

Analysis on the Temporal and Spatial Characteristics of the Shallow Soil Temperature of the Qinghai-Tibet Plateau

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1 **Analysis on the Temporal and Spatial Characteristics of the Shallow**
2 **Soil Temperature of the Qinghai-Tibet Plateau**

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21 **Availability of data and material**

22 The observational data in this work are come from the National Climate Centre, we
23 thank Cunjie Zhang provided this dataset. The reanalysis data comes from European
24 Center for Medium-Range Weather Forecasts, ECMWF ([ERA5 hourly data on single
25 levels from 1979 to present \(copernicus.eu\)](https://era5.copernicus.eu/)).

26 **Declarations**

27 **Conflict of interest** The authors declares that they have no competing interest.

28 **Abstract**

29 Shallow soil refers to the soil layer within 50 cm underground. Shallow soil
30 temperature (ST) affects many processes that occur in the soil. Therefore, the study of
31 shallow ST is of great significance in understanding energy, hydrological cycles and
32 climate change. This work collected the observational data from 141 meteorological
33 stations on the Qinghai-Tibet Plateau from 1981 to 2020, analyzed the ST as well as
34 its temporal and spatial change characteristics at different levels. The results show that:
35 1) The shallow ST has a gradually increasing trend from north to south, from west to
36 east. From the perspective of time characteristics, the increasing trend is obvious. The
37 temperature increase of 0-20 cm (the surface layer of the shallow soil) is roughly the
38 same. The average annual is 9.15-9.57 °C, the interdecadal variabilities are 0.49-0.53
39 K/10a. The average annual of 40 cm (the bottom layer) is 8.69 °C, the interdecadal
40 variability reaches by 0.98 K/10a; 2) Judging from the 12 regions of 20 cm, the
41 temperature increase trend is obvious, but there are certain regional differences. The
42 average value ranges from 4.3 °C (region 4, Qaidam Plateau) to 18.1 °C (region 10,
43 Southeast Qinghai-Tibet Plateau), the difference is nearly 14 K. The standard
44 deviation ranges from 0.38 K (region 10) to 0.82 K (region 11, Northern Qiangtang
45 Plateau); 3) The results of the reanalysis data are lower than the observational data.
46 This work is significant for understanding the characteristics of the ST evolution and
47 the land-atmosphere interaction on the Qinghai-Tibet Plateau.

48 **Keywords:** Qinghai-Tibet Plateau · Shallow soil · Soil temperature · Climate
49 change · Land surface process · land-atmosphere interaction

50 **1. Introduction**

51 The Qinghai-Tibet Plateau (QTP) is located in western China, including Tibet,
52 Qinghai, southern Xinjiang, western Sichuan, southern Gansu, and northwestern
53 Yunnan. The QTP spans more than 2500 km from east to west, and is about 1,000
54 kilometers from north to south. The total area is nearly 2.5×10^6 km², accounting
55 for about one-fourth of China's total area. It has an average elevation of 4000 m,
56 which accounts for almost one-third of the thickness of the troposphere. The QTP,
57 often referred to as the *Roof of the World* or *Third Pole*, is known for its complex

58 terrain and high altitude (Yang et al., 2014). QTP plays an important role in climate
59 change and land-atmosphere interaction (Tang et al., 1979; Liu et al., 2000; Ma et al.,
60 2011), its climate and ecological environment are jointly affected by westerly winds
61 and Asian monsoons. In turn, the Qinghai-Tibet Plateau has also affected the regional
62 and global climate change (Zhou et al., 2009). For example, the snow cover in winter
63 and spring on the Qinghai-Tibet Plateau has a profound impact on temperature and
64 precipitation in China and even in Asia (Wang et al., 2017; Wu et al., 2003). Changes
65 in summer heat sources on the Qinghai-Tibet Plateau have a key impact on the
66 evolution of the Asian monsoon (Duan et al., 2013; Park et al., 2012; Jiang et al.,
67 2016). Many studies have shown that QTP is one of the most sensitive regions to
68 global climate change (Su et al., 2011; Zhao et al., 2010). In recent decades, as the
69 global climate has changed, the energy and water exchange of QTP has also changed
70 correspondingly (Kang et al., 2010).

71 As an important part of the underlying surface of the land, soil is the lower
72 boundary of material and energy exchange in the earth-atmosphere system (Dickinson,
73 1995). Soil temperature (ST) is one of the important parameters to characterize the
74 thermal properties of soil, and it plays an important role in the research of many
75 related fields (Huang et al., 2014). In terms of energy cycle, soil temperature affects
76 climate change by affecting changes in surface energy. Therefore, the diagnosis and
77 prediction of ST are important scientific and technical issues in land surface process
78 models, numerical weather prediction and short-term climate prediction (Holmes et al.,
79 1998). In terms of water cycle, ST greatly affects the process of sensible heat, latent
80 heat and surface evapotranspiration. Changes in ST can further affect the temperature
81 and precipitation of the Qinghai-Tibet Plateau and East Asia through the exchange of
82 water between the atmosphere and the surface (Wang et al., 2013; Yang et al., 2007).
83 In agricultural research, soil temperature is a driving environmental factor that affects
84 crop growth, fertilizer decomposition and organic matter accumulation (Mackay et al.,
85 1984; Kirschbaum, 1995). Considering meteorological research, the change of ST is a
86 reliable indicator reflecting climate change. For example, the increase of ST in

87 permafrost regions is an important indicator of permafrost degradation (Cheng et al.,
88 2007; Luo et al., 2018b; Peng et al., 2016). The shallow ST is also one of the key
89 factors of precipitation. Because the heat in the shallow soil can be easily released
90 into the atmosphere, the abnormality of the shallow ST will affect the short-term
91 weather process (Hu et al., 2005).

92 In view of the importance of soil temperature, many scholars have done a lot of
93 work. In terms of the research on the temporal and spatial characteristics of soil
94 temperature, ST has great differences on different time scales (daily, monthly, yearly)
95 (Douville et al., 2016; Wu et al., 2013; Krarti et al., 1995). For example, ST has
96 greater variability in the warmer months of the year (Kunkel et al., 2016; Liang et al.,
97 2014). Snow cover in winter will affect the change of ST in high latitude areas
98 (Mellander et al., 2007; Wundram et al., 2010). The ST in summer is greater than that
99 in winter, and is positively correlated with soil depth (Samadianfard et al., 2018).
100 Spatially, the relationship between the change of ST in different ecosystems is very
101 different with altitude, slope, and vegetation cover types (Liu et al., 2011; Paul et al.,
102 2004). Luo et al. (2013) showed that the ST in tropical rain forests is greater than that
103 in temperate spruce forests, semi-humid and semi-arid grasslands, while the
104 variability of ST is just the opposite. Taking into account the impact of ST on climate
105 change, some scholars use continuously changing ST as boundary conditions in
106 climate models to make the simulation of convection more accurate, thereby
107 improving the simulation results of precipitation (Liu et al., 1999). Changes in soil
108 temperature cause significant impacts on the land surface energy and water balance,
109 and hence changes in weather and climate, surface and subsurface hydrology and
110 ecosystem (Zhu et al. 2018; Zhou et al. 2020). Tang et al. (1987) conducted a series of
111 research on the relationship between ST and precipitation, whose studies have shown
112 that there is a good corresponding relationship between ST and later precipitation, and
113 the ST at different levels has different continuity. The work of Qin et al. (2020)
114 showed that the increasing trend of shallow ST in the Qinghai-Tibet Plateau in spring
115 and summer is greater than that of deep soil; the temperature increase rate of shallow

116 soil in autumn and winter is significantly lower than that of deep soil; the significant
117 positive growth trend of the annual average ST indicates that the Qinghai-Tibet
118 Plateau has responded to climate warming in the past few decades, and it is also
119 regarded as one of the important indicators of permafrost degradation on the
120 Qinghai-Tibet Plateau.

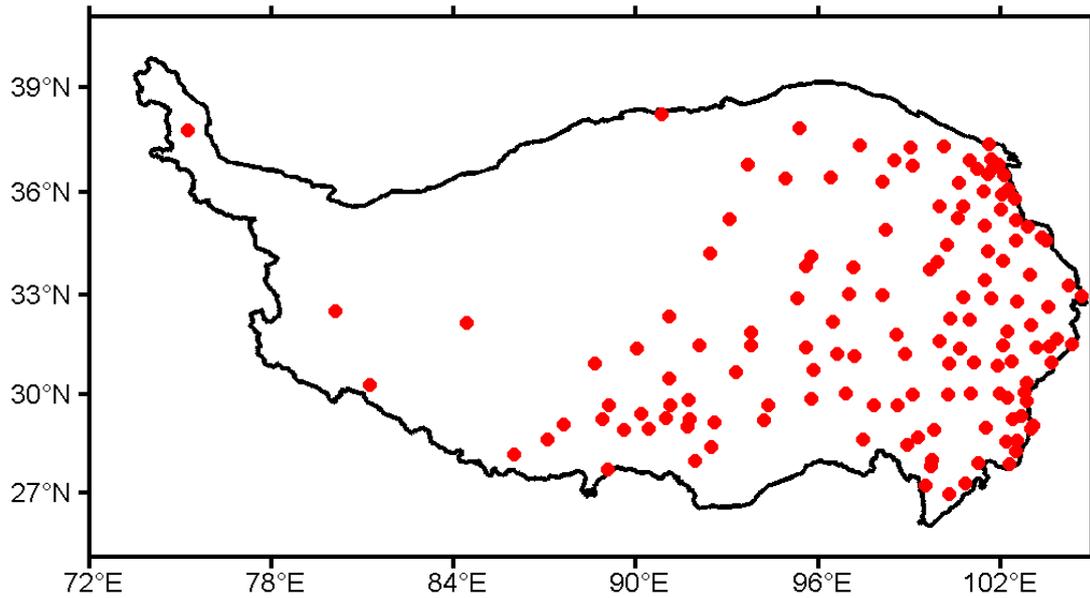
121 As one of the most sensitive areas of global climate change, the Qinghai-Tibet
122 Plateau is an indispensable part of understanding the degradation of frozen soil,
123 ecological environment and climate change. So far, most studies on ST in the
124 Qinghai-Tibet Plateau are based on model results or reanalysis data from different
125 sources. However, due to the harsh environmental conditions and the complex
126 topography of the Qinghai-Tibet Plateau, the model parameters of the Qinghai-Tibet
127 Plateau are not accurate enough. The soil temperature data obtained from the model
128 has limitations and uncertainties (Guo et al., 2016; Wu et al., 2018b). The reanalysis
129 data from different sources, because of the use of different assimilation systems, also
130 differs from each other. So the model results and reanalysis data need to be verified by
131 the site observation data. In this study, the shallow ST observation data from 141
132 meteorological stations on the Qinghai-Tibet Plateau from 1981 to 2020 are used to
133 analyze the ST and its temporal and spatial change characteristics at different levels of
134 the Qinghai-Tibet Plateau using fuzzy C-means clustering, linear fitting and linear
135 regression. The shallow ST on the Qinghai-Tibet Plateau is divided and compared
136 with the climate division of temperature and precipitation. This work is of great
137 significance for understanding the evolution characteristics of ST and its climatic
138 effects.

