

The status of soil erosion in the Upper Blue Nile Basin: Identification of hot spot Areas and Evaluation of Best Management Practices in the Toba Watershed

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1 **The status of soil erosion in the Upper Blue Nile Basin:**
2 **Identification of hot spot Areas and Evaluation of Best**
3 **Management Practices in the Toba Watershed**

4

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11

12 **Abstract**

13 Land degradation caused by soil erosion has become the most serious problem in the
14 Ethiopian highlands. Quantifying the spatial variations of soil loss with a strong
15 evidence helps to prioritize the watersheds for the implementation of different
16 management practices. The study was carried out in the Toba Watershed of the Upper
17 Blue Nile Basin in Ethiopia. Its objective was to evaluate the rate of soil erosion and
18 identify the hotspots with high risk of soil erosion for watershed management planning.
19 Then, Soil and Water Assessment Tool (SWAT) was used to evaluate the effectiveness
20 of best management practices (BMP) in reducing soil loss. The performance of SWAT
21 in simulating streamflow and sediment yield was evaluated through sensitivity analysis,
22 uncertainty, calibration and validation process. Statistically, the calibrated and validated
23 sediment yields (SY) against the observed sediment data were reasonably accurate
24 ($R^2=0.67, 0.65, NSE=0.66, 0.64, PBIAS=-8.4\%, 9.8\%$ respectively). The annual SY in
25 Toba watershed varies from $0.09 \text{ t ha}^{-1} \text{ yr}^{-1}$ to $44.8 \text{ t ha}^{-1} \text{ yr}^{-1}$ with an average SY of 22.7
26 $\text{ t ha}^{-1} \text{ yr}^{-1}$. To prioritize the SY of the watershed, the annual severity of SY was divided
27 into six classes: very low, low, moderate, high, very high and severe. The study also
28 showed that SY in most watersheds (about 53.8%) were higher than the average.
29 Cultivation on steep slopes leads to the highest SY, while forested areas have lower SY
30 contribution. five management scenarios were evaluated using the Calibrated model.
31 Seventeen sub-basins with SY exceeding the tolerable erosion of Ethiopia ($\text{ t ha}^{-1} \text{ yr}^{-1}$)
32 were considered for the analysis of the BMP scenario. The results show that
33 reforestation combined with vegetative strips was the most effective for soil erosion
34 control (87.8% reduction) followed by the combination of soil/stone bund and
35 vegetative strips (83.7% reduction). Overall, the results of this study provided important
36 data for watershed management and are very useful to ensure the sustainable
37 management of land and natural resources at watershed level.

38 **Keywords:** BMP; sediment yield; Toba watershed

39 **1. Introduction**

40 **1.1 General Background**

41 Regardless of the endowed diverse natural resources, Ethiopia is experiencing severe

42 land and environmental degradation that has been a serious causes of low productivity
43 resulting in a widespread poverty and food insecurity. Agricultural productivity in
44 Ethiopian highlands are highly affected by pervasive land degradations [1–3]. Land
45 degradation due to soil erosion in the highlands are due to the intermingling factors like
46 lack of effective watershed management practices, increased agricultural activities on
47 steep slopes, land use/land cover change, heavy rainfall, climate variability and mixed
48 crop-livestock farming systems [4,5].

49 In Ethiopia, severe soil erosion risks are strongly linked with population density [3].
50 Expansion of agricultural lands, urban development and expansion and the need of
51 extracting timber and other products to meet the needs of an increasing population is
52 accelerating the degradations of natural resource and the environment. Soil erosion by
53 water is the dominant forms of the degradations. This is a particular problem in Upper
54 Blue Nile, the source of Nile River due to the higher erosion rate potentials [6].
55 According Haregeweyn et al. [3], about 39% of the upper Blue Nile basin is subject to
56 severe and very severe ($>30 \text{ t ha}^{-1}\text{yr}^{-1}$) soil erosion which could potentially threatens
57 reservoirs in the downstream including Grand Ethiopian Renaissance Dam. Moreover,
58 excessive soil loss is posing severe challenges to the productivity of land and rural
59 developments, operation and function of water infrastructure, products and services of
60 livelihoods. The effect is a great challenge to the farming system, decreasing
61 profitability of farmers, income and employment [7] adding extra risk to a social,
62 economic and environmental problems.

63 In addition to the on-site effects of soil erosion, there are off-site effects. Soil erosion
64 poses significant impact on the sustainability of the reservoirs and irrigation projects in

65 the downstream and socio-economy of the local society in particular. Environmental
66 degradation reduces the life span of hydraulic structures increasing the vulnerability of
67 the structures to siltation and scoring. The loss of vegetation and the consequent soil
68 erosion causes dam to fill up with sediment more quickly, resulting in poor energy
69 production. Sediment accumulation hampers proper operation of dams and also causes
70 reservoirs to submerge more area resulting in loss of land use, biodiversity and social
71 impact. The recurrent power-cuts of electric power distribution recently experienced in
72 Ethiopia are partially attributed due to the loss of storage capacity of hydroelectric
73 power reservoirs which is a consequence of sedimentation. In order to increase the life
74 of the reservoir and to best achieve the purpose for which it has been constructed,
75 reducing sediment inflow is very important. To this end, reducing sediment and nutrient
76 inflow through different management approaches is of paramount importance [8].
77 Moreover, the application of effective and sustainable watershed management practices
78 could enable to increase the life span of the reservoirs in the downstream and enhances
79 the ecosystems services provided by terrestrial and other aquatic ecosystems (wetlands,
80 river and streams).

81 Cognizant of the evidences that Ethiopia has suffered a lot from natural resources
82 degradation, the problem has urged the government to affirm a commitment to address
83 land degradation through different policies. Example: Community-based Participatory
84 Watershed Development (CPWD) [9] and Sustainable Land Management (SLM)
85 Programs [1,10]. However, the evidences on the extents of the management initiatives
86 for the activities of the conservation is not clear. Moreover, the undertakings and
87 investments to combat the problems are still lower and the magnitude of the degradation

88 exceeds the management/conservation activities by far and soil erosion continued to be
89 the major problem. Soil and water conservation is not supported by prioritizing
90 appropriate interventions susceptible and erosion prone areas. This, therefore, implies
91 that interventions to address the existing threats of soil degradations and thereby
92 enhance the socio-economic and ecological resilience of the watershed that involves
93 multidimensional and multi-sectorial approach is required.

94 Usually, identifying sediment sources and prioritizations of hot spot areas to soil
95 erosion is required for a proper watershed management as resources (human,
96 technological and financial) are limited [11–13]. In this regard, estimations of the soil
97 loss and identifying different management practices that suits the agroecology of a
98 particular study is required [8,14]. There are two approaches for estimation of soil loss:
99 plot/field based [6,15,16] and watershed based techniques [11,12,17,18]. However,
100 research experiences showed that watershed-based approach is more effective than the
101 plot-based technique for the management of soil degradation.

