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RESEARCH

The influence of system settings on positioning accuracy in acoustic telemetry, using the YAPS algorithm.

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Abstract

Background: Acoustic positioning telemetry allows to collect large amounts of data on the movement of aquatic animals by use of autonomous receiver stations. Essential in this process is the conversion from raw signal detections to reliable positions. A new advancement in the domain is YAPS (Yet Another Positioning Solver), which combines the detection data on the receivers with a model of animal movement. This transparent, flexible and on-line available positioning algorithm overcomes problems related to traditional point-by-point positioning and filtering techniques. However, its performance has only been tested on data from one telemetry system, providing transmitters with stable burst interval. To investigate the performance of YAPS on different system parameters and settings, we conducted a simulation study.

Results: This paper discusses the effect of varying burst types, burst intervals, number of observations, reflectivity levels of the environment, levels of out-of-array positioning and temporal receiver resolution on positioning accuracy. We found that a receiver resolution better than 1 ms is required for accurate fine-scale positioning. The positioning accuracy of YAPS increases with decreasing burst intervals, especially when the number of observations is low, when reflectivity is high or when information out-of-array is used. However, when the burst interval is stable, large burst intervals (in the order of 1 to 2 minutes) can be chosen without strongly hampering the accuracy (although this results in information loss). With random burst intervals, the accuracy can be much improved if the random sequence is known.

Conclusions: As it turns out, the key to accurate positioning is the burst type. If a stable burst interval is not possible, the availability of the random sequence improves the positioning of random burst interval data significantly.

Keywords: YAPS; acoustic positioning telemetry; simulation study; sensitivity analysis; fine-scale positioning

1 Background

2 The study of aquatic animal behaviour has advanced largely with the development
3 of radio and acoustic telemetry in the late 1950s (e.g. [1–4]). These underwater
4 positioning techniques allow collecting large amounts of data on the movement of
5 individual animals [5]. Since radio waves do not propagate efficiently in saltwater

6 and the technology is not yet suitable for fine-scale 2D positioning [6, 7], the most
7 popular technique is acoustic telemetry [8]. This allows tracking of, for instance,
8 diadromous fish species, which spent one part of their life in freshwater, and the
9 other part in the sea (e.g. [5, 9–12]). Acoustic positioning telemetry systems consist
10 of stand-alone receivers fixed below the water surface. The animals of interest are
11 tagged with acoustic transmitters, producing a signal every second or minute (or
12 other time interval, depending on the research question). The unique ID of the
13 animal is encoded in the signal, which can be decoded by the receivers or at the
14 post-processing phase. The independence of the system enables researchers to col-
15 lect huge datasets with minor data collection effort, once the set-up of the system
16 is established. However, the main task starts once the data collection is done: con-
17 verting the raw detections into reliable animal positions.

18

19 Most manufacturers provide a (paid) service or software to aid their customers in
20 this process (e.g., Vemco, Lotek, Thelma Biotel). They calculate the positions by
21 use of the Time Difference Of Arrival (TDOA) algorithm, a hyperbolic positioning
22 technique. When the signal is detected by two receivers, the differences in arrival
23 time form a hyperbola of possible positions [13]. Detection on a third receiver is
24 needed to provide a second hyperbola, and its intersection with the first hyperbola
25 determines the position in 2D. (In reality, these systems work in 3D, where three
26 receivers determine a set of hyperboloids and the (measured or assumed) depth of
27 the transmitter determines the horizontal plane on which the transmitter position
28 can be found [13]). This is a point-by-point method: every position is calculated
29 independently of all other transmissions. If one receiver picks up an erroneous sig-
30 nal, the shift in the resulting hyperbola might completely dislocate the intersection
31 point with other hyperbolas, causing a positioning error of up to several hundreds
32 of meters. Usually, the positions come with an error indication that the customer
33 can use to filter the data. However, these filters cannot cope with unpredictable
34 phenomena such as reflections (also called multipath) of the acoustic signal against
35 hard surfaces, e.g., rock formations and concrete walls ([14], in submission; commu-
36 nication with manufacturers).

37

38 A recent advancement in the domain of acoustic positioning is YAPS (Yet An-
39 other Positioning Solver; [15]). In contrast to the TDOA algorithm, YAPS directly
40 uses the time of arrival (TOA) data on all receivers and estimates a track by fitting
41 a model of animal movement on these data. In an iterative process, YAPS simul-
42 taneously estimates the time of transmission, speed of sound (if no measurements
43 available) and X and Y coordinate of all positions. The algorithm was originally de-
44 veloped for data obtained using equipment from one specific manufacturer (Lotek
45 Wireless Inc., Newmarket, Ontario, Canada), with stable burst intervals. It has
46 since been extended to random burst interval data, which is used in transmitters
47 from other manufacturers (e.g. Thelma Biotel, Trondheim, Norway and Vemco,
48 Halifax, Canada). However, its performance on random burst interval data and
49 other system characteristics has not been rigorously tested.

50

51 Depending on the manufacturer, study site and research questions, widely differ-
52 ent settings and system parameters might influence positioning accuracy. The extent
53 of this influence depends on the applied positioning algorithm. The hardware de-
54 termines specific settings, such as burst type and burst interval of the transmitters
55 and temporal resolution of the receiver. The study site and set-up determine more
56 general characteristics of the dataset, such as the number of detections per animal,
57 origin of detections with respect to the receiver array, and the number of detections
58 originating from reflected signals. In this study, we investigated the sensitivity of
59 YAPS to these six parameters, which are further elaborated in the following para-
60 graphs.

61

62 Transmitters are characterised by burst interval and burst type. The burst inter-
63 val is the time between subsequent transmissions, while the burst type indicates the
64 distribution of burst intervals. When each subsequent burst interval is the same, the
65 burst type is called ‘stable’. Often, the burst intervals vary randomly between two
66 fixed limits (e.g. between 20 and 40 seconds). In this case, the burst type is called
67 ‘random’. A random burst interval prevents that two transmitters simultaneously
68 present in the receiver array, would ping in-phase and continuously overlap [16]. In
69 fact, the interval is not truly random, but follows a computer-generated random
70 sequence (i.e. pseudo-random; personal communication with Vemco and Thelma

71 Biotel). When this sequence is known, YAPS can utilise this information to predict
72 the time of next transmission.

73

74 The choice of burst interval is mostly a trade-off between battery life of the trans-
75 mitter and desired temporal resolution of the tracks. An additional constraint to
76 burst interval is the duration of a transmission, which depends on the type of signal
77 encoding. A frequently used signal encoding is pulse position modulation (PPM),
78 where the ID is encoded in the time interval between 8 to 10 transmitted pulses
79 [17–19]. Since a PPM transmission takes 3 to 5 seconds [20], simultaneous trans-
80 missions of different animals can cause signal collision, which results in incomplete
81 or corrupted transmissions. Hence, the number of fish that will be present simul-
82 taneously in the study area limits the allowable burst interval. In contrast, Binary
83 Phase Shift Key (BPSK) technology allows transmitting the encoded ID and even
84 sensor data in < 10 ms, using phase modulation to encode information [21]. This
85 technology is used for instance in the Vemco High Residency (HR) coded tags [20].
86 Another technique that allows similarly fast transmission is Code Division Multiple
87 Access (CDMA), for instance used by Lotek [22, 23]. Both HR and CDMA allow
88 the simultaneous presence of hundreds of animals, without severely limiting the
89 frequency of signal transmission and hence still allowing short burst intervals.