139 **2. Data and methods**

140 2.1. Data selection

141 The observational data in this work are the daily ST of 141 stations on the
142 Qinghai-Tibet Plateau during the period of January 1, 1981, to December 31, 2020 by
143 the National Climate Centre (Fig.1). The dataset has eight soil layers with depths of
144 ground surface, 5, 10, 20, 40, 80, 160, and 320 cm. In the northwestern part of the

145 Qinghai-Tibet Plateau, there are fewer ST observation sites.



146 Fig.1 Soil temperature observatories on the Qinghai-Tibet Plateau

147
148 The reanalysis data we used is the temperature of the soil at level 2 (in the middle
149 of layer 2), which comes from ECMWF ([ERA5 hourly data on single levels from
150 1979 to present \(copernicus.eu\)](https://copernicus.eu)). The ECMWF Integrated Forecasting System (IFS)
151 has a four-layer representation of soil, where the surface is at 0 cm: Layer 1: 0 – 7 cm,
152 Layer 2: 7 – 28 cm, Layer 3: 28 – 100 cm, Layer 4: 100 – 289 cm. ST is set at the
153 middle of each layer, and heat transfer is calculated at the interfaces between them. It
154 is assumed that there is no heat transfer out of the bottom of the lowest layer.

155 2.2. Method

156 2.2.1 Fuzzy C-means algorithm (FCM)

157 In this paper, the fuzzy C-means algorithm (FCM) is used to classify the annual
158 average soil temperature of 141 ST observation sites in 20 cm on the Qinghai-Tibet
159 Plateau from 1981 to 2020. Clustering is a method which divides a collection of
160 abstract objects into different classes or clusters according to a certain standard (such
161 as distance criterion, that is, the distance between different data), so that the similarity
162 of data objects in the same cluster and the difference between different clusters is as
163 great as possible (Saxena et al., 2017). The main idea of the FCM is to divide a
164 number of L-dimensional vectors into C fuzzy groups, determine the degree of
165 membership of each category by distance, and continuously update the membership

166 degree as well as clustering center of image pixels to minimize the objective-function
 167 and complete pixel classification and image segmentation (Bezdek et al., 1984). The
 168 pixel membership degree is used to describe the degree to which the pixel belongs to
 169 a certain category, and the value range is [0,1]. The objective-function and restriction
 170 of the FCM algorithm are:

$$171 \quad J_{FCM} = \sum_{i=1}^C \sum_{j=1}^N u_{ij}^m d_{ij}^2(x_j, v_i), \quad \sum_{i=1}^C u_{ij} = 1 \quad (1)$$

172 $u_{ij} = u_j(x_j)$ represents the degree to which the pixel gray x_j belongs to category i ,
 173 m is the fuzzy weighting coefficient, often taken as 2, $v_i = \{v_1, \dots, v_c\}$ represent
 174 the i -th cluster centers, $d_{ij}(x_j, v_i) = ||x_j - v_i||^2$ represents the shortest distance
 175 from the gray level of the j -th pixel to the i -th cluster center.

176 Using the Lagrangian multiplier method to find the minimum value of the objective
 177 function,

$$178 \quad J_\lambda = \sum_{i=1}^C \sum_{j=1}^N u_{ij}^m d_{ij}^2(x_j, v_i) + \lambda \left(\sum_{j=1}^N u_{ij} - 1 \right) \quad (2)$$

$$179 \quad v_i = \frac{\sum_{j=1}^N u_{ij}^m x_j}{\sum_{j=1}^N u_{ij}^m} \quad (i = 1, \dots, C) \quad (3)$$

$$180 \quad u_{ij} = \frac{1}{\sum_{k=1}^C \left(\frac{d(x_j, v_i)}{d(x_j, v_k)} \right)^{2(m-1)}} \quad (4)$$

181 The standard FCM algorithm is superior in simple image segmentation. In recent
 182 years, FCM has been widely used in research fields such as climate region division,
 183 pattern recognition, data analysis and image processing, showing that the FCM can
 184 clearly represent the continuous spatial distribution of natural phenomena (Lee, 2009).

185 2.2.2 Mann-Kendall mutation analysis

186 The change of ST is synergistically affected by many factors, so it can show the
 187 characteristics of trend change. Mann-Kendall (M-K) (Mann, 1945; Kendall, 1975)
 188 test is not affected by the sample value, distribution type, etc., and further analyzes the
 189 changing of variables by deeply mining the hidden information within the time series.
 190 Therefore, it is widely used in the research of time analysis of natural variables.

191 Given the time series variables (X_1, X_2, \dots, X_n) , where n is the length of X , define
 192 the statistic S as follows:

$$193 \quad S = \sum_{k=1}^{n-1} \sum_{j=k+1}^n Sgn(x_j - x_k) \quad (5)$$

194 $Sgn()$ is a symbolic function, which can be expressed as:

$$195 \quad Sgn(x_j - x_k) = \begin{cases} 1, & x_j - x_k > 0 \\ 0, & x_j - x_k = 0 \\ -1, & x_j - x_k < 0 \end{cases} \quad (6)$$

196 Among them, S has a normal distribution whose mean is 0; the variance $\text{Var}(S) =$
 197 $\frac{n(n-1)(2n+5)}{18}$, when $n > 10$, the normal distribution statistics are calculated as
 198 follows:

$$199 \quad Z = \begin{cases} \frac{s-1}{\sqrt{\text{Var}(s)}}, & s > 0 \\ 0, & s = 0 \\ \frac{s+1}{\sqrt{\text{Var}(s)}}, & s < 0 \end{cases} \quad (7)$$

200 If $Z > 0$, it indicates that the natural variable has an increasing trend during this
 201 period of time; if $Z < 0$, the natural variable has a decreasing trend. The larger the
 202 absolute value, the more obvious the trend is. When $|Z| \geq 1.28, 1.96,$ and $2.32,$ they
 203 passed 90%, 95%, and 99% reliability tests, respectively.

204 *2.2.3 Moving Surface Spline Interpolation Algorithm Based on Green's Function*

205 The data of 141 ST observation stations on the Qinghai-Tibet Plateau are
 206 interpolated by *Moving Surface Spline Interpolation Algorithm Based on Green's*
 207 *Function*. Compared with Shepard (IDW interpolation), Bivariate Cubic Polynomial
 208 Fitting, Local Neighborhood Kriging, Ordinary Kriging, Moving Surface Spline
 209 Interpolation, etc., this method has higher accuracy and better continuity when dealing
 210 with complex terrain and different underlying surface conditions (Deng and Tang
 211 2011).

212 The solution of Green's functions implies that the surface $s(x)$ can be expressed as

$$213 \quad s(x) = T(x) + \sum_{j=1}^n w_j g(x, x_j) \quad (8)$$

214 where x is the output position vector of the unknown data point, $g(x, x_j)$ is the
 215 Green's function, x_j is the j -th data constraint, and w_j are the associated unknown
 216 weight relative to x . $T(x)$ is the trend function (Wessel 2009). The weight w_j are
 217 determined by requiring (1) to be accurately satisfied in n data positions,

$$218 \quad s(x_i) = \sum_{j=1}^n w_j g(x_i, x_j), i = 1, 2, \dots, n. \quad (9)$$

$$219 \quad g(x_i, x_j) = |x_i, x_j|^2 \ln(|x_i, x_j|) \quad (10)$$

220 The steps of the Moving Surface Spline Interpolation Algorithm Based on Green's
 221 Function are as follows:

222 Part 1. Assuming a surface has total n known points, the desired output node is p_0 .
 223 The number of points nearest to p_0 used for interpolation is k . The distance from
 224 point p_0 to other known points should be calculated first by

$$225 \quad r_{0i} = |x_i, x_0|, i = 1, 2, \dots, n \quad (11)$$

226 Where r_{0i} is the distance between the point p_0 and the i -th known data
 227 point, x_0 is the position vector of p_0 , and x_i is the position vector of the i -th
 228 known data point.

