

SNHG3/miR-148a-3p Axis-mediated High Expression of DNMT1 Correlates with Poor Prognosis and Tumor Immune Infiltration of Hepatocellular Carcinoma

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1 **SNHG3/miR-148a-3p axis-mediated high expression of DNMT1 correlates with**
2 **poor prognosis and tumor immune infiltration of hepatocellular carcinoma**

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12

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27

28

29 **Abstract**

30 **Background**

31 Epigenetic reprogramming plays an important role in the occurrence, development, and

32 prognosis of hepatocellular carcinoma (HCC). DNA methylation is a key epigenetic

33 regulatory mechanism, and DNA methyltransferase 1 (DNMT1) is the major enzyme

34 responsible for maintenance methylation. Nevertheless, the role and mechanism of

35 DNMT1 in HCC remains poorly defined.

36 **Methods**

37 In the current study, we conducted pan-cancer analysis for DNMT1's expression and

38 prognosis using The Cancer Genome Atlas (TCGA) data set. We conducted gene Set

39 Enrichment Analysis (GSEA) between high-and-low DNMT1 expression groups to

40 identify DNMT1-related functional significance. We also investigated the relationship
41 between DNMT1 expression and tumor immune microenvironment, including immune
42 cell infiltration and the expression of immune checkpoints. Through a combination
43 series of computer analyses (including expression analyses, correlation analyses, and
44 survival analyses), the noncoding RNAs (ncRNAs) that contribute to the
45 overexpression of DNMT1 were ultimately identified.

46 **Results**

47 We found that DNMT1 was upregulated in 16 types of human carcinoma including
48 HCC, and DNMT1 might be a biomarker predicting unfavorable prognosis in HCC
49 patients. DNMT1 mRNA expression was statistically associated with age, histological
50 grade, and the level of serum AFP. Moreover, DNMT1 level was significantly and
51 positively linked to tumor immune cell infiltration, immune cell biomarkers, and
52 immune checkpoint expression. Meanwhile, Gene Set Enrichment Analysis (GSEA)
53 revealed that high-DNMT1 expression was associated with epithelial mesenchymal
54 transition (EMT), E2F target, G2M checkpoint, and inflammatory response. Finally,
55 through a combination series of computer analyses the SNHG3/hsa-miR-148a-
56 3p/DNMT1 axis was confirmed as the potential regulatory pathway in HCC.

57 **Conclusion**

58 SNHG3/miR-148a-3p axis upregulation of DNMT1 may be related to poor outcome,
59 tumor immune infiltration, and regulated malignant properties in HCC.

60

61 **Keywords:** DNA-methyltransferase 1; hsa-miR-148a-3p; hepatocellular carcinoma;
62 tumor immune infiltration; noncoding RNA

63

64 **Introduction**

65 Hepatocellular carcinoma (HCC) is the fourth-leading cause of cancer-associated
66 mortality worldwide (1), with over 100,000 new cases diagnosed and deaths each year
67 (2). In China, the 5-year survival rate for HCC is only 14.1%, and the recurrence rate
68 is about 70% (3). Chemotherapy, liver transplantation, and surgery are common
69 treatments, but they are only suitable for early-stage HCC patients (4). Since the
70 molecular pathogenesis of HCC remains to be elucidated, it is essential to identify and
71 characterize novel cancer-promoting genes to enable a better understanding this deadly
72 disease, identify promising prognostic biomarkers, and develop more effective clinical
73 therapies.

74

75 Epigenetic reprogramming regulates the malignant properties of HCC. DNA
76 methylation is a key epigenetic regulatory mechanism that determines the pool of
77 cancer stem cells in liver cancer and possibly other solid tumors (5) that are usually
78 catalyzed by DNA methyltransferases (DNMTs) DNMT1, DNMT3a, and DNMT3b (6).
79 Site-specific hypermethylation and silencing of putative tumor-suppressor genes
80 associated with abnormal expression of DNMTs could cause carcinogenesis and tumor
81 progression (7). DNMT1 is considered to be a maintenance DNA methyltransferase

82 which mainly maintains CpG methylation and is involved in embryonic development
83 and somatic cell survival(8). DNMT1 is universally overexpressed in proliferating cells.
84 Extensive studies have indicated that DNMT1 is closely associated with tumorigenesis
85 and metastasis in various cancers, including melanoma (9), prostate cancer (10),
86 pancreatic cancer (11), head and neck squamous carcinoma (12), and breast cancer (13).
87 Overexpression of DNMT1 in tumors indicates a poor prognosis (14). Furthermore, it
88 has been reported that DNMT1 could modulate the immune system by maintaining
89 forkhead box P3 (Foxp3) DNA methylation (15). Nevertheless, comprehensive studies
90 on the expression, prognosis, and mechanisms of DNMT1 in HCC remain to be
91 conducted, and the relationship between DNMT1 and tumor immune infiltration in
92 HCC has been poorly defined.

93
94 In the present study, we first conducted expression analyses and survival analyses of
95 DNMT1 in various human cancers. Next, we investigated microRNA (miRNA)-related
96 and long noncoding RNAs (lncRNA)-related DNMT1 regulation in HCC. We
97 ultimately clarified the relationship between DNMT1 expression and tumor immune
98 microenvironment, including immune cell infiltration and the expression of immune
99 checkpoints.

100

101 **Methods**

102 *The Cancer Genome Atlas data acquisition*

103 Level 3 RNA-sequencing (RNA-seq) data (Fragments Per Kilobase per Million
104 [FPKM]) of 33 types of human cancer, including from The Cancer Genome Atlas-
105 Liver Hepatocellular Carcinoma (TCGA-LIHC) data set and corresponding clinical
106 information, were obtained from TCGA Genomic Data Commons (GDC,
107 <https://portal.gdc.cancer.gov/>). The RNA-seq data (FPKM values) were then
108 transformed to transcripts-per-million reads (TPM) and normalized into $\log_2(TPM+1)$.

109

110 ***Kaplan-Meier plotter analysis***

111 Survival analysis for DNMT1, including overall survival (OS) and relapse-free survival
112 (RFS) in 8 types of human cancer, were analyzed using the Kaplan-Meier plotter
113 (<http://kmplot.com/analysis/>), which is an online database able to assess the association
114 of RNA expression with survival in 21 cancer types.

115

116 ***Gene Expression Profiling Interactive Analysis database analysis***

117 The correlation between DNMT1 and biomarkers of immune cells in HCC was
118 analyzed using data from TCGA by Gene Expression Profiling Interactive Analysis
119 (GEPIA, <http://gepia.cancer-pku.cn/>) (16).

120

121 ***Candidate miRNA prediction and starBase database analysis***

122 Upstream binding miRNAs of DNMT1 were predicted by the following miRNA-target
123 prediction programs: PITA (https://tools4mirs.org/software/target_prediction/pita/),

124 RNA22 (<https://cm.jefferson.edu/rna22/>), miRmap (<https://mirmap.ezlab.org/>), microT
125 (<https://bio.tools/DIANA-microT>), miRanda, PicTar (<https://pictar.mdc-berlin.de/>),
126 and TargetScan (http://www.targetscan.org/vert_72/). We finally only included those
127 miRNAs appearing in 2 or more of the above programs as candidate miRNAs of
128 DNMT1 for subsequent analysis. The expression correlation between candidate
129 miRNAs and DNMT1 and the expression level of hsa-miR-148a-3p in HCC were
130 analyzed with the starBase v3.0 project (<http://starbase.sysu.edu.cn/>). In addition,
131 starBase was employed to predict candidate lncRNAs potentially binding to hsa-miR-
132 148a-3p. The expression correlation between hsa-miR-148a-3p and SNHG3 or between
133 SNHG3 and DNMT1 in HCC were also analyzed by starBase.

134

135 ***Gene Set Enrichment Analysis***

136 Gene Set Enrichment Analysis (GSEA, [http://software.broadinstitute.org/gsea/index.](http://software.broadinstitute.org/gsea/index.jsp)
137 [http://software.broadinstitute.org/gsea/index.](http://software.broadinstitute.org/gsea/index.jsp)
137 jsp) was conducted between high-and-low DNMT1 expression groups to identify
138 DNMT1-related functional significance based on the Hallmark gene set
139 (“h.all.v7.0.symbols.gmt”). Statistical significance was considered at $|NES| > 1$, adjusted
140 P value < 0.05 , and false discovery rate (FDR) < 0.05 .

141

142 ***Cell composition fraction estimation***

143 To make reliable immune infiltration estimations, we used “immunedeconv”
144 (<https://icbi-lab.github.io/immunedeconv/>), an R package that integrates 6 state-of-

145 the-art algorithms, including xCell, MCP-counter, TIMER, CIBERSORT, EPIC, and
146 quanTIseq. Visualization was performed using the software packages “ggplot2” and
147 “pheatmap”.