90

91 The temporal resolution of the receiver expresses the accuracy with which a trans-
92 mission's time of arrival is measured, and depends on the electronics of the receiver
93 and the type of signal transmission. In the case of PPM, the signal is decoded by
94 analogue receivers [21]. A signal is recorded once it has reached a certain amplitude
95 at the receiver, so the exact moment of time of arrival cannot be measured very
96 accurately. Hence, PPM has an accuracy of 1 to 2 ms (personal communication
97 with Vemco). In contrast, digital technologies such as BPSK and CDMA allow to
98 measure the time of arrival up to sub-millisecond precision. Although this para-
99 meter affects the positioning accuracy independently of the positioning algorithm
100 (since it sets a physical limit to the possible accuracy), a simulation study allows
101 to investigate its effect on positioning accuracy in combination with other factors.
102 Note that a positioning system with independent receivers (i.e. not connected to
103 each other or to a common computer clock) requires time synchronisation of the

104 receiver clocks, which are prone to drifting [13]. However, this is not related to
105 temporal receiver resolution, and in this study we assume that the receivers are
106 synchronised (see [24, 25] for time synchronisation of real data).

107

108 The number of detections (observations) per animal depends on the interplay
109 between burst interval and fish swimming speed. When a fish swims slowly through
110 the array and the burst interval of its transmitter is not too large, it will be detected
111 often and result in a large amount of data. However, when a fish swims fast through
112 the receiver array and has a large burst interval-transmitter, very few signals might
113 be detected on the receiver set. Point-by-point positioning methods such as TDOA
114 can calculate a position no matter the number of transmissions, as long as the sig-
115 nals are detected by at least three receivers. In contrast, the YAPS algorithm needs a
116 minimum amount of data to fit the movement model to the TOA data.

117

118 Although the main zone of interest is generally inside the array, for instance
119 covering part of a lake, pond or marine area, or a section of a river or canal, the
120 behaviour just before arriving at the covered section might be useful extra informa-
121 tion. TDOA methods have difficulties in treating signals that originate from outside
122 the receiver array contours [13, 22]. Even to the extent that sometimes noisy tracks
123 inside the array turn out to originate from out-of-array signals ([14], in submission).

124

125 Finally, the environment of the study site determines the number of reflected
126 detections present in the data. Acoustic signals bounce off hard surfaces, causing
127 an extension of the acoustic path: the reflected signal arrives later at the receiver
128 than a direct signal, adding an error to the TOA information and hence to the
129 resulting position. Many environments contain reflective surfaces: signals can for
130 instance bounce off the ocean floor, the water surface and rock formations [26]. Con-
131 crete structures such as canal walls, sluices, dams and other human-made hydraulic
132 structures, reflect even up to 98% of acoustic energy [27]. Mostly, receivers have at
133 least some protection against reflected signals, for instance by use of blanking (i.e.
134 making the receiver deaf for a specified period) after detection of the direct signal
135 [26]. However, the direct signal might not be heard, or if the site is large enough
136 (i.e. the reflecting surface is far away), the reflected signal might arrive after the

137 blanking period.

138

139 In this study, we investigated the sensitivity of the YAPS algorithm to the six
140 parameters or system properties discussed above: burst type, burst interval, num-
141 ber of observations, reflectivity of the environment, out-of-array positioning and
142 temporal receiver resolution. To this end, we simulated and estimated 50 tracks
143 for all considered combinations of settings (17,850 tracks in total). We varied the
144 parameters between values that we have encountered in our own experiences or in
145 literature, or that are used by manufacturers we have worked with. This study aims
146 to help aquatic ecologists in the choice of system settings and to inform users and
147 manufacturers on potential areas of improvement.

148

149 **2 Results**

150 In the following sections, we compare the performance of YAPS on the combined
151 effect of signal characteristics (i.e. burst type and interval) and one of the following
152 parameters: number of observations (track length), reflectivity, out-of-array and
153 temporal receiver resolution. Therefore, we visualise and discuss the median error
154 (with error being the distance between simulated and estimated positions; Figure 1)
155 and the standard deviation on the error (Figure 2) of each simulated track.

156

157 **2.1 Effect of signal characteristics**

158 Regardless of the value of other parameters, the performance of YAPS increases
159 with burst type going from random over known-random to stable (Figure 1). In
160 general, the median error on a track also increases with burst interval, but for large
161 stable and known random burst intervals, burst interval does not affect the error
162 significantly (except in highly reflective environments, Figure 1b). The standard
163 deviation on the error increases with burst interval in all situations (Figure 2).
164 Remarkably, stable and known random burst interval tracks have almost the same
165 spread of errors, while the spread on random burst interval tracks can be 2 to 4
166 times higher.

167

168 2.2 Effect of number of observations

169 Tracks with large mean burst interval (especially 60 and 90 s) suffer most from
170 short track lengths, with errors that are 2 to 4 times larger for tracks of 500 s
171 than for tracks of 10,000 s (Figure 1a). However, the spread on the errors is large,
172 extending from 0.2 to 72 m for tracks of 500 s with 60 to 90 s mean burst interval.
173 Tracks longer than 2500 s only gain by increasing track length if the burst interval is
174 random. Note that tracks of 500 s with 45, 60 or 90 s mean burst interval, contain
175 only about 11, 8 or 6 observations respectively. For small burst intervals (up to
176 15 s, corresponding to at least 33 observations when the track length is 500 s), the
177 error barely changes with track length, hence short tracks are no issue. For larger
178 stable or known-random burst intervals (30 s and more), about 40 observations are
179 needed (e.g. 60 s burst interval when track length is 2500 s) to minimise the error.
180 Three tracks with only 6 observations could not be estimated by YAPS (at least not
181 within 100 retries). Remarkably, for tracks of 10,000 s with random burst interval,
182 some large errors still occur despite the smaller median error. This is also confirmed
183 when looking at Figure 2a: the distribution of standard deviations on each track is
184 similar for each track length. This indicates that large errors still occur for some
185 tracks, even if the number of observations is high.

186

187 2.3 Effect of reflectivity

188 Multipath probabilities up to 5% have a minor effect on the performance of YAPS
189 (Figure 1b). Higher probabilities cause an increase in errors, but only for large burst
190 intervals: the errors are about twice as large for 10% versus 5% (or no) reflectivity,
191 and this for all burst types. Regarding the standard deviation on the errors, even a
192 small increase in multipath probability does have an effect (Figure 2b, meaning that
193 the spread on positioning errors for a track increases rapidly, and this for all burst
194 types. Small burst intervals up to 30 s are barely affected by increasing reflections
195 (Figure 1b). When the random sequence is known, the average of the median errors
196 does not exceed 2.5 m in worst-case scenario (i.e. 10% reflections and largest burst
197 interval), whereas a purely random burst interval then results in median errors of
198 10 m on average, and even up to 49 m.

199

200 2.4 Effect of out-of-array

201 For each burst type and all burst intervals, the error is about twice as large for
202 tracks out-of-array versus tracks completely inside the array (Figure 1c). However,
203 since the error on random burst interval tracks is already large (especially for large
204 burst intervals), the effect of doubling the error is worse, resulting in median errors
205 of 14 m on average. Despite larger errors out-of-array, the form of the track is still
206 captured quite well, even for random burst interval (Figure 3). When a track is
207 half out-of-array, the error increases only slightly. The standard deviation on the
208 error is larger for tracks out-of-array than inside or half out-of-array, in the case of
209 random burst intervals (Figure 2c). For stable and known random intervals, there
210 is no increase in the standard deviation for out-of-array positioning, despite the
211 increase in median errors. This indicates a general upwards shift in all errors.