102 The application of agricultural and structural based management practices called Best
103 Management practices (BMPs) are preferred to manage soil loss from critical areas
104 [13,19]. The selection of best management practices that helps to reduce soil erosion
105 and sediment loss requires a systematic research that allows to assess the effectiveness
106 of the practices. A physical and process-based model, Soil and Water Assessment Tool
107 (SWAT) was used to estimate the risk of soil loss and evaluate the effectiveness of
108 BMPs to curb the soil erosion risks and sediment loss in Toba watershed where soil
109 erosion is rampant. The SWAT model was used based on its strong capability in
110 identifying the most critical areas and spatial variability of sediment yield with in the

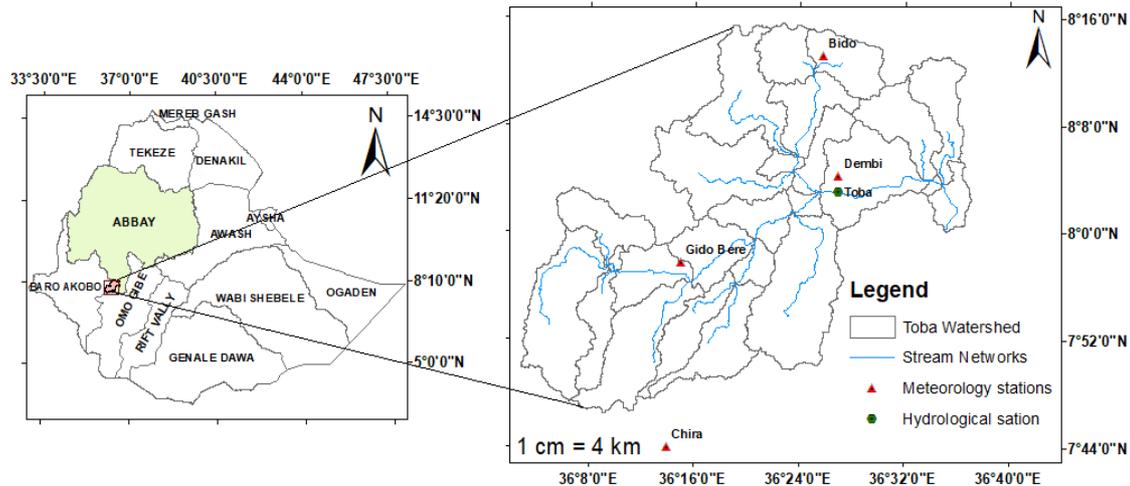
111 watershed. The model also allows the use of the combined factors like land use/land
112 cover, soil, climate, steepness of slope and simulation of different soil and water
113 management scenarios.

114 Prioritization BMPs that can reduce the risks of soil erosion and sediment loss is an
115 important contribution to decision makers, stake holders and practitioners because it
116 guides effective priorities in soil and water management. Therefore, the objective of
117 this study was to estimate the annual soil loss rate to identify erosion hot spot areas and
118 prioritize different BMPs to reduce the risk of soil erosion and sediment loss.

119 **2. Materials and Methods**

120 **2.1 Study Area**

121 Toba watershed is a tributary of Didessa sub-basin in the headwater of the Ethiopian
122 plateau, Upper Blue Nile Basin. Upper Blue Nile (named as Abbay in Ethiopia) is one
123 of the 12 river basins of Ethiopia (Figure 1, left hand side). Geographically, Toba
124 watershed is located between 36°2'50" to 36°37'5" East and 7°46'30" to 8°15'45" North
125 with an altitude range from 1425 to 2596 m.a.s.l (Figure 1, right hand side). The
126 drainage area of the watershed is 1828.4 km². Agriculture is the dominant activity in
127 the watershed and forest and rangelands are the dominant cover.



128

129 **Figure 1:** Map of the study area

130 The mean annual rainfall in the catchment varies from 1497 mm in the south western
 131 and 2500 mm in the northeastern part of the watershed. The watershed is characterized
 132 by humid tropical climate with heavy rainfall. The maximum and minimum
 133 temperature in Toba ranges from 18 to 36 °C and 6.5 to 17 °C [20].

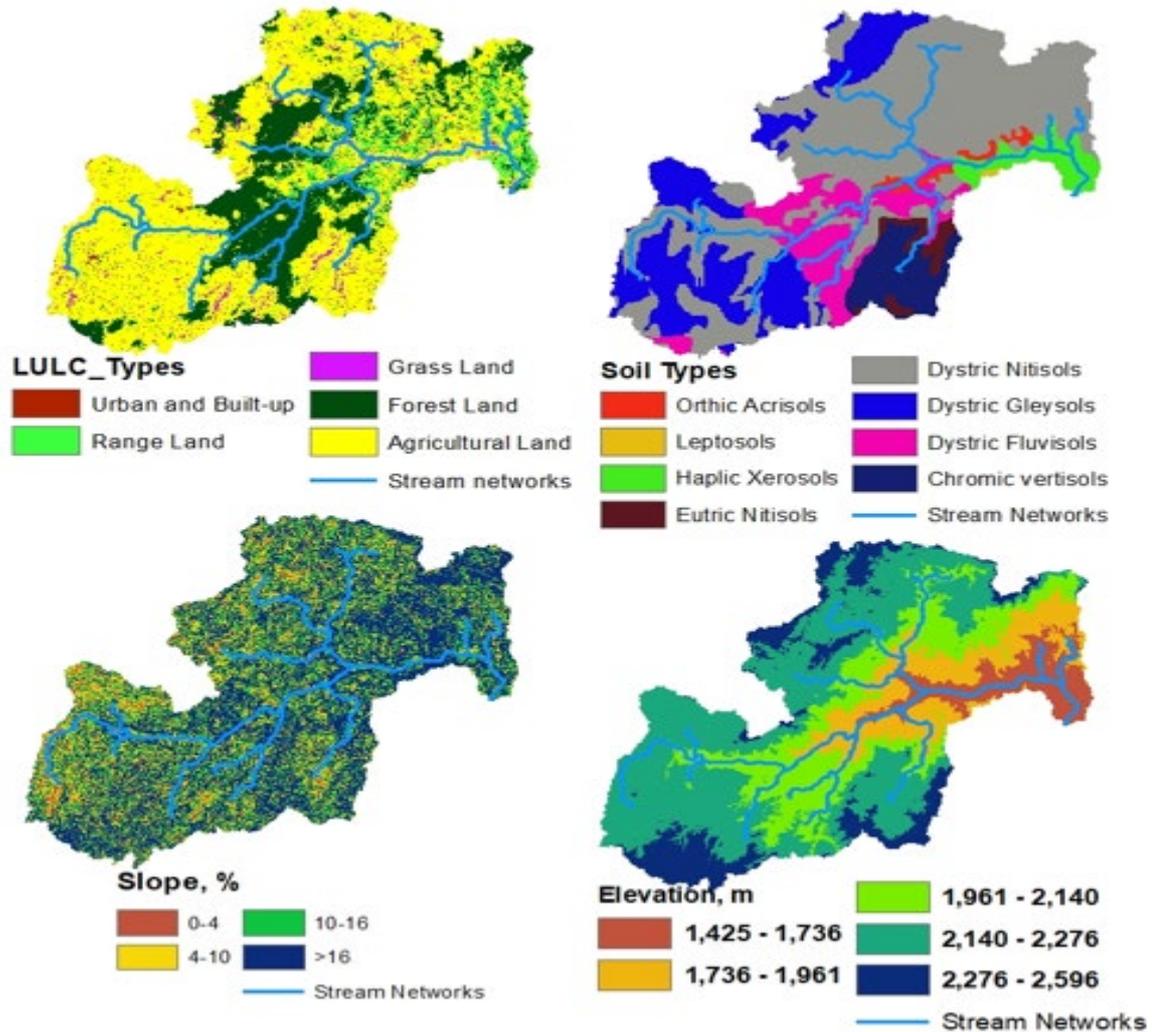
134 2.2 Input Data

135 The application of SWAT model to evaluate the spatial distribution of soil loss and
 136 quantify effectiveness of the BMPs requires the integration of spatial and temporal data
 137 with the application of different management practices. The spatial datasets used
 138 include: Digital Elevation Model (DEM), land use/land cover and soil data (Table 1).
 139 Whereas the temporal data includes weather data, streamflow and sediment data. The
 140 significance of different management scenario was evaluated SWAT model to curb soil
 141 loss. Digital Elevation Model (DEM), soil, land use/land cover, and weather data are
 142 used to develop and configure the SWAT model. Streamflow and sediment data are used
 143 to calibrate and validate the model.

