

Biotic and Abiotic Sources of Stress on Wild Rocket Classified by Leaf-image Hyperspectral Data Mining With an Artificial Intelligence Model

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Research

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1 **Biotic and abiotic sources of stress on wild rocket classified by leaf-image hyperspectral data**
2 **mining with an artificial intelligence model**

3

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19

20 **Abstract**

21 **Background:** Wild rocket (*Diplotaxis tenuifolia*) is prone to soil-borne stresses under intensive
22 cultivation systems devoted to ready-to-eat salad chain, increasing needs for external inputs. Early
23 detection of the abiotic and biotic stresses by using digital reflectance-based probes may allow
24 optimization and enhance performances of the mitigation strategies.

25 **Methods:** hyperspectral image analysis was applied to *D. tenuifolia* potted plants subjected, in a
26 greenhouse experiment, to five treatments for one week: a control treatment watered to 100% water
27 holding capacity, two biotic stresses: Fusarium wilting and Rhizoctonia rotting, and two abiotic
28 stresses: water deficit and salinity. Leaf hyperspectral fingerprints were submitted to an artificial
29 intelligence pipeline for training and validating image-based classification models able to work in the
30 stress range. Spectral investigation was corroborated by pertaining physiological parameters.

31 **Results:** Water status was mainly affected by water deficit treatment, followed by fungal diseases,
32 while salinity did not change water relations of wild rocket plants compared to control treatment.
33 Biotic stresses triggered discoloration in plants just in a week after application of the treatments, as
34 evidenced by the colour space coordinates and pigment contents values. Some vegetation indices,
35 calculated on the bases of the reflectance data, targeted on plant vitality and chlorophyll content,
36 healthiness, and carotenoid content, agreed with the patterns of variations observed for the
37 physiological parameters. Artificial neural network helped selection of VIS (492-504, 540-568 and
38 712-720 nm) and NIR (855, 900-908 and 970 nm) bands, whose read reflectance contributed to
39 discriminate stresses by imaging.

40 **Conclusions:** This study provided significative spectral information linked to the assessed stresses,
41 allowing the identification of narrowed spectral regions and single wavelengths due to changes in
42 photosynthetically active pigments and in water status revealing the etiological cause.

43

44 **KEYWORDS:** Hyperspectral imaging; Fusarium wilting; Machine learning; Rhizoctonia rotting;
45 Water deficit; Salinity

46

47 **Background**

48 Plant diseases or abiotic stresses, such as water deficit or salinity, are key factors in the growth
49 and yield of most vegetable crops [1-5]. The early detection and identification of both biotic and
50 abiotic stresses would provide an opportunity for early intervention to control, prevent spread of
51 infection or change irrigation management practices before the whole crop is damaged and vast yield
52 losses occur [6]. Imaging sensors can identify the onset of adverse stresses before visible symptoms
53 appear. Among imaging techniques, hyperspectral imaging is preferable for the identification and
54 categorization of early stages of plant foliar diseases and abiotic stresses from laboratory to field
55 scale, since uses high-fidelity plant reflectance information over a large range of the light spectrum,
56 beyond that human vision, capturing more than the usual three bands of coloured light found in
57 traditional digital imaging.

58 Hyperspectral imaging has already been used in vegetable crops for detecting biotic or abiotic
59 stress, such as water salinity in lettuce [7], water stress in potato [8] and in tomato [9], Sclerotinia
60 disease on oilseed rape [10], downy mildew in cucumber [11], most important diseases in tomato, bell
61 pepper, potato and squash [12]. In order to determine the best combination of reflectance wavelengths
62 sensitive for diagnosing water or saline stress as well as plant diseases, hyperspectral images can be
63 studied and used on rocket leaves before visible damages create a high detriment value in the
64 production of this vegetable primarily employed for the fresh consumption of a ready-to-eat product,
65 due to its unique taste.

66 Wild or perennial rocket [*Diplotaxis tenuifolia* (L.) D.C.], is a leafy vegetable, commonly also
67 known as arugula, roquette or rucola, belonging to the mustard family (Brassicaceae). It's
68 traditionally grown in the Mediterranean region, widely consumed in Italy, but with increasing
69 popularity as green salad (mixed or alone) in other parts of the World thanks to its excellent nutritional

70 properties and its antioxidant activity [13-17]. The major producer area of wild rocket in the European
71 Union is the Southwest of Italy, with an annual cultivation area of about 4,800 hectares under
72 protected cultivation with yields ranging between about 30-40 kg m⁻² of fresh cut [18, 19].
73 Sustainability of the wild rocket productive processes must be increased in terms of reduction of
74 synthetic fungicides applications for the effective soil-borne disease control, and to improve the water
75 use efficiency. Moreover, water management, also regarding crop tolerance to salinity attributable to
76 the concentration of salts in the highly fertigated soils or the use of low-quality irrigation water, is
77 due. All these factors affect plant sap-flow efficiency, compromising plant vitality and then, yield.

78 *Fusarium oxysporum f. sp. raphani* Kendrick & Snyder is the causal agent of the wild rocket
79 wilting [20], while *Rhizoctonia solani* Kühn [telomorph *Thanatephorus cucumeris* (Frank) Donk] is
80 a parenchymatic pathogen causing rotting on roots, crown and collar [21]. Both telluric pathogens
81 provoke dramatic epidemics under favourable environmental conditions (i.e., inoculum
82 accumulation, high humidity, and continuous cropping of susceptible cultivars) like those that occur
83 in sick and intensively exploited soils [22]. Most Brassicas species have been categorized as
84 moderately salt tolerant, with, however, a significant interspecific and intraspecific variation [23].
85 Contradictory findings exist regarding the reaction of these species to salt stress at different plant
86 developmental stages, while most authors indicate that these species maintain their degree of salt
87 tolerance consistently throughout the plant ontogeny.

88 So, to limit reduction of yields and avoid unexpected loss of earnings in the presence of the
89 stress factors, the time-effective application of plant protection as well as water management
90 correction is desirable [24, 25] if only with the help of the digital sensing. Actually, the soil-borne
91 diseases, the water deficit and exceeding water salinity, in different way in the long run, may produce
92 at canopy level, similar symptoms related to sap-flow deficiency, which could be difficult to
93 discriminate at a quick visive inspection, especially on large scale.

94 Precision farming principles require to connect as much information as possible derived from
95 sensing systems to develop support tools for farmer's decisions on the base of high throughput non-
96 destructive monitoring capability applicable on large cultivated surfaces [26-28].

97 The goal of this experiment was to early detect and identify, through the hyperspectral image
98 analysis, the biotic (*Fusarium* wilt and *Rhizoctonia* rot) and abiotic (water and saline) stressors
99 causing symptoms on wild rocket, to extend its application in the future to most of leafy vegetables.
100 Direct measurements of water status, leaf colour and pigment contents were performed to ascertain
101 the sensibility of wild rocket to such biotic and abiotic stress sources and to bear out the effectiveness
102 of hyperspectral image technique.

103

104 **Materials and Methods**

105 *Plant pathogens*

106 The phytopathogens used in this study were *R. solani* (AG-4) and *F. oxysporum f. sp. raphani*
107 isolated from symptomatic cabbage and wild rocket plants, respectively. Both fungal strains are
108 maintained on potato dextrose agar (PDA, Oxoid) slants at 20 °C and each isolate was preliminarily
109 tested for pathogenicity on wild rocket cv Tricia. Pathogen inoculum preparation followed procedures
110 described by Pane et al. [29, 30] to obtain *R. solani* infected millet and *F. oxysporum* conidial
111 suspension, respectively.