212

213 2.5 Effect of temporal receiver resolution

214 Improvement of the temporal receiver resolution affects the average error on all
215 burst intervals when the burst type is stable or the random sequence is known
216 (Figure 1d). However, for random burst intervals, resolutions better than 200 μ s
217 do not improve the track estimation further. While the error usually increases with
218 increasing burst interval, for stable burst intervals this effect practically disappears
219 with a resolution better than 200 μ s. Standard deviations on the error show the
220 same patterns for different receiver resolutions (Figure 2d). Apparently, temporal
221 receiver resolution has only an effect on the magnitude and not on the spread of
222 the error. This is logic, since it only affects how accurately the receiver is measuring
223 and does not interfere with other processes that influence the performance of the
224 positioning algorithm.

225

226 3 Discussion

227 The parameter that mostly affects YAPS' positioning accuracy is the burst type.
228 When the next time of transmission is known (or at least follows a Gaussian dis-
229 tribution), the estimation of transmission time is quite simple and informed by
230 the surrounding transmission times. If this information is missing, the independent
231 estimation of each time of transmission adds uncertainty and inaccuracies to the

232 model. A stable burst interval hence results almost always in better accuracy, and
233 quite large burst intervals (up to 60 s) can be chosen without really hampering the
234 median accuracy on a track (although individual points might have higher errors).
235 When using stable burst interval transmitters, there is a risk that transmitters are
236 pinging in phase and hence colliding constantly, making decoding impossible. To
237 avoid this issue, random burst intervals can be used. In this case, the availability
238 of the random sequence significantly improves the results of YAPS estimations,
239 actually approaching the accuracy reached with stable burst interval.

240

241 The choice of burst interval has a small influence on median error when the burst
242 interval is stable or when the random burst interval sequence is known and when
243 conditions are good (i.e. enough observations, a resolution better than 1 ms and
244 reflectivity lower than 10%). In contrast, the error on random burst interval tracks
245 increases rapidly with increasing burst interval. Even if the median error is not
246 affected, the standard deviation per track does increase with burst interval in all
247 situations, hence positions with larger error might be expected when the burst in-
248 terval is large. Note that large burst intervals inherently come with information
249 loss: between subsequent position, the best estimation of an animal's behaviour is a
250 straight line. On the other hand, very small burst intervals (around 1 s) require high
251 temporal receiver resolution and result in excessively large datasets, challenging the
252 processing limits of most personal computers and therefore hampering data hand-
253 ling and analysis.

254

255 In some cases, YAPS might fail to converge, which happened for 3 tracks of 6
256 observations in this simulation study. When a fish passes quickly through an array,
257 and the burst interval of its transmitter is large, very few observations of its trans-
258 mitter may be available. This provides very little information to fit the movement
259 model and might result in a wide range of errors, from very small to very large. If
260 the burst type is random, each time of transmission must be estimated separately,
261 which additionally complicates the task with only about 10 TOA-data available.
262 For small burst intervals, about 30 observations are sufficient to avoid effects of too
263 few data. For large burst intervals, it depends on the burst type: 40 observations
264 are enough when the burst interval is stable or when the random burst interval

265 sequence is known. For large random burst intervals, more observations are bene-
266 ficial, but accuracy is never completely guaranteed: even at 10,000 s-track length,
267 some tracks are estimated with high error. Hence, small burst intervals are advised
268 when high accuracy is required.

269

270 Comparing different levels of reflection revealed that YAPS is very robust against
271 errors due to reflections when the burst interval is small enough (i.e. not larger
272 than 30 s), even up to 10% reflectivity. This robustness can be attributed to the
273 use of the movement model, keeping positions within biologically plausible limits.
274 When the burst interval is large and random, it is more difficult to intercept re-
275 flected signals, since the time of the next transmission is not known and has to
276 be estimated independently. However, this effect is only significant for multipath
277 probabilities larger than 5%. Moreover, if the random sequence is available, the
278 average of median track errors stays limited to 2.5 m even for the largest burst
279 interval. This shows the benefit and importance of knowing the random sequence.

280

281 The accuracy of YAPS is not much affected when only half of the track is outside
282 of the array. However, tracks completely outside the array are only half as accurate,
283 but still capture the (simulated) behaviour (Figure 3). This can be explained as
284 follows: since YAPS uses Time Of Arrival directly, instead of Time Difference Of
285 Arrival, it is geometrically based on intersecting circles instead of hyperbolas. As
286 is the case for hyperbolas [13], the intersection of circles is more sensitive to errors
287 in measured arrival time when the transmitter is outside the receiver array than
288 when it is inside. The direct use of TOA (and hence circles) is only possible because
289 YAPS iteratively estimates the time of transmission and uses all TOA information
290 simultaneously. The latter helps in avoiding completely erroneous positions once
291 the transmitter is leaving the array contour, hence better preserving the behaviour.
292 Although the main zone of interest is usually within the limits of the receiver array,
293 sometimes it might be interesting to obtain information on how a fish is arriving at
294 the study site. This information is mostly missing in TDOA studies.

295

296 Regardless of the positioning algorithm, fine-scale positioning with high accuracy
297 requires receivers with a sub-millisecond temporal resolution. The temporal receiver

298 resolution depends on technological choices of the manufacturer and determines how
299 accurate a positioning system can physically be. This simulation study allowed to
300 quantify the combined effect of resolution and other parameters. For instance, a
301 PPM system, that has a temporal resolution of 1 ms and typically an average burst
302 interval not lower than 30 s, has a minimal error of about 2 m in best case scenario.
303 In contrast, a CDMA system with 52 μs resolution, can be 20 cm accurate for the
304 same burst interval.

305

306 4 Conclusions

307 This study aimed to inform users and manufacturers on potential areas of improve-
308 ment. As it turned out, the main point of improvement is the choice of burst type,
309 which is of major importance for the performance of YAPS. Whereas the use of
310 stable burst intervals leads in nearly all cases to better results, the poor perform-
311 ance on random burst interval data can be significantly improved when the random
312 sequence is available. Therefore, we strongly urge manufacturers to store this inform-
313 ation and make it available to customers. Another potential area of improvement
314 is the temporal receiver resolution, which in turn determines the minimal accuracy
315 that can be reached. Resolutions better than 1 ms are recommended for accurate
316 fine-scale positioning.

317 In addition, the study aimed to help users in the choice of system settings. The
318 choice of burst interval depends on many factors, such as the scale of the study,
319 the research questions and hence the required accuracy. Whenever possible, small
320 burst intervals are beneficial for the accuracy when low numbers of observations can
321 be expected (i.e. fast-moving animals), in reflective environments and when there
322 is interest in information from outside the receiver array. However, the benefit of
323 small burst intervals is especially high for (unknown) random burst intervals. Very
324 small burst intervals (around 1 s) result in excessively large datasets, needlessly
325 complicating the data analysis.

326 As the design of the receiver array is often constraint to the specific lay-out of a
327 study area, we conducted this simulation study on a fixed array design. However,
328 a study that focusses on comparing array designs of different quality, geometry,

329 spacing and number of receivers, would be an interesting follow-up to test the
 330 robustness of the YAPS algorithm.

331 5 Methods

332 Below we first introduce the studied parameters (section 5.1) and then explain
 333 how their effects on the positioning error were investigated in the simulation study
 334 (section 5.2).

