

Modelling Internet of Things (IoT) driven global sustainability in multi-tier agri-food supply chain under natural epidemic outbreaks

Sanjeev Yadav

Research Scholar, Department of Mechanical Engineering, National Institute of Technology, Kurukshetra-136119, Haryana, India

Dr. Sunil Luthra (✉ sunilluthra1977@gmail.com)

Assistant Professor, Department of Mechanical Engineering, Ch. Ranbir Singh State Institute of Engineering and Technology, Jhajjar-124103, Haryana, India <https://orcid.org/0000-0001-7571-1331>

Dixit Garg

Professor, Department of Mechanical Engineering, National Institute of Technology, Kurukshetra-136119, Haryana, India

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4
5 **Sanjeev Yadav**

6 Research Scholar, Department of Mechanical Engineering,
7 National Institute of Technology, Kurukshetra-136119, Haryana, India

8 E-mail: ysanjeev949@gmail.com

9
10
11 **Dr. Sunil Luthra***

12 Assistant Professor, Department of Mechanical Engineering,
13 Ch. Ranbir Singh State Institute of Engineering and Technology, Jhajjar-124103, Haryana,
14 India

15 E-mail: sunilluthra1977@gmail.com

16 Phone-91-9466594853

17
18
19 **Dr. Dixit Garg**

20 Professor, Department of Mechanical Engineering,
21 National Institute of Technology, Kurukshetra-136119, Haryana, India

22 E-mail: dixitgarg@yahoo.co.in

23
24
25 *Corresponding author
26
27
28
29

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33

34 **Abstract:** The resilience of Agri-Food Supply Chain (AFSC) due to recent epidemics
35 outbreak (COVID-19, SARS-CoV-2) has not been matching with, globalisation of AFSC,
36 and complicated networking system of AFSC and thus poses huge global sustainable issues.
37 Thus, the aim of this research is the modelling of the sustainable AFSC secure mechanism
38 managed through different emerging application of Internet of Things (IoT) technology
39 (Blockchain, Robotics, Big data analysis and Cloud computing). Competitive Supply Chain
40 Management (SCM) needs cautious incorporation of multi- tiers suppliers, specifically during
41 dealing with globalised sustainability issues. Firms have been advancing towards their multi
42 suppliers for driving social and environments and economical practices. This paper also
43 studies the interrelationship and their cause and effect magnitude among various enablers
44 contributing to IoT based food secure model. The methodology used in the paper is
45 Interpretative Structural Modelling (ISM) for establishing interrelationship among the
46 variables and Fuzzy-Decision-Making Trial and Evaluation Laboratory (F-DEMATEL) to
47 provide the magnitude of the cause–effect strength of the hierarchical framework. Finally,
48 this paper has limitation of taking only factors related to food security system by considering
49 present natural epidemics of COVID-19. In future, other dimensions of AFSC may be
50 considered based on COVID-19 epidemics effect on AFSC.

51 **Keywords:** Agri-Food Supply Chain (AFSC); COVID-19; Global Sustainability; Internet of
52 Things (IoT); Food security; Multi-tier; Supply Chain Disruption.

53

54 **1. Introduction**

55 By the increased growth of global SCM, disruptions are continuously taking place in Agri-
56 Food Supply Chain (AFSC) (Kamalahmadi and Parast, 2016; Hosseini et al., 2019). AFSC
57 risks are complex and may be classified into functional and disrupting risks (Choi et al.,
58 2019; Xu et al., 2020). The functional risks are connected by daily disorders in the AFSC
59 functions like lead time of agri products production, processing and demand’s fluctuating, the
60 disrupting risks associated as low-frequency-highly-impacting actions (Kinra et al., 2019;
61 Ivanov, 2020). Disrupting actions (COVID 19) have instant impacts on AFSC and its

62 networking system, results shortage of retailers, distribution centres and transportation
63 facilities. Consequentially, insufficient agri-food products shortages and delaying of services
64 propagated towards AFSC's downstream, leads to the rippling effect and competitive
65 disadvantages in the form of performance degradation (Dolgui et al., 2018; Dolgui et al.,
66 2020; Li and Zobel, 2020). Araz et al. (2020) noticed that the COVID-19 outbreak "breaking
67 many global supply chains" as the World Health Organisation (WHO) declared COVID-19 as
68 pandemic. In this natural epidemics condition, it is prerequisite to considered multi-tier
69 sustainable issues of AFSC.

70 Although, industries interrelationships in AFSC are mostly dynamic, globalised sustainable
71 issues related to certification provided by different globalised standards, and traceability
72 demanding for the interaction of Focal Firm (FF) across different countries by integrating
73 with their sub-suppliers in a multi-tier system (Overstreet et al., 2013). As per the report of
74 economic and social department (United Nations), the world wide population may increase to
75 8.5 billion by 2030. So, globalised agri-production must be increase for matching the
76 consumer's demands, which may increase the global sustainability issues. For this sustainable
77 initiatives have been taken like "Paris Agreement" on reducing Green House Gases (GHG)
78 emissions during various agri practices and Common Agriculture Policies (CAP) for
79 improving AFSC practices as a multi-tier system (Achabou et al., 2017).

80 Multi-tier based sustainability of AFSC may help in reduction of loses at different phases
81 with minimising of deterioration of the agri-food qualities, and thus contributed AFSC
82 sustainability (Clarke and Boersma, 2017). Without considering sustainable factors, agri-food
83 secure system may not be achieved. Thus, the term sustainable based agri- food secure
84 system is getting much more focused from area of research, academics and policy making.
85 Sustainability approach in AFSC may provide sufficient agri-food by adopting sustainable
86 actions of AFSC by effective designing of SCM (Allaoui et al., 2018). The traditional AFSC
87 in India is facing different problems in procuring, storing and distributing, agri-food losses
88 and deteriorations (Misangyi et al., 2016). To overcome above problems, IoT based SCM
89 must be employed for ensuring transparent, traceable and accountable system at different
90 levels of AFSC (Kauppi and Hannibal, 2017). This paper explores different IoT technologies
91 like Blockchain, Artificial Intelligence (AI), Robotics, RFID, etc. (Hartmann and Moeller,
92 2014; Aboah et al., 2019). The following research objectives may be set:

- 93 • Identification of the factors in driving IoT driven multi-tier sustainability for AFSC;

- 94 • To establish the interaction among identified enablers for IoT based sustainability for a
95 food secure mechanism;
- 96 • To established a contextual interrelationship between the enablers by classifying into
97 caused and influenced clusters; and
- 98 • Recommending managerial implications of the proposed research.

99

100 This research will also try to respond the given research questions:

- 101 • What may be needs of IoT driven multi-tier food security system in any natural
102 epidemics (COVID19)?

103 In current scenario, tracking of processes in AFSC is critical by providing a basic idea of
104 agri-product's past background, operational as well as processes throughout the SCM;
105 however traditional AFSC has not been tackling this kind of tracking mechanism. Further,
106 monitoring of food regulatory standards leads to standardisation in practices of food
107 processing, packaging, distribution and retailing (Dev et al., 2020). Thus, there is a need of
108 IoT driven food security system for ensuring sustainability at globalised level.

109

- 110 • What are the criteria for the selection of enablers in IoT driven multi-tier food security
111 system for ensuring global sustainability?

112 Different enablers involves in the IoT driven multi-tier food security system based on the
113 three decision model i.e. strategic (making long term planning), tactical (put strategies into
114 action) and operational level practices (actual action implementation) (Busse et al., 2016).
115 Thus, three decision based model have been opted for enabler identification to address the
116 different issues related to IoT driven food security system to ensure global sustainability.

117

- 118 • What type of methodologies may be employed for establishing interrelationship between
119 the enablers and analyse cause and effect extent?

120 In this paper, an integrated ISM-DEMATEL approach is helping in transforming the vague
121 and unorganised structural into a systematic structure and establishment of interdependency
122 between the enablers by formulating causal and influenced group clusters (Chauhan et al.,
123 2018). Further, sensitivity analysis needs to be performed to check the result robustness.

124

- 125 • How this study can be employed in practical implications for managers and
126 practitioners?

127 ISM- DEMATEL based analysis of the identified enablers was used by managers in making
128 their longer termed basis resilient strategies. This research has the capability to guide the
129 managers in adoption the most feasible IoT based technologies in AFSC with economical
130 based customer's satisfaction with new horizons of productivity (Wilhelm et al., 2016b).
131 Further, organisation of proposed research: Section 2 elaborates the Literature Review.
132 Section 3 elaborates research methodology. Section 4 provides practical applications of this
133 study with numerical illustration. Section 5 discussed findings with its implications. Finally,
134 Section 6 contributes to conclusions with limitations and future scope of this research.

135

136 **2. Literature Review**

137 In the given sub-sections, literature work has been made for the identification of enablers and
138 to identify research gaps.

139

140 ***2.1 Requirement of Global Sustainability under COVID 19 Outbreak***

141 With respect to COVID 19, food industries have been noted that primary agri production may
142 not be largely declined as most of the farmlands are way distant from urbanised. But, the
143 pandemic may affect agri-harvesting, processing, logistics and distributing and thus, poses
144 globalised issues of sustainability (Ben-Daya et al., 2019; Dun and Bradsteet, 2020). Thus,
145 food organisations required to implement sustainable practices at different multitier stages
146 related to processing, transporting, retailing, packing, handling, cold storage and loading.

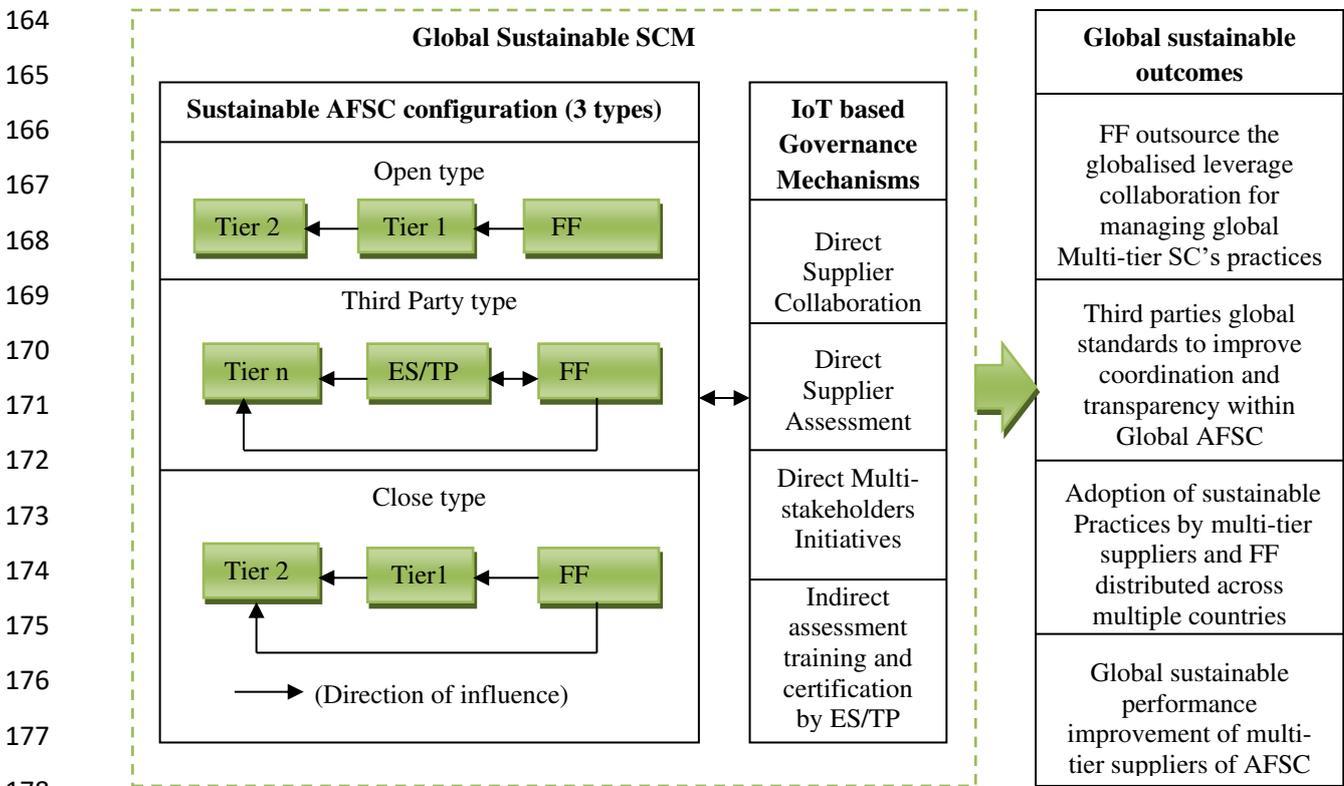
147 Therefore, there is a need of IoT based system for communicating information of agri-food
148 safety standards in an effective way to different suppliers of AFSC (Luvisi, 2016; Talavera et
149 al., 2017). Further, in this global pandemic situation, there is a growth opportunities exist for
150 the industries to provide quick response/online purchasing (e-commerce) or local/short SC
151 based supplying the requirements of consumers and thus achieving sustainability (Farahani et
152 al., 2020; Li and Zobel, 2020).

153

154 ***2.2 Impact of IoT based Multi-tier System on Sustainable AFSC at Global Level***

155 Multi-tier configuration type and IoT based governance mechanisms are the key elements for
156 sustainable development in Global AFSC. Global AFSC encompassed the IoT based
157 involvement of multi-tier sustainable practices and initiatives driven by FF, ES (External
158 Supports) provides by government and NGOs, Third Parties (TP) and some other
159 stakeholders. Direct governing mechanisms needs FF to provide time and capital for
160 effectively establishing the associations with multi-suppliers and indirect governing

161 mechanisms depends on third parties standards and regulation without any direct influence of
 162 FF. This governance system provides diverse implications for sustainable outcomes in Global
 163 AFSC (Formentini and Taticchi, 2016; Zhu et al., 2017) as shown in Figure 1.



