

Cranial autonomic symptoms: prevalence, phenotype and laterality in migraine and two potentially new symptoms

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1 **Cranial autonomic symptoms: prevalence, phenotype and laterality**
2 **in migraine and two potentially new symptoms**

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28 **Abstract**

29 Background: Whilst cranial autonomic symptoms (CAS) are typically associated with the
30 trigeminal autonomic cephalalgias (TAC's), they have also been reported in migraine.
31 Identification and understanding of these symptoms in migraine is important to ensure
32 timely diagnosis and effective management.

33 Methods: Migraineurs seen within our tertiary headache service between 2014-2018
34 ($n=340$): cohort one, and a separate cohort of headache patients seen between 2014-May
35 2021 reporting voice change and/or throat swelling as CAS were selected ($n=64$); cohort
36 two. We examined, as a service evaluation, our records regarding age, sex, diagnosis,
37 headache and CAS frequency and laterality as acquired from the first consultation, during
38 which a detailed headache history is taken by a trained physician.

39 Results: Cohort 1: Mean age 43 (range 14-94, SD 15). The most common diagnosis was
40 chronic migraine (78%). Median monthly headache frequency was 26 days (IQR 15-75). At
41 least one CAS was reported in 74%, with a median of two (IQR 0-3). The most common were
42 nasal congestion (32%), lacrimation (31%) and aural fullness (25%). Most patients reported
43 unilateral headache (80%) and strictly unilateral CAS (64%). There was a positive association
44 between headache and CAS laterality ($\chi^2_1 = 20.7, P < 0.001$), with a positive correlation
45 between baseline headache frequency and number of CAS reported ($r = 0.11, P = 0.047$).
46 Cohort two: mean age 49 (range 23-83, SD 14). Diagnoses were chronic migraine (50%),
47 chronic cluster headache (11%), undifferentiated continuous lateralised headache (9%),
48 SUNCT/SUNA (8%), hemicrania continua (8%), episodic migraine (8%), episodic cluster
49 headache (3%) and trigeminal neuropathies (3%). Most (89%) described trigeminal
50 distribution pain; 25% involving all three divisions. Throat swelling was reported by 54, voice

51 change by 17, and both by 7. The most common CAS reported were lacrimation ($n = 47$),
52 facial swelling ($n = 45$) and rhinorrhoea ($n = 37$). There was significant agreement between
53 the co-reporting of throat swelling ($\chi^2_1 = 7.59, P = 0.013$) and voice change ($\chi^2_1 = 6.49, P =$
54 0.02) with aural fullness.

55 Conclusions: CAS are common in migraine, are associated with increasing headache
56 frequency and tend to co-lateralise with headache. Voice change and throat swelling should
57 be recognized as possible parasympathetically-mediated CAS. They may be co-associated
58 and associated with aural fullness, suggesting a broadly somatotopic endophenotype.

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60 **Key words**

61 migraine; TAC; cranial autonomic; voice change; throat swelling; headache; trigeminal

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71 **Background**

72 Cranial autonomic symptoms (CAS) have been typically associated with the trigeminal
73 autonomic cephalalgias (TAC's), in which they form part of the diagnostic criteria, and are
74 usually lateralised to the side of the pain ¹. However, it has been increasingly recognised
75 that these symptoms can also occur in migraine and should not deter from the diagnosis ²⁻¹³.
76 The laterality of these symptoms in migraine has also been examined, and co-lateralisation
77 with pain akin to the TAC's has also been demonstrated in some studies, although clearly
78 less commonly than in the TAC's ^{4, 6, 11}. This lateralisation may be predictive of triptan
79 treatment response ^{14, 15}. Similarly, photophobia and phonophobia are also less commonly
80 lateralised in migraine compared to the TAC's ¹⁶.