335 5.1 Studied parameters

336 5.1.1 Signal characteristics: burst type and interval

337 In case of a stable burst interval (sbi), the YAPS algorithm can easily predict the
 338 time of the next transmission. In reality, the interval between transmission is not
 339 exactly constant, because the transmitter's internal clock is imprecise and prone
 340 to drifting (personal observation). Hence, the burst interval $t(i) - t(i - 1)$ can be
 341 approximated by a random walk or Gaussian distribution with standard deviation
 342 σ [15]:

$$343 \quad t(i) - t(i - 1) | t(i - 1), t(i - 2) \sim N(t(i - 1) - t(i - 2), \sigma^2) \quad (1)$$

344 When the random sequence is unknown to the user, it can only be modelled as a
 345 random interval. In the YAPS algorithm, the random burst interval is approximated
 346 by a uniform distribution between minimum and maximum burst interval (a, b) , and
 347 is estimated for each ping independently, resulting in the following model for burst
 348 interval:

$$349 \quad t(i) - t(i - 1) | t(i - 1) \sim U(a, b) \quad (2)$$

350 In contrast, if the random sequence S is known, YAPS can utilise this information
 351 to predict the time of next transmission, while incorporating deviation caused by
 352 drift of the internal transmitter clock. The sequence of known random transmission
 353 times t_{rbi} can be expressed as:

$$354 \quad t_{rbi}(i) = t_{rbi}(i - 1) + S(i - 1) \quad (3)$$

Table 1: Stable burst intervals (sbi) and corresponding minimum and maximum random burst intervals (rbi). Each stable burst interval is also the average of the corresponding random burst interval limits.

sbi (s)	min rbi (s)	max rbi (s)
1.2	1.1	1.3
5	3	7
15	10	20
30	20	40
45	30	60
60	40	80
90	60	120

355 and the burst interval can be modelled as:

$$356 \quad t_{rbi}(i) - t_{rbi}(i-1) | t_{rbi}(i-1), t_{rbi}(i-2) \sim N(t_{rbi}(i-1) - t_{rbi}(i-2), \sigma^2) \quad (4)$$

357 In this study, we varied the average burst interval between 1.2 and 120 s (Table 1).

358

359 5.1.2 Number of observations or track length

360 Point-by-point positioning methods such as TDOA can calculate a position no
 361 matter the number of transmissions, as long as the signal is detected by at least 3
 362 receivers. A fish with a large burst interval-transmitter, swimming fast through a
 363 receiver array, can result in one single TDOA position. In the YAPS algorithm, a
 364 minimum amount of data is required to fit the movement model to the TOA data.
 365 We verified the YAPS performance on tracks with a duration of 500, 1000, 2500,
 366 5000 and 10,000 s. Note that the number of calculated positions depends on the
 367 burst interval, for instance, a track of 500 s with a 90-second mean burst interval,
 368 contains on average 6 positions. In this paper, we use ‘track length’ to refer to the
 369 duration of the track, while ‘number of observations’ indicate how many observa-
 370 tions can possibly result from a track length with given burst interval.

371

372 5.1.3 Reflectivity of the environment

373 The reflectivity of the environment is expressed as the probability on a multipath
 374 signal $p(\text{MP})$. Reflection of a signal leads to an increase in path length between

Table 2: Temporal receiver resolutions for different systems and providers, which were used in the simulation study.

System	Temporal resolution
Vemco PPM	1 ms
Thelma Biotel PPM	1 ms
Lotek 76 kHz	200 μ s
Vemco HR	130 μ s
Lotek 200 kHz	52 μ s

375 transmitter and receiver, resulting in an offset of the arrival time compared to nor-
 376 mal arrival time (i.e. without reflections). Hence, we introduced multipath in the
 377 simulation study by offsetting a fraction of the TOA-data with a random value
 378 between 0.035 and 0.209 s, which corresponds to an error of 50 to 300 m (assum-
 379 ing 1435 m/s sound speed in water). These values, that are typically within the
 380 detection range of a receiver, are representative for outliers in TOA-data in differ-
 381 ent kinds of environments (personal experience of the authors). We varied $p(\text{MP})$
 382 between 0 and 10% by 2.5% steps.

383

384 5.1.4 Out-of-array positioning

385 We verified the accuracy of YAPS in estimating out-of-array tracks by comparing
 386 tracks within the array, with the results of shifting tracks a half array-length out of
 387 the array (shift = 0.5), and completely out the of array (shift = 1).

388

389 5.1.5 Temporal receiver resolution

390 The temporal receiver resolution determines the accuracy that is physically achiev-
 391 able, for instance, 1 ms resolution corresponds to 1.4 m accuracy (assuming 1435 m/s
 392 sound speed in water). We compared YAPS performance on different temporal res-
 393 olutions, corresponding to systems of the Vemco, Thelma Biotel and Lotek company
 394 (Table 2).

395

396 5.2 Set-up of the simulation study

397 To verify the influence of number of observations, we simulated 50 tracks for each
398 5 track lengths, in an array of 8 equally spread receivers (Figure 4). The array
399 consisted of 2 concentric rectangles, with receivers spaced 20 to 30 m apart, provid-
400 ing a basic but robust design for positioning. The simulated tracks were based on
401 a correlated random walk movement model, with alternating periods of low and
402 high movement. For each track, we also simulated sound speed data, following a
403 random walk model. We assumed a constant sound speed and homogeneous sound
404 speed profile. For each of the resulting 250 tracks (50*5), we simulated TOA data
405 for the 7 burst intervals (Table 1) and 3 burst types. To verify the effect of other
406 parameters, we used only the 50 tracks of track length 5000. On these tracks, we
407 simulated TOA data for the 7 burst intervals and 3 burst types, combined with
408 the 5 different probabilities of multipath $p(\text{MP})$ and 4 different temporal receiver
409 resolutions, giving in total 189 settings (21*5 + 21*4). We subjected the 50 tracks
410 with length 5000 to 3 shifts relative to the array: no shift, half-out and completely
411 out of array. For these 150 tracks, we again simulated TOA data for 7 burst intervals
412 and 3 burst types (21 settings). Figure 5 summarises the simulation outline and the
413 used R code can be found on-line [28].

414

415 All TOA data were calculated with 60% probability of missing detections, i.e.
416 on average 3 (out of 8) receivers detecting the signal. The parameters $p(\text{MP})$ and
417 temporal receiver resolution were fixed at 2.5% and 200 μs respectively, when not
418 varied. Finally, we ran the YAPS algorithm on each of the 17,850 TOA-datasets
419 (250*21 + 50*189 + 150*21) to produce estimated tracks, allowing 100 attempts
420 to converge. For visualisation, we plotted a LOESS (LOcally Estimated Scatterplot
421 Smoothing) smoother on top of the points representing the median error on each
422 estimated track. This line and its 95%-confidence interval show respectively the
423 general pattern and the uncertainty on the smoother. The error on each position is
424 calculated as the distance between simulated and estimated position.

425

List of abbreviations and symbols

p(MP)	probability of multipath
σ	standard deviation of Gaussian distribution
S	random sequence
t_{rbi}	known random transmission time
$t(i) - t(i - 1)$	burst interval
BPSK	Binary Phase Shift Key
CDMA	Code Division Multiple Access
LOESS	LOcally Estimated Scatterplot Smoothing
GPS	Global Positioning System
HPE	Horizontal Positioning Error
HR	High Residency
PPM	Pulse Position Modulation
RMSE	Root Mean Square Error
sd	standard deviation
sbi	stable burst interval
rbi	random burst interval
TDOA	Time Difference Of Arrival
TOA	Time Of Arrival
VPS	Vemco Positioning System
YAPS	Yet Another Positioning System

426 **6 List of abbreviations**427 **Declarations**

428 Ethics approval and consent to participate

429 The study is approved by the Ethical Committee of the Research Institute for Nature and Forest (ECINBO09).

430 Consent for publication

431 Not applicable

432 Availability of data and materials

433 The R code to run the simulation study is available on GitHub:

434 https://github.com/JennaVergeynst/YAPS_simulation_study.

