

Modelling and 3D simulations of the dispersion of droplets and drops carrying the SARS-CoV-2 virus inside semi-confined ventilated spaces – Application to a public railway transport coach

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1 **Modelling and 3D Simulations of the Dispersion of Droplets and Drops**
2 **Carrying the SARS-CoV-2 Virus inside Semi-confined Ventilated Spaces –**
3 **Application to a Public Railway Transport Coach**
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6

7 **Abstract**

8 Computational Fluid Dynamics (CFD) modelling and 3D simulations of the air flow and dispersion of droplets or
9 drops in semi-confined ventilated spaces have found topical applications with the unfortunate development of
10 the Covid-19 pandemic. As an illustration of this scenario, we have considered the specific situation of a
11 railroad coach containing a seated passenger infected with the SARS-CoV-2 virus (and not wearing a face mask)
12 who, by breathing and coughing, releases droplets and drops that contain the virus and that present
13 aerodynamic diameters between 1 and 1,000 μm . The air flow is generated by the ventilation in the rail coach.
14 While essentially 3D, the flow is directed from the bottom to the top of the carriage and comprises large to
15 small eddies visualised by means of streamlines. The space and time distribution of the droplets and drops is
16 computed using both an Eulerian model and a Lagrangian model. The results of the two modelling approaches
17 are fully consistent and clearly illustrate the different behaviours of the drops, which fall down close to the
18 infected passenger, and the droplets, which are carried along with the air flow and invade a large portion of the
19 rail coach. This outcome is physically sound and demonstrates the relevance of CFD for simulating the
20 transport and dispersion of droplets and drops with any diameter in enclosed ventilated spaces. As coughing
21 produces drops and breathing produces droplets, both modes of transmission of the SARS-CoV-2 virus in
22 human secretions have been accounted for in our 3D numerical study. Following these initial results, physical
23 and biological modelling will be extended to the mass transfer between the droplets and the ambient air and to
24 the fate of the virus throughout its transport and dispersion in droplets or drops. Furthermore, a model will be
25 developed to take into account the influence of a face mask on the production of droplets and drops. Beyond
26 the specific, practical application of the rail coach, this study offers a much broader scope by demonstrating the

27 feasibility and usefulness of 3D numerical simulations based on CFD. As a matter of fact, the same
28 computational approach that has been implemented in our study can be applied to a huge variety of ventilated
29 indoor environments such as restaurants, performance halls, classrooms and open-plan offices in order to
30 evaluate if their occupation could be critical with respect to the transmission of the SARS-CoV-2 virus or to
31 other airborne respiratory infectious agents, thereby enabling relevant recommendations to be made.

32

33 **Introduction**

34 Since late 2019, the disease referred to as Covid-19 has disrupted human life and activities and generated a crisis
35 of planetary dimensions. The Covid-19 outbreak has provoked numerous controversial debates about how to
36 slow or stop the propagation of the epidemic throughout the population. The infectious agent of the disease is the
37 SARS-CoV-2 virus, which penetrates into the respiratory tract. Its modes of transmission have long been
38 discussed. At the outset of the epidemic, touching unclean objects (also known as “fomites”) with the hands was
39 considered to be the prevalent mode of contamination, and the virus was assumed to be transported on the hands.
40 Starting from spring 2020, however, an increasingly large part of the international medical community has
41 suggested that the virus could also be airborne and transmitted in the air through the droplets produced by
42 infected people. For instance, according to one of the first studies performed in the Chinese province of Wuhan,
43 the cradle of the pandemic, and published on 11 April 2020 by the US Center for Disease Control and Prevention
44 (CDC), the virus can travel a distance of up to 4 meters from a sick person¹. After this, on 7 July 2020, a group
45 of 239 scientists published a collective letter to the World Health Organization (WHO) indicating that there was
46 a risk of aerial transmission of SARS-CoV-2 and prescribing supplementary precautionary measures².
47 Subsequently, in a press release on 8 July 2020, the WHO announced that there was evidence of Covid-19
48 airway transmission³.

49

50 Following W. F. Wells’s historic work on tuberculosis in the 1930’s, the host-to-host transmission of respiratory
51 diseases has been regarded by the WHO and by agencies such as the CDC to be dependent on the size of the
52 droplets emitted, with various diameter cut-offs ranging from 5 to 10 μm . The MIT Bourouiba Research Group
53 on Covid-19⁴, however, noticed that such a dichotomy may not reflect what actually occurs with respiratory
54 emissions. Researchers at the US National Institutes of Health⁵⁻⁶ carried out experiments showing that during
55 speech, a person produces more than 1,000 droplets, with the smallest droplets of up to 20 μm in diameter
56 remaining suspended in the air for 10 minutes, and the bulkier drops crashing almost instantly onto accessible

57 surfaces. This was confirmed in a review paper⁷ and in publications gathering data about drops and droplets
58 produced in human secretions, as synthesised hereafter. The SARS-CoV-2 virus has a diameter of 70–90 nm⁸
59 and may be carried by drops and droplets⁹⁻¹⁰. While drops of at least 100 µm in diameter reach the ground within
60 1 s without significant evaporation¹¹, smaller droplets fall more slowly and evaporate more rapidly¹²⁻¹³⁻¹⁴⁻¹⁵. The
61 spittle produced by individuals is often categorised as drops of around 10 µm up to 1 mm in diameter, with the
62 largest of these presenting ballistic trajectories, or as droplets of less than 5 µm (and desiccated droplet nuclei)
63 known as aerosols, which remain airborne in the range of minutes to hours⁹⁻¹⁶⁻¹⁷. While talking, a person ejects
64 tens of small particles per second with diameters between 0.1 µm to 1 mm¹⁸ and with a speed of the order of 1
65 m.s⁻¹⁹. This is the most common source of aerosol that can be inhaled by other people¹⁹⁻²⁰⁻²¹. While coughing
66 leads to the ejection of 100–1,000 fluid particles per second with a speed of around 10 m.s⁻¹, sneezing generates
67 1,000–10,000 fluid particles per second with a speed of up to 20 m.s⁻¹. The droplets can travel distances of 7 to 8
68 metres depending on their initial velocity and the ambient air flow. Their fate is also influenced by the
69 temperature and humidity of the ambient air. Thus, the “lifetime” of a droplet ranges from seconds to minutes,
70 with moist, warm air tending to extend this duration²². After evaporation, the nuclei of the droplets may remain
71 suspended for hours, and remain virulent for more than three hours⁹.

72

73 While the knowledge regarding the transmission routes and behaviour of the SARS-CoV-2 virus is still evolving
74 at the time of this writing, certain facts can be established from the previous review:

- 75 • Apart from infection due to a direct contact with a previously contaminated surface, coughs, sneezes,
76 respiration and speech are events during which individuals close to each other may become
77 contaminated;
- 78 • Interpersonal transmission of Covid-19 may happen by means of respiratory droplets and spittle
79 produced when coughing or sneezing;
- 80 • Some studies have proved that the SARS-CoV-2 virus is present in drops with large diameters of about
81 100 µm to 1 mm, which are projected from one individual to another;
- 82 • There are also several studies pointing out the risk of transmission through aerosols composed of
83 droplets with diameters of about 1 µm to 10 µm.

84

85 As for any kind of aerial pollution, the transport and dispersion of drops and droplets containing viruses are
86 strongly influenced by the air flow, both outdoors in the atmospheric environment and indoors in any semi-

87 confined spaces. In the latter case, knowledge of the ventilation features is crucial for determining the space and
88 time distribution of the virus, whether it is SARS-CoV-2 or any other airborne infectious agent. Moreover,
89 ventilation certainly plays a major role in controlling and limiting the propagation of infectious diseases. To this
90 effect, the American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE) declared in
91 April 2020 that “the transmission of SARS-CoV-2 through the air was sufficiently likely that airborne exposure
92 to the virus should be controlled (...) including the operation of heating, ventilating, and air-conditioning
93 systems (...) in order to reduce airborne exposures”.²³

94
95 In this perspective, the mode of transmission of the SARS-Cov-2 virus, which relies on droplets transported and
96 dispersed though the atmospheric environment and indoor spaces, at distances from less than one meter to a few
97 meters (and perhaps more), opens up possibilities for using Computational Fluid Dynamics (CFD). Generally
98 speaking, CFD enables choices to be made regarding efficient designs and developments in order to reach
99 desired results and to prevent or limit the adverse effects of critical situations. Therefore, CFD could find a
100 specific breakthrough application in response to the propagation of infectious agents in enclosed spaces. This is
101 precisely the objective of this paper, which aims to demonstrate the relevance of physical modelling and
102 numerical simulation using tried and tested CFD computer software to evaluate the transport and dispersion of
103 drops and droplets carrying the SARS-CoV-2 virus in human secretions and, at a later stage, to evaluate the
104 health consequences of the virus. In addition, the 3D numerical study intends to compare the Eulerian and
105 Lagrangian approaches for dispersion modelling. It is thus a matter of determining which methods are
106 appropriate for simulating the transport and dispersion of drops and droplets in an indoor environment.
107 Subsequently, these methods will be available to be re-employed with confidence in semi-enclosed ventilated
108 spaces of different geometries.

109
110 While CFD certainly has strong potential to provide a better understanding of the complex, non-intuitive
111 distribution pattern of droplets expectorated by infected humans in semi-confined spaces, even now only a few
112 studies have so far applied CFD to the dispersion of virus-laden aerosols. Without being exhaustive, a review of
113 some of these studies is given hereafter. In all of these studies except for the last one, the individuals releasing
114 the particles carrying the virus were not wearing a mask.

115

116 Vuorinen et al.²⁴ made use of large-eddy simulation (LES) models to simulate the transport and dispersion of
117 particles from 1 to 20 μm in a supermarket reduced to four rows of shelves populated in between with two
118 coughing manikins. PALM, Open FOAM and FDS models were used to model the shelves with crude
119 simplifications. The dispersion modelling in PALM was Lagrangian, while it was Eulerian within the other
120 models. One can notice that the geometry, the human occupancy and the ventilation are oversimplified.
121 Moreover, Vuorinen et al. do not leverage the capabilities of LES simulations, as they performed only one
122 execution of the computations with FDS and ten executions with Open FOAM and PALM. They do not account
123 for particles larger than 20 μm on the grounds that the drying process of larger particles should be rapid.
124 Contrariwise, we argue that particles of all sizes should be considered for the sake of exhaustiveness and that
125 their trajectories and distributions should be simulated using a suitable CFD model. Vuorinen et al. conclude that
126 the “safety distance” should be 4 meters, which certainly does not constitute an overall result, but is extremely
127 dependent on the flow conditions, the human source of emission, and the size of the particles expectorated.

128

129 Abuhegazy et al.²⁵ made use of the ANSYS FLUENT software package to simulate the distribution and
130 deposition of particles from 1 to 50 μm in a classroom equipped with air conditioning. One student was assumed
131 to emit particles, with no mention being made regarding the duration of the release. The flow simulations were
132 carried out with an RNG k-epsilon model. The trajectories of the particles were computed with a Lagrangian
133 model. The particles with diameters of less than 15 μm mostly leave the room through the extraction vents, while
134 a large proportion of the particles with diameters greater than 20 μm settle on the accessible surfaces, including
135 glass barriers. One can notice that the results acutely depend on the shape of the obstacles, which include the
136 individuals, who are extremely simplified. Adwibowo²⁶ also considered the dispersion of micrometric particles
137 in an imitation classroom using a Lattice-Boltzmann model. The aim of the simulations was to assess if
138 protective shields reduce one’s vulnerability to droplets emitted when breathing. Unfortunately, no manikins
139 were used to mimic any individuals present.