112

113 *Plant material and experimental conditions*

114 The *in planta* experiment was carried out in the glasshouse of the CREA-Research Centre of
115 Vegetable and Ornamental Crops (Pontecagnano Faiano, Italy). Wild rocket (cv Tricia) seedlings
116 were transplanted (five *per* each) in 20 cm plastic pots filled with autoclaved soil-peat. Pots were
117 arranged on bench and supplied with a basal NPK mix nutritive solution at three-day intervals until
118 to about a month of growth. Then, plants were subjected to five treatments during 1 week: plants
119 watered to 100% water holding capacity (leaching 20% of the applied water) (Control, C); infection

120 by drenching 100 mL pot⁻¹ of *F. oxysporum* f. sp. *raphani* strain conidial suspension (1.0×10^5 conidia
121 mL⁻¹) (Fusarium stress, F); infection by amending 10 g pot⁻¹ of *R. solani* colonized millet
122 (Rhizoctonia stress, R); plants received 50% of water than the control (well-watered) plants (Water
123 stress, W); plants irrigated at holding capacity, with electrical conductivity (EC) of the irrigation
124 solution of 3.7 dS m⁻¹, after addition of NaCl (saline stress, S). This research was executed in a
125 randomized complete block design with ten replications, and each replicate represented a pot with 5
126 plants.

127

128 *Water status*

129 The plant water status of rocket accessions was determined by the leaf relative water content
130 (RWC_l; %), the plant water potential (Ψ_{pl} ; MPa), the osmotic potential at full turgor (Ψ_{100s} ; MPa), the
131 electrolyte leakage (EL; %) and the dry matter content (DM; %). The RWC_l was measured in excised
132 leaves harvested at midday (10:30 - 12:30 h solar time) using one leaf per plant of three plants per
133 pot, providing an average pot RWC_l, for five pots per treatment, according to the equation (Barrs &
134 Weatherley, 1962):

$$135 \quad RWC_l = \frac{FW-DW}{TW-DW} \times 100 \quad (1)$$

136 where FW, DW, and TW are the fresh, dry, and turgid weights (g), respectively, of the whole
137 leaf.

138 Leaves were weighed immediately after collection to determine the fresh weight (FW). The cut
139 end of each leaf was placed in distilled water and kept in dim light at 4 °C for 24–48 h till the turgid
140 weight (TW) was reached and recorded. The dry weight (DW) was measured after air-drying the
141 leaves at 70 °C for 48 h.

142 The determination of the Ψ_{pl} was estimated according to the method described by Scholander
143 et al. [31], using a pressure chamber (Model 600 EXP Super Chamber, PMS Instrument Company,
144 Albany, OR, USA) in five plants per treatment. The rocket plant was pulled out from the soil and the

145 soil was carefully washed away from the roots, which were immediately submerged in a container of
146 water and placed in the pressure chamber. The upper part of the plant was detopped with a razor
147 blade, sealed in the chamber and pressurised. The pressure in the pressure chamber was raised using
148 nitrogen gas at a rate of 0.02 MPa s⁻¹ [32].

149 The Ψ_{100s} was measured in excised leaves harvested at midday (10:30 - 12:30 h solar time)
150 using one leaf per plant in five plants per treatment. Leaves were placed by their petiole into flasks
151 of distilled water and kept overnight in dim light at 4 °C to reach full saturation. After that, leaves
152 were dried by filter paper to eliminate surface water, wrapped in aluminium foil and immediately
153 frozen in liquid nitrogen (-170 °C) and stored at -30 °C. Before the measurements, samples were
154 thawed and leaf sap was extracted for immediate determination of osmolality (mOsmol kg⁻¹) using a
155 freezing point osmometer (Osmomat 3000, Gonotec GmbH, Berlin, DE). The Ψ_{100s} in MPa was
156 obtained by multiplying the osmolality with -2.479 (conversion factor at 25 °C; 33, 34).

157 Electrolyte leakage (EL) was determined as described by Lutts et al. [35]. Briefly, 10 pieces of
158 leaves (10 × 10 mm) collected from four plants *per* plot were placed in individual vials containing 10
159 mL of distilled water. Samples were incubated at room temperature (25 °C) on a shaker (100 rpm)
160 for 24 h. The initial electrical conductivity (EC₁) of the bathing solution was measured using a
161 conductivity meter (model Metrohm 6.0915.100, Metrohm Herisau, Switzerland). To measure total
162 electrolytes released from leaf tissues, vials were then autoclaved at 120 °C for 20 min. The same
163 samples were then autoclaved at 120 °C for 20 min and cooled at 25 °C to obtain the final electrical
164 conductivity (EC₂). The EL was calculated as:

$$165 \quad EL(\%) = \frac{EC_1}{EC_2} \times 100 \quad (2)$$

166 The dry matter content (DM) of the plant was expressed as weight percentage in 3 plants per
167 pot and 5 pots per treatment and was calculated as dry weight (DW)/fresh weight (FW)×100. In order
168 to determine the DW, fresh plant material was dried in a thermo-ventilated oven at 70 °C until it
169 reached a constant mass.

170

171 *Leaf color and pigments content*

172 CIELAB (L*a*b*) leaf color coordinates were measured using a CR-210 Chroma Meter
173 (Minolta Corp., Osaka, Japan) on leaf per plant of three plants per pot and ten pots per treatment.
174 Measurements were done in duplicate on the two opposite lobes excluding the central rib and
175 expressed as L*, a*, b* values. L* indicates lightness/darkness (0 = black, 100 = white), a* describes
176 intensity in green–red (where a positive number indicates redness and a negative number indicates
177 greenness), and b* describes the intensity in blue–yellow (where a positive number indicates
178 yellowness and a negative number indicates blueness). Chroma (C) and hue angle (h) were estimated
179 by the a* and b* values using the following equations:

180
$$C = [(a^*)^2 + (b^*)^2]^{1/2} \quad (3)$$

181
$$h = \tan^{-1} \frac{b^*}{a^*} \quad (4)$$

182 Chroma indicates colour saturation, while hue is a measure of the angle in the CIELAB colour
183 chart (0° or 360° indicates red hue, while angles below 270°, 180°, and 90° indicate blue, green, and
184 yellow hue, respectively).

185 SPAD index was measured at the midpoint of one leaf per plant of three plants per pot and 20
186 pots per treatment, using a Minolta SPAD-502 chlorophyll meter (Konica-Minolta, Japan).

187 Chlorophylls ($\mu\text{g g}^{-1}$ fresh weight) were extracted by homogenization of fresh leaf tissues (0.5 g) in
188 acetone (80%). The resulting extracts were centrifuged at 4800× g for 15 min and the absorbance of
189 solutions was measured at 662 and 647 for chlorophyll *a* and *b*, respectively, by a UV-Vis
190 spectrophotometer (model UV-1800, Shimadzu, Canby, US). Formula and extinction coefficients
191 used for the determination of chlorophylls were described by Lichtenthaler and Wellburn [36]. The
192 total chlorophyll content was calculated as the sum of chlorophyll *a* and *b*.