435 Archived as: Vergeynst, Jenna, and Baktoft, Henrik. (2019, November 25). JennaVergeynst/YAPS_simulation_study:

436 YAPS simulation study. Zenodo. <http://doi.org/10.5281/zenodo.3552440>

437 Competing interests

438 The authors declare that they have no competing interests.

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441 Authors' contributions

442 JV and HB equally contributed to the manuscript: JV interpreted the data and wrote the manuscript, HB wrote the

443 code for the simulations, delivered the data and contributed to the interpretation. IP, TDM, AM and IN supervised

444 the work of JV and read and approved the final manuscript.

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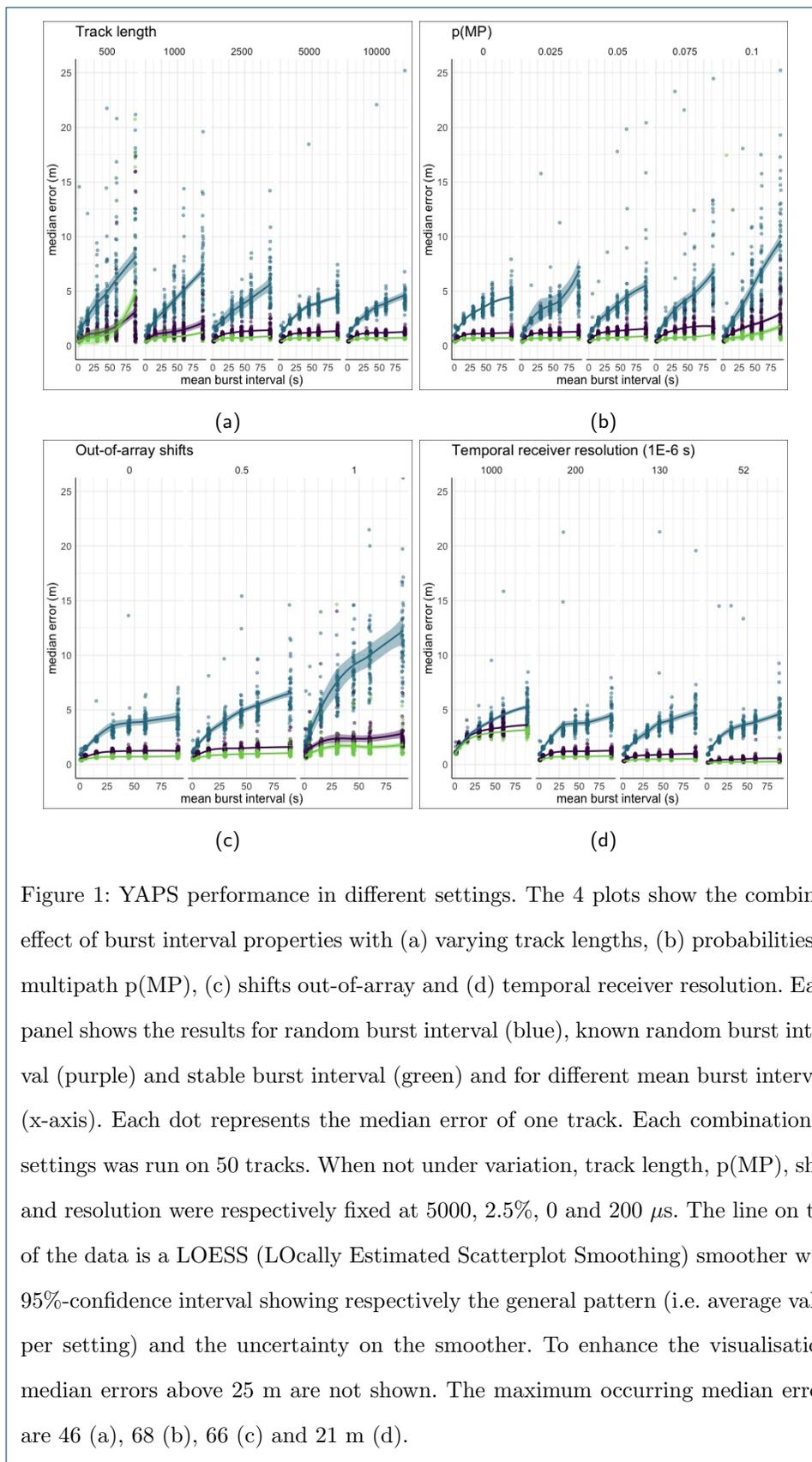
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529 7 Figures