229 Part 2. Setting the coordinate matrix $X = [x_1 x_2 \dots x_k]^T$, $Y = [y_1 y_2 \dots y_k]^T$,
 230 attribute matrix $Z = [z_1 z_2 \dots z_k]^T$, $k \times k$ Green's function matrix G is:

$$231 \quad G = \begin{bmatrix} d_{11} & d_{12} & \dots & d_{1k} \\ d_{21} & d_{22} & \dots & d_{2k} \\ \vdots & \vdots & \ddots & \vdots \\ d_{k1} & d_{k2} & \dots & d_{kk} \end{bmatrix} \quad (12)$$

$$232 \quad d_{ij} = \begin{cases} 0 & r_{ij} = 0 \\ [\ln(r_{ij}) - 1.0] r_{ij}^2 & r_{ij} \neq 0 \end{cases} \quad i = 1, \dots, k, j = 1, \dots, k \quad (13)$$

233 where $r_{ij} = \sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$. the weight matrix W can be calculated as

$$234 \quad W = G^{-1}Z \quad (14)$$

235 Part 3. Computing $1 \times k$ Green's function matrix G_p of point p_0

$$236 \quad G_p = [d_{01} d_{02} \dots d_{0k}] \quad (15)$$

237 where $d_{01}, d_{02}, \dots, d_{0k}$ are calculated by (13). The attribute value of point p_0 is

$$238 \quad z_p = G_p W \quad (16)$$

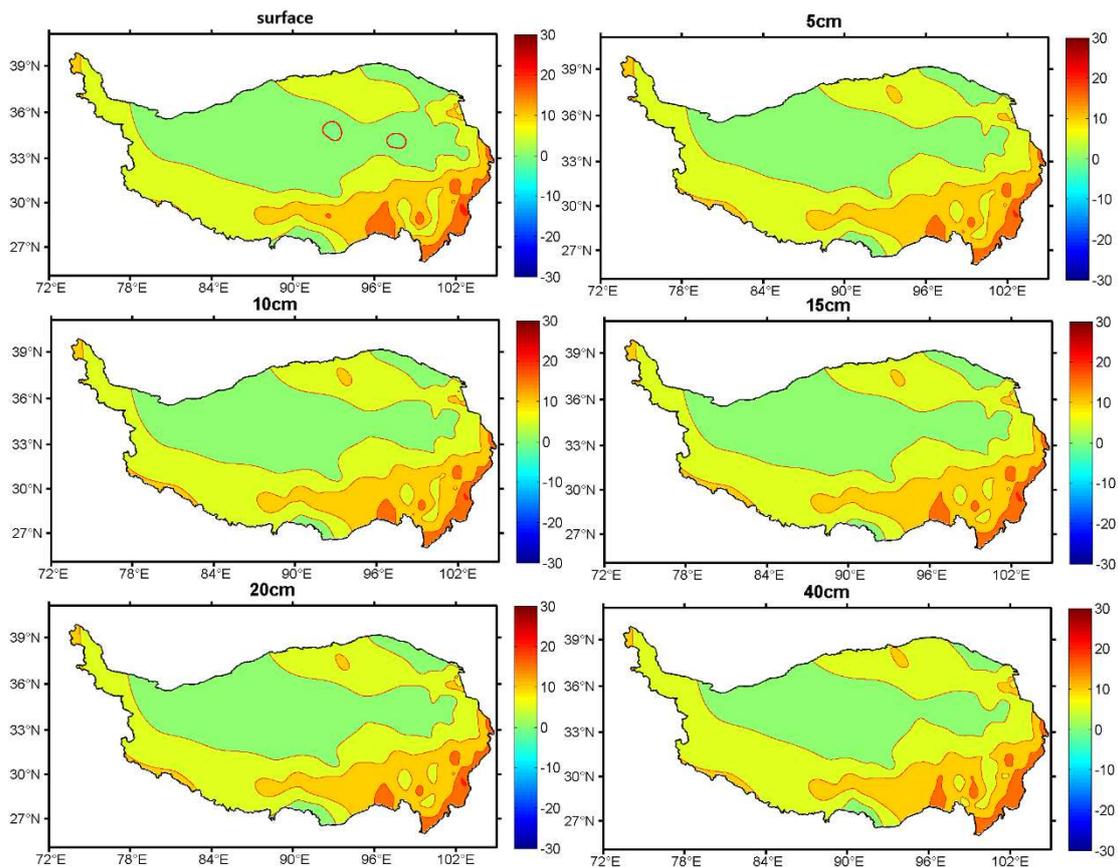
239 Part 4. Repeat Parts (1)-(3) to calculate the interpolation z_{pi} of other points p_i .

240 **3. Results**

241 3.1. Temporal and spatial distribution of shallow layers ST on the Qinghai-Tibet
242 Plateau

243 *3.1.1 The spatial distribution*

244 Fig. 2 shows the spatial distribution of the annual average ST in the shallow layers
245 of the Qinghai-Tibet Plateau (surface, 5 cm, 10 cm, 15 cm, 20 cm, 40 cm). It can be
246 seen from the figure that the shallow ST of the Qinghai-Tibet Plateau has a gradually
247 increasing trend from north to south, but there is a relatively high ST area in Golmud,
248 Qinghai, with an average of 5-10 °C. In the vast areas of the Northern Qiangtang
249 Plateau and the Southern Qiangtang Plateau, the shallow ST is basically below 5 °C.
250 The temperature in the southeastern Tibet and the western Sichuan plateau is high,
251 reaching above 20 °C.

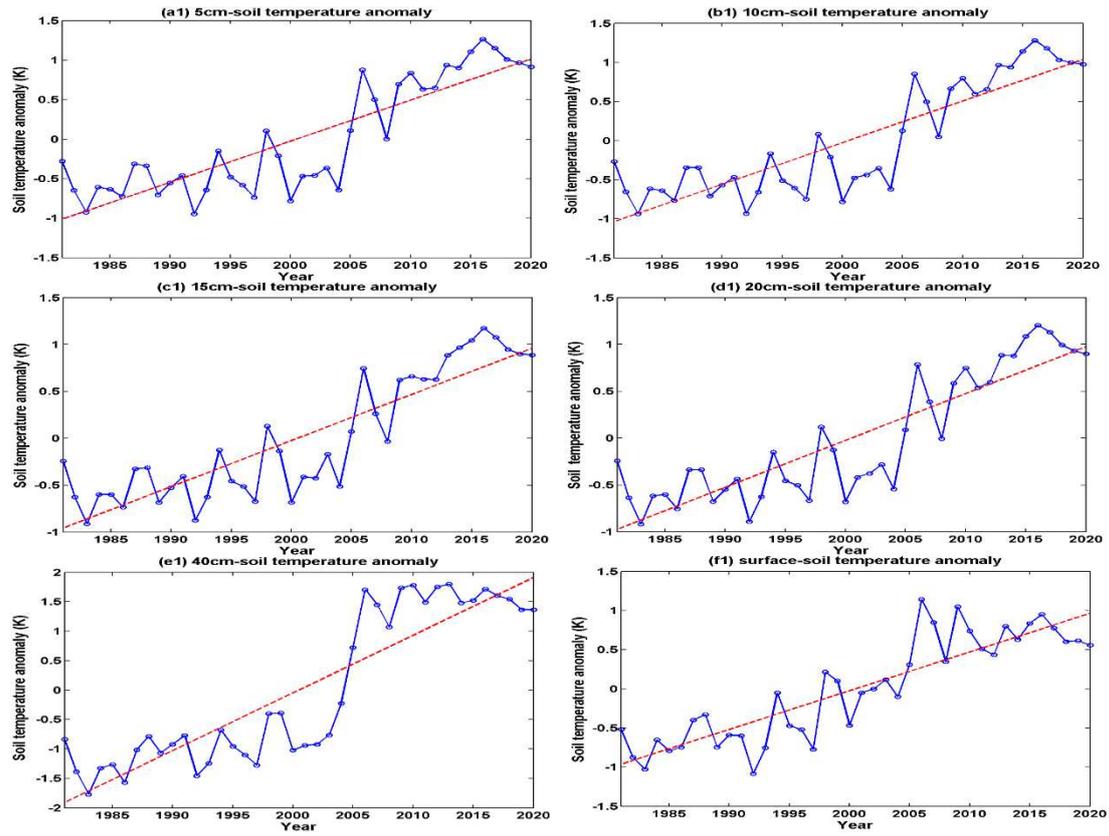


252 Fig. 2. Spatial distribution of shallow ST on the Qinghai-Tibet Plateau (unit: °C)
253

254 *3.1.2 Temporal characteristics*

255 Fig. 3 and 4 show the interannual variation of ST anomalies in shallow layer

256 (surface, 5 cm, 10 cm, 15 cm, 20 cm, 40 cm) of the Qinghai-Tibet Plateau and their
257 MK tests. It can be seen that from 1981 to 2020, ST at the six levels has shown a
258 significant upward trend, but the rising amplitudes of each level are quite different.
259 From the MK tests in Fig. 4, it can be seen that 5 cm, 10 cm, 15 cm, and 20 cm have
260 insignificant mutation points near 2008. Besides, 40 cm and the surface have
261 mutations near 2002. Table 1 is the statistical information of the annual and seasonal
262 temperature of the shallow soil on the Qinghai-Tibet Plateau, including the average,
263 standard deviation, and interdecadal variability. On an annual scale, the average
264 annual ST of 0-20 cm is 9.15-9.57 °C, and that of 40 cm is 8.69 °C. The interdecadal
265 variability of 0-20 cm is 0.49-0.53 K/10a, and the interdecadal variability of 40 cm
266 reaches 0.98 K/10a, which is much higher than other levels. From the seasonal
267 perspective, the interdecadal variability of ST in winter and spring is relatively high,
268 with 40 cm even reaching more than 1 K/10a. In addition, the 40 cm interannual and
269 seasonal ST standard deviation (1.13-1.44 K) is much larger than other layers ($\sigma < 1$ K),
270 indicating that ST in 40 cm varies more greatly. This result is reflected in the mutation
271 point in 2002-2006 of Fig. 3(e1) and 2002 of Fig. 4(e). The analysis shows that the
272 temperature of the shallow soil on the Qinghai-Tibet Plateau has a clear increasing
273 trend. The temperature increase of 0-20 cm (the surface layer of shallow soil) is
274 roughly the same, which is quite different from that of 40 cm (the bottom layer of
275 shallow soil).