179 **Figure 1:** Research framework of Sustainable AFSC and Global AFSC

180

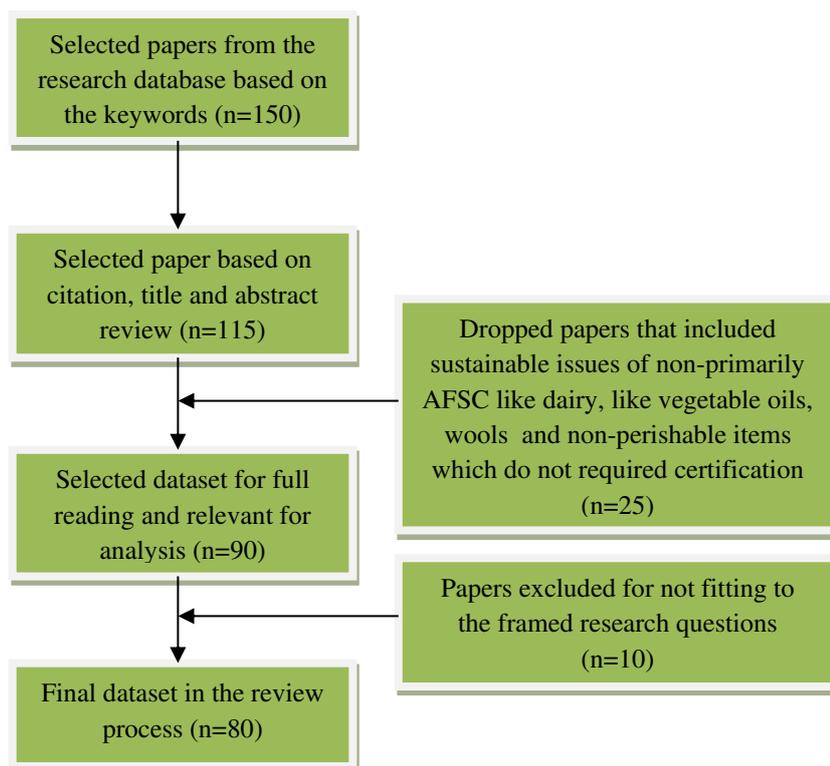
181 **2.3 Identification of Factors for IoT based Multi-tier Sustainable Food Security System**

182 Depending on literature survey, fourteen factors have been identified for developing IoT
 183 based sustainable multi-tier food security system in a natural disruption of AFSC. The
 184 research databases used in this research are Emerald (www.emeraldinsight.com), Taylor and
 185 Francis (<https://taylorandfrancis.com>), Elsevier (www.sciencedirect.com), Springer
 186 (www.springerlink.com), Wiley (www.wiley.com) and IEEE journals (Chadegani et al.,
 187 2013). The main searched criteria consisting of published articles ranging from year 2005 to
 188 2020. Majority of the reviewed papers have been taken from the International Journal of
 189 Production Economics, Journal of Cleaner Production and International Journal of Production
 190 Research etc. The below given keyword's strings have been entered as in title:

- 191 1. (“Global agriculture food supply chain” OR “global value chain” OR “global supply chain
 192 management”) AND (“sustainable” OR “resilience” OR “disruptions”).
- 193 2. (“agri-supply chain management” OR “resilience supply chain management” OR
 194 “sustainable supply chain management”) AND (“Internet of Things" OR “global”).

195 3. (“IoT driven sustainable agri food supply chain management” OR “green supply chain
 196 “management” OR “global supply chain disruptions”) AND (“modeling” OR “multi-tier” OR
 197 “governance” OR “configuration” OR “natural outbreak”).

198
 199 Thus, this provides first level all searched database (n=150 papers) having links between
 200 various scope of global sustainability, IoT based multi-tier system of AFSC and natural
 201 epidemics outbreak. The complete process of paper selection and exclusion is shown in
 202 Figure 2.



217 **Figure 2:** Article searching, selection and exclusion process

218
 219 Brief description of the identified factors has been explained in Table 1.

220 **Table 1:** Identification of enablers for IoT based multi-tier sustainable food security system
 221 of AFSC

Enablers	Brief description	References
E-governance and policy (C1)	This provides effective controlling and tracking of the sustainable AFSC practises by sharing efforts of government organisation, NGOs, CAP, food processing organisations and farmers by the means of third party type multi-tier and indirect governing mechanism.	Wolvvert et al., 2017; Armanda et al., 2019
Education and training (C2)	Technical training includes awareness of multi-tier sub suppliers about IoT technology, food	Sharma and Vrat, 2018

	regulation standards like Hazard Analysis and Critical Control Point (HACCP), Good Hygiene Practices (GHP), International Organisation for Standardisation (ISO) for food product handling by maintaining social distancing and farmer to implement IoT based farming practices.	
Top management support (C3)	It insures funds, proper training, award/reward sharing and awareness program about technical (IoT technology) knowledge, food products handling practices, effective information sharing. It also provides PPE, gloves, mask for the workers. Thus, it ingrates sustainable initiatives to global SCM.	Linton and Vakil, 2014; Irani and Sharif, 2016; Gupta and Ivanov, 2020
IoT based infrastructure(C4)	IoT based infrastructure is the availability and collaboration of each IoT based technology throughout the multi-tier AFSC. Different IoT technologies such as RFID tags and readers, Sensors, Blockchain, AI, BDA, Robotics, Cloud computing and Zig bee etc.	Li et al., 2014; Saberi et al., 2019
Setting of food quality standards (C5)	Imposing the third parties' food regulation standards by the means implementing various standardised practices or information within the food SC during the execution of various SC activities.	Fanzo, 2015; Ben-Daya et al., 2019
Information sharing (C6)	Information sharing is prerequisite for exchanging ideas between different sub suppliers of multi-tier system. Further, information sharing improves food product recycling by proper information sharing between retailer, supplier and consumers.	Fuglie, 2016; Saberi et al., 2019
Coordination and collaboration (C7)	Global standards based effective coordination and collaboration between food regulation authorities, food processing organisation, supplier, warehouse and consumers supports the identification of human and organisational risks related to agri food safety and security to ensure global sustainability.	Luvisi, 2016; Nakandala et al., 2017
Quality control of agri products (C8)	It mainly depends on the 3D images of food grains and packed product based on the IoT based sensor technology 3D images of food items with study of their pattern recognised the quality control processes for better controlling of quality of agri products.	Bosona and Gebresenbet, 2013
E-farm marketing (C9)	E farm marketing is the using of on-line portal for connecting farmer/food processing organisation to the big retailers connected to focal firms across the different countries. Improved information sharing based on RFID tags/readers and barcodes technologies also lead to E-farm marketing.	Masiero, 2015; Manavalan and Jayakrishna, 2019

Route optimisation (C10)	It provides the optimum route to the consumers by using cloud computational technology which is for the optimisation of distributing network of different countries.	Validi et al., 2014; Irani and Sharif, 2016
Simulating the consumption pattern (C11)	It provides simulation based big data analysis for matching the demand of consumers of globally located. Thus, it may predict for the planning of agri-food distribution to ensure agri-food security and safety.	Badami and Ramankutty, 2015; Vanderroost et al., 2017
Smart packaging of agri products (C12)	It is AI based intelligent packing of agri products, so that a best before date may be decide to simulate the barcode with expiring date of agri products by considering ambient, environmental or climate condition and long distributing chain of multi-tier system across different countries.	Ahmad and Mondal, 2019; Saberi et al., 2019
Cold chain for perishable products (C13)	Cold chain for perishable products is the development of IoT interfaced refrigerant facility. The refrigerant or cold chain intensity may be adjusted depending on the type of global climate in different countries, quantities of agri products e.g. food grains or perishable vegetables.	Talavera et al., 2017; Benis and Ferrão, 2018
Sustainable based competitive AFSC (C14)	This system focused on AFSC processes by safe distributing, safe storage and reduction of food loss during natural epidemic outbreak (COVID 19) for ensuring competitiveness with multi-tier sustainability at global level across different countries.	Gupta and Ivanov, 2020; Li and Zobel, 2020; Gwynn-Jones et al., 2018

222

223 **2.4 Research Gaps**

224 The following research gaps have been reported based upon the review of literature:

- 225 • In previous literature work, most of the work has focused only on the increasing agri-
226 production or agri based yield and less literature work has been done on minimisation of
227 AFSC losses or agri food security issues like crop insurance, simulation of demand and
228 smart contact etc. (Naik and Suresh, 2018).
- 229 • A few researches have supported the environmental, economical and social sustainable
230 aspects at globalised level while reviewing the SC disruption (COVID 19) issues. There is a
231 requirement of sustainable based quick processes transaction during the both tier 1 and
232 lower tier by considering producing stage, procuring stage, transporting stage, warehouse
233 and distributing stage to attain sustainable based multi-tier food secure system (Govindan,
234 2018;Baumer-Cardoso et al., 2020).

- 235 • Most of the previous literature has only given attention on the specific applications of a
236 single IoT technology. But, in the developing of an IoT dependent sustainable agri-food
237 secures structure; there is a requirement of integration among different IoT technologies.
238 However, less literature has been supported for the integration of IoT technologies, which
239 may interact on real time basis to develop a resilience and effective system for mitigating
240 the disruption risks (Papadopoulos et al., 2017; Ivanov et al., 2019).
- 241 • Most of the previous work has only oriented in one direction, either worked as qualitatively
242 or quantitatively approach. Only few studies have taken case studies along with numerical
243 analysis of enablers with their individual effects on the entire system of AFSC for an IoT
244 driven global sustainable AFSC's security system (Ho et al., 2015; Moazzam et al., 2018).

245

246 **3. Research Methodology**

247 The research methodology employed a two steps study as shown in Figure 3.

248 [Figure 3 about here]

249

250 For the evaluation of the factors for IoT based sustainability in AFSC. In the first phase,
251 identification of enablers based on reviewed literature and expert's suggestions. By this
252 procedure, fourteen important factors have been identified and verified by experts'
253 suggestions. In the second phase, identified factors have been evaluated by using combined
254 ISM and fuzzy DEMATEL. There are different techniques available such as ISM, Total ISM,
255 DEMATEL and Analytical Hierarchical Process (AHP) for providing a structure based
256 relationships of the enablers but could not provide the quantified view of the inter-
257 relationships (Dos Muchangos et al., 2015; Luthra et al., 2016; Chauhan et al., 2018). Hence,
258 for the quantification of the inter-relationships for any sophisticated system, integrated ISM-
259 DEMATEL approach may be applied. But, standard DEMATEL technique has a drawback as
260 it does not have capabilities for dealing with any uncertainty of real case (Kirkire and Rane,
261 2017). To avoid this drawback, there is a need of integrating DEMATEL with the fuzzy set
262 theory proposed by Zadeh (1965).

263

264 **3.1 ISM Methodology**

265 ISM was initially introduced in 1970s by Warfield (1974). ISM may be adopted to establish
266 the inter-relationships among the enablers by converting the unorganised system into a
267 systemically organised system (Kapse et al., 2017; Chaple et al., 2018).

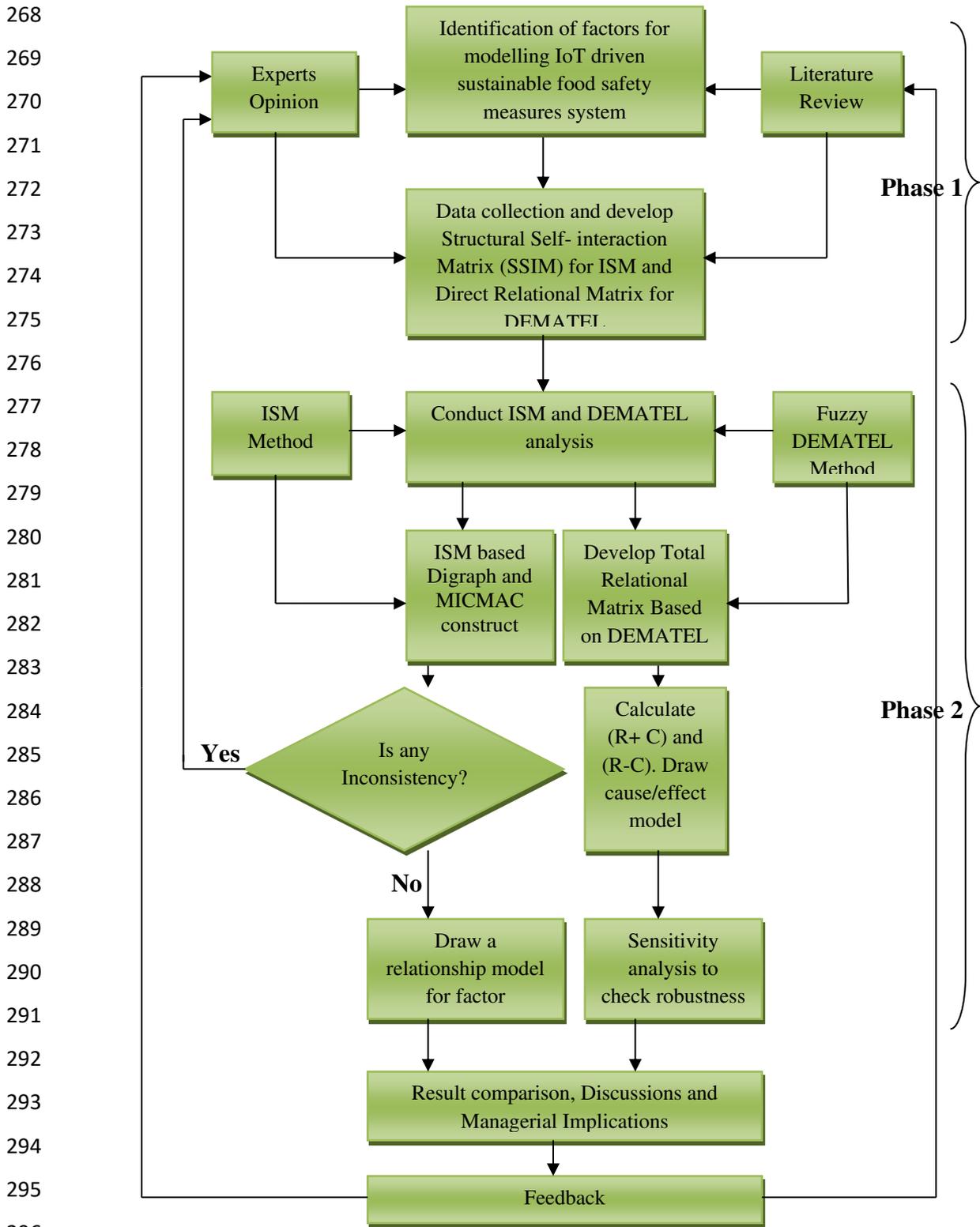


Figure 3: Framework of the proposed research

ISM is commonly chosen among other modelling methods as it elaborates the type of inter-relationships type between enablers (Luthra et al., 2016; Shen et al., 2016). The stepwise

301 explanation of this methodology is given in research framework as shown Figure 3. ISM has
302 different steps as explained below.