144 **Table 1.** Description of spatial and temporal data used for SWAT modelling in Toba
 145 Watershed modified from Dibaba et al. [17].

Data Types	Description	Source	Period/Scale
DEM	DEM was used to delineate the watershed, stream networks	Shuttle Radar Topography Mission (SRTM) 1 Arc-Second Global from https://earthexplorer.usgs.gov	30 m
Land Use/ land Cover	Land use/land cover map of 2019 was used to quantify the hydrological process	LULC map derived from Landsat 8 OLI	30 m
Soil	Soil data from a vector map was processed in to a 30 m raster to match the spatial resolution of other spatial data. World digital soil map and soil grids were used to extract the Soil physico-chemical properties	Soil data processed from Ministry of Water, Irrigation and Electricity with the World digital soil map and digital soil map grids	1:50,000 and 250 m grid
Weather	Daily rainfall, temperature, wind speed, relative humidity, solar radiation of 5 stations were used to derive the hydrological balance	National Meteorological Agency, Ethiopia (NMA)	1988—2020
Streamflow	Daily stream flow data of Toba station was used to calibrate and validate streamflow	Ministry of Water, Irrigation and Electricity, Ethiopia	2000—2015
Sediment Data	Suspended sediment data of Toba stations used to calibrate and validate sediment yield	Ministry of Water, Irrigation and Electricity, Ethiopia	2000—2015

- 146 The spatial maps of the Toba watershed landscape attributes are presented in Figure 2.
- 147 Agriculture followed by Forest was the dominant land use/land cover in Toba watershed.
- 148 The dominant soil type in Toba watershed is Dystric Nitisols followed by Dystric
- 149 Gleysols (Figure 2). Elevation ranges of the watershed varies from 1425 m around the
- 150 outlet to 2596m around the periphery of the watershed with majority of the watershed
- 151 characterized by elevation higher than 2100m.



152

153 **Figure 2.** The spatial data attributes of Toba watershed: LULC, soil, slope and elevation

154 **2.3 Methodology**

155 **2.3.1 Soil and Water Assessment Tool Hydrological model**

156 SWAT is a watershed based, continuous-time and processed based model developed to

157 allow simulation of larger and complex watershed to predict the impact of land

158 management practices on water quality and quantity in agricultural watersheds over

159 long periods [21]. SWAT simulates watershed hydrology in two major phases: land

160 phase which controls the amount of water, sediment, nutrients and pesticides loading

161 to the main channel in each sub-basin and water or routing phase which controls the

162 movement of water, sediment and nutrients through channel network of the watershed

163 to the outlet [22,23]. The hydrological simulation of SWAT based on the water balance
164 is given in Eq (1) below:

$$165 \quad SW_t = SW_o + \sum_{i=1}^t (R_{day} - Q_s - E_a - W_{seep} - Q_{gw}) \quad (1)$$

166 Where: SW_t is the final soil water content (mm), SW_o is the initial water content (mm),
167 t is the time (days), R_{day} is the amount of precipitation on the i -th day (mm), Q_s is the
168 amount of surface runoff on day i (mm), E_a is the amount of evapotranspiration on day
169 i (mm), W_{seep} is the amount of water entering the vadose zone in day i (mm), Q_{gw} is
170 the amount of return flow on day i (mm).

171 SWAT simulates soil erosion due to rainfall and runoff based on the Modified
172 Universal Soil Loss Equation (MUSLE) using Eq (2) [24].

$$173 \quad Sed = 11.8 \times (Q_{surf} \times q_{peak} \times area_{hru})^{0.56} \times K_{USLE} \times C_{USLE} \times P_{USLE} \times LS_{USLE} \times CFRG_{USLE} \quad (2)$$

174 Where: Sed is the sediment yield from a given HRU on storm basis (ton/day), Q_{surf} is
175 surface runoff volume (mm/ha), q_{peak} is peak surface runoff (m^3/s), $area_{hru}$ is the area
176 of hydrologic response unit (ha), K_{USLE} is the soil erodibility factor ($MgMJ^{-1}mm^{-1}$),
177 P_{USLE} is soil erosion control protection factors, LS_{USLE} is topography factor, C_{USLE} is
178 crop management factor, $CFRG_{USLE}$ is coarse fragment factor.

179 **2.3.2 Sediment Rating Curve**

180 Sediment concentrations with the corresponding streamflow data at Toba gauging
181 station collected from Ministry of Water, Irrigation and Electricity are available only
182 for few months in a year. However, the application of SWAT hydrological model to
183 simulate streamflow and sediment yield requires a continuous time step of streamflow
184 and sediment data. Consequently, sediment rating curve was used to generate sediment

185 load data from the streamflow using the empirical relations between the sediment
186 concentration and their corresponding streamflow. The use of estimates derived from
187 empirical relations between sediment concentrations and the corresponding river
188 discharge are used often when the long-term and reliable records of sediment
189 concentrations are limited [25].

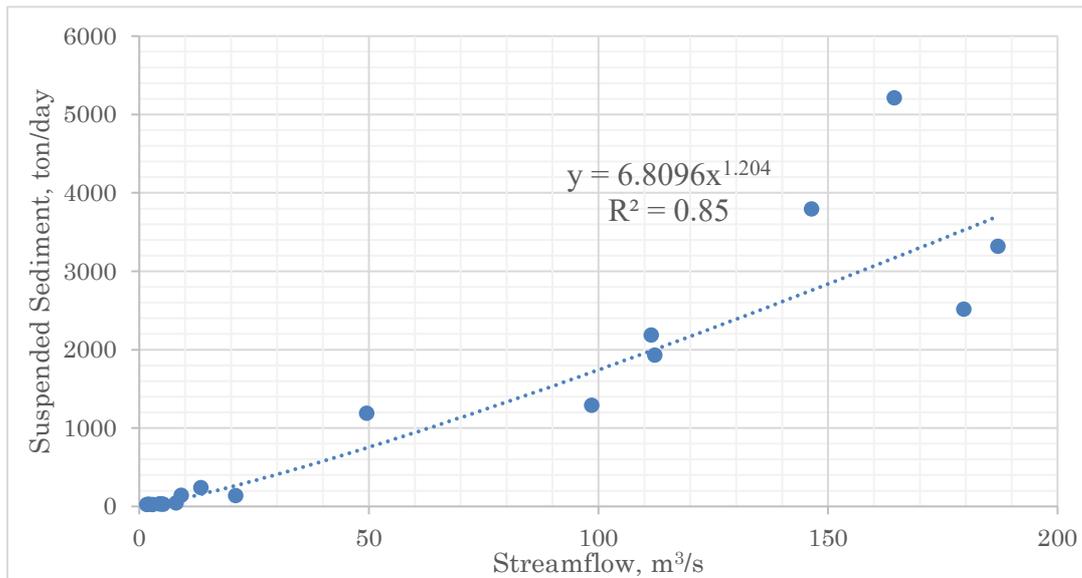
190 The relationship between sediment concentrations and river discharge can be written
191 as:

$$Q_s = a * Q_f^b \quad (3)$$

192 Where: Q_s is the sediment load in ton/day, Q_f is the streamflow in m^3/s , a and b are
193 regression constants to be determined from the suspended sediment loads and observed
194 streamflow. The sediment concentration record was measured in mg/l and to work on
195 Eq (3), the sediment concentration was converted in to sediment load (ton/day) using
196 the following conversion formula (Equation 4).

$$Q_s = 0.0864 * C * Q_f \quad (4)$$

197 Where, C is sediment concentration (mg/l), Q_f is the streamflow (m^3/s) and 0.0864 is
198 the conversion factor. In Toba watershed, a and b are determined to be 6.8096 and 1.204
199 respectively. The sediment rating curve is shown by Figure 3.



