

# The Generalized Plant Allometry that Advances Metabolic Ecology Theory

Stuart Bryce Dixon Sopp (✉ [s.sopp@bangor.ac.uk](mailto:s.sopp@bangor.ac.uk))

Bangor University <https://orcid.org/0000-0002-0777-4493>

Ruben Valbuena

Bangor University <https://orcid.org/0000-0003-0493-7581>

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## Research Article

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1 **Main Manuscript**

2 **Title:** The Generalized Plant Allometry that Advances Metabolic Ecology Theory.

3 **Authors:** S.B.D. Sopp (1)\*, and R. Valbuena (1)\*

4 **Affiliations:**

5 (1) School of Natural Sciences, Bangor University, Bangor, LL57 2UW, UK

6 \* **Corresponding authors:** [s.sopp@bangor.ac.uk](mailto:s.sopp@bangor.ac.uk), [r.valbuena@bangor.ac.uk](mailto:r.valbuena@bangor.ac.uk)

7

8 **This file includes:**

9 Abstract

10 Main text

11 References

12 Figures (1-5)

13 Tables (1 and 2)

14

15 **Abstract**

16 Plant allometry is key for determining the role of forests in global carbon cycles,  
17 through the calculation of tree biomass using proxy measurements such as tree diameters  
18 or heights. Metabolic ecology theory (MET) considers the general principles that underpin  
19 allometry, but MET scaling relationships have been challenged on their lack of fit to  
20 empirical data and global applicability. We postulated that MET scaling is applicable only  
21 for plant tissues combining conductive and supportive functionality (tracheids), but as  
22 plants evolved tissues of specialized conductive functionality (vessels) their allometry  
23 progressed into more complex relationships. According to this principle, we deduced  
24 generalized MET (gMET) relationships with mechanistically deduced coefficients. Our  
25 gMET models proved to have exceptional empirical support against global datasets,  
26 achieving unbiased predictions across biomes worldwide. These results prove gMET  
27 models to be a crucial improvement to MET-based allometry, providing a universally  
28 applicable theoretical framework for worldwide estimations of forest carbon.

29

30 **Main text**

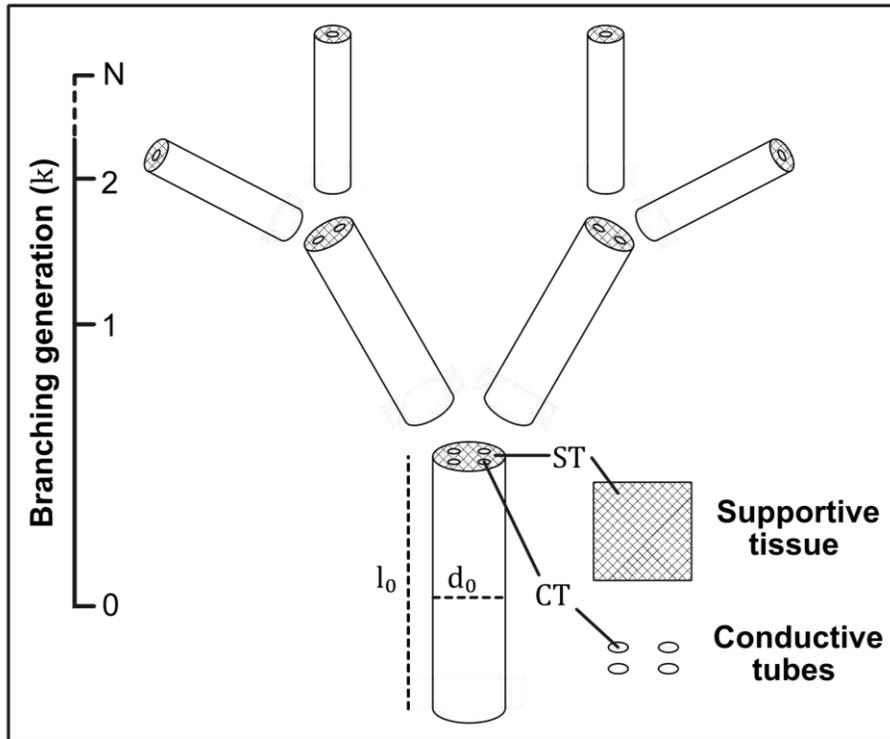
31 Metabolic ecology theory (MET) provides a broad framework which sheds light  
32 upon scaling relationships within biological organisms<sup>1,2</sup>, with implications for  
33 understanding ecosystem growth and dynamics<sup>3</sup>, ecosystem structure<sup>4-6</sup>, and to a greater  
34 extent net primary productivity and ecosystem energy and carbon cycles<sup>7-9</sup>. However, the  
35 plant allometric relationships derived through MET have faced criticism for their lack of  
36 empirical support, with larger trees particularly deviating from the predictions<sup>10-12</sup>. For this  
37 reason, there has been attempts to adjust its theoretical basis<sup>13</sup>, such as the inclusion of  
38 additional aspects like canopy geometry packing dynamics<sup>14,15</sup> or the influence of  
39 asymmetric competition of larger trees for resources<sup>16</sup>. Despite these attempts, a single  
40 succinct mechanistic advancement, explaining the lack of fit to empirical data and resulting  
41 in a coherent set of allometric relationships, has not been realized.

42 Some of the key allometric equations that stem from MET provide scaling  
43 relationships between the diameter ( $d$ ) of a plant and its height ( $h$ ), volume or aboveground  
44 biomass ( $agb$ ) and subsequently carbon. MET<sup>2</sup> dictates that the  $h$  an individual tree  
45 reaches scales to  $2/3$ s of its  $d$ . However this  $h$ - $d$  relationship often fits poorly to real tree  
46 data, with many studies fitting power laws notably below  $2/3$ <sup>11,17-19</sup>, with the greatest  
47 deviation shown by large trees which exhibit exponents significantly lower<sup>20-22</sup>.  
48 Consequently, the geometrically propagated  $agb$ - $d$  exponent of  $8/3$  predicted by MET<sup>2</sup> is  
49 consistently higher than adjusted models worldwide<sup>23-26</sup>. Scholars have therefore taken to  
50 using model forms other than power laws that empirically suit their datasets<sup>27-29</sup>, thereby  
51 preferring statistically optimised model forms, over theory-based models<sup>17,30-31</sup>. The  
52 question is therefore raised as to whether a suitable mechanistic model other than a simple

53 power relationship can be derived from MET, conciliating the current divide between  
54 theoretical and statistical plant allometry models.

55 To investigate the lack of theory-consistent scaling in empirical tree data, a re-  
56 evaluation of the underpinning assumptions for MET's allometry is needed, considering  
57 the conductive resistance and support requirements affecting plants as they grow in size<sup>32</sup>.  
58 Hydraulic and mechanical constraints are typically cited as key influences of plant  
59 allometry, with their interaction governing  $h$ <sup>33</sup>. A trade-off between these two constraints  
60 is evident<sup>34</sup>, particularly for large trees<sup>35,36</sup>. Each constraint can be considered through the  
61 proportion of tissue with conductive (*CT*) and supportive (*ST*) functionality within a cross-  
62 section of the stem<sup>37,38</sup> (Fig. 1). At a cellular level, these functions manifest differently  
63 between species, as angiosperms contain vessels and fibres, respectively with distinct  
64 conductive and supportive roles, while gymnosperms typically have tracheids that integrate  
65 both functions<sup>39</sup>.

66



67  
 68 **Fig. 1 | Tree architecture illustrating the differentiation of supportive and conductive**  
 69 **systems within a branching network. This differentiation is set out by Eq. 9.**

70

71 We postulated that a distinction between *CT* and *ST* is required to advance the  
72 current theory and overcome the shortfalls of the original MET scaling. We therefore  
73 revisited MET's underpinning assumptions and used them to derive a new set of allometric  
74 equations which consider the interaction between conductive and supportive functionality,  
75 integrating mechanical and hydraulic limitations into MET scaling. We hypothesized that  
76 this advancement would generate generalized MET (gMET) model forms for the *h-d* and  
77 *agb-d* relationships which would adequately fit vascular plants independently of  
78 functional type (angiosperm/gymnosperm) or geographical location (biome), clarifying the  
79 reasons for the apparent lack of empirical fit in large trees and for certain tree species. We  
80 show that the theoretical gMET equations demonstrate statistical and conceptual validity  
81 globally, and better statistical models that are widely used as the gold standard for  
82 estimating biomass carbon in tropical forests<sup>27</sup>. Most importantly, these results substantiate  
83 that the implications of MET in plant allometry hold true globally.

84

### 85 **Rationale for the generalized MET (gMET) model**

86 The  $2/3$  scaling relationship between *d* and *h* predicted by MET derives strictly  
87 from biomechanical limitations of an area-preserving and volume filling network of  
88 branching geometry<sup>2,33,39-40</sup>. The original formulation of allometry derived from MET  
89 assumes that the biomechanical capabilities of both *CT* and *ST* are equivalent to derive the  
90  $2/3$  exponent in the  $h \propto d^{2/3}$  scaling<sup>1,2</sup>. Plant xylem is however not functionally designed  
91 for structural support, since it maximizes conductance (Murray's law)<sup>42,43</sup>. Consequently,  
92 we assume that *ST* is solely responsible for the supportive functionality. Our models are  
93 therefore underpinned by the postulate that the  $2/3$  scaling relationship should be applied

94 exclusively to  $ST$  (see Methods). Accordingly, we propose a modification of the original  
 95 MET  $h$ - $d$  scaling relationship, which has subsequent implications for other allometric laws  
 96 derived from MET, such as the  $agb$ - $d$  scaling.

97 The development of our generalized  $h$ - $d$  relationship stems from another MET-  
 98 derived scaling law<sup>2</sup> which dictates that the proportion of tissue with conductive function  
 99 scales to the diameter as  $CT \propto d^{1/3}$ . This implies that  $CT$  rises to overcome hydraulic  
 100 constraints as a tree grows, and thus the proportion of non-lumen tissue with supportive  
 101 functionality  $ST = 1 - CT$  decreases with diameter<sup>35,44</sup>. Despite this reduction in  $ST$ , the  
 102 net mechanical strength continues to increase with tree diameter, as the resistance  
 103 generated by fibres increases with distance from a neutral axis<sup>45</sup>. Thus, the empirical  
 104 evidence that growth in height slows in larger trees<sup>20-22</sup> can be explained under the  
 105 consideration that the  $2/3$  scaling only concerns to the proportion of tissue with supportive  
 106 functionality  $h \propto (STd)^{2/3}$  (see Eq. 9 in Methods). A component of decrease in  $ST$  can  
 107 thus be included, effectively modelling the dynamic interaction between the two tissue  
 108 types that govern the hydraulic and mechanical constraints to tree growth.

109 Applying this modification of the original MET scaling laws gives the generalized  
 110 gMET  $h$ - $d$  allometric equation (see Methods for full derivation in of Eqs. 1-3):

111 Eq. 1 
$$h = \beta_1 d^{2/3} (1 - \beta_2 d^{1/3})^{2/3}.$$

112 Moreover, based on MET assumptions (area-preservation implies that the aggregated total  
 113 tree volume is modelled as a cylinder, see Fig. 2) we also deduced that tree  $agb$  as a  
 114 function of both its  $d$  and  $h$  ( $agb \sim f(d, h)$ ) must necessarily be:

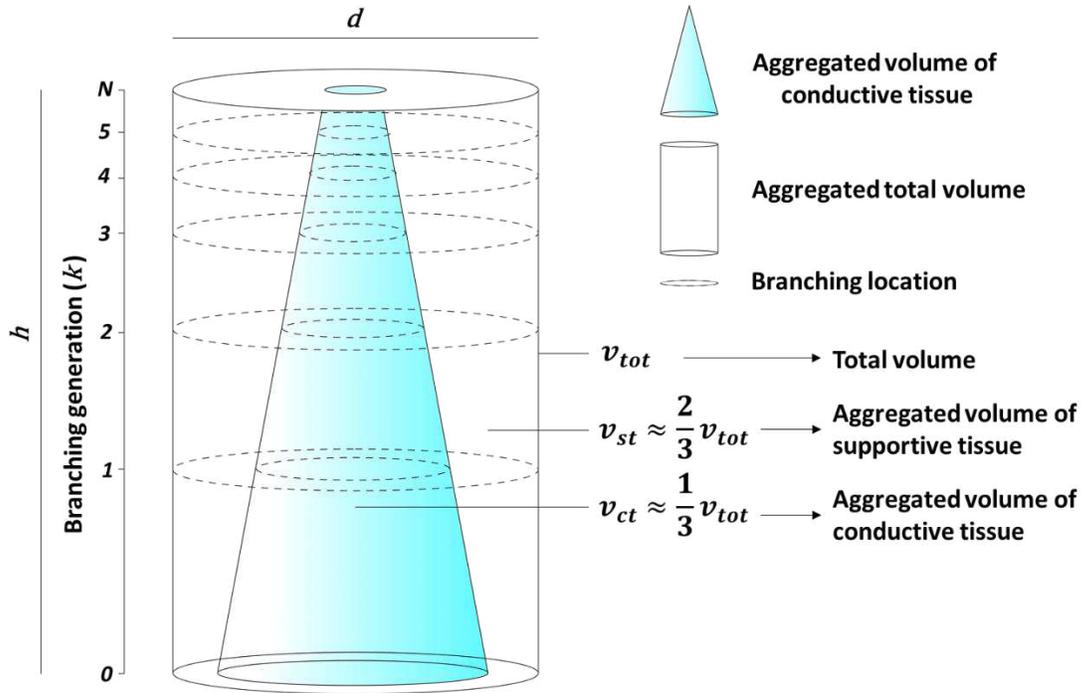
115 Eq. 2 
$$agb = \beta_3 \rho d^2 h,$$

116 where  $\rho$  is averaged wood density of the tree. It is worth noting that Eq. 2 implies that  
117 biomass is directly proportional to volume (i.e. an exponent equal to 1). Consequentially,  
118 the gMET  $h-d$  model form (Eq. 1) can be propagated into Eq. 2, giving a gMET  $agb-d$   
119 model:

120 Eq. 3 
$$agb = \beta_1 \beta_3 \rho d^{8/3} (1 - \beta_2 d^{1/3})^{2/3},$$

121 where  $\beta_{1-3}$  represent coefficients that can be mechanistically deducted through tree  
122 parameters established by MET and associated scalars (see Eqs. 8, 11 and 20 in Methods).  
123 The values of  $\beta_1$  and  $\beta_2$  are equivalent in Eq. 1 and Eq. 3, and are constituted by a series  
124 of tree parameters which are all measurable and could be determined at a species-specific  
125 or any other relevant taxonomic level. In turn,  $\beta_3$  we can be approximated to  $\beta_3 \approx c \cdot \pi / 6$   
126 (where  $c$  is a unit conversion factor, see Eq. 20) under MET assumptions (area-preservation  
127 models the aggregated total tree volume as a cylinder, whereas the optimum vessel taper  
128 models the aggregated volume of conductive material as a cone, see Fig. 2).