- 303 (1) Identification of the enablers. In the current research, enablers are connected to develop
304 IoT based multi-tier sustainable food security system.
- 305 (2) Development the contextual inter-relationships between enablers given by the expert's
306 suggestions.
- 307 (3) Constructing a SSIM. The advice of different experts has been utilised.
- 308 (4) Development of final reachable matrix. SSIM is utilised for the development of the initial
309 reachable matrix; this matrix is then changed to final reachable matrix by finding out any
310 transitivity links between the identified enablers.
- 311 (5) Formation of the partition levels based on final reachable matrix.
- 312 (6) Conducting the MICMAC analysis for the identified enablers.
- 313 (7) Constructing the digraph.
- 314 (8) Formation of the ISM model by removing transitivity links.

315

316 **3.2 Fuzzy-DEMATEL**

317 DEMATEL approach was first introduced by the Geneva research centre. The DEMATEL is
318 a numerical technique, which may be utilised for analysing the cause and effect strength
319 between interrelated variables of a complicated system (Tzeng et al., 2007). DEMATEL
320 technique established the relation between enablers by classifying them in causal and effects
321 and thus, provides possible outcome based on an organised and systematic system (Lin, 2013;
322 Wu, 2008; Hsu et al., 2013). Thus, Fuzzy based DEMATEL methodology has been adopted
323 to deal with expert's biasing and imprecise real scenario (Seçme et al., 2009; Gupta and
324 Barua, 2018). The F-DEMATEL technique has following steps as explained below.

- 325 1. Generation of a fuzzy initial direct relational matrix (D). For calculating the level of
326 interaction between the enablers a TFN scale has followed. As given in Figure 4, the
327 membership function $\mu_a(x)$ is represented by y axis and TFN may be represented by x
328 axis. Thus, TFN is represented by the triplet (l, m, r), i.e. (0,0.1,0.3) for (No relation)
329 for , (0.1,0.3,0.5) (Very Low relation), (0.3,0.5,0.7) (Low relation), (0.5,0.7,0.9)
330 (High relation) and (0.7,0.9,1) (Very High relation) respectively.

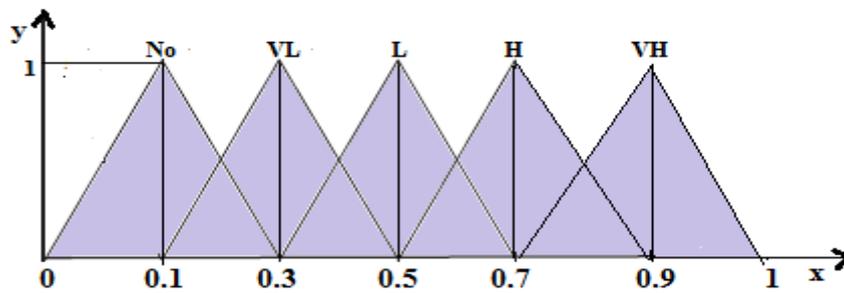
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332

$$\mu_a(x) = \begin{cases} 0, & \text{if } x < l \\ (x - l)/(m - l) & \text{if } l \leq x \leq m, \\ (r - x)/(r - m) & \text{if } m \leq x \leq r, \\ 0, & \text{otherwise} \end{cases}$$

337

338 Thus, an initial direct relational matrix has been developed as D. In this enabler i affect the
339 enabler j as: $D = [d_{ij}]_{n \times n}$



340

341

Figure 4: Triangular fuzzy numbers

342

343 2. Normalisation of direct relational table into normalised direct relational matrix S by
344 using five steps as explained below (Opricovic and Tzeng, 2003). A five-step
345 algorithm as follows for a particular fuzzy number (l, m, r):

346 Step1. Normalisation

$$347 \quad xr_{ij}^n = (r_{ij}^n - \min l_{ij}^n) / \Delta_{\min}^{max}$$

$$348 \quad xm_{ij}^n = (m_{ij}^n - \min l_{ij}^n) / \Delta_{\min}^{max}$$

$$349 \quad xl_{ij}^n = (l_{ij}^n - \min l_{ij}^n) / \Delta_{\min}^{max}$$

350 Step2. Compute right (rs) and left (ls) normalisation values:

$$351 \quad xrs_{ij}^n = xr_{ij}^n / (1 + xr_{ij}^n - xm_{ij}^n)$$

$$352 \quad xls_{ij}^n = xm_{ij}^n / (1 + xm_{ij}^n - xl_{ij}^n)$$

353 Step3. Compute total normalised crisp values:

$$354 \quad x_{ij}^n = [xls_{ij}^n - (xls_{ij}^n \times xls_{ij}^n) + (xrs_{ij}^n \times xrs_{ij}^n)] / (1 + xrs_{ij}^n - xls_{ij}^n)$$

355 Step4. Compute crisp values:

356
$$z_{ij}^n = \min l_{ij}^n + x_{ij}^n \times \Delta_{\min}^{max}$$

357 Step5. Integrate crisp values:

358
$$z_{ij} = (z_{ij}^1 + z_{ij}^2 + \dots + z_{ij}^p) / p,$$
 where p is numbers of decision makers

359
$$S = k \times D$$

360 Where, $k = 1 / \max_{1 \leq i \leq n} \sum_{j=1}^n d_{ij}$

361 3. Construct a total relation matrix T as: $T = S [I - S]^{-1}$, where I matrix is identity
362 matrix

363 4. Drawing a cause and effect diagram. In this x axis (R+ C) denotes the cause axis and
364 y axis (R- C) denotes causal based effects axis as shown below:

365
$$T = [t_{ij}]_{n \times n}, \quad i, j = 1, 2, \dots, n$$

366
$$[R_i]_{n \times 1} = (\sum_{j=1}^n t_{ij})_{n \times 1}$$

367
$$[C_j]_{1 \times n} = (\sum_{i=1}^n t_{ij})_{1 \times n}$$

368

369 **4. Case Illustration**

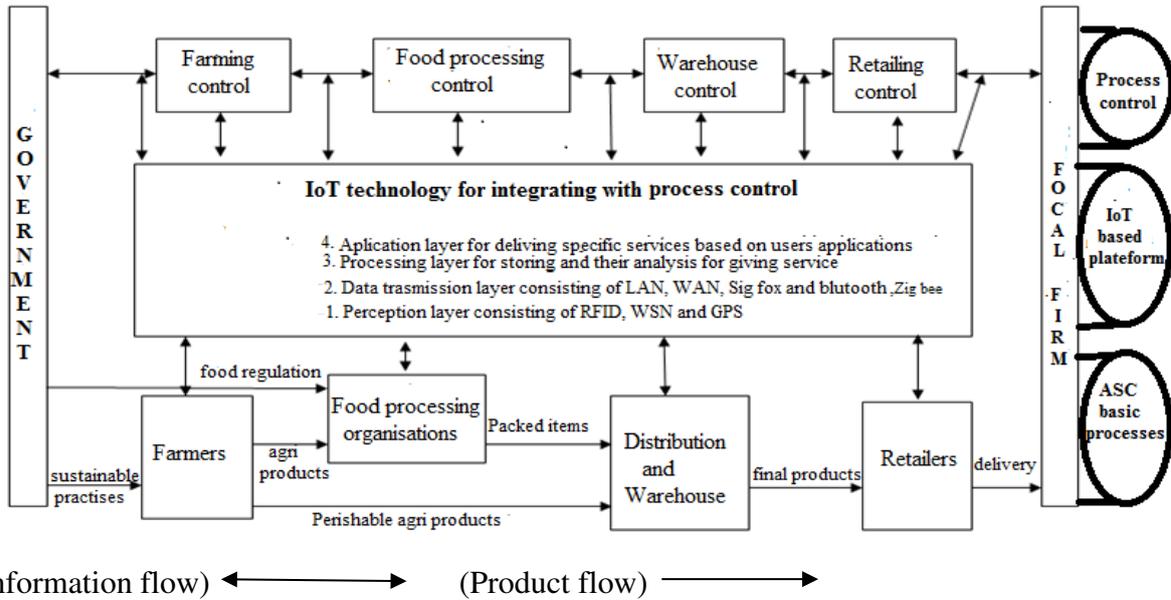
370 This section includes a case study, questioner's development and finally numerical
371 illustration. The case illustration consists of three sub-sections. These sub-sections are
372 explained below in subsequent sections.

373

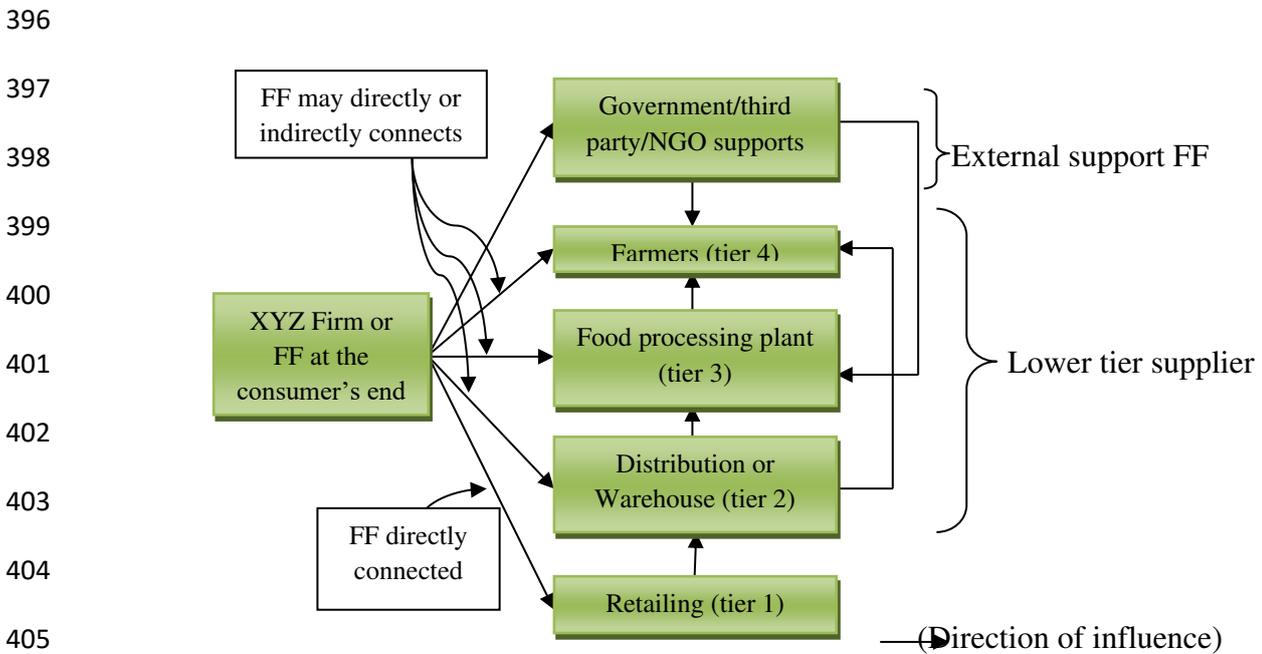
374 **4.1 Description of the Firm**

375 XYZ (fictitious name) act as a Focal Firm (FF) in a multitier system of AFSC which is
376 located in India for delivering the perishable packed vegetables to most of the districts.
377 Currently firm's processes consists of vegetables harvesting, food grains processing, packing
378 and commercialisation of agri- product items consists of large varieties of cold storage based
379 perishable products. Depending on consumer's requirement in different demographic regions,
380 the packed products range from 5Kg to 40Kg. Vegetables normally used as raw products are
381 potatoes, fresh cabbages, peas, groundnuts, mushrooms and carrots. Most of the Food
382 Processing Plants (FPP) of XYZ is situated at small distance from farmlands, which are
383 dedicated for cultivating, cutting, packaging fresh vegetables by keeping in a cold ambient
384 condition. Each processing plant has special facilities to a particular crop cultivations and
385 cold storage of Work in Process Inventory (WIPI) to avoid spoilage. FF has one Main
386 Processing Plant (MPP) for handling final packed agri products and distributions to retailers

387 and end consumers. The whole multi-tier system of FF initiated from the planning of
 388 consumer's demands and ends by delivering of agri products to FF at the consumer's end.
 389 Based on IoT infrastructure, FF intended to directly approach tier 1 (Big retailers) and may
 390 directly/indirect controlled the lower tier (food processing, distribution and farmers) by itself
 391 and collaborating with external supports. This entire process is given in Figure 5 and Figure
 392 6.



393
 394 (Information flow) ← (Product flow) →
 395 **Figure 5:** Complete framework of the proposed case study for XYZ firm



406 **Figure 6:** Multi-tier view of AFSC

407

408 The XYZ firm has adopted IoT based infrastructure like developing technical manpower, IoT
409 based cold chain, adopting various quality standards of food quality and safety in FF, finally
410 supplying the packed and raw agri products to consumers. This case study of FF has needed
411 to deal with any kind of natural disruption in agri food SC. Further, the FF has to meet the
412 specific challenge of food SC for maintaining high perishable nature of fresh vegetables,
413 meeting random demands from a specific area of high risk by disruption (COVID 19),
414 meeting high standards of food safety and quality as per government regulations and
415 standards (HACCP, ISO, GHP) with IoT based multi-tier sustainable development of entire
416 AFSC.