200

201 **Figure 3.** Sediment Rating Curve of Toba Watershed

202 **2.3.3 Best Management Practices**

203 Prior to the application of the BMPs, the SWAT model was calibrated and validated,
 204 and the model was parameterized to evaluate the effects of the soil and water
 205 management scenarios, which were then considered as the baseline scenario. The
 206 selection of BMPs and their parameter values is specific to topography, land use/land
 207 cover, soil and agro-ecology and the selection should reflect the actual situation of the
 208 study area [26]. Therefore, the purpose of the intervention, past experience and
 209 recommendations provided in the Ethiopian Watershed Development Guidelines [9],
 210 and Soil and water conservation development agents guide [27] were used to select
 211 BMPs for the simulation in the SWAT model. Four BMPs applicable to the study area
 212 includes; filter strip, soil/stone bund, vegetative strip, reforestation and their
 213 combinations. These practices are largely under implementations in the Blue Nile basin.

214 a) **Base line Scenario (BS):** In the BS scenario, SWAT simulated the average sediment
 215 yield based on the actual watershed conditions.

216 b) **Filter strip (FS):** FS is used to reduce soil loss and its effect was simulated by
217 increasing the width of the filter strips (FILTERW) on croplands and pasture lands.

218 c) **Soil/stone bund (SB):** This approach is the most reasonable technique commonly
219 used in the Ethiopian highlands. SB reduces surface runoff and sediment loss by
220 reducing the slope length and creating retention areas [28]. In this study, the effects
221 of SB were simulated on steep slopes of the watershed by modifying the slope
222 length (SLSUBBSN), the slope (HRU_SLP), the curve number (CN2) and the
223 management support practices factor (USLE_P). USLE-P was set to 0.32 for
224 agricultural lands, pastureland and shrublands with slope higher than 10%, CN2
225 was reduced by 3 units, HRU_SLP was reduced by 75% and SLSUBBSN was
226 reduced by 50%. The modification of these parameters can also be achieved through
227 the use of physical structures like Terraces and Fanya Juu.

228 d) **Vegetative strip(VS):** VS are established along the contour lines of the farmlands
229 to reduce surface runoff and soil loss by reducing slope length and creating retention
230 areas [12]. The effect of VS was simulated by modifying SLSUBBSN, HRU_SLP,
231 USLE-P and FILTERW, as shown in Table 2.

232 e) **Reforestation (R):** Reforestation on steep slopes and degraded land can help to
233 increase soil cover, helping to ensure the soil and water conservation [12]. In this
234 study, the reforestation of grasslands, shrublands and cropland that are on slopes
235 greater than 16% was applied by introducing land use/land cover in the land use
236 update of the watershed data. We considered this scenario to restore forests that
237 have been destroyed. Converting all crop land to forest land is not feasible. In this
238 regard, only 5% of the crop land was considered for reforestation.

239 f) **Combined Scenarios:** Combined scenarios were evaluated based on the percent
 240 change in the sediment yield reduction by combining the applications of two
 241 scenarios. The application of Reforestation with vegetative strip, reforestation with
 242 soil/stone bund and soil/stone bund with vegetative strip was applied to compare
 243 the significance of the combined scenarios and the individual scenarios.

244 Table 2. Description of the BMPs scenarios and the parameter changes in the SWAT

Scenario	Parameter	Pre-BMP/ Calibrated	Post-BMP/ modified
Baseline (BL)	-	-	-
Filter Strip (FS)	FILTERW	0	1
Soil/stone bund (SB)	CN2.mgt	*	* - 3
	USLE_P	0.5	0.32
	SLSUBBSN	*	0.50*
	HRU_SLP	*	0.75*
Vegetative contour strips (VS)	FILTERW	0	1
	USLE_P	0.5	0.34
	SLSUBBSN	*	0.50*
	HRU_SLP	*	0.75*
Reforestation (R)	It is a management practice where croplands on hilly areas were changed in to plantation forests with slope >16% by 5%		

245 *: calibrated values

246 2.3.4 SWAT model setup and uncertainty Analysis

247 The SWAT model setup consists the following procedures: Preparation of spatial and
 248 temporal data, watershed delineation and sub-basin discretization, HRU definition,

249 writing weather inputs, and calibration and uncertainty analysis. A 30 by 30m resolution
 250 DEM was used to delineate the watershed. Then, HRU definition was held using a
 251 threshold value of 15%, 10%, 10% for land use, soil and slope respectively. Toba
 252 watershed was discretized into 25 sub-basin and 260 HRUs. Global sensitivity analysis
 253 was performed both for streamflow and sediment to identify the most influencing
 254 parameters. Then, SWAT model calibration and validation for stream flow and sediment
 255 was done using SUFI-2 algorithms in SWAT-CUP for the periods of 2000-2006 and
 256 2007-2012 respectively. The model performance was evaluated using Coefficient of
 257 determination (R^2), Nash Sutcliff efficiency (NSE) and percent bias (PBIAS). These
 258 statistics were calculated using the following equation.

259 **A. Coefficient of determination, R^2**

$$260 \quad R^2 = \frac{\sum_{i=1}^n [(Q_{obs} - \bar{Q}_{obs})(Q_{sim} - \bar{Q}_{sim})]^2}{\sqrt{\sum_{i=1}^n (Q_{obs} - \bar{Q}_{obs})^2 \sum_{i=1}^n (Q_{sim} - \bar{Q}_{sim})^2}}; 0 \leq R^2 \leq 1 \quad (6)$$

261 Where Q_{obs} is the observed variable, Q_{sim} is the model simulated output, \bar{Q}_{obs} is the
 262 mean of the observation and \bar{Q}_{sim} is the mean of the simulated output and n is the total
 263 number of observations.

264 **B. Nash Sutcliff efficiency, NSE**

$$265 \quad NSE = 1 - \frac{\sum_{i=1}^n (Q_{obs} - Q_{sim})^2}{\sum_{i=1}^n (Q_{obs} - \bar{Q}_{obs})^2}; -\infty \leq NSE \leq 1 \quad (7)$$

266 **C. Percent Bias, PBIAS**

$$267 \quad PBIAS = 100 * \left(\frac{\sum_{i=1}^n Q_{obs} - \sum_{i=1}^n Q_{sim}}{\sum_{i=1}^n Q_{obs}} \right) \quad (8)$$

268 The use of deterministic approach that results in a single set of parameters as best
 269 simulation is an outdated approach in calibration as it doesn't recognize the errors and
 270 uncertainties in the modelling works. Consequently, any model calibration must include

271 the analysis of the uncertainty with propagations of parameter uncertainties [29] in
272 addition to the statistics R^2 , NSE and PBIAS.

273 Parameter uncertainty in SUFI-2 expressed as ranges accounts for all sources of
274 uncertainty from conceptual model, parameters, measured data and uncertainty in
275 driving variables [29]. Two statistics, *P-factor* and *R-factor* were used to quantify the
276 fit between the simulation result expressed as 95% prediction uncertainty (95PPU) and
277 the observation. the degree to which all uncertainties are accounted for is designated by
278 *P-factor* whereas, *R-factor* is the average thickness of the 95PPU envelop (30). For *P-*
279 *factor*, the value of greater than 70% and *R-factor* of around 1 could be acceptable for
280 stream flow whereas, smaller value of *P-factor* and a larger value of *R-factor* could be
281 acceptable for sediment.