129



130

131 **Fig. 2 | Truncated Cone & Cylinder approximation of tree volumes.** This visualization  
 132 illustrates the modelization of aggregated volumes of conductive tissues across branching  
 133 generations (blue cone) within the total volume (cylinder).

134

135           The consequence of  $\beta_{1-3}$  being entirely set out by MET<sup>2</sup> (see Eqs. 8, 11 and 20) is  
136 that the allometric models are completely mechanistic, and thus can circumvent the need  
137 for statistical fitting procedures. The revised models retain many of the inferences of the  
138 original MET, given that their derivation is directly built upon the pre-existing theory. Note  
139 that the value  $\beta_2 \sim 0$  can be asymptotically approached, which yields the original scaling  
140 relationships of 2/3 for  $h$  (Eq. 1) and 8/3 for  $agb$  (Eq. 3). Thus, Eqs. 1 and 3 are more  
141 generalized expressions of the MET scaling relationships, which become relevant only in  
142 tree species with traits that make  $\beta_2$  of relevant magnitude (e.g. small petioles and/or large  
143 proportion of vessels within them). This explains the apparent lack of empirical fit  
144 observed for the original MET scaling in some cases only<sup>11,17-19,36</sup>. The resulting  
145 implication of the gMET modifier in Eqs. 1 and 3 compared with the original MET scaling  
146 is that it reduces the rate of increase in  $h$  and  $agb$  as the tree grows in  $d$ , thus being more  
147 relevant in larger trees.

148

## 149 **Results**

150           Since our generalized MET models are mechanistically derived, in principle they  
151 should be able to carry out a prediction for individual trees, provided actual measurements  
152 for the tree parameters in Eqs. 8, 11 and 20. While there is no such type of data available  
153 to prove the global validity of our models, we can still use available global datasets to  
154 obtain statistically-fitted values of  $\hat{\beta}_{1-3}$  (see model fitting procedures in Methods), compare  
155 their reliability against their original models<sup>19,27</sup>, and evaluate the mechanistic significance  
156 of the resulting values in terms of the plausibility of ensuing values for the tree parameters  
157 in Eqs. 8, 11 and 20 (see Monte Carlo simulations in Methods). Thus, to test our gMET

158 models, we carried out statistical fits against worldwide data compiled for pantropical<sup>27</sup>  
159 and global allometry<sup>19</sup>. Estimated values for coefficients  $\hat{\beta}_{1-3}$  in Eqs. 1-3 were therefore  
160 fitted using these datasets, with stratifications based on tree functional type and biome  
161 across the global dataset (*sensu* Jucker *et al.*<sup>19</sup>). Furthermore, to give a fair comparison  
162 between the gMET models and the models created by Chave *et al.*<sup>27</sup>, the environmental  
163 stress parameter ( $E$ ) generated by Chave *et al.*<sup>27</sup> was integrated into a subset of our gMET  
164 models (see model fitting procedures in Methods). The  $E$  parameter combines factors that  
165 explain hydraulic constraints to tree growth, namely the temperature and precipitation  
166 seasonality and climatic water deficit.

167

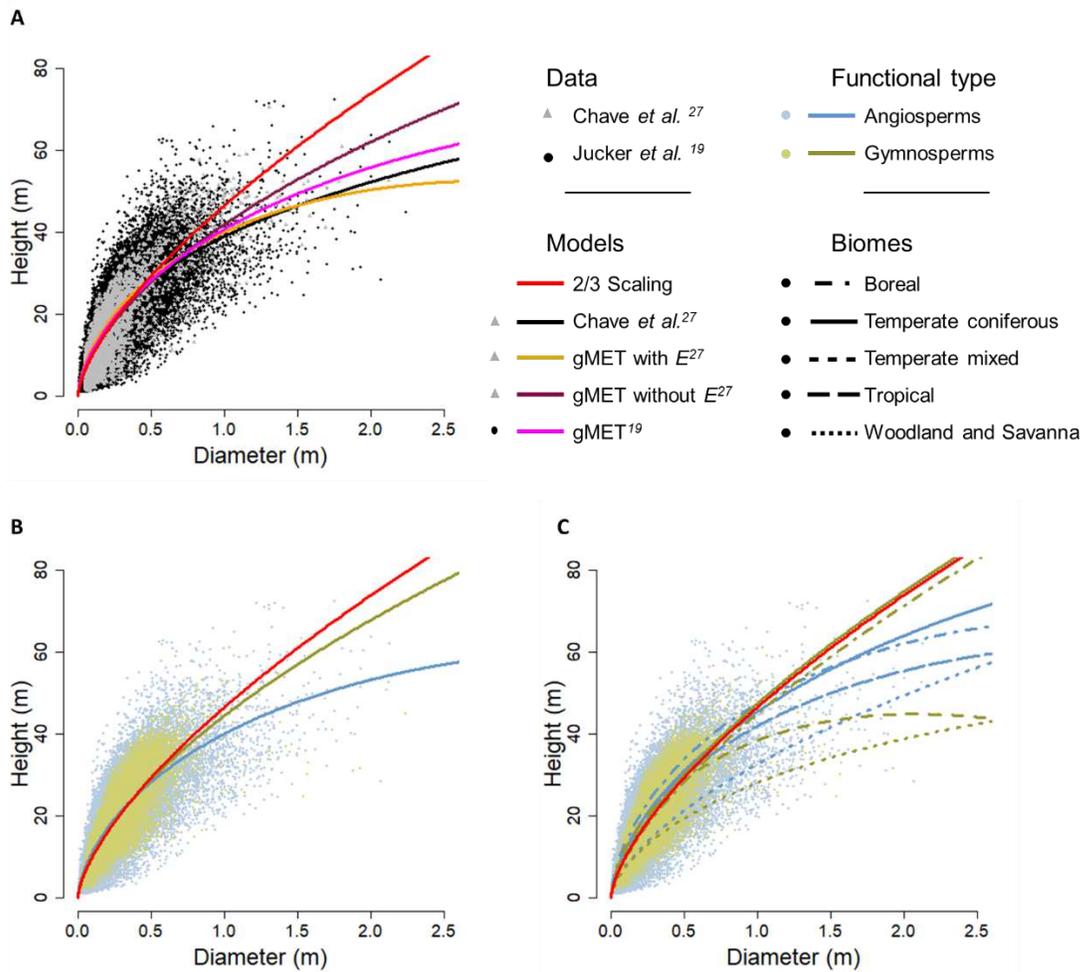
168 **Height-diameter model.** Table 1 shows the results of the  $h-d$  models fit through maximum  
169 likelihood estimation (MLE) (see model fitting procedures in Methods), including  
170 estimates and standard errors for  $\hat{\beta}_1$  and  $\hat{\beta}_2$ , as well as their respective coefficients of  
171 determination ( $R^2$ ) root mean squared differences (RMSD) and mean differences (MD).  
172 The  $2/3$  scaling relationship originally predicted by MET showed a clear lack of fit to the  
173 data, with a bias most prevalent for larger trees, especially for angiosperms in tropical,  
174 temperate mixed forest, woodland and savanna (Table 1 plus Supplementary Table 1, and  
175 Fig. 3 plus Supplementary Fig. 1). For this reason, the prevalent pantropical allometric  
176 model derived by Chave *et al.*<sup>27</sup> diverges significantly from the  $2/3$  scaling rule.

177

178 **Table 1 | Summary of adjusted tree height  $h-d$  models.**

Model	No. Observations	Coefficient Estimates		Model Evaluation		
		$\hat{\beta}_1$ (SE)	$\hat{\beta}_2$ (SE)	R <sup>2</sup>	RMSD (%)	MD (%)
Chave <i>et al.</i> <sup>27</sup> data						
2/3 Scaling	4,004	44.2 (0.035)	-	0.73	5.59 (34.9)	-0.308 (-1.923)
Chave <i>et al.</i> model <sup>27</sup> (with $E$ )	4,004	-	-	0.87	3.82 (23.8)	-0.393 (-2.448)
gMET (with $E$ )	4,004	66.7 (0.857)	0.530 (0.002)	0.88	3.80 (23.7)	-0.065 (-0.406)
gMET (without $E$ )	4,004	52.0 (0.105)	0.276 (0.004)	0.74	5.52 (34.4)	0.108 (0.674)
Jucker <i>et al.</i> <sup>19</sup> data						
2/3 Scaling	79,335	46.5 (0.037)	-	0.70	5.00 (31.5)	-0.325 (-2.042)
Whole dataset	79,335	59.6 (0.148)	0.433 (0.004)	0.72	4.86 (30.5)	0.157 (0.991)
Gymnosperms	18,958	50.9 (0.222)	0.182 (0.006)	0.75	4.32 (25.6)	0.154 (0.916)
Boreal	4,942	45.2 (0.230)	0.006 (0.015)	0.63	2.97 (22.4)	0.026 (0.196)
Temperate Coniferous	9,539	48.7 (0.123)	0.038 (0.006)	0.83	4.06 (22.6)	0.130 (0.721)
Temperate Mixed	3,419	69.4 (0.146)	0.587 (0.003)	0.63	5.03 (24.8)	0.218 (1.073)
W&S	1,058	40.4 (0.100)	0.419 (0.004)	0.59	3.29 (26.2)	0.071 (0.567)
Angiosperm	60,376	61.5 (0.147)	0.474 (0.004)	0.71	5.00 (32.1)	0.156 (1.000)
Boreal	546	74.9 (0.042)	0.494 (0.001)	0.79	1.91 (10.8)	0.031 (0.175)
Temperate Coniferous	3,366	62.8 (0.110)	0.386 (0.003)	0.76	4.01 (25.1)	0.072 (0.455)
Tropical	32,251	65.1 (0.112)	0.482 (0.003)	0.77	4.84 (29.4)	0.118 (0.717)
W&S	10,591	38.5 (0.192)	0.217 (0.013)	0.50	4.33 (49.8)	0.124 (1.426)
Temperate Mixed						
Australasia	2,493	56.8 (0.069)	0.621 (0.001)	0.77	4.29 (26.8)	0.091 (0.564)
Palaearctic	8,007	89.1 (0.098)	0.701 (0.001)	0.67	3.33 (18.2)	0.033 (0.178)
Neartic	3,122	74.7 (0.090)	0.740 (0.001)	0.79	3.69 (17.2)	0.149 (0.692)

179 gMET: generalized metabolic ecology theory model (Eq. 1).  $E$ : environmental stress parameter<sup>27</sup>. W&S: woodland and savannas. SE:  
180 standard error of coefficient estimate. R<sup>2</sup>: coefficient of determination. RMSD: root mean squared differences (in meters, and percentage  
181 of observed mean). MD: mean differences (in meters, and percentage of observed mean).



182

183 **Fig. 3 | Height-diameter model results.** Comparisons of height-diameter  $h-d$  models  
 184 against the  $2/3$  scaling rule (in red). (A) Our generalized metabolic ecology theory (gMET)  
 185 model for  $h$  (Eq. 1) adjusted to empirical data from either Chave *et al.*<sup>27</sup> (grey triangles) or  
 186 Jucker *et al.*<sup>19</sup> (black dots), also comparing against Chave *et al.*'s  $h-d$  quadratic log-log  
 187 model<sup>27</sup>. (B) gMET  $h-d$  models adjusted by functional group (*sensu* Jucker *et al.*<sup>19</sup>):  
 188 gymnosperms versus angiosperms. (C) gMET  $h-d$  models adjusted by functional group /  
 189 biome combination<sup>19</sup>.  $E$ : environmental stress parameter<sup>27</sup>.

