

How to Optimally Estimate Malaria Readiness Indicators at the Health district Level? Findings from the Burkina Faso Service Availability and Readiness Assessment (SARA) Cross-Sectional Data

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1 **How to Optimally Estimate Malaria Readiness Indicators at the Health district Level? Findings**
2 **from the Burkina Faso Service Availability and Readiness Assessment (SARA) Cross-Sectional**
3 **Data**

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23 **Abstract:**

24 **Background:** One of the major causes of malaria-related deaths in Sub-Saharan African countries is the
25 limited accessibility to quality care. In these countries, malaria control activities are implemented at the
26 health district level. However, malaria indicators are often regionally representative. This paper provides

27 an approach for estimating health district-level malaria readiness indicators from survey data designed
28 to provide regionally representative estimates.

29 **Methods:** A binomial hierarchical Bayesian spatial prediction method was applied to Service
30 Availability and Readiness Assessment (SARA) survey data to provide estimates of essential equipment
31 availability and readiness to provide malaria care at the health district level. Predicted values of each
32 indicator were adjusted by the type of health facility, location, and population density. Then, a health
33 district composite readiness profile was built via hierarchical ascendant classification.

34 **Results:** All surveyed health-facilities were mandated to manage malaria. The spatial distribution of
35 essential equipment and malaria readiness was heterogeneous. Around 62.9% of health districts had a
36 high level of readiness to provide malaria care and prevention during pregnancy. Low-performance
37 scores for managing malaria were found in big cities located in the central and Haut-Bassins regions.
38 The health districts with low coverage for both first-line antimalarial drugs and rapid diagnostic tests
39 were Baskuy, Bogodogo, Boulmiougou, Nongr-Massoum, Sig-Nonghin, Dafra, and Do.

40 **Conclusion:** We provide health district estimates and reveal gaps in basic equipment and malaria
41 management resources in some districts that need to be filled. By providing local-scale estimates, this
42 approach could be replicated for other types of indicators to inform decision-makers and health program
43 managers and to identify priority areas.

44 **Keywords:** SARA survey; Binomial hierarchical Bayesian spatial; malaria readiness, health district
45 level, Burkina Faso

46 1. Background

47 Since the early 2000s across Sub-Saharan African (SSA) countries, the burden of malaria has
48 considerably declined. This is partly explained by a major increase in the mobilization of funding and
49 scaling up of malaria control interventions [1–3]. Although malaria-related deaths have significantly
50 decreased worldwide, the 2017 World Health Organization (WHO) report stated that all WHO regions
51 reported either only slight progress or an increase in the malaria incidence rate ³.

52 Unfortunately, seven of the SSA countries, including Burkina Faso, account for more than half of
53 all malaria cases and deaths worldwide [3]. Despite reasonable or even good coverage for most

54 interventions, the annual malaria incidence remains stubbornly high, having devastating effects on the
55 health of young children and pregnant women [4]. Reliable health services, including both health
56 services availability and geographical, sociocultural, and financial accessibility, are essential for
57 effectively improving health outcomes, especially malaria incidence cases and case fatality [5–7]. The
58 WHO and its partners proposed a general framework to help SSA countries to monitor and assess their
59 health system performance called the Service Availability and Readiness Assessment (SARA) [8]. The
60 SARA survey generates a set of tracer indicators of service availability and readiness that can be used
61 alongside other indicators to support national and local administrators with planning and managing
62 health systems, including adequate allocation of health services, human resources, and availability of
63 medicines and supplies [8]. SARA survey data provide crucial information to ensure that health facilities
64 are resourced and equipped to deliver essential care to the population. However, most health programs
65 to prevent or reduce diseases are implemented at the health district level (operational unit), whereas the
66 SARA survey provides service-specific readiness estimates with exclusive focus on national and
67 regional rates.

68 In Burkina Faso, like other SSA countries, the new Global Technical Strategy for Malaria 2016–
69 2030 approach involves transforming malaria surveillance through the District Health Information
70 System (DHIS) into a core intervention [9]. The WHO further recommends malaria surveillance through
71 the DHIS to be integrated into national and local (health district) malaria control strategies. Therefore,
72 understanding the availability and readiness of health systems at the local scale (health district,
73 community, or village) is necessary to assess whether health programs are progressing as planned or
74 whether adjustments are needed at the operational unit of the health system [10]. Several field studies
75 suggested that obtaining information about the performance of health programs as well as the disease
76 burden at the local level might provide the information required to implement a highly efficient
77 integrated approach to control disease transmission [11–15]. However, data on the availability and
78 readiness of health systems and malaria control programs are regionally representative [16]. Given the
79 indicator variability within a region (between health districts), this information provides a limited
80 overview at the district level. Several potential pitfalls exist when using nationally or regionally

81 representative data to estimate indicators at the health district level [17, 18]. One general problem is the
82 representativeness of the study population due to the small sample size. The other challenge is related
83 to the pseudoreplication of information (autocorrelation) as well as microvariation within the same
84 region.

85 Since conducting national censuses (or complete spatial coverage) is not always easy and obtaining
86 a large sample size is not always feasible, finding innovative methods that can provide unbiased
87 estimates at the health district level is crucial. A current development in advanced statistical
88 methodology, hierarchical spatial modeling [19–24] implemented in a Bayesian framework [25–29],
89 provides opportunities to overcome these limits by providing reliable representative estimates at the
90 local scale for improved data and decision-making. A hierarchical Bayesian spatial modeling approach
91 can be used to handle missing data, such as health districts not covered by the survey, using unknown
92 parameters during estimation. So, the random variable values describing a site can be predicted by the
93 neighboring sites' data.