81 In an experimental study, we have previously demonstrated that CAS can precede headache
82 in migraine ¹⁷, and indeed can occur or persist following headache resolution ¹⁸. Others have
83 shown similar findings in cluster headache, where CAS can occur early before pain onset ¹⁹⁻
84 ²¹, and along with other symptoms may be predictive of an impending bout ²². Clearly,
85 identification and recognition of these symptoms as part of the broader migraine phenotype
86 is important to allow timely diagnosis and effective headache management, in particular in
87 not infrequent, sometimes challenging diagnostic situations for headache physicians, when
88 patients with cluster headache or another TAC have co-existent migraine, and in those with
89 migraine with short attacks.

90 In primary headache disorders, pain from the structures of the head and neck is thought to
91 be as a result of trigeminovascular activation; that is the system of nociceptive bipolar nerve
92 fibres originating in the trigeminal ganglion (TG), with the peripheral innervation of dural
93 vessels and large cranial vessels and venous sinuses (causing vascular dilatation), and a

94 central projection to the caudal brainstem or high cervical cord ^{23, 24}. Cervical dorsal root
95 ganglia also innervate the dura ^{25, 26}. The central pathway runs through the trigeminocervical
96 complex (TCC), and the neuronal inputs from the peripheral and central afferent pathways
97 converge here, with subsequent projection to higher brain areas including the thalamus and
98 cerebral cortex ²⁷. Activation of the TCC leads to neuronal activation within the superior
99 salivatory nucleus (SSN) in the pons ^{28, 29}. An afferent arc in the trigeminal nerve (mainly V1),
100 a reflex parasympathetic connection to the SSN in the pons and an efferent arc in the facial
101 nerve, via the sphenopalatine ganglion (SPG) and greater superficial petrosal nerve, are
102 thought to mediate CAS observed in the TAC's and migraine, using vasoactive intestinal
103 peptide (VIP) ³⁰ and other neurotransmitters ³¹. CAS can be provoked by V1 pain stimulation
104 in healthy volunteers also ³².

105 Parasympathetically-mediated CAS include lacrimation, conjunctival injection and
106 rhinorrhoea, and sympathetic impairment with ptosis, miosis, sweating and flushing are also
107 well reported. Descending control over the TCC and SSN occurs via the periaqueductal grey
108 (PAG) and hypothalamus, amongst other diencephalic areas, such as the locus coeruleus
109 (LC) ³³, and may be a plausible mechanism for top-down activation of the SSN without TCC
110 activation as an explanation for why CAS can present without pain ³³.

111 We were interested in looking into the prevalence of CAS in our clinical cohort of
112 migraineurs, as we suspect that symptoms are more common than often reported in the
113 literature, as systematic questioning may be less clinically pressing when the migraine
114 diagnosis is more obvious. In our clinical history taking, the questions are standardised
115 regardless of likely underlying diagnosis so we felt that this may increase patient reporting
116 of symptoms, despite it possibly leading to a degree of reporting bias. In addition, we have

117 been interested in CAS phenotype and laterality. Despite the most reported symptoms, the
118 phenotype of symptoms likely mediated by cranial autonomic activation has emerged over
119 time. One of these more recently identified symptoms is aural fullness³⁴⁻³⁶, a sensation of
120 the ear feeling full and uncomfortable, and sneezing^{37,38}. Whilst the majority of cranial
121 vasculature innervation and therefore pain perception comes from V1²³, we suggest based
122 on previous work, that pain and CAS can be mediated via other divisions of the trigeminal
123 nerve (in the absence of vascular dilatation).

124 In our experience in a specialist TAC clinic and in running a specialist Orofacial Pain clinic³⁹,
125 patients with TAC's and indeed migraine, do report pain outside of the V1 dermatome. We
126 have witnessed the reporting of voice change and/or a sensation of throat swelling, which
127 may be related possible CAS, by patients affected by the primary headache disorders. These
128 symptoms are not yet reported in the literature and may represent additional symptoms in
129 the broadening CAS phenotype, perhaps associated with involvement of the V3 division of
130 the trigeminal nerve.

131 In this study, we therefore sought to examine the prevalence and phenotype of CAS
132 reported by migraineurs within our clinic, and to look at association with headache laterality
133 and baseline headache frequency. We also aimed to evaluate the reporting of voice change
134 and/or throat swelling as possible CAS within a separate cohort of those across migraine
135 and TAC clinics, to evaluate how often these symptoms were reported, co-occurrence with
136 other CAS and association with pain site.