148

149 *Additional bioinformatic and statistical analysis*

150 We used R software (version 3.6.3, <https://www.r-project.org/>) to analyze data and plot
151 graphs. Wilcoxon rank-sum test for unpaired samples and the Wilcoxon signed-rank
152 test was used for paired samples. Visualization was performed using the R package
153 “ggplot2” (<http://ggplot2.org>). Patient characteristics between groups were compared
154 using the chi-square test and Fisher’s exact test. Correlations of all RNAs and those
155 between the levels of RNAs and immune checkpoints in HCC were analyzed using
156 Spearman’s correlation and visualized using “ggplot2”. Survival analysis for all RNAs
157 in the competing endogenous RNA (ceRNA) network was carried out and visualized
158 using the survival package in R (<https://CRAN.R-project.org/package=survival>). The
159 differentially expressed genes (DEGs) were determined by limma tests with
160 $|\log_2(\text{FC})| > 1$ and adjusted P value < 0.05 (17). The Kruskal-Wallis test was used to
161 analyze the RNA expression among different histological grades. A P value < 0.05 was
162 considered statistically significant.

163

164 **Results**

165 *Pan-cancer analysis of DNMT1 expression*

166 We first analyzed the expression of DNMT1 in 33 types of TCGA-ALL (normal = 730,
167 tumor = 11,363) database to investigate its possible roles in carcinogenesis. As
168 presented in Fig. 1A, DNMT1 was upregulated in 16 types of human carcinoma,
169 including bladder cancer (BLCA), cervical and endocervical cancer (CESC), breast
170 cancer (BRCA), cholangiocarcinoma (CHOL), colon adenocarcinoma (COAD),
171 esophageal carcinoma (ESCA), head and neck squamous cell carcinoma (HNSC),
172 glioblastoma multiforme (GBM), kidney renal clear cell carcinoma (KIRC), LIHC,
173 lung adenocarcinoma (LUAD), pheochromocytoma and paraganglioma (PCPG), rectum
174 adenocarcinoma (READ), stomach adenocarcinoma (STAD), thyroid carcinoma
175 (THCA), and uterine corpus endometrial carcinoma (UCEC), and downregulated in
176 kidney chromophobe (KICH), while not significantly altered in kidney renal papillary
177 cell carcinoma (KIRP), pancreatic adenocarcinoma (PAAD), thymoma (THYM), or
178 prostate adenocarcinoma (PRAD), compared with corresponding normal tissue. Next,
179 we verified the expression of DNMT1 in TCGA tumor tissues compared with paired
180 normal tissues. We found that the expression of DNMT1 was statistically increased in
181 UCEC, LIHC, BRCA, ESCA, HNSC, STAD, READ, BLCA, KIRC, LUAD, and LUSC
182 and significantly reduced in THCA (Fig. 1B-1M). In summary, DNMT1 was
183 upregulated in UCEC, LIHC, BRCA, ESCA, HNSC, STAD, READ, BLCA, KIRC,
184 and LUAD, indicating that DNMT1 might play a key regulatory role in the
185 carcinogenesis of these 10 cancers.

186

187 ***The prognostic values of DNMT1 in human cancer***

188 We next performed survival analysis, including OS and RFS, for DNMT1 in UCEC,
189 BLCA, BRCA, HNSC, LIHC, LUSC, THCA, and LUAD. For OS, LIHC patients
190 with higher expression of DNMT1 had a poorer prognosis; however, those with a
191 higher expression with HNSC had a better prognosis (Fig.2). For RFS, increased
192 expression of DNMT1 was correlated with poor clinical outcomes in LIHC and
193 THCA patients (Fig. 3). Taken together, these data suggested that DNMT1 could be
194 used as a biomarker predicting unfavorable prognosis in HCC patients.

195

196 ***Relationship between DNMT1 expression and clinical characteristics in HCC***

197 We downloaded clinical and gene expression data of HCC patients from TCGA
198 database, including gender, age, histologic grade, alpha-fetoprotein (AFP), OS, Child-
199 Pugh grade, T classification, and pathologic stage. Then, associations between clinical
200 characteristics and DNMT1 mRNA expression in HCC were analyzed. Patients were
201 divided into high- and low-expression groups on the basis of median DNMT1 mRNA
202 expression. Our results revealed that DNMT1 mRNA expression was statistically
203 associated with age, histological grade, and the level of serum AFP (all $P < 0.001$;; Table
204 1).

205

206 ***Prediction and analysis of upstream miRNAs of DNMT1***

207 ncRNAs are responsible for the regulation of gene expression and can be classified into
208 miRNAs, small nucleolar RNAs (snoRNAs), circular RNAs (circRNAs), and lncRNAs
209 (18). To determine whether DNMT1 was regulated by some ncRNAs, we first predicted
210 the upstream miRNAs that might bind to DNMT1 and finally found 14 miRNAs. For
211 better visualization, we established an miRNA-DNMT1 regulatory network using
212 Cytoscape software (<https://cytoscape.org/>) (Fig.4A). In theory, there should be a
213 negative correlation between miRNA and DNMT1 due to the action mechanism of
214 miRNA. Therefore, we performed expression correlation analysis for miRNA-DNMT1
215 pairs. As shown in [Fig. 4B](#), DNMT1 was significantly and negatively associated with
216 hsa-miR-148a-3p and positively linked to the other 13 predicted miRNAs in HCC. Then,
217 we assessed the expression of hsa-miR-148a-3p in HCC and normal control samples
218 with starBase and evaluated the prognostic value of hsa-miR-148a-3p in HCC with a
219 Kaplan-Meier plotter. As shown in Fig. 4C and 4D, hsa-miR-148a-3p was significantly
220 downregulated in HCC, and its downregulation was correlated with poor prognosis.
221 Together, these findings suggest that hsa-miR-148a-3p might be the most influential
222 upstream miRNA of DNMT1 in HCC.

223

224 ***Prediction and analysis of upstream lncRNAs of hsa-miR-148a-3p***

225 Next, we predicted the upstream lncRNAs of hsa-miR-148a-3p using the starBase
226 database and obtained a total of 45 possible lncRNAs. Similarly, for better visualization,
227 the lncRNA-hsa-miR-148a-3p regulatory network was established using Cytoscape

228 software (Fig. 5A). We then detected 1548 DEGs between TCGA-LIHC tumor samples
229 and normal tissues using the “limma” R package. A Venn diagram was finally created
230 showing 3 overlaps of the 1454 DEGs and 45 possible lncRNAs (Fig. 5B), indicating
231 that LINC01554, small nucleolar RNA host gene 3 (SNHG3), and H19 were the co-
232 expressed differential lncRNAs found in both cohorts. As is presented in the volcano
233 plot in Fig. 5C, SNHG3 was the upregulated gene and LINC01554 or H19 was the
234 downregulated gene in HCC. Subsequently, the prognostic values of the SNHG3 in
235 HCC were assessed, which revealed that overexpressed SNHG3 indicated poor OS of
236 patients with HCC (Fig. 5D). Based on the above results, we next explored whether
237 SNHG3 could regulate hsa-miR-148a-3p expression as a ceRNA in HCC. According
238 to the ceRNA hypothesis, lncRNAs usually serve as ceRNAs by binding to miRNAs,
239 and the key qualified lncRNAs in the ceRNA subnet should be negatively associated
240 with miRNA and positively linked to mRNA at the same time. The expression
241 correlation between SNHG3 and hsa-miR-148a-3p or DNMT1 in HCC is shown in Fig.
242 5E-F. Furthermore, different expressions of DNMT1, has-miR-148a-3p, and SNHG3
243 were observed in normal and HCC tissues of different histologic grades (Fig. 5 G-I).
244 While the expression of DNMT1 and SNHG3 in HCC was statistically increased, the
245 expression of hsa-miR-148a-3p was significantly reduced compared with
246 corresponding normal tissue. Likewise, while high-grade groups (G2/G3/G4) had
247 significantly higher DNMT1 and SNHG3 expression than low-grade groups (G1), the
248 expression of hsa-miR-148a-3p in low-grade groups (G1) was higher. Cumulatively,

249 these findings suggested that SNHG3 might serve as a ceRNA to mediate DNMT1 by
250 competitively binding to hsa-miR-148a-3p.