94 To address the growing need for and interest in subnational statistics, the purpose of this study was
95 to estimate malaria readiness indicators at the health district level from survey data designed to be
96 regionally representative.

97 **2. Methods**

98 *2.1. Study Setting*

99 A survey was conducted in Burkina Faso, which is a landlocked country with a surface area of
100 274,200 km² and a population of 20.2 million in 2018, of which 77.3% reside in rural areas [30]. The
101 country is subdivided into 13 regions, 45 provinces, 351 communities, and 9000 villages. Burkina Faso's
102 climate is tropical and Sudanese in nature, with alternating rainy (from July to October) and dry seasons.
103 The country's epidemiological profile is marked by a high morbidity of endemic-epidemic diseases and
104 a progressive increase in non-communicable diseases. The main diseases of public health importance
105 include malaria, acute respiratory infections, malnutrition, HIV/AIDS, tuberculosis, and sexually
106 transmitted infections [31]. Burkina's health system has three levels: central, intermediate, and

107 peripheral. The peripheral level is composed of 70 health districts, which form the operational entity of
108 the national health system. Health care is provided by public and private institutions. The main obstacle
109 to accessing health care is affordability; in 2016, to address this issue, the Burkinabe government
110 initiated a subsidy program that provides free health services to children under five and pregnant women.
111 Since 2012, the Ministry of Health has administered the SARA survey every two years to assess and
112 monitor the availability and readiness of health facilities to provide quality health services.

113 *2.2. Study Design, Sample, and Sampling Procedure*

114 The study was designed as a health-facility based cross-sectional survey conducted in October and
115 November 2014. This survey included both public and private health facilities across the three levels of
116 health system organization (central, intermediate, and peripheral) and different locations (rural or
117 urban). The sampling procedure used in this survey was reported previously [16]. Briefly, we used
118 stratified sampling with simple random sampling applied within each stratum, so that the indicators were
119 representative at the regional level. The analysis included data collected at the health district level. A
120 total of 753 health-facilities located in 70 health districts in the 13 regions of the country were included
121 in the survey, representing 37.3% of all health-facilities in Burkina Faso. All these health facilities
122 provided malaria diagnosis and treatment services [16].

123 *2.3. Data Collection and Processing*

124 In this analysis, we assessed (1) the general operational capacity of services, with a focus on the
125 availability of essential equipment, and (2) the readiness to provide malaria case management. The data
126 were collected using two methods: face-to-face interviews with the heads of health facilities or any other
127 relevant health personnel, and direct observation to verify the availability, functionality, and use of the
128 key items.

129 The assessment of the availability of essential equipment included: a weighing scale for children
130 and adults, medical thermometer, stethoscope, tensiometer, examination latex gloves, and a light source.
131 To assess the service availability for malaria management, the following items were included: malaria
132 diagnosis by clinical symptoms, malaria diagnosis by rapid diagnostic test (RDT), malaria diagnosis by
133 microscopy, malaria treatment, intermittent preventive treatment during pregnancy (IPTp), national

134 guidelines for malaria treatment and IPTp, first-line antimalarials in stock, and RDT availability. In the
135 analysis, the variable "health facility provides malaria diagnosis and treatment services" was not
136 included as all (100%) health facilities surveyed provided malaria diagnosis and treatment services.

137 In brief, the availability and readiness indicators were binary variables, taking a value of 1 if the
138 key item was available and in a functional state at the health-facility, and 0 otherwise [8, 16]. Data were
139 recorded on a paper questionnaire. After verification and validation, data were entered electronically in
140 a database designed as a Census and Survey Processing System (CSPRO).

141 2.4. *Current Statistical Analyses Applied to SARA Survey Data in Burkina Faso*

142 According to WHO recommendations, both tracer indicators and general and specific indices were
143 used in routine data analysis[8, 16, 32]. A descriptive analysis was applied to the data to provide
144 regionally and nationally representative estimates; this allowed the percentage of facilities providing
145 specific services with tracer items or owning the equipment on the day of the assessment to be estimated.
146 Beyond these descriptive statistics, health facility readiness indicators are also increasingly being used
147 in SSA countries to assess the health system strengthening through the construction of a composite score
148 [33–35]. In some studies, either principal component analysis (PCA) [36–39] or multiple
149 correspondences analysis (MCA) [40, 41] was directly applied to readiness indicators, which are usually
150 defined as binary variables[8, 16, 40].

151 2.5. *Our Analytical Approach*

152 2.5.1. Hierarchical Bayesian Spatial Modeling (HBSM)

153 In this study, four steps for modeling the SARA survey data were used to create a HBSM
154 framework model for the data.