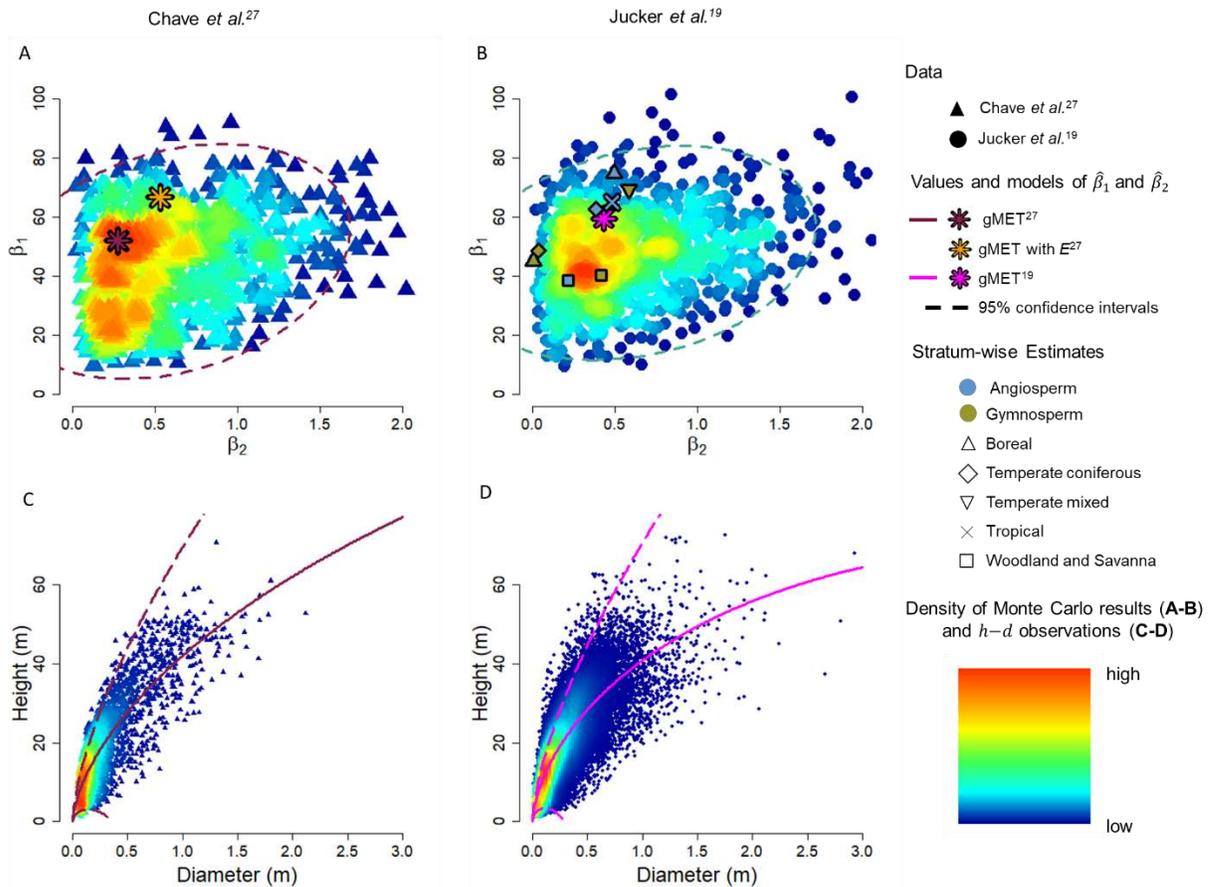
190

191 Our *h-d* gMET model outperformed both the 2/3 scaling rule and the pantropical  
192 model of Chave *et al.*<sup>27</sup> (Table 1). These gave slightly biased mean predictions of tree  
193 heights (MD = -0.309 m and -0.393 m), which were improved to just -0.065 m by the  
194 gMET model. Additionally, the RMSD of 3.80 m obtained by gMET was also an  
195 improvement compared to the 2/3 scaling and even to the 3.82 m RMSD obtained by the  
196 model fitted by Chave *et al.*<sup>27</sup> with that same data. This implies that the model form  
197 proposed in Eq. 1 is most appropriate, and betters both the simpler 2/3 scaling relationship  
198 and the quadratic log-log model form chosen by Chave *et al.*<sup>27</sup>.

199 The deviation from the 2/3 scaling law was more notable in angiosperms than in  
200 gymnosperms (Fig. 3B). This effect could be recognized through the marked difference in  
201 their estimates for  $\hat{\beta}_2$  (Table 1). When considering functional type and biome combinations  
202 (Fig. 3C), both the boreal and temperate coniferous gymnosperm models generated  
203 statistically non-significant values for  $\hat{\beta}_2$ . In those cases,  $\hat{\beta}_2$  was negligible (0.006 and  
204 0.038) and statistically non-significant (Supplementary Table 1), virtually eliminating the  
205 effect of the gMET modification (Supplementary Table 2). On the other hand, the  
206 angiosperms within the temperate mixed biome were too heterogeneous to fit a single valid  
207 model across the entire stratum (i.e. results using the entire stratum were non-significant).  
208 For this reason, this biome was split into its biogeographic zones – Nearctic, Palearctic,  
209 and Australasia –, which yielded better biogeographic zone-specific models (Table 1 and  
210 Supplementary Fig. 1).

211 Both  $\beta_1$  and  $\beta_2$  can be considered as a function of tree parameters, given Eqs. 8, 11  
212 and 20. To investigate the plausibility of the statistically fitted values obtained in Table 1,  
213 we carried out Monte Carlo simulations using realistic values of the tree parameters

214 involved at each of them, to generate biologically plausible joint distributions of  $\beta_1$  and  $\beta_2$ .  
215 The results (Figs. 4A-B) demonstrate that the values  $\hat{\beta}_1$  and  $\hat{\beta}_2$  obtained empirically (Table  
216 1) are biologically plausible, as they conform with realistic values of tree parameters in  
217 Eqs. 8 and 11. Moreover, Figs. 4C-D give the model trajectories generated from 95%  
218 confidence intervals of the Monte Carlo simulations, showing that the majority of the field  
219 observations are contained within these intervals.  
220



222

223 **Fig. 4 | Monte Carlo results, and their confidence intervals propagated on height**224 **diameter models.** Monte Carlo simulations compared against estimated  $\hat{\beta}_1$  and  $\hat{\beta}_2$  values.225 (A-B) Density plots of  $\beta_1$ - $\beta_2$  joint distributions generated through Monte Carlo simulations,226 for both pantropical<sup>27</sup> (A) and global<sup>19</sup> (B) datasets, with 95% confidence intervals given227 obtained through a  $\chi^2$  distribution. (C-D) Monte Carlo simulation-derived 95% confidence228 interval values for  $\beta_1$  and  $\beta_2$ , propagated into the  $h-d$  gMET (without  $E$ ) model.

229

230 **Biomass-Diameter model.** The  $agb \sim d$  models (Eqs. 2-3) were tested only against Chave  
231 *et al.*<sup>29</sup> data, because it was the only dataset that incorporated all the predictors needed ( $\rho$ ,  
232  $d$  and  $h$ ). Prior to reflecting on the  $agb \sim f(d)$  relationship, it is noteworthy to consider the  
233 relationships using both tree height and diameter as predictors to above ground biomass  
234 ( $agb \sim f(d, h)$ ). Chave *et al.*'s<sup>27</sup> widely used pantropical model  $agb = 0.0673 \cdot$   
235  $(\rho d^2 h)^{0.976}$  (n.b. diameter given in cm) was compared against the equivalent gMET  
236 derived equation, with the form  $agb = \beta_3 (\rho d^2 h)$  (Eq. 2). The derivation of  $\beta_3$  under MET  
237 assumptions (Eq. 20) is equivalent to  $\beta_3 = 524$  (or  $\beta_3 = 0.0524$  if diameter is given in  
238 cm). With no fitting procedure involved, the  $agb \sim f(d, h)$  theoretical equation derived  
239 from MET (Eqs. 2 and 20) yielded an  $R^2$  of 0.911, an RMSD of 1.17 Mg and a MD of  
240 0.034 Mg, values that barely differed from an MLE estimation of  $\hat{\beta}_3 = 527$  which  
241 increased the bias (Table 2). The theoretical gMET models achieved a better  $R^2$  and RMSD  
242 than the statistical fit of Chave *et al.*'s<sup>27</sup>, although they were slightly more biased. These  
243  $agb \sim f(d, h)$  models are limited by the requirement of  $h$  measurements, which are often  
244 unavailable<sup>29,30</sup> and thus the validity of the gMET  $agb \sim f(d)$  relationship was considered  
245 further.

246

247 **Table 2 | Summary of adjusted tree biomass  $agb-d$  models.**

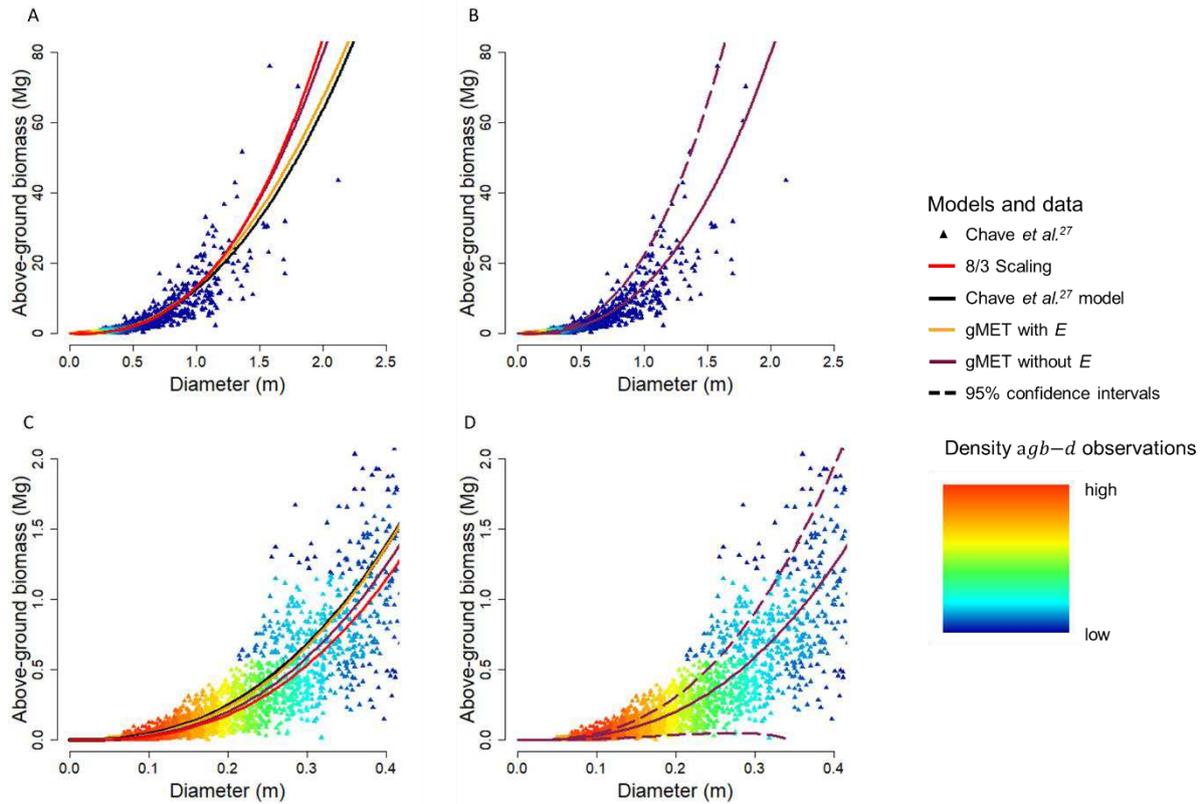
Model	$\hat{\beta}_3$ (SE)	R <sup>2</sup>	RMSD (%)	MD (%)
<b><math>agb \sim f(d, h)</math></b>				
Chave <i>et al.</i> <sup>27</sup> model (without $E$ )	-	0.905	1.207(106.4)	-0.002(-0.204)
Theoretical MET $\beta_3 = c \cdot \pi/6$	-	0.911	1.166(102.8)	0.034 (2.997)
Theoretical MET	527.0 (1.882)	0.911	1.166(102.8)	0.041 (3.587)
<b><math>agb \sim f(d)</math></b>				
8/3 Scaling	472.8 (2.249)	0.890	1.300(114.6)	-0.030(-2.640)
Chave <i>et al.</i> <sup>27</sup> model (with $E$ )	-	0.871	1.404(123.8)	-0.003(-0.272)
gMET $\beta_3 = c \cdot \pi/6$ (with $E$ )	-	0.873	1.394(122.9)	0.029(2.550)
gMET $\beta_3 = c \cdot \pi/6$ (without $E$ )	-	0.888	1.309(115.5)	0.044(3.909)
gMET (with $E$ )	526.6 (2.396)	0.881	1.350(119.0)	0.032(2.815)
gMET (without $E$ )	507.7 (2.430)	0.889	1.304(114.9)	0.008(0.682)

248 Theoretical MET:  $agb \sim f(d, h)$  model (Eq. 2). gMET: generalized metabolic ecology theory  
 249  $agb \sim f(d)$  model (Eq. 3). SE: standard errors of coefficient estimates. R<sup>2</sup>: coefficient of  
 250 determination. RMSD: root mean squared differences (in Mg, and percentage of observed  
 251 mean). MD: mean differences (in Mg, and percentage of observed mean). gMET models under  
 252  $agb \sim f(d, h)$  use predicted heights from their respective  $h-d$  models, while gMET  $agb \sim f(d)$   
 253 models adhere explicitly to Eq. 3.  $\beta_3 = c \cdot \pi/6$  signifies that the theory-based value (Eq. 20)  
 254 has been used, with no MLE procedure involved. All these were tested with the 4,004  
 255 observations of Chave *et al.*'s<sup>27</sup> pantropical dataset.