282 **3. Result and Discussion**

283 **3.1 Sensitivity Analysis, Calibration and Validation**

284 The relative sensitivity analysis for streamflow and sediment were carried out on the
285 monthly time-scale at subbasin 11 where the gauging station is located. The parameter
286 sensitivity and rankings with the significance of the relative sensitivity are determined
287 using t-stat and *p*-value. The lower *p*-stat and larger absolute t-stat value indicate the
288 most significant parameter. Using the *p*-value and t-stat, Global sensitivity using Latin
289 hypercube ‘one-at-a-time’ regression system was used to evaluate the relative
290 sensitivity. The sensitive streamflow and sediment in Toba watershed are described in
291 Table 3. From Table 3, top four most sensitive streamflow parameters were SCS curve
292 number (CN2), Deep aquifer percolation fraction (RCHRG_DP), saturated hydraulic

293 conductivity (SOL_K) and Groundwater delay (GW_DELAY).
 294 The most sensitive parameters for sediment parameters are management support
 295 practice factor (USLE_P), Channel cover factor (CH_COV2), Linear factor for channel
 296 sediment routing (SPCON), Channel erodibility factor (CH_COV1) and Exponential
 297 factor for sediment routing (SPEXP). These are also reported by similar studies in
 298 Upper Blue Nile River Basin Ayele et al. [31] and Lemma et al.[12]. The sensitive
 299 parameters were calibrated with the recommended ranges and the fitted value shown in
 300 Table 3 were used to compute the amount of sediment yield from Toba watershed.

301 **Table 3.** List of parameters used for streamflow and sediment calibration with the
 302 parameter ranges, fitted values and sensitivity ranks using SUFI-2.

	Parameter	Description	Range	Fitted value	Rank
Stream flow	1: R_CN2.mgt	SCS curve number	±25%	-10%	1
	2: V_RCHRG_DP.gw	Deep aquifer percolation fraction	0-1	0.063	2
	11: R_SOL_K(..).sol	Saturated hydraulic conductivity	±25%	8.02%	3
	4: A_GW_DELAY.gw	Groundwater delay	±10	-8.43	4
	5: A_GWQMN.gw	Threshold depth of water in the shallow aquifer required for return flow to occur (mm)	0-5000	822	5
	6: A_GW_REVAP.gw	Groundwater "revap" coefficient	±0.036	0.0096	6
	8: V_CH_K2.rte	Effective hydraulic conductivity in main channel alluvium	5-130	15.42	7
	3: V_ALPHA_BF.gw	Baseflow alpha factor (days)	0-1	0.94	8
Sediment	19: V_USLE_P.mgt	USLE support practice factor	0-1	0.50	1
	23: R_CH_COV2.rte	Channel cover factor	0.001-1	0.205	2
	21: V_SPCON.bsn	Linear factor for channel sediment routing	0.0001-0.01	0.0036	3
	22: R_CH_COV1.rte	Channel erodibility factor	0.01-0.6	0.353	4
	20: V_SPEXP.bsn	Exponential factor for sediment routing	1-2	0.653	5

303 Monthly streamflow and sediment datasets from 2000 to 2006 were used for model
 304 calibration and 2007 to 2012 were used for model validations. The SWAT model
 305 performance is considered to be acceptable for streamflow and sediment load
 306 simulation on the bases of R^2 and $NSE > 0.5$ and $PBIAS \leq \pm 55\%$ for sediment load and

307 PBIAS $\leq \pm 25\%$ for streamflow for a monthly time step evaluation [31,32]. Accordingly,
 308 estimation of streamflow and sediment load showed satisfactory performance both in
 309 calibration and validation periods. However, there is relatively lower statistical
 310 measures during the validation process. The statistical performance for streamflow and
 311 sediment load are summarized in Table 4. The lower statistical measures for sediment
 312 calibration and validation could be related to the quality and scarcity of observed data,
 313 parameters, streamflow process and model prediction uncertainty. The negative PBIAS
 314 value during calibration and validation showed that the model slightly overestimated
 315 the predicted streamflow and the positive PBIAS during validation of sediment data
 316 showed under estimation.

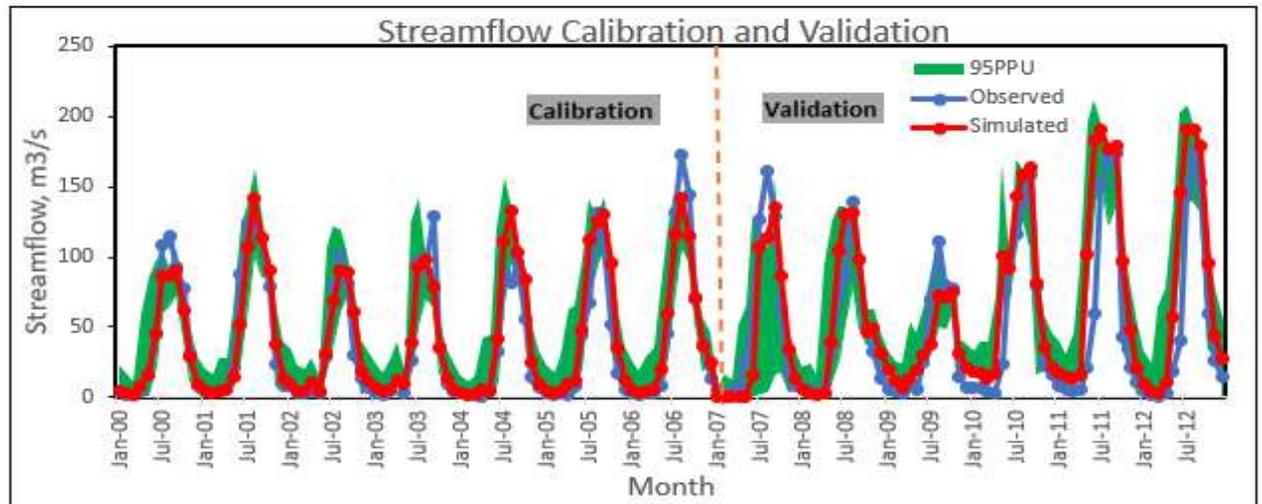
317 To determine the degree of uncertainty and goodness of fit and the model strength, *p-*
 318 *factor* and *R-factor* and 95PPU calculated at the 2.5% and 97.5% levels of cumulative
 319 distribution. The result showed that, 76% and 58% of the measured streamflow are
 320 bracketed by the 95PPU whereas, *R-factor* has a reasonable value of 0.87 and 1.01
 321 during calibration and validation respectively. For sediment yield, 38% and 42% of the
 322 observed data was bracketed by the 95PPU and the *R-factor* was 0.56 and 0.81.
 323 compared to streamflow, higher level of uncertainty (38%) was reported during
 324 calibration. In general, the model performance in Toba watershed have shown higher
 325 superiority during validation and the results are comparable with studies in highlands
 326 of Ethiopia [12,31].