155 2.5.1.1. Step 1: Model for the Data

156 As mentioned above, the availability or readiness variables were binary (denoted Y), each with
157 its own Boolean-valued outcome, i.e., success (Y is equal to 1 with probability p) or failure (Y is equal
158 to 0 with probability $q = 1 - p$). The Burkina Faso area comprises a set of $K = 1, \dots, 70$ non-overlapping
159 health districts $S = \{S_1, \dots, S_K\}$, and availability or readiness indicators are recorded for each health

160 district. Let n_k be the number of health facilities in the health district K (\mathcal{S}_k). In each \mathcal{S}_k , the variable Y
 161 is tested (or measured) n_k times, and the number of successful trials among n_k tests is counted. The
 162 probability of observing exactly y_k successful trials for the variable Y among n_k trials in the health
 163 district K , is:

$$164 \quad p(y_k/n_k, p_k) = \binom{n_k}{y_k} p_k^{y_k} (1 - p_k)^{n_k - y_k} \quad (1)$$

165 2.5.1.2. Step 2: Non-Spatial Grouped Binomial Regression (Proportional Counts) and Test for Spatial
 166 Autocorrelation

167 Since the analysis assumes that the variable Y in health district K follows a binomial distribution,
 168 the following model can be fitted to the data:

$$169 \quad p(y_k/n_k, p_k) \sim Binom(n_k, p_k) \quad (2)$$

$$170 \quad logit(p_k) = \log\left(\frac{p_k}{1-p_k}\right) = \mathbf{X}_k^T \boldsymbol{\beta} \quad (3)$$

171 where p_k is the probability (proportion) of success in health district k (\mathcal{S}_k) after considering the observed
 172 effects for covariates \mathbf{X}_k and $\boldsymbol{\beta}$ is the regression coefficients of the covariates. $logit(p_k)$ is used in this
 173 general linear model to fit the probability of success p_k as a linear combination of observed
 174 characteristics \mathbf{X}_k .

175 In this study, a grouped binomial model was fitted for the number of successes y_k among n_k
 176 trials with probability p_k after considering covariate effects, including the type (number of private health
 177 facilities), location (number of rural health facilities), and population density. Then, spatial
 178 autocorrelation was quantified in the residuals of the model with Moran's I statistic and a permutation
 179 test.

180 2.5.1.3. Step 3: Model for Spatial Random Effects and Prior Distribution of the Model Parameters

181 In this step, we fit the spatial dependence in the data by including spatial random effects in the
 182 model. In this model, health district random effects are included and region identities are included as
 183 random factors to account for inter-regional variance not captured by the fixed effects and health district
 184 specific random effects:

$$185 \quad logit(p_k) = \log\left(\frac{p_k}{1-p_k}\right) = \mathbf{X}_k^T \boldsymbol{\beta} + \boldsymbol{\psi}_k + \boldsymbol{z}_R \quad (4)$$

186 where p_k represents probabilities that are assumed to have $\boldsymbol{\beta}$ prior distributions, $p_k \sim \mathbf{Beta}(\alpha_1 =$
187 $1, \alpha_2 = 1)$; $\boldsymbol{\beta} = (\beta_0, \beta_1, \beta_2, \beta_3) \sim \mathbf{Normal}(0, \tau_\beta)$ is the unstructured fixed effects; $\boldsymbol{\psi}_k = (\psi_1, \dots, \psi_{70})$
188 represents the health district spatial random effects (i.e., residual area variation arising from unmeasured
189 or unknown factors); and $\boldsymbol{z}_R = (z_1, \dots, z_{13}) \sim \mathbf{Normal}(0, \tau_z^2)$ represents regional area random
190 effects. τ_z^2 is the variances of the marginal regional area random effect. $\boldsymbol{\psi}_k$ is decomposed as the sum
191 of a structured spatial random effect (\boldsymbol{u}_k) and an unstructured random effect (\boldsymbol{v}_k)[42]. A neighborhood
192 structure to control for the spatial effect between health districts was included[43]. This neighborhood
193 structure is a binary adjacency weight (\mathcal{W}) based on border sharing. Two health districts, \mathcal{S}_k and \mathcal{S}_j , are
194 neighbors if they share a common boundary, whereby w_{kj} is assigned 1 when \mathcal{S}_k and $\mathcal{S}_{j \neq k}$ share a
195 common border. If no common border exists, 0 is assigned. In this study, Besag–York–Mollié (BYM)
196 spatial structure, which assumes the presence of two underlying spatial patterns, was used to model the
197 spatial autocorrelation [42]:

$$\boldsymbol{\psi}_k = \boldsymbol{u}_k + \boldsymbol{v}_k \quad (5)$$

199 A Conditional Autoregressive (CAR) distribution prior Gaussian distribution prior was used to fit \boldsymbol{u}_k
200 and \boldsymbol{v}_k respectively.

$$\boldsymbol{u}_k | \boldsymbol{u}_{j \neq k}, \boldsymbol{W} \sim \mathbf{N} \left(\frac{\sum_{i=1}^k w_{kj} \boldsymbol{u}_j}{\sum_{i=1}^k w_{kj}}, \frac{\tau_u^2}{\sum_{i=1}^k w_{kj}} \right) \quad (6)$$

$$\boldsymbol{v}_k \sim \mathbf{Normal}(0, \tau_v^2) \quad (7)$$

203 where $\sum_{i=1}^k w_{kj}$ denotes the number of neighbors for health district \mathcal{S}_k , and τ_u^2 and τ_v^2 are the variances
204 of the marginal structured and unstructured components, respectively.