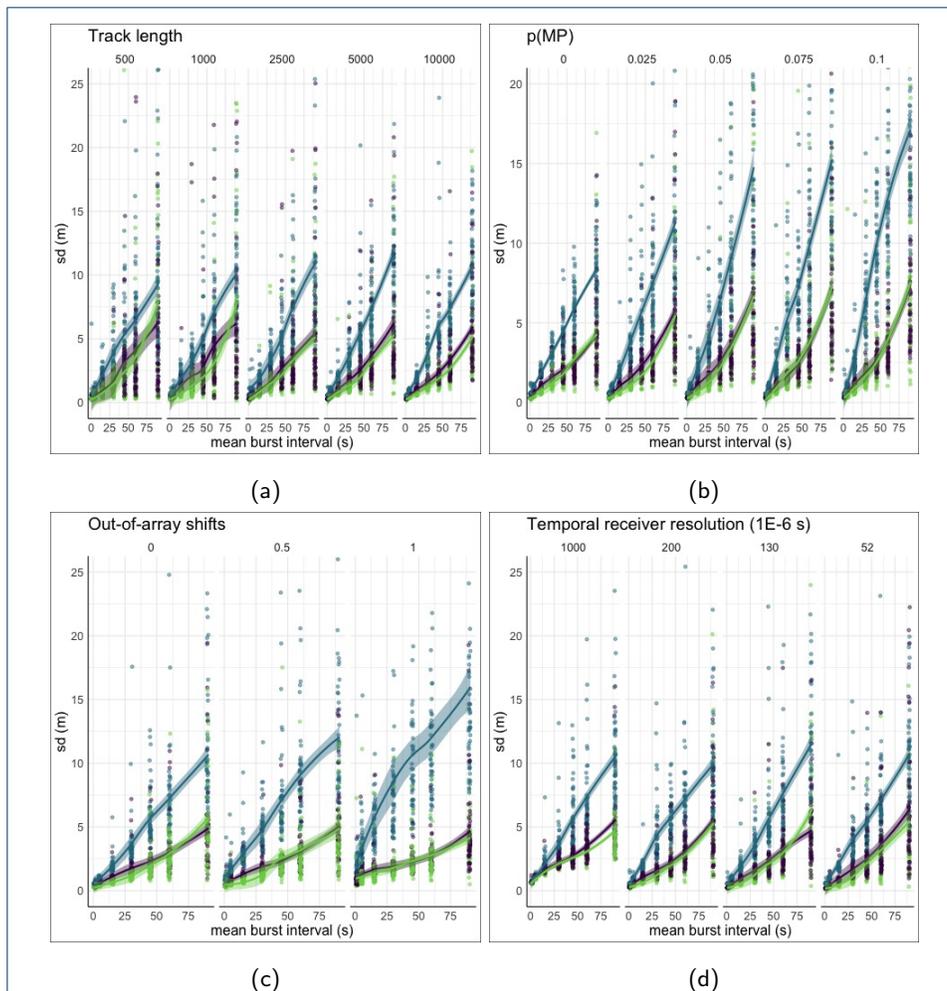


Figure 2: YAPS performance in different settings. The 4 plots show the standard deviation on the error resulting from the combined effect of burst interval properties with (a) varying track lengths, (b) probabilities of multipath $p(\text{MP})$, (c) shifts out-of-array and (d) temporal receiver resolution. Each panel shows the results for random burst interval (blue), known random burst interval (purple) and stable burst interval (green) and for different mean burst intervals (x-axis). Each dot represents standard deviation on the error of one track. Each combination of settings was run on 50 tracks. When not under variation, track length, $p(\text{MP})$, shift and resolution were respectively fixed at 5000, 2.5%, 0 and 200 μs . The line on top of the data is a LOESS (LOcally Estimated Scatterplot Smoothing) smoother with 95%-confidence interval showing respectively the general pattern (i.e. average value per setting) and the uncertainty on the smoother. To enhance the visualisation, standard deviations above 25 m are not shown. The maximum occurring standard deviations are 62 (a), 62 (b), 79 (c) and 35 m (d).

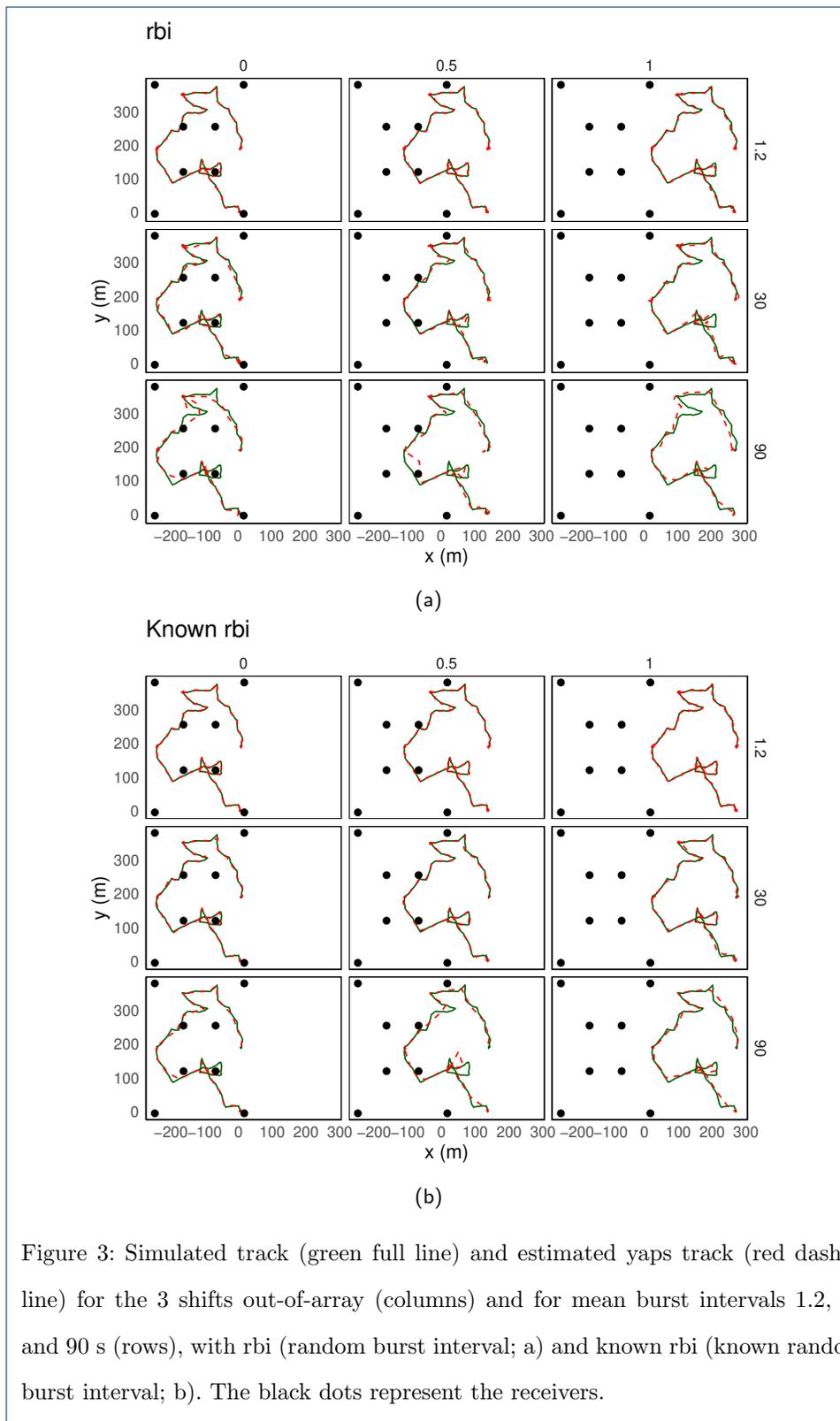
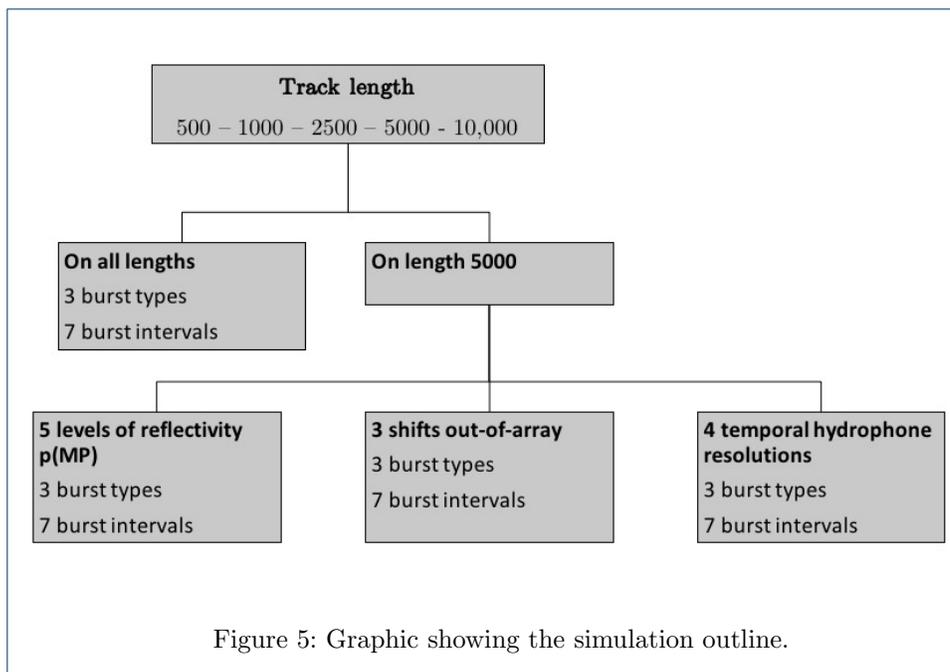
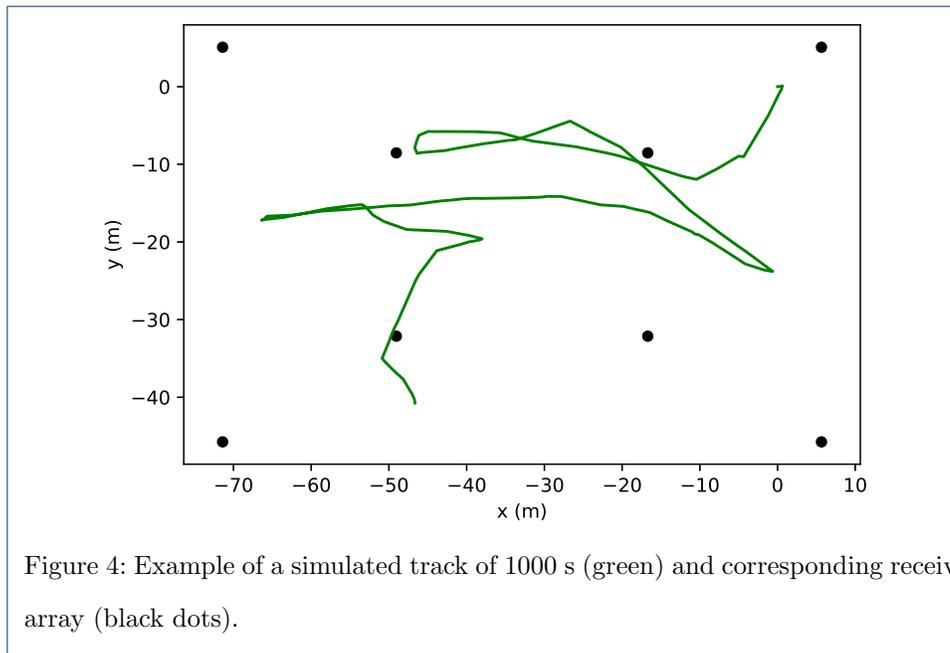