140

141 Qian and Li²⁷ explored the influence of ventilation on the removal of particles from 1 to 50 μm in diameter
142 generated by patients breathing in a common room of a hospital. The flow simulations were performed with an
143 RNG k-epsilon model, and the dispersion was evaluated with a Lagrangian model. While the larger particles
144 were almost insensitive to the configuration of the ventilation due to their tendency to settle very quickly, the
145 distribution of the small particles was affected by the location of the inlet and outlet vents. Unfortunately, the

146 geometry and the ventilation system considered in this study seem to be very specific, and it is unclear if the
147 patients were actually modelled. Wang et al.²⁸ also dealt with the optimisation of air distribution in a hospital
148 ward for minimising cross-infection among patients. Simulations were performed with ANSYS FLUENT
149 software using a realisable k-epsilon flow model and a Lagrangian dispersion model. Again, the patients were
150 represented in a very simplified way (as parallelepiped solids), thereby limiting the reliability of the
151 computations.

152
153 Desai et al.²⁹ made use of the ANSYS FLUENT software package to assess the risk of SARS-CoV-2
154 transmission in intercontinental commercial aircraft. The flow was simulated in 2D cross-sections of Boeing and
155 Airbus airplanes. The dispersion of particles emitted by passengers was computed using the Eulerian approach.
156 The discussion focuses on the configurations of the seats and whether or not they favour the propagation of the
157 virus. Unfortunately, the study is limited to 2D simulations, while the flow and dispersion in the cabins of the
158 planes are most likely 3D. Moreover, only the seats and not the passengers are modelled. Jayaweera et al.³⁰
159 examined the transmission of particles of different sizes expectorated by individuals when coughing. They
160 considered an infected person in a plane, or at the rear of a car, or in a healthcare centre. The authors presented
161 the streamlines departing from the mouth of the person in these different situations. Unfortunately, it is unclear if
162 the streamlines were issued by CFD computations or if they were a qualitative representation of what the flow
163 could be. Moreover, the expectorating person ought to be wearing a surgical mask or a respirator, but again there
164 is no information about the modelling of the mask.

165
166 In summary, while these studies represent valuable efforts in modelling and simulation, they are impaired by a
167 number of limitations in terms of the digital mock-up of the modelled confined space and, principally, of the
168 human beings populating this space, and in terms of the size range of the particles accounted for. In contrast, we
169 have opted to pay careful attention to the realism of the geometry, including the human beings, and to consider
170 virus-laden particles ranging in size over four orders of magnitude. The case study we have developed herein
171 corresponds to a public railway transport coach in which a passenger infected with the SARS-CoV-2 virus is
172 seated and possibly contaminates other travellers. To begin with, the passenger wears no face mask and produces
173 drops and droplets when coughing and breathing.

174

175 With regard to particle size, it is important to recall the usual terminology. By definition, an “aerosol” designates
176 solid or liquid particles suspended in the air. The droplets satisfying this criterion have an aerodynamic diameter
177 in the range between some tenths of micrometers (μm) to some micrometers. The aerodynamic diameter gives
178 weighting to the geometric diameter according to the density (considered equal to 1 in our computations).
179 Particles with aerodynamic diameters above some micrometers do not form an aerosol. By convention, we make
180 use of the word “droplets” for particles whose diameter is between 1 and 10 μm , whereas the word “drops” is
181 used for particles with a diameter between 100 and 1,000 μm .

182

183 The following parts of the paper are dedicated to presenting the CFD study with the objective of proving the
184 capability of the numerical modelling to represent the air flow and the transport and dispersion of drops and
185 droplets in semi-enclosed ventilated spaces. A railway coach of the type used by millions worldwide for travel
186 on suburban transportation networks is taken as an example in our methodology. The results of this case study
187 are useful not only for similar situations, but can also be directly transposed to any other semi-confined
188 ventilated place, as discussed later on. A summary of the results regarding the airflow and the dispersion of
189 drops and droplets is proposed hereafter. These results lay the foundation for a discussion about the findings of
190 the study, whose methods are presented in the final part of the paper.

191

192 **Results**

193 **Design of a 3D digital mock-up of a populated transport carriage**

194 Regional railway transportation networks all around the world put millions of commuters into contact with each
195 other every day. Thus, there is a strong interest in studying how airborne viruses, SARS-CoV-2 among others,
196 can propagate in the semi-confined ventilated internal space of a carriage when the viruses are emitted by the
197 passengers in the form of drops or droplets.

198

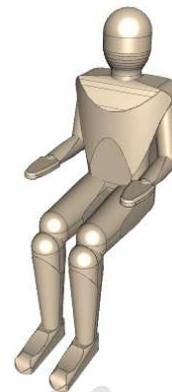
199 While there exists a wide variety of manufacturers and models of rail coaches, we opted to use a generic carriage
200 with no protruding features. It should be recalled that our objective is to demonstrate the feasibility of the 3D
201 numerical study without designating a particular rail coach whose configuration is specifically conducive to
202 disseminating viruses or to preventing their dissemination. Indeed, our study can be adapted to any kind of train
203 carriage, and modellers wishing to implement such work could engage with their local or national railway
204 companies to obtain information about the specific rail coaches operated in their cities or countries.

205

206 Figure 1 shows the single-level rail coach taken as an example throughout the numerical study. The dimensions
207 of the carriage are 15.5 m in length, 2.5 m in width and 2.6 m in height. The original high-precision 3D data of
208 the rail coach geometry and internal layout have been processed from the www.turbosquid.com site and
209 transformed in order to generate a 3D mesh for CFD computations. The coach is occupied by passengers
210 represented by humanoid manikins selected from the www.traceparts.com site and shown in Figure 2.

211

212



213

214 **Figure 1.** Model of rail coach chosen for the CFD numerical study.

217 **Figure 2.** Representation of the reference
218 human manikin used as a train passenger.

215

219

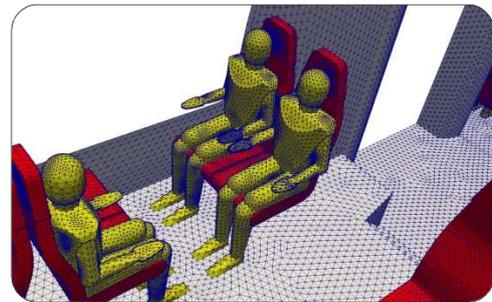
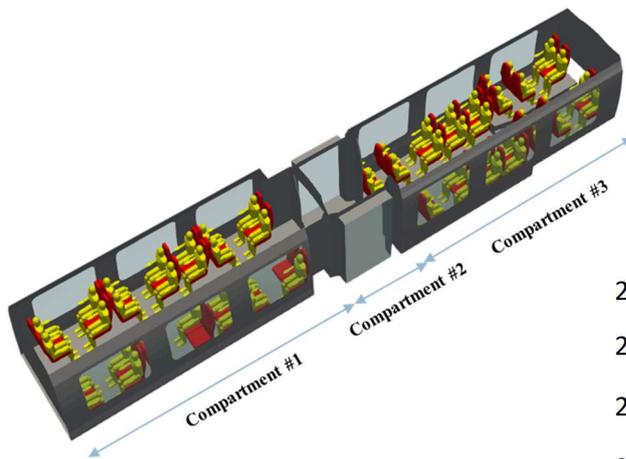
220 The level of detail of the humanoids is intermediate, situated between oversimplified individuals and overly
221 detailed manikins that could complicate and uselessly prolong the computations. All manikins have a standing
222 size of 1.75 m, and by choice of the modellers, they are integrated into the rail coach in seated positions. Of
223 course, other configurations with manikins of different sizes corresponding to male and female adults, children
224 or infants, and with a combination of standing and seated manikins, could have been examined.

225

226 Figure 3 illustrates the geometry of the rail coach occupied by the passengers. The carriage is subdivided into
227 compartments 1 and 3, which are occupied by the passengers, and compartment 2, from which people get into
228 and out of the train. In the study, the occupation rates of compartments 1 and 3 by seated passengers are
229 respectively 92% and 100%. These figures chosen by the modellers could be varied to examine the influence of
230 the rail coach occupation rate on the distribution of the drops and droplets secreted by the passengers.

231

232 An unstructured CFD mesh was generated from the geometry with tetrahedral cells in order to fit the complex
233 internal geometry of the train and of the passengers, as can be observed on Figure 4. The minimum cell size is 1
234 centimetre near the mouth of the manikins, and 3 centimetres on the body of the manikins and on the seats. The
235 maximum size of the cells is between 5 and 10 centimetres on the internal walls of the rail coach. The mesh
236 consists of 4 million cells in total, a number that was proved to satisfy the convergence of the flow field.
237



241
242 **Figure 4.** Zoom on the triangular surface
243 mesh of the internal walls of the rail coach
244 and three manikins.

238
239 **Figure 3.** Representation of the mock-up combining the internal
240 space of the rail coach and the passengers.

245

246 **Simulation of the air flow in the rail coach and around the passengers**

247 In order to model the air flow in the carriage, one has to implement a ventilation system as with any other semi-
248 confined space. The ventilation in the mock-up of the carriage is operated in the same way as for an actual rail
249 coach. It is described briefly hereafter and in more detail in the “Methods” section. While the ventilation system
250 considered in the mock-up of the carriage is quite common, it may be different in other rail coaches. Still, it
251 would not be a major issue to take account of alternative blowing and extracting air vents corresponding to
252 different models of carriages.

253

254 The ventilation system is organised by zones corresponding to the volumes defined in Figure 3. We assume that
255 only fresh air is supplied from the outside of each end of the carriage with imposed velocities and flow rates. Air
256 extraction is performed through slits in the roof of each volume of the carriage. In the volumes 1 and 3 occupied
257 by the passengers, the exit velocities and flow rates are imposed, while in the central volume 2, air is extracted at
258 atmospheric pressure, resulting in a balance of air flows between the volumes. With these characteristics, the

259 ventilation of the rail coach is efficient and involves the entire space of the carriage. The air flow is directed
260 globally from the bottom to the top of the carriage. The renewal rate of the air is 8.7 or, in other words, the air is
261 refreshed every 7 minutes. The velocities of the supplied and extracted air are less than 0.2 m.s^{-1} , corresponding
262 to the soft ventilation prescribed by the railway operators to ensure comfortable conditions for the passengers.

263

264 Translating the ventilation features into inlet and outlet boundary conditions enabled air flow simulations to be
265 carried out. First, the stationary solution of the 3D turbulent flow in the rail coach was computed to initialise the
266 air flow. Then, transient computations of the flow were performed to account for the coughing or breathing of
267 passengers. The CFD tool used for the study is referred to as Code_SATURNE. It is described in the “Methods”
268 section, as are the boundary conditions for the modelling of the air flow and the turbulence.

269

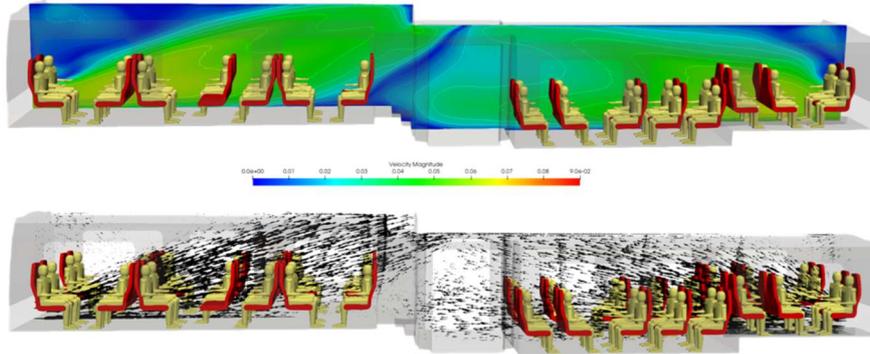
270 **Results of the 3D stationary air flow simulations**

271 The results presented here are those regarding the stationary solution of the 3D flow simulation in the ventilated
272 rail coach in the presence of passengers.