193

194 *Hyperspectral Imaging*

195 Hyperspectral images were immediately acquired, once rocket leaves were detached, using the
196 SPECIM IQ camera (SPECIM, Spectral Imaging Ltd., Oulu, FI), working in the range of 400–1000
197 nm. A total of 204 wavelengths were considered along this range, with a probe spectral resolution of
198 7 nm. The camera carries a complementary metal-oxide-semiconductor (CMOS) sensor with a spatial
199 sampling of 512 pixels and an image spatial resolution of 512 × 512 pixels [37]. Reflectance value
200 was calculated automatically by the camera software. Two 46 W halogen lamps pointing at each
201 corner of the object were used for stable lighting conditions of the scene. One image *per* replicate,
202 containing 5 leaves, one for each treatment, was acquired, obtaining 30 images for a total of 150
203 leaves.

204 Samples were scanned by acquiring the entire surface of the leaf creating a hypercube dataset.
205 Relative reflectance hyperspectral images were simultaneously computed by the camera software.
206 White reference and dark frame and raw data were acquired during the measurement.

207 The equation for the computation of the Reflectance by the SPECIM IQ Camera is:

$$208 \text{ Reflectance} = \frac{\text{Raw_data}^{t1} - \text{Dark}^{t1}}{\text{Raw_data}^{t2} - \text{Dark}^{t2}} \times \frac{t2}{t1} \quad (5)$$

209 The extraction of the spectral signature from each leaf was performed using the plugin of
210 Quantum GIS software, called Point Sampling Tools that allowed a random sampling, from three
211 regions of interest (ROIs) designed on the upper leaf surface on both sides and at the apex, of 10
212 pixel-point each, for a total of 30 spectral signature *per* leaf. The resulting dataset including 4500
213 spectra was submitted to the successive elaboration in section *Artificial intelligence modelling*.
214 Vegetation indexes were calculated by computing the spectral data according to the formulas reported
215 in Table S1.

216

217 *Statistical analyses*

218 Differences among treatments for parameters of sections “water status” and “leaf color and
219 *pigments content*” were analysed by one-way ANOVA test (at $P \leq 0.05$ level) followed by a Duncan

220 pairwise comparison test using Statgraphics Plus 5.1 (StatPoint Technologies Inc., Warrenton, VA,
221 US).

222

223 *Artificial intelligence modelling*

224 The hyperspectral images aforementioned, section *Hyperspectral Imaging*, were analysed by
225 means of an artificial intelligence approach aiming at classifying the spectra associated with the single
226 pixel of the image. For each leaf, mean spectral values of the 204 wavelengths were calculated, and
227 the dataset submitted for the artificial intelligence modelling was composed by 150 observations (*i.e.*
228 30 leaves for each class) \times 204 wavelengths. To do this, a single layer feed forward artificial neural
229 network (SLFN; 38) was designed using a one-hidden layer architecture with 40 nodes and sigmoid
230 activation functions and 5 SoftMax output neurons associated respectively with the following classes:
231 control, Fusarium, Rhizoctonia, Salinity stress and water stress. The artificial neural network (ANN)
232 was trained with Scaled conjugate gradient backpropagation algorithm [39] as implemented in the
233 deep learning MATLAB toolbox. The dataset was partitioned using 70 percent of the samples (105)
234 as training set and 30 per cent of the data set as test set (45). The test set was used to validate the
235 model. This partitioning was optimally chosen with the Euclidean distances calculated by the
236 algorithm reported by Kennard and Stone [40], selecting parameters without a priori knowledge of a
237 regression model. The cost function was minimized using the mean squared normalized error (MSE)
238 error performance function with a 10^{-10} threshold on the gradient.

239 The confusion matrix of the percentages of pixel classification for each leaf was produced and
240 an ANOVA test (H_0 : same mean) was performed to test the significance of differences between
241 classified pixels into classes.

242 In order to understand which frequency values, among the 204 considered in the absorbance
243 spectra, resulted to be more important in revealing the effects due to the presence of the different
244 stresses, a variable impact analysis was also conducted. The variable impact Δ^k for the k -th frequency
245 of the absorbance spectrum, was calculated in the following way. The complete data set (training set

246 + test set) was considered made of m absorbance spectra. Each spectrum can be thought as a row
 247 vector \mathbf{x} with n columns. The m spectra of the data set were stored in a matrix \mathbf{X} having m rows and
 248 n columns. As a result, a generic element of that matrix is X_m^n . The spectra belong to 5 classes
 249 represented by a vector \mathbf{y} . The output \mathbf{y} is indeed a column vector with m rows obtained by applying
 250 the operator N to the matrix \mathbf{X} :

$$251 \quad \mathbf{y} = N\mathbf{X} \quad (6)$$

252 In particular, $y = NX_k$ is a row number representing the class of the k -th element of the data
 253 set and X_k is the row vector representing the k -th row of the \mathbf{X} matrix (*i.e.*, the k -th spectrum of the
 254 data set). In this study N is a nonlinear operator and can be expressed as the tensor product of several
 255 linear and nonlinear operators. It represents, indeed, the converged SLFN. As a first step the first row
 256 X_1 of the matrix \mathbf{X} was considered and the operator N was applied m times to X_1 , choosing each time
 257 a different value of X_1^1 among the values of X^1 , the latter being the first column of the matrix \mathbf{X} . The
 258 1-case dependent variable impact of the first variable Δ_1^1 was then defined as:

$$259 \quad \Delta_1^1 = \max_{X_1^1 \in X^1} NX_1 - \min_{X_1^1 \in X^1} NX_1 \quad (7)$$

260 This procedure was repeated for all the n variables over all the data set. The i -case dependent
 261 variable impact of the k -variable Δ_i^k was then defined as:

$$262 \quad \Delta_i^k = \max_{X_i^k \in X^k} (NX_i) - \min_{X_i^k \in X^k} (NX_i) \quad (8)$$

263 Finally, the variable impact of the k -th frequency of the spectra was then obtained by averaging
 264 Δ_i^k over all the m cases of the data set:

$$265 \quad \Delta^k = \frac{\sum_{i=1}^m \Delta_i^k}{m} \quad (9)$$

266 The procedure described above is similar to that implemented in the Palisade software. The
 267 model was developed by using the MATLAB 9.7 R2019b Deep Learning Toolbox.

268 Once the network has been trained, the artificial intelligence model was applied on each
 269 hyperspectral image, classifying pixel by pixel each entire image. The result of this operation was
 270 quantitative (i.e., counting the pixels belonging to each class).

271

272 Results

273 *Water status of plants*

274 Plant water status of wild rocket was determined at the end of the experimental period in the
 275 five treatments (Fig. 1). There were noticeable differences in water relations parameters, together
 276 with dry matter and SPAD index of wild rocket plants due to both abiotic and biotic stress sources
 277 applied (Table 1).

278

279 **Table 1.** Effects of the abiotic and biotic stresses sourced by *Fusarium oxysporum* f.sp. *raphani* (F),
 280 *Rhizoctonia solani* (R), salinity (S) and water deficit (W) compared to a healthy control (C), on the
 281 relative water content (RWC; %), plant water potential (Ψ_{pl} ; MPa), osmotic potential at full turgor
 282 ($\Psi_{100\pi}$; MPa), electrolyte leakage (EL; %), dry matter (DM; %) and SPAD index (SPAD) in wild
 283 rocket seedlings at the end of the experimental period.