Figure 3: Simulated track (green full line) and estimated yaps track (red dashed line) for the 3 shifts out-of-array (columns) and for mean burst intervals 1.2, 30 and 90 s (rows), with rbi (random burst interval; a) and known rbi (known random burst interval; b). The black dots represent the receivers.



Figures

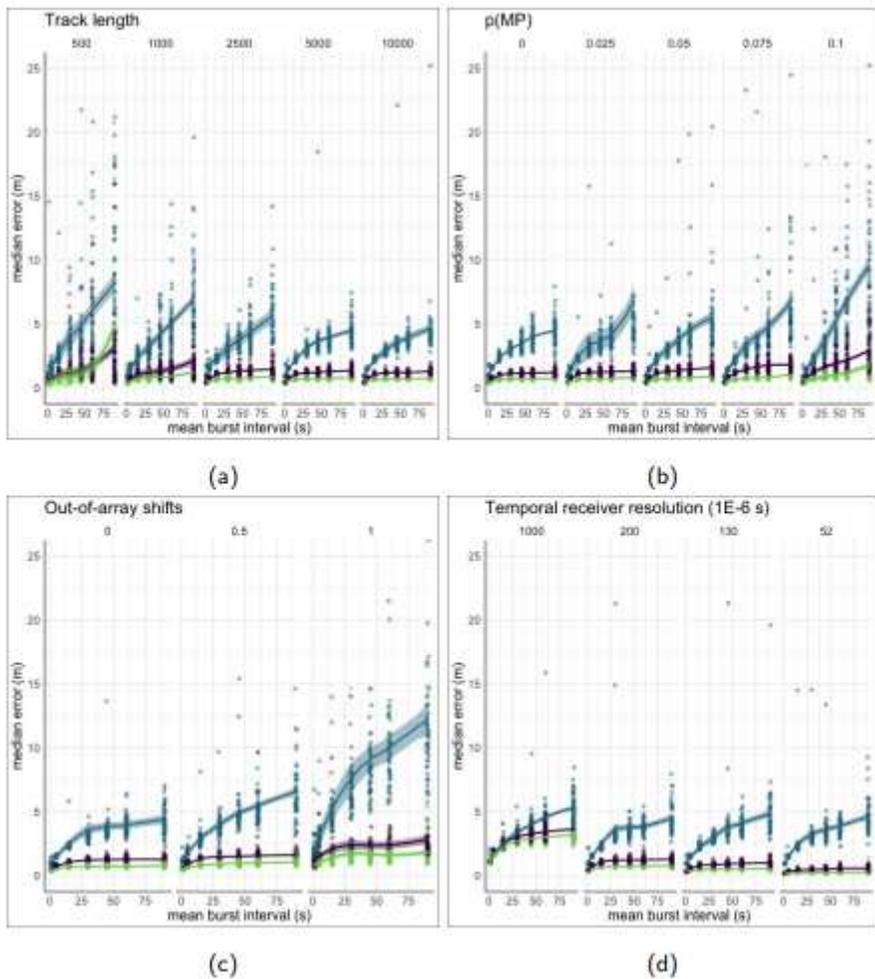


Figure 1

YAPS performance in different settings. The 4 plots show the combined effect of burst interval properties with (a) varying track lengths, (b) probabilities of multipath $p(\text{MP})$, (c) shifts out-of-array and (d) temporal receiver resolution. Each panel shows the results for random burst interval (blue), known random burst interval (purple) and stable burst interval (green) and for different mean burst intervals (x-axis). Each dot represents the median error of one track. Each combination of settings was run on 50 tracks. When not under variation, track length, $p(\text{MP})$, shift and resolution were respectively fixed at 5000, 2.5%, 0 and 200 μs . The line on top of the data is a LOESS (LOcally Estimated Scatterplot Smoothing) smoother with 95%-confidence interval showing respectively the general pattern (i.e. average value per setting) and the uncertainty on the smoother. To enhance the visualisation, median errors above 25 m are not shown. The maximum occurring median errors are 46 (a), 68 (b), 66 (c) and 21 m (d).