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139 **Methods**

140 We aimed to study the prevalence and phenotype of CAS among migraineurs within our
141 clinical cohort, as well as the reporting of voice change and/or throat swelling as possible
142 CAS among all primary headache disorders.

143 The study was conducted via review of the first outpatient clinic letter from first assessment
144 within our service for selected patients, as this letter includes the details of a standardised
145 detailed headache history taken by a trained headache physician, including age, headache
146 diagnosis, baseline headache frequency and CAS phenotype. The general migraine cohort
147 will be called cohort 1 and the smaller cohort of all primary headache disorders with voice
148 change and/or throat swelling will be called cohort 2.

149 This study was considered a service evaluation of our history taking, and therefore, by UK
150 guidance, did not require independent ethical approval. All data collected were anonymised
151 before collation.

152

153 Characteristics of participants

154 *Cohort one:*

155 Migraineurs seen within our tertiary headache service between 2014 and 2018 were
156 selected ($n = 340$). Clinical information regarding age, disease duration, sex, headache
157 frequency, headache diagnosis, headache laterality, CAS phenotype and laterality was
158 acquired from the first consultation clinic letter. For CAS laterality, the CAS were only
159 deemed to be lateralised if all symptoms occurred unilaterally on the same side only.

160 Headache was deemed unilateral (right or left), or bilateral, based on the laterality of most
161 of the attacks decided by the patient.

162 *Cohort two:*

163 First appointment clinic letters for patients seen within our service in any primary headache
164 clinic between 2016 and May 2021, and letters containing the word 'voice' ($n = 65$), or
165 'throat' were selected ($n = 151$). Only those in which voice change and/or throat swelling
166 were mentioned as CAS were analysed ($n = 64$). Information regarding patient age,
167 headache diagnosis, pain site, preventive use and phenotype of reported CAS was collated.

168 Statistical analysis

169 All data were collected anonymised, and an SPSS data sheet was populated. Descriptive
170 statistical analyses were performed to assess the phenotype of CAS reported, and
171 correlation analysis was used to look for an association between headache frequency and
172 number of CAS and Chi-square to examine the relationship between headache laterality and
173 CAS laterality (IBM SPSS v27). In addition, the co-occurrence of voice change and/or throat
174 swelling as a possible CAS with other CAS in cohort two was assessed using Chi-square
175 analysis.

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181 **Results**

182 *Cohort one:*

183 The mean age at evaluation was 43 years (range 14-94, SD 15), with a mean disease
184 duration of 26 years (range 2-77, SD 14). The majority (84%) were female.

185 The most common diagnosis was chronic migraine (78%), and aura was present in 54%. The
186 median number of headache days a month was 26 (range 1-30, IQR 15-75).

187 At least one CAS was reported in 74%. A median of two CAS were reported (range 0-9, IQR
188 0-3), with the most common being nasal congestion (32%), lacrimation (31%) and aural
189 fullness (25%). The complete phenotype of symptoms is shown in Figure 1.

190 Most patients reported unilateral headache (80%) and strictly unilateral CAS (64%). There
191 was a positive association between headache laterality and strict CAS laterality in the same
192 individual ($\chi^2_{1} = 20.7, P < 0.001$).

193 There was a positive correlation between headache frequency at baseline and the total
194 number of CAS reported in any individual ($r = 0.12, P = 0.047$), see Figure 2.

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196 *Cohort two:*

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198 Subjects included in the analysis ($n = 64$) were 72% female, age range 23-83 years (median
199 49, IQR 39-61).

200

201 Headache diagnoses are summarised in Figure 3.

202

203 The majority (89%) described pain in the distribution of the trigeminal nerve; 25% involving
204 all three divisions, with 67% including V3. The pain sites (non-trigeminal distribution and the
205 breakdown of trigeminal distribution pain) are shown in Figure 4.

206

207 Less than half the patients (47%) were on headache preventive therapy; the preventive
208 drugs taken are summarised in Table 1.