273

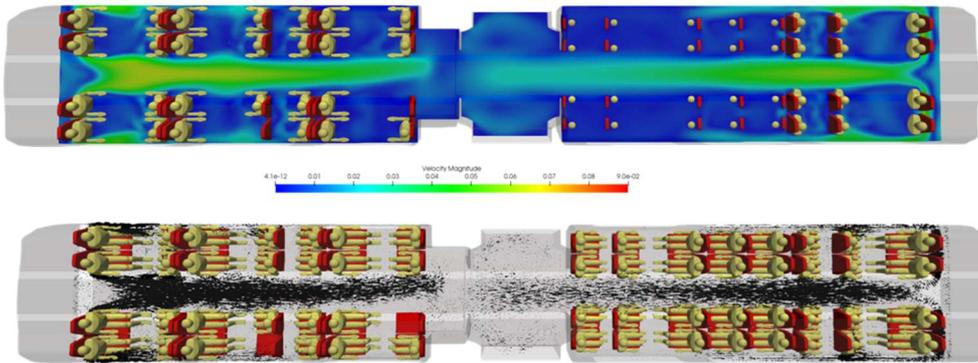
274 Figure 5 and Figure 6 respectively show the velocity magnitude and vectors in a vertical cross-section and a
275 horizontal-cross section of the carriage. Figure 7 illustrates the velocity vectors coloured by the velocity
276 magnitude in a horizontal cross-section of the carriage. As expected from the ventilation system considered, the
277 air flows from the ends of the rail coach to its middle and from the bottom to the top of the coach. The velocity is
278 low: it is less than 7 cm.s^{-1} everywhere. The central aisle in compartments 1 and 3 of the carriage (see Figure 3)
279 is unobstructed and channels the flow. This is where the highest velocities in the carriage occur. On the contrary,
280 seats and passengers inside the carriage represent obstacles; between them, eddies are formed, both horizontally
281 and vertically. By this combination, multiple 3D local, low-velocity recirculations between the passengers are
282 superimposed upon the principal air flow from the blowing vents at the end of compartments 1 and 3 to the
283 extracting slits distributed all along the roof of the carriage. Finally, the changes in the sections between
284 compartments 1 and 2 and compartments 3 and 2 of the rail coach also lead to the development of vortices.

285



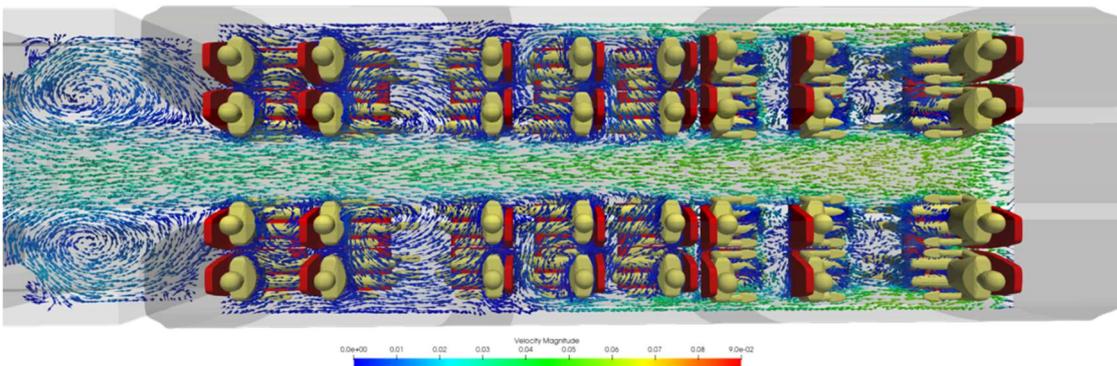
286

287 **Figure 5.** Velocity magnitude (in m.s^{-1}) and velocity vectors in a vertical plane across the middle of the carriage.



288

289 **Figure 6.** Velocity magnitude (in m.s^{-1}) and velocity vectors in a horizontal plane at 1 m above the floor in
290 compartment 1 of the carriage.



291

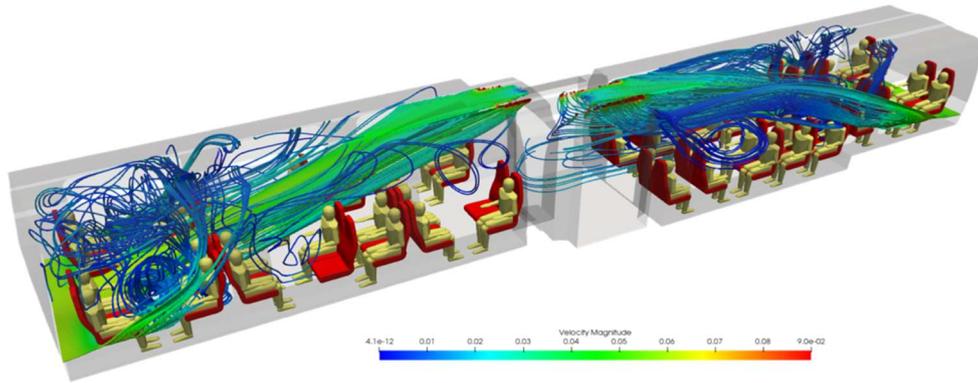
292 **Figure 7.** Zoom on the velocity vectors coloured by the velocity magnitude (in m.s^{-1}) in a horizontal plane at 1 m
293 above the floor in compartment 3 of the carriage.

294

295 Figure 8 and Figure 9 show streamlines of the air flow coming from the blowing vents at the ends of the
296 carriage. From a global point of view, streamlines depart from the endpoints of the rail coach in compartments 1
297 and 3, move from the bottom to the top of the carriage, and join the extracting vents in the roof of compartments
298 1, 2 and 3 of the rail coach. In more detail, streamlines issued from close points may exhibit very different routes

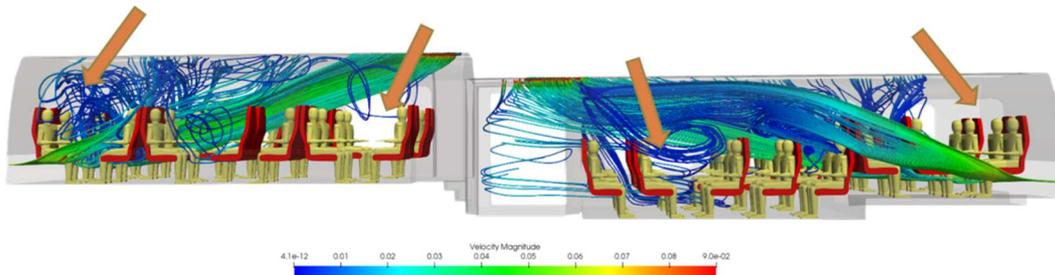
299 as the inner space of the carriage comprises an unobstructed aisle and obstacles constituted by the seats and the
300 passengers. While several streamlines take direct routes along the aisle, many others follow 3D eddies
301 developing between the passengers seated face-to-face or between the rows of seats. The local recirculations are
302 characterised by low or even very low velocities, and some spaces are quite unventilated as indicated by the
303 orange arrows in Figure 9.

304



305

306 **Figure 8.** Oblique view of the streamlines departing from the blowing vents at the ends of the carriage and
307 coloured by the velocity magnitude.



308

309 **Figure 9.** Side view of the streamlines departing from the blowing vents at the ends of the carriage and coloured
310 by the velocity magnitude.

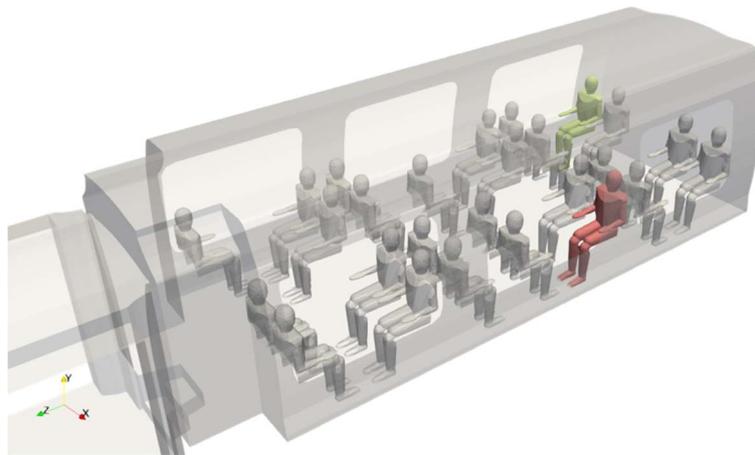
311

312 The next step of the numerical study involved the unsteady computations of the air flow and dispersion of the
313 drops and droplets emitted by passengers when coughing or breathing. The 3D air velocity field in the rail coach
314 is disturbed only very locally by the initial velocity of the drops and droplets. Thus, it is not presented in this
315 paper, and the next sub-sections focus on the source terms generated by the coughing and breathing and on the
316 simulation of the space and time distributions of the drops and droplets in the inner space of the rail coach.

317

318 **Simulation of dissemination events in the rail coach**

319 3D numerical simulations give unlimited opportunities for studying scenarios of the dissemination of pathogenic
320 biological agents such as the SARS-CoV-2 virus inside a rail coach. In our case, we decided to consider a brief
321 cough and the normal respiration of a passenger assumed to be infected with the virus. In our exploratory
322 computations, the passengers did not wear individual protective masks. The contaminated individual who is
323 coughing was assumed to be seated in compartment 1 of the carriage in one of the two seats shown in Figure 10.
324 We also took into account a contaminated individual who was breathing and occupying the position of the green
325 manikin in Figure 10. While arbitrary, both of the chosen locations lead to interesting observations, which are
326 presented later in the results of the dispersion simulations.



327
328 **Figure 10.** Alternative locations of the coughing passenger (in red and in green) and of the breathing passenger
329 (in green) assumed to be infected with the virus. The manikins in red and in green are respectively referred to as
330 passenger 1 and passenger 2.

331
332 Coughing or, alternatively, breathing are typical events causing the dissemination of droplets and drops that are
333 likely to carry the SARS-Cov-2 virus, even more so when the infected individual does not wear a protective face
334 mask. The coughing and breathing were modelled dynamically, meaning that the flow dispersion of the droplets
335 and drops was simulated in an unsteady regime. The details about the dispersion computations are given in the
336 “Methods” section. Essentially, breathing and coughing are both expectorations, but they are very different. In
337 summary, the release of droplets and drops due to a cough is a single event of short duration, and the speed of
338 the air carrying the droplets or drops leaving the mouth is high in comparison with the air velocity around the
339 manikin. On the contrary, the release of droplets due to exhalation is repeated at each cycle of respiration, and
340 the speed of the air carrying the droplets is just slightly higher than the air velocity around the manikin. In the
341 test cases involving a cough, droplets and drops of four different aerodynamic diameters (1, 10, 100 and 1,000
342 μm) were considered, while in the test case involving exhalation, only droplets of 1 μm were taken into account.

343 Furthermore, a realistic number of droplets or drops (in order of magnitude) was released from the mouth of the
344 infected passengers, either 10,000 particles of each size during the cough or 1,000 particles for each exhalation.

345
346 The three dissemination events reported here (two coughs and one cyclic exhalation) were considered
347 independently. As for the air flow, the transport and dispersion simulations were performed with the CFD model
348 referred to as Code_SATURNE. As far as the dispersion modelling is concerned, two approaches may be
349 employed: Eulerian or Lagrangian. In our study, both approaches were used with two simultaneous aims: first, to
350 compare the results and verify their similarity, and second, to contribute to the development of appropriate
351 methods that are generally applicable to the dissemination of infectious agents in confined, ventilated spaces. In
352 the following sub-section, we present and comment on the results of the dispersion simulations.

353
354 **Results of the 3D dispersion simulations corresponding to a cough or to cyclic exhalation**

355 This sub-section is organised in three parts. The first part compares the Eulerian and Lagrangian results for the
356 test case of the brief cough for one location of the infected passenger and micrometric droplets, the second part
357 presents the Lagrangian results for the test case of the brief cough for the other location of the infected passenger
358 and with all sizes of droplets and drops, and the third part presents the Lagrangian results for the test case of the
359 cyclic exhalation with micrometric droplets.