417

418 ***4.2 Questionnaire Development and Data Collection***

419 The framing of questions takes place based on the research panel of five experts to rate and
420 analysis of the identified factors as shown in [Appendix A](#). A total of 25 experts were
421 approached from several case companies. In this context, a questionnaire was framed by
422 considering all enablers in the literature and collect the response either through mail or postal.
423 Out of 25 experts only 5 experts have filled their responses either through online or offline.
424 Thus, 20 percent response rate has been used in this study. These participated 05 experts were
425 categorised as their demographic characteristics. As per professional qualification level of
426 experts, 02 experts were graduates, 02 were post graduate and 01 was doctorate. Most of the
427 decisions makers (experts) were highly experienced ranging from 5 to 25 years. Based on the
428 filled questionnaire, the decisions makers involved in this research were the Logistics'
429 Supervisors (E1), the Food and Worker Safety's Supervisors (E2), the Chief Executive
430 Officers (E3) of government regulatory bodies for setting different standards (HACCP, GHP)
431 for IoT based agri products production, processing, packing and handling, the agri-food
432 procurement manager (E4) for managing strategies about placing order to farmers/suppliers
433 for primary agri products like fresh vegetable and food grain , Research and Development
434 (R&D) team member (E5) having environmental (ISO 14001 standards) expertise and
435 disaster management (ISO 37120 standards)expertise.

436

437 ***4.3 Numerical Illustration***

438 This section explains the numerical illustration of the study. It consists of three sub section
439 namely ISM application, DEAMATEL application and sensitivity analysis as explained
440 below.

441

442 **4.3.1 ISM application**

443 This section elaborates different steps of ISM by the means of numerical illustration. The
 444 complete sequence of procedure is given below.

445

446 **4.3.1.1 Structural self-interaction matrix**

447 For the establishment of the relationships among the enablers for developing IoT based
 448 sustainable security system, four notations have been applied for expressing the relationships
 449 among the enablers i and j as explained below.

450 V: Enabler i facilitating the Enabler j.

451 A: Enabler j facilitating the Enabler i.

452 X: Enabler i and j facilitating each other.

453 O: Enabler i and j are not related.

454

455 Experts given in sub-section 4.2 were requested to provide their agreement for framing of
 456 initial SSIM with the help of four notations as explained earlier. Based on the experts’
 457 response, initial SSIM for enablers is given in Table B1 of [Annexure B](#).

458

459 **4.3.1.2 Initial and Final reachability matrix**

460 The SSIM has been transformed to an initial reachability matrix by placing the binary digits
 461 (0, 1) at the position of V, A, X and O for (i, j) as explained in below Table 2.

462 **Table 2:** Notations and conversion of binary digits

Notations in SSIM	Entry (i, j) in SSIM	Entry (j, i) in reachable matrix
V	1	0
A	0	1
X	1	1
O	0	0

463

464 The final reachability matrix is constructed based on the transitivity links as given in Table 3.

465 **Table 3:** Final reachable matrix for enablers

	C1	C2	C3	C4	C5	C6	C7	C8	C9	C10	C11	C12	C13	C14	DR.P
C1	1	1*	1	1	1	1	1	1	1	1	1	1*	1	1	14
C2	0	1	0	1	1	1	1	1	1	1	1	1	1	1	12
C3	0	1*	1	1	1	1	1	1	1*	1*	1*	1*	1*	1	13
C4	0	1*	0	1	0	1	1	1*	1	1*	1*	1	1	1	11
C5	0	1*	0	1*	1	1	1	0	1	1	1*	1*	1*	1	11
C6	0	1	0	1	1*	1	1*	1*	1	1	1	1	1*	1	12
C7	0	0	0	0	0	0	1	1*	1	1	1	1	1	1	8
C8	0	0	0	0	0	0	1	1	1*	1	1	1	1*	1*	8
C9	0	0	0	0	0	0	0	0	1	1	1	0	0	1	4

C10	0	0	0	0	0	0	0	0	0	1	0	0	0	1	2
C11	0	0	0	0	0	0	0	0	1	1*	1	0	0	1*	4
C12	0	0	0	0	0	0	1*	1*	1	1*	1*	1	1	1*	8
C13	0	0	0	0	0	0	1	1	1	1*	1*	1*	1	1*	8
C14	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
DP.P	1	6	2	6	5	6	10	9	12	13	12	10	10	14	116/116

466

467 *4.3.1.3 Levelling based partitions*

468 By using final reachability matrix the intersecting point of antecedent set (depending power)
 469 and reachability set (driving power) has determined. Iterating procedure will carry on for
 470 identifying each level as shown in Table 4.

471 **Table 4:** Initial iteration matrix for enablers

	R.S	A.S	I.S	Levels and Iterations
1	1,2,3,4,5,6,7,8,9,10,11,12,13,14	1	1	LEVEL VII
2	2,4,5,6,7,8,9,10,11,12,13,14	1,2,3,4,5,6	2,4,5,6	LEVEL V
3	2,3,4,5,6,7,8,9,10,11,12,13,14	1,3	3	LEVEL VI
4	2,4,6,7,8,9,10,11,12,13,14	1,2,3,4,5,6	2,4,6	LEVEL V
5	2,4,5,6,7,9,10,11,12,13,14	1,2,3,5,6	2,5,6	LEVEL V
6	2,4,5,6,7,8,9,10,11,12,13,14	1,2,3,4,5,6	2,4,5,6	LEVEL V
7	7,8,9,10,11,12,13,14	1,2,3,4,5,6,7,8,12,13	7,8,12,13	LEVEL IV
8	7,8,9,10,11,12,13,14	1,2,3,4,6,7,8,12,13	7,8,12,13	LEVEL IV
9	9,10,11,14	1,2,3,4,5,6,7,8,9,11,12,13	9,11	LEVEL III
10	10,14	1,2,3,4,5,6,7,8,9,10,11,12,13	10	LEVEL II
11	9,10,11,14	1,2,3,4,5,6,7,8,9,11,12,13	9,11	LEVEL III
12	7,8,9,10,11,12,13,14	1,2,3,4,5,6,7,8,12,13	7,8,12,13	LEVEL IV
13	7,8,9,10,11,12,13,14	1,2,3,4,5,6,7,8,12,13	7,8,12,13	LEVEL IV
14	14	1,2,3,4,5,6,7,8,9,10,11,12,13,14	14	LEVEL I

472

473 *4.3.1.4 Classification of factors (MICMAC analysis)*

474 Cluster or sector formation of enablers mainly based on the value of driving and depending
 475 power as indicates in Figure 7.

[Figure 7 about here]

477

478 This cluster formation is similar as given by Kamble et al. (2018b). The first cluster or
 479 quadrant is belongs to “autonomous variables” having weak driving and depending values.
 480 These variables are weakly linked with other enablers or negligible impact on the entire
 481 system developed by ISM approach. In this study, autonomous sector is empty suggesting
 482 that every enabler is weakly or strongly associated in the model. Second sector belongs to
 483 depending variables having high depending and low driving values. These variables require
 484 special focused. Enablers C9, C10, C11 and C14 are the part of this sector.

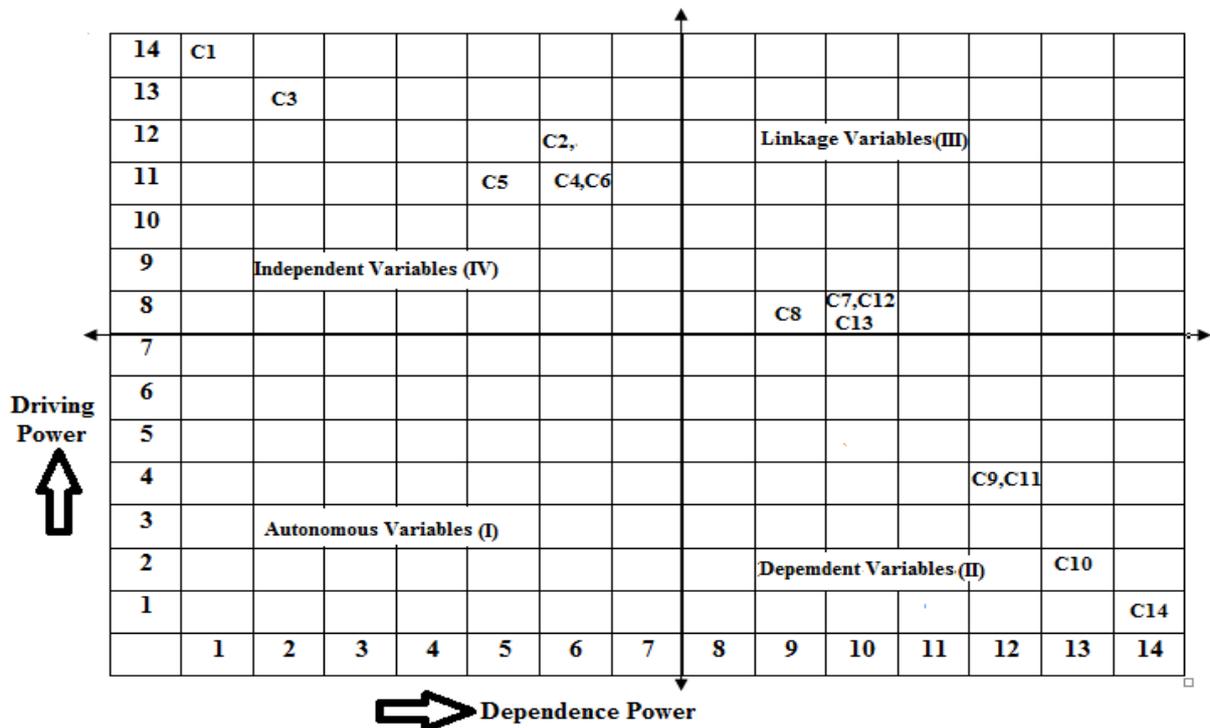


Figure 7: ISM based MICMAC analysis

Third sector belongs to linkage variables with high driving and depending values. These variables are unstable and having closed looping effect on other variables. Enablers C7, C8, C12 and C13 are the part of this sector. Fourth sector belongs to independent variables with high driving and low dependence power. Enablers C1, C2, C3, C4, C5 and C6 belong to this region. These variables require special planning of policies maker.

4.3.1.5 ISM based hierarchical structure

Based on the final reachability matrix (Table 3) and level partitioning (Table 4), an ISM based hierarchical model of enablers for IoT driven multitier sustainable food security system has been developed as depicted in Figure 8.

[Figure 8 about here]

4.3.2 Fuzzy DEMATEL application

After formulation of systematic structure by using ISM technique, the fuzzy DEMATEL methodology has been applied for developing cause and effect group for enablers. Fuzzy based approach handles the vagueness and biased expert's decisions. Step wise procedure of Fuzzy DEMATEL methodology is given below.

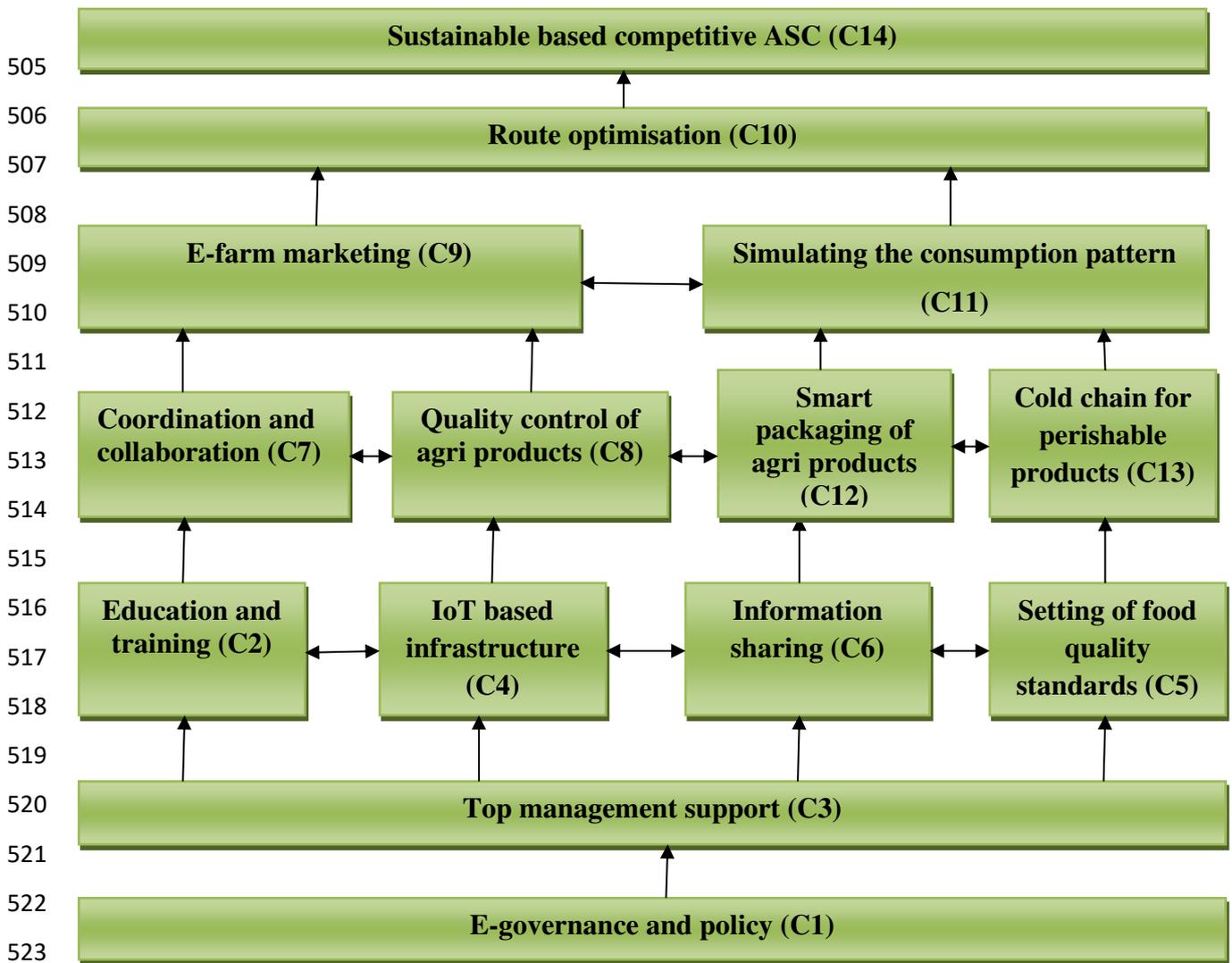


Figure 8: ISM based hierarchical structure for showing dependencies

4.3.2.1 Construct a direct relational matrix

The experts given in sub section in 4.2 have given suggestions for the evaluation of the enablers in the pair wise matrix or direct relational matrix based on fuzzy linguistic variables.