205 2.5.1.4. Step 4: Hyperparameter Specification for Prior Distribution of the Model

206 This step consists of fixing the prior distributions for each of the unknown hyperparameters of
207 the model ($\boldsymbol{\tau}_\beta$, $\boldsymbol{\tau}_u$, $\boldsymbol{\tau}_v$, and $\boldsymbol{\tau}_z$). Since no prior knowledge is available regarding the model
208 hyperparameters, they may be assumed to be independent and have vague or minimally informative
209 hyperpriors. This assumption allows the data to play the main role in determining posterior distributions.
210 All sets of random effects are zero-mean centered. Noninformative prior distribution $\boldsymbol{\beta} \sim \mathbf{Normal}(0, \tau_\beta)$
211 is used for the coefficients, with $\tau_\beta = 100$. However, the specification uses minimally informative

212 priors on the log of the structured effect precision, $\log(\tau_u) \sim \text{logGamma}(1, 0.0005)$, and the
213 unstructured effect precision is $\log(\tau_u) \sim \text{logGamma}(1, 0.0005)$. Noninformative prior distribution
214 $\tau_z \sim \text{Uniform}(0, 100)$ is used for regional spatial random effect precision.

215 2.5.2. Bayesian Implementation

216 In this study, to obtain the posterior marginal distribution of model parameters, a Bayesian
217 hierarchical model was used. The Bayesian computing was performed using the integrated nested
218 Laplace approximation (INLA), which is a validated, reliable, and effective alternative to the Markov
219 chain Monte Carlo (MCMC) method[44–46]. The predictive performance of the models was assessed
220 using cross-validation with the conditional predictive ordinate (CPO) approach[47]. The posterior
221 distributions of fitted values were obtained through INLA. The mean and the 2.5% and 97.5% quantiles
222 of these fitted values were used as the estimate, with a 95% credible interval (95% CrI). The predicted
223 posterior means of fitted values were categorized into quartiles and then mapped.

224 2.5.3. Composite Readiness Profile Building Through Hierarchical Ascendant Classification

225 We performed a hierarchical ascending classification (HAC) on the predicted values of availability
226 and readiness to assess the resemblances and differences between health districts from a
227 multidimensional point of view. For the HAC, Euclidean distance and Ward's criterion were used.
228 Ward's criterion is based on the Huygens–Steiner theorem, which allows decomposition of the total
229 inertia between and within group variance. A group or cluster is an aggregation of several similar health
230 districts. In the initial step of the algorithm, all clusters are singletons (clusters containing a single point).
231 Ward's approach consists of aggregating two groups so that the growth within-inertia is minimal in each
232 step of the algorithm. This method minimizes the total within-cluster variance and maximizes the total
233 inter-cluster variance. To simplify the use of the study findings by health system administrators, the
234 final result groups all health districts into clusters or composite readiness profiles.

235 3. Results

236 3.1. Repartition of Sampled Health Facilities

237 Table 1 shows the repartition of sample size according to region, location, and type of governing
238 authority. About two-thirds of the health facilities were in rural areas and four-fifths were public
239 facilities.

240 3.2. Availability Scores for Essential Equipment

241 The predicted values of health district essential equipment rates from HBSM are shown in Figure
242 1 and Table S1. The estimated rate for adult weighing scale availability was fairly consistent across
243 regions (92.9% to 99.9%). In contrast, the infant weighing scale availability varied widely (39.9% to
244 94.3%). More than 75% of the health facilities in each health district had high scores for medical
245 thermometers (99.9%), stethoscopes (98.7%), and blood pressure apparatus (97.3%). The light source
246 availability varied widely across the country. The rate was lowest in the health districts located in the
247 Boucle de Mouhoun and Cassade regions (between 22% and 58%), and highest in the health districts
248 located in the central region (>80%) as well as in health districts of Dafra and Dori, among others.

249 3.3. Malaria Readiness Scores

250 Figure 2 and Table S2 summarize the geographical distribution of malaria readiness at the health
251 district level. More than three-quarters of districts require clinical signs for malaria diagnosis (>98%).
252 Overall, health districts located in the political capital, Ouagadougou, and the economic capital, Bobo-
253 Dioulasso, had the lowest rates for the use of rapid diagnostic tests. However, in these regions, the level
254 of microscopy use was high. We observed heterogeneity in antimalarial drug availability across the
255 country. For the first-line antimalarial drugs (artemisinin-based combination therapy, ACT for short),
256 25% of health districts had a score less than 94.3%. Health districts located in major cities, such as
257 Ouagadougou and Bobo-Dioulasso, had the lowest rates regarding the ACT in stock. The percentage of
258 health districts with no ACT in stock on the day of survey ranged from 2.4% to 12.4%.

259 The RDT availability rate on the day of the survey ranged from 50% to 95.6%. Health districts in
260 Ouagadougou and Bobo-Dioulasso had the lowest rates of staff training on malaria diagnosis and

261 treatment and IPTp. The results show an inter-regional variability for malaria readiness indicators
262 throughout the study area, though the variation was low (Figure S1).

263 *3.4. Composite Readiness Profile for Malaria Case Management*

264 Figure 3 displays the geographical distribution of health district composite readiness profiles for
265 malaria case management and Table 2 summarizes the characteristics of each profile. According to the
266 HCA results, three malaria composite readiness profiles were established among the 70 health districts.
267 A total of 44 (62.9%), 19 (27.1%), and 7 (10%) health districts were classified as high, medium, and
268 low readiness, respectively.

