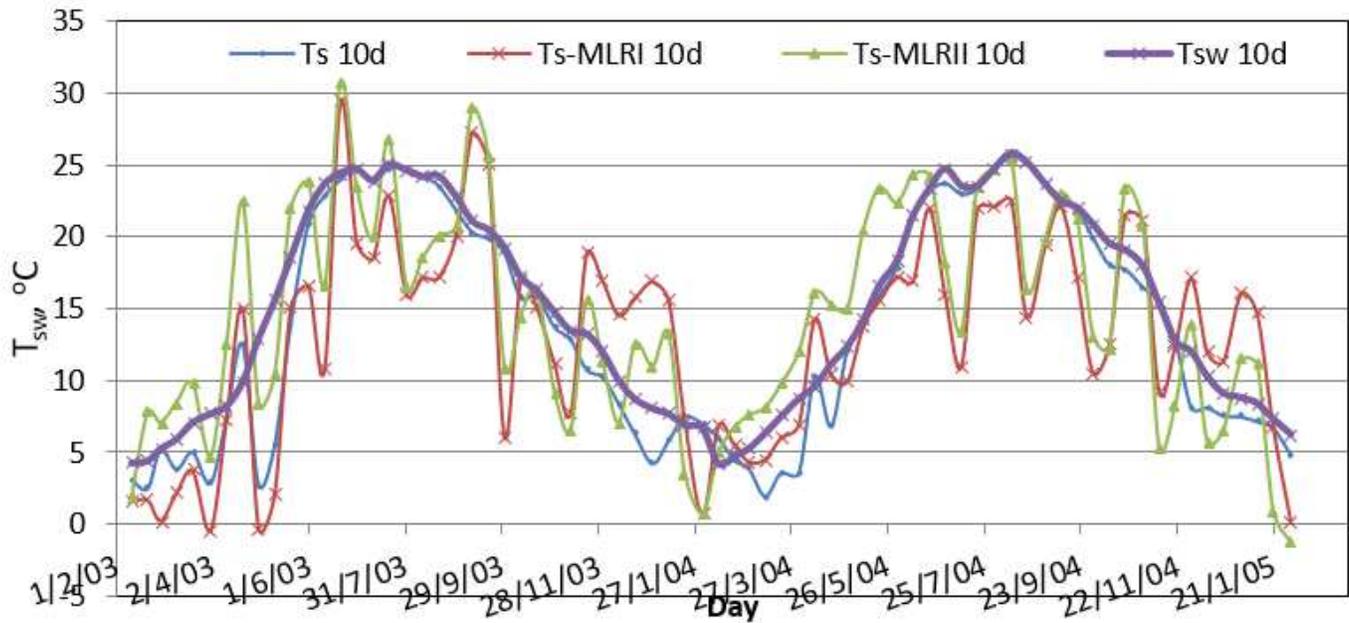


Figure 5

Lake water surface temperature using eq (12) and Q_t estimated from the heat budget components and with the two different multilinear regression models Q_t as function of R_n versus the results of QUALAKE model (reference data) (ten days average values).

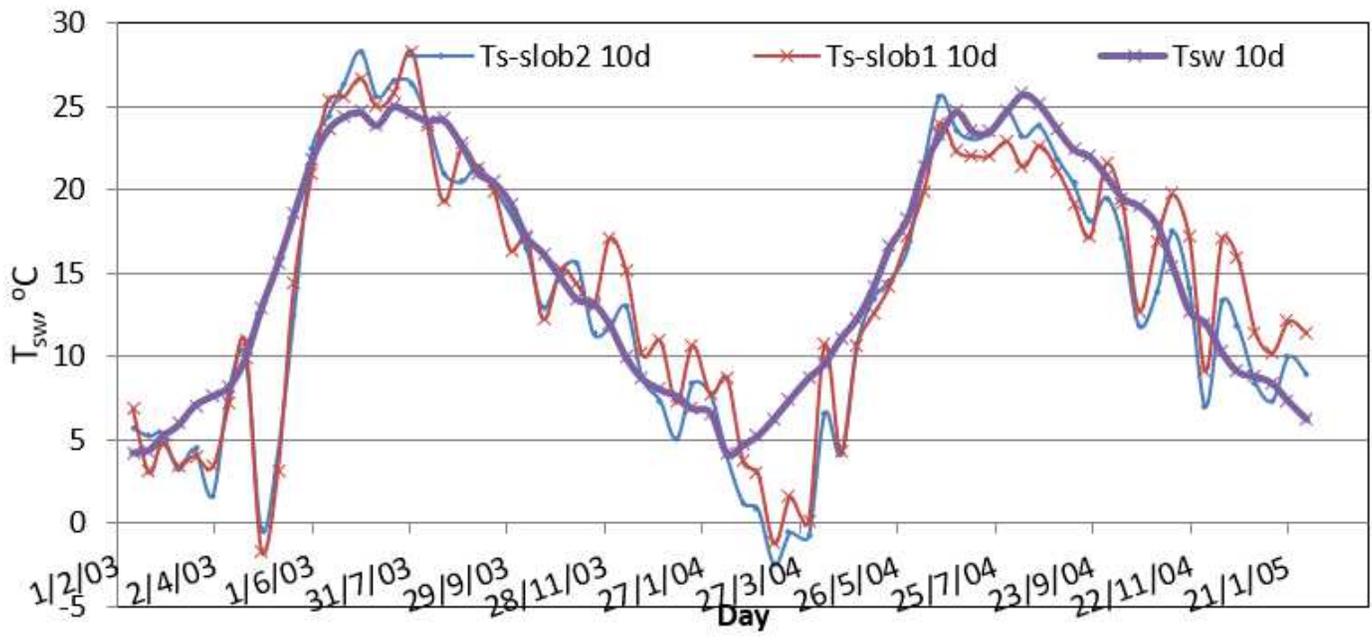


Figure 6

Comparison of Tsw estimated using the energy budget equation in which Rn was estimated using the adjusted Slob's equations to lake conditions (ten days average values).