284

Treatments	RWC (%)		Ψ_{pl} (MPa)		$\Psi_{100\pi}$ (MPa)		EL (%)		DM (%)		(SPAD)	
C	89.29	a	-0.58	a ²	-0.82	b	52.19	bc	9.95	b	50.85	a
F	83.60	b	-0.69	b	-0.68	a	83.22	a	11.15	ab	44.72	b
R	84.40	b			-0.93	c	79.07	a	13.81	a	45.01	b
S	78.51	b	-0.72	b	-0.84	b	47.88	c	10.63	b	50.48	a
W	71.92	c	-1.0	c	-0.84	b	72.08	ab	15.43	a	47.26	ab
P	*** ¹		***		***		**		***		**	

285

¹ ** and *** denote statistical significance at the, 0.01 and 0.001 levels of significance, respectively.

286

² Different letters in the columns indicate significant differences between treatments, according to the Duncan's test ($P < 0.05$).

287

288

289 RWC and Ψ_{pi} behaved in a similar way, showing C plants the highest values of these parameters
290 (90% and -0.58 MPa), while plants under water deficit showed the lowest RWC values (72%) and
291 the most negative Ψ_{pi} (-1 MPa). For plants exposed to the other treatments (F, R, and S) intermediate
292 values were found, although Ψ_{pi} was not measured in plants infected with *R. solani* due to its stem
293 weakness. The lowest values of Ψ_{100s} were obtained in plants infected with *R. solani*, (-0.93 MPa)
294 followed by abiotic stresses and C plants (on average -0.83 MPa), while highest values were found
295 in plants infected with *F. oxysporum* f. sp. *raphani* (-0.68 MPa) (Table 1).

296 C and S treatment plants showed the lowest percentages of DM and EL (on average 50%) and
297 the highest SPAD values (Table 1). The opposite happened for the biotic stressors and W treatments,
298 which showed the highest EL percentages (on average 81% and 72% for biotic and W treatments,
299 respectively) and the lowest SPAD values. The response of dry matter differed between biotic and W
300 treatments, since plants under water stress showed the highest value of this parameter (15%), and in
301 plants under biotic stressors this significant increase was only significant for plants infected with *R.*
302 *solani* (\approx 14%) and not for those infected with *F. oxysporum* f. sp. *raphani* (11%) (Table 1).

303 In Figure 2 the colour coordinates, lightness, chroma, and hue angle which characterized leaf
304 colour are showed. Lightness was increased with biotic stresses, although more pronounced in plants
305 infected with *F. oxysporum* f. sp. *raphani*, compared C and abiotic stressors ones (Fig. 2a). For
306 Chroma, the highest values were found in plants with F treatment and the lowest in S ones, the other
307 treatments showing intermediate values (Fig. 2b). Hue angle decreased with biotic stresses in
308 comparison with abiotic stresses and C treatments, and these values were statistically equal for the
309 two fungal infections (Fig. 2c).

310 Chlorophyll *a* and carotenoids content showed a significant decrease only in plants infected
311 with Fusarium compared to the other treatments, where values of these parameters were statistically
312 equal (Fig. 3a, c). Reductions were on average of 50 and 20 $\mu\text{g g}^{-1}$ for chlorophyll *a* and carotenoids,
313 respectively. No differences among treatments were observed for chlorophyll *b* content (Fig. 3b).

314

315 *VIS-NIR reflectance patterns and vegetation indices*

316 Means of all pixel-wise spectral data from stressed and reference samples is showed in Figure
317 4, where an increased reflectance is highlighted around the photosynthetically active wavelengths in
318 the VIS region for the Fusarium and Rhizoctonia samples compared to the other treatments. Forty-
319 nine out of 54 hyperspectral vegetation indices considered in this study provided significant ($P \leq$
320 0.05) differences among treatments, in at least one case (Table S2). Twenty-four indices distributed
321 along the various target categories of plant vitality and vegetation, pigment content and
322 photochemical activity, were able to differentiate samples based on treatments. Interestingly, mSAVI,
323 G, LIC3, RARS gNDVI and NDVI displayed a treatment rank ordering in agreement with
324 physiological findings: Fusarium positioned at the opposite end of the control. WI was the lowest
325 under Rizhoctotnia, whereas DVI, SAVI, TSAVI and REP were it for Fusarium. VARIg and mCARI
326 were lower for S and W stresses, respectively, compared to the other treatments.

327

328 *Artificial intelligence modelling based on VIS-NIR spectral data*

329 The ANN trained model has a hidden layer size of 40 nodes and the algorithm converged after 955
330 iterations (3 seconds). Table 2 reports the characteristics and principal results of the ANN model used
331 to predict the stress from the 150 (VIS-NIR) spectral data. All the 105 spectra in the training set were
332 correctly classified. In testing, there were 12 misclassified spectra. The most misclassified stress was
333 W (5 misclassified samples) followed by F (3 misclassified samples), R and S (2 misclassified
334 samples). This was probably due to the spectral modification associated with the biological
335 consequences induced by the 4 stresses (stoma enclosure and temperature enhancement). The trained
336 ANN model was used as a pixel classifier on the multispectral images shown in Figure 5 where
337 different colors refer to the different classes.

338

339

340

341 **Table 2.** Characteristics and principal results (number of cases, training time, number of trials,
 342 percentage of bad predictions) of the SLFN model (training and internal test) in predicting the
 343 classification of the different treatments: control, Fusarium, Rhizoctonia, salinity and water deficit.

Training (70%)	
Number of cases	105
Number of hidden layers	1
Number of nodes	40
Training time	00:00:03
Number of trials	955
% bad predictions	0.0
<i>Testing (30%)</i>	
Number of cases	45
% bad predictions (N)	26.7 (12)

344

345 The confusion matrix of the percentages of pixel classification for each leaf is reported in Table
 346 3. The results of the ANOVA test (H_0 : same mean) showed how percentages of correctly classified
 347 pixels (positioned on the main diagonal of the Table 3) are always significantly higher than those
 348 wrongly classified.

349

350 **Table 3.** Confusion matrix of the percentages of pixel classification for each leaf class, i.e. stresses
 351 sourced by *Fusarium oxysporum* f.sp. *raphani* (F), *Rhizoctonia solani* (R), salinity (S) and water
 352 deficit (W) compared to a healthy control (C). ANOVA test (H_0 : same mean) results are reported
 353 using letters. Horizontally reading, equal letters correspond to no significant differences.

Treatments	Output				
	C	F	R	S	W
C	0.34 ± 0.10a	0.14 ± 0.07bc	0.12 ± 0.06c	0.19 ± 0.09b	0.21 ± 0.10b
F	0.05 ± 0.06c	0.56 ± 0.18a	0.16 ± 0.10b	0.06 ± 0.08c	0.18 ± 0.09b
R	0.08 ± 0.11c	0.22 ± 0.13b	0.45 ± 0.19a	0.08 ± 0.09c	0.18 ± 0.11b
SA	0.16 ± 0.11bc	0.15 ± 0.08c	0.14 ± 0.08c	0.34 ± 0.08a	0.20 ± 0.09b
WS	0.10 ± 0.07c	0.21 ± 0.14b	0.20 ± 0.13b	0.12 ± 0.09c	0.37 ± 0.12a

354

355 Observing the variable impact of spectral values, the most informative ones ranged within the
 356 following frequencies: 492-504 nm, 540-568 nm, 712-720 nm, 855 nm, 900-908 nm and 970 nm (Fig.
 357 6).