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212 *Table 1 Summary of preventive drugs taken by patients in cohort 2.*

Preventive drug(s)	Number of patients taking drug (n)
None	34
Fremanezumab	1
Carbamazepine and pregabalin	2
Melatonin and non-invasive vagal nerve stimulation	1
Pregabalin	2
Non-invasive vagal nerve stimulation	4
Botulinum toxin A	2
Candesartan	5
Gabapentin	1
Indomethacin	1
Amitriptyline	7
Verapamil	2
Carbamazepine	1
Topiramate	1

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219 Throat swelling was reported by 54, voice change by 17, and both by 7.

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221 Between 1 and 11 CAS were reported (median 6, IQR 3-7); the most common were

222 lacrimation ($n = 47$), facial swelling ($n = 45$) and rhinorrhoea ($n = 37$). The complete

223 phenotype is shown in Figure 5.

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225 There was significant agreement between the co-reporting of throat swelling ($\chi^2_1 = 7.59$, $P =$

226 0.013) and voice change ($\chi^2_1 = 6.49$, $P = 0.02$) with aural fullness.

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240 Discussion

241 The data demonstrate a high frequency of reporting of CAS in migraine, with a varied CAS
242 phenotype. There is a significant weak positive correlation between the number of CAS
243 reported and baseline headache frequency, suggesting more symptoms associated with
244 increasing headache burden. This has been previously reported ^{3, 4, 6, 9, 11}.

245 There is also a statistically significant association between headache and CAS laterality in
246 migraine, suggesting contrary to some of the existing literature ^{5, 7}, that CAS do tend to
247 lateralise to the side of headache in migraine, similarly to in the TAC's. This may be the case
248 because of the high proportion of chronic migraineurs and the high percentage of
249 lateralised headache in the cohort studied. Some previous migraine studies do suggest more
250 CAS in strictly unilateral and more severe headache ^{3, 4, 6, 9, 11}, as well as an association with a
251 higher degree of central sensitisation and allodynia ².

252 Voice change and/or throat swelling are not infrequently reported in our study, and may
253 represent parasympathetically-mediated CAS, particularly given the majority of those
254 reporting these symptoms have pain involving the third division of the trigeminal nerve. The
255 co-association with aural fullness contributes to this idea that these symptoms may form
256 part of a broadly somatotopic endophenotype, and this is an area that should be explored
257 further.

258 The biological association between headache intensity and chronicity with CAS reporting is
259 perhaps to be expected and suggests that the trigeminal autonomic pathway must be more
260 engaged with increasing disease activity, as increasing TCC activation drives SSN activation.
261 However, CAS have been reported in the absence of pain in both migraine ⁴⁰ and cluster
262 headache ¹⁹⁻²², so clearly pain is not a pre-requisite to their manifestation. Functional

263 imaging work in migraine has suggest early involvement of the region of the dorsolateral
264 pons prior to pain onset ⁴¹⁻⁴³, and the superior salivatory nucleus (SSN) is located in this
265 area. In addition, involvement of the hypothalamus, PAG and other areas has been
266 suggested prior to pain onset in migraine ⁴¹, as have alterations in thalamocortical pathways
267 ⁴⁴, suggesting that the pathways involving the SSN and its descending modulation via higher
268 brain structures, may be at play before pain onset in migraine and that activation of the SSN
269 does not solely have to occur through nociception and TCC activation. It is therefore
270 possible that top-down activation of the SSN and the autonomic pathway causes
271 manifestation of CAS in the absence of pain in some individuals, and that activation of the
272 pain pathway via the TCC feeds into this to worsen CAS in the presence of pain, and with
273 increasing headache burden. Understanding these fundamental mechanisms of
274 neurobiology of migraine and the TAC's is vital to advancing therapeutics.