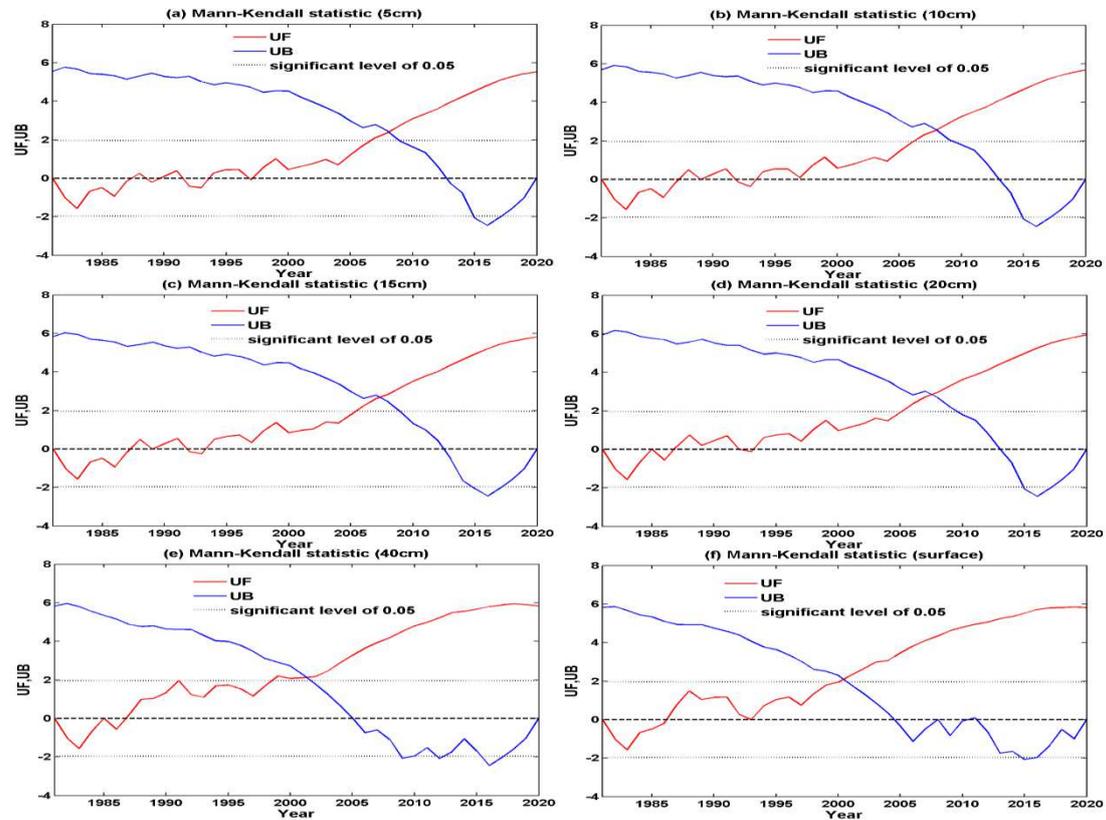


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277

Fig. 3. Interannual variation of shallow ST anomaly in the Qinghai-Tibet Plateau (unit: K)

278



279

280

Fig. 4. MK analysis of shallow ST on the Qinghai-Tibet Plateau

281
282

Table 1. Statistical information of annual and seasonal meteorological time series on the Qinghai-Tibet Plateau from 1981 to 2020

	5cm			10cm		
	μ	σ	Trend	μ	σ	Trend
	(°C)	(K)	(K/10a)	(°C)	(K)	(K/10a)
Spring	10.19	0.78	0.55**	9.74	0.85	0.61**
Summer	18.42	0.65	0.39**	18.15	0.70	0.45**
Autumn	9.42	0.77	0.51**	9.81	0.75	0.50**
Winter	-1.43	0.93	0.63**	-0.96	0.83	0.55**
Annual	9.15	0.71	0.52**	9.19	0.72	0.53**
	15cm			20cm		
	μ	σ	Trend	μ	σ	Trend
	(°C)	(K)	(K/10a)	(°C)	(K)	(K/10a)
Spring	9.27	0.74	0.48**	9.05	0.83	0.60**
Summer	17.87	0.63	0.37**	17.62	0.67	0.45**
Autumn	10.28	0.71	0.49**	10.41	0.68	0.46**
Winter	-0.30	0.70	0.62**	-0.17	0.71	0.48**
Annual	9.28	0.66	0.49**	9.22	0.67	0.50**
	40cm			surface		
	μ	σ	Trend	μ	σ	Trend
	(°C)	(K)	(K/10a)	(°C)	(K)	(K/10a)
Spring	7.65	1.51	1.14**	11.17	0.75	0.51**
Summer	16.46	1.13	0.84**	19.51	0.61	0.30**
Autumn	10.58	1.17	0.87**	9.38	0.75	0.51**
Winter	0.06	1.44	1.06**	-1.79	0.96	0.66**
Annual	8.69	1.29	0.98**	9.57	0.66	0.49**

283

μ mean, σ standard deviation

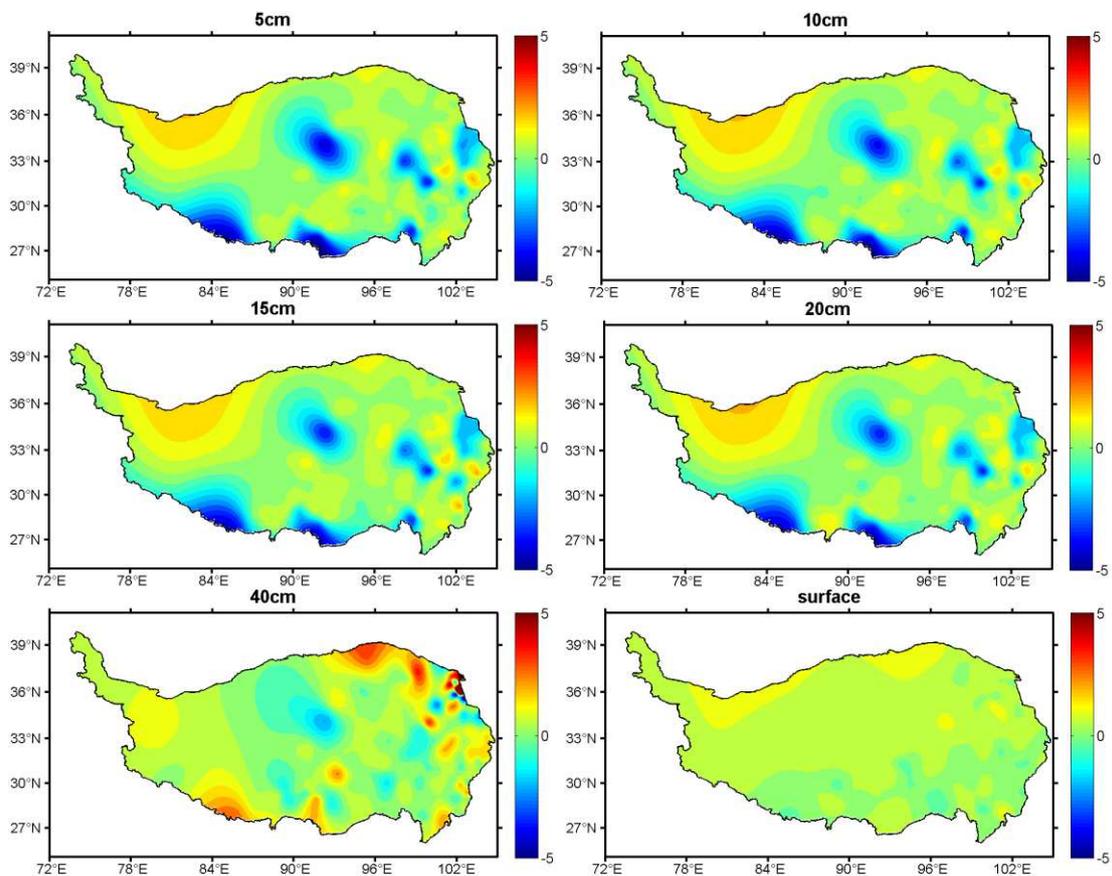
284

**Denotes trends statistically significant at $\alpha=0.001$

285

3.1.3 Characteristics of spatial changes in shallow soils on the Qinghai-Tibet Plateau

286 Fig. 5 shows the interdecadal distribution of ST in shallow layer (surface, 5 cm, 10
 287 cm, 15 cm, 20 cm, 40 cm) of the 141 observation sites on the Qinghai-Tibet Plateau
 288 from 1981 to 2020. As shown in the figure, the interdecadal variabilities of 5-20 cm
 289 (the surface layer of the shallow soil) is basically the same. In the central Tibet, the
 290 southern and southwestern parts of the Qinghai-Tibet Plateau, ST has a significant
 291 cooling trend, and the interdecadal variabilities are as low as -1 K/10a. In the
 292 northwestern part of the Qinghai-Tibet Plateau, ST is warming obviously. Compared
 293 with other layers, 40 cm (the bottom layer of shallow soil) is quite different. On the
 294 whole, the temperature increase trend of 40 cm is more obvious than that of other
 295 layers. The cooling trend still exists in central Tibet, the warming trend in the
 296 northwestern Qinghai-Tibet Plateau has slightly weakened, while the cooling trend of
 297 ST in southwestern Tibet has turned into a warming trend, and the Qaidam Plateau
 298 has a significant warming trend. The surface of the Qinghai-Tibet Plateau has a
 299 warming trend, but the temperature change does not have the obvious regional
 300 differentiation like other levels.