251

252 *DNMT1 expression was positively related to immune cell infiltration in HCC*

253 Tumor-infiltrating immune cells are independent predictors of cancer survival. As
254 presented in Fig. 6A, there was no significant change in the level of immune cell
255 infiltration with copy number alteration of DNMT1 in HCC. Thus, we conducted a
256 correlation analysis between DNMT1 expression and immune cell infiltration in HCC
257 using TIMER (<http://timer.cistrome.org/>). The expression of DNMT1 was significantly
258 and positively related to all analyzed immune cells, including B cell
259 (Cor = 0.486; $P = 8.03e^{-22}$), CD8+ T cell (Cor = 0.331; $P = 3.36e^{-10}$), CD4+ T cell
260 (Cor = 0.494; $P = 1.40e^{-22}$), macrophage (Cor = 0.541; $P = 2.27e^{-24}$), neutrophil
261 (Cor = 0.467; $P = 4.67e^{-20}$), and dendritic cell (DC, Cor = 0.536; $P = 1.22e^{-26}$) in
262 HCC (Fig. 6B–G). Then, to further investigate the role of DNMT1 in tumor immunity,
263 HCC patients from TCGA were divided into DNMT1-high and DNMT1-low subgroups.
264 We used XCell (<https://xcell.ucsf.edu/>) to compare the differences in the abundance of
265 tumor-infiltrating immune cells and extracellular matrix cells between the 2 groups (Fig.
266 S1). Results illustrated that the stromal score was higher in the low-DNMT1 expression
267 group than in the high-DNMT1 expression group ($P < 0.001$). The high-DNMT1
268 expression group had a significantly higher abundance of CD4+ memory T cells, Th2
269 cells, gamma delta T cells (Tgd cells), natural killer (NK) T cells, monocytes, and most

270 DC and B cells, but a significantly lower abundance of M2 macrophages, CD4 central
271 memory T cells, CD8+ naive T cells, hematopoietic stem cells (HSCs), granulocyte-
272 macrophage progenitor (GMP) cells, and endothelial cells compared to the low-
273 DNMT1 expression group ($P < 0.05$). Finally, we used the GEPIA database to
274 determine the expression correlation of DNMT1 with immune cell biomarkers in HCC.
275 It was revealed that DNMT1 expression had significantly positive correlation with the
276 gene markers of B cells (CD19 and CD79A), CD8+ T cells (CD8A and CD8B), CD4+
277 T cells (CD4), M1 macrophages (IRF5 and PTGS2), M2 macrophages (CD163, VSIG4,
278 and MS4A4A), neutrophils (ITGAM and CCR7), and DCs (HLA-DPB1, HLA-DRA,
279 HLA-DPA1, CD1C, NRP1, and ITGAX) in HCC. All these results indicated that
280 DNMT1 is positively related to immune cell infiltration.

281

282 ***DNMT1 expression was positively associated with immune checkpoints in HCC***

283 Programmed cell death protein 1 (PD-1; also known as PDCD1), programmed death
284 ligand 1 (PD-L1; also known as CD274) , and cytotoxic T lymphocyte antigen 4
285 (CTLA4) are important biomarkers of T cell exhaustion (19). Tumors can escape
286 immune surveillance by taking advantage of immune checkpoints. We plotted the
287 relationship of DNMT1 and PD-1, PD-L1, and CTLA-4 to clarify the relationship
288 between DNMT1 and tumor immune escape. Expression of DNMT1 correlated
289 positively with all 3 of these immune checkpoints, showing statistical significance in
290 HCC (Fig.7A,7C,7E). Similar to GEPIA database analysis, we also found that DNMT1

291 expression was positively related to that of PD-1, PD-L1, and CTLA4 in HCC, with
292 statistical significance indicated with TIMER (Fig. 7B,7D,7F). These findings
293 confirmed that the carcinogenic effects mediated by DNMT1 might be related to the
294 dysfunctional state of T cells and tumor immune escape.

295

296 *GSEA identified DNMT1-related hallmark pathways*

297 To determine the potential function of DNMT1 in HCC, GSEA analyses were
298 conducted between the high- and low-DNMT1 expression groups. The top 4 hallmark
299 items (adjusted *P* value <0.05) involved in the high-DNMT1 expression group were
300 epithelial–mesenchymal transition, G₂/M checkpoint, E2F_targets, and inflammatory
301 response (Fig. 8A), while the top 4 Hallmark items (adjusted *P* value <0.05) involved
302 in the low-DNMT1 expression group were bile acid metabolism, fatty acid metabolism,
303 oxidative phosphorylation, and xenobiotic metabolism (Fig. 8B). Cyclin-dependent
304 kinase 1 (CDK1) is the key regulator of the G₂/M checkpoint (20). We further analyzed
305 the expression correlation of DNMT1 with CDK1 and E2Fs (E2F1, E2F2, E2F3, E2F4,
306 E2F5, E2F6, E2F7, and E2F8) in HCC. As shown in Fig. 8C, DNMT1 expression was
307 significantly and positively correlated with CDK1 expression. Fig. 8D-8K, further
308 shows that DNMT1 expression was positively related to that of E2F1, E2F2, E2F3,
309 E2F4, E2F5, E2F6, E2F7, and E2F8 in HCC, with statistical significance.

310

311 **Discussion**

312 The occurrence and development of HCC is a complex, dynamic biological process that
313 involves genetic, epigenetic cell state, and microenvironment alterations. Clarifying the
314 molecular mechanism underlying HCC carcinogenesis may contribute to the
315 development of effective therapeutic targets or valuable prognostic biomarkers.
316 Accumulating evidence has shown that DNMT1 participates in the tumorigenesis and
317 progression of various human cancers, including HCC. However, to date, knowledge
318 about DNMT1 in HCC remains insufficient, and further research is needed.

319

320 This study was performed to identify the feasibility of DNMT1 as a promising
321 biomarker in HCC patients. We first performed pan-cancer analysis on the expression
322 of DNMT1 using TCGA database. Then, the survival analysis of DNMT1 in some of
323 the cancer types with statistical significance as analyzed above was conducted,
324 indicating increased expression of DNMT1 to be correlated with poor clinical outcomes
325 in HCC. Moreover, high DNMT1 expression was associated with histological grade.
326 This suggests that upregulated DNMT1 might be involved in malignant transformation,
327 which is in line with previously described results (14).

328

329 The ceRNA hypothesis consists of lncRNA mainly regulating mRNA through the
330 ceRNA regulatory mechanism and RNAs affecting each other's levels by competing
331 with a limited pool of miRNAs (21). It has been shown that DNMT1 can inhibit the
332 transcription of tumor-suppressive miRNAs in cancer progression by maintaining their

333 hypermethylation (22). In our study, we developed a lncRNA–miRNA–mRNA triple
334 regulatory network related to DNMT1 in HCC. Through candidate miRNA prediction
335 conducted by the prediction programs—PITA, RNA22, miRmap, microT, miRanda,
336 PicTar, and TargetScan—and correlation analysis—including expression analysis and
337 survival analysis—we finally identified has-miR-148a-3p as the most likely potential
338 upstream miRNA of DNMT1 in HCC. Has-miR-148a-3p is a member of the miR-
339 148/152 family and has been reported to be a tumor suppressor for various human
340 cancers, including pancreatic cancer (23), esophageal cancer (24), and HCC (25).
341 Recent evidence has confirmed that the reciprocal negative regulation between hsa-
342 miR-148a-3p and DNMT1 contributes to cell proliferation, cell cycle processes, and
343 maintaining cell stemness characteristics in HCC (26).

344

345 Next, upstream lncRNAs of has-miR-148a-3p/DNMT1 axis were also predicted, and
346 45 possible lncRNAs were found. We intersected these lncRNAs with 1548 DEGs
347 between TCGA-LIHC tumor samples and normal tissues to screen for differentially
348 expressed lncRNAs. In the end, on the basis of the volcano map, ceRNA hypothesis,
349 and correlation analyses, we identified SNHG3 as the upstream lncRNA. It has been
350 reported that SNHG3 is an oncogene in many kinds of malignancies (27). Meanwhile,
351 multiple miRNAs in HCC have been shown to promote tumor growth and metastasis
352 through targeting SNHG3 (28, 29). Considering these findings, we identified
353 SNHG3/hsa-miR-148a-3p/DNMT1 axis as the potential regulatory pathway in HCC.

354

355 At present, compared with other tumors, immunotherapy for liver cancer is still in its
356 infancy (30). Increased infiltration of immune cells in tumors and high expression of
357 immune checkpoints contribute to the efficacy of immunotherapy (31). Epigenetic
358 modulation could enhance immunotherapy for HCC by upregulating previously
359 repressed neoantigens and increasing cytotoxic T-cell infiltration in the
360 immunosuppressive tumor microenvironment (32). It was found that increased
361 methylation of T-cells is beneficial and that epigenetic control in intratumoral T-cells
362 of Foxp3 can regulate the growth of HCC (33). Our results ultimately revealed DNMT1
363 expression to be positively associated with immune cell infiltrates, such as DCs, CD4+
364 T cells, and B cells. Meanwhile, we also found positive correlations between DNMT1
365 expression and immune checkpoints, which affect T-cell exhaustion and immune
366 escape. Taken together these findings indicate that DNMT1 may be a valuable
367 immunotherapy biomarker, and targeting DNMT1 might enhance the efficacy of
368 immunotherapy in HCC.

369

370 To further explore the biological functions of DNMT1, we conducted GSEA analyses
371 between the high- and low-DNMT1 expression groups. The GSEA found that Hallmark
372 gene sets enriched in the high-DNMT1 expression group were mainly related to
373 epithelial–mesenchymal transition (EMT), E2F target, G₂M checkpoint, and
374 inflammatory response. The EMT process is critical for epithelial cell invasion,

375 resistance to apoptosis, tumor dissemination, and drug resistance (34). It might be that
376 DNMT1-induced epigenetic silencing of SFRP1 causes activation of the Wnt signaling
377 pathway and increases the aggressiveness of HCC by induction of EMT (35). Both the
378 E2F and G₂/M checkpoints are targets associated with the cell cycle. Additionally, E2F
379 activators regulate the transition from the G1 to S phase in the cell cycle and control
380 cell apoptosis and differentiation (36). The key member of the Hallmark G₂M
381 checkpoint gene set is CDK1. Meanwhile, we identified that DNMT1 significantly
382 increased the expression of E2Fs and CDK1. These results amply demonstrated that
383 increased DNMT1 participates in tumor progression via deleterious interaction with
384 cell cycle-related molecules. The GSEA analyses also demonstrated enrichment of
385 genes involved in the inflammatory response, which might account for the increased
386 infiltration of immune cells in tumors. Cumulative, these findings elucidate the way in
387 which DNMT1 participates in HCC, which will help future targeted therapy research.