358

359 **Discussion**

360 Wild rocket baby-leaf is currently cultivated under very intensive greenhouse farming
361 essentially conditioned by relative humidity, fertigation, coastal soils and climate conditions, high
362 seeding density, and the continuous re-cropping in monosuccession, which potentially expose crops
363 to various biotic and/or abiotic stressors [41, 42]. The success of the managing strategies aimed at
364 assuring the best growth settings of the plants in order to reach the expected yields and earns, relies
365 on the early identification and on the targeted control of the etiological factors. Hyperspectral imaging
366 has been proposed, in recent years, for the rapid, non-destructive and object-focused classification of
367 plant physiological changes induced by the detrimental pathogen pressure and/or by plant growth
368 adverse environmental conditions [43]. The digital checking of the plant stress onset may improve
369 control methods efficacy by supporting farmer's decisions and quickly advising for and precise
370 intervention.

371 The current study focused on wild rocket deficiencies possibly caused by two soil-borne
372 pathogens, *F. oxysporum* f. sp. *raphani* and *R. solani*, and two abiotic stress-sources ascribed to water
373 deficit and salinity. The related aboveground symptoms may be not properly distinguishable by visual
374 tracking, and, especially in the early stages of their evolution, they can be confused among them,
375 delaying the application of most appropriate remedies. Root system is the major target of salt and
376 drought in the soil and can modulate physiological responses in the aerial part of plant, translating
377 into non-specific symptoms as result of the affected nutrient flux and water relations [44].

378 In the current study, water relations of wild rocket plants, were mainly affected by abiotic stress, as
379 shown by decreases in RWC and Ψ_{pi} , but with higher impact for water deficit, where higher EL and
380 DM were also found. The rates of passive ion leakage (EL) from stress damage plant tissue are used
381 as a measure of alterations in membrane permeability, and for characterizing cell membrane plants
382 stability [45], suggesting that plant cell membranes were damaged under water deficit in this study.
383 Instead, plants under salinity conditions showed the same values of EL and DM that control ones,

384 and values of RWC and Ψ_{pl} lower than in C plants but statistically higher than in W treatment, due on
385 one hand to the short-term exposure to sodium chloride and on the other for the known tolerance of
386 rocket plants to salinity. Barbieri et al. [46], established an EC of 5 dS m⁻¹ in the nutrient solution
387 for an improvement in dry matter content, visual appearance, carotenoids and phenols of *E. sativa*,
388 while Bonasia et al. [47] give a threshold of the EC of 3.5 dS m⁻¹ in the nutrient solution for growing
389 wild rocket, which enhances leaf consistence, visual quality, and antioxidant compounds, and reduces
390 the nitrate content, without dry weight decrease. Indeed, saline stress have been applied by other
391 authors [48, 49] to improve the quality of vegetables, increasing the production of secondary
392 metabolites and sensorial traits and reducing anti-nutritional factors.

393 At the beginning of the Rhizoctonia basal rotting and Fusarium wilting, wild rocket plants
394 decreased their RWC and Ψ_{pl} (no data for Rhizoctonia disease) as well as it happened under abiotic
395 stresses. However, the Ψ_{100s} highlights a variable response among biotic factors, likely in relation to
396 the specificity of stressors mechanisms in damaging plant. The lowest values of Ψ_{100s} found in plants
397 infected with *R. solani*, comparing to other treatments, are indicative of osmotic adjustment, which
398 involves the net accumulation of solutes in a cell in response to a stress. Pérez-Pérez et al. [50] stated
399 that, consequently, the osmotic potential decreases, which in turn attracts water into the cell and
400 enables turgor to be maintained, although it was not possible to know the entity of plant stress since
401 it was not able to measure Ψ_{pl} in plants infected with *R. solani*. *F. oxysporum* f. sp. *raphani* enters the
402 host through the root and therefore develops endophytically until invades the xylem vessels, not
403 externally as happens with *R. solani*. Plants under Fusarium infection use as defence reaction the
404 production of physical barriers (i.e. gums) in order to block pathogen progression. Nevertheless,
405 vessel occlusion prevents the mycelium spreading, but also dramatically reduces the entrance of
406 solutes and water from root medium. As consequence their Ψ_{100s} did not decrease, nay values were
407 even higher than in control plants, suggesting a decrease of turgor potential (Ψ_t). Ψ_{pl} reflect the
408 symptoms of a water stress in the plant, but the relative contribution of the two main components (Ψ_s

409 and Ψ_t) to leaf water potential can experiment significant differences depending on the species and/or
410 treatment (stress) applied. Increased resistance of water flow from the substratum to the plant has
411 been observed in numerous species, especially under water stress conditions [51-53] and, in our case
412 this phenomenon could have reduced water transport towards the leaves due to the gradual closure of
413 xylem vessels due to *Fusarium* mycelium. Furthermore, both fungi strongly increased the electrolyte
414 leakage suggesting that stress-induced injury of cell membrane due to the oxidative damage, could
415 be related with the turgor loss.

416 Colour space coordinate values suggested that the leaves of plants under biotic stresses were a
417 yellower green (decreased hue angle), lighter (increased lightness) and gain in saturation (increased
418 chroma) compared with the leaves of C plants and those of abiotic stresses. This indicates that leaf
419 colour is modified by biotic stresses, and that, the discoloration resulting from both pathogens could
420 be due to chlorophyll breakdown as also suggested by the lowest SPAD values. However,
421 spectrophotometric assessments of pigments revealed significant reduction of the chlorophyll *a* and
422 *b*, and carotenoids content only in *Fusarium* diseased plants with regard of the compared treatments.
423 As it was demonstrated on tomato, xylem flux decline due to *Fusarium* wilting is very detrimental for
424 the photosynthetic system deprived of active pigments already at 6-8 days after infection started [54].
425 In contrast, *R. solani* did not affect chlorophyll concentration, as it was previously observed on
426 Chinese cabbage in a comparable time frame [55].