4.3.2.2 Construct a normalised direct relational matrix

Normalisation of the aggregated direct relation matrix of TFN values suggested by the experts, by applying sub steps 1 to 5 of main step 2 explained in F- DEMATEL methodology as given in Table B2 of Annexure B.

534 *4.3.2.3 Construct a total relational matrix*

535 Total relational matrix has been developed based on the normalised matrix by using step 3. Determine (R+C) and (R-C) as given in
 536 Table 5.

537 **Table 5:** Total direct relational matrix

	C1	C2	C3	C4	C5	C6	C7	C8	C9	C10	C11	C12	C13	C14	R	R+C	R-C
C1	0.38577	0.53066	0.46559	0.44495	0.56086	0.45831	0.51733	0.52375	0.58458	0.63474	0.57326	0.52656	0.39986	0.64847	7.25471	12.70934	1.80008
C2	0.41964	0.46881	0.47744	0.45840	0.57468	0.46030	0.49140	0.49867	0.54576	0.64578	0.56929	0.55560	0.39484	0.64725	7.20787	13.88759	0.52815
C3	0.45503	0.56945	0.43613	0.47638	0.59920	0.47656	0.53129	0.54580	0.60642	0.64870	0.59837	0.57550	0.41932	0.68163	7.61979	13.50647	1.73310
C4	0.37556	0.48524	0.42057	0.38140	0.51682	0.43968	0.47756	0.47437	0.53814	0.58486	0.48333	0.48498	0.38937	0.60842	6.66030	12.51861	0.80199
C5	0.39915	0.51598	0.43224	0.43210	0.47549	0.44174	0.47280	0.47715	0.57046	0.60431	0.53155	0.45887	0.38264	0.62190	6.81637	13.97580	-0.34306
C6	0.37047	0.45634	0.39124	0.39450	0.47385	0.35725	0.42824	0.43928	0.51647	0.54114	0.47436	0.47311	0.31059	0.55891	6.18576	12.07292	0.29860
C7	0.35505	0.42344	0.38020	0.38230	0.45354	0.36512	0.36190	0.43397	0.46995	0.51715	0.46071	0.44141	0.32171	0.47302	5.83944	12.16171	-0.48284
C8	0.33190	0.43913	0.40424	0.38917	0.47581	0.38718	0.42563	0.38989	0.50301	0.54147	0.48231	0.44420	0.32548	0.55530	6.09472	12.66483	-0.47539
C9	0.40263	0.44693	0.42077	0.42409	0.53809	0.43017	0.46679	0.47711	0.49269	0.59836	0.54101	0.52407	0.36809	0.60600	6.73680	14.25985	-0.78625
C10	0.40416	0.48299	0.40223	0.44998	0.54668	0.43012	0.45841	0.49412	0.56044	0.53790	0.51830	0.51688	0.36798	0.62157	6.79176	14.98632	-1.40280
C11	0.38881	0.46166	0.41321	0.39441	0.49379	0.42933	0.43583	0.47104	0.54196	0.58706	0.45905	0.47767	0.38377	0.60119	6.53878	13.77128	-0.69373
C12	0.42273	0.52864	0.46867	0.45686	0.50852	0.45579	0.46439	0.50442	0.59319	0.63946	0.56415	0.47802	0.39011	0.64843	7.12338	14.00983	0.23693
C13	0.35076	0.40459	0.37587	0.36451	0.44297	0.34343	0.37667	0.39947	0.47855	0.52983	0.46268	0.44742	0.29195	0.52230	5.79099	10.88510	0.69688
C14	0.39297	0.46587	0.39832	0.40925	0.49912	0.41218	0.41405	0.44105	0.52143	0.58377	0.51415	0.48215	0.34840	0.51602	6.39873	14.70913	-1.91168
C	5.45463	6.67972	5.88669	5.85831	7.15943	5.88716	6.32228	6.57011	7.52305	8.19456	7.23251	6.88645	5.09411	8.31040			

538

539 *4.3.2.4 Cause and effect diagram*

540 Construct a cause and effect diagram of the enablers by using (R+C) and (R-C) values. By using Figure 9, it may be concluded that
 541 enablers C5, C8, C7, C11, C9, C10 and C14 are categorised into effect group. Similarly, enablers C1, C3, C4, C13, C2, C6 and C12
 542 categorised into cause group.

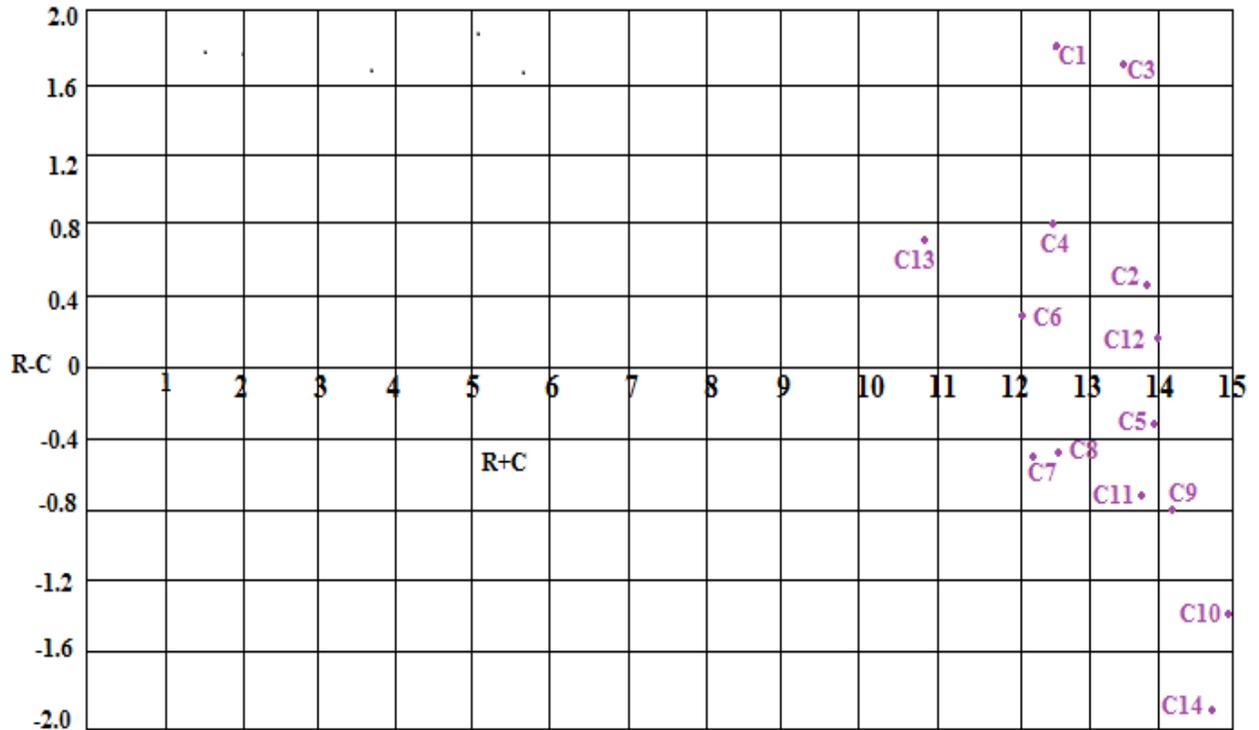


Figure 9: The cause and effect diagram of enablers

4.3.3 Sensitivity analysis

Sensitivity analysis has been done for checking the validity and robustness of the results obtained based on experts opinions (Raja Ambedkar et al., 2018). Sensitivity checking also helps in determination of any biasing by a specific expert, which may alter the final results of the research. Therefore, this paper has applied the above analysis by changing the weights given by the experts for a particular case to analysis the overall impact on the results. The sensitivity analysis has been done by providing higher weightage to one expert and giving the equally divided weightage to rest of four experts. In the same manner five different runs have been performed as shown in Table 6.

Table 6: Weightage assigned to the experts during sensitivity analysis

Sensitivity Run	Expert 1	Expert 2	Expert 3	Expert 4	Expert 5
Run 1	0.40	0.15	0.15	0.15	0.15
Run 2	0.15	0.40	0.15	0.15	0.15
Run 3	0.15	0.15	0.40	0.15	0.15
Run 4	0.15	0.15	0.15	0.40	0.15
Run 5	0.15	0.15	0.15	0.15	0.40
Current case	0.20	0.20	0.20	0.20	0.20

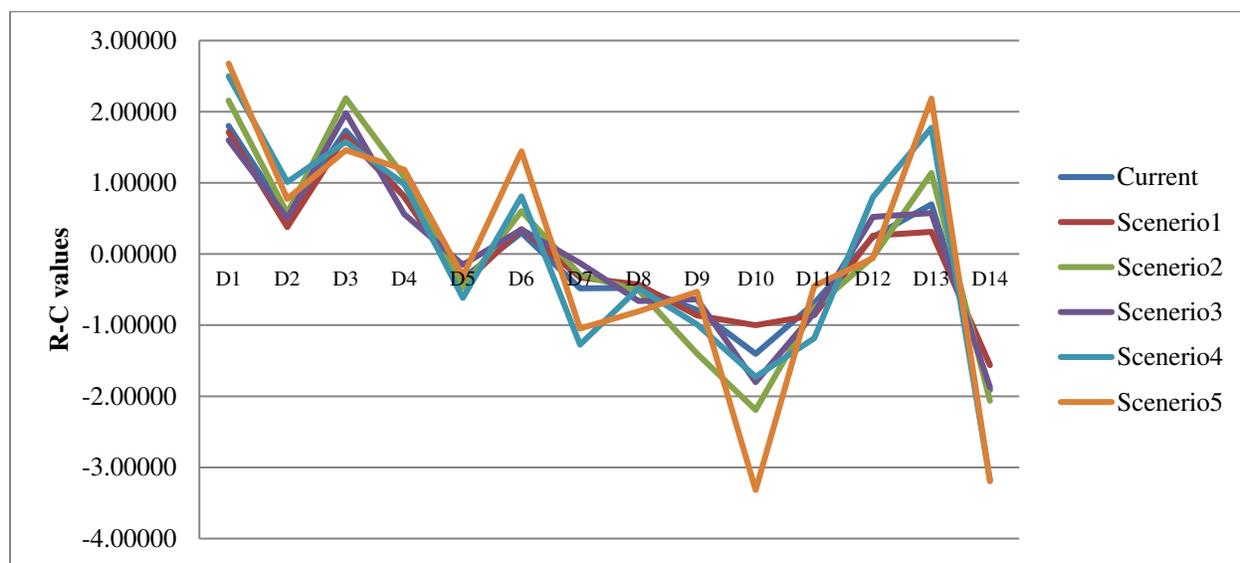
557 For each run, different total relational ([T]) matrix has been constructed by using direct relational
 558 matrix ([D]) based on the expert's weightage. In all of the five scenarios, C1, C3, C4, C13, C2
 559 and C6 are categorised into cause group. Similarly, C5, C8, C7, C11, C9, C10 and C14 are
 560 categorised into effect group. Nevertheless, C12 is categorised into effect group in scenario 2 and
 561 scenario 5. Similarly, C12 is categorised into caused group for other scenario. Thus, C12 is
 562 highly sensitive. The complete sensitivity results have shown in Table 7.

563 **Table 7:** (R-C) results given by different experts during sensitivity analysis

Factors	Current	Scenerio1	Scenerio2	Scenerio3	Scenerio4	Scenerio5
C1	1.80008	1.712181	2.156665	1.599417	2.497553	2.675488
C2	0.52815	0.378821	0.572488	0.502497	1.011352	0.774436
C3	1.73310	1.65859	2.188308	1.980074	1.577325	1.458863
C4	0.80199	0.805325	1.079415	0.558955	0.982139	1.184376
C5	-0.34306	-0.42728	-0.47154	-0.15221	-0.61596	-0.31443
C6	0.29860	0.349853	0.602748	0.345508	0.809607	1.442452
C7	-0.48284	-0.32971	-0.29271	-0.12641	-1.27413	-1.04767
C8	-0.47539	-0.42918	-0.51209	-0.66055	-0.4806	-0.80603
C9	-0.78625	-0.86447	-1.4014	-0.63638	-0.98969	-0.53108
C10	-1.40280	-1.00089	-2.19216	-1.80199	-1.7247	-3.31767
C11	-0.69373	-0.86032	-0.76005	-0.8316	-1.18357	-0.4487
C12	0.23693	0.258529	-0.04325	0.521446	0.79652	-0.06022
C13	0.69688	0.310889	1.137867	0.573901	1.772701	2.185324
C14	-1.91168	-1.56234	-2.06429	-1.87265	-3.17855	-3.19513

564

565 The R-C graphs have shown in Figure 10.



566

567 **Figure 10:** Sensitivity analysis of (R-C) values

568

569 **5. Discussion of Findings**

570 Globalised based fragmenting of AFSC sustainability, along with increased stakeholder's
571 demands for increasing transparency and food security, have increased the involvement of lower
572 tier or sub-supplier for ensuring sustainability (Miemczyk et al., 2012). Nevertheless, the majority
573 of severe sustainable issues in the AFSC are frequently regenerated by lower tier-suppliers
574 positioned in the second tier or further upstream (Grimm et al., 2011; Gunasekaran et al.,
575 2014). This paper identified the important enablers of IoT based sustainable multi-tier food
576 security system in AFSC and linking it to global sustainable outcomes. Finally, interdependent
577 enablers were analysed based on ISM and DEMATEL technique.

578 E-governance and policy (C1) is the most important cause group based on (R-C) value and
579 classified into independent variable based on driving and dependence power. In the developing
580 countries, sustainability's issues of AFSC are mainly ensured by governments' authorities. These
581 authorities controlled the various stages of AFSC for assuring sustainable progress of SCM by
582 avoiding procuring delays and food frauds resulting agri-food secure system especially when
583 dealing with global distribution of multi-tier system (Ganasegeran and Abdulrahman, 2020). Top
584 management support (C3) is the second important caused group and classified into independent
585 variable. It may provide proper training to lower supplier, mainly responsible for sustainable
586 issues about awareness on global environmental regulations and standards, pollutants reducing
587 by following ISO14000 series (Jayasinghe et al., 2019).