301

302 Fig. 5. Distribution of interdecadal variability of ST in shallow soil on the
303 Qinghai-Tibet Plateau from 1981 to 2020 (unit: K/10 a)

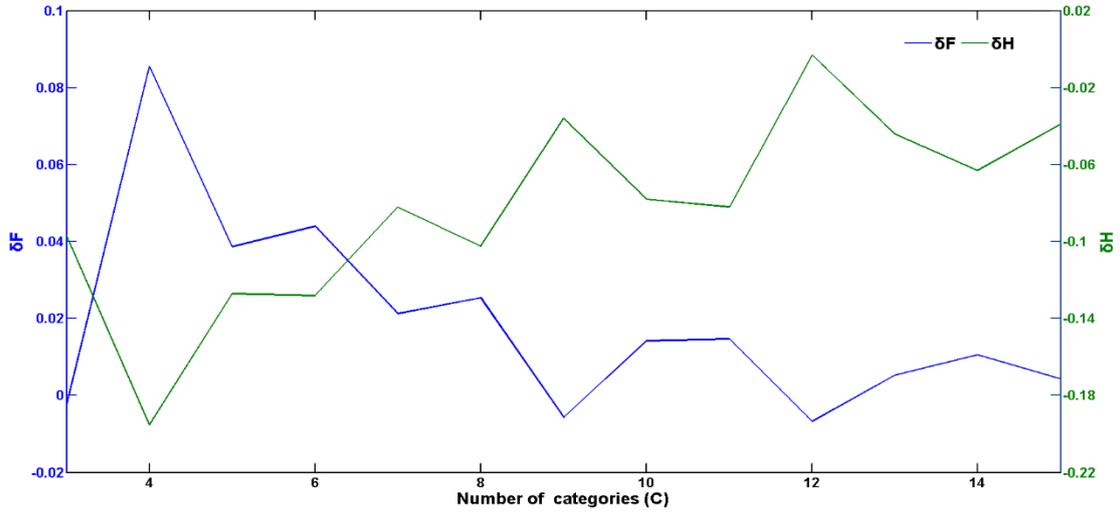
304 3.2. Analysis of 20 cm ST characteristics of the Qinghai-Tibet Plateau

305 3.2.1 Regionalization of 20cm ST

306 20 cm is basically the same as 5 cm, 10 cm, and 15 cm, and 20 cm is the boundary
307 layer between the surface layer of shallow soil and the bottom layer of shallow soil
308 (Yan et al., 2017). Therefore, the study of the 20 cm ST is significant for the
309 macroscopic understanding of the shallow ST of the Qinghai-Tibet Plateau.

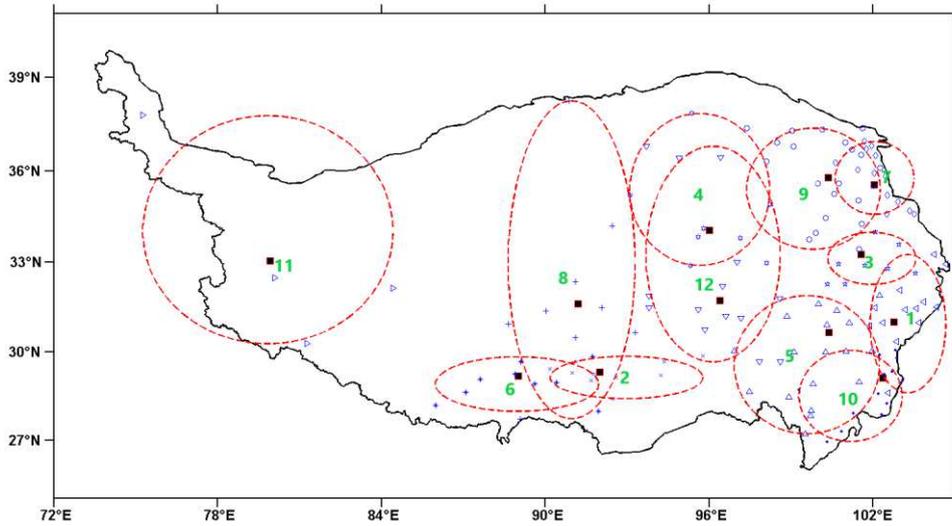
310 Fig. 6 shows the 20 cm ST division of the Qinghai-Tibet Plateau, using fuzzy
311 C-means algorithm (FCM). In the process of FCM clustering, choosing the
312 appropriate fuzzy index m and the number of categories C is the most critical.
313 According to the research of Liu et al. (2014), the expected clustering effect can be
314 achieved when $m = 2$. The choice of category number C is related to the change of
315 entropy (δH , $H_c - H_{c+1}$) and the change of distribution coefficient (δF , $F_c - F_{c+1}$).
316 When the change of distribution coefficient is the smallest and the change of entropy
317 is the largest, C is determined. Using FCM, based on 20 cm ST at 141 sites on the
318 Qinghai-Tibet Plateau, 12 regions were clustered. The classification results are shown
319 in Fig. 7, and the environmental parameters of the cluster centers are shown in Table
320 2.

321 Using FCM, the Qinghai-Tibet Plateau is divided into 12 regions according to the
322 characteristics of the 20 cm ST. Considered with the 13 climate regions of the
323 Qinghai-Tibet Plateau by Lin et al. (1981), the 20 cm ST regions and many regions of
324 the climate division, such as the Western Sichuan Plateau, Xining Plateau, Qaidam
325 Plateau, Nagqu Plateau, Eastern Qinghai-Tibet Plateau and Eastern Tibet Plateau have
326 high consistency. This also shows that the shallow soil, especially the surface of the
327 shallow soil, is greatly affected by the local climate characteristics (air temperature
328 and precipitation, Lin et al. divided the Qinghai-Tibet Plateau into 13 regions using air
329 temperature and precipitation).



330

331 Fig. 6. When $m = 2.0$, the entropy and distribution coefficient change with the number
 332 of categories (C)



333

334 Fig. 7. 20cm ST division of the Qinghai-Tibet Plateau (12 regions)

335

Table 2. Environmental parameters of the region center

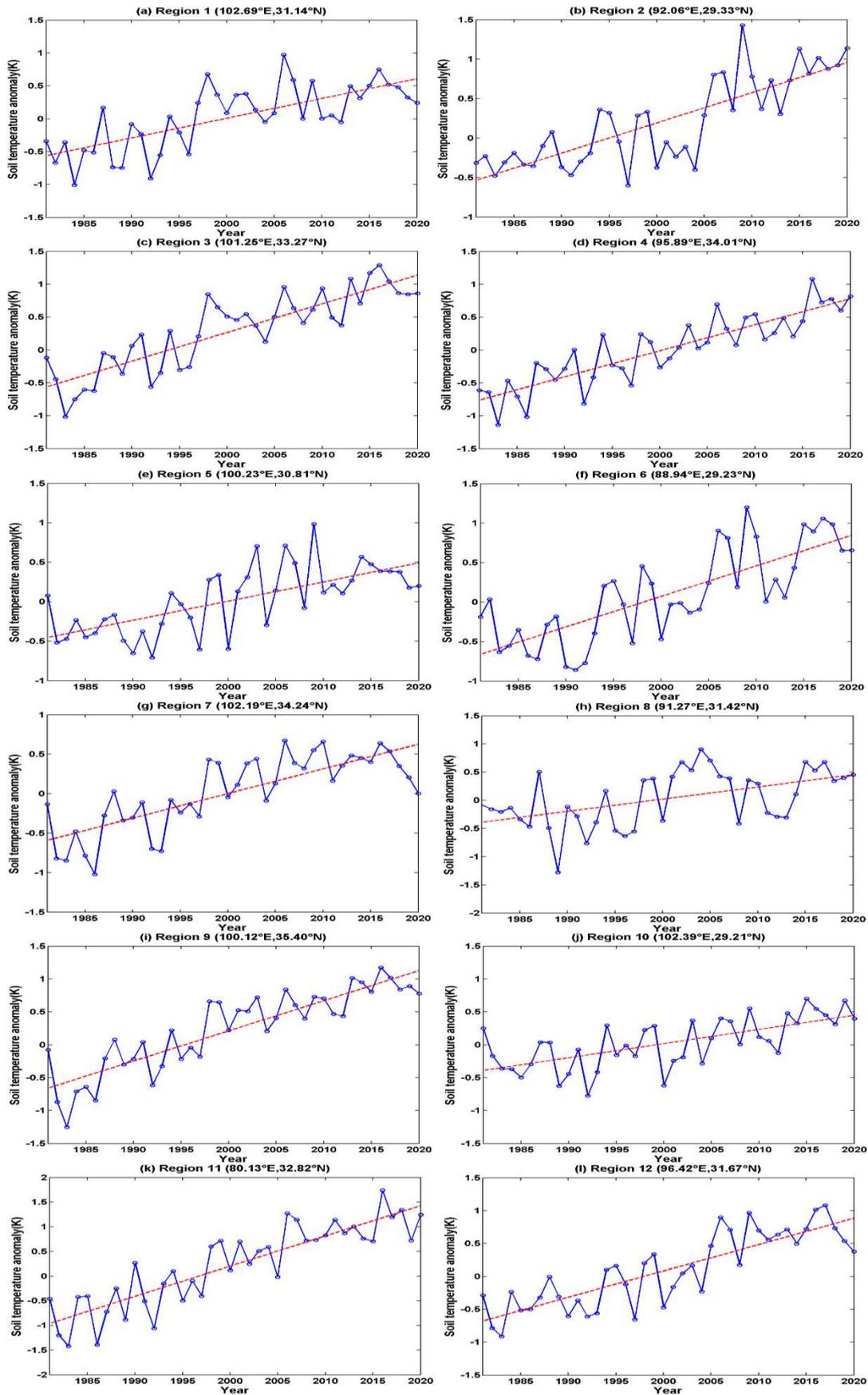
Region Number	Region name	Longitude (°E)	Latitude (°N)	μ (°C)	σ (K)	Trend (K/10a)
Region 1	Western Sichuan Plateau	102.7	31.1	15.6	0.51	0.30
Region 2	Southern Tibet I	92.1	29.3	12.5	0.56	0.38
Region 3	Eastern Qinghai-Tibet Plateau	101.2	33.3	7.8	0.59	0.44
Region 4	Qaidam Plateau	95.9	34.0	4.3	0.53	0.39
Region 5	Eastern Tibet Plateau	100.2	30.8	11.5	0.42	0.24