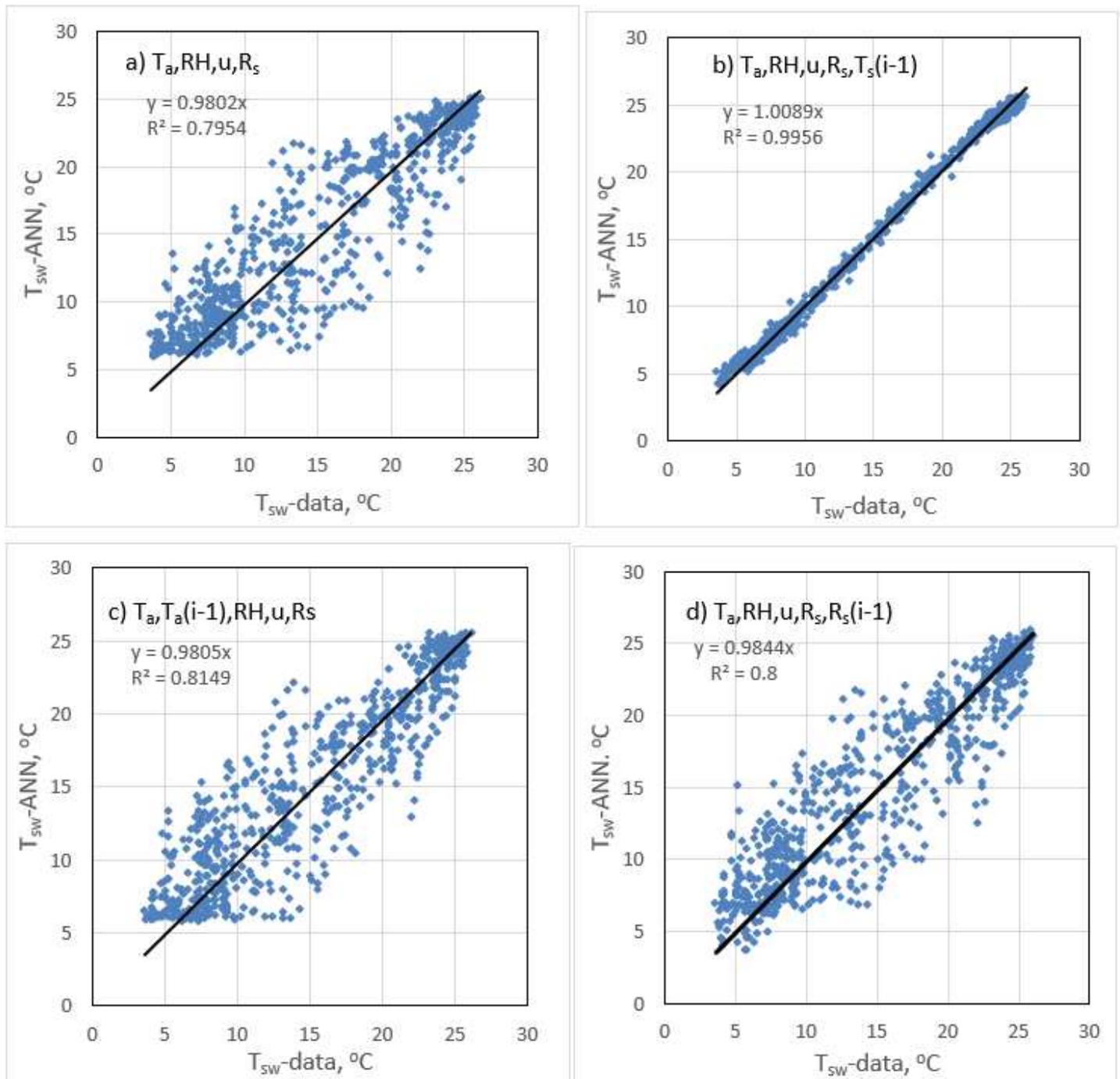


Figure 7

Scattering diagrams between water surface temperature estimated with ANN(4-6-1) and ANN(5-6-1) models in comparison to temperature estimated with QUALAKE model. In the ANN model a) four (4) input variables (T_a , RH_a , u_2 , R_s), b) five (5) input variables (T_a , RH_a , u_2 , R_s , $T_s(i-1)$), c) five (5) input variables (T_a , $T_a(i-1)$, RH_a , u_2 , R_s), and d) five (5) input variables (T_a , RH_a , u_2 , R_s , $R_s(i-1)$), the daily data from 11/2/2003 to 10/2/2004 are used for training the model and the daily data from 11/2/2003 to 10/2/2005 are used for testing.