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276 The identification of voice change, and perhaps the related sensation of throat swelling, as
277 potential cranial autonomic symptoms further increase the heterogenous phenotype of CAS
278 associated with the primary headache disorders. The suggested co-association of aural
279 fullness, and the involvement of the V3 dermatome in the majority of cases of these
280 symptoms, indicates that these symptoms may form part of a broadly somatotopic
281 endophenotype; this is something that has not formally been reported before. Previous
282 work has shown that painful capsaicin injection into the V1 area of the trigeminal nerve
283 distribution causes pain in that area and accompanying dilatation of the selective
284 intracranial portion of the internal carotid artery. In contrast, injection into V3 produces
285 pain without accompanying vascular dilatation, suggesting that whilst there is an anatomical

286 preference for V1 as the major contributor to cranial vasculature innervation, vessel
287 dilatation is neither specific to primary headache disorders, nor necessary for pain
288 perception. Trigeminal innervation of the neurovasculature is somatotopically organised
289 and occurs in response to any pain ²⁹. Contributing to this theory is a study that showed
290 persistence of cluster headaches with associated CAS in a patient who had undergone
291 trigeminal root section on the side of pain ⁴⁵, suggesting that absence of ipsilateral vessel
292 dilatation mediated via trigeminal innervation is not necessary for pain perception or CAS
293 mediation. Potentially highly somatotopically organised CAS may therefore be mediated by
294 V2 and V3 also, without vascular dilatation being present. Further investigating the
295 association between different CAS and pain locations systematically in the primary
296 headache disorders (not just in suspected TAC's) would help broaden this understanding
297 and provide an anatomical and physiological correlate for many other under-reported
298 possible CAS in headache practice. We have also witnessed the reporting of local areas of
299 swelling outside of typical areas in the face (periorbital and cheek), including in areas of the
300 scalp and neck, and parts of the gums, within our Headache and Orofacial Pain clinics, which
301 may be similarly mediated somatotopically localised CAS.

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303 This study has some limitations that are important to acknowledge. The two cohorts include
304 different subjects, and the cohort for the possible new symptoms is small, as this was
305 identified as a secondary objective when planning the evaluation. It is therefore difficult to
306 draw definite conclusions from this cohort. We are keen to extend these observations in
307 due course following adding these symptoms into our routine clinical questioning. In
308 addition, there is a selection bias as all patients are from our tertiary clinics and therefore

309 are more likely to have chronic and refractory headache disorders. Further work in different
310 study populations with different headache burdens and medication use would help
311 understand the true association of CAS with headache burden and the effect of migraine
312 prevention on their reporting. In addition, our systematic history taking may lead to a
313 degree of reporting bias of some CAS, but we feel that this form of questioning increases the
314 likelihood of symptom capture and ensures the history elements are consistent from patient
315 to patient. Further work in this area using population and primary care samples may give
316 better ideas on CAS prevalence in migraine and help to evaluate further the broader CAS
317 phenotype. We did not look to record the pain site and association with CAS phenotype in
318 cohort one, but this is something we would aim to do going forwards, with the hope of
319 contributing to the understanding of the anatomical mediation of different CAS depending
320 on pain site. We hope to expand our analysis of patients by evaluating our Orofacial Pain
321 clinic as these patients are more likely to have pain in the V2 and V3 areas of the face.
322 Functional imaging of CAS, both in the absence of pain experimentally, and in the presence
323 of pain, may offer important biological insights into the mediation of these symptoms.

324 **Conclusions**

325 We suggest that CAS are common in migraine, similar in phenotype to the TAC's and tend to
326 lateralise with the pain in chronic lateralised migraine. Increasing CAS reporting is associated
327 with increasing headache burden, although previous work in migraine and cluster headache
328 suggest pain is not a prerequisite for their manifestation. The involvement of the SSN via
329 top-down activation from higher brain structures such as hypothalamus, at least in some
330 sufferers, is likely to occur, suggesting TCC activation is not the only means of stimulating
331 the cranial autonomic pathway. We also propose that two further possibly associated

332 symptoms: voice change and a sensation of throat swelling, may be parasympathetically-
333 mediated CAS, which are under-reported in clinical practice, and may be co-associated with
334 aural fullness. This co-association, and the symptoms being mostly present in those
335 reporting pain involving the V3 dermatome, suggests that these symptoms and perhaps
336 other CAS too, contribute to a broadly somatotopic endophenotype, mediated by all three
337 divisions of the trigeminal nerve in the absence of vascular dilatation. This would suggest
338 that further systematic questioning about CAS phenotype and pain site in all the primary
339 headache disorders may yield interesting insights into how these symptoms are mediated,
340 and therefore into the fundamental neurobiology of these disorders.