256

257           The gMET allometry for  $agb \sim f(d)$  (Eq. 3) was employed by propagating values of  $\hat{\beta}_1$   
258 and  $\hat{\beta}_2$  from each associated  $h \sim f(d)$  model (Table 1), and subsequently either fitting  $\beta_3$ , or  
259 assuming the value of  $\beta_3 = c \cdot \pi/6$  in Eq. 20 (i.e. involving no fitting procedures). All  
260 alternatives outperformed Chave et al.'s<sup>27</sup>  $agb \sim f(d)$  model (Table 2 and Fig. 5A). Generally,  
261 the gMET models excluding  $E$  performed best. 95% confidence intervals for this model (Figs.  
262 5B and 5D) were generated by propagating the Monte Carlo simulation results for  $\beta_1$  and  $\beta_2$   
263 (Figs. 4A-B) to the  $agb \sim f(d)$  equation, again demonstrating that the realistic variation in  $\beta_1$   
264 and  $\beta_2$  adequately encompasses the majority of field observations. The best performing  
265  $agb \sim f(d)$  model (in terms of  $R^2$  and RMSD) proved to be that of the original 8/3 scaling,  
266 although it was more biased (MD = -0.030) than Chave *et al.*'s<sup>27</sup> and the best gMET model.  
267 The comparison of the  $agb \sim f(d)$  models is shown in Fig. 5A illustrates that the model  
268 trajectories have only slight differences. Thus, generally speaking the choice of  $agb-d$  model  
269 matters less than for  $h-d$ , although one must consider the uncertainty in terms of relative error  
270 implied by the use of these models (RMSD = 10.8-49.8 % for  $h$ , and RMSD = 102.8-123.8 %  
271 for  $agb$ ).

272



273

274 **Fig. 5 | Above-ground biomass-diameter model results.** Comparisons of biomass-diameter

275 *agb-d* models against the 8/3 scaling rule (in red). (A) Our generalized metabolic ecology

276 theory (gMET) model for *agb* (Eq. 3) adjusted to empirical data from Chave *et al.*<sup>27</sup> is

277 presented (with and without *E*), compared against Chave *et al.*'s<sup>27</sup> *agb-d* quadratic log-log

278 model. *E*: environmental stress parameter<sup>27</sup>. (B) Monte Carlo simulation-derived 95%

279 confidence interval values for  $\beta_1$  and  $\beta_2$  (Fig. 2C), propagated into the *agb-d* gMET (without

280 *E*) model. (C-D) enhancements of panels A and B showing only the data for smaller trees.

281

## 282 Discussion

283 Our results demonstrate that the gMET relationships are unbiased and show a  
284 significant level of empirical fit to global tree datasets that make them universally applicable.  
285 We also show that the statistical fit to data yields coefficient values that are plausible for  
286 realistic magnitudes of the tree parameters involved in Eqs. 8, 11 and 20, demonstrating the  
287 biological relevance of our gMET advances from the original MET. The main asset of the  
288 gMET models is their mechanistic derivation underpinned by MET assumptions. Remarkably,  
289 the theory based gMET models outperformed the statistical models of Chave *et al.*<sup>27</sup> using their  
290 compiled dataset. These results support MET itself, resolving the criticism pertaining to the  
291 lack of empirical support to MET scaling for large trees and tropical areas<sup>11,17-19,36</sup>.  
292 Furthermore, gMET concurrently allows for the original MET scaling law ( $\beta_2 \sim 0$ ), explaining  
293 why the original MET scaling relationships fit adequately in some scenarios.

294 The gMET  $h-d$  model proposed (Eq. 1) performed exceptionally well, demonstrating a  
295 marked improvement upon both the original  $2/3$  scaling law and the widely used pantropical  
296 model by Chave *et al.*'s<sup>27</sup> (Table 1 and Fig.3). It was superior to the  $2/3$  scaling against global  
297 data<sup>19</sup>, especially for angiosperms, and also gymnosperms at lower latitude biomes (Tables 1  
298 and Supplementary Tables 1-2). Moreover, the simple  $agb \sim f(d, h)$  model based on MET  
299 assumptions (Eq. 2) outperformed Chave *et al.*'s<sup>27</sup> pantropical model (Table 2). The  
300 propagation of the gMET  $h \sim f(d)$  model to derive Eq. 3 ( $agb \sim f(d)$ ) gave results comparable  
301 to the original  $8/3$  scaling law and Chave *et al.*'s<sup>27</sup> model (Table 2 and Fig. 5). Nonetheless it  
302 should be noted that at the  $agb-d$  level our study could only test against the pantropical dataset.  
303 Global data including  $agb$  measured through destructive sampling is needed to still clarify  
304 functional type and biome dependencies for the  $agb-d$  relationship. We also recommend that  
305 further research focuses on acquiring empirical measurements of the tree parameters involved  
306 in Eqs. 8 and 11, because once sufficient data becomes available the gMET models could

307 potentially become grounds for determining tree biomass in the field and circumvent the need  
308 for destructive sampling.

309 A clear difference between tree functional types was evident within the  $\hat{\beta}_2$  results  
310 (Table 1 and Figs. 3B-C), likely due to divergences at the cellular level. Disparities in the tree  
311 parameters influencing  $\beta_2$  (Eq. 11) have indeed been observed between angiosperms and  
312 gymnosperms<sup>34,39</sup>. Angiosperms have differentiated cell types, vessels and fibres, each  
313 dedicated to conductive and supportive functions respectively. This tissular differentiation  
314 brings about a functional separation at different areas within the cross-section of the tree stem,  
315 which other authors have referred to as lumen and non-lumen fractions (for *CT* and *ST*  
316 respectively)<sup>37,38</sup>. Alternatively, gymnosperms have integrated conductive and supportive  
317 functionality in their tissues through tracheids, and this functional integration of tissue leads to  
318 greater deviations from Murray's law for gymnosperms than angiosperms<sup>43</sup>. Thus, the  
319 limitation in the  $h$  of a tree is more regulated by mechanical constraints in gymnosperms  
320 (MET), while angiosperms tend towards hydraulic constraints (Eq. 10 in Methods), with gMET  
321 effectively integrating both controls.

322 There were notable levels of variation in the estimated  $\hat{\beta}_1$  and  $\hat{\beta}_2$  values across biomes,  
323 which gives clues on their biological relevance. All values were included within the  
324 distributions of plausible coefficient values, which we derived from reasonable values of tree  
325 parameters (Eqs. 8 and 11) via Monte Carlo simulations (Fig. 4). Environmental factors are  
326 likely to explain the variance across biomes in species of the same functional type, with  $\beta_1$  and  
327  $\beta_2$  changing in accordance to plant survival strategies, given that tree parameters (such as  
328 vessel dimensions) change according to the environment<sup>46</sup>. Stratum-wise estimations of  $\hat{\beta}_{1-2}$   
329 therefore reflect the combinations of optimal tree parameters for the growth and survival of a  
330 given species in its typical habitat. This idea is also reinforced by the high proportion of  
331 explained variability added by  $E$  in the gMET  $h-d$  model. It should be pointed out that our use

332 of  $E$  within the gMET models (Eqs. 23 and 26 in Methods) is contentious, given the calculation  
333 of  $E$  is intrinsically linked to models created by Chave *et al.*<sup>27</sup>. Further research should be  
334 devoted to find the mechanistic integration of the factors intrinsically included in  $E$  –  
335 temperature and precipitation seasonality, and water deficit – in the gMET model: how they  
336 affect the tree parameters involved in  $\beta_1$  and  $\beta_2$  (Eqs. 8 and 11)<sup>47</sup>, given that specific species  
337 traits could compensate for the hydraulic constraints on tree growth that these factors impose.

338         Regarding the *agb-d* relationship, it is important to point out that while many authors  
339 such as Chave *et al.*<sup>27</sup> adjust coefficients using models of the form  $agb = \beta_3(\rho d^2 h)^{\beta_4}$ , our  
340 derivation from MET assumptions (area preservation) implies that the exponent must  
341 necessarily be  $\beta_4 = 1$  (Eq. 2). We also provided grounds for the mechanistic determination of  
342  $\beta_3$  from the proportion of volume of supportive material ( $v_{st}$ ). While the  $\hat{\beta}_3$  estimations in  
343 Table 2 were around the  $\beta_3 \approx c \cdot \pi/6$  value approximated through MET, there are two obvious  
344 challenges to the assumptions employed. Firstly, it was assumed that the density of supportive  
345 tissue ( $\rho_{st}$ ) is approximate to the measured wood density  $\rho \sim \rho_{st}$ . This brings a bias because  $\rho$   
346 can only be systematically lower than the actual  $\rho_{st}$ . The second assumption relates to the  
347 modelization of the aggregate volume of conductive material, as a complete cone within a stem  
348 represented as a cylinder (Fig. 2), with  $CT_0 = 1$  at the base of the tree and  $CT_N = 0$  at tip of  
349 the petiole, meaning  $v_{st}$  equates to 2/3s of the cylinder's volume (Eq. 18 in Methods). In reality  
350  $CT$  cannot reach either of these values at the extremes, so the cone is in fact truncated (Fig. 2).  
351 This brings another bias because the actual factor of  $v_{st}$  over the total volume must be  
352 necessarily higher than 0.66. We cannot quantify this bias in practice. However, these two  
353 systematic deviations from our assumptions counteract each other (the more volume of  
354 supportive material, the closer wood density approximates the density of supportive material),  
355 cancelling out and effectively minimising the divergence from the assumed  $\beta_3 \approx 524$ . Since  $\rho$   
356 is typically 10-15% lower than  $\rho_{st}$ <sup>37</sup>, the actual proportion of supportive material within the

357 total volume must approximately be 0.73-0.76. Thus, the empirical disparities from the value  
358 proposed in Eq. 20 are in fact small divergences from a perfect trade-off between these two  
359 breaches of the underlying assumptions.

360

## 361 **Conclusions and future perspectives**

362 We present new generalised equations that make a crucial advance for MET through  
363 the consideration of differentiated tissue functions. These equations are characterised by  
364 theory-determinable exponents and coefficients, allowing for a fully generalised and globally  
365 applicable model form. The exponents are fixed by MET scaling, whereas the coefficients are  
366 defined by tree traits that vary with biome and tree functional type, likely driven as adaptations  
367 to different bioclimatic conditions. We showed that our models outperform statistically fitted  
368 models that are widely used for large scale *agb* and forest carbon estimation<sup>27</sup>. Our gMET  
369 models should provide a new avenue of scientific development towards global theory-based  
370 models for tree allometry, giving a possibility to mechanistically link histological and  
371 macroscopic traits. They will also pave the way for large scale, accurate *agb* and forest carbon  
372 estimation globally, utilising new methods and technology such as satellite lidar available  
373 through the Global Ecosystem Dynamics Investigation (GEDI) mission.

374

375 **Methods**

376 **Theoretical basis for generalized Metabolic Ecology Theory (gMET) models and**  
377 **notation.** Metabolic Ecology Theory (MET) models trees as a continually branching  
378 hierarchical networks, running from the trunk to the petiole. The volume filling network  
379 produces a self-similar fractal<sup>1,2</sup> leading to relationships for the diameter ( $d_k$ ; m) and length  
380 ( $l_k$ ; m) of a parent branch ( $k$ ) scaling from those of its daughter branch ( $k + 1$ ) (Fig. 1):

381 Eq. 4 
$$\frac{d_{k+1}}{d_k} = n^{-1/2};$$

382 Eq. 5 
$$\frac{l_{k+1}}{l_k} = n^{-1/3},$$

383 where  $n$  denotes the number of daughter branches that a parent branch splits into (typically  
384  $n = 2$ ), and  $k = 0, 1, \dots, N$  are the branching generations from the trunk ( $k = 0$ ) to the petiole  
385 ( $k = N$ ). MET postulates that area is preserved across all branching generations (Eq. 4), and  
386 that the system is a volume-filling network (Eq. 5). As the network is continuously branching,  
387 its total length ( $l_t = \sum_{k=0}^N l_k$ ) can be deduced through an infinitely scaling geometric series<sup>2</sup>:

388 Eq. 6 
$$l_t = \frac{l_0}{(1-n^{-1/3})},$$

389 where  $l_0$  denotes the length of the trunk, and  $l_t$  is equivalent to the total height ( $h$ ; m) of the  
390 tree<sup>33</sup>. In our notation the diameter measured at the breast height ( $d$ ; m) is also equivalent to  
391  $d_0$ . Using these formulae, the  $h$ - $d$  allometric equation can be derived as<sup>2</sup>:

392 Eq. 7 
$$h = \beta_1 d^{2/3},$$

393 where  $\beta_1$  denotes a scalar:

394 Eq. 8 
$$\beta_1 = \frac{l_N}{(1-n^{-1/3})d_N^{1/3}},$$

395 which becomes species-specific because it is regulated by tree parameters that can be  
396 determined at species-level: the length ( $l_N$ ), and diameter ( $d_N$ ) of the last branching generation  
397 ( $N$ ), and the number  $n$  of daughter branches a species makes from each parent branch.