360
361 *Comparison between Eulerian and Lagrangian results*

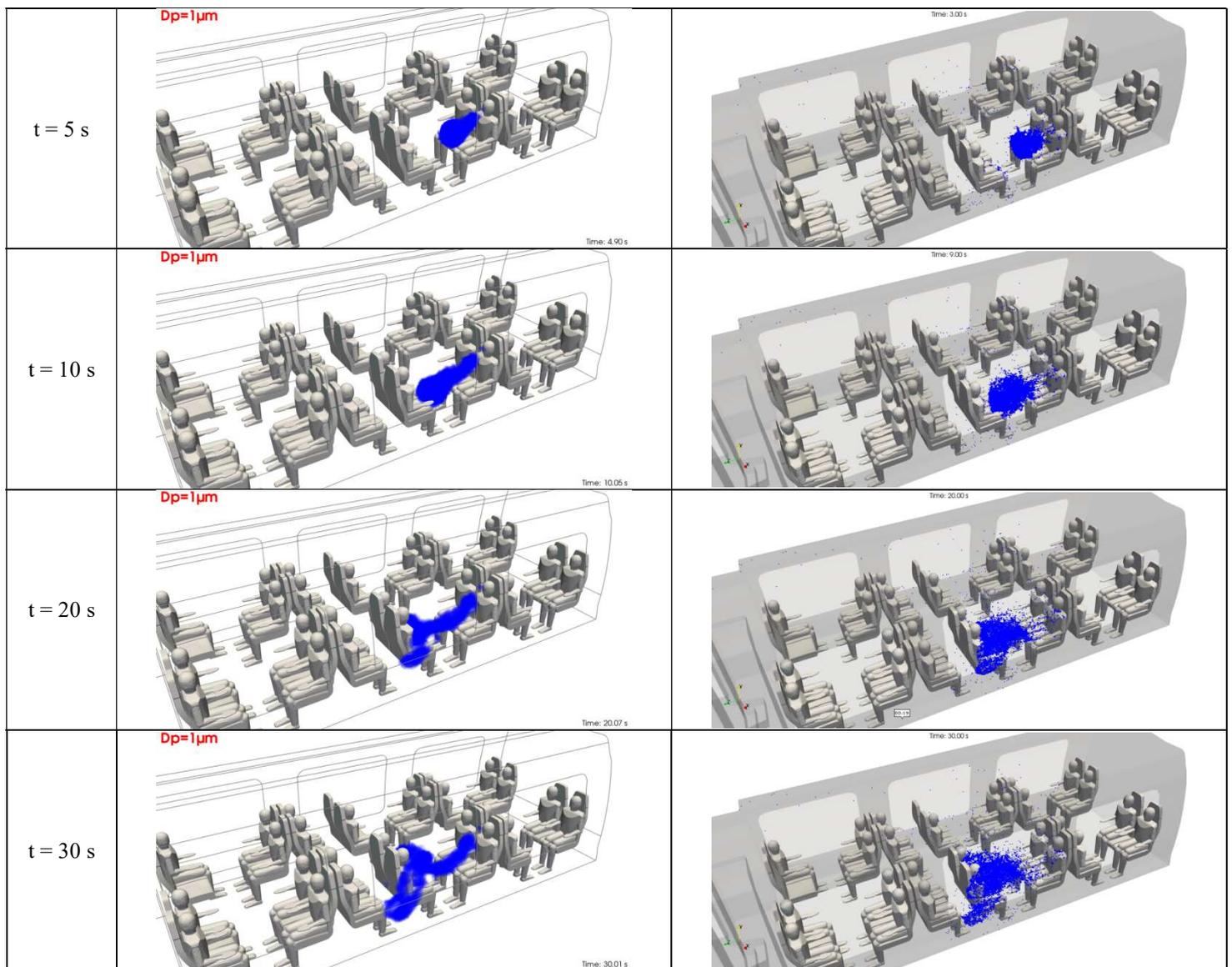
362 The CFD software operated in this numerical research study offers both the Eulerian and Lagrangian options for
363 the modelling and simulation of the dispersion of aerosols. As there was no reason in principle why one option
364 should be favoured over the other, it was decided to perform the Eulerian and Lagrangian computations together.

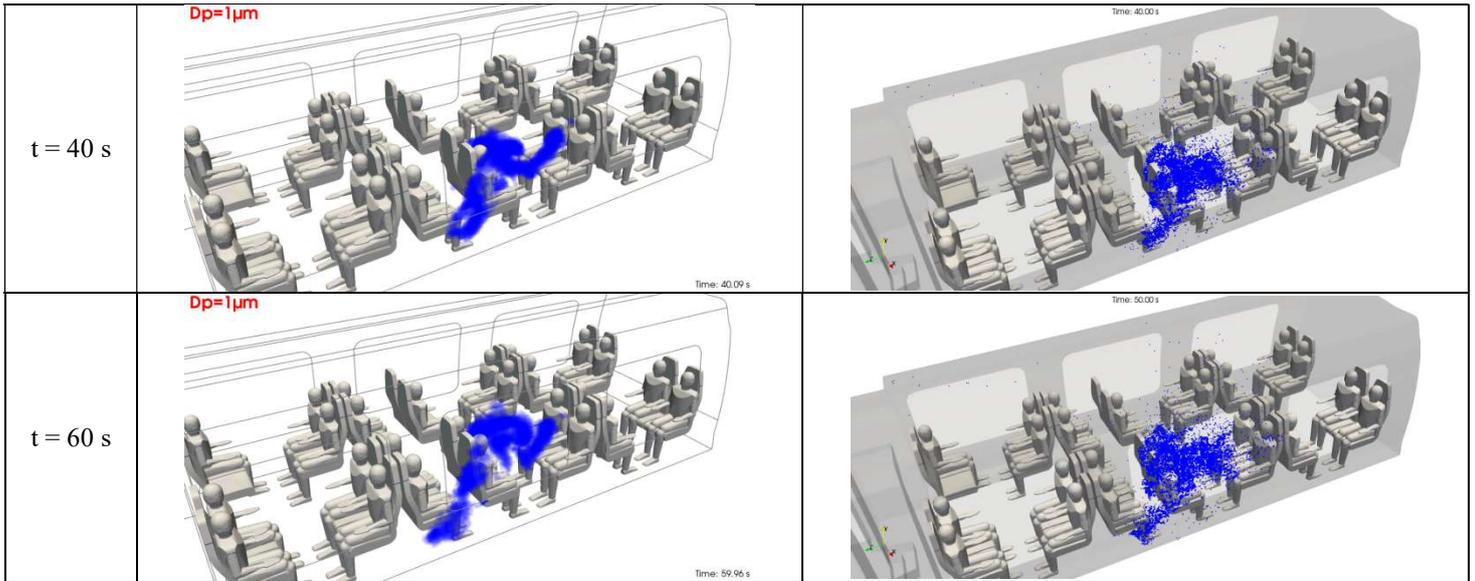
365 This sub-section describes the results obtained for the brief cough of passenger 1, who is assumed to emit 10,000
366 droplets of 1 μm in diameter in 0.5 second.

367
368 Figure 11 shows the 3D distribution of the micrometric droplets in the inner space of the rail coach. Videos were
369 produced in the framework of this study in order to effectively illustrate the dynamic nature of these results. In
370 this paper, however, we present snapshot views at six successive instants counted after the beginning of the
371 cough. While we have restricted the number of views in order to focus on the first minute of dispersion, results
372 could be provided for longer times.

373

374 The Eulerian and Lagrangian approaches are fundamentally different. From the Eulerian point of view, the
375 natural results of the simulations are volumetric concentrations (in droplets per m^3) obtained by solving a
376 transport and dispersion equation. As this extensive quantity is not very informative regarding the locations of
377 the droplets, it was post-processed to obtain the absolute number of droplets in the cells of the 3D mesh. Next, a
378 surface was created by wrapping the part of the space in the rail coach where the cells contained at least one
379 droplet. This surface is presented in the snapshots in Figure 11. From the Lagrangian point of view, it is more
380 natural to follow the droplets as each droplet trajectory is solved. The blue dots in Figure 11 are the locations of
381 all droplets captured for the successive snapshots.





382 **Figure 11.** Space and time distribution of the micrometric droplets emitted by passenger 1 after a brief cough. The
 383 left and right columns present the dispersion results at the same instants obtained using the Eulerian and the
 384 Lagrangian approaches, respectively. The distributions are displayed at 5 s, 10 s, 20 s, 30 s, 40 s and 60 s after the
 385 beginning of the cough.

386
 387 Both the Eulerian and Lagrangian results shown in Figure 11 lead to the same comments about the distribution
 388 of the micrometric droplets generated by passenger 1. From $t = 0$ to $t = 10$ seconds, the droplets experience the
 389 effect of the initial impulse given by the cough. They move rapidly in a straight line, perpendicularly with
 390 respect to the mouth of the spreader (15° beneath the horizontal direction), towards the passenger seated
 391 immediately opposite to the spreader. The plume of droplets also disperses during the same time interval. From t
 392 $= 10$ to $t = 20$ seconds, the droplets are in a flow zone between the passengers where the air velocity is very
 393 weak, and they tend to stagnate suspended in the air. From $t = 20$ to $t = 60$ seconds, the slow motion and
 394 dispersion of the particles operate simultaneously in two directions: vertically, along and around the chest of the
 395 passenger opposite to the spreader, and longitudinally, in the direction of the flow ventilating the carriage,
 396 towards the lower body of the passenger on the seat row next to the group of seats where the spreader is placed.
 397 In the same time interval, the droplets tend to diffuse and dilute in the space between the passengers.

398
 399 It should be noted that the Eulerian and Lagrangian dispersion results illustrated at six different instants in Figure
 400 11 are remarkably similar, not only for this test case, but for all situations studied. This is a reassuring output that
 401 reinforces the potential conclusions drawn from this research based on numerical simulation.

402

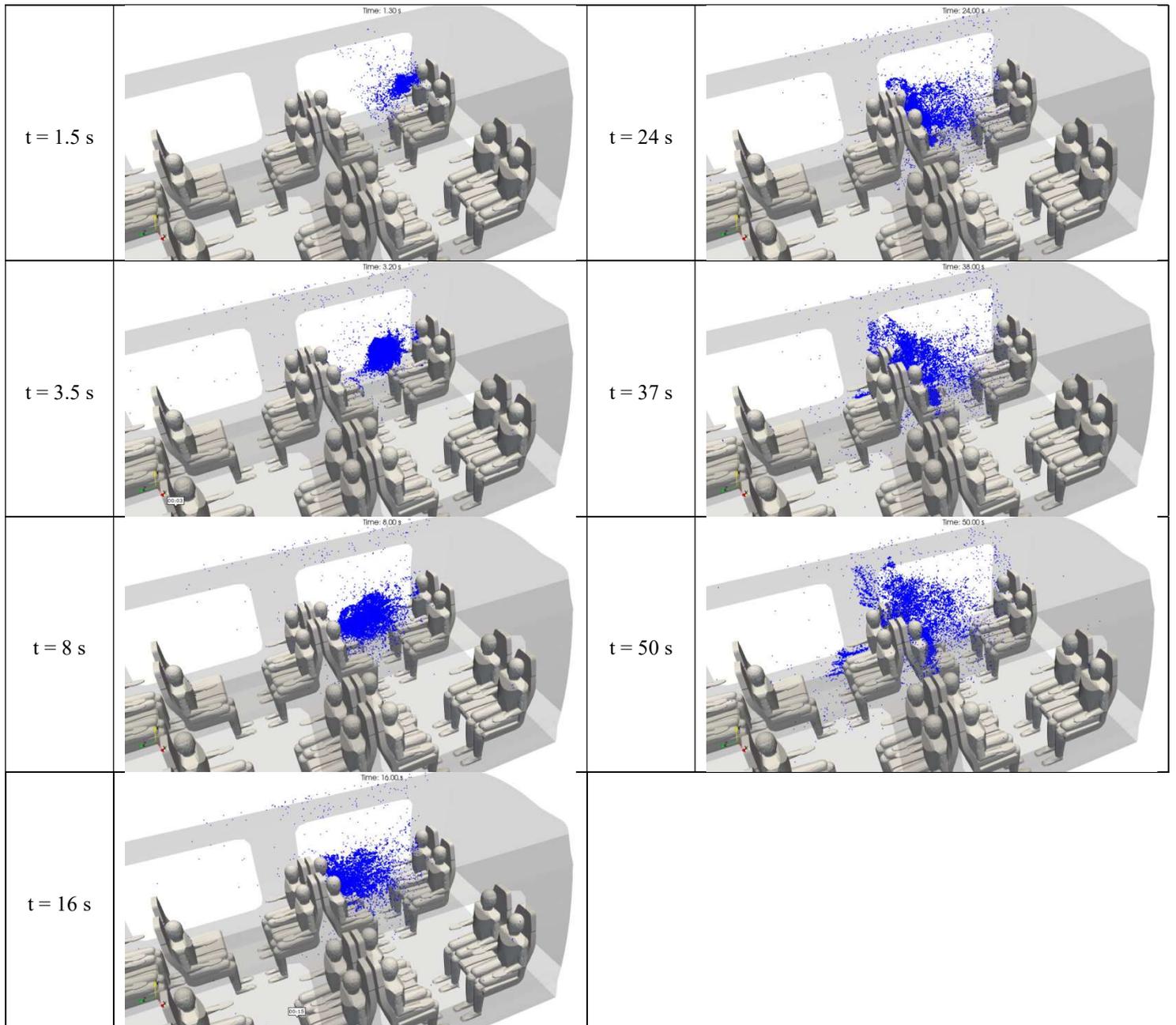
403 *Dynamic behaviour of the droplets and drops generated by a cough*