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352 List of abbreviations

353 CAS cranial autonomic symptoms

354 CCH chronic cluster headache

355 CM chronic migraine

356 ECH episodic cluster headache

357 EM episodic migraine

358 HC hemicrania continua

359 LC locus coeruleus

360 PAG periaqueductal grey

361 SSN superior salivatory nucleus

362 SUNCT short-lasting unilateral neuralgiform headache with conjunctival tearing and

363 lacrimation

364 SUNA short-lasting unilateral neuralgiform headache with autonomic features

365 TAC trigeminal autonomic cephalalgia

366 TCC trigeminocervical complex

367 TG trigeminal ganglion

368 VIP vasoactive intestinal peptide

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371 **Declarations**

372 This study was conducted with the aim of increasing the quality of our symptom capture
373 and history taking among our clinical patients (a quality improvement project), and
374 therefore no specific ethical approval or consent to participate was sought. Subject consent
375 was therefore not acquired prior to preparation of this manuscript.

376 The datasets generated and analysed during this study are available at reasonable request
377 from the corresponding author.

378 The authors declare no competing interests related to this work.

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390 NK was responsible for data collation, analysis and writing the first draft of the manuscript.

391 KN contributed to data collection. PJG is the senior author and provided expert input. All
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395

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536 **Figure legends**

537

538 *Figure 1 The phenotype of CAS reported in cohort 1. The y axis represents the number of patients reporting each symptom.*

539

540 *Figure 2 Weak positive correlation between headache frequency at baseline (x) and total number of CAS reported (y).*

541

542 *Figure 3 Summary of headache diagnoses in cohort 2. CM-chronic migraine, SUNCT- short-lasting unilateral neuralgiform*

543 *headache with conjunctival injection and tearing, SUNA- short-lasting unilateral neuralgiform headache with cranial*

544 *autonomic symptoms, ECH- episodic cluster headache, CCH- chronic cluster headache, HC- hemicrania continua, trigem*

545 *neuropathies- trigeminal neuropathies, undiff unilateral- undifferentiated continuous lateralised headache, EM- episodic*

546 *migraine*

547

548 *Figure 4 Summary of pain locations in cohort 2.*

549

550 *Figure 5 Summary of phenotype of CAS reported by cohort 2. The y axis represents the number of patients reporting each*

551 *symptom.*

552

Figures

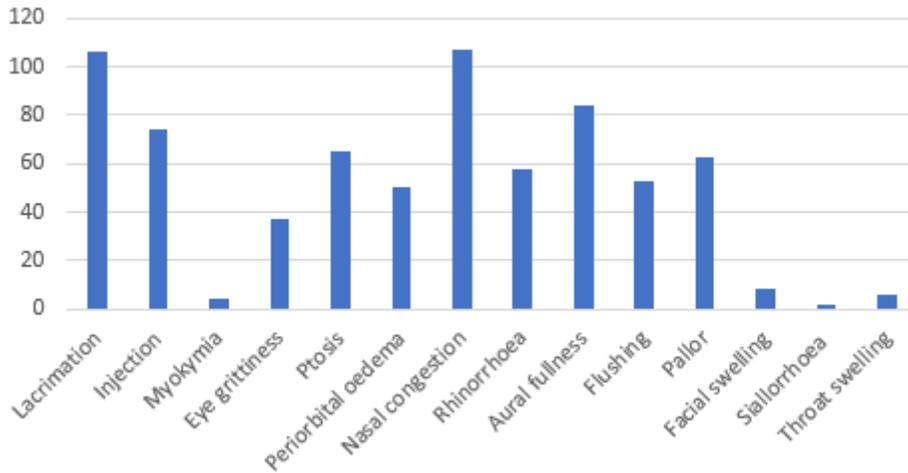


Figure 1

The phenotype of CAS reported in cohort 1. The y axis represents the number of patients reporting each symptom.