398 West *et al.*<sup>2</sup> concluded that the  $h \propto d^{2/3}$  scaling relationship derives from an area  
399 preserving and volume filling network as shown in Eqs. 4-5. They noted, along with previous  
400 literature<sup>40,41</sup>, that the 2/3 scaling exponent is the optimal relationship between  $l_k$  and  $d_k$  in  
401 order to resist buckling (mechanical constraint). By extension, the scaling exponent should be  
402 considered to apply to the area that gives mechanical structure. On the other hand, conductive  
403 area that does not generate mechanical strength should not necessarily scale to 2/3, and must  
404 be regulated by hydraulic limitations instead<sup>33</sup>. Moreover, key to MET is that an individual's  
405 size scales with its resource distribution network<sup>1</sup>. West *et al.*<sup>2</sup> outlined how an optimum taper  
406 of conducting tissue within the branching network leads to a scaling relationship between the  
407 proportion of conductive tissue at each generation ( $CT_k$ ) and  $d_k$ , such that  $CT_k \propto d_k^{1/3}$ . This  
408 tapering minimises energy expenditure for resource movement creating a hydraulic limitation  
409 to the potential maximum  $h$  that the tree could reach (hydraulic constraint). We postulate that  
410 this same limiting factor affects the mechanical limitations as well, because the proportion of  
411 conductive tissue within a cross-section of the trunk ( $CT_0$ , which will hereby be referred to as  
412  $CT$ ) also increases as the tree grows in size, scaling<sup>2</sup> to its  $d$  as  $CT \propto d^{1/3}$ . Since the mechanical  
413 limitation only applies to the supportive tissue and the conductive tissue plays no part in  
414 supporting the branching network<sup>42,43</sup>, we propose that  $h \propto d^{2/3}$  scaling applies only to the  
415 proportion of tissue that has supportive function ( $ST$ ) (Fig. 1). This proposition effectively  
416 combines both the mechanical and hydraulic limitations into one, and it is the basis for the  
417 development of our generalized MET-based (gMET) allometry.

418

419 **Theory development for the generalized MET  $h$ - $d$  allometric relationships.** Alternatively  
 420 to Eq. 7, we propose the true scaling relationship should give  $h$  as a function of only the  
 421 proportion of  $d$  that supports the branching network, that is the proportion of supporting tissue,  
 422 i.e. that primarily consisting of fibres, within a cross-section of the trunk ( $ST_0$ , which will  
 423 hereby be referred to as  $ST$ ):

424 Eq. 9 
$$h \propto (STd)^{2/3}.$$

425 Moreover, MET also states that the proportion of conductive tissue  $CT$  within a given  
 426 individual is proportional to  $d^{1/3}$ , with an exponent of  $1/3$  derived from the rate of optimum  
 427 vessel taper<sup>2</sup>:

428 Eq. 10 
$$CT = \beta_2 d^{1/3},$$

429 where  $\beta_2$  denotes a scalar that is determinable within MET<sup>2</sup> using the diameter ( $d_N$ ) of the  
 430 final branching generation, the number of conductive vessels within that petiole ( $n_N$ ) and the  
 431 radii of each of these vessels ( $a_N$ ; m): ):

432 Eq. 11 
$$\beta_2 = \frac{4a_N^2 n_N}{d_N^{7/3}} = \frac{4a_N^2 n_N}{d_N^2 d_N^{1/3}} = CT_N d_N^{-1/3},$$

433 or alternatively through  $CT_N$  which is the proportion of conductive material within a cross-  
 434 section of the final branching generation (petiole). Eq. 11 shows that  $\beta_2$  can be modelled as the  
 435 ratio between  $CT_N$  and  $d_N^{1/3}$ , although the interpretation of the parameter is better considered  
 436 through Eq. 10 which shows that the ratios between the dimensions of trunk and petiole,  $d$  to  
 437  $d_N$ , and their respective proportions of conductive (lumen) material,  $CT$  to  $CT_N$ , are consistent  
 438 with the hydraulic constraint. Following Eq. 10, as  $ST = 1 - CT$  (Fig. 1), the proportion of  
 439 supportive material can be given as:

440 Eq. 12 
$$ST = 1 - \beta_2 d^{1/3}.$$

441 *ST* incorporates all types of tissue that can have supporting function within the trunk,  
442 comprising of any non-conductive tissue (non-lumen) which includes fibres<sup>37,38</sup>. Applying Eq.  
443 12 as a modifier to the scaling law therefore allows the interconnection between tissue types to  
444 be addressed within the model only using  $d$ , whereby only structurally relevant tissue is scaled  
445 to 2/3:

446 Eq. 13 
$$h = \beta_1 \left( d(1 - \beta_2 d^{1/3}) \right)^{2/3} = \beta_1 d^{2/3} (1 - \beta_2 d^{1/3})^{2/3}$$

447 This gMET  $h$ - $d$  allometric model (Eq. 1) based on a combination of MET scaling  
448 relationships includes the interconnection between the two tissue functional types: hydraulic  
449 constraints regulated by the tapering of conductive tissue and mechanical stability regulated by  
450 the proportion of supportive tissue, both bringing about a combined limitation to  $h$ . The  
451 mechanical constraint is predominant in large trees as they need an increasing  $CT$ , compared  
452 with smaller plants, to overcome hydraulic constraints to the upward flow of sap, and for that  
453 reason the  $CT$  scales itself to  $d$  as they grow (Eq. 10). Consequently, the effect of this  
454 component (Eq. 12) in the scaling relationship (Eq. 9) becomes more prominent for larger trees,  
455 which thus depart more from the original MET  $h$ - $d$  2/3 scaling law. On the other hand, Eq.  
456 11 shows that the value of  $\beta_2$  depends on  $a_N$ ,  $n_N$  and  $d_N$ , none of which can be physically null  
457 in a living individual and thus  $\beta_2$  must necessarily be a non-zero positive value ( $\beta_2 \neq 0$ ).  
458 However, certain combinations of these tree parameters can overall make the proportion of  
459 conductive tissue in the petiole  $CT_N$  small, making  $\beta_2 \sim 0$  negligible in practice (see Monte  
460 Carlo simulations in Methods), and thus the original MET  $h$ - $d$  2/3 scaling law approaches  
461 such cases well. Therefore, our allometric model in Eq. 13 is a generalized version of the  
462 original MET 2/3 scaling, rather than a substitution of it.

463

464 **Theory development for the generalized MET *agb-d* allometric relationships.** Based on  
 465 the same MET assumptions and constraints, West *et al.*<sup>2</sup> also derived a 8/3 scaling law between  
 466 the diameter of a tree and its biomass, and we can similarly propagate our gMET relationships  
 467 to yield tree biomass. The aboveground biomass (*agb*; kg) of a tree is calculated by  
 468 multiplication of the aboveground volume of its supportive (lumen) material ( $v_{st}$ ; m<sup>3</sup>) times  
 469 the average density of lumen material ( $\rho_{st}$ ; g·cm<sup>-3</sup>):

470 Eq. 14  $agb = c\rho_{st}v_{st},$

471 where  $c$  is a unit conversion factor. The volume of supportive material can be derived by  
 472 subtracting the volume of its conductive (non-lumen) material ( $v_{ct}$ ) from the total volume  
 473 ( $v_{tot}$ ):

474 Eq. 15  $v_{st} = v_{tot} - v_{ct}.$

475 Under the assumption of area preservation (Eq. 4),  $v_{tot}$  can be modelled as a cylinder (as  
 476 aggregated volume of the entire branching network  $k = 0-N$  (i.e. not just the tree trunk; Fig.  
 477 2):

478 Eq. 16  $v_{tot} = \frac{\pi}{4}d^2h.$

479 Under the hydraulic constraint (Eq. 10), the aggregated volume of conductive material for the  
 480 entire branching network can be modelled as a cone (Fig. 2), and thus:

481 Eq. 17  $v_{ct} \approx \frac{1}{3}\frac{\pi}{4}d^2h.$

482 These lead us to a value for  $v_{st}$  approximated under these assumptions:

483 Eq. 18  $v_{st} \approx \frac{2}{3}\frac{\pi}{4}d^2h.$

484 Fig. 2 illustrates that this cone must be truncated at both its base and tip, since neither  $CT_0 = 1$   
 485 nor  $CT_N = 0$  would be plausible within a natural system. However, empirical results showed

486 that the value  $v_{st} \approx \frac{2}{3}v_{tot}$  works well as an approximative assumption (see discussion in the  
487 main article).

488 Furthermore,  $\rho_{ST}$  is rarely collected due to the complex and time-consuming methods  
489 required for its measurement<sup>8</sup>, despite it being a relevant tree parameter<sup>37,38,46</sup>. Instead, the tree  
490 averaged wood density ( $\rho$ ) is typically obtained as dry weight over fresh volume of biomass,  
491 and a factor can be used to derive one from another<sup>38</sup>. Nonetheless, their values are very similar  
492 in practice<sup>37</sup>, and thus here we can proceed under the assumption that  $\rho_{st} \sim \rho$ , and substitute  
493 Eq. 18 in Eq. 14 (see discussion):

494 Eq. 19  $agb = \beta_3 \rho d^2 h$ ,

495 which is a theoretical MET-based  $agb \sim f(d, h)$  allometric model (Eq. 2).  $\beta_3$  denotes a scalar  
496 which under the above-mentioned assumptions (Eq. 18) must approximate:

497 Eq. 20  $\beta_3 \approx c \frac{2\pi}{3^4}$ .

498 The unit conversion factor equals  $c = 10^3$  for the customarily used units stated above, which  
499 yields a value of  $\beta_3 = 10^3 \cdot \pi/6 = 524$ . If centimetres are employed for  $d$  the overall factor  
500 becomes  $c = 10^{-1}$  which yields  $\beta_3 = 0.0524$ , which is more comparable to allometric  
501 coefficients estimated by other authors such as Chave *et al.*<sup>27</sup>.

502 While Eq. 19 provides a model to predict the  $agb$  of a tree from its measured  $d$  and  $h$   
503 ( $agb \sim f(d, h)$ ) it is more typical to use a model dependent upon  $d$  only ( $agb \sim f(d)$ ). West *et*  
504 *al.*<sup>2</sup> deducted the MET  $agb$ - $d$  8/3 scaling law by propagation of the  $h$ - $d$  2/3 scaling law.  
505 Similarly, the theoretical development of gMET can be propagated from  $h$ - $d$  to yield the  $agb$ -  
506  $d$  relationship by substituting Eq. 13 in Eq. 19, yielding:

507 Eq. 21  $agb = \beta_3 \rho d^2 \left( \beta_1 d^{2/3} (1 - \beta_2 d^{1/3})^{2/3} \right) = \beta_1 \beta_3 \rho d^{8/3} (1 - \beta_2 d^{1/3})^{2/3}$ ,

508 which is a gMET  $agb \sim f(d)$  allometric model (Eq. 3).  $\beta_1$  and  $\beta_2$  can be directly propagated  
509 from the  $h-d$  equation, and thus retain their biophysical relevance (Eqs. 8 and 11). Thus, the  
510 inclusion of the component accounting for the scaling of supporting tissue (Eq. 9) also has a  
511 similar effect in modifying the original MET  $agb-d$   $8/3$  scaling law between tree biomass and  
512 diameter, becoming more relevant for larger trees and those with traits resulting in large values  
513 of  $\beta_2$ . It also concurrently allows for the original MET scaling law under  $\beta_2 \sim 0$ , and thus it is  
514 a generalized expression version of the original MET  $2/3$  scaling, and not a substitution of it.

515

516 **Model fitting procedures.** To test the validity of our proposed models, we contrasted them  
517 against empirical data available from Chave *et al.*<sup>27</sup> and Jucker *et al.*<sup>19</sup>, and derived the values  
518 of  $\beta_{1-3}$  that could be expected from those data. It has been argued that maximum likelihood  
519 method (MLE) should be preferred for power-law estimations<sup>48</sup>. We decided to test both MLE  
520 and a Gauss-Newton algorithm for non-linear least squares (NLS) estimation, finding only  
521 marginal differences between them (Supplementary Table 1) and thus reporting MLE results  
522 only. Coefficients  $\beta_{1-3}$  were estimated using the ‘optim’ function for MLE and the ‘nls’  
523 function for NLS, both within the ‘stats’ package in the R programming environment. In the  
524 case of MLE, the standard errors were estimated via bootstrapping 100 independent draws of  
525 500 samples each.