Region 6	Southern Tibet II	88.9	29.2	9.3	0.58	0.39
Region 7	Xining Plateau	102.2	34.2	9.1	0.47	0.31
Region 8	Central Tibet	91.3	31.4	4.9	0.50	0.22
Region 9	Nagqu Plateau	100.1	35.4	5.3	0.61	0.46
Region 10	Southeast Qinghai-Tibet Plateau	102.4	29.2	18.1	0.38	0.21
Region 11	Northern Qiangtang Plateau	80.1	32.8	7.1	0.82	0.61
Region 12	Tibet Middle East	96.4	31.7	8.2	0.57	0.42

336 *3.2.2 The characteristics of interannual variation of 20cm ST on the different regions*
337 *of Qinghai-Tibet Plateau*

338 Fig. 8 shows the interannual variation of the 20 cm ST anomaly in 12 regions of the
339 Qinghai-Tibet Plateau. According to Fig. 8 and Table 2, from 1981 to 2020, ST of 20
340 cm in 12 regions showed an obvious rising trend, but the rise of each region was quite
341 different. Linear fitting of ST for each region shows that the interdecadal variability of
342 region 1 is 0.30 K/10a, region 2 is 0.38 K/10a, region 3 is 0.44 K/10a, region 4 is 0.39
343 K/10a, region 5 is 0.24 K/10a, region 6 is 0.39 K/10a, region 7 is 0.31 K/10a, region 8
344 is 0.22 K/10a, region 9 is 0.46 K/10a, region 10 is 0.21 K/10a, region 11 is 0.61 K/10a,
345 and region 12 is 0.37 K/10a. And the correlation coefficients with the month are 0.40,
346 0.51, 0.55, 0.54, 0.38, 0.50, 0.45, 0.29, 0.60, 0.32, 0.65, 0.61, all of which passed the
347 confidence level t test with $\alpha = 0.001$. The average values of different regions
348 range from 4.3 °C (region 4, Qaidam Plateau) to 18.1 °C (region 10, Southeast
349 Qinghai-Tibet Plateau), and the average difference is nearly 14 K. The standard
350 deviation of different regions ranges from 0.38 K (region 10, Southeast Qinghai-Tibet
351 Plateau) to 0.82 K (region 11, Northern Qiangtang Plateau). Because the Southeast
352 Qinghai-Tibet Plateau is located in a humid climate area, the shallow ST changes little,
353 while the North Qiangtang Plateau is located in an arid climate area, and the seasonal
354 temperature difference is larger, so the shallow ST changes greatly, which is

355 consistent with the obtained results. The results above indicate that the 20 cm ST
356 increase trend of the Qinghai-Tibet Plateau is obvious, and there are significant
357 regional differences.



358
359
360

Fig. 8. Interannual variation of 20cm ST anomalies in 12 regions of the Qinghai-Tibet Plateau (unit: K)

361 3.3 Spatial variation characteristics of 20cm ST on the Qinghai-Tibet Plateau

362 Fig. 9 shows the spatial distribution of 20 cm ST anomalies in the Qinghai-Tibet
363 Plateau from 1981 to 2020. It can be seen from the figure that since 1981-2000, most
364 areas of the Qinghai-Tibet Plateau have been in the cold stage, and the northwestern
365 part of the Qinghai-Tibet Plateau is a strong and obvious center of 20 cm negative ST,
366 especially from 1981 to 1986. This negative anomaly center lasted for almost 20
367 years.

368 In addition, from 1981 to 2000, there were also four positive anomaly centers in the
369 Qinghai-Tibet Plateau, namely the eastern part of the Qinghai-Tibet Plateau, the
370 central-eastern part of the Qinghai-Tibet Plateau, the southern part of the
371 Qinghai-Tibet Plateau, and the southwestern part of the Qinghai-Tibet Plateau.
372 Among them, the positive anomaly of southwestern part of the Qinghai-Tibet Plateau
373 was strong in some years (such as 1984, 1999), reaching above 2 K, and in some
374 years (such as 1991, 1997), it was weak, below 0.5 K, or even disappear. In most
375 years from 1981 to 2000, the positive anomaly of 20 cm ST in the southern part of the
376 Qinghai-Tibet Plateau was strong, reaching above 2.5 K, while in some years (such as
377 1990 and 1991), it was relatively weak, near 0.5-1 K.

378 In 1986 and 1993, there was an obvious positive anomaly center in the
379 north-central Qinghai-Tibet Plateau. From 1981 to 1986, the ST of 20 cm in central
380 and northern Tibet had an obvious trend of rapid temperature decrease and then
381 rapidly increase. The annual temperature reached -1.5-2.5 K. The ST of 20 cm
382 changed significantly from 1990 to 1995, and its annual temperature change rate
383 reached -1.5-1.5 K.

384 From 2001 to 2005, there was a negative anomaly center of about -1 K in central
385 Tibet. The positive anomaly center in southwestern Tibet disappeared, while the
386 positive anomaly center in southern Tibet remained strong. In 2002, the 20 cm ST in
387 southern Tibet reached a positive anomaly, up to 2 K or more. In addition, the strong
388 positive anomaly center in the eastern part of the Qinghai-Tibet Plateau disappeared
389 in 2005 after having remained for 24 years (1981-2004). The strong positive anomaly

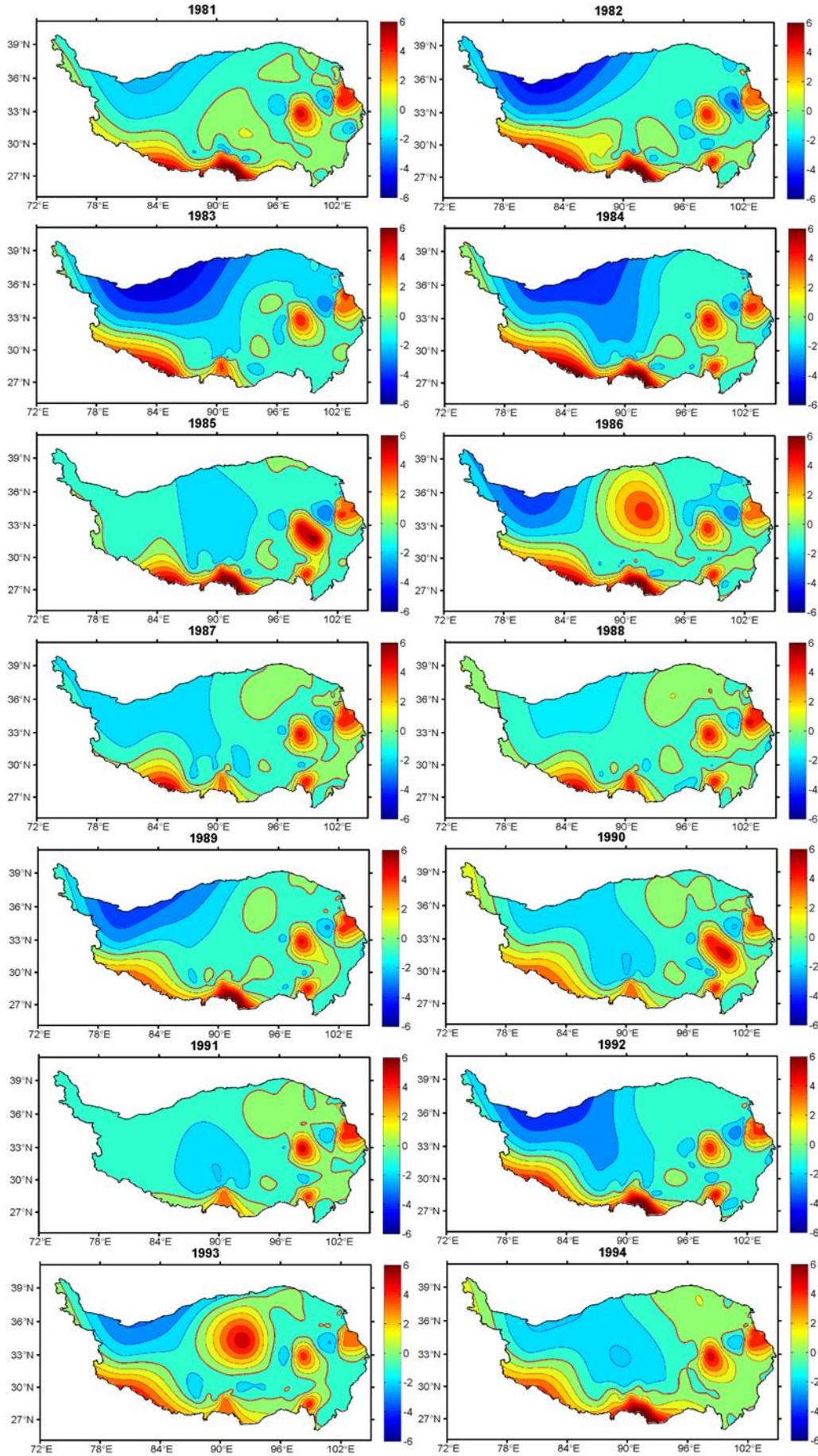
390 center of ST in central and eastern part of the Qinghai-Tibet Plateau, having existed
391 for 22 years (1981-2003, except 2002), disappeared in 2004.