427 Some vegetation indices, calculated on the bases of the reflectance data, targeted on plant
428 vitality and chlorophyll content (NDVI and G), healthiness (mSAVI), and carotenoid content (LIC3
429 and RARS), proved to be in agreement with the patterns of variations observed for the physiological
430 parameters. Vegetation indices have been currently proposed as reliable method to classify plant
431 diseases and stresses by synthesizing hyperspectral outputs with the purpose of an early identification
432 [56]. They elaborate in narrower spectrum data ranges that carry biological meanings. In the current
433 study, for the first time, an artificial intelligence model based on the leaf hyperspectral reflectance
434 has been developed obtaining very good performances (only 26.7% of bad predictions). The dataset

435 was further mined with and promising insights to perform an accurate classification of symptom
436 source were obtained. The Artificial Neural Network modelling by pixel classifier managed to
437 separate wild rocket treatments based on the significant differences occurring in the leaf hyperspectral
438 signatures attributing higher discriminative weight to restricted wavelength intervals 492-504 nm,
439 540-568 nm and 712-720 nm, respectively falling in the blue, green and red VIS ranges and to 855
440 nm, 900-908 nm and 970 nm of the NIR spectrum. The ANN-filtered those reflectance regions, which
441 have been previously individuated to refer about plant stresses since they were related to leaf pigments
442 and cell structures changes. Narrowed blue and red ranges were previously found to be indicative of
443 shifts in leaf absorption by pigments [57] and in chlorophyll content [58] due to ongoing plant
444 stresses. Recently, a trained Random Forest model have been applied to scout non-redundant bands
445 falling into violet-blue light region able to classify powdery mildew-affected wild rocket leaves [59].
446 Reflectance wavebands within green pigment indices selected by optimal wavelet-based regression
447 model as predictor of active chlorophyll quantification [60]. Gitelson et al. [61] exploiting the high
448 sensitivity of the VIS green channel to chlorophyll *a* concentration tailored the green-NDVI index
449 with the purpose of monitoring photosynthetic activity and related-plant stresses. As matter of the
450 fact, in this study, gNDVI values proved to be gradated on the stress magnitude of treatments as well
451 as G index, calculated as $R_{550\text{-to-}R_{670}}$ simple ratio, LIC3 (R_{440}/R_{730}) and RARS (R_{746}/R_{513}). Instead,
452 PRI, calculated on R_{531} and R_{570} , clearly separated stressed from non-stressed samples at the very
453 precocious stages of the current experimental conditions. Photochemical reflectance index has been
454 proposed by Meroni et al. [62] for the remote early detection of an incipient ozone stress on white
455 clover, while on barley, it has detected drought stress at 8 days after the complete water deprivation
456 [63].

457 On the other hand, reflectance in the 950–970 nm region was found indicative of plant water
458 status in gerbera, while, on the same crop, the $R_{970\text{-to-}R_{900}}$ ratio closely tracked shifts in relative water
459 content [64]. As matter of fact, PRI and WI were found among the most sensitive hyperspectral

460 indices for the water status evaluation of tomato under different irrigation regimes [65] and of wheat
461 under genetic selection for drought resistance [66].

462 Similar findings were previously observed on sugar beet, where nematode-induced posterior
463 drought and *Rhizoctonia* wilting were significantly classified by canopy imaging with the
464 carotenoids/chlorophyll *a* dependent SIPI (structural independent pigment index), SRPI (simple ratio
465 pigment index), and WI (water index) indices [67]. Analogously, Susič et al. [68] used a partial least
466 square-support vector machine (PLS-SVM) approach to individuate wavebands in shortwave infrared
467 spectral regions high discriminatory of tomato canopy response to root-knot nematodes and soil water
468 deficiency. In this regard, the experimental pipeline able to classify stresses just after 12 days from
469 the beginning has been described by Žibrat et al. [69]. Applying a linear regression analysis,
470 Manganiello et al. [70] recently found interactive combination of hyperspectral vegetation indices
471 TSAVI + SAVI and TVI able to predict baby-leaf infection levels of three different soil-borne
472 pathogens, including *R. solani* on wild rocket, as modulated by treatments with *Trichoderma* spp.
473 biocontrol agents.

474 Findings of the present work constitute a precious hyperspectral data collection about the
475 identification of putative bands for the wild rocket multiple stresses monitoring, to employ, in
476 perspective, as input light-filter of cheaper and feasible devices for the precision stress assessment in
477 this species, and extendable to other leafy vegetables. This study provided significative spectral
478 information linked to the assessed stresses as corroborated by the physiological measurements. The
479 potential of the hyperspectral technology to obtain innovative and high-performing detection tools is
480 here valorised by artificial neural network-based model that allowed the identification of narrowed
481 spectral regions and single wavelengths very sensitive to precocious shifts in reflectance profiles of
482 stressed wild rocket due to changes in photosynthetically active pigments (VIS: 492-504, 540-568
483 and 712-720 nm) and in water status (NIR: 855, 900-908 and 970 nm). The information is spendable
484 in the field of the technological innovation regarding to the conception of new optoelectronic probes
485 to serve farmers in supporting their choices.

486

487 **Availability of data and materials**

488 The datasets and field sampling data used in this study are available from the corresponding author
489 on reasonable request.

490

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728 *Contributions*

729 AN, AP and CP designed the experiments. AP, CP, AN, MC, LO, FP performed the
730 experiments and determination. AN, NC, CP, CC, LO, and FP analyzed and elaborated the
731 data. AN, CC and CP wrote the paper. CP and TC contributed to the writing-reviewing and
732 editing, supervision, project administration, funding acquisition.

733 All authors read and approved the final manuscript.

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737 **Ethics declarations**

738 *Ethics approval and consent to participate*

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740 *Consent for publication*

741 All authors agree to publish the final manuscript.

742 *Competing interests*

743 The authors declare that they have no known competing financial interests or personal
744 relationships that could have appeared to influence the work reported in this paper.

745

746 **Figure legends**

747

748 **Fig. 1.** Wild rocket at the end of exposure to stresses sources, *Fusarium oxysporum* f.sp. *raphani* (F),
749 *Rhizoctonia solani* (R), salinity (S) and water deficit (W) compared to a healthy control (C).

750

751 **Fig. 2.** Effects of the abiotic and biotic stress on the and colour parameters (L, CHROMA and HUE)
752 in wild rocket seedlings at the end of the exposure period to stresses sourced by *Fusarium oxysporum*
753 f.sp. *raphani* (F), *Rhizoctonia solani* (R), salinity (S) and water deficit (W) compared to a healthy
754 control (C). Each histogram represents the mean of 6 values, and vertical bars indicate standard errors.
755 Different letters in histograms indicate significant differences between treatments, according to the
756 Duncan's test ($P < 0.05$). ** and *** denote statistical significance at the 0.01 and 0.001 levels of
757 significance, respectively.

758

759 **Fig. 3.** Effects of the abiotic and biotic stress on chlorophyll and carotenoids content (Chl a, Chl b
760 and carotenoids; $\mu\text{g g}^{-1}$ DW) in wild rocket at the end of the exposure period to stresses sourced by
761 *Fusarium oxysporum* f.sp. *raphani* (F), *Rhizoctonia solani* (R), salinity (S) and water deficit (W)
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763 indicate standard errors. Different letters in histograms indicate significant differences between
764 treatments, according to the Duncan's test ($P < 0.05$). ns and *** denote not significant and statistical
765 significance at 0.001 level of significance, respectively.

766

767 **Fig. 4.** Hyperspectral reflectance signature in VIS-NIR spectral region of leaves from wild rocket
768 exposed to various stresses sourced by *Fusarium oxysporum* f.sp. *raphani* (F, green), *Rhizoctonia*
769 *solani* (R, blue), salinity (S, yellow) and water deficit (W, pink) compared with healthy control (C,
770 red).

771

772 **Fig. 5.** Example of single pixel classification. Original image (in RGB; A) and classified image (B)
773 through the trained ANN model used as a pixel classifier on the multispectral images. In B the colors
774 refer to the different classes, i.e. wild rocket exposed to stresses sourced by *Fusarium oxysporum* f.sp.
775 *raphani* (F, green), *Rhizoctonia solani* (R, blue), salinity (S, yellow) and water deficit (W, pink)
776 compared with healthy control (C, red).