526 The  $h-d$  model (Eq. 1) was fitted with initial values  $\beta_1 = 100$  and  $\beta_2 = 0.2$ . No issues  
527 were found in terms of local minima, with the fitting process reaching the same result for wide  
528 ranges of initial values (any values of  $\beta_1 \geq 10$  and  $\beta_2 \geq 0.01$  up to  $\beta_2 = 0.75$  or larger  
529 depending on individual models). Our results were compared against those obtained by Chave  
530 *et al.*’s  $h-d$  model<sup>27</sup> using their own compiled dataset, which in their case includes an

531 environmental stress parameter ( $E$ ) that explains a relevant portion of variance in their model  
532 (with  $d$  specified in cm):

533 Eq. 22  $\ln h = 0.893 - E + 0.760 \ln d - 0.0340(\ln d)^2$ .

534 Thus, to give fairer comparison against Chave *et al.*<sup>27</sup>, we made a version of the gMET model  
535 that incorporates  $E$  in a similar manner (i.e. converted to original scale):

536 Eq. 23  $h = \beta_1 e^{-E} d^{2/3} (1 - \beta_2 d^{1/3})^{2/3}$ .

537 Furthermore, Jucker *et al.*'s<sup>19</sup> dataset contained a sample size of individual trees with measured  
538  $d$  and  $h$  sufficient to derive separate  $h$ - $d$  models stratified according to functional type –  
539 gymnosperms and angiosperms – and biome – boreal, temperate coniferous, temperate mixed,  
540 woodland and savannas, and tropical – combinations. In some cases, fitting these models  
541 required more refined initial guesses. The angiosperm temperate mixed biome model proved  
542 to be too heterogeneous to fit a single model valid for the entire stratum. For this reason, we  
543 split this biome into its biogeographic zones – Nearctic, Palearctic, and Australasia –, which  
544 yielded better biogeographic zone-specific models (Table 1 and Supplementary Fig. 1). An F-  
545 test for nested models was used to evaluate the significance of all these stratum-wise gMET  
546 models against the simpler 2/3 scaling (Supplementary Table 2).

547 The  $agb$  models (Eqs. 2-3) were tested only against Chave *et al.*<sup>27</sup> data, because it was  
548 the one that incorporated all the predictors needed ( $\rho$ ,  $d$  and  $h$ ). In this case we tested two  
549 groups of models: those predicting  $agb$  from both  $d$  and  $h$  ( $agb \sim f(d, h)$ ), and those predicting  
550 from  $d$  only ( $agb \sim f(d)$ ). All the  $agb$  models were developed by direct propagation the  $\hat{\beta}_1$   
551 and  $\hat{\beta}_2$  from the fitted  $h$ - $d$  results. Then we tested both our theoretical value of  $\beta_3 = 524$  under  
552 MET assumptions (Eq. 20), and also estimated  $\beta_3$  via MLE. For comparison, these were tested  
553 against Chave *et al.*<sup>27</sup>  $agb \sim f(d, h)$  model using their own data (with  $d$  specified in cm):

554 Eq. 24  $agb = 0.0673 \cdot (\rho d^2 h)^{0.976}$ .

555 It is noteworthy to mention that the model in Eq. 24 is equivalent to ours in Eq. 19 for  $\hat{\beta}_3 =$   
 556 673 (due to unit conversion) and an exponent slightly diverging from 1 (i.e. not assuming area  
 557 preservation as in Eq. 18). Furthermore, our results were also compared against Chave *et al.*'s<sup>27</sup>  
 558  $agb \sim f(d)$  model, which again involves the use of  $E$  (with  $d$  specified in cm):

559 Eq.25  $\ln agb = -1.803 - 0.976 \cdot E + 0.976 \ln \rho + 2.673 \ln d - 0.0299(\ln d)^2$ .

560 And again, we made a version of the gMET model that incorporates  $E$  for fair comparison:

561 Eq. 26  $agb = \beta_1 \beta_3 e^{-E} \rho d^{8/3} (1 - \beta_2 d^{1/3})^{2/3}$ .

562 The initial value for the MLE estimation was  $\beta_3 = 524$ , again with a wide range of initial  
 563 values of around  $\beta_3 = 50$ -3,000 reaching a same solution.

564

565 **Monte Carlo simulations.** To deduce whether the estimated values of  $\hat{\beta}_1$  and  $\hat{\beta}_2$  are realistic  
 566 in natural systems, and reflect on the biological relevance of these coefficients, Eqs. 8 and 11  
 567 were used within Monte Carlo simulations to obtain frequency distributions for  $\beta_1$  and  $\beta_2$  that  
 568 derive from plausible values of the tree parameters involved at each of them. These tree  
 569 parameters are tree architecture traits (e.g. dimensions of the stem and petiole). Some of  
 570 simulated values were deducted from the literature, whereas others followed logical inter-  
 571 dependencies among these traits. We assumed a log-uniform distribution within a range of  $l_N$   
 572 values from 3 mm to 1 m<sup>49</sup>. The number of daughter branches that a parent branch splits into  
 573 was assumed to follow a geometric distribution truncated to a range  $n = 2$ -6, following West  
 574 *et al.*<sup>2</sup>. Since some of the tree traits are mutually inter-dependent, as  $d_N$  invariably changes  
 575 with  $l_N$  and  $n$ , it would be unrealistic to draw random independent values from each of them.  
 576 For this reason, we modelled their relationship by rearrangement and combination of Eqs. 4-7:

577 Eq. 28 
$$d_N = d_0 \left( \frac{l_N}{l_0} \right)^{3/2} = \frac{d_0 l_N^{3/2}}{l_t^{3/2} (1-n^{-1/3})^{3/2}},$$

578 which follows from the relationship between the ratios of  $d_N$  to  $d_0$  and  $l_N$  to  $l_0$ , i.e. the  
 579 dimensions of the first and last branching generations, as determined by MET assumptions  
 580 (Eqs. 4-5). Then, by solving  $l_0$  in Eq. 6, it was substituted by  $l_t$  and  $n$  in the denominator,  
 581 generating the relationship  $d_N \sim f(l_N, n, d_0, l_t)$  in Eq. 28, where  $l_N$  and  $n$  have been simulated  
 582 in previous steps and paired values of  $d_0$  and  $l_t$  can be retrieved from actual empirical samples  
 583 (n.b.  $d_0 \equiv d$  and  $l_t \equiv h$ ). In a Monte Carlo simulation with a sample of size 1,000, we drew  
 584 independent samples from Chave *et al.*<sup>27</sup> and Jucker *et al.*<sup>19</sup> datasets, plus from the above-  
 585 mentioned assumed distributions for  $l_N$  and  $n$ , to generate a distribution of  $d_N$  values associated  
 586 with them. This provided a set of realistic paired values for  $d_N$ ,  $l_N$ , and  $n$  which were combined  
 587 through Eq. 8 to derive plausible  $\beta_1$  values under natural conditions.

588 Following up from the paired values obtained for  $\beta_1$ , a similar procedure was employed  
 589 to derive a distribution of plausible joint  $\beta_2$  values under natural conditions.  $\beta_2$  can be shown  
 590 as a function of  $d_N$  and  $CT_N$ . Again, the relationship of these dimensions in the petiole and the  
 591 trunk,  $d_N$  to  $d_0$  and  $CT_N$  to  $CT_0$ , can be related via MET assumptions (Eq. 11):

592 Eq. 29 
$$CT_N = CT_0 \left( \frac{d_N}{d_0} \right)^{1/3},$$

593 Unfortunately, datasets with  $CT_0$  measurements along with their respective  $d_0$  are not available.  
 594 To overcome this difficulty, we simulated  $CT_0$  values assuming a non-central Beta distribution  
 595  $CT_0 \sim \text{NonCentralBeta}(\alpha = 2, \beta = 20, \delta = 0.1)$ , which we regarded to faithfully model the  
 596 lumen proportions observed in the literature<sup>37,38</sup>. The resulting plausible distributions for  $\beta_1$   
 597 and  $\beta_2$  are shown in Figs. 2A-B, where the  $\hat{\beta}_1$  and  $\hat{\beta}_2$  values estimated for empirical models  
 598 are shown to illustrate that those values correspond well to the combination of realistic  
 599 assumptions in the tree parameters considered in Eqs. 8 and 11. An ellipse of 95% confidence

600 intervals (CIs) for  $\beta_1$ - $\beta_2$  combinations was derived from a  $\chi^2$  distribution with 2 degrees of  
601 freedom (Figs. 2A-B). Since any  $\beta_1$ - $\beta_2$  combination within that ellipse provides 95% CIs for  
602 the model, we chose to determine those that would correspond to a tallest and shortest tree with  
603 quadratic mean diameter in the dataset. In order to achieve that, we calculated the height that  
604 would correspond to quadratic mean diameter, for every  $\beta_1$ - $\beta_2$  combination calculated in that  
605 ellipse, and from those results we extracted values corresponding to the minimum and  
606 maximum tree height, which are those shown for the gMET *h-d* models in Figs. 4C-D. These  
607 were also propagated to the gMET *agb-d* model in Fig. 5B under the assumption of  $\beta_3 =$   
608  $10^3 \cdot \pi/6$  (Eq. 20). The results demonstrate that the  $\beta_1$  and  $\beta_2$  combinations derived from  
609 realistic values of tree parameters correspond with the empirical *h-d* and *agb-d* relationships.

610

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721 **Supplementary Information.**

722 **Title:** The Generalized Plant Allometry that Advances Metabolic Ecology Theory.

723 **Authors:** S.B.D. Sopp (1)\*, and R. Valbuena (1)\*

724 **Affiliations:**

725 (1) School of Natural Sciences, Bangor University, Bangor, LL57 2UW, UK

726 \* **Corresponding authors:** [s.sopp@bangor.ac.uk](mailto:s.sopp@bangor.ac.uk), [r.valbuena@bangor.ac.uk](mailto:r.valbuena@bangor.ac.uk)

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728 **This file includes:**

729       Supplementary figure (1)

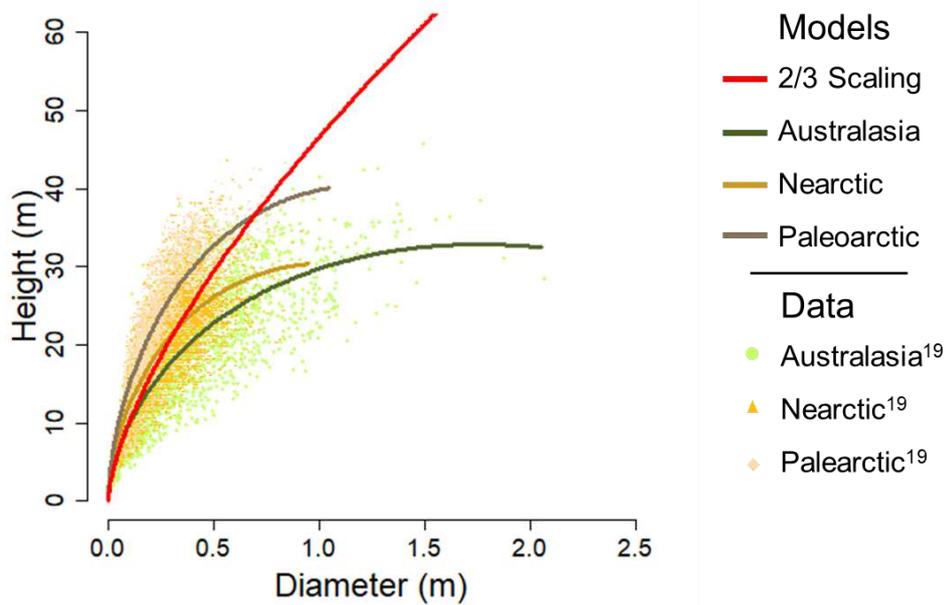
730       Supplementary tables (1 and 2)

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733 **Supplementary figures**

734



735

736 **Supplementary Fig. 1 | Temperate mixed forest stratified by biome.** Comparisons of  
737 height-diameter *h-d* models against the 2/3 scaling rule: results for biogeographic regions for  
738 angiosperms in temperate mixed forests.