327 **Table 4.** Monthly streamflow and sediment calibration (2000-2006) and validation
 328 (2007-2012)

	Process	p-factor	r-factor	R²	NSE	PBIAS	RSR
Streamflow	Calibration	0.76	0.87	0.89	0.89	-5.8	0.34
	Validation	0.58	1.01	0.71	0.52	-22.5	0.69

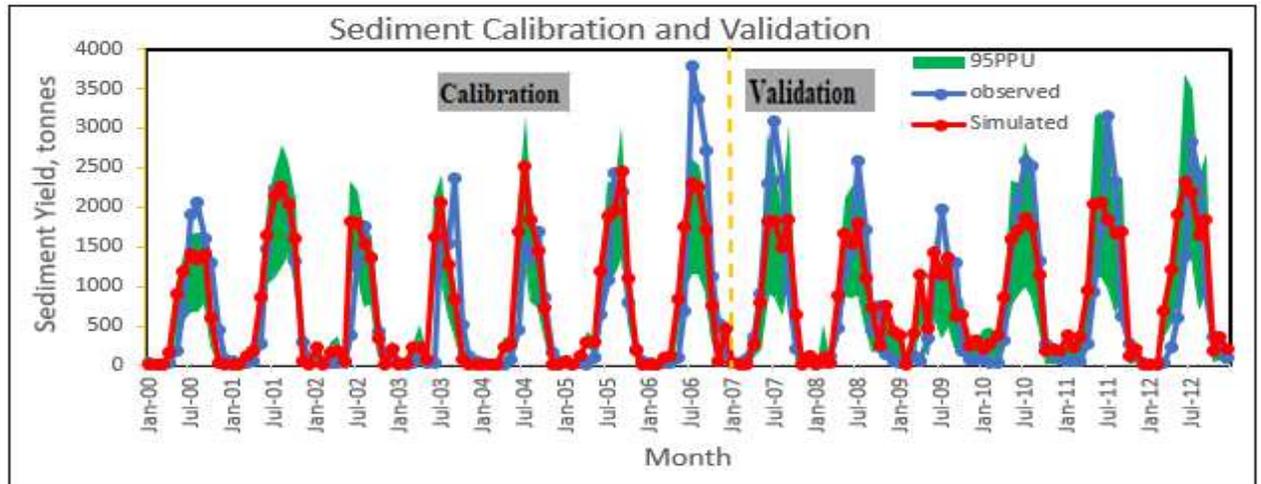
Sediment	Calibration	0.38	0.56	0.67	0.66	-8.4	0.58
	Validation	0.42	0.81	0.65	0.64	9.8	0.72

330 Graphical analysis of streamflow simulation showed that, the model predictions have
331 shown both over estimation and under estimation during calibration and validation
332 (Figure 4). However, the general prediction of the model is good enough to simulate
333 the streamflow except the peak flow in most of the calibration and validation years.



334
335 **Figure 4.** Observed and simulated streamflow calibration and validation

336 The graphical analysis of observed and the predicted sediment yield indicated that, the
337 model has shown both overestimation and underestimation during calibration and
338 underestimated sediment yield during validation (Figure 5). SWAT model was unable
339 to predict the peak sediment yield throughout the years of validation period and in some
340 years of calibration period. However, the model is able to properly simulate the rising
341 and falling limb in both cases.



342

343 **Figure 5.** Observed and simulated sediment yield calibration and validation

344 **3.2 Prioritizations of Toba watershed to sediment yields**

345 Soil erosion by water has become the responsible factor for the degradation of the fertile
 346 top soil from agricultural lands. This is a great challenge for agricultural productivity
 347 in highland parts of Ethiopia where agriculture is the dominant activity of the
 348 community. Toba watershed is one of the highland watersheds where soil erosion has
 349 become the challenging problem for agricultural activity. The annual sediment yield in
 350 the watershed ranges from $0.09 \text{ t ha}^{-1} \text{ yr}^{-1}$ to $44.8 \text{ t ha}^{-1} \text{ yr}^{-1}$ with an average sediment
 351 yield of $22.7 \text{ t ha}^{-1} \text{ yr}^{-1}$. The annual SY of the watershed was classified into six severity
 352 classes: very low ($0-5 \text{ t ha}^{-1} \text{ yr}^{-1}$), low ($5-10 \text{ t ha}^{-1} \text{ yr}^{-1}$), moderate ($10-18 \text{ t ha}^{-1} \text{ yr}^{-1}$),
 353 high ($18-30 \text{ t ha}^{-1} \text{ yr}^{-1}$), very high ($30-40 \text{ t ha}^{-1} \text{ yr}^{-1}$) and severe ($>40 \text{ t ha}^{-1} \text{ yr}^{-1}$) (Table
 354 5). The very low and low class represents the level of erosion was less than the rate of
 355 soil formation very high and severe classes showed that the SY is higher than the
 356 average SY.

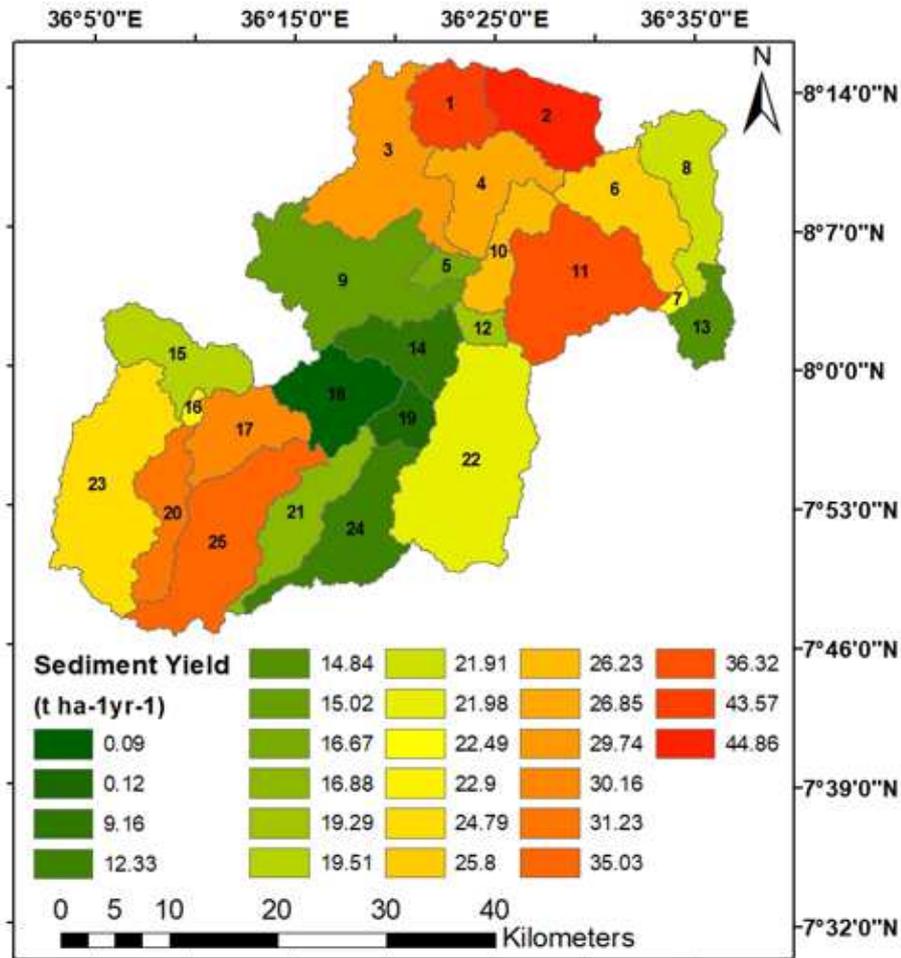
357

Table 5. Annual average SY, severity classes and area of contribution

SY- $\text{t ha}^{-1} \text{ yr}^{-1}$	Area, ha	Area, %	Severity
--	----------	---------	----------

0 - 5	9481.14	5.2	Very Low
5_11	5434.29	3.0	Low
11 - 18	34472.5	18.9	Moderate
18 - 30	83835.0	45.9	High
30 - 40	37652.2	20.6	Very high
>40	11960.4	6.5	Severe

358 The spatial distribution of the sediment sources shows that, very low and low SY (<11
359 t ha⁻¹ yr⁻¹) in the watershed was generated from sub-basin 18, 19 and 14 (Figure 6).
360 These sub-basins accounted about 8.2% of the total watershed and they are dominantly
361 covered by forest land. The highest contributor of SY (>40 t ha⁻¹ yr⁻¹) are sub-basin 1
362 and 2 located in the highland areas, northern part of the watershed. These sub-basins
363 are characterized by cultivated crop. This shows that human activities in the higher
364 slopes were the main driving factor of SY. In general, areas that have good vegetation
365 cover around the middle parts of the watershed are characterized by lower SY and
366 sloping agricultural lands are the dominant sources of higher SY. The study indicated
367 that SY is more sensitive to land use classes revealing areas under minimal disturbances
368 are not a significant source of erosion and areas under extensive agriculture are the
369 sources of high erosion.