269 Compared with health districts with low and medium readiness profiles, the high composite
270 readiness performance profile is characterized by a high rate of availability of first-line antimalarial
271 drugs, including rectal or injectable forms, and the availability of IPTp. Low performance was found in
272 urban areas in the central and Haut Bassins regions.

273 **4. Discussion**

274 This paper provides an alternative method based on HBSM for optimal estimation of subnational
275 indicators drawn from health-facility-based survey data with a much smaller sample size. Since local
276 administrators need information on the operational scale for planning purposes, this alternative method,
277 using advanced statistical methods applied to SARA survey data, offers a useful method for countries
278 with limited resources. This method has been used in other areas to estimate indicators at the subnational
279 level from samples drawn for national or regional estimates[17, 18].

280 Through the application of the Bayesian method, the problem of sample size is minimized [25–29]
281 as Bayesian approaches are not asymptotic-based, which is a feature that can be an obstacle to the use
282 of frequentist methods in small sample contexts. Consideration of the spatial autocorrelation between
283 health districts provides a more reliable estimate. According to this statistical principle, an event in the
284 neighborhood closest to another may not necessarily increase the information available in the data if
285 similar to the one already assessed[48]. Consequently, such assessments only increase the sample size
286 without providing a complete set of information that is independent [49].

287 This study highlighted the gaps that must be addressed to improve the quality of health-facility-
288 based malaria management. The study showed the low rates of basic equipment throughout the study
289 area, especially for two elements: infant weighing scales and light sources. Without an infant weighing
290 scale, health facility staff are often forced to prescribe drugs based on age when determining the optimal
291 dosage of antimalarial drugs. This can lead to under- or over-dosing of drug prescriptions. Local health
292 authorities should strengthen the availability of this equipment in health facilities located in the north,
293 Cassades, and south-central regions. Health districts with high rates of light source availability were
294 mostly located in urban areas, where electricity is more readily available. In rural areas, until the
295 government finds the means to provide electricity, renewable energy sources, such as solar panels, could
296 be an alternative to providing energy for light sources.

297 Notably, the current policy of routine parasitological diagnosis of malaria in Burkina Faso is based
298 on the use of RDT[50]. Although approximately 75% of health districts have an RDT coverage of more
299 than 94.2%, this analysis indicated that RDT availability is not optimal across the country. For health
300 districts located in urban regions, the RDT coverage was low. This suggests that patients continue to be
301 misdiagnosed for malaria and mistreated. The results also showed that health districts located in urban
302 areas had low rates of other malaria readiness indicators, especially the availability of antimalarial drugs.
303 This finding implies that patients in these areas still use other sources of drug supplies, such as private
304 pharmacies, drug stores, and/or private medical centers, where patients can be diagnosed and purchase
305 over-the-counter medications. The disparity in malaria readiness coverage, especially the inadequate
306 coverage of RDTs and ACTs in urban regions, could hinder the effectiveness of the National-Malaria-
307 Control-Program (NMCP) “test, treat, track” strategy; therefore, the NMCP must seek to identify gaps
308 and optimize resource distribution in health districts with low coverage.

309 A limitation of the study was that a cross-sectional survey is used as design, so the availability of
310 basic equipment and malaria readiness service may vary over time.

311 **5. Conclusion**

312 Our study provides estimates at the health district level using existing data designed to be regionally
313 representative. We show that HBSM is a useful tool to enable the use of regionally representative data
314 with a small sample size to estimate rates (with uncertainty) of malaria readiness indicators at the health
315 district level. The results indicate gaps in basic equipment availability and resources in some health
316 districts, which must be addressed. In a limited resource setting, health programs may struggle to operate
317 effectively due to the lack of reliable estimates at the operational level for monitoring purposes. As
318 demonstrated here, our proposed approach could be replicated for other types of indicators to provide
319 local level estimates for local policy makers so that the gaps can be targeted and addressed as a priority.
320 Our results suggest that further investigations should be implemented to assess the impact of the Health
321 district composite readiness score on the spatial distribution of malaria burden.

322 **List of abbreviations**

323	ACT	:	Artemisinin-based Combination Therapy
324	BYM	:	Besag–York–Mollié
325	CAR	:	Conditional Autoregressive
326	CPO	:	Conditional Predictive Ordinate
327	CSPRO	:	Census and Survey Processing System
328	DHIS	:	District Health Information System
329	HAC	:	Hierarchical Ascending Classification
330	HBSM	:	Hierarchical Bayesian Spatial Modeling
331	HIV/AIDS:		Human Immunodeficiency Virus/Acquired Immunodeficiency Syndrome
332	INLA	:	Laplace Approximation
333	IPTp	:	Intermittent Preventive Treatment during Pregnancy
334	MCA	:	Multiple Correspondences Analysis
335	MCMC	:	Markov Chain Monte Carlo
336	NMCP	:	National-Malaria-Control-Program

- 337 PCA : Principal Component Analysis
338 RDT : Rapid Diagnostic Test
339 SARA : Service Availability and Readiness Assessment
340 SSA : Sub-Saharan African
341 WHO : World Health Organization
342 95% CrI : Credible Interval