388

389 **Conclusions**

390 In summary, we established the SNHG3/hsa-miR-148a-3p/DNMT1 axis as the
391 potential regulatory pathway of hepatocarcinogenesis, which was also identified as a
392 biomarker of poor prognosis. We further found that DNMT1 might exert its oncogenic
393 effect through modulating cell cycle progression by regulating transcription and
394 increasing tumor immune cell infiltration and immune checkpoint expression in HCC.
395 However, these results should be validated by more basic hepatocarcinogenesis-related

396 experiments in the future.

397

398 **Declarations**

399 **Ethics approval and consent to participate:** The authors are accountable for all
400 aspects of the work in ensuring that questions related to the accuracy or integrity of any
401 part of the work are appropriately investigated and resolved.

402 **Consent for publication:** Not applicable.

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404 **Competing interests:** The authors have no conflicts of interest to declare.

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412 and interpretation: W Zheng, and N Yao; (VI) Manuscript writing: All authors; (VII)

413 **Final approval of manuscript:** All authors.

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416

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516

517 **Figure 1. Pan-cancer expression analysis for DNMT1.** (A) The expression of
518 DNMT1 in 33 types of human cancer based on TCGA cancer and normal data. (B–M)
519 DNMT1 expression in TCGA UCEC (B), LIHC (C), BRCA (D), ESCA (E), HNSC (F),
520 THCA (G), STAD (H), READ (I), BLCA (J), KIRC (K), LUAD (L), and LUSC (M)
521 tissues compared with paired normal tissues. *: P value ≤ 0.05 ; **: P value ≤ 0.01 ; ***:
522 P value ≤ 0.001 ; ns: P value > 0.05 .

523 DNMT1, DNA-methyltransferase 1; TCGA, The Cancer Genome Atlas; UCEC, uterine corpus
524 endometrial carcinoma; LIHC, liver hepatocellular cancer; BRCA, breast cancer; ESCA, esophageal
525 carcinoma; HNSC, head and neck squamous cell carcinoma; THCA, thyroid carcinoma; STAD, stomach
526 adenocarcinoma; READ, rectum adenocarcinoma; BLCA, bladder cancer; KIRC, kidney renal clear cell
527 carcinoma; LUAD, lung adenocarcinoma; KIRC, LUSC, lung squamous cell carcinoma

528 **Figure 2. OS of dichotomized DNMT1 expression in various human cancers.** (A–
529 H) The OS plot of DNMT1 in UCEC (A), BLCA (B), BRCA (C), HNSC (D), LIHC
530 (E), LUSC (F), THCA (G), and LUAD (H).

531 OS, overall survival; DNMT1, DNA-methyltransferase 1; TCGA, The Cancer Genome Atlas; UCEC,
532 uterine corpus endometrial carcinoma; LIHC, liver hepatocellular cancer; BRCA, breast cancer; ESCA,
533 esophageal carcinoma; HNSC, head and neck squamous cell carcinoma; THCA, thyroid carcinoma;
534 STAD, stomach adenocarcinoma; READ, rectum adenocarcinoma; BLCA, bladder cancer; KIRC,
535 kidney renal clear cell carcinoma; LUAD, lung adenocarcinoma; KIRC, LUSC, lung squamous cell
536 carcinoma

537

538 **Figure 3. RFS analysis of dichotomized DNMT1 expression in various human**
539 **cancers.** (A–H) The RFS plot of DNMT1 in UCEC (A), BLCA (B), BRCA (C), HNSC
540 (D), LIHC (E), LUSC (F), THCA (G), and LUAD (H).

541 RFS, relapse-free survival; DNMT1, DNA-methyltransferase 1; UCEC, uterine corpus endometrial
542 carcinoma; LIHC, liver hepatocellular cancer; BRCA, breast cancer; ESCA, esophageal carcinoma;
543 HNSC, head and neck squamous cell carcinoma; LUSC, lung squamous cell carcinoma; THCA, thyroid
544 carcinoma; BLCA, bladder cancer; LUAD, lung adenocarcinoma

545

546 **Figure 4. Identification of hsa-miR-148a-3p as a potential upstream miRNA of**
547 **DNMT1 in HCC.** (A)The miRNA-DNMT1 regulatory network established by
548 Cytoscape software. (B) The expression correlation between predicted miRNAs and

549 DNMT1 in HCC analyzed by the starBase database. (C) The expression of hsa-miR-
550 148a-3p in HCC and normal samples in TCGA-LIHC. (D) The prognostic value of hsa-
551 miR-148a-3p in HCC.

552 miRNA, micro RNA; DNMT1, DNA-methyltransferase 1; HCC, hepatocellular carcinoma; LIHC, liver
553 hepatocellular carcinoma; TCGA, The Cancer Genome Atlas

554 **Figure 5. Identification of SNHG3 as a potential upstream lncRNAs of hsa-miR-**
555 **148a-3p in HCC.** (A) The lncRNA-mir-148a-3p regulatory network established by
556 Cytoscape software. (B) Venn diagram showing overlaps of 45 possible upstream
557 lncRNAs of mir-148a-3p (LIST2) with DEGs between TCGA-LIHC tumor samples
558 and normal tissues. (C) Volcano plot of differentially expressed lncRNAs ($|\log_2\text{fold}$
559 $\text{change}| \geq 1$ and adjusted P value < 0.05). Red represents upregulated genes, and blue
560 indicates downregulated genes. The 3 overlapped genes are highlighted and labeled. (D)
561 The prognostic value of SNHG3 in HCC. (E) The correlation of hsa-miR-148a-3p
562 expressions with SNHG3 expressions in HCC from the starBase v. 3.0 project. (F) The
563 correlation of SNHG3 expressions with DNMT1 expressions in HCC from the starBase
564 v. 3.0 project. (G-I) The expression of DNMT1, miR-148a-3p, and SNHG3 in normal
565 and HCC tissues of different histologic grades. * P value < 0.05 ; ** P value < 0.01 ; *** P
566 value < 0.001 .

567 DNA-methyltransferase 1; ncRNA, long non-coding RNA; HCC, hepatocellular carcinoma; DEGs,
568 differentially expressed genes; TCGA-LIHC, The Cancer Genome Atlas liver hepatocellular carcinoma

569 **Figure 6. Correlation between DNMT1 levels and immune cell infiltration in HCC.**

570 (A) The level of immune cell infiltration in HCC under different copy numbers of
571 DNMT1. (B–G) The relationship of DNMT1 expression level in HCC with (B) B cell,
572 (C) CD8+ T cell, (D) CD4+ T cell, (E) macrophage, (F) neutrophil, or (G) DC
573 infiltration level.

574 DNA-methyltransferase 1; HCC, hepatocellular carcinoma; DC, dendritic cell.

575 **Figure 7. Relationship between the expression of DNMT1 and PD-1, PD-L1, or**

576 **CTLA-4 in HCC.** (A) The expression correlation of DNMT1 with CTLA-4 in HCC.

577 (B) Spearman's correlation between the expression of DNMT1 and CTLA-4 in HCC

578 adjusted for tumor purity using TIMER. (C) The expression correlation of DNMT1

579 with PDCD1 in HCC. (D) Spearman's correlation between the expression of DNMT1

580 and PDCD1 in HCC adjusted for tumor purity using TIMER. (E) The expression

581 correlation of DNMT1 with CD274 (PD-L1) in HCC. (F) Spearman's correlation

582 between the expression of DNMT1 and CD274 (PD-L1) in HCC adjusted for tumor

583 purity using TIMER.

584 DNA-methyltransferase 1; PD1, programmed cell death protein 1; PD-L1, programmed death ligand 1;

585 HCC, hepatocellular carcinoma; CTLA, cytotoxic T lymphocyte antigen 4.

586 **Figure 8. GSEA revealed Hallmark pathways related to DNMT1 in HCC.**

587 A. GSEA results showing differential enrichment of genes in Hallmark with high

588 DNMT1 expression. B. GSEA results showing differential enrichment of genes in

589 HALLMARK with low DNMT1 expression. C. The expression correlation of DNMT1

590 with CDK1 in HCC. D-K. The expression correlation of DNMT1 with E2Fs in HCC:

591 E2F1 (D), E2F2 (E), E2F3 (F), E2F4 (G), E2F5 (H), E2F6 (I), E2F7 (G), and E2F8 (K).

592 DNA-methyltransferase 1; GSEA, Gene Set Enrichment Analysis; HCC, hepatocellular carcinoma.

593 **Figure S1. Immune cell score heat map.** Different colors represent the expression

594 trend in HCC tissues, and the vertical axis represents the gene expression distribution,

595 where different colors represent different groups. Asterisks represent levels of

596 significance (* $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$).