404 An outstanding feature of the CFD modelling developed in the context of this research is its ability to capture the
405 inherent differences in the aerodynamic behaviour of particles depending on their diameters. Once more, it was
406 verified that the Eulerian and Lagrangian approaches to dispersion led to analogous results and related
407 conclusions. For the sake of concision, only Lagrangian simulations are reported hereafter. This sub-section
408 describes the results obtained for the brief cough of passenger 2, who is assumed to emit 10,000 droplets of
409 either 1, 10, 100 or 1,000 μm in diameter, in 0.5 second.

410

411 Figure 12 shows the 3D distribution of the droplets of 1 μm in diameter in the inner space of the rail coach. This
412 figure provides snapshot views at seven successive instants from $t = 1.5$ seconds to $t = 50$ seconds. While it
413 would be possible to show the views made at later times, we have focused on the first minute of dispersion of the
414 micrometric droplets. From $t = 0$ to $t = 10$ seconds, the comments applicable to the droplets released by the
415 passenger 2 are similar to those made for passenger 1 in the previous sub-section. With the initial impulse given
416 by the cough, the droplets move rapidly and perpendicularly to the mouth of the spreader, towards the passenger
417 seated opposite to the spreader. The droplets are projected in a flow zone characterised by very low velocities in
418 the area between the group of four passengers including the spreader. The droplets tend to scatter at a slow pace.
419 After $t = 10$ seconds, the micrometric droplets spread on both sides and above the passenger seated opposite to
420 the spreader. They become diluted in the space around this passenger, while also moving along the flow imposed
421 by the ventilation system, and gradually reach the seat row next to the group of four seats including the spreader.
422 With variations compared to coughing passenger 1, the droplets emitted by passenger 2 have more trajectories
423 heading more quickly toward the upper part of the carriage and the extracting vents in the roof. After 1 minute of
424 simulated dispersion (results not presented in this paper), the droplets continue moving forward through the
425 carriage with the ventilation flow and being extracted through the vents in the roof. However, they follow
426 complicated swirling trajectories between the seated passengers and around 15 minutes are necessary to evacuate
427 all droplets.

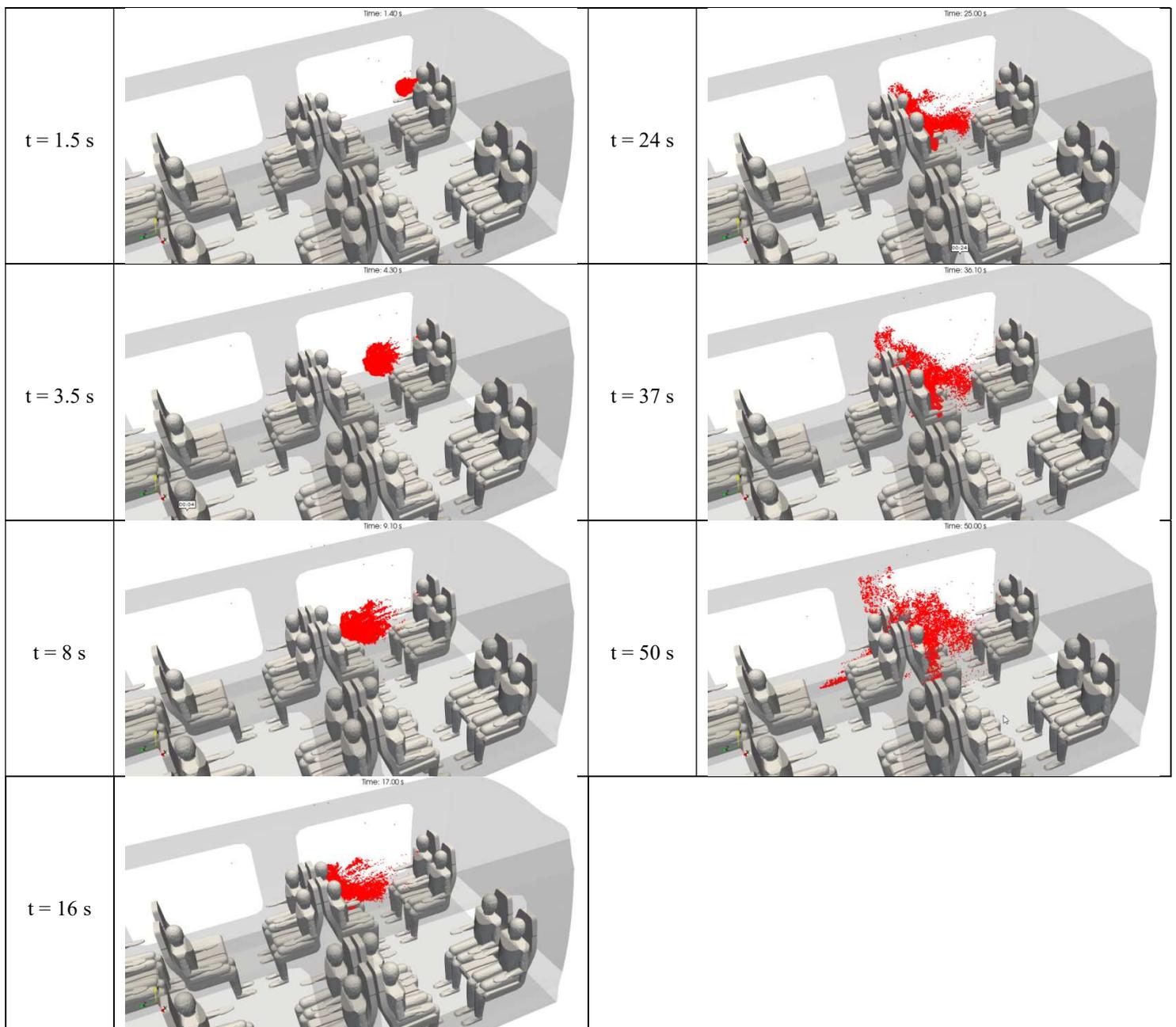
428



429 **Figure 12.** Space and time distribution of the droplets of 1 μm in aerodynamic diameter emitted by passenger 2
 430 after a brief cough. The distributions result from the Lagrangian simulations displayed at 1.5 s, 3.5 s, 8 s, 16 s, 24 s,
 431 37 s and 50 s after the beginning of the cough.

432
 433 Figure 13 shows the 3D distribution of the droplets of 10 μm in diameter in the inner space of the rail coach. As
 434 for the preceding figure, this one provides snapshot views at the same seven successive instants from $t = 1.5$
 435 seconds to $t = 50$ seconds. The first point to notice is the similar behaviour exhibited by the droplets of 10 μm in
 436 diameter compared to the droplets of 1 μm in diameter. Nevertheless, some differences are noticeable. First, the
 437 effect of sedimentation is still weak, but it is no longer negligible, and there is a slight drift of the 10 μm droplets

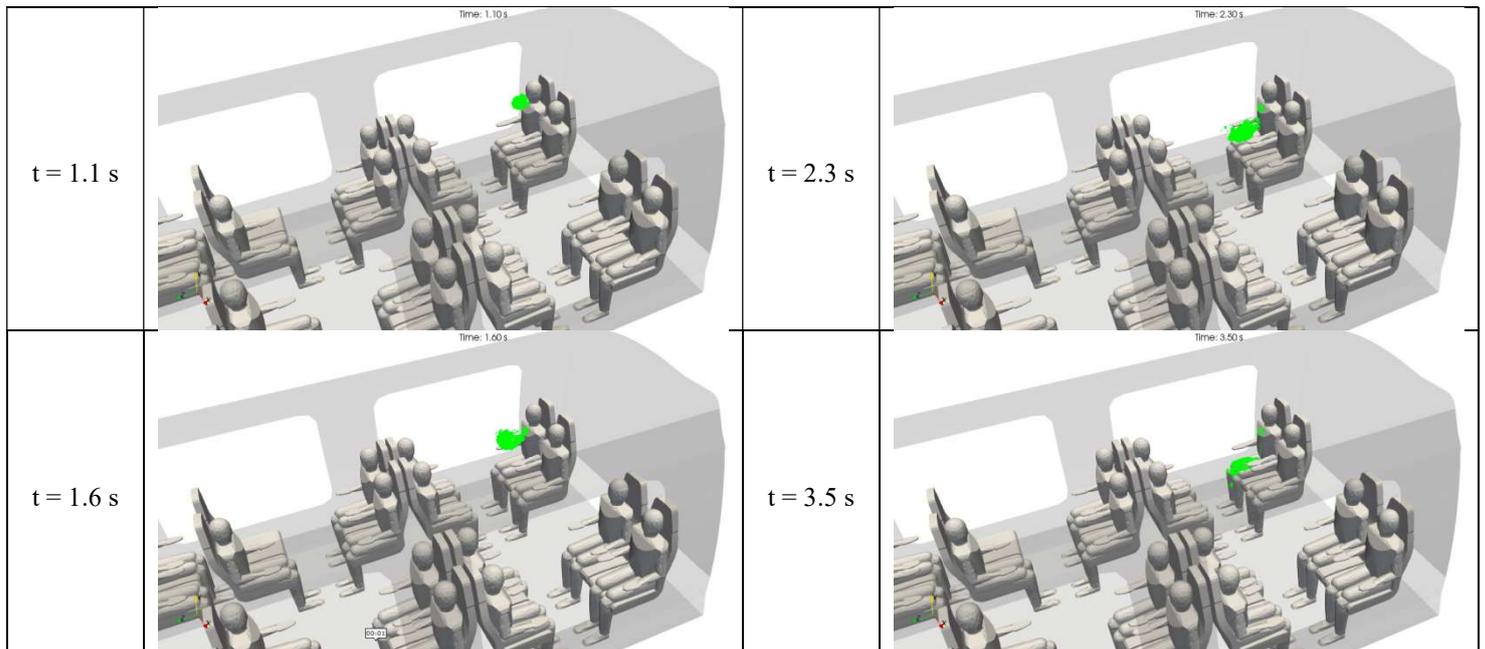
438 from the carrier air flow. Moreover, the spread throughout the rail coach space of the $10\ \mu\text{m}$ droplets is less than
439 the spread of the $1\ \mu\text{m}$ particles. This can be observed at $t = 1.5\ \text{s}$, $3.5\ \text{s}$ and $8\ \text{s}$, in the cluster formed by the
440 particles located in between the group of four passengers with the spreader. The $10\ \mu\text{m}$ particles tend to gather
441 together, while the $1\ \mu\text{m}$ particles progressively scatter. The more intense scattering of the $1\ \mu\text{m}$ droplets is also
442 visible by means of the blue dots representing the $1\ \mu\text{m}$ droplets in Figure 12, which are clearly much more
443 numerous and dispersed in a larger part of the carriage space than the red dots standing for the $10\ \mu\text{m}$ droplets in
444 Figure 13. Furthermore, while the deposition of the $1\ \mu\text{m}$ particles on the accessible surfaces (in particular, the
445 seats and the passengers) is negligible, this is not the case for the $10\ \mu\text{m}$ particles (not shown in this paper).
446



447 **Figure 13.** Space and time distribution of the droplets of 10 μm in aerodynamic diameter emitted by passenger 2
448 after a brief cough. The distributions result from the Lagrangian simulations displayed at 1.5 s, 3.5 s, 8 s, 16 s, 24 s,
449 37 s and 50 s after the beginning of the cough.