588 IoT based infrastructure (C4) is the third important cause group and clustered in independent
589 variable. IoT based infrastructure systems provide important data of government and
590 organisational data for decision taking by the FF about global demand fluctuation, regulating
591 quality standards, monitoring for adjusting logistics action before any accidents
592 (DaneshvarKakhki and Gargeya, 2019). Cold chain for perishable products (C13) is the fourth
593 important cause group and categorised under linkage variable. This finding may be validated as
594 India needs cold storage round of 61.13 million metric tons but it has capacity only 32 million
595 metric tons (Times of India, 2014). Thus it has shortage of 50% cold capacity. Cold storage is
596 required for controlling the quality of AFSC by reducing the degrading of agri food and less
597 contaminating of processes. Jose and Shanmugam, (2019) noticed that degrading of agri food
598 from post harvested stage to consumers hand mostly occur due to low cold storage system.

599 Education and Training (C2) is the fifth important cause group and categorised into independent
600 variables. This finding may be validated as it empowers the farmers and other workers of food
601 organisation about the new emerging technologies and other global sustainable practices
602 provided by third parties collaboration (Mangla et al., 2017). Information sharing (C6) is the
603 sixth important cause group and categorised into independent variable. Effective information
604 leads to proper communication among the multi-tier suppliers located globally in the SCM has
605 reduced responsive time to any disrupting event in SC (Ivanov and Dolgui, 2020; Dun and
606 Bradstreet, 2020). Smart packaging of agri products (C12) is the seventh important cause group
607 and act as linkage variable. Agri based perishable-products needs extra protection during
608 handling and palletising, this may be possible sensor based smart packing (Corkery and Gelles,
609 2020). It may further provide protection of packed agri products against food loose due to
610 thermal degradation during transportation in humid climate condition of different countries
611 (Shukla et al., 2014).

612 Sustainable based competitive ASC (C14) is the most important influenced group based on (R-
613 C) value and classified into dependent variable based on the driving and dependent power.
614 Sustainable based competitiveness for AFSC means considering sustainable practices at
615 operational, tactical and strategies level for yielding both socio- economic and environmental-
616 build competitiveness (Singh et al., 2019; Krishnan et al., 2020). Similarly, Route optimisation
617 (C10) is the second important influenced group and classified into dependent variable. Route
618 optimisation enhances the competence of appropriately meeting the order which included
619 avoiding the delays of orders, effectively managing lead times, reliable delivering. Further, route
620 optimisation may reduce GHG emissions which may lead to environmental based global
621 sustainable practices specifically in any epidemic outbreak (Farahani et al., 2020; Mishra et al.,
622 2018). E-farm marketing (C9) is at third important effect group and comes under dependent
623 variable category. This finding may be justified as the wastage in the AFSC mostly occurs due
624 the large numbers of intermediates stakeholders as the number of intermediates increased;
625 switching of agri food reserve will be increased from one stakeholder to another, results in
626 degrading losses of agri food (Schanes et al., 2018).

627 Simulating the consumption pattern (C11) is the fourth important effect group and classified into
628 dependent variable. It may help in improving the distribution channels for route optimisation at
629 global level for improving social and environmental sustainability. It may also regulate the prices

630 based on daily demands of agri food and logistics facilities. Coordination and collaboration (C7)
631 is the fifth important effect group and classified into linkage variable. Coordination enclosed the
632 channels of union and secure inter-relationship together with jointly decision taking and
633 collaborating for ensuring common sustainable goals by integrating local SCM and global SCM
634 (Agyemang et al., 2018). Quality control of agri products (C8) is sixth important effect group
635 and classified into linkage variable. It may segregate the agri-food products based on their
636 origination, procuring dates, processing's lots etc. (Shih and Wang, 2016). Setting of food
637 quality standards (C5) is the seventh important effect group and categorised into independent
638 variable. Even though, food regulatory bodies like Food Safety Standards Authority of India
639 (FSSAI) have provides standards, not every stakeholders of AFSC are fully aware about
640 standardised procedure of food processing and packaging (Joshi et al., 2009).
641 Finally, sensitivity analysis has allowed the experts to consider the robustness of their decisions.
642 Smart packaging of agri products (C12) is the most sensitive enabler. C12 is categorised into
643 effect group in scenario 2 and scenario 5. Similarly, C12 is categorised into caused group for
644 other scenario. Thus, C12 is highly sensitive.

645

646 ***5.1 Managerial and Practitioners Implications***

647 In lieu of current going outbreak (COVID 19), this work seeks for identification of variables for
648 modelling of an IoT based AFSC sustainability. This research attempts to establish the
649 interrelationships and cause and effect analysis of the identified, which may help managers in
650 reducing the wastage and improved the quality of agri food products throughout the AFSC for
651 ensuring multitier sustainable based competitiveness as well as to other agri-food organisations.
652 The finding suggests some managerial implications in effectively managing IoT based AFSC for
653 achieving sustainable based food security. First, this research may guide the managers of FF to
654 decide the managing strategies of the lower and upper tier suppliers e.g. either to adopt direct
655 evaluation (casual inspection or auditing) or collaboration (worker's training and implementing
656 sustainable practices).

657 It may help the managers to consider IoT for multi-tier system in effectively information's
658 sharing among food regulatory bodies and other multi-tier suppliers. IoT infrastructure may
659 guide the managers in the transportation of grains and perishable vegetables from excess
660 cultivation regions to deficient regions. Further, the use of IoT based online procurement (e-

661 farming) may facilitate the efficient distribution by reducing the mediators or small retailers
662 during the whole AFSC.

663 This study may guide the managers for effective training of employee in adapting technologies
664 involved in the cold chain, food handling for maintaining the quality of products. This research
665 will further guide the managers for improving the packaging standards of agri products to
666 enhance the qualities of agri-products and waste reduction during the transporting and
667 warehousing stages. Improved packaging may help the managers in their products branding for
668 better product management at the globalised level. The initiatives such as RFID and BDA
669 technology will help managers in prediction of crop yield and demand pattern. Forecasting of
670 crop yield may help the managers in policy making for storage, processing, packaging etc.

671 Similarly, forecasting of demand pattern may guide the managers for their logistics planning.
672 Thus, by investing in the IoT implementation for multi-tier system, managers have built up their
673 firm's effectiveness for serving the upstream practices (farming, food processing, and storage)
674 and downstream (retailers, consumers) in a better manner. Further, managers may get
675 collaborated with non-profits NGOs and other third parties for facilitating the achievement of
676 their global sustainable outcomes. It may guide the managers for the planning of feasible IoT
677 technology in managing food security and safety under current on-going epidemics (COVID 19)
678 in lieu with customer satisfaction and new horizons of profitability.

679

680 ***5.2 Unique Contribution***

681 The research develops an IoT based multi-tier sustainable food security model for integrating
682 sustainable and global AFSC. In any natural epidemics outbreaks (COVID 19), this research has
683 focused both on agri-food production and optimised distributing system by going beyond tier 1
684 supplier. Thus, this research may help in reduction of GHG emission from lower tier/upstream
685 and food wastage for attaining environmental and socio-economic based global sustainability
686 within AFSC.

687 This research may be act as very initial step for providing sufficient food grains/products to
688 growing populations with improved technology based farming practices and reduced farmlands.
689 Further, this paper proposed quantitative view for developing the hierarchical inter-relationships
690 between enablers of IoT based agri-food security system based on ISM-DEMATEL approach.

691

692 **6. Conclusion**

693 The world has been paused in the central of globalised disruptive risk (COVID 19). Currently,
694 AFSC has facing the global risk of food security and sustainability due to high flexibility in
695 consumer demand and breaking off SC links. To tackle the above issues, this research proposed a
696 systematically arranged structure with cause and effect strength for the variables involved in IoT-
697 driven AFSC sustainability. Thus, the objective of this paper is ensuring globalised sustainability
698 based on the collaboration of multi-tier system by using different configurations of multi-tier
699 system and governing mechanisms based on several IoT technologies. In this sense, this paper
700 has identified fourteen enablers through literature. These enablers were evaluated by using ISM
701 and Fuzzy- DEMATEL.

702 Finally, sensitivity analysis has been done for checking the robustness of the result or experts
703 accuracy. Based on sensitivity analysis, it has been noticed that in all five scenario, C13 enablers
704 have got first rank and C14 has ranked fourteen. Further, enablers C11 and C10 are the most
705 sensitive enablers. The research framework presented in this paper has validated by taking a case
706 company (XYZ) of perishable agri products.

707 Though, the present has given some important results, the present paper still has some
708 limitations. In the present paper, the combined ISM-DEMATEL approach has taken only
709 fourteen enablers for IoT driven food security system or AFSC sustainability. The developed
710 model in this paper is highly dependent on the experts' opinions. Further, the proposed
711 framework in this research is not a generalised view for dairy items, meat and pulses, which are
712 in demands at global level. Further, in future more enablers may be taken by using some
713 validation model like structural equation modelling. In future, this research model may extend to
714 some other SC of dairy items by taking deeper tier or suppliers.

715

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Appendices

Appendix-A

Sample Questionnaire

Dear Respondents

Greetings...

This research has been done for identification and analysis of factors of an IoT based AFSC sustainability in any disruption of SC caused by natural epidemics (COVID 19). For developing an IoT based sustainable food security system. This sample is also a part of the present research work. Your response to questions will not be share to any organisation. Your response may guide to analyse the identified factors provided by literature. We would be grateful and highly obliged if you response to some of the questions in section I, section II and filling up the response sheet in Section III. All the personal information will not be shared to anyone.

Sincerely

Authors

Section I

General Information about experts

Please click only one option

1. What is your basic qualification level?

- Graduate
- Post Graduate
- Doctorate
- Any other, please specify

2. What is your work experience?

- Less than 10 Years
- 10–15 Years
- 15–20 Years
- Greater than 20 Years

1029

1030 **3. What is the area of your expertise related to IoT based food security system?**

- 1031 Logistics department
- 1032 Food and worker safety
- 1033 Government Regulatory bodies
- 1034 Environmental and disaster management
- 1035 Agri food procurement strategies
- 1036 Any other, please specify.....

1037

1038 **4. What is the designation of the selected decision makers in particular organisation?**

- 1039 Manager
- 1040 Supervisor
- 1041 Chief Executive Officer
- 1042 R&D team member
- 1043 Any other, please specify.....

1044

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Section II

1047

General Information about the firm

1048 **Please click only one option**

1049 **1. What is the area of the cultivated farmlands attached to your firm?**

- 1050 Under 5 acres
- 1051 5 to 10 acres
- 1052 10 to 15 acres
- 1053 Over 15 acres

1054

1055 **2. What is your firm sales volume?**

- 1056 Under 30 million
- 1057 30 to 40 million
- 1058 40 to 50 million
- 1059 Over 50 million

14	Sustainable based competitive ASC (C14)																			
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1091

1092 Name of Expert

1093 Authority

1094 Department

1095 Email.....

1096 Date and place.....

1097

1098 *Thank you very much for giving valuable response*

1099 If any other suggestion, specify in box

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Appendix-B

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1122 **Table B1: Initial SSIM for enablers based on experts**

	C1	C2	C3	C4	C5	C6	C7	C8	C9	C10	C11	C12	C13	C14
C1	-	O	V	V	V	V	V	V	V	V	V	O	V	V
C2		-	O	V	V	X	V	V	V	V	V	V	V	V
C3			-	V	V	V	V	V	O	O	O	O	O	V
C4				-	O	X	V	O	V	O	O	V	V	V
C5					-	V	V	O	V	V	O	O	O	V
C6						-	O	O	V	V	V	V	O	V
C7							-	A	V	V	V	V	X	V
C8								-	O	V	V	V	A	O
C9									-	V	X	A	A	V
C10										-	O	O	O	V
C11											-	O	O	O
C12												-	V	O
C13													-	O
C14														-

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1124 **Table B2: Normalised direct relational matrix based on aggregate rating of experts**

	C1	C2	C3	C4	C5	C6	C7	C8	C9	C10	C11	C12	C13	C14
C1	0.0137	0.0798	0.0674	0.0464	0.0755	0.0591	0.0920	0.0796	0.0758	0.0798	0.0836	0.0591	0.0547	0.0879
C2	0.0507	0.0137	0.0836	0.0631	0.0960	0.0630	0.0672	0.0549	0.0342	0.0960	0.0839	0.0960	0.0507	0.0879
C3	0.0672	0.0960	0.0137	0.0588	0.0920	0.0562	0.0836	0.0796	0.0712	0.0631	0.0836	0.0879	0.0562	0.0920
C4	0.0340	0.0712	0.0547	0.0137	0.0713	0.0758	0.0879	0.0672	0.0712	0.0755	0.0302	0.0549	0.0758	0.0960
C5	0.0507	0.0960	0.0588	0.0588	0.0137	0.0674	0.0712	0.0591	0.0960	0.0836	0.0712	0.0137	0.0591	0.0960
C6	0.0549	0.0712	0.0506	0.0549	0.0591	0.0137	0.0630	0.0591	0.0836	0.0672	0.0549	0.0758	0.0137	0.0796
C7	0.0591	0.0588	0.0588	0.0631	0.0630	0.0423	0.0137	0.0758	0.0588	0.0712	0.0672	0.0672	0.0423	0.0137
C8	0.0178	0.0592	0.0716	0.0547	0.0674	0.0507	0.0672	0.0137	0.0758	0.0758	0.0712	0.0507	0.0340	0.0839
C9	0.0589	0.0220	0.0507	0.0547	0.0920	0.0588	0.0712	0.0631	0.0137	0.0836	0.0879	0.0960	0.0465	0.0839
C10	0.0589	0.0589	0.0259	0.0796	0.0960	0.0549	0.0575	0.0796	0.0836	0.0137	0.0588	0.0839	0.0438	0.0960
C11	0.0547	0.0547	0.0547	0.0342	0.0549	0.0716	0.0507	0.0713	0.0836	0.0879	0.0137	0.0549	0.0758	0.0960
C12	0.0591	0.0879	0.0796	0.0672	0.0260	0.0634	0.0423	0.0673	0.0960	0.0960	0.0836	0.0137	0.0507	0.0960
C13	0.0547	0.0423	0.0588	0.0465	0.0549	0.0218	0.0340	0.0423	0.0712	0.0878	0.0713	0.0758	0.0137	0.0713
C14	0.0674	0.0672	0.0464	0.0588	0.0712	0.0589	0.0340	0.0465	0.0712	0.0960	0.0836	0.0713	0.0423	0.0137

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Declarations

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1129 **Ethics approval and consent to participate:** All authors follow the ethics in the research and provide
1130 consent to participate in the research.