597 HCC, hepatocellular carcinoma

598 **Table 1. Relationship between DNMT1 expression and clinical characteristics in**

599 **HCC**

600 **Table 2. Correlation analysis between DNMT1 and biomarkers of immune cells in**

601 **HCC determined by the GEPIA database.**

Figures

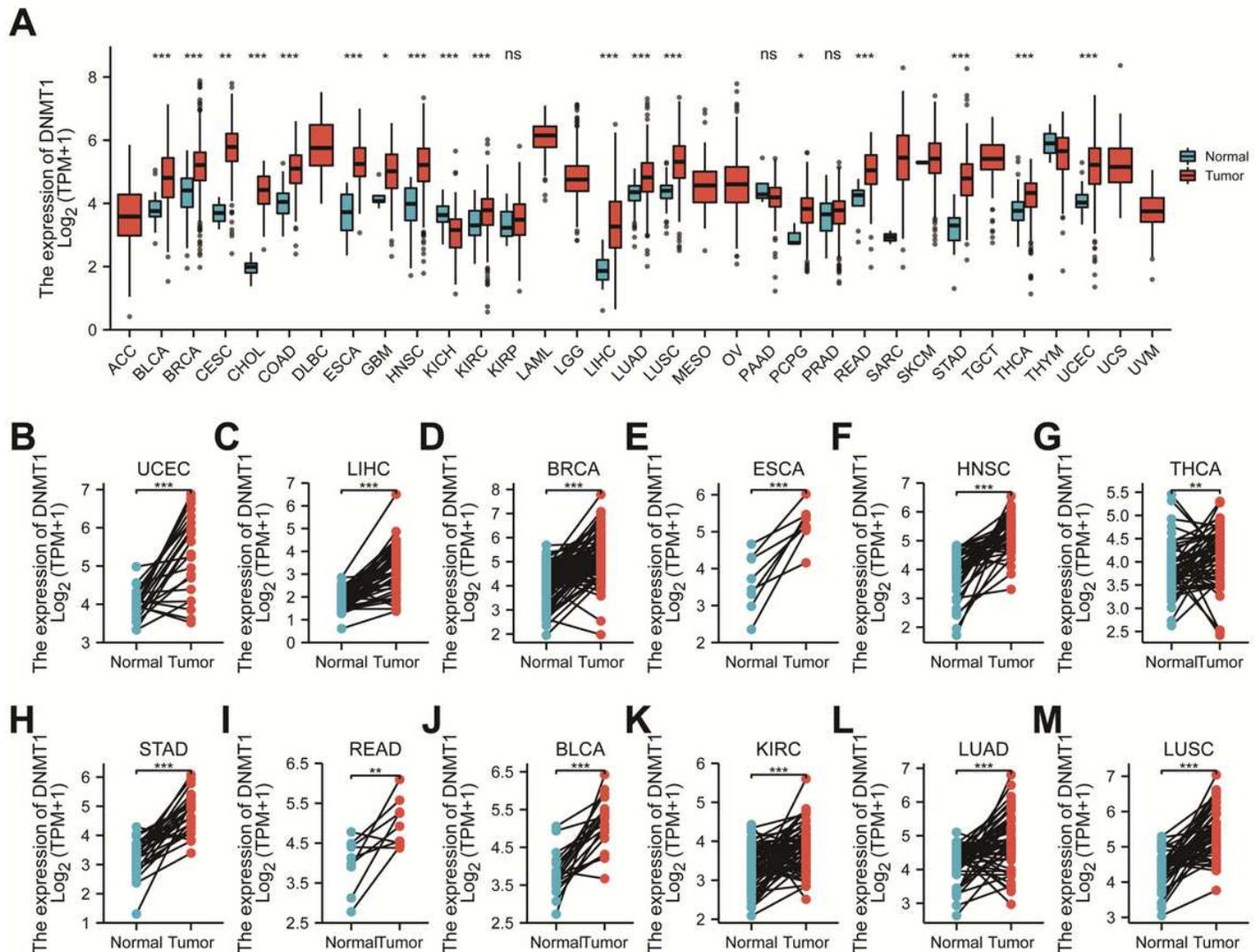


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Pan-cancer expression analysis for DNMT1. (A) The expression of DNMT1 in 33 types of human cancer based on TCGA cancer and normal data. (B–M) DNMT1 expression in TCGA UCEC (B), LIHC (C), BRCA (D), ESCA (E), HNSC (F), THCA (G), STAD (H), READ (I), BLCA (J), KIRC (K), LUAD (L), and LUSC (M) tissues compared with paired normal tissues. *: P value ≤ 0.05 ; **: P value ≤ 0.01 ; ***: P value ≤ 0.001 ; ns: P value > 0.05 . DNMT1, DNA-methyltransferase 1; TCGA, The Cancer Genome Atlas; UCEC, uterine corpus endometrial carcinoma; LIHC, liver hepatocellular cancer; BRCA, breast cancer; ESCA, esophageal carcinoma; HNSC, head and neck squamous cell carcinoma; THCA, thyroid carcinoma; STAD, stomach adenocarcinoma; READ, rectum adenocarcinoma; BLCA, bladder cancer; KIRC, kidney renal clear cell carcinoma; LUAD, lung adenocarcinoma; KIRC, LUSC, lung squamous cell carcinoma

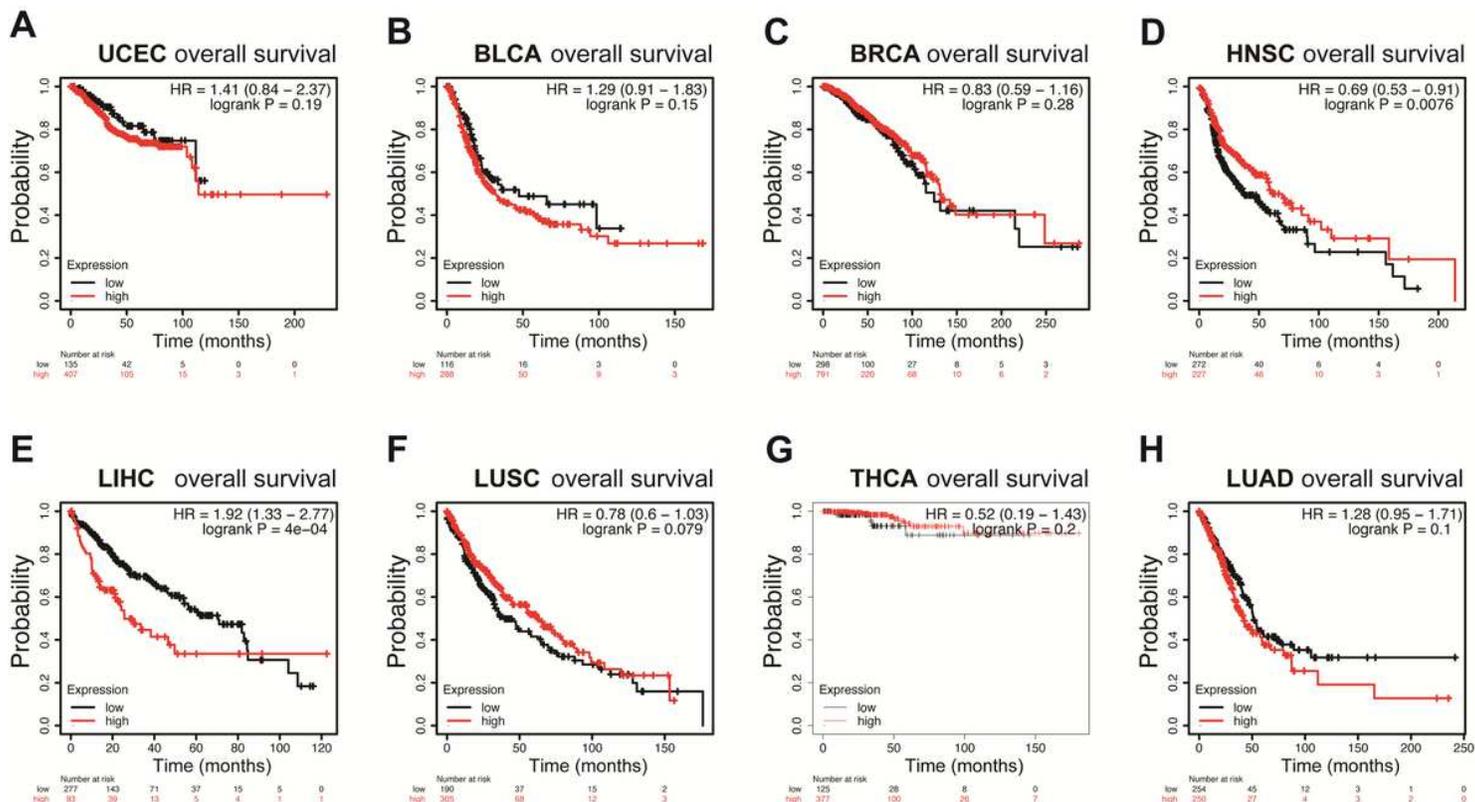


Figure 2

OS of dichotomized DNMT1 expression in various human cancers. (A– H) The OS plot of DNMT1 in UCEC (A), BLCA (B), BRCA (C), HNSC (D), LIHC (E), LUSC (F), THCA (G), and LUAD (H). OS, overall survival; DNMT1, DNA-methyltransferase 1; TCGA, The Cancer Genome Atlas; UCEC, uterine corpus endometrial carcinoma; LIHC, liver hepatocellular cancer; BRCA, breast cancer; ESCA, esophageal carcinoma; HNSC, head and neck squamous cell carcinoma; THCA, thyroid carcinoma; STAD, stomach adenocarcinoma; READ, rectum adenocarcinoma; BLCA, bladder cancer; KIRC, kidney renal clear cell carcinoma; LUAD, lung adenocarcinoma; KIRC, LUSC, lung squamous cell carcinoma