777

778 **Fig. 6.** Mean VIS-NIR spectral data (left side axis). Variable impact (right side axis) evidenced with
779 blue line.

780

781

782

Figures



Figure 1

Wild rocket at the end of exposure to stresses sources, *Fusarium oxysporum* f.sp. *raphani* (F), *Rhizoctonia solani* (R), salinity (S) and water deficit (W) compared to a healthy control (C).

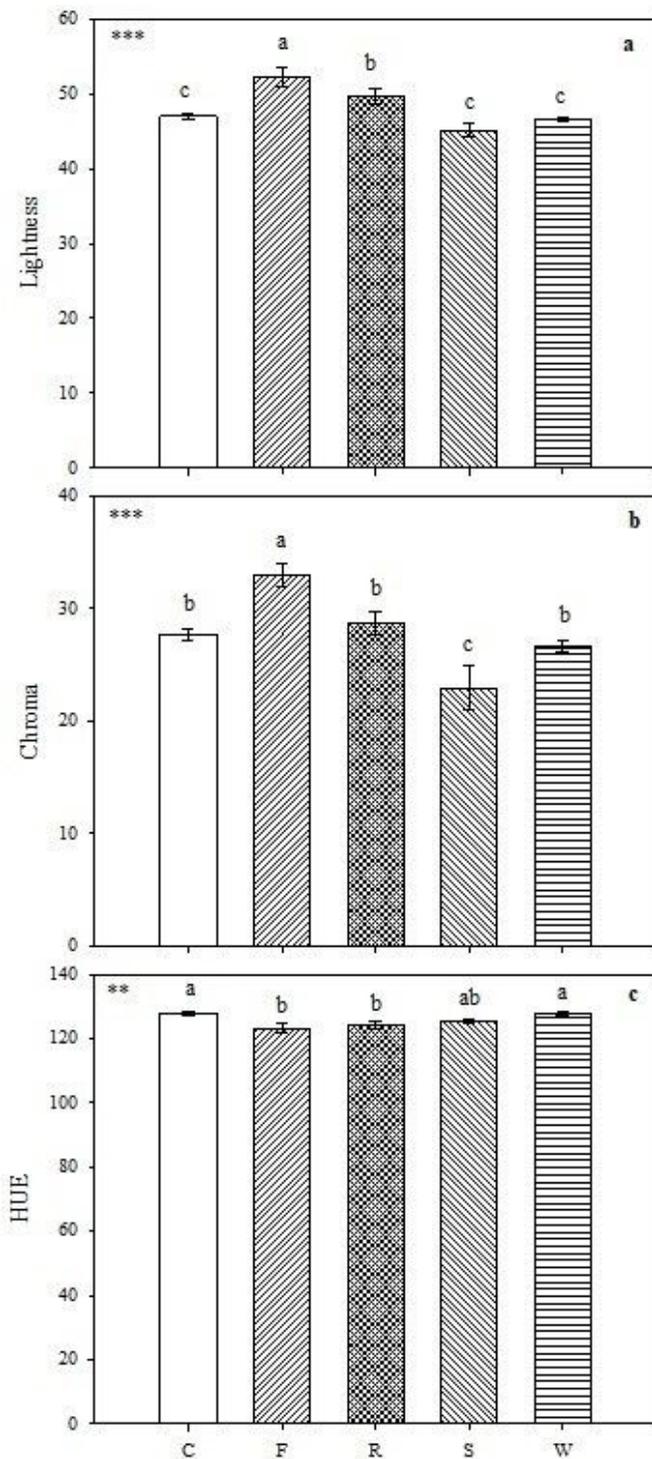


Figure 2

Effects of the abiotic and biotic stress on the and colour parameters (L, CHROMA and HUE) in wild rocket seedlings at the end of the exposure period to stresses sourced by *Fusarium oxysporum* f.sp. *raphani* (F), *Rhizoctonia solani* (R), salinity (S) and water deficit (W) compared to a healthy control (C). Each histogram represents the mean of 6 values, and vertical bars indicate standard errors. Different letters in

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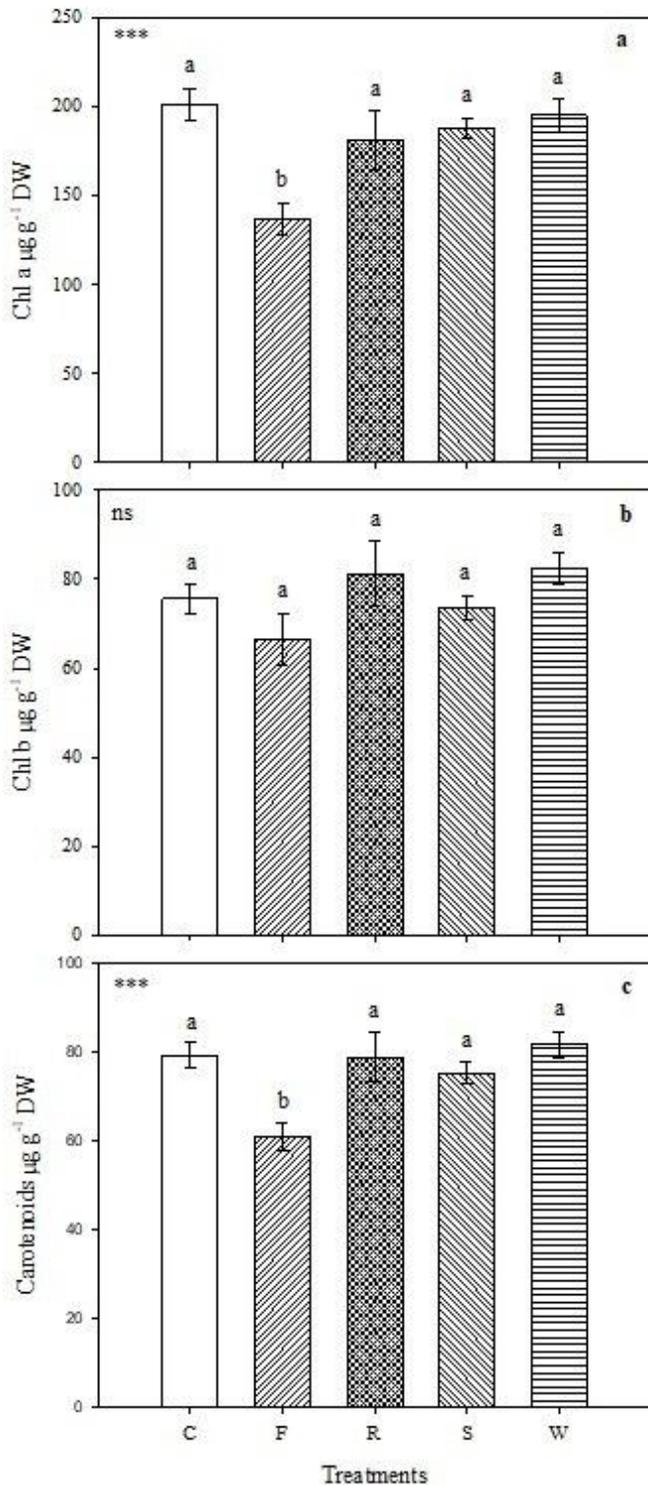