1131 **Consent for publication:** All authors provide consent for publication.

1132 **Availability of data and material:** All the data has been provided in manuscript

1133 **Competing interests:** The authors declare that they have no known competing financial interests or
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1137 *curation, Methodology, Formal analysis*

1138 *Sunil Luthra: Ideas, Writing- Original draft preparation, Conceptualization, Formal analysis, Project administration, Critical review,*
1139 *Commentary and revision*

1140 *Dixit Garg: Data collection and curation, Formal Analysis, Supervision, Reviewing and Editing*

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Figures

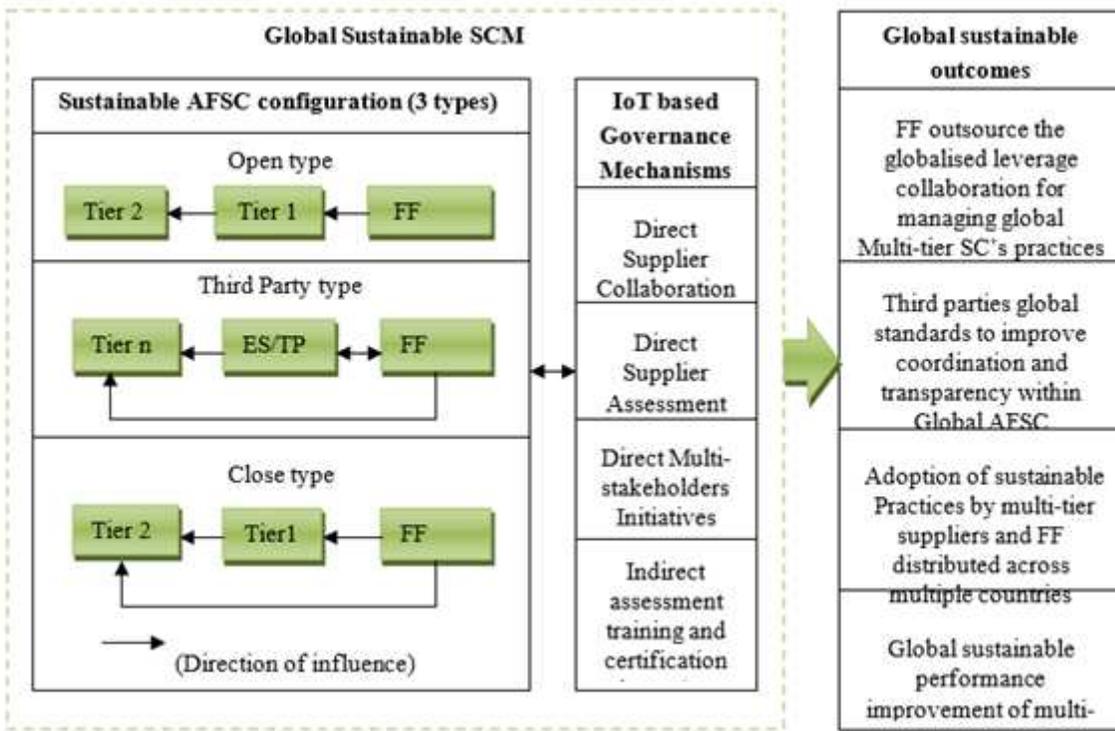


Figure 1

Research framework of Sustainable AFSC and Global AFSC

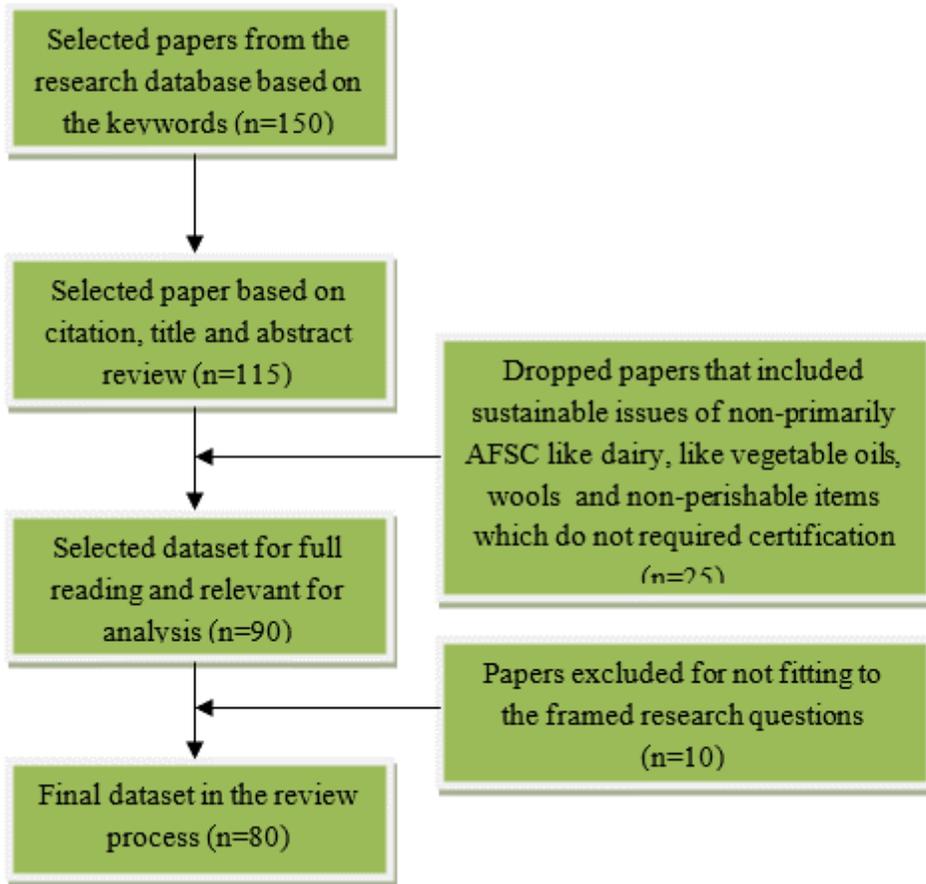


Figure 2