343 **Declarations**

344 *Ethics approval and consent to participate*

345 This study used data from the national health facility-based survey on health service delivery conducted
346 periodically (every two years) by the Ministry of Health of Burkina Faso with technical and financial
347 support from WHO and the Global Fund. Before data collection in the field, field supervisors arranged
348 an appointment with heads of facilities personally, in advance to request permission to collect data in
349 their facility at a date and time that is convenient for the facility, avoiding peak hours. At the data
350 collection date, once a presentation of the procedures and objectives of the study was completed using
351 the letter of introduction, a written informed consent was obtained from the health care worker
352 responding to the survey questions (heads of health facilities or any other relevant health personnel)
353 [16]. The data used in this analysis are provided by the Directorate General of Studies and Sectoral
354 Statistics of the Ministry of Health. For this analysis, a waiver has been granted by the National Ethics
355 Committee ("Comité d'Éthique pour la Recherche en Santé (CERS)" of Burkina Faso (N°2019-
356 79/MS/MESRSI/CERS du 19 Jul 2019). The data analysis was carried out at health district level with
357 no reference to individual-level identification particulars.

358 *Consent to publish*

359 Not applicable

360 *Availability of data and materials*

361 These datasets used or analyzed during the current study are available from the corresponding author on
362 reasonable request and after approbation of Directorate General of Studies and Sectoral Statistics of the
363 Ministry of Health.

364 *Competing interests*

365 The authors declare that they have no competing interests

366 *Funding*

367 Not Applicable

368 *Authors' contributions*

369 T.R. managed and analyzed the data, interpreted results, and wrote the first draft of the manuscript, with
370 inputs from S.S., H.T., C.S.C., J.G, and F.K.S. F.K.S. conceived the research, formulated research goals
371 and objectives, and led methodology development, model fitting, and result interpretation, and
372 manuscript writing. All authors read and approved the submitted manuscript.

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520

521 **Table and Figure legends**

522 **Table 1** Partition of sampled health-facilities by health district, location and governing authority.

523 **Table 2** Composite readiness profile characteristics of health districts obtained by hierarchical ascendant
524 classification estimated from posterior means implemented in the Bayesian binomial model.

525 **Fig. 1** Geographical distribution of the availability of essential equipment at the health district level:
526 posterior means of fitted values. (a) Adult weighing scale, (b) infant weighing scale, (c) stethoscope,
527 (d) thermometer, (e) blood pressure apparatus, and (f) light source.

528 Maps created by Toussaint Rouamba et al, 2019.

529 *Source of materials:* The shapefile was obtained from the "Base Nationale de Découpage du territoire"
530 of Burkina Faso (BNDT, 2006). The Service Availability and Readiness Assessment data for modelling
531 were obtained from the Ministry of Health of Burkina Faso.

532 **Fig. 2** Geographical distribution of malaria readiness at the health district level: posterior means of fitted
533 values. (a) Malaria diagnosis by clinical symptoms coupled with parasitological diagnosis, (b) malaria
534 diagnosis by rapid diagnostic test, (c) malaria diagnosis by microscopy, (d) first-line antimalarial
535 (Artemisinin-based combination therapy); (e) artesunate rectal or injectable forms, (f) Intermittent
536 preventive treatment during pregnancy, (g) Artemisinin-based combination therapy out of stock, (h)
537 staff trained on guidelines for malaria diagnosis and treatment, (i) Staff trained on guidelines for IPT
538 during pregnancy, and (j) availability of rapid diagnostic test.

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540 *Source of materials:* The shapefile was obtained from the "Base Nationale de Découpage du territoire"
541 of Burkina Faso (BNDT, 2006). The Service Availability and Readiness Assessment data for modelling
542 were obtained from the Ministry of Health of Burkina Faso.

543 **Figure 3** Geographical distribution of health district composite readiness profiles for malaria case
544 management. The bold black lines represent the regional boundaries; the dashed black lines represent
545 the health district boundaries.

546 Maps created by Toussaint Rouamba et al, 2019.

547 *Source of materials:* The shapefile was obtained from the "Base Nationale de Découpage du territoire"
548 of Burkina Faso (BNDT, 2006). The Service Availability and Readiness Assessment data for modelling
549 were obtained from the Ministry of Health of Burkina Faso.

550 **Additional files**

551 **Additional file 1: Figure S1.** Regional variability for malaria readiness indicators throughout the
552 study area (pdf 59 Ko)

553 **Additional file 2: Table S1.** Geographical distribution of the availability of essential equipment at
554 the health district level: posterior means of fitted values (docx 34 Ko).

555 **Additional file 3: Figure S2.** Geographical distribution of malaria readiness at the health district
556 level: posterior means of fitted values (docx 32 Ko)