450
451 Figure 14 shows the 3D distribution of the drops of 100 μm in diameter in the inner space of the rail coach. This
452 figure provides snapshot views at four successive instants from $t = 1.1$ seconds to $t = 3.5$ seconds. It is worth
453 noting that these instants are much shorter than those chosen for the droplets of 1 and 10 μm in diameter,
454 indicating that the drops of 100 or 1,000 μm in diameter have very different characteristic times. In stark contrast
455 with both Figure 12 and Figure 13, Figure 14 illustrates the basically distinct aerodynamic behaviour of the 100
456 μm drops in comparison with the 1 μm and 10 μm droplets. In spite of the initial impulse provided by the cough,
457 the 100 μm drops are subject to gravitational settling and fall down on the knees of the spreader. While not
458 explicitly visible in the figure, the deposition of the 100 μm drops is very effective and happens in the first
459 seconds after the beginning of the cough.

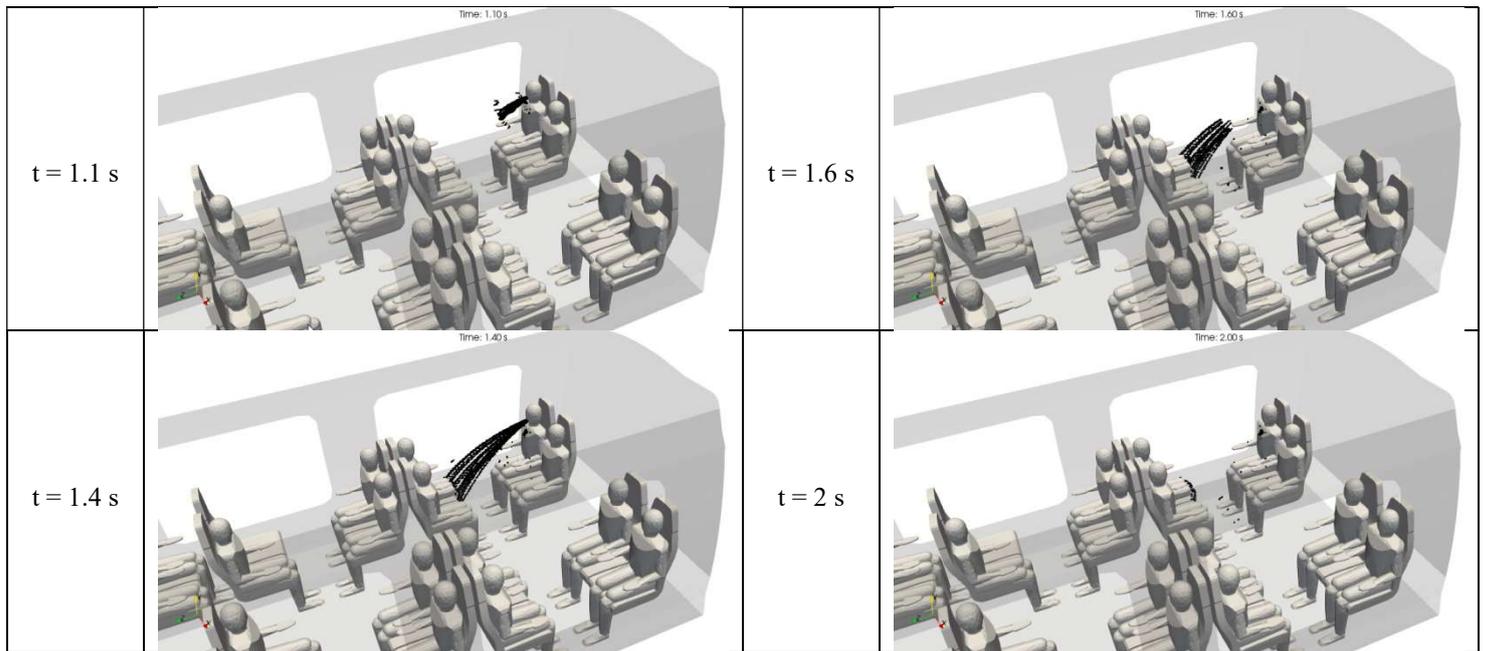
460



461 **Figure 14.** Space and time distribution of the drops of 100 μm in aerodynamic diameter emitted by passenger 2
462 after a brief cough. The distributions result from the Lagrangian simulations displayed at 1.1 s, 1.6 s, 2.3 s and
463 3.5 s after the beginning of the cough.

464

465 Figure 15 shows the 3D distribution of the drops of 1,000 μm in diameter in the inner space of the rail coach.
 466 This figure provides snapshot views at four successive instants from $t = 1.1$ seconds to $t = 2$ seconds. Once more,
 467 these instants are considerably shorter than those considered for the 1 μm and 10 μm droplets, and even for the
 468 100 μm droplets, revealing extremely different aerodynamic characteristic times. In Figure 15, the trajectories of
 469 the 1,000 μm drops are of a ballistic nature. Accounting for the initial impulse due to the passenger's coughing
 470 and the weak velocity of the ambient air flow, the drops are projected like bullets from the mouth of the spreader
 471 to the knees of the passenger opposite to the spreader.
 472



473 **Figure 15.** Space and time distribution of the drops of 1,000 μm in aerodynamic diameter emitted by passenger
 474 2 after a brief cough. The distributions result from the Lagrangian simulations displayed at 1.1 s, 1.4 s, 1.6 s and
 475 2 s after the beginning of the cough.

476
 477 In summary, the droplets of 1 or 10 μm in aerodynamic diameter follow the air streamlines perfectly or almost
 478 perfectly. In a sense, they are stuck in the flow, adapting to all recirculations and swirling motion without
 479 deviating from the streamlines. On the contrary, the drops of 100 or 1,000 μm in diameter are subject to inertia
 480 and sedimentation effects. Their trajectories cannot follow the streamlines of the air flow and strongly deviate
 481 from them. There are also major differences in the aerodynamic behaviour of the 100 and 1,000 μm drops, the
 482 former being at the limit of what can be called an aerosol, and the latter acting as projectiles should sufficient
 483 initial momentum be given to them.

484

485 *Distribution of the droplets emitted in the course of a cyclic exhalation*

486 Expectoration of droplets or drops by individuals possibly infected with the SARS-CoV-2 virus may happen in a
487 variety of ways. In order to extend our research founded on 3D numerical simulation, we also studied the
488 situation of a passenger (#2) placed in the rail coach, breathing normally without a face mask and emitting
489 micrometric droplets. The source term generated by the passenger is very different from the single, brief cough
490 examined above, as in this case respiration is being studied instead. Respiration is a cyclic process including
491 inhalation, exhalation and an interruption of respective approximate durations of 2 seconds, 2 seconds and 1
492 second for an individual at rest. Thus, the source term for breathing is the production of droplets for 2 seconds
493 every 5 seconds (which corresponds to 12 respirations per minute). This sub-section describes the results
494 obtained for the calm breathing of passenger 2, who is assumed to emit 1,000 droplets of 1 μm in diameter
495 during each of his or her exhalations. The time period considered is relatively long at 585 seconds; it takes into
496 account 117 exhalations.

497

498 Figure 16 shows the 3D distribution of the micrometric droplets in the inner space of the rail coach. Once more,
499 such results are dynamic, and a video was produced in the course of the numerical study. In this paper, we
500 present snapshot views at eight successive instants counted after the beginning of the respiration cycle. While we
501 have restricted the number of views and focused on the first ten minutes of the cycle, results could be provided
502 for longer times.

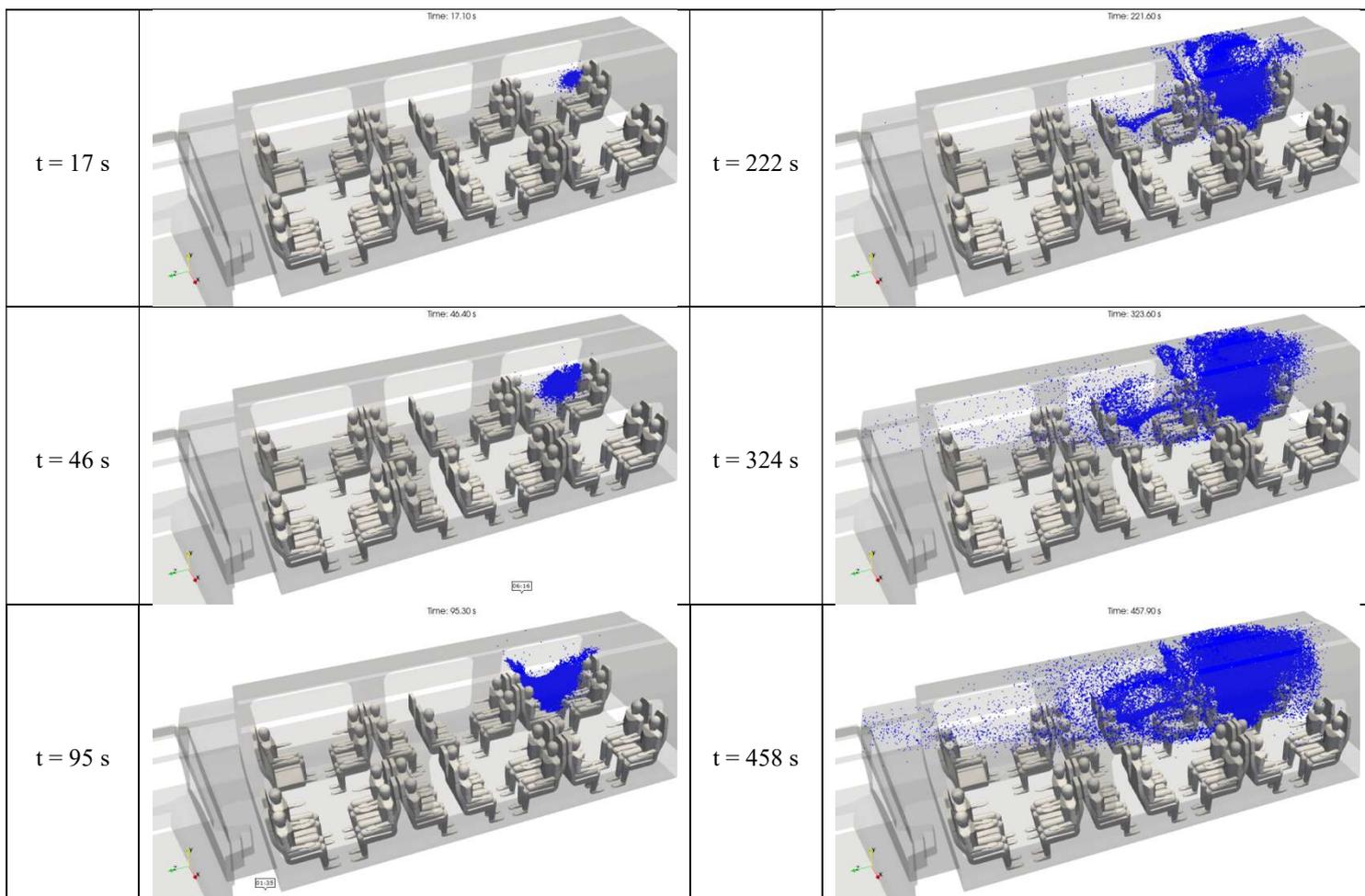
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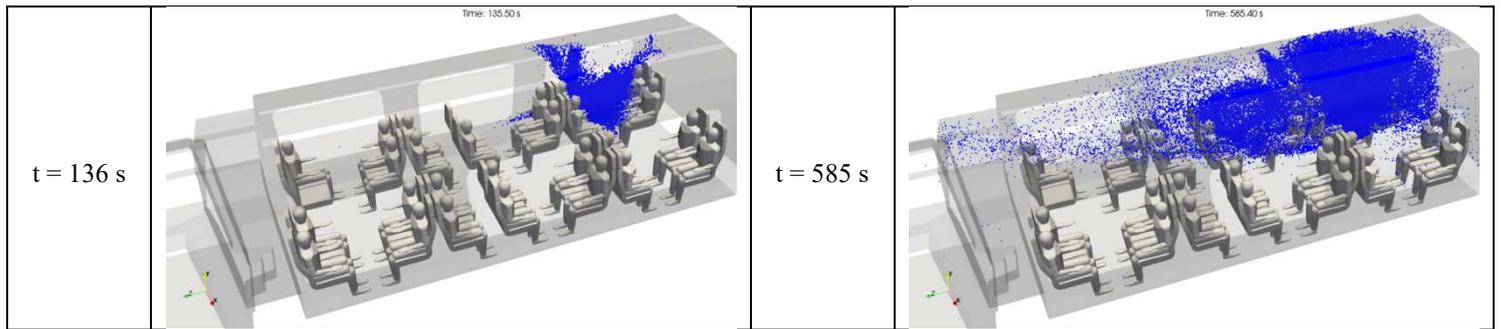
504 Regarding the fate of the droplets produced by the exhalations of passenger 2, the simulated time period is
505 prolonged by a factor of around 10 in comparison with the cough test cases (passenger 1 or passenger 2). Thus,
506 the transport and dispersion of the droplets is evaluated for much longer durations and distances. One can
507 observe that the micrometric droplets do not stay close to the spreader, but tend to occupy a large part of volume
508 1 of the rail coach. After about two minutes, the turbulent air flow has both transported and scattered the droplets
509 in between the four passengers closest to the spreader. After about eight minutes, the four passengers next to the
510 first group of passengers on the same side of the carriage are reached by the droplets. While the progression of
511 the droplets occurs principally between and above the passengers seated on the same side as the spreader, some
512 droplets tend to approach the passengers of the seat rows on the other side of the aisle. The droplets are