Figure 3

Effects of the abiotic and biotic stress on chlorophyll and carotenoids content (Chl a, Chl b and carotenoids; $\mu\text{g g}^{-1}$ DW) in wild rocket at the end of the exposure period to stresses sourced by *Fusarium oxysporum* f.sp. *raphani* (F), *Rhizoctonia solani* (R), salinity (S) and water deficit (W) compared to a

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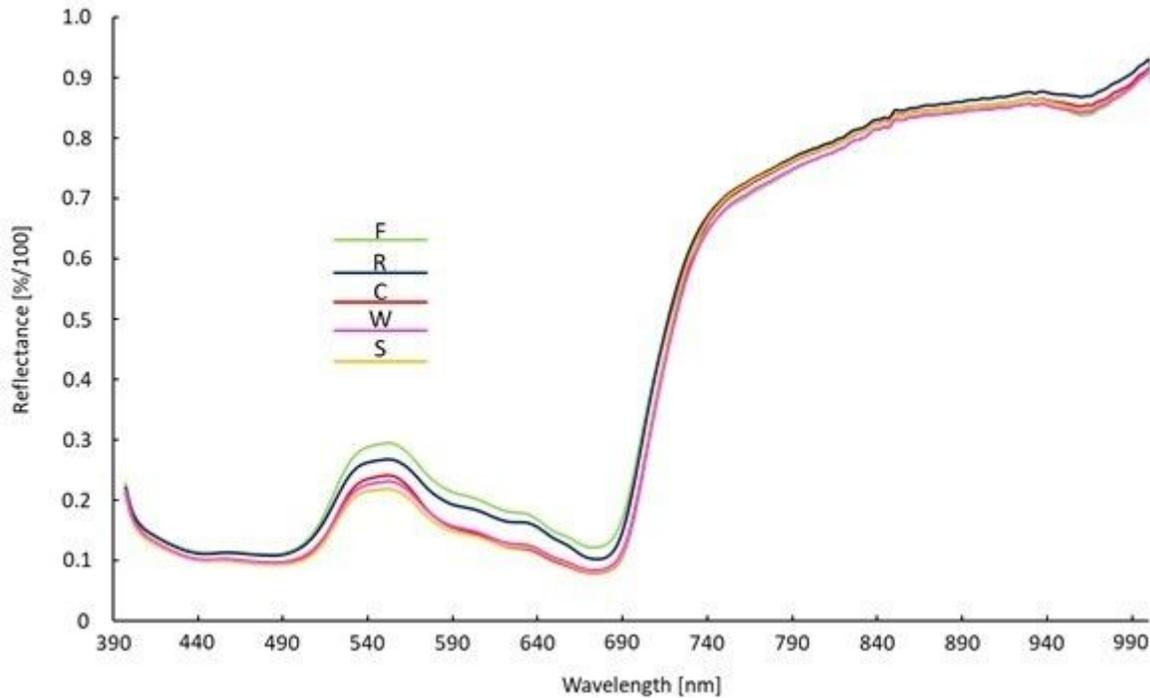


Figure 4

Hyperspectral reflectance signature in VIS-NIR spectral region of leaves from wild rocket exposed to various stresses sourced by *Fusarium oxysporum* f.sp. *raphani* (F, green), *Rhizoctonia solani* (R, blue), salinity (S, yellow) and water deficit (W, pink) compared with healthy control (C, red).

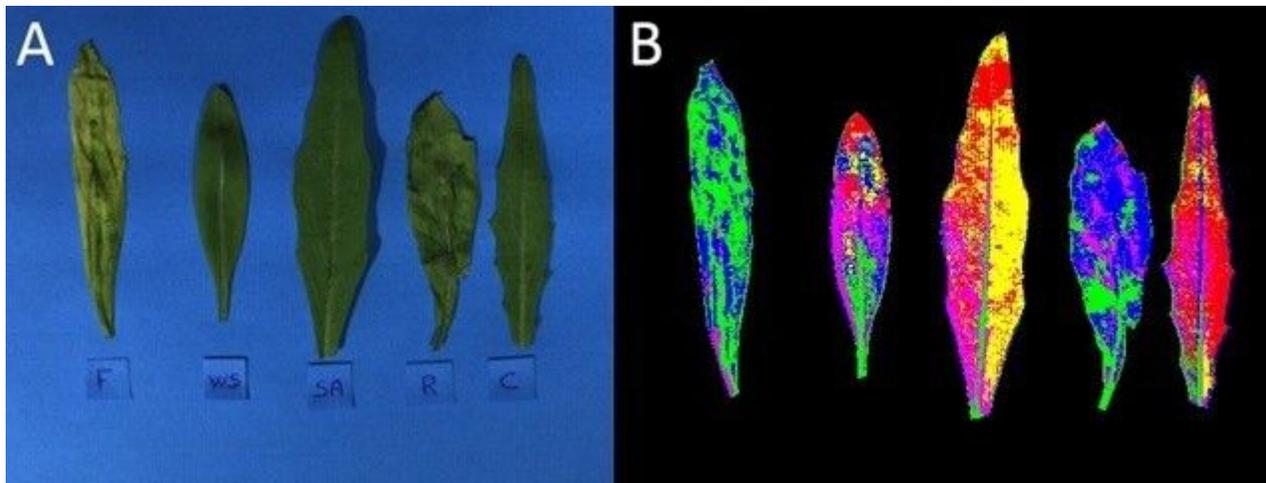


Figure 5

Example of single pixel classification. Original image (in RGB; A) and classified image (B) through the trained ANN model used as a pixel classifier on the multispectral images. In B the colors refer to the

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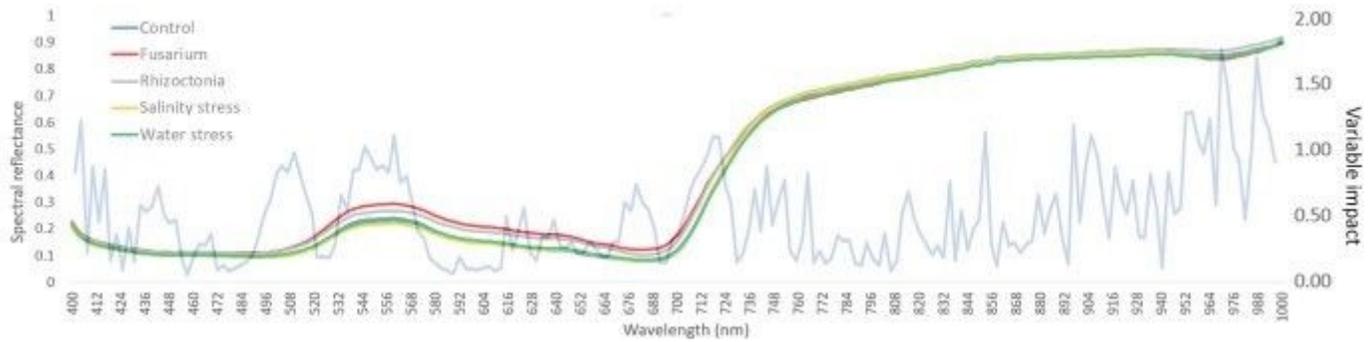


Figure 6

Mean VIS-NIR spectral data (left side axis). Variable impact (right side axis) evidenced with blue line.

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