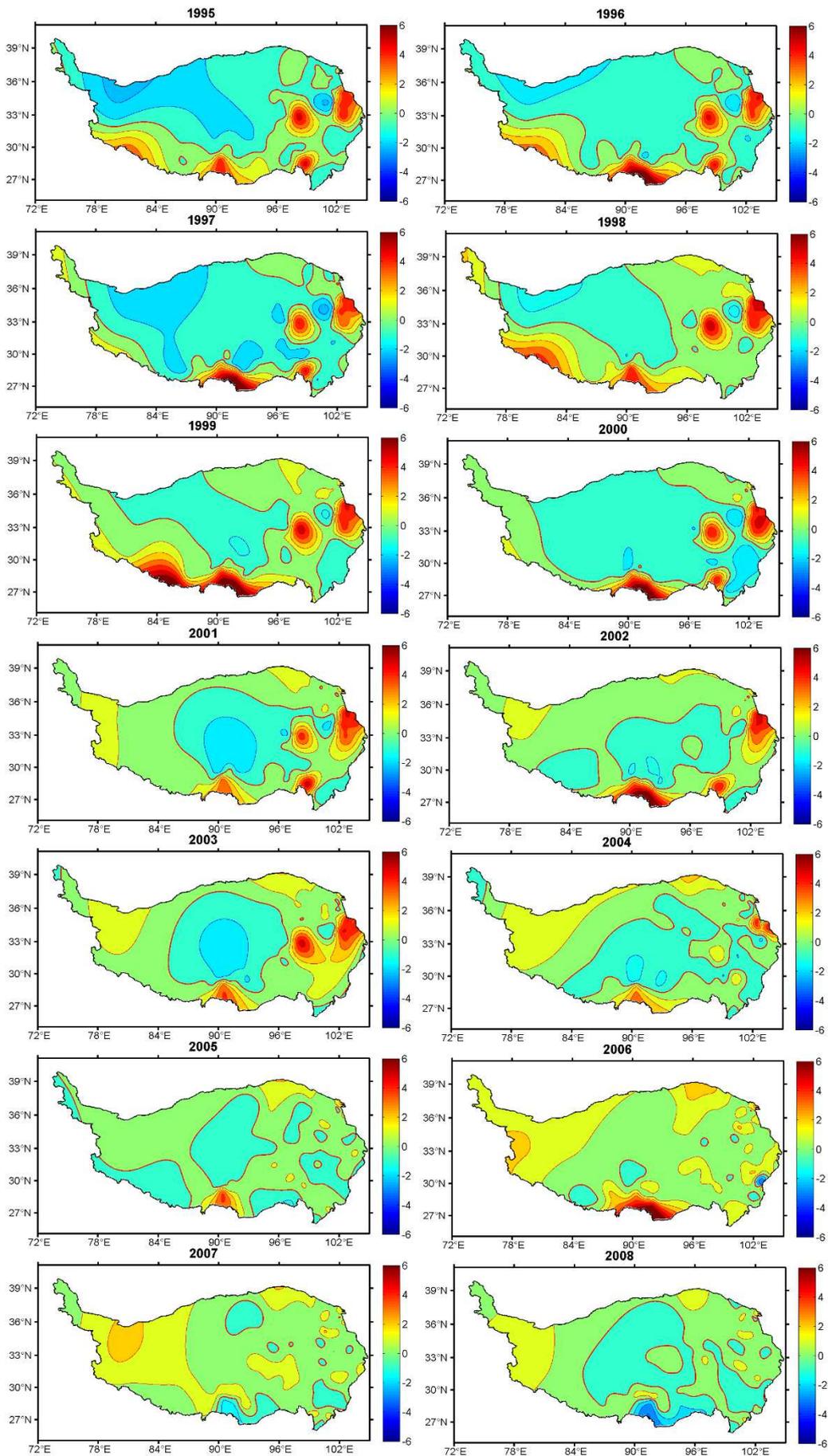
392 Based on the interannual variation of the 20 cm ST anomaly in Figure 3(d1), the 20
393 cm ST anomaly across the Qinghai-Tibet Plateau has changed from a negative value
394 to a positive value since 2006. It can also be seen from Figure 9 that since 2006, the
395 negative ST anomaly of 20 cm in most parts of the Qinghai-Tibet Plateau has begun
396 to rise. Except for the scattered negative anomaly centers, soil temperature anomalies
397 in most parts of the Qinghai-Tibet Plateau have changed between -0.5 K and 0.5 K.

398 It is worth noting that since 1981, the vast northwestern part of the Qinghai-Tibet
399 Plateau has disappeared from the strong negative anomaly center that lasted for nearly
400 20 years. In the southern part of the Qinghai-Tibet Plateau, the positive and negative
401 anomaly centers appear at intervals. For example, a strong positive anomaly center
402 appeared in 2006, followed by a negative anomaly center that lasted for 7 years
403 (2007-2013). Afterwards, the positive anomaly center that has been in existence for 7
404 years (2014-2020) appeared. A relatively weak positive anomaly center appeared in
405 the northeastern part of the Qinghai-Tibet Plateau for 17 years (2004-2020). The
406 intensity was 0.5-1 K during 2004-2012, and it slightly strengthened after 2012. The
407 20 cm ST anomaly varies between 1-1.5 K.

408 The above analysis shows that the ST of 20 cm in the Qinghai-Tibet Plateau has
409 significant temporal and spatial changes. From 1981 to 2000, most areas of the
410 Qinghai-Tibet Plateau were in the cold stage; especially the northwestern region was a
411 strong negative soil temperature anomaly. Since 2006, the 20 cm ST anomaly has
412 changed from a negative value to a positive value. From the perspective of spatial
413 distribution, there was a strong negative ST anomaly center in the northwestern region
414 from 1981 to 2000. Four positive anomalous centers generally exist in the
415 Qinghai-Tibet Plateau, namely the eastern part of the Qinghai-Tibet Plateau (24 years,
416 1981-2004), the central-eastern part of the Qinghai-Tibet Plateau (22 years,
417 1981-2003, except 2002), and the southern part of the Qinghai-Tibet Plateau
418 (continued 26 years, 1981-2006), the southwestern Qinghai-Tibet Plateau (19 years,

419 1981-1999). In addition, since 2004, there has been a weaker positive anomaly center
420 in the northeastern part of the Qinghai-Tibet Plateau that lasted for 17 years
421 (2004-2020).