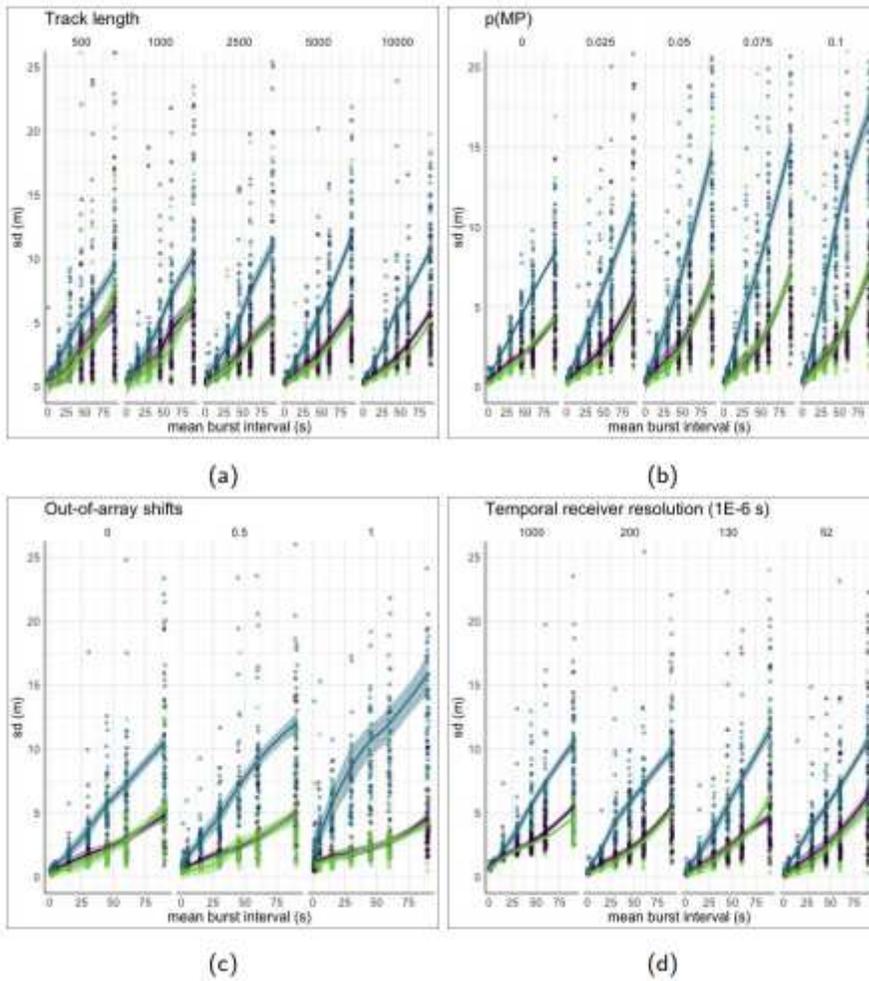


Figure 2

YAPS performance in different settings. The 4 plots show the standard deviation on the error resulting from the combined effect of burst interval properties with (a) varying track lengths, (b) probabilities of multipath $p(\text{MP})$, (c) shifts out-of-array and (d) temporal receiver resolution. Each panel shows the results for random burst interval (blue), known random burst interval (purple) and stable burst interval (green) and for different mean burst intervals (x-axis). Each dot represents standard deviation on the error of one track. Each combination of settings was run on 50 tracks. When not under variation, track length, $p(\text{MP})$, shift and resolution were respectively fixed at 5000, 2.5%, 0 and 200 μs . The line on top of the data is a LOESS (LOcally Estimated Scatterplot Smoothing) smoother with 95%-confidence interval showing respectively the general pattern (i.e. average value per setting) and the uncertainty on the smoother. To enhance the visualisation, standard deviations above 25 m are not shown. The maximum occurring standard deviations are 62 (a), 62 (b), 79 (c) and 35 m (d).

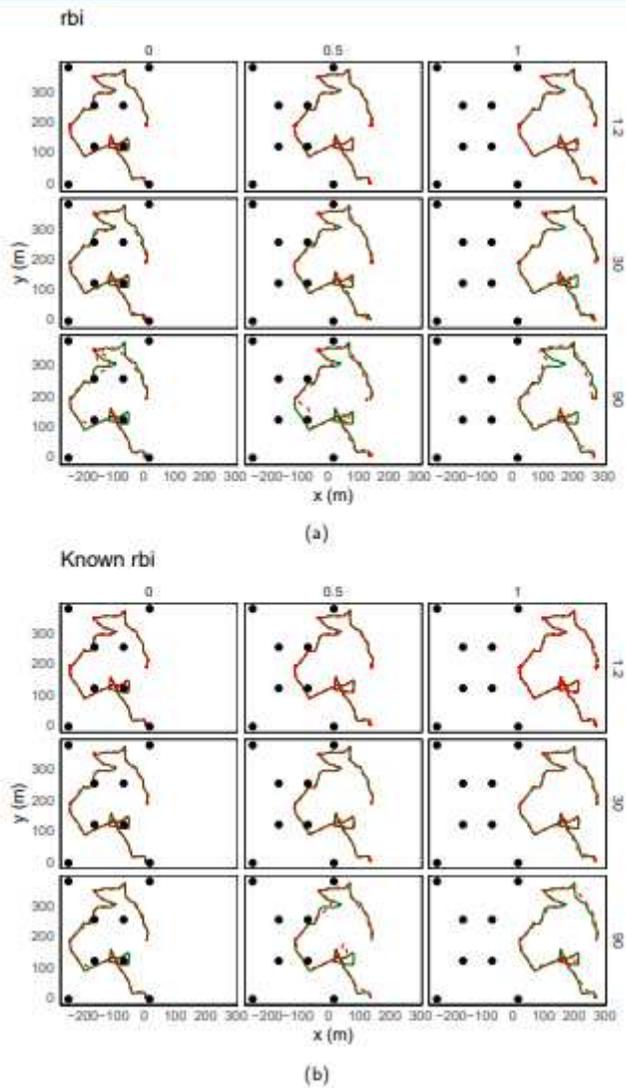


Figure 3

Simulated track (green full line) and estimated yaps track (red dashed line) for the 3 shifts out-of-array (columns) and for mean burst intervals 1.2, 30 and 90 s (rows), with rbi (random burst interval; a) and known rbi (known random burst interval; b). The black dots represent the receivers.

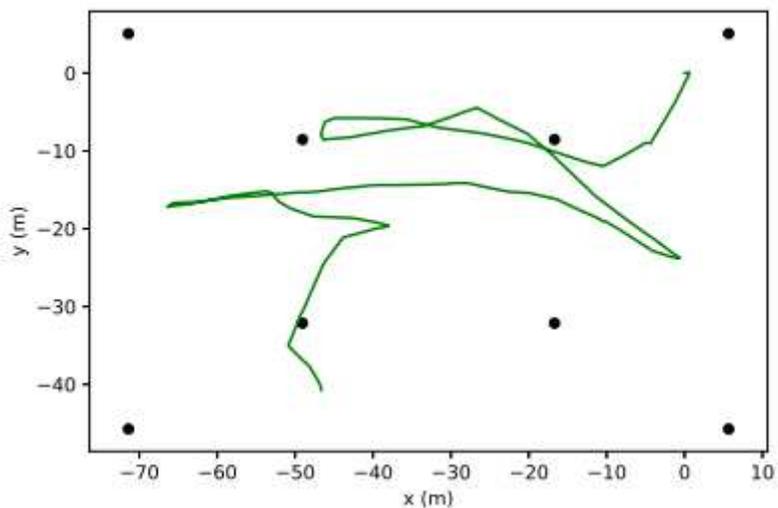


Figure 4

Example of a simulated track of 1000 s (green) and corresponding receiver array (black dots)

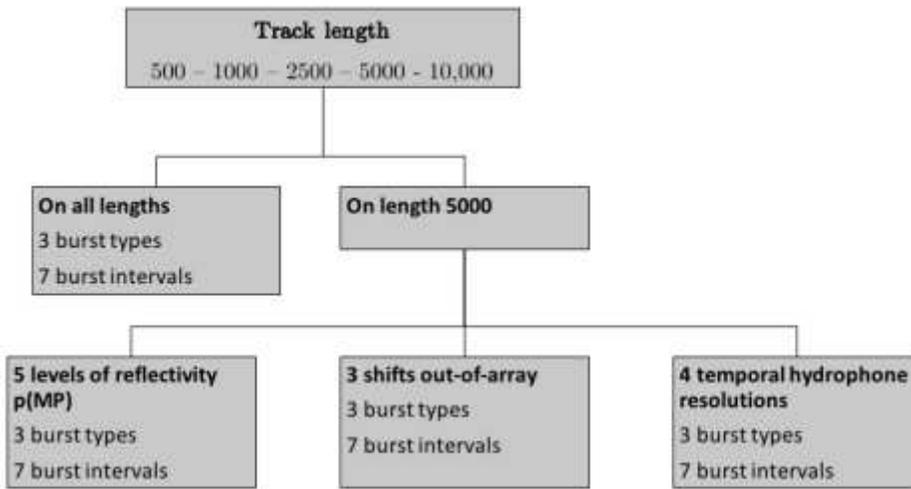


Figure 5

Graphic showing the simulation outline