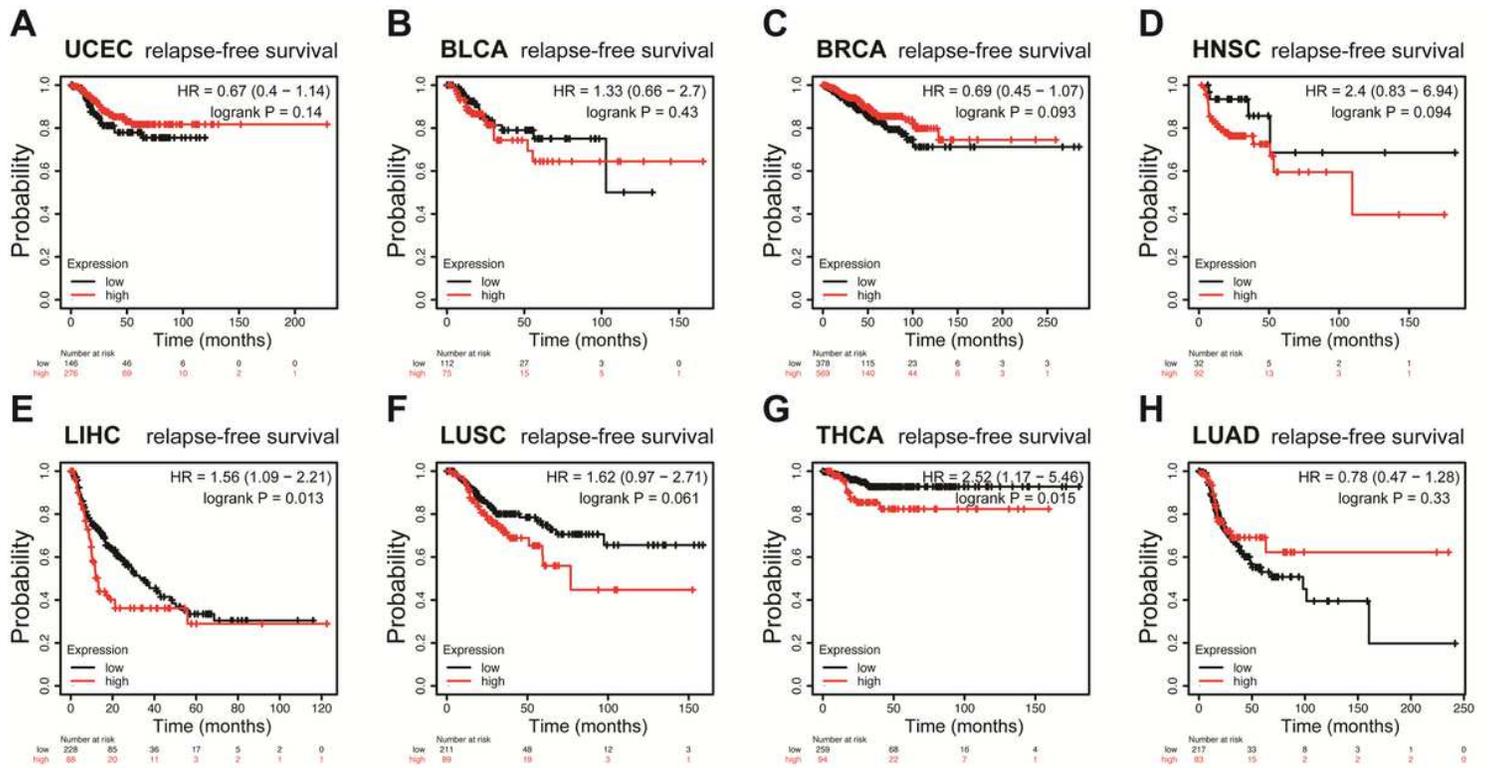


Figure 3

RFS analysis of dichotomized DNMT1 expression in various human cancers. (A–H) The RFS plot of DNMT1 in UCEC (A), BLCA (B), BRCA (C), HNSC (D), LIHC (E), LUSC (F), THCA (G), and LUAD (H). RFS, relapse-free survival; DNMT1, DNA-methyltransferase 1; UCEC, uterine corpus endometrial carcinoma; LIHC, liver hepatocellular cancer; BRCA, breast cancer; ESCA, esophageal carcinoma; HNSC, head and neck squamous cell carcinoma; LUSC, lung squamous cell carcinoma; THCA, thyroid carcinoma; BLCA, bladder cancer; LUAD, lung adenocarcinoma