370

371

Figure 6. Spatial distribution of sediment yields in Toba watershed

372

The estimated annual average rate of SY in Toba watershed was 22.7 t ha⁻¹ yr⁻¹. This

373

was higher than the tolerable soil loss (2-18 t ha⁻¹ yr⁻¹) from agricultural lands in

374

Ethiopia as it was suggested by Hurni [33]. However, the annual average SY predicted

375

in Toba watershed is less than the rates of average soil erosion reported in different

376

parts of Blue Nile Basin. Ayele et al. [31] in Koga catchment, a tributary to Gilgel Abay

377

(24.3 t ha⁻¹ yr⁻¹), Gashaw et al. [34] in Geleda watershed (23.7 t ha⁻¹ yr⁻¹), Lemma et al.

378

[12] in Lake Tana Basin (32 t ha⁻¹ yr⁻¹), Yesuph & Dagneu [35] in the Beshillo

379

catchment (35 t ha⁻¹ yr⁻¹) and Dibaba et al. [17] in the Finchaa catchment (36.47 t ha⁻¹

380

yr⁻¹). The variations of the soil loss in different parts of the Blue Nile reveals that, SY

381 varies with difference in agroecology and biophysical environments. Relatively, the
382 lower average soil loss in Toba watershed could be attributed to the good vegetation
383 cover (forest was the second dominant land use class) compared to the other areas. Most
384 of the soil loss estimates in Ethiopia are based on RUSLE model. Although the model
385 is simple and can be developed with small input parameters in areas like Ethiopia where
386 data is limited, the outputs of RUSLE model is sensitive to the input parameters. In
387 RUSLE model there is no option to identify the most sensitive parameters like the other
388 models.

389 **3.3 Evaluation of Best management Practices**

390 Usually, it is important to establish threshold value between tolerable and intolerable
391 level of soil erosion to minimize the risks of soil erosion. The rate of soil loss considered
392 as tolerable based on maintenance of crop production was reported from 1 to 11 t ha⁻¹
393 yr⁻¹ [36]. According to FAO [36], SY from 8.2% of the watershed is considered as a
394 tolerable rate of erosion. In Ethiopia, the tolerable rate of soil loss in different agro-
395 ecological conditions were reported from 2 to 18 t ha⁻¹ yr⁻¹ [33]. In this study, sub-
396 basins that generates SY more than 18 t ha⁻¹ yr⁻¹ which accounts for 72.9% were
397 considered for the BMP scenario analysis. From the total 25 sub basins, only 8 sub-
398 basins generate the tolerable soil loss and the remaining 17 sub-basins require urgent
399 actions for management.

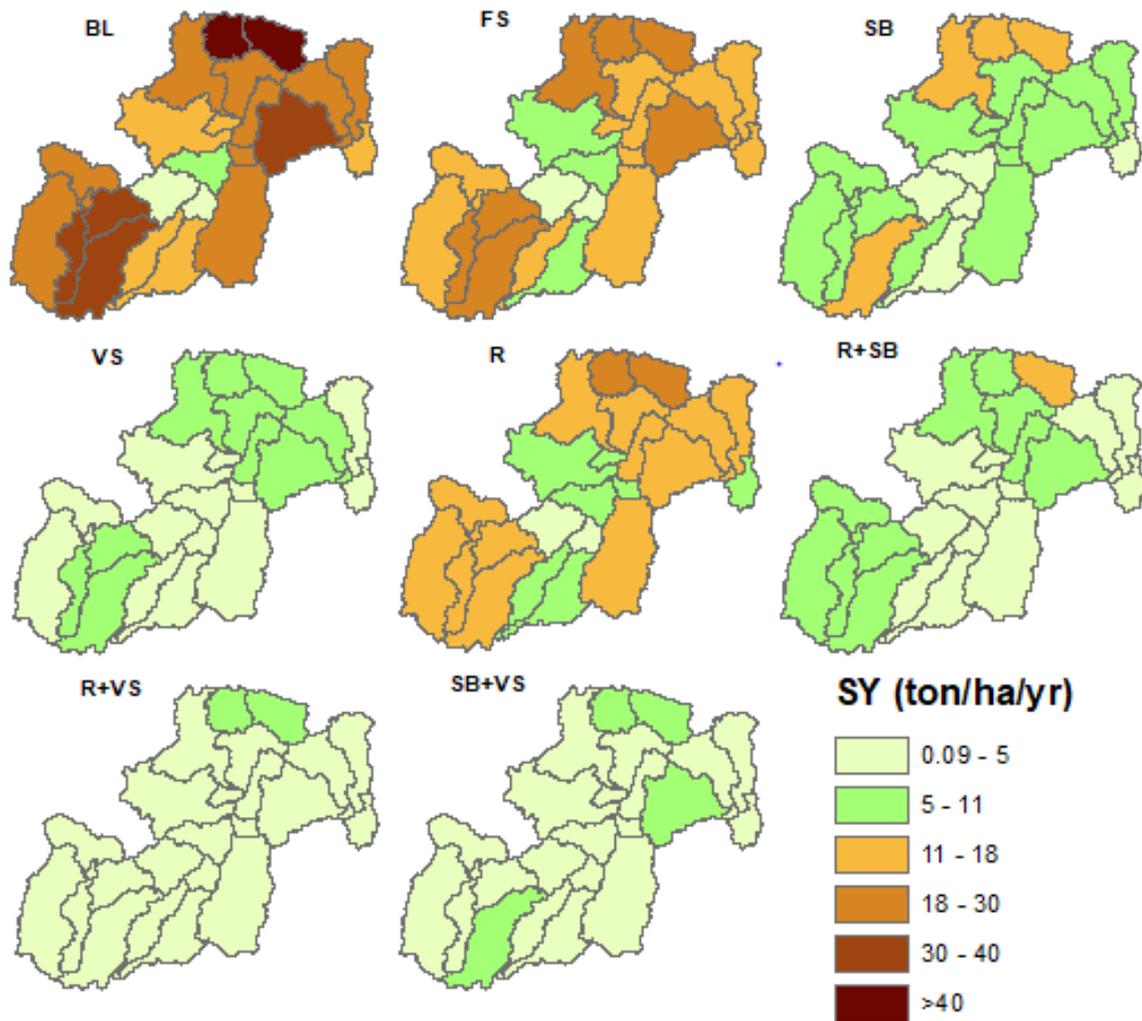
400 The summary of implementing the individual BMPs and their combination in Toba
401 watershed was summarized in Table 6. The lowest SY reduction was reported as 36.1 %
402 during the implementations of filter strip (FS) whereas the highest reduction was
403 reported as 80.5% by the simulation of vegetative strip (VS) followed by soil/stone

404 bund (SB). Application of SB on steep slopes and reforestation of the hilly areas
 405 reported SY reduction by 69.3% and 47.5% respectively. However, implementing the
 406 combinations of the BMP scenarios improved SY reduction better. The highest
 407 reduction in SY was attained by the combination of R and VS followed by SB and VS.
 408 This finding suggests a reasonable reduction of SY requires implementation of
 409 appropriate combinations of BMPs. Improved reduction of SY by combining the BMPs
 410 is also reported by similar studies [13].