739

740 **Supplementary Tables**741 **Supplementary Table 1 | Summary tree height (*h-d*) models adjusted by NLS method (similar to Table 1 with MLE method).**

Model	No. Observations	Coefficient Estimates		Model Evaluation		
		$\hat{\beta}_1$ (SE)	$\hat{\beta}_2$ (SE)	R <sup>2</sup>	RMSD (%)	MD (%)
<b>Chave <i>et al.</i><sup>27</sup> data</b>						
2/3 Scaling	4,004	44.2 (0.211)	-	0.73	5.59 (34.9)	-0.307 (-1.913)
Chave <i>et al.</i> <sup>27</sup> model (with <i>E</i> )	4,004	-	-	0.87	3.82 (23.8)	-0.393 (-2.448)
gMET (with <i>E</i> )	4,004	66.7 (0.524)	0.530 (0.008)	0.88	3.80 (23.7)	-0.065 (-0.406)
gMET (without <i>E</i> )	4,004	52.0 (0.778)	0.276 (0.021)	0.74	5.52 (34.4)	0.109 (0.679)
<b>Jucker <i>et al.</i><sup>19</sup> data</b>						
2/3 Scaling	79,335	46.5 (0.047)	-	0.70	5.00 (31.4)	-0.325 (-2.042)
Whole dataset	79,335	59.6 (0.181)	0.433 (0.004)	0.72	4.86 (30.5)	0.157 (0.990)
Gymnosperms						
Boreal	4,942	45.2 (1.152)	0.006 (0.065) <sup>n.s</sup>	0.63	2.97 (22.4)	0.026 (0.196)
Temperate Coniferous	9,539	48.7 (0.567)	0.038 (0.023) <sup>n.s</sup>	0.83	4.06 (22.6)	0.131 (0.726)
Temperate Mixed	3,419	69.5 (0.730)	0.587 (0.012)	0.63	5.03 (24.8)	0.218 (1.073)
W&S	1,058	40.4 (1.572)	0.419 (0.061)	0.59	3.29 (26.2)	0.072 (0.572)
Angiosperm						
Boreal	546	74.9 (2.595)	0.494 (0.066)	0.79	1.91 (10.8)	0.031 (0.174)
Temperate Coniferous	3,366	62.8 (1.062)	0.386 (0.029)	0.76	4.01 (25.1)	0.072 (0.452)
Tropical	32,251	65.1 (0.253)	0.482 (0.005)	0.77	4.84 (29.4)	0.118 (0.713)
W&S	10,591	38.5 (0.758)	0.217 (0.043)	0.50	4.33 (49.8)	0.124 (1.420)
Temperate Mixed						
Australasia	2,493	56.8 (0.706)	0.621 (0.010)	0.77	4.29 (26.8)	0.091 (0.569)
Palearctic	8,007	89.1 (0.799)	0.701 (0.010)	0.67	3.33 (18.2)	0.033 (0.180)
Neartic	3,122	74.7 (0.512)	0.740 (0.007)	0.79	3.69 (17.2)	0.148 (0.689)

742 NLS: non-linear least squares. MLE: maximum likelihood estimation. gMET: generalized metabolic ecology theory model (Eq. 1). *E*:  
743 environmental stress parameter<sup>2</sup>. W&S: woodland and savannas. SE: standard error of coefficient estimate. R<sup>2</sup>: coefficient of

744 determination. RMSD: root mean squared differences (in meters, and percentage of observed mean). MD: mean differences (in  
745 meters, and percentage of observed mean). n.s.: non-significant  $\beta$  estimate (otherwise significant at 95% level at least).

746

747 **Supplementary Table 2 | Stratum-wise comparison of 2/3 scaling rule against gMET *h-d* models adjusted by NLS.**

Model	2/3 Scaling			gMET			ANOVA	
	R <sup>2</sup>	RMSD (%)	MD (%)	R <sup>2</sup>	RMSD (%)	MD (%)	F value	P value
<b>Gymnosperms</b>	0.75	4.33 (25.7)	0.029 (0.175)	0.75	4.32 (25.6)	0.154 (0.913)	117.3	< 0.0001
<b>Boreal</b>	0.63	2.97 (22.4)	0.025 (0.188)	0.63	2.97 (22.4)	0.026 (0.196)	0.0087	0.9254 <sup>n.s.</sup>
<b>Temperate Coniferous</b>	0.83	4.06 (22.6)	0.105 (0.585)	0.83	4.06 (22.6)	0.131 (0.726)	2.442	0.1181 <sup>n.s.</sup>
<b>Temperate Mixed</b>	0.56	5.50 (27.1)	-0.475 (-2.335)	0.63	5.03 (24.8)	0.218 (1.073)	7,167	< 0.0001
<b>W&amp;S</b>	0.58	3.33 (26.5)	-0.077 (-0.612)	0.59	3.29 (26.2)	0.072 (0.572)	23.52	1.421 · 10 <sup>-06</sup>
<b>Angiosperm</b>	0.69	5.20 (33.3)	-0.436 (-2.795)	0.71	5.00 (32.1)	0.156 (1.000)	4,842	< 0.0001
<b>Boreal</b>	0.77	1.96 (11.03)	-0.060 (-0.340)	0.79	1.91 (10.8)	0.031 (0.174)	28.58	< 0.0001
<b>Temperate Coniferous</b>	0.75	4.07 (25.49)	-0.207 (-1.293)	0.76	4.01 (25.1)	0.072 (0.452)	100.1	< 0.0001
<b>Tropical</b>	0.74	5.13 (31.1)	-0.631 (-3.828)	0.77	4.84 (29.4)	0.118 (0.713)	4,003	< 0.0001
<b>W&amp;S</b>	0.50	4.33 (49.8)	0.0517 (0.594)	0.50	4.33 (49.8)	0.124 (1.420)	18.44	1.770 · 10 <sup>-05</sup>
<b>Temperate Mixed</b>								
<b>Australasia</b>	0.70	4.96 (30.9)	-0.968 (-6.03)	0.77	4.29 (26.8)	0.091 (0.569)	828.8	< 0.0001
<b>Palaearctic</b>	0.56	3.80 (20.8)	-0.534 (-2.92)	0.67	3.33 (18.2)	0.033 (0.180)	2,447	< 0.0001
<b>Neoartic</b>	0.70	4.41 (20.5)	-0.702 (-3.26)	0.79	3.69 (17.2)	0.148 (0.689)	1,332	< 0.0001

748 NLS: non-linear least squares. W&S: woodland and savannas. R<sup>2</sup>: coefficient of determination. RMSD: root mean squared differences

749 (in meters, and percentage of observed mean). MD: mean differences (in meters, and percentage of observed mean).

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# Figures

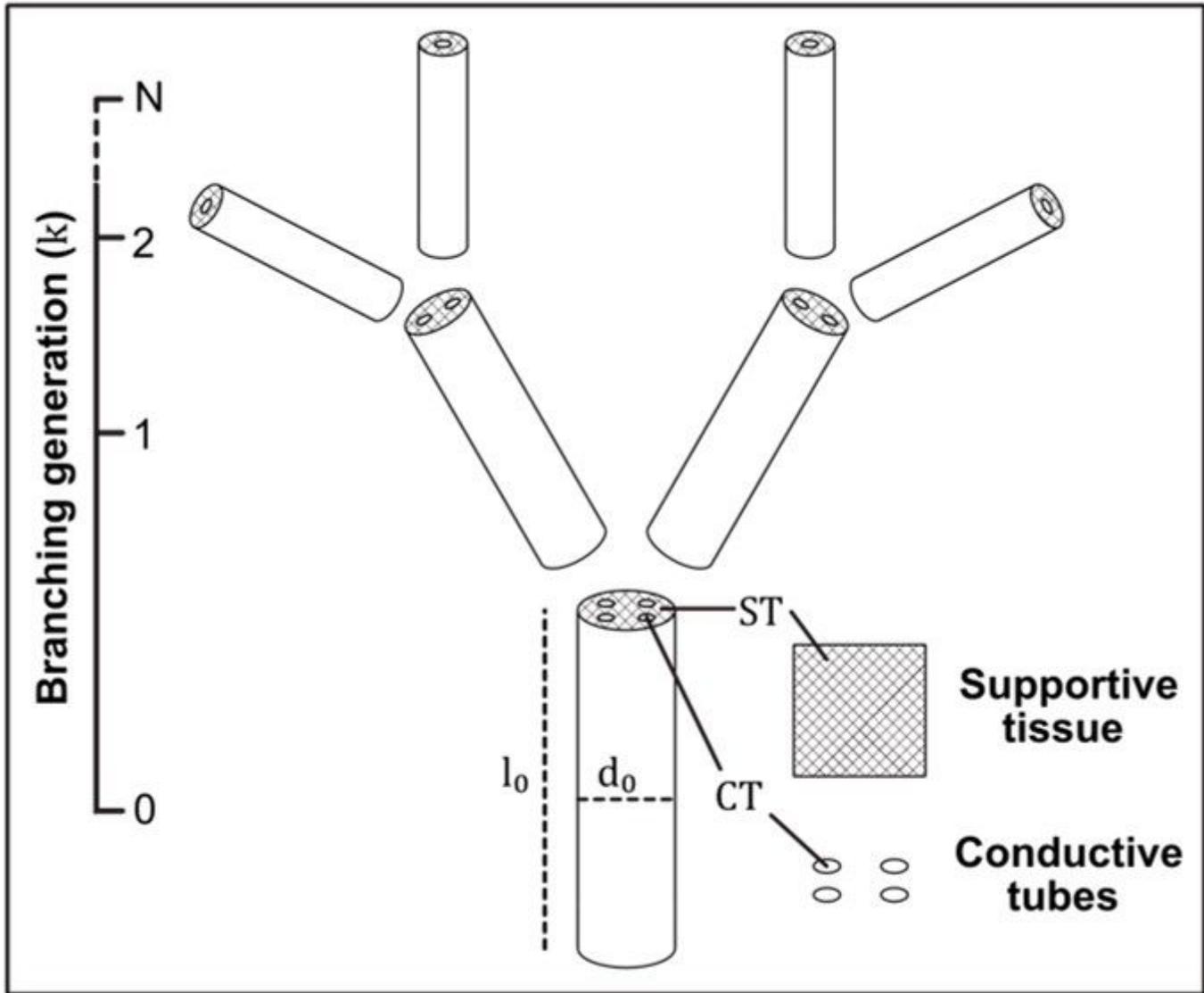
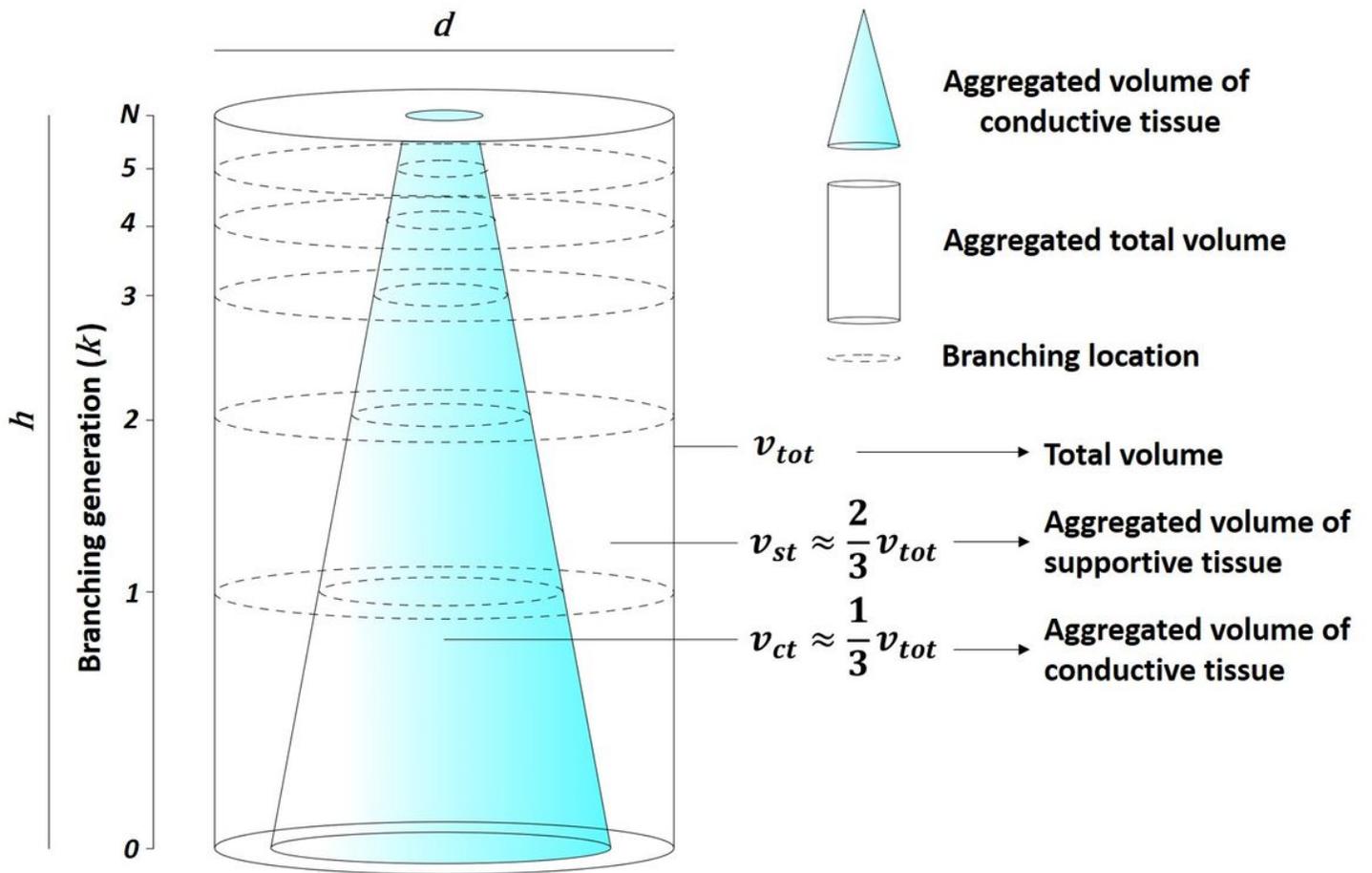