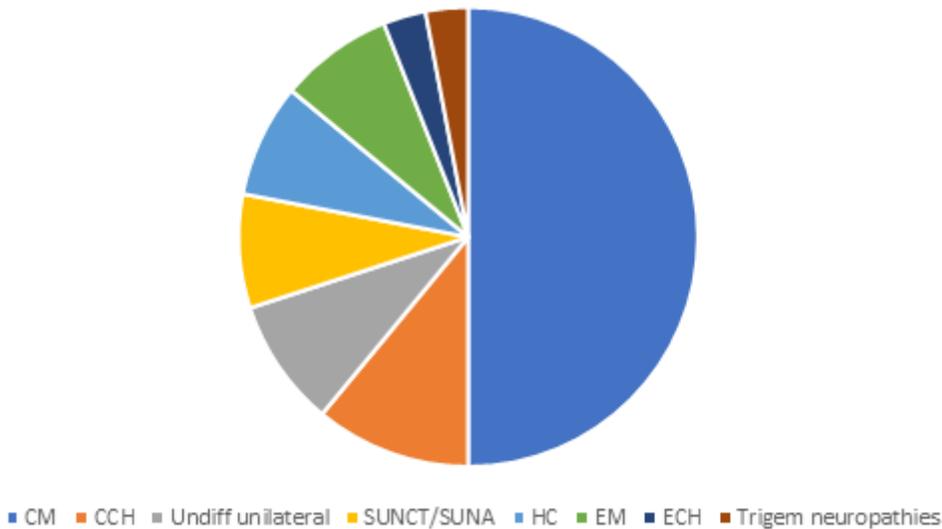


Figure 2

Weak positive correlation between headache frequency at baseline (x) and total number of CAS reported (y).

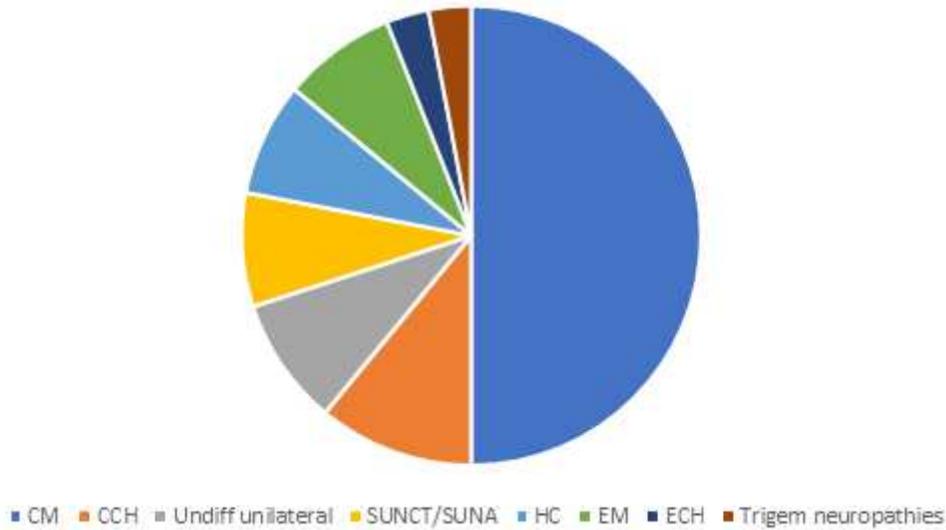


Figure 3

Summary of headache diagnoses in cohort 2. CM-chronic migraine, SUNCT- short-lasting unilateral neuralgiform headache with conjunctival injection and tearing, SUNA- short-lasting unilateral neuralgiform headache with cranial autonomic symptoms, ECH- episodic cluster headache, CCH- chronic cluster headache, HC- hemicrania continua, trigem neuropathies- trigeminal neuropathies, undiff unilateral- undifferentiated continuous lateralised headache, EM- episodic migraine