513 progressively evacuated from the rail coach through the air extraction vents in the roof of compartment 1 of the
514 carriage, or they head towards the extraction vents in the roof of central compartment 2.

515
516 The residence time of the droplets in volume 1 of the carriage varies from less than one minute to more than 10
517 minutes. On average, it corresponds to the geometric residence time of the air in the carriage, which is equal to 7
518 minutes (see the “Methods” section for more explanations). For a given droplet, the residence time depends on
519 the trajectory. The trajectories of the micrometric droplets are very close to the air flow streamlines, which can
520 be more or less whirling in nature, or can on the contrary go directly from the mouth of the spreader to the air
521 extraction vents. As new droplets are periodically generated by the spreader, the distribution of the droplets in
522 the inner space of the rail coach reaches a stationary regime after a number of cycles of respiration (not shown in
523 the paper).

524





525 **Figure 16.** Space and time distribution of the droplets of $1\ \mu\text{m}$ in aerodynamic diameter emitted by passenger 2
 526 during periodic exhalation. The distributions result from the Lagrangian simulations displayed at 17 s, 46 s, 95 s,
 527 136 s, 222 s, 324 s, 458 s and 585 s after the beginning of the breathing cycle.

528

529 Discussion

530 The research work detailed in this paper is based on physical modelling and numerical simulation using up-to-
 531 date CFD. The air flow induced by the ventilation is computed in 3D in the internal space of a public railway
 532 transport coach. Moreover, certain passengers seated in the carriage, who are assumed to be infected with the
 533 SARS-CoV-2 virus, produce liquid particles of a range of diameters from 1 to $1,000\ \mu\text{m}$ when exhaling
 534 respiratory air or when coughing. The passengers are not wearing protective face masks, and the small or large
 535 particles (respectively called “droplets” or “drops”) are assumed to carry the virus. The transport and dispersion
 536 of the particles throughout the carriage are evaluated in 3D, taking account of their size. Other passengers may
 537 inhale the particles transported by the droplets or drops and thus be contaminated by the virus.

538

539 The main achievements of the numerical study can be summarised as follows:

- 540 • Input data have been sought in the relevant literature regarding the geometry and ventilation of
 541 suburban trains, and regarding the expectoration of droplets and drops by individuals in the course of
 542 processes such as respiration and coughing (as well as speaking, singing or sneezing). Our aim was to
 543 reach a satisfying level of realism regarding the internal geometry of the rail coach and the humanoids
 544 populating it. Of course, there is still room for improvement in the material and human components of
 545 our 3D mock-up.
- 546 • The thoroughly validated CFD code referred to as Code_SATURNE has been adapted to model
 547 turbulent flow and dispersion in the inner part of a rail coach. The results regarding the flow and
 548 dispersion of particles are fully consistent with what could be expected. In particular, the essential

549 differences in the dynamic behaviour of droplets (1 and 10 μm) and drops (100 and 1,000 μm) are very
550 well illustrated. While the smaller particles follow the air flow almost perfectly, the larger ones deviate
551 from the air streamlines due to their inertia and their deposition dominated by gravitational settling.
552 Moreover, the dispersion simulations were performed using both the Eulerian and Lagrangian
553 approaches, which showed remarkably similar results.

554 • The numerical results have been post-processed in order to produce didactic, compelling graphical
555 visualisations, both static (images) and dynamic (videos). Obviously, this is an ancillary, though non-
556 negligible, part of this research, which should be prolonged in practical applications as mentioned later
557 in the paper.

558

559 This numerical research has been carried out with the first principal goal of demonstrating the feasibility of
560 properly accounting for the dynamic processes. This method has required many assumptions to be made. As
561 numerous the hypotheses may be, however, there exist opportunities to remove them, offering scientific
562 perspectives for this work that are enumerated hereafter:

563 • In this study, the air flow turbulence is modelled using a Reynolds-averaged Navier-Stokes k-epsilon
564 model. At the cost of increased computational resources, turbulence could be accounted for using large-
565 eddy simulations. This alternative approach would provide detailed information about the space and
566 time variability of the air flow and processes influencing the distribution of the droplets and drops
567 (mixing, dilution, etc.).

568 • Mass transfer phenomena such as the evaporation of the droplets and drops or even condensation on dry
569 particles were ignored in the first stage of the simulations. Still, the CFD code used in the study gives
570 modelling possibilities that could be deployed with additional developments. Thus, one could obtain the
571 evolution of the sizes of the droplets and drops when transported and dispersed in the ventilated semi-
572 confined space. Moreover, it could be of interest to take account of the processes of aerosol physics
573 such as nucleation, agglomeration, etc. These supplementary models could use the ambient temperature
574 and relative humidity as parameters, because they seem to have a major effect on the transmission of
575 the virus and on human contamination.

576 • It would also be a valuable option to model some biological aspects related to the SARS-CoV-2 virus
577 by benefiting from information provided by specialists focusing on this particular virus. For instance,
578 the approximate number of virions in the droplets and drops produced by the infected passenger

579 depending on his or her stage of the disease would be crucial quantitative information for estimating the
580 likelihood of healthy passengers becoming infected. One could also model the depletion of infectivity
581 where appropriate, and more generally the fate of the virus in droplets and drops as they dry up,
582 whether they are suspended in the air or deposited onto accessible surfaces.

583 • The manikins integrated in the numerical 3D mock-up could be rendered more humanlike and
584 animated. For example, we could alternate manikins of diverse sizes representing male and female
585 adults or children. In this study, we have modelled the mouth of the passenger assumed to be infected
586 with the SARS-CoV-2 virus in order to make him or her breathe out and cough. In the next step, we
587 could easily model the nose of all manikins to make them inhale air and droplets carrying the virus.
588 Furthermore, real spectra of droplets and drops should be used as source terms for the breathing out and
589 coughing. This would be an improvement in the presentation and practical use of the simulations of the
590 dispersion of droplets and drops in enclosed spaces. Finally, other processes leading to the
591 expectoration of droplets or drops, such as speaking or sneezing, could be simulated in order to
592 supplement the results for coughing and breathing out.

593 • In our study, the infected passenger is not wearing a face mask. In a forthcoming stage of this research
594 work, the manikins could have masks, especially the one who is the spreader. To account for masked
595 manikins in a tractable manner, the method could be to explicitly mesh the bust and face of a sole
596 manikin equipped with a mask, and to simulate the flow field around the manikin when it breathes
597 (inhalation and exhalation) through the mask. Then, the magnitude and direction of the velocity vectors
598 around the mask and the face of the manikin determined from this computation could be used as
599 boundary conditions in the simulations of full semi-confined ventilated spaces such as a rail coach, with
600 a large number of manikins implicitly wearing masks. Another piece of necessary information, which
601 the mask manufacturers often make available, would be the filtration efficiency of the masks as a
602 function of the droplet and drop sizes.

603
604 The principal achievement of this research lies in having demonstrated the use of methods associated with a tried
605 and tested computational tool adapted to the 3D simulation of the transport and dispersion of aerosols carrying
606 the SARS-CoV-2 virus or other respiratory viruses. The application of the modelling system to a public railway
607 transport coach has proved useful and relevant. Beyond the stakes of mass transit, the test cases performed can

608 serve as a conceptual illustration of the value of simulations in grasping a complicated phenomenology, and at a
609 further stage could help identify means and measures to limit the dissemination of the SARS-CoV-2 virus.

610

611 Following this work, numerous practical perspectives may be envisioned.

- 612 • While the studied rail coach is realistic in terms of geometry and ventilation features, it would be of
613 interest for railroad companies to carry out simulations comparable to those presented in the paper using
614 the geometric and HVAC data of real trains and carriages circulating on their networks. Furthermore,
615 this would be very useful and important for studying the effects of a modified internal carriage
616 configuration on the air flow and distribution of the liquid particles expectorated by passengers. For
617 instance, the modifications could consist in a reversal of the seats by positioning them in front of the
618 windows or, more simply, the mounting of partitions between some of the seat rows. Numerical
619 simulations are cheaper and easier to carry out than experiments, and they can provide precious
620 information about the configurations that are most able to limit the dissemination of the droplets.
- 621 • As mentioned before, the concepts underlying our numerical study can be applied to any kind of
622 ventilated, more or less confined, large or small, private or collective-use space. Thus, utilising the
623 same methods and computational tool, one could proceed with the simulation of SARS-CoV-2
624 dissemination in means of transport such as planes or cruise ships, or in multiple places such as
625 restaurants, performance halls, nurseries, classrooms, open-plan offices, factories, workshops or
626 slaughterhouses. Moreover, CFD numerical studies of semi-open spaces, such as the bleachers in a
627 stadium or the narrow streets of densely built urban districts in historic or tourist destinations, could be
628 undertaken according to the principles and tools developed in this paper.
- 629 • Subsequently, the 3D numerical simulations of SARS-CoV-2 dissemination in the form of aerosols
630 should be extremely valuable for estimating the criticality of the gathering of people, when some of
631 them are infected with the virus in closed or semi-closed spaces such as those given as examples above.
632 General guidance and recommendations could be deduced, thereby contributing to tackling the SARS-
633 CoV-2 virus or other respiratory viruses.