Figures

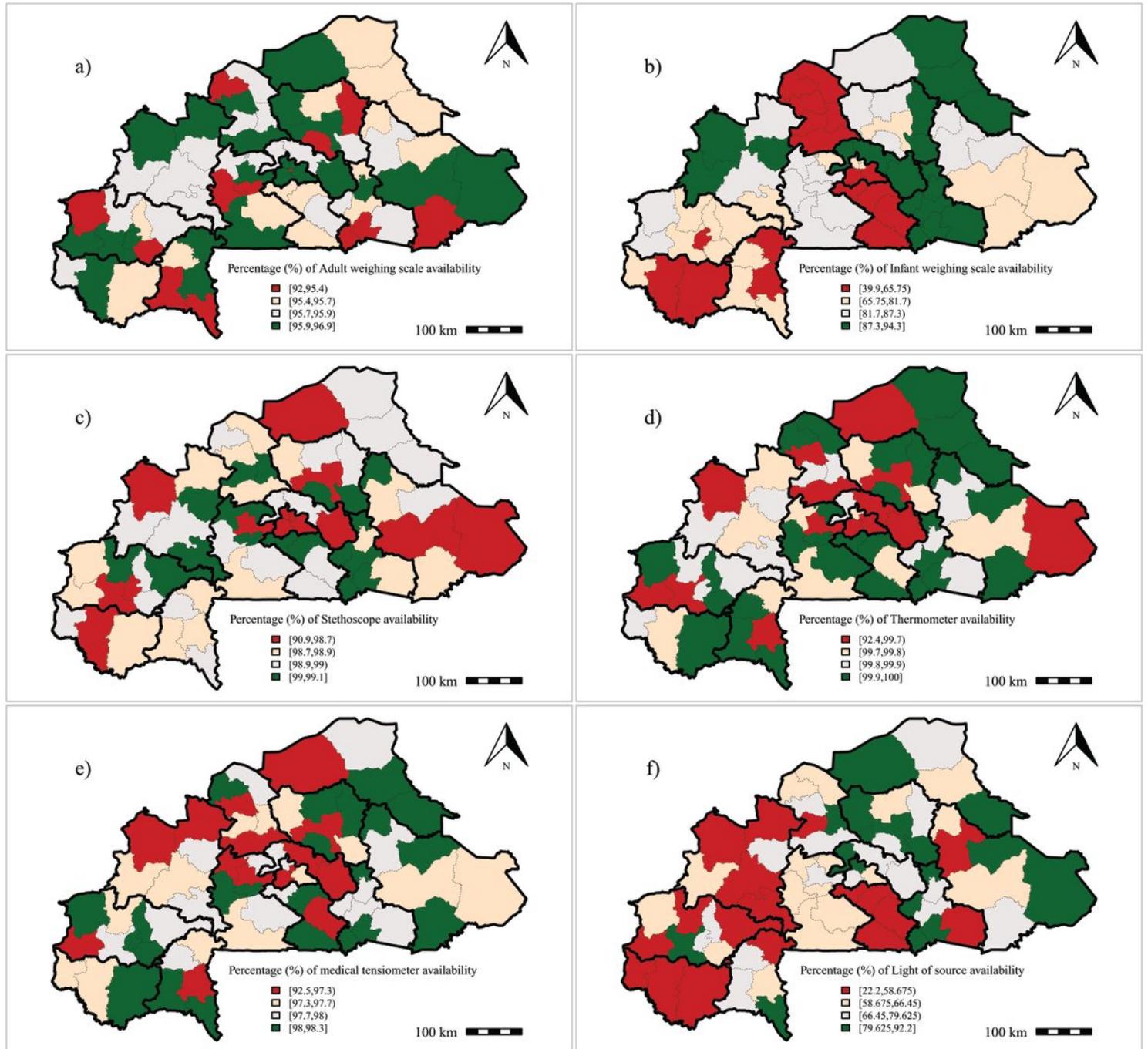


Figure 1

Geographical distribution of the availability of essential equipment at the health district level: posterior means of fitted values. (a) Adult weighing scale, (b) infant weighing scale, (c) stethoscope, (d) thermometer, (e) blood pressure apparatus, and (f) light source. Maps created by Toussaint Rouamba et al, 2019. Source of materials: The shapefile was obtained from the "Base Nationale de Découpage du territoire" of Burkina Faso (BNDDT, 2006). The Service Availability and Readiness Assessment data for modelling were obtained from the Ministry of Health of Burkina Faso.