411 Table 6. estimated SY reduction due to BMPs compared to the baseline scenario

Scenarios	Percentage of change in SY, %
FS	36.1
SB	69.3
VS	80.5
R	47.5
R+SB	77
R+VS	87.8
SB+VS	83.7

412 Although the application of all BMPs have shown reasonable reduction of SY, the
 413 simulation of all BMPs revealed considerable spatial variability (Figure 7). The
 414 application of the combination of the BMPs, SB and VS reduced SY below the tolerable
 415 soil loss over the entire critical areas of the watershed. Whereas, the application of FS
 416 and R alone can note alleviate the risk of soil erosion fully from the whole watershed
 417 with in the tolerable limit of the soil loss. Particularly, 7 sub-basins under FS and two
 418 sub-basins under R still generates SY beyond the limit of tolerable soil loss (Figure 7).



420

421 **Figure 7.** impacts of BMPS on the reduction in sediment yield422 **3.4 Management and policy implications**

423 Considering different alternatives for investigating the possible soil and water

424 management practices is one of the imaginable outcomes of the study for decision

425 makers. The concept of the management practices is that development and management

426 of watershed resources should achieve sustainable production without causing

427 deterioration to the resource base or causing any ecological imbalances. In this regard,

428 an integrative systemic approach that helps to reverse the land degradation through

429 water erosion by regulation of hydrological and ecological processes is required as
430 poorly planned management practice could result in complete failure.

431 The limited and slower response to the multifaceted issues of communities and the need
432 to integration and comprehensive action are yet exacerbating the environmental
433 problems. There are some efforts towards natural resources management like integrated
434 water and soil conservation practices. However, poor collaboration and coordination in
435 designing and implementing comprehensive and integrated development interventions
436 that can support sustainable development in a fullest sense are still remaining
437 bottlenecks which need attention and focus from all concerned actors. In most cases,
438 the development interventions in our country, the study area in particular are
439 overlooking to make an in-depth analysis and understanding about the environment-
440 population nexus. Consequently, the severity of the environmental problem related to
441 soil erosion currently emerging in the watershed and the region at large is caused by the
442 uncontrolled population-environment nexus outcomes. The peculiar characteristic of
443 the population of the Oromia region where the study is located is that very limited size
444 of land holding to the farmers is the cause for cultivation of steep slope which has
445 become a source of erosion and sediment loss in the watershed as shown in sub basin 1
446 and 2 in Figure 6. The emphasis of the local government on expanding agriculture lands
447 every year so as to ensure food security of the area and also create job opportunity for
448 youths could be the main reason for uncontrolled extensive agricultural expansion.

449 The major problem of management in Ethiopia is interventions were done without prior
450 investigations and the need of local population for conservation. Moreover, there is no
451 sort of organizational structure to the grass root level, for instance watershed committee

452 for watershed conservation and most of the activities were done through mass
453 mobilization. The relevance of policy and program tools for land conservation through
454 mobilization however depends on whether or not the farm households are convinced of
455 the need to adopt conservation investments. On the other hand, implementations of
456 various management practices are highly affected by the agro-ecological variations,
457 technology used by the community and institutional supports, research supports and
458 public awareness [10,37]. Most of the factors are still the triggering factors of the
459 natural resources management failures. In this regard, management practices require
460 the need for engagement in a long-term practice regardless of the underlying
461 biophysical endowments and environment.

462 Further, local knowledge of water management is not always adequate. For example;
463 in some cases, there has been over drainage, leading to the resources being abandoned
464 from cultivation. This sort of problem may sometimes be the result of a lack of
465 adaptation of local knowledge to specific sites or changes in environmental conditions
466 to which this knowledge is applied. In other cases, it may be the result of incomplete
467 transfer of knowledge from community to community.

468 This provides an opportunity to achieve consistency in policies and actions at all levels
469 and scopes, from local to global. Collaborative planning and actions at the landscape
470 scale are an important foundation for maximizing cross departmental synergy. Effective
471 inter-sectoral coordination requires stakeholders to share evidence, information, and
472 best practices; and coordinate the planning, implementation, and monitoring processes
473 are harmonized at the landscape level. Integrated landscape or catchment management
474 ensures that by managing the underpinning natural resource base and ecosystem

475 services in a coordinated way, societal needs can be met in the short and long term.
476 Therefore, the application of best management practices stated in chapter 3 for
477 enhancing the socio-ecological resilience of Toba watershed can be aligned with the
478 integrated landscape approach of addressing multiple goals of sustainable development.
479 The implementations of best management practice should consider the coordinated
480 development and management of land and water with the broader upstream and
481 downstream interests. Three important pillars have to be developed: developing proper
482 policies, strategies and legislation with proper finance and incentive structures, forming
483 a framework for institutions through which policies can be implemented and set up
484 management systems for the institutions to do their job.

485 **Strategic Goal 1:** Enhancing Ecological Resilience of the catchment through
486 improving management of biophysical resources (mainly soil and vegetation) and also
487 through restoration of degraded ecosystems and sites.

488 **Strategic Goal 2:** Improving socio-economic development and community's
489 livelihood in the target catchment through promoting small-scale and community
490 owned green enterprises for enhancing socio-economic resilience. Therefore,
491 intervention packages that can be linked to this strategic goal of enhancing socio-
492 economic resilience should logically be targeting on improving and/or modernizing the
493 agricultural production system through intensification, among others. An important
494 consideration of these interventions is that they have to contribute to the realization of
495 eco-friendly or climate-smart agricultural production systems.

496 **4. Conclusion**

497 Soil erosion by water has become a challenge facing agricultural production in

498 agricultural watersheds. The increasing risks of soil erosion and related environmental
499 problems have driven the need for research to address sustainable land and water
500 resources management. This study attempted to examine the soil erosion status of Toba
501 watershed and identify hotspot areas for effective watershed management interventions
502 to reduce the risk of sediment generation. Considering different alternatives to
503 investigate the possible soil and water management practices is one of the conceivable
504 outcomes of the study for decision policy.

505 The estimated annual sediment yield varies from $0.09 \text{ t ha}^{-1} \text{ yr}^{-1}$ to $44.8 \text{ t ha}^{-1} \text{ yr}^{-1}$ with
506 an average sediment yield of $22.7 \text{ t ha}^{-1} \text{ yr}^{-1}$. The highest SY was contributed by the
507 steep farmland. The severity of erosion at the very low, low and moderate severity
508 levels covering 27.1% of the watershed area was within the tolerable ranges of soil
509 erosion in Ethiopia (2 to $18 \text{ t ha}^{-1} \text{ yr}^{-1}$). Seventeen sub-basins, which represent about
510 72.9% of the watershed area, have been identified as critical areas that require
511 implementation of proper measures.

512 Regardless of the considerable SY by all scenarios, the simulation of the individual
513 BMPs in reducing SY over Toba watershed has varied appreciably. The application of
514 certain scenarios (FS and R) cannot reduce the risk of soil erosion below the tolerable
515 limit of the soil loss. However, the combination of the scenario is more pronounced and
516 desirable in SY reduction. Therefore, this finding suggests that a reasonable reduction
517 in SY requires the implementation of an appropriate combinations of BMPs.

518 Overall, the study demonstrated how prioritization of erosion hotspot areas can be used
519 to aid systematic watershed planning through the use of modelling, SWAT. Coordinated
520 development and management of land and water with the broader upstream and

521 downstream interests could help to achieve better implementation of best management
522 practice. Therefore, this study recommends, creating awareness of the risk of soil
523 degradation in order to persuade and ensure the long-term engagement of the
524 community and stake holders in management activities.

525 **Declarations**

526 **Competing Interest**

527 The authors declare that they have no competing interests.

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530 **Author Contributions**

531 Wakjira T Dibaba have developed the concept of the study, methodology, and formal
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533 in writing review and editing the manuscript. All authors have read and agreed to the
534 published version of the manuscript.

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