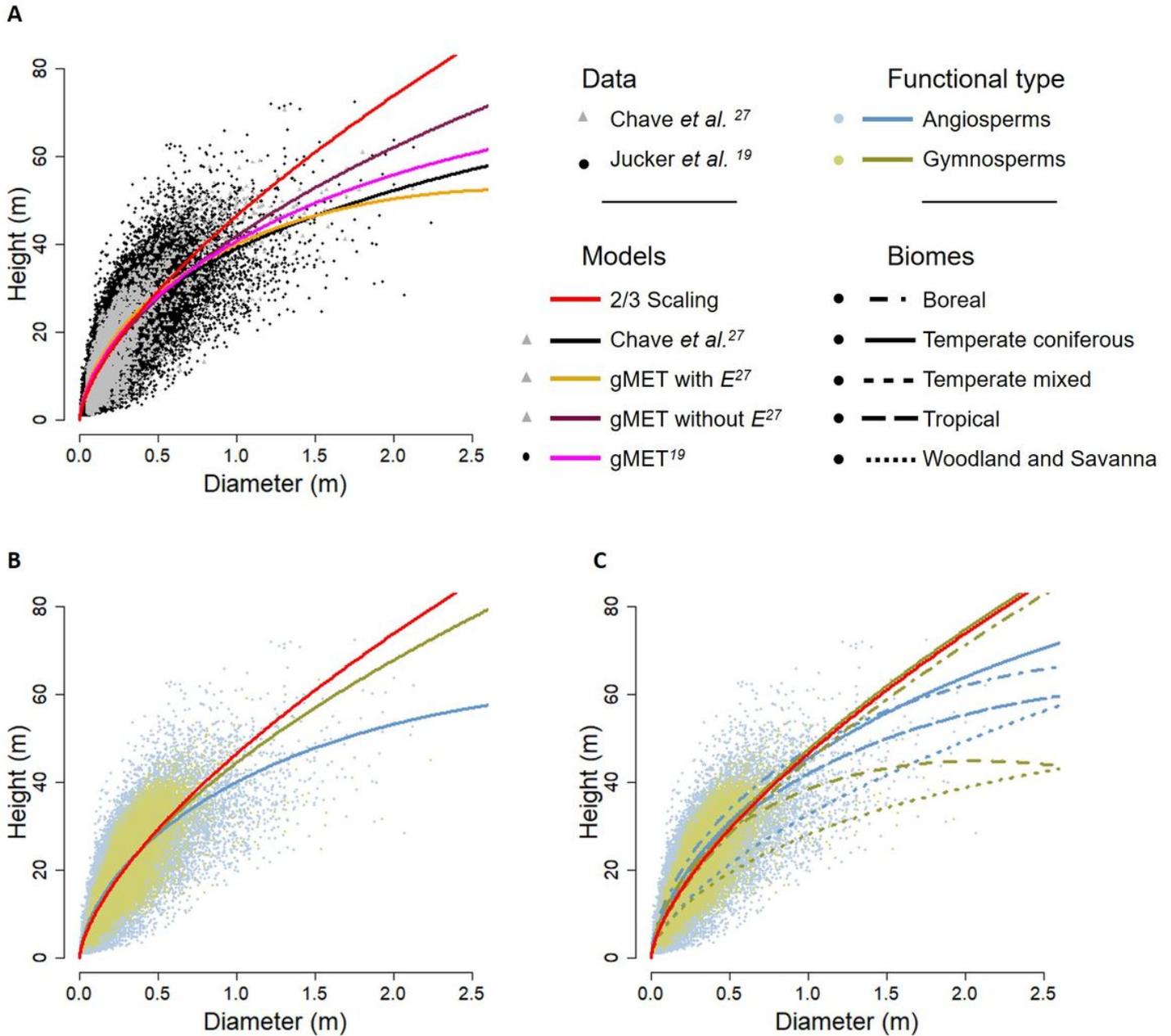
Figure 1

Tree architecture illustrating the differentiation of supportive and conductive systems within a branching network. This differentiation is set out by Eq. 9.



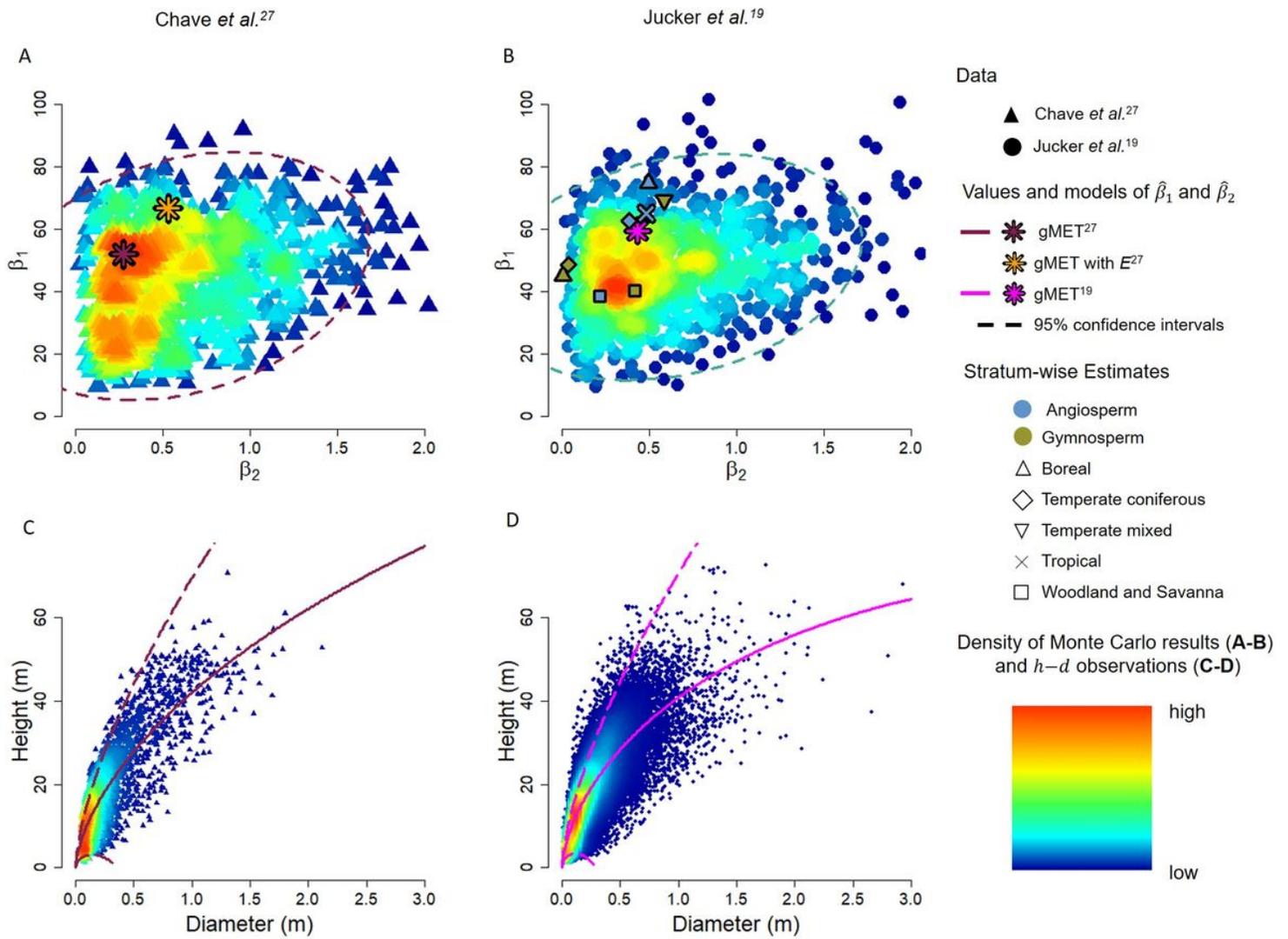
**Figure 2**

Truncated Cone & Cylinder approximation of tree volumes. This visualization illustrates the modelization of aggregated volumes of conductive tissues across branching generations (blue cone) within the total volume (cylinder).



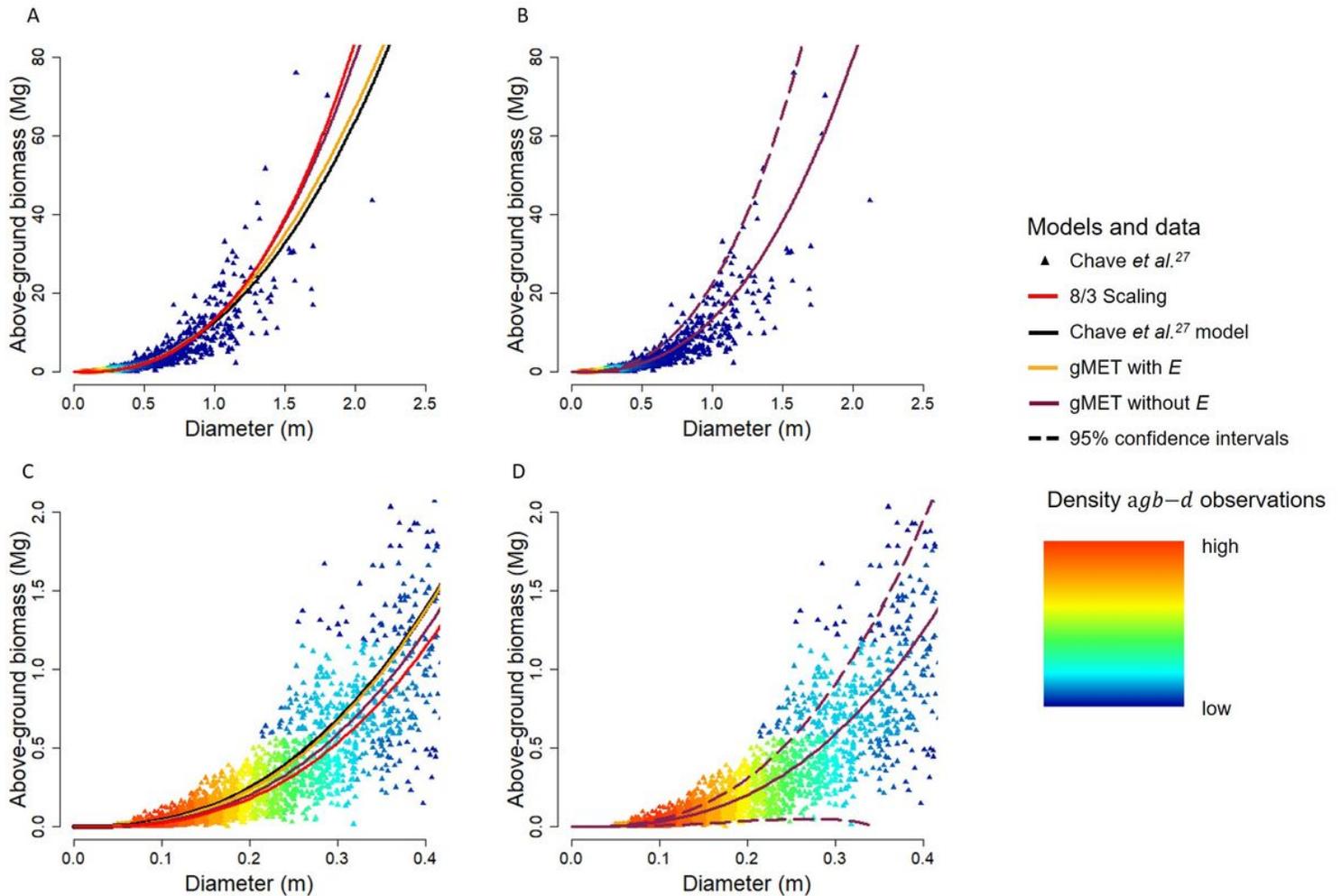
**Figure 3**

Height-diameter model results. Comparisons of height-diameter  $\alpha$ - $\beta$  models against the 2/3 scaling rule (in red). (A) Our generalized metabolic ecology theory (gMET) model for  $\alpha$  (Eq. 1) adjusted to empirical data from either Chave *et al.* <sup>27</sup> (grey triangles) or Jucker *et al.* <sup>19</sup> (black dots), also comparing against Chave *et al.*'s  $\alpha$ - $\beta$  quadratic log-log model<sup>27</sup>. (B) gMET  $\alpha$ - $\beta$  models adjusted by functional group (sensu Jucker *et al.* <sup>19</sup>): gymnosperms versus angiosperms. (C) gMET  $\alpha$ - $\beta$  models adjusted by functional group / biome combination<sup>19</sup>.  $\alpha$ : environmental stress parameter<sup>27</sup>.



**Figure 4**

Monte Carlo results, and their confidence intervals propagated on height diameter models. Monte Carlo simulations compared against estimated  $\beta_1$  and  $\beta_2$  values. (A-B) Density plots of  $\beta_1$ - $\beta_2$  joint distributions generated through Monte Carlo simulations, for both pantropical27 (A) and global19 (B) datasets, with 95% confidence intervals given obtained through a  $\beta_2$  distribution. (C-D) Monte Carlo simulation-derived 95% confidence interval values for  $\beta_1$  and  $\beta_2$ , propagated into the  $h-d$  gMET (without  $E$ ) model



**Figure 5**

Above-ground biomass-diameter model results. Comparisons of biomass-diameter  $agb-d$  models against the 8/3 scaling rule (in red). (A) Our generalized metabolic ecology theory (gMET) model for  $agb-d$  (Eq. 3) adjusted to empirical data from Chave *et al.*<sup>27</sup> is presented (with and without  $E$ ), compared against Chave *et al.*'s<sup>27</sup>  $agb-d$  quadratic log-log model.  $E$ : environmental stress parameter<sup>27</sup>. (B) Monte Carlo simulation-derived 95% confidence interval values for  $\beta_1$  and  $\beta_2$  (Fig. 2C), propagated into the  $agb-d$  gMET (without  $E$ ) model. (C-D) enhancements of panels A and B showing only the data for smaller trees.

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [suppFig1BiogeographicZones.jpg](#)