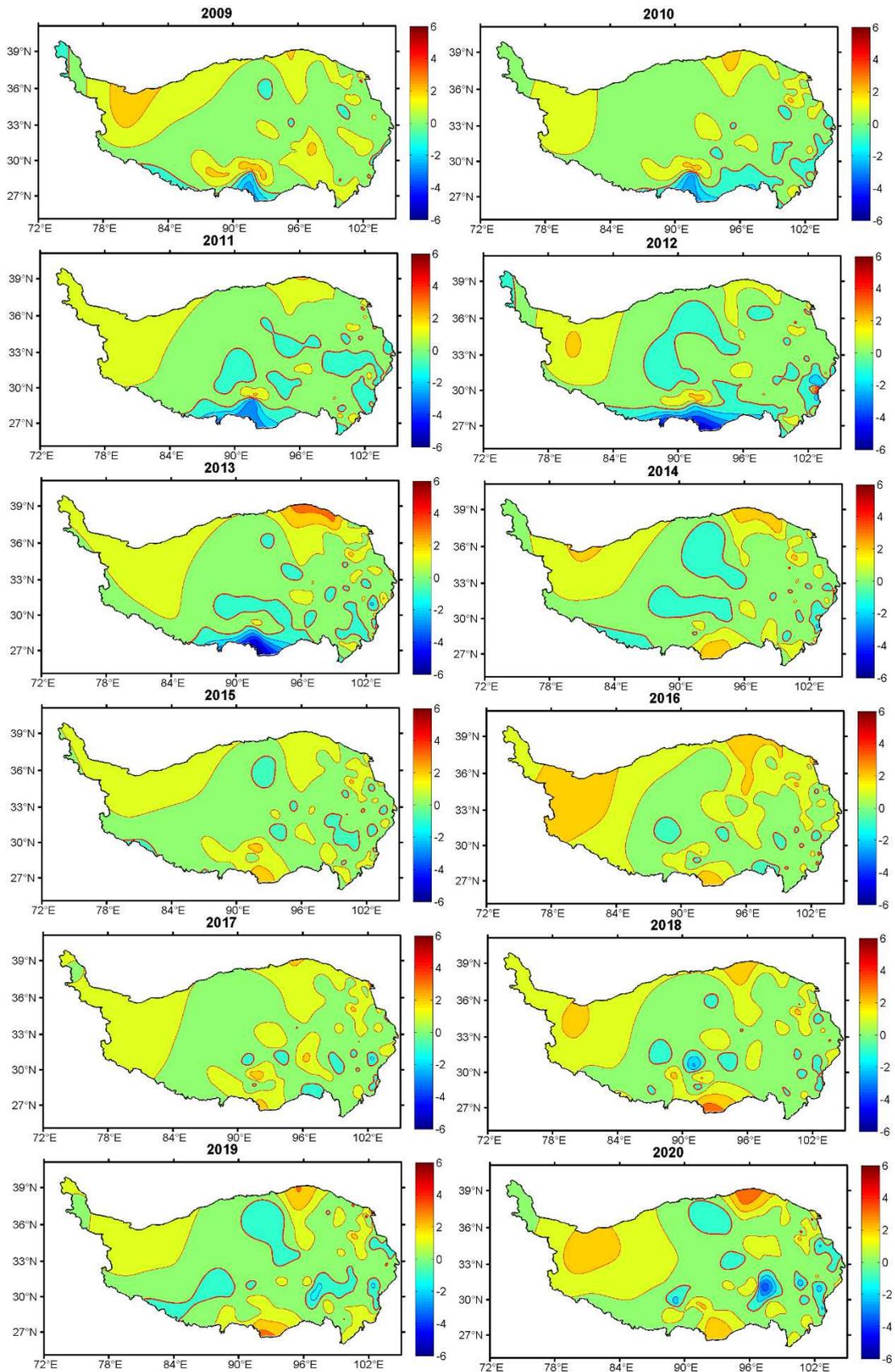


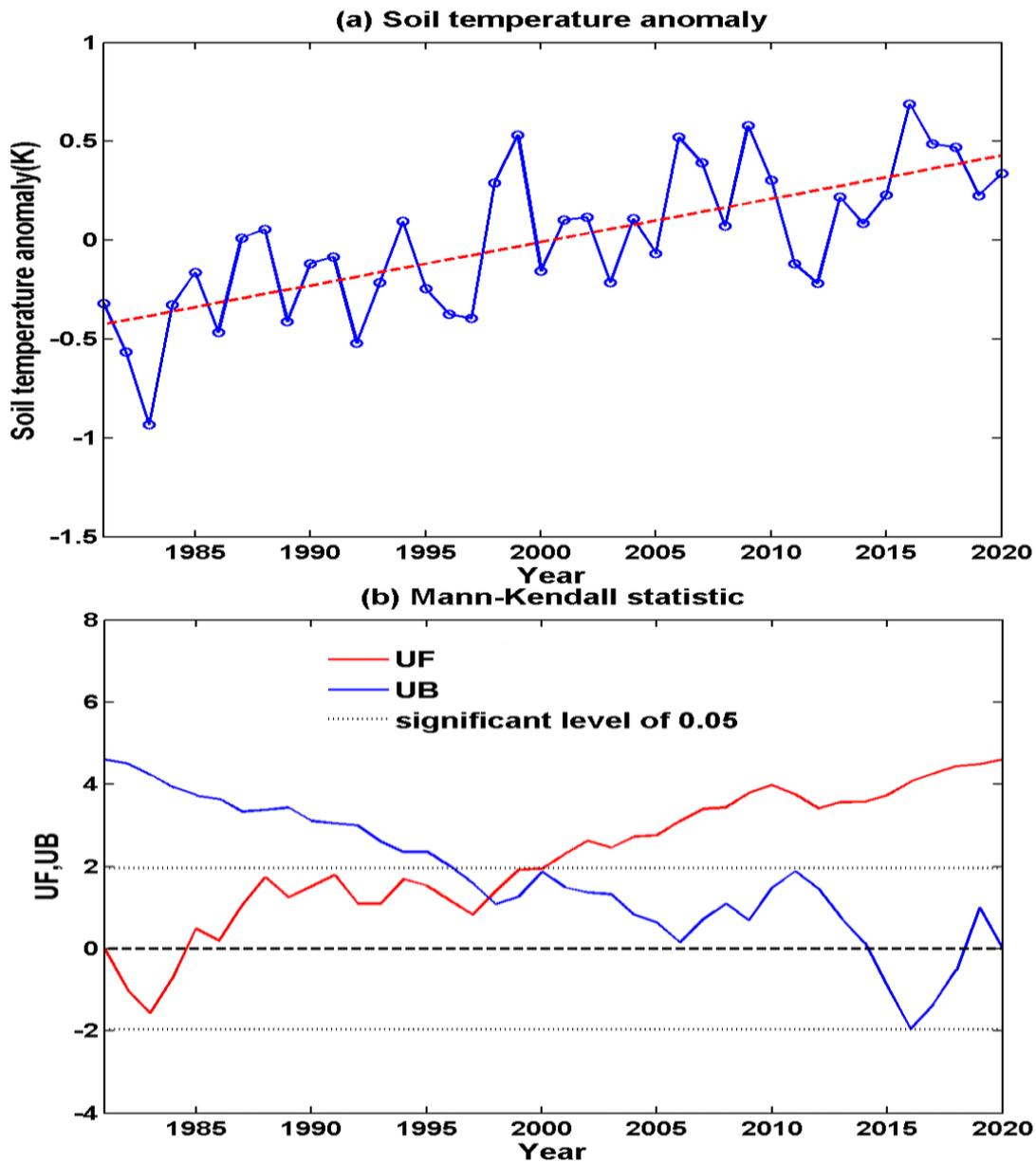
Fig. 9. Spatial distribution of 20cm ST anomalies in the Qinghai-Tibet Plateau from 1981 to 2020 (unit: K)

3.4. Temporal change characteristics of 20cm ST on the Qinghai-Tibet Plateau

428 (reanalysis data)

429 Fig. 10 shows the 20 cm ST anomaly and its MK test using the reanalysis data.
430 Because the surface layer of the shallow soil does not change much, we chose the
431 observational data of 20 cm and reanalysis data of 18.5 cm (layer 2) for comparative
432 analysis. It can be seen from Fig. 10(a) that the ST of the reanalyzed data also shows
433 an upward trend, and the interdecadal variability is 0.22 K/10a, which is less than the
434 0.5 K/10a of the observational data. The 18.5 cm ST anomaly also varies between -1
435 K and 1 K, and the amplitude is also smaller than the observational data. In the MK
436 test in Fig. 10(b), there is a significant mutation point near 1998, which is different
437 from the result obtained from the observational data that there is an insignificant
438 mutation point in 2008.

439 The above results indicate that when using the shallow ST data of the
440 Qinghai-Tibet Plateau in the study, detection and discrimination are needed.



441
 442 Fig. 10. Interannual variation and MK analysis of the 20cm ST anomaly on the
 443 Qinghai-Tibet Plateau (reanalysis data, unit: K)

444 **4. Conclusion**

445 Shallow soil temperature (ST) and its temporal as well as spatial changes directly
 446 or indirectly affect many processes that occur in the soil, such as seed germination,
 447 root elongation, evaporation, storage and movement of water and microorganisms,
 448 nutrient cycling, and many other dynamics of the soil process. 20 cm is used as the
 449 boundary layer between the surface layer of shallow soil (0-20 cm) and the bottom
 450 layer of shallow soil (20-40 cm), the study of its temporal and spatial characteristics is
 451 necessary. Based on observational data and reanalysis data, this paper studies the

452 abnormal conditions of the shallow ST of the Qinghai-Tibet Plateau from 1981 to
453 2020, and further analyzes the temporal as well as spatial characteristics of the 20 cm
454 ST. This work is of great significance for understanding the soil thermal activity in the
455 Qinghai-Tibet Plateau in recent decades.

456 The 20 cm ST on the Qinghai-Tibet Plateau gradually decreases from south to north,
457 and from east to west. The average ST in the Western Sichuan Plateau, located in the
458 southeast of the Qinghai-Tibet Plateau is the highest, with $T_{20cm} = 18.1\text{ }^{\circ}\text{C}$. The
459 temperature in the central and northern part of the Qinghai-Tibet Plateau is the lowest,
460 with $T_{20cm} = 4.9\text{ }^{\circ}\text{C}$. The temperature increase of 0-20 cm (the surface layer of
461 shallow soil) is roughly the same, which is quite different from that of 40 cm (the
462 bottom layer of shallow soil). The average annual ST of 0-20 cm is 9.15-9.57 $^{\circ}\text{C}$, and
463 the interdecadal variability of 0-20 cm is 0.49-0.53 K/10a. The average annual ST of
464 40 cm is 8.69 $^{\circ}\text{C}$, and the interdecadal variability of 40 cm reaches 0.98 K/10a.

465 In terms of spatial distribution, there was a strong negative ST anomaly center in
466 the northwestern part of the Qinghai-Tibet Plateau from 1981 to 2000. Four positive
467 anomalous centers generally exist in the Qinghai-Tibet Plateau, namely the eastern
468 part of the Qinghai-Tibet Plateau (24 years, 1981-2004), the central-eastern part of the
469 Qinghai-Tibet Plateau (22 years, 1981-2003, except 2002), and the southern part of
470 the Qinghai-Tibet Plateau (26 years, 1981-2004). Years, 1981-2006), Southwestern
471 Qinghai-Tibet Plateau (19 years, 1981-1999). In addition, since 2004, there has been a
472 weaker positive anomaly center in the northeastern part of the Qinghai-Tibet Plateau
473 that lasted for 17 years (2004-2020).

474 According to the ST of 20 cm, the Qinghai-Tibet Plateau can be divided into 12
475 regions. The temperature increase trend of each region is obvious, and there are
476 certain regional differences. The average value of different regions ranges from 4.3 $^{\circ}\text{C}$
477 (region 4, Qaidam Plateau) to 18.1 $^{\circ}\text{C}$ (region 10, Southeast Qinghai-Tibet Plateau),
478 and the average difference is nearly 14 K. The standard deviation of different regions
479 ranges from 0.38 K (region 10, Southeast Qinghai-Tibet Plateau) to 0.82 K (region 11,
480 North Qiangtang Plateau).

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