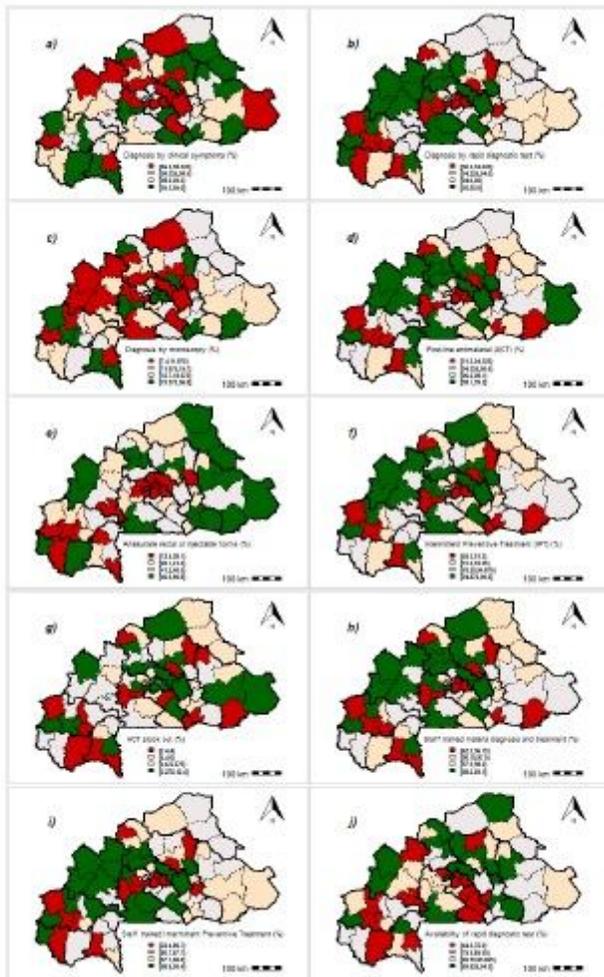


Figure 2

Geographical distribution of malaria readiness at the health district level: posterior means of fitted values. (a) Malaria diagnosis by clinical symptoms coupled with parasitological diagnosis, (b) malaria diagnosis by rapid diagnostic test, (c) malaria diagnosis by microscopy, (d) first-line antimalarial (Artemisinin-based combination therapy); (e) artesunate rectal or injectable forms, (f) Intermittent preventive treatment during pregnancy, (g) Artemisinin-based combination therapy out of stock, (h) staff trained on guidelines for malaria diagnosis and treatment, (i) Staff trained on guidelines for IPT during pregnancy, and (j) availability of rapid diagnostic test. Maps created by Toussaint Rouamba et al, 2019. Source of materials: The shapefile was obtained from the "Base Nationale de Découpage du territoire" of Burkina Faso (BNDT, 2006). The Service Availability and Readiness Assessment data for modelling were obtained from the Ministry of Health of Burkina Faso.

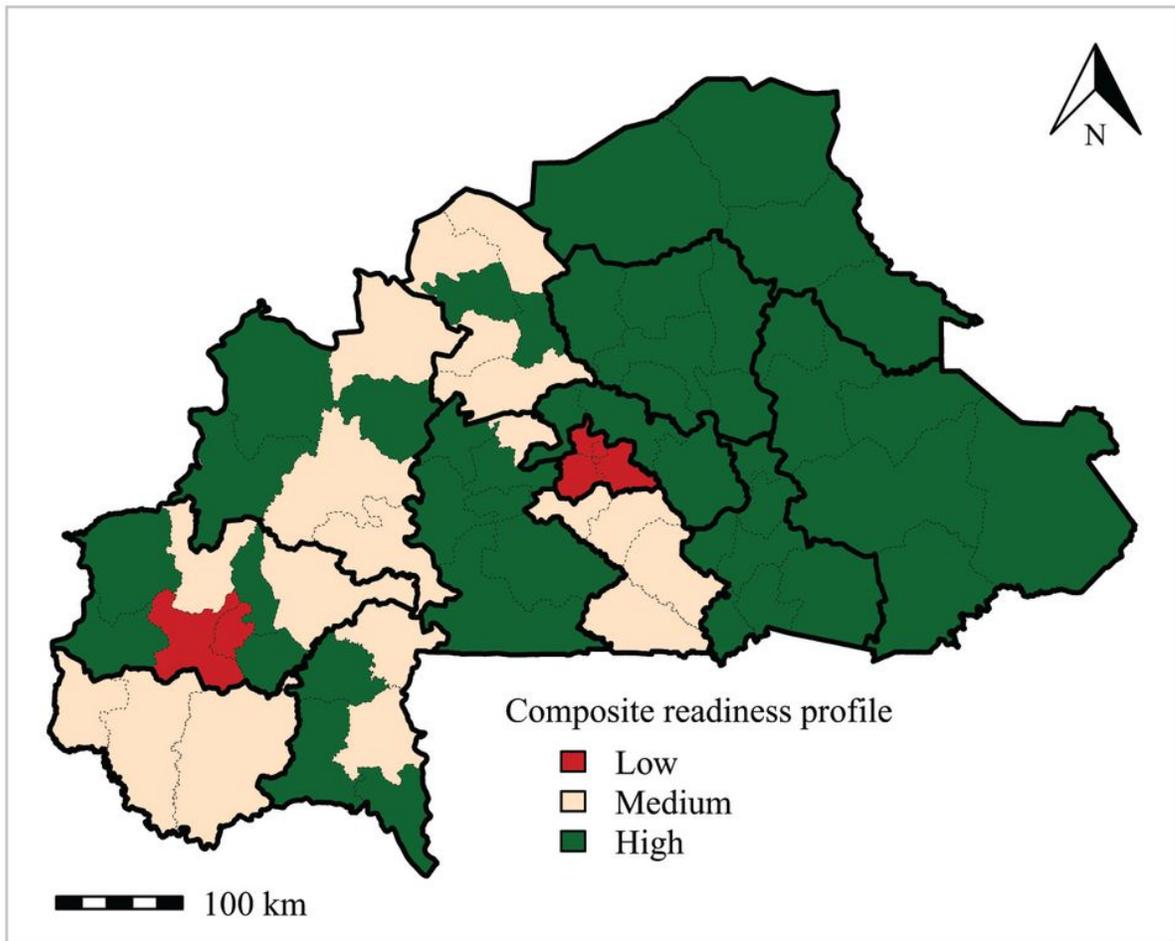


Figure 3

Geographical distribution of health district composite readiness profiles for malaria case management. The bold black lines represent the regional boundaries; the dashed black lines represent the health district boundaries. Maps created by Toussaint Rouamba et al, 2019. Source of materials: The shapefile was obtained from the "Base Nationale de Découpage du territoire" of Burkina Faso (BNDT, 2006). The Service Availability and Readiness Assessment data for modelling were obtained from the Ministry of Health of Burkina Faso.

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