634

635 **Methods**

636 The central tenet of this numerical research is to exploit a proven, reliable CFD tool to replace experiments in the
637 real world. This strategy is appropriate insofar as the computational tool operated in the study has been

638 thoroughly validated for simulations of the dispersion of aerosols in laminar or turbulent flows. This study is
639 based on a series of stages comprising choices related to the modelling, the search for available relevant data, the
640 development of the 3D mock-up of the ventilated space occupied by human beings, the implementation of flow
641 and dispersion simulations and, finally, the post-processing of the results to make them easily exploitable. The
642 choices for the physical modelling mainly relate to the turbulence of the flow, the dispersion of the droplets or
643 drops and their deposition onto the accessible surfaces (rail coach walls, seats, passengers, etc.). The choices for
644 the numerical modelling relate to the type and other characteristics of the meshing and to numerical parameters
645 such as the time step. Otherwise, a number of data are necessary to run the simulations. The data principally
646 relate to the geometry and ventilation of the rail coach, the occupancy of the rail coach by the passengers, and
647 the characteristics of the droplets and drops generated by the passengers. Some of these modelling and data
648 aspects are reviewed in the following part of this section.

649

650 *Modelling with Code_SATURNE*

651 All of the simulations presented in this paper were carried out using Code_SATURNE, a general-purpose, open-
652 source CFD computational tool developed by the R&D division of the French electricity supplier EDF and by
653 the Atmospheric Environment Teaching and Research Centre (CEREA) in Paris, France. Code_SATURNE is a
654 finite volume code using structured or unstructured 2D or 3D meshes. It has many numerical solvers for laminar
655 or turbulent, steady or unsteady, incompressible or compressible, isothermal or non-isothermal, non-reactive or
656 reactive flows.

657

658 Code_SATURNE implements several approaches for turbulence modelling in the Reynolds-averaged Navier-
659 Stokes and large-eddy simulations formalisms. In this study, we have chosen to use the former approach and,
660 more precisely, the standard k-epsilon model, because this model is robust and provides results in moderate
661 amounts of time. Moreover, as proven by the convincing results of the numerical study, this turbulence approach
662 seems adequate to depict the average transport and dispersion of droplets or drops carried by the air flow. In
663 further development, however, large-eddy simulations could be considered, because they would indeed provide
664 more information about the 3D space and time fluctuations of the turbulent flow acting on the turbulent
665 dispersion of the droplets and drops.

666

667 As for dispersion modelling, Code_SATURNE has the great advantage of proposing both the Eulerian and
668 Lagrangian approaches. In the former, solid or liquid particles are treated as a phase carried by the air flow, and
669 their volumetric concentration is obtained by solving a transport and dispersion equation (if the particles have
670 different diameters, they can be sorted by classes with as many equations solved as classes of particles). In the
671 latter, solid or liquid particles are considered individually, and their trajectories are determined by solving as
672 many equations of motion as there are particles. The Eulerian approach is deemed to be subject to numerical
673 diffusion, though this drawback may be limited by relevant choices of numerical schemes. The Lagrangian
674 approach is more complex to utilise, but also the more appropriate method when it comes to accounting for all
675 forces acting on the particles. Both approaches take into account the average and fluctuating components of the
676 flow field, the latter component being evaluated on the basis of the turbulent properties of the flow.

677
678 Code_SATURNE has been validated in a very large number of academic test cases exhibiting analytical
679 solutions and against measurements in wind-tunnel and in-field experiments. The validation files encompass
680 various configurations of internal or external turbulent flows³¹⁻³², some of them carrying solid or liquid particles
681 in a range of diameters³³⁻³⁴⁻³⁵.

682
683 *Numerical schemes and options in Code_SATURNE*

684 Regarding the setup of Code_SATURNE, the SIMPLEC velocity-pressure coupling algorithm was implemented
685 in all simulations, which were carried out in two stages. In the first stage, the steady-state flow generated by the
686 ventilation system in the rail coach was computed. For this purpose, a pseudo-steady CFL-limited solver with a
687 space- and time-varying time step was used. In the second stage, the flow, which was locally perturbed by a
688 dissemination event (a cough or breathing out), was computed again along with the dispersion of droplets and
689 drops, using either an Eulerian approach or a Lagrangian approach. The Eulerian approach consisted in solving,
690 in addition to the flow, the transport equation of the volumetric concentration of the droplets or drops. A solver
691 with an adaptive time step and a reference time step of 0.1 second was used. The Lagrangian approach consisted
692 in solving the dynamic equations of individual droplets or drops. A constant time step was used; it was shorter
693 for the faster event (the cough) and longer for the slower event (breathing). This parameter was crucial for the
694 accuracy of the results, and it was chosen carefully depending on the mesh spatial discretisation. Tests showed
695 that time steps of 0.005 second for the cough and 0.1 second for the breathing were suitable.

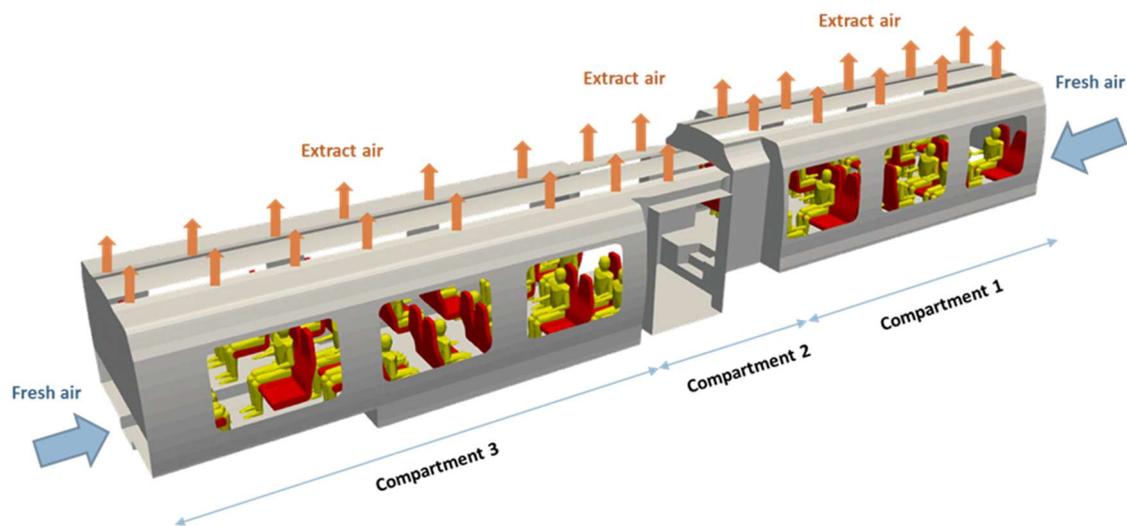
696

697 *Details about the ventilation of the rail coach*

698 Determining the features of the ventilation system was an important step in the numerical study. The data relate
699 to the conditions of air supply and extraction, that is to say the location, shape and dimensions of the supply and
700 extraction vents and the flow rates through these vents. The data chosen in our simulations were inspired by a
701 review of air conditioning and ventilation in trains, and by the geometry files of the rail coach taken as an
702 example.

703

704 Figure 17 sketches the layout of the ventilation in the rail coach. The flow rates through the extracting vents in
705 compartments 1 and 3 were adjusted to generate a flow motion from the ends to the middle of the rail coach and
706 to provide for the extraction of 50% of the air through compartment 2.



707

708 **Figure 17.** Layout of the ventilation in the CFD mock-up of the rail coach. The air is blown in at the ends of the
709 carriage and is extracted through splits in the roof of the carriage. Compartments 1 and 3 are occupied by the
710 passengers. Compartment 2 is the place where they access the rail coach.

711

712 Table 1 presents the data relating to the ventilation of the carriage. The whole velocity of the supplied or
713 extracted air is less than $0.2 \text{ m}\cdot\text{s}^{-1}$. The air renewal rate in each compartment of the carriage is computed using
714 the flow rate supplied to this compartment. It is equal to 8.7. The residence time is obtained by dividing the
715 volume of each compartment by the air flow rate supplied to this compartment. It represents the average
716 residence time of the air in this compartment.

717

718 **Table 1.** Ventilation characteristics of the compartments occupied by the passengers. These data were used in
 719 the simulation of the air flow in the inner space of the rail coach.

	Compartment 1	Compartment 3
Volume	27.34 m ³	30.49 m ³
Supplied flow rate	0.066 m ³ .s ⁻¹	0.074 m ³ .s ⁻¹
Extracted flow rate	0.033 m ³ .s ⁻¹	0.037 m ³ .s ⁻¹
Extraction deficit	0.033 m ³ .s ⁻¹	0.037 m ³ .s ⁻¹
Air renewal rate	8.7	8.7
Residence time	414 s	412 s

720

721 *Dissemination events involving droplets or drops*

722 The dissemination events considered in the numerical study originate either from a cough or from exhalation.
 723 While in both cases droplets or drops are expectorated by the passenger, these events are associated with distinct
 724 source terms. The cough leads to a single brief release. Of course, more than one cough, as occurs with a
 725 coughing attack, could be considered, with several coughs simulated one after the other. In contrast, exhalation
 726 leads to a periodic release related to the respiration cycle. The initial impulse of the expectoration is much higher
 727 for the cough than for the exhalation. Yet, in either case, the impulse is directed orthogonally to the mouth of the
 728 passenger, with an angle of 15° beneath the horizontal direction. Another difference between coughing and
 729 exhalation is the size of the particles produced. While coughing may lead to a full spectrum of droplets and
 730 drops, breathing out produces micrometric droplets. Regarding the cough, we decided to consider particles
 731 separately over a wide range of sizes, from 1 μm to 1,000 μm in aerodynamic diameter. In a further stage of this
 732 research, it would be interesting to adopt a more realistic spectrum produced in the event of a cough. It is also
 733 worth noting that other dissemination events such as sneezing or speaking could be envisaged. Indeed, these
 734 events are quite close to coughing and breathing out, respectively. Table 2 and Table 3 compile the features of
 735 the cough and the exhalation, respectively, which were considered when constituting the source terms in the
 736 dispersion simulations.

737

738 **Table 2.** Characteristics of a cough disseminating droplets and drops of different sizes.

Type of dissemination event	A cough is a single expectoration from the mouth.
Duration of coughing	0.5 s

Velocity of the expectorated air	4.5 m.s ⁻¹
Direction of the expectorated air	Perpendicular to the mouth, 15° beneath the horizontal direction
Aerodynamic diameters of the particles	4 classes: 1 μm, 10 μm, 100 μm and 1,000 μm
Number of particles	10,000 in each class of particles

739

740

Table 3. Characteristics of the exhalation disseminating micrometric droplets.

Type of dissemination event	Breathing out is an intermittent expectoration from the mouth.
Respiratory frequency	12 respirations per minute, 5 s per cycle of inhalation / exhalation / break
Duration of the exhalation	2 s (2/5 of a respiratory cycle)
Velocity of the expectorated air	0.2 m.s ⁻¹
Direction of the expectorated air	Perpendicular to the mouth, 15° beneath the horizontal direction
Aerodynamic diameter of the particles	1 class: 1 μm
Number of particles	1,000 for each exhalation

741

742 *Computational resources and computational times*

743 The 3D numerical study was carried out using a workstation with a Bi-Xeon® Intel CPU processor equipped
744 with 2 x 16 hyper-threaded cores. The characteristics of the steady flow and unsteady dispersion simulations
745 associated with the dissemination events are given in Table 4 with the duration of the simulations as the
746 prominent information.

747

748 **Table 4.** Main features of the aerualics and dispersion of the droplets and drops considered in the simulations.

Characteristics	Steady flow computation	Cough		Breathing out
		Eulerian unsteady dispersion computation	Lagrangian unsteady dispersion computation	Lagrangian unsteady dispersion computation
Number of mesh cells	4 million			
Number of cores	10	10	15	20
Time step	-	From 0.01 to 0.2 s	0.01 s	0.1 s
Simulated physical time	-	475 s	50 s	600 s

Computation duration	12.4 h	22.2 h	71.6 h	71.4 h
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749

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824

825 **Author Contributions**

826 P.A. and J.T. conceived and designed the study. J.T. performed the simulations. P.A. and J.T. performed the
827 analyses. All authors wrote, read and approved the final manuscript.

828 **Additional Information**

829 **Figures and videos:** All graphical results, both static and dynamic, are available from the authors upon request.

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