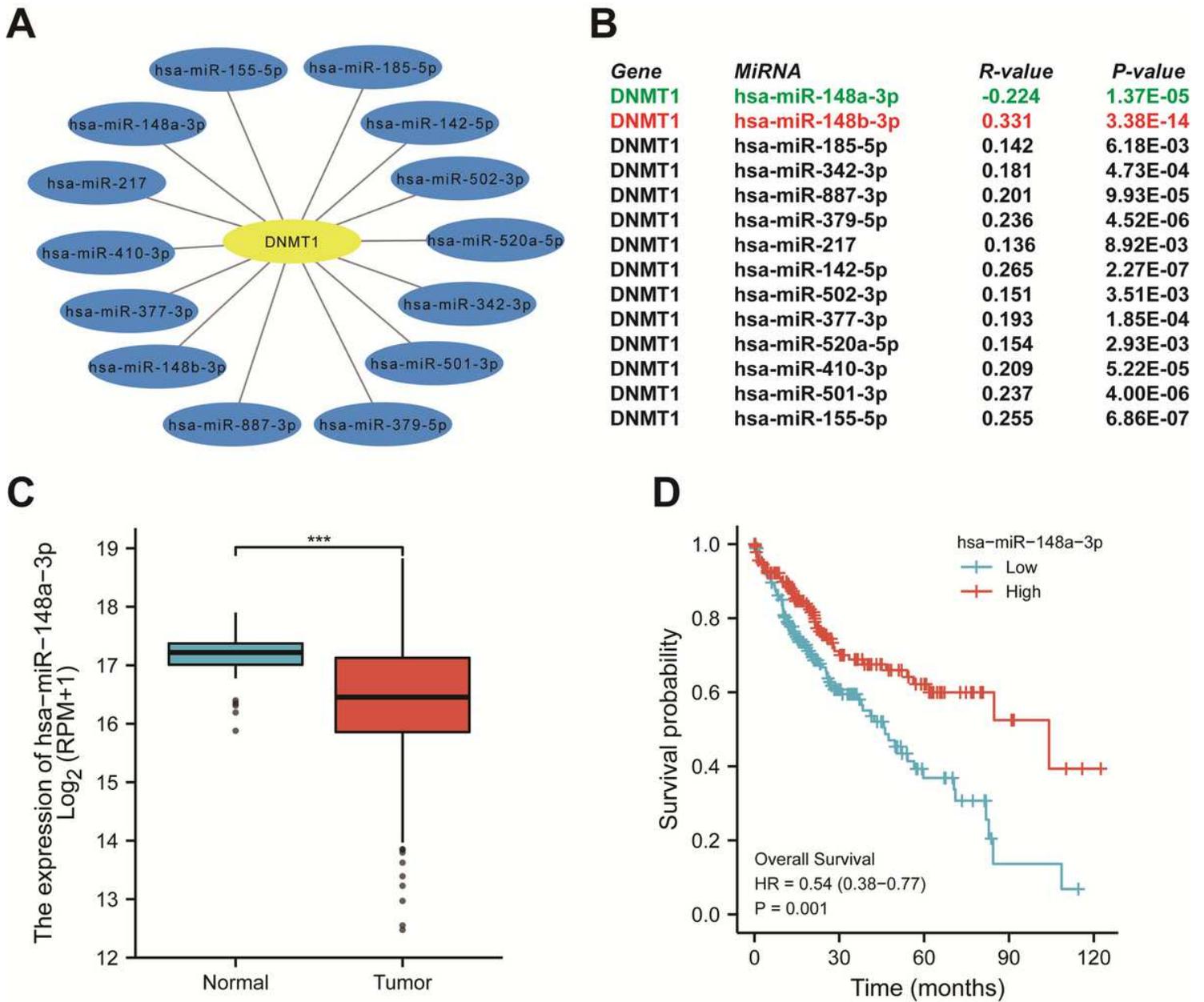


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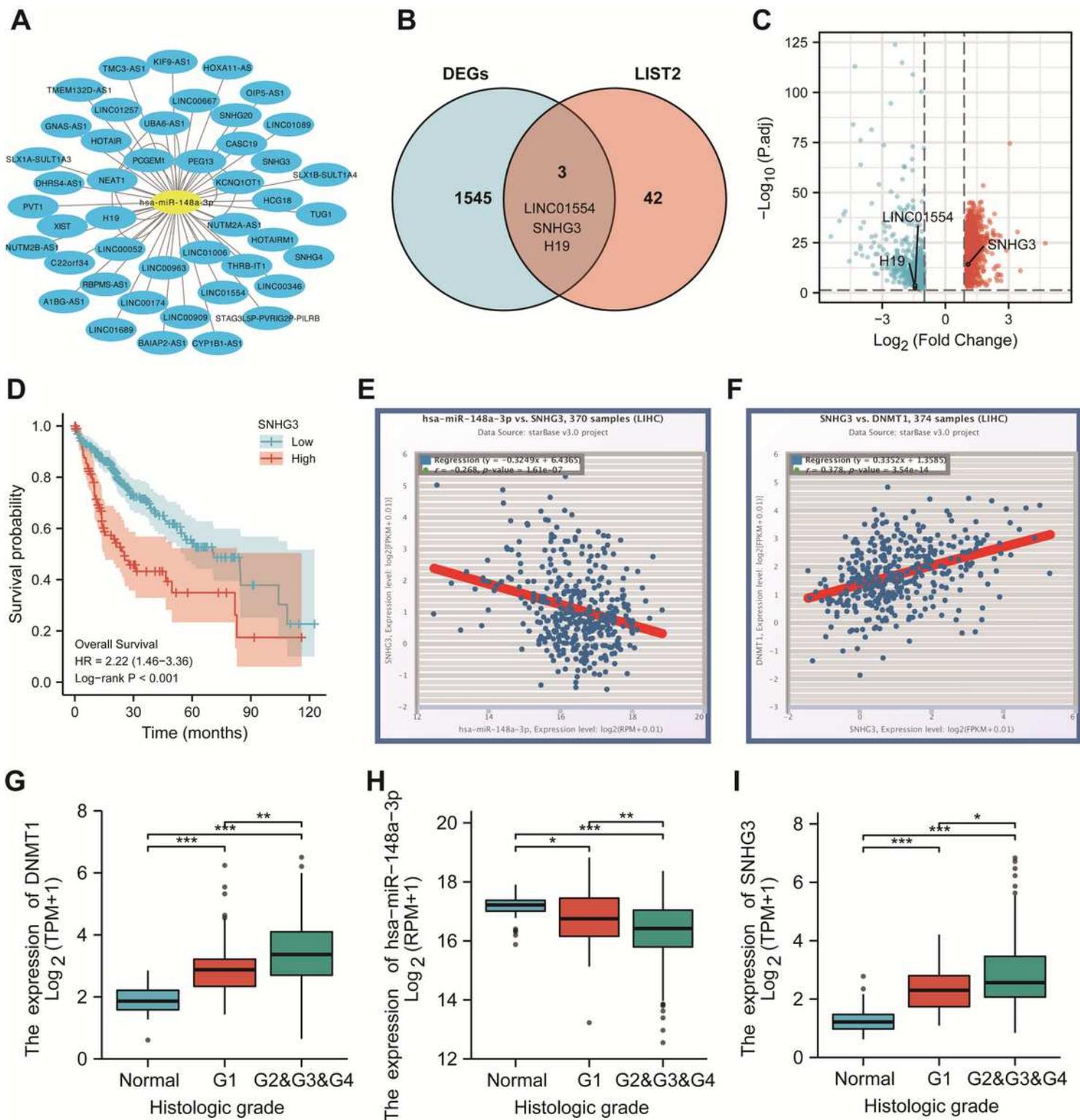
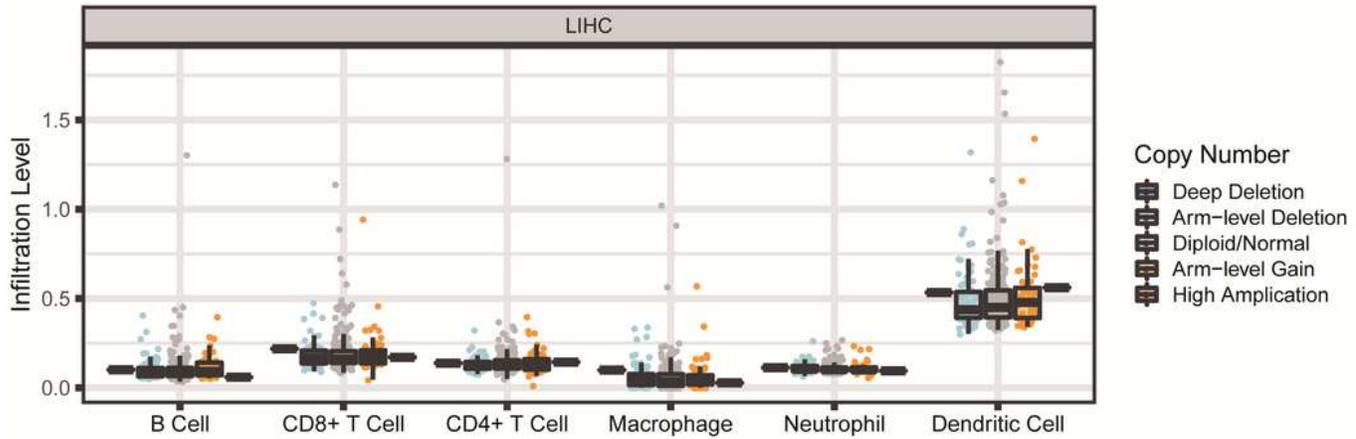


Figure 5

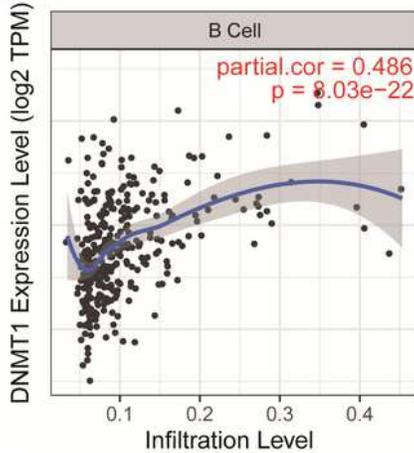
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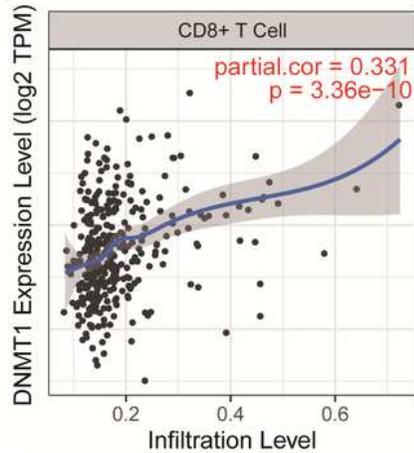
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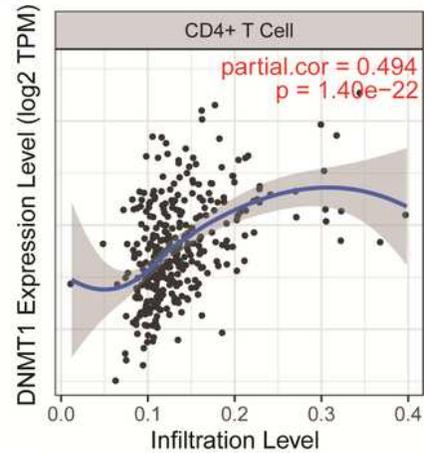
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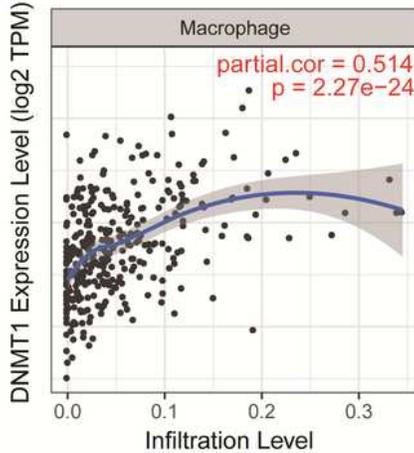
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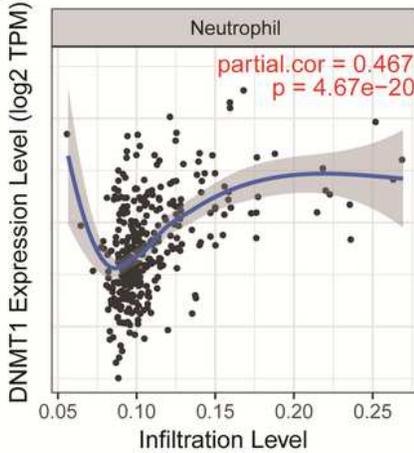
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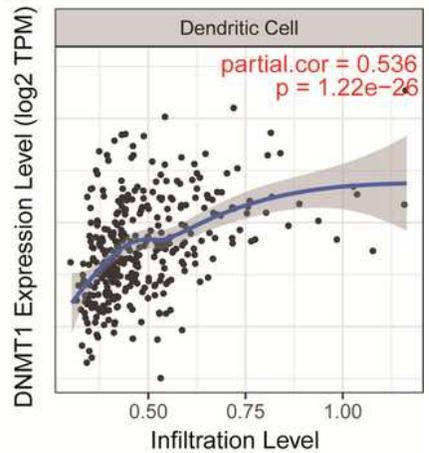