Article searching, selection and exclusion process

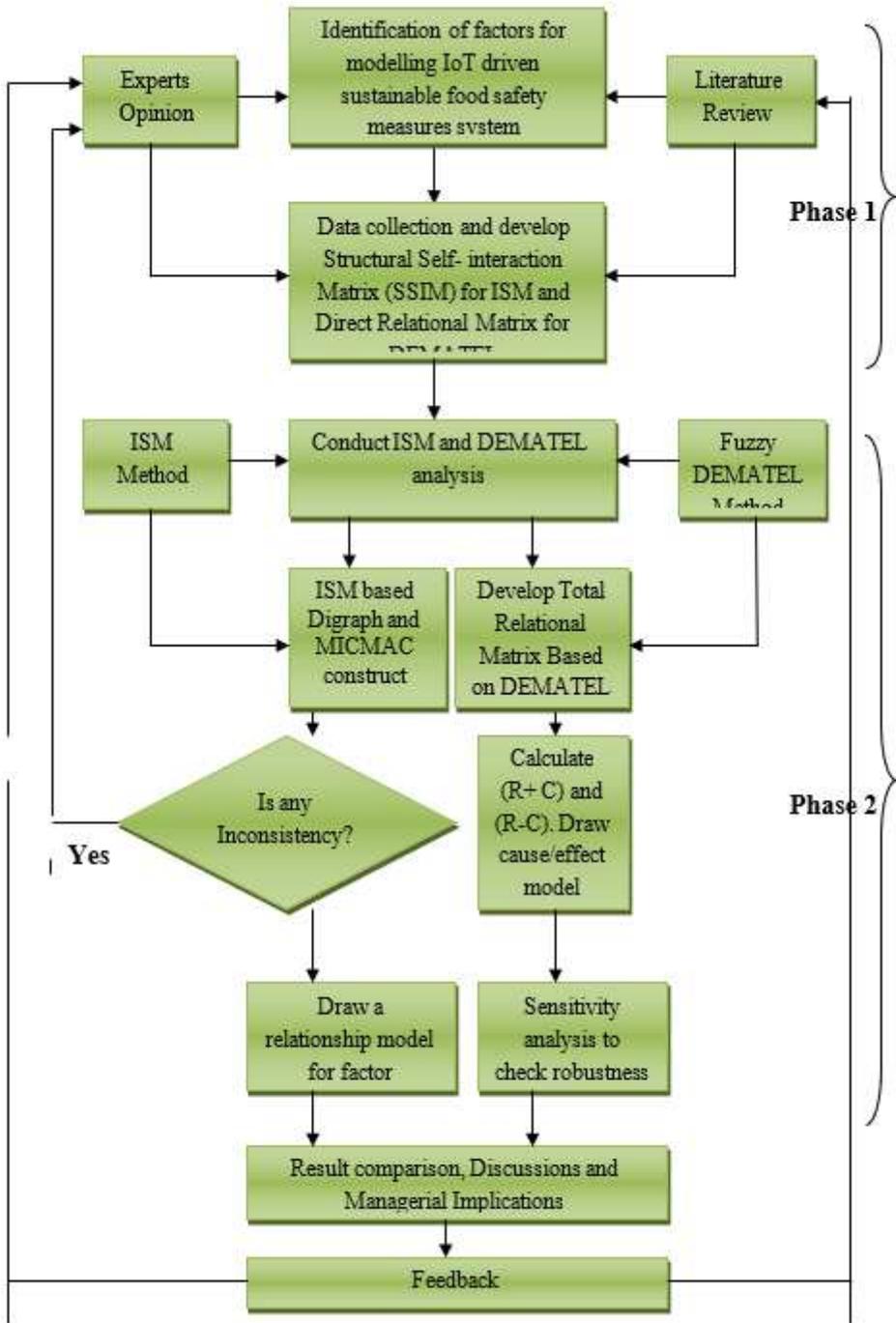


Figure 3

Framework of the proposed research

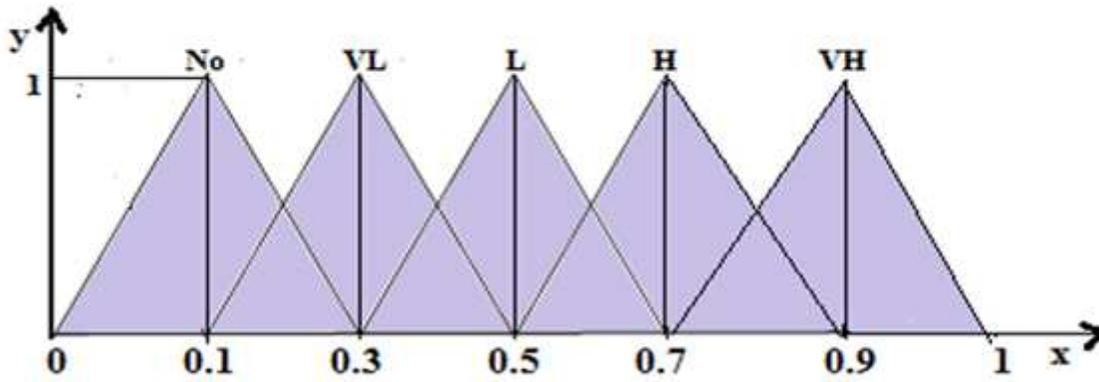


Figure 4

Triangular fuzzy numbers

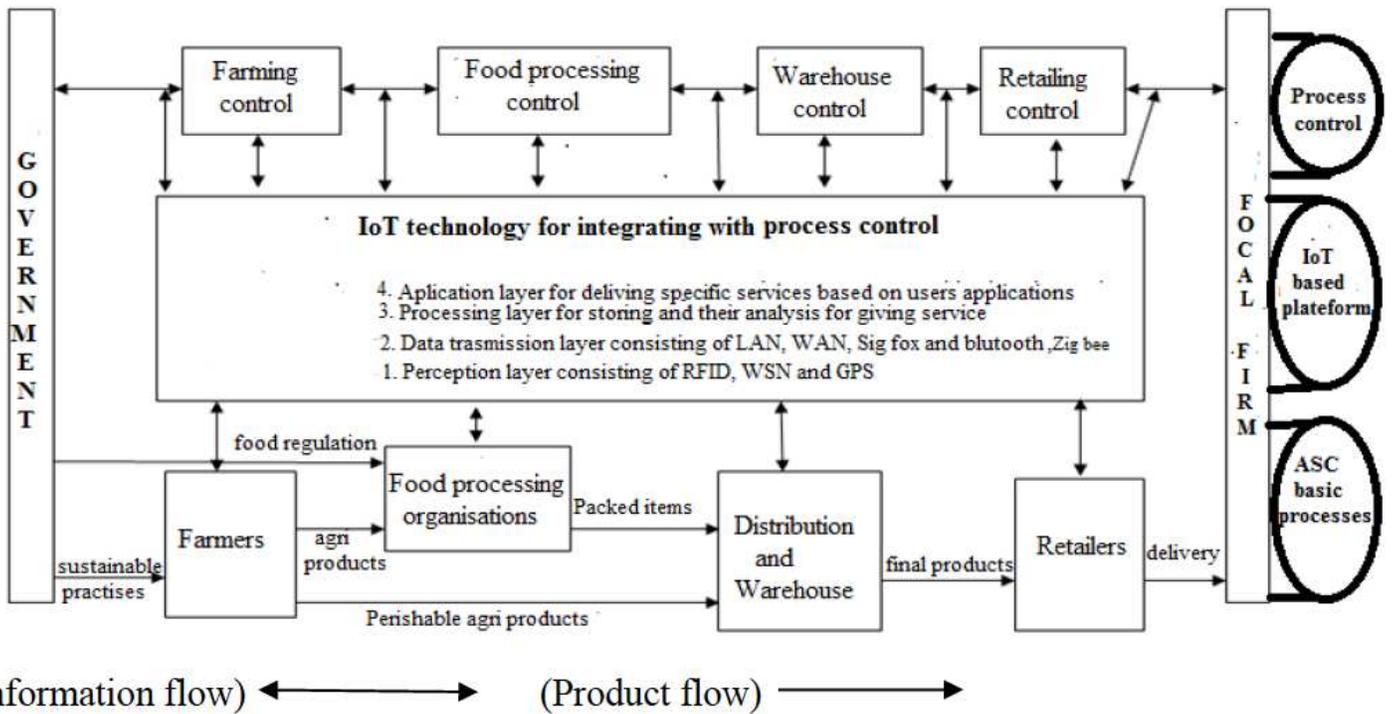


Figure 5

Complete framework of the proposed case study for XYZ firm

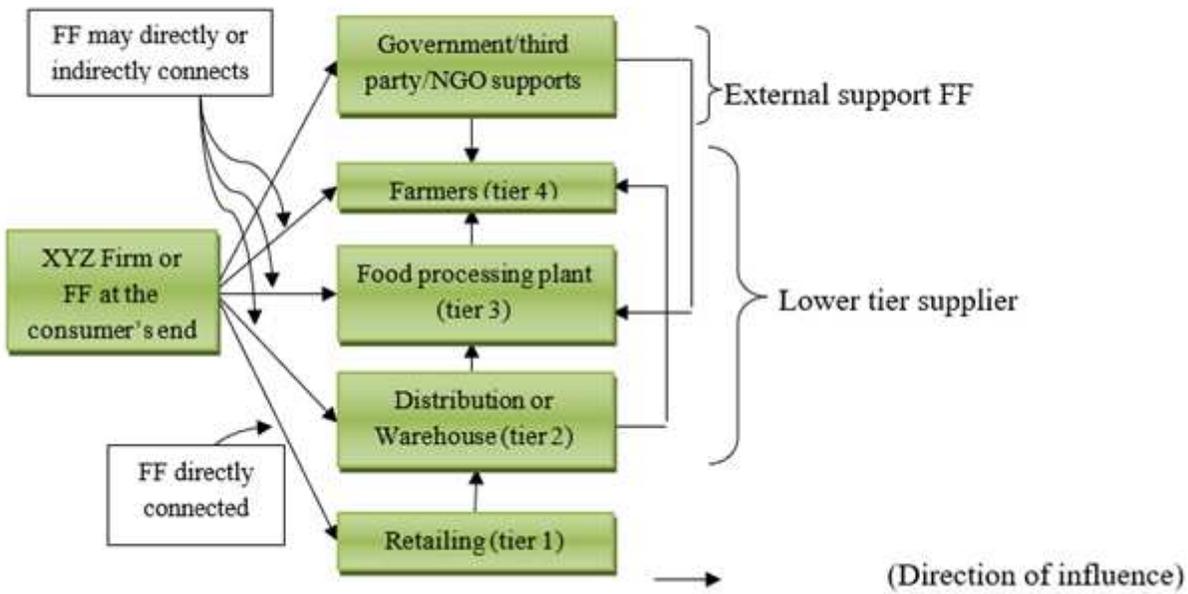


Figure 6

Multi-tier view of AFSC

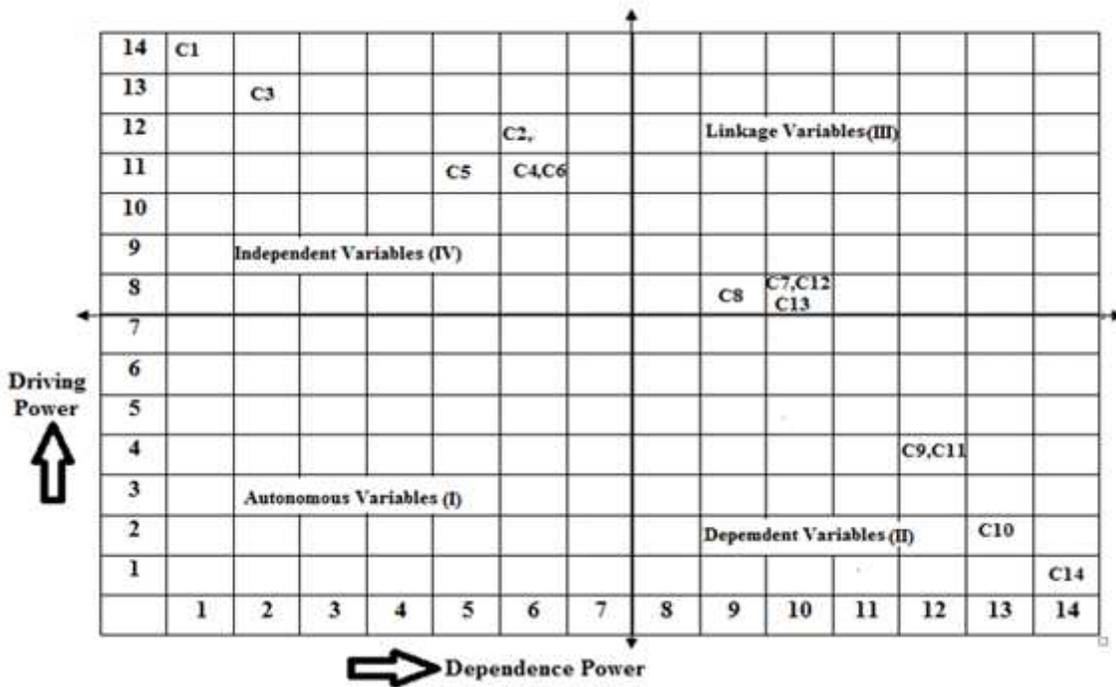


Figure 7

ISM based MICMAC analysis

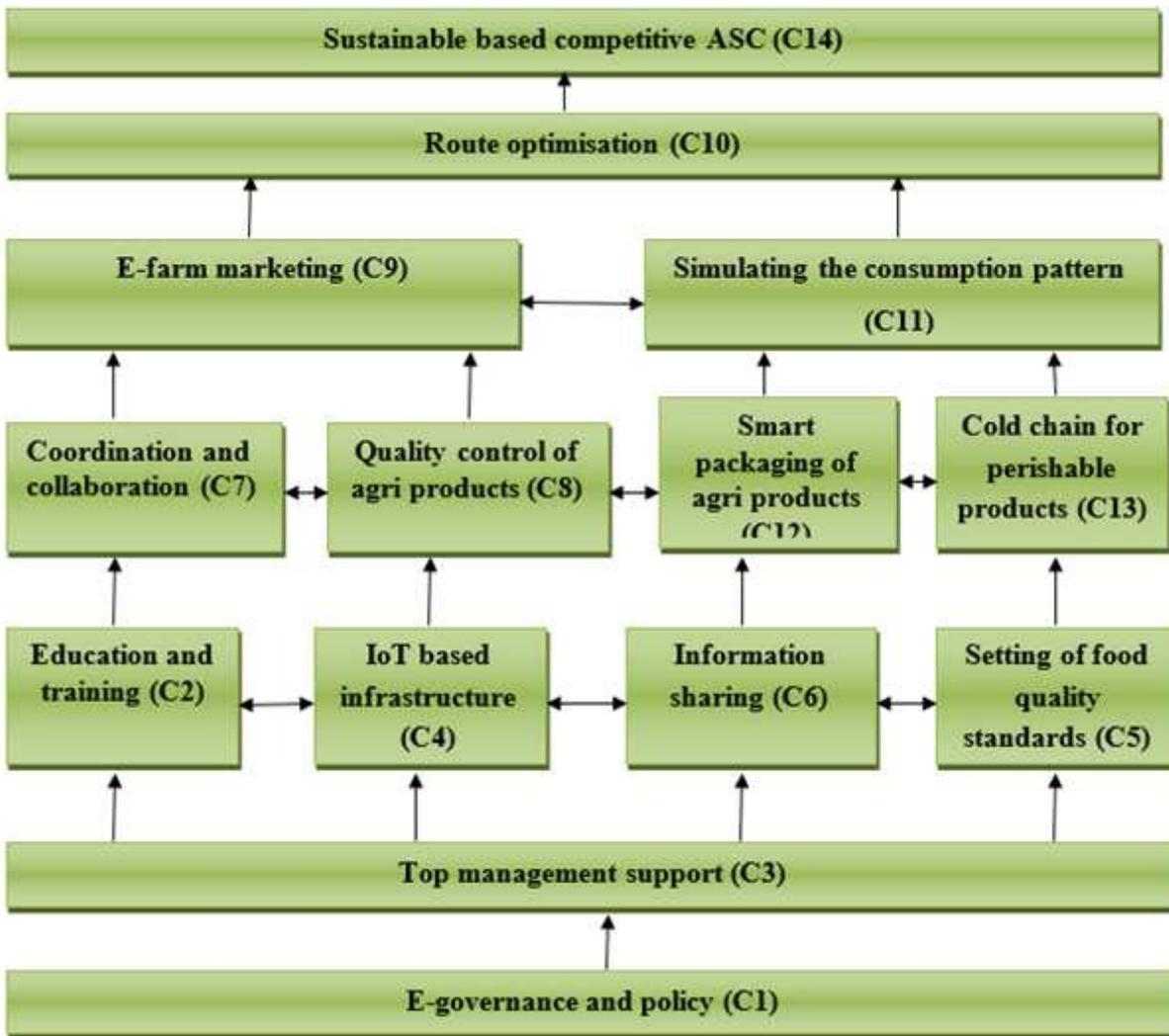


Figure 8

ISM based hierarchical structure for showing dependencies

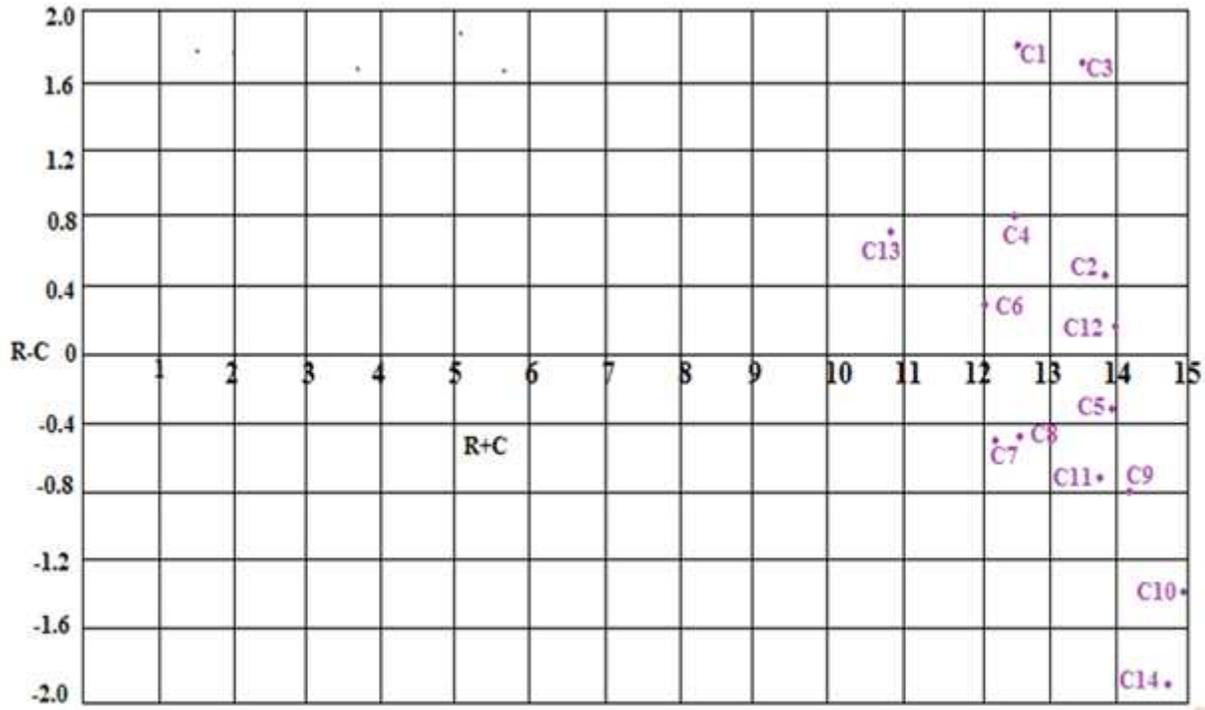


Figure 9

The cause and effect diagram of enablers

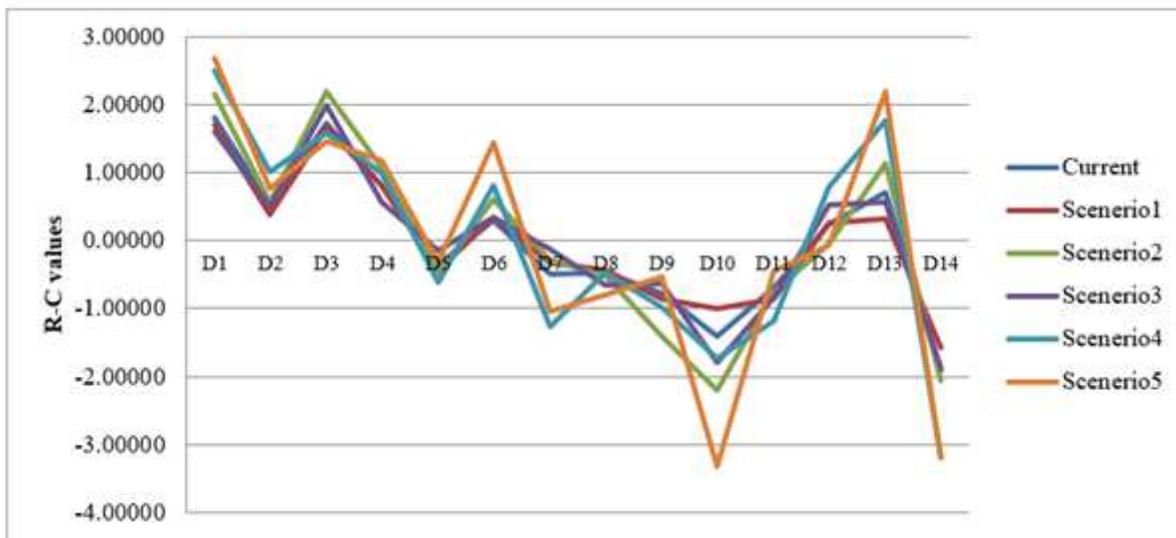


Figure 10

Sensitivity analysis of (R - C) values

Supplementary Files

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- [Appendices.docx](#)