Figure 6

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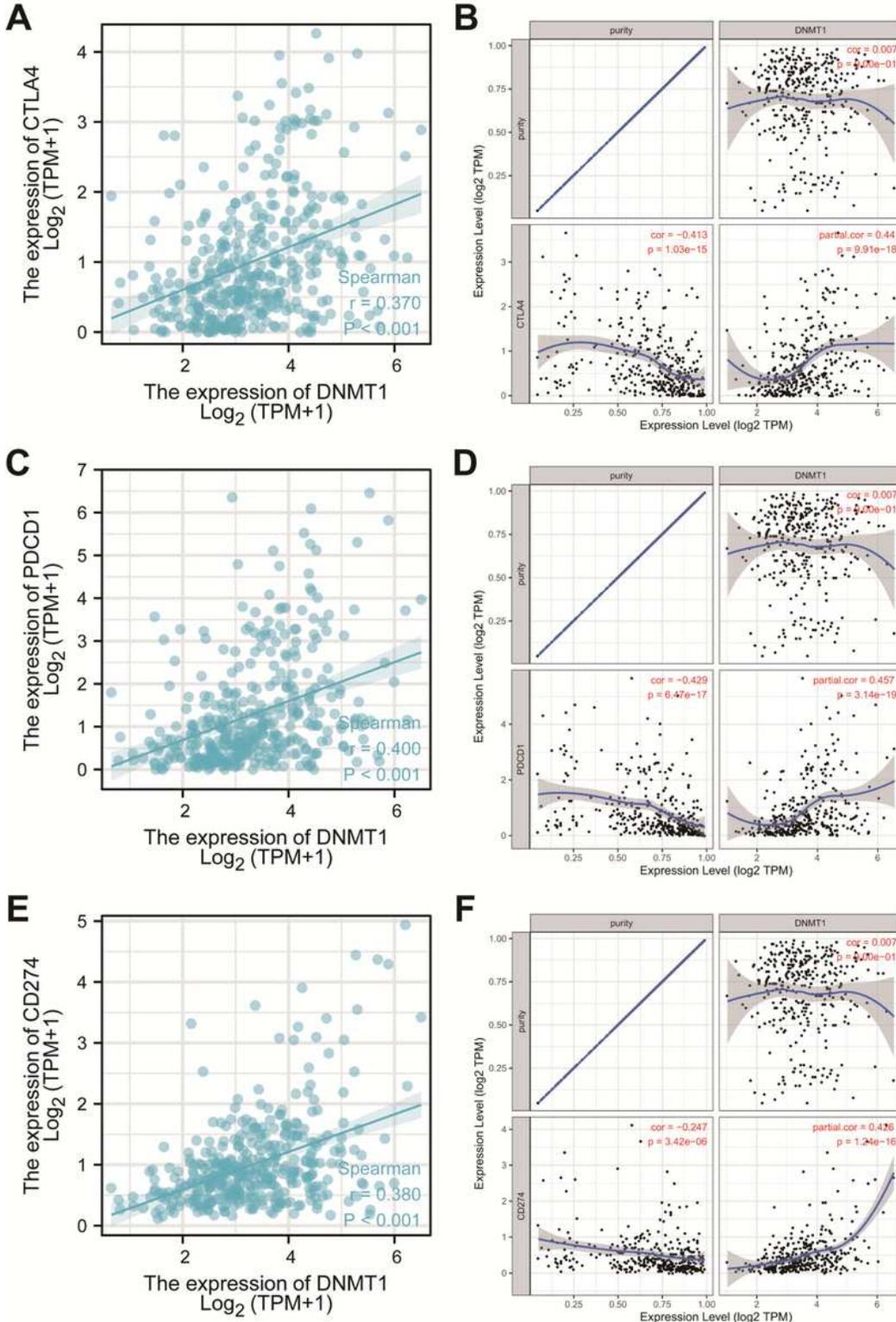


Figure 7

Relationship between the expression of DNMT1 and PD-1, PD-L1, or CTLA-4 in HCC. (A) The expression correlation of DNMT1 with CTLA-4 in HCC. (B) Spearman's correlation between the expression of DNMT1 and CTLA-4 in HCC adjusted for tumor purity using TIMER. (C) The expression correlation of DNMT1 with PDCD1 in HCC. (D) Spearman's correlation between the expression of DNMT1 and PDCD1 in HCC adjusted for tumor purity using TIMER. (E) The expression correlation of DNMT1 with CD274 (PD-L1) in HCC. (F) Spearman's correlation between the expression of DNMT1 and CD274 (PD-L1) in HCC adjusted for tumor purity using TIMER. DNA-methyltransferase 1; PD1, programmed cell death protein 1; PD-L1, programmed death ligand 1; HCC, hepatocellular carcinoma; CTLA, cytotoxic T lymphocyte antigen 4.

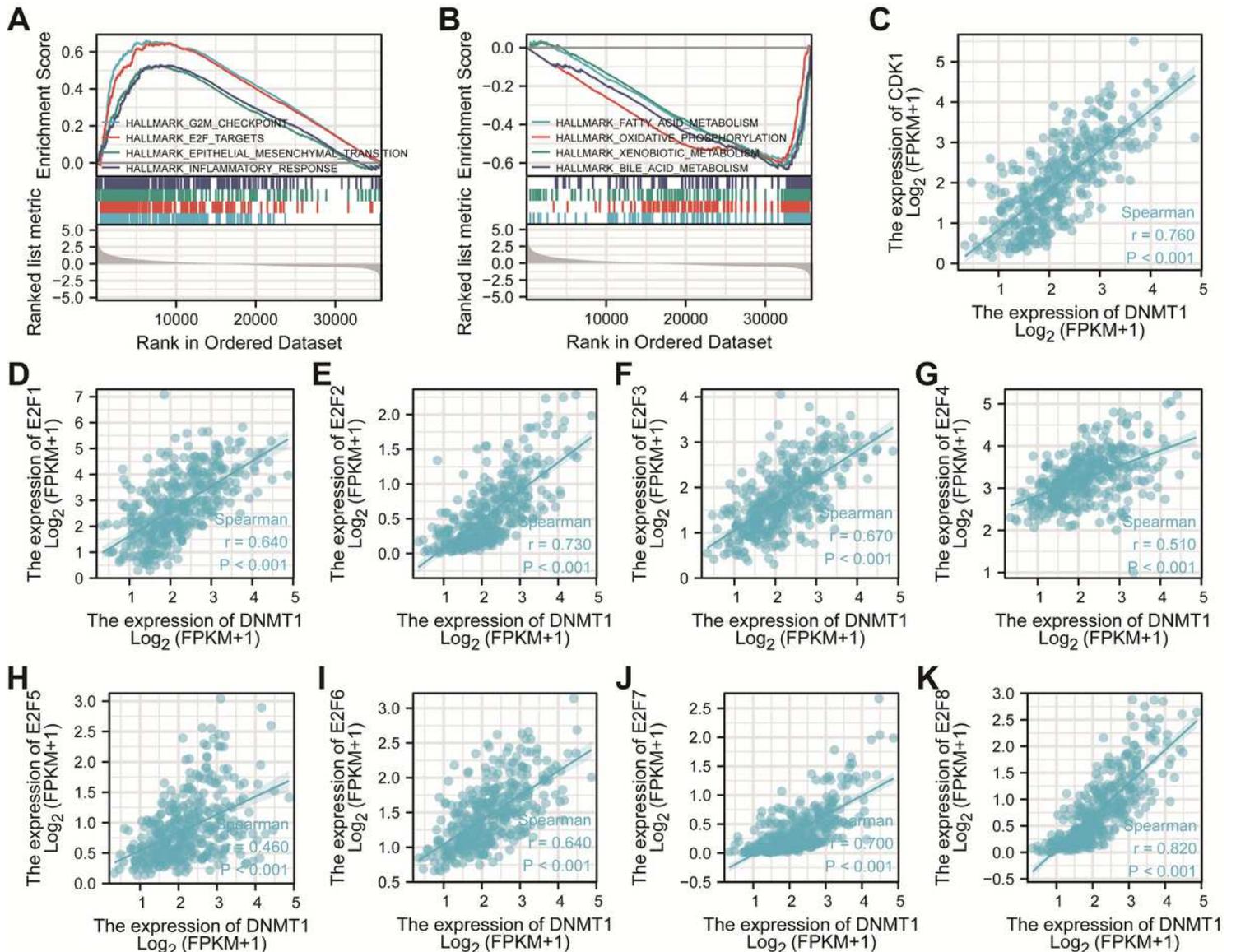


Figure 8

GSEA revealed Hallmark pathways related to DNMT1 in HCC. A GSEA results showing differential enrichment of genes in Hallmark with high DNMT1 expression. B GSEA results showing differential enrichment of genes in HALLMARK with low DNMT1 expression. C The expression correlation of DNMT1 with CDK1 in HCC. D-K. The expression correlation of DNMT1 with E2Fs in HCC: E2F1 (D), E2F2 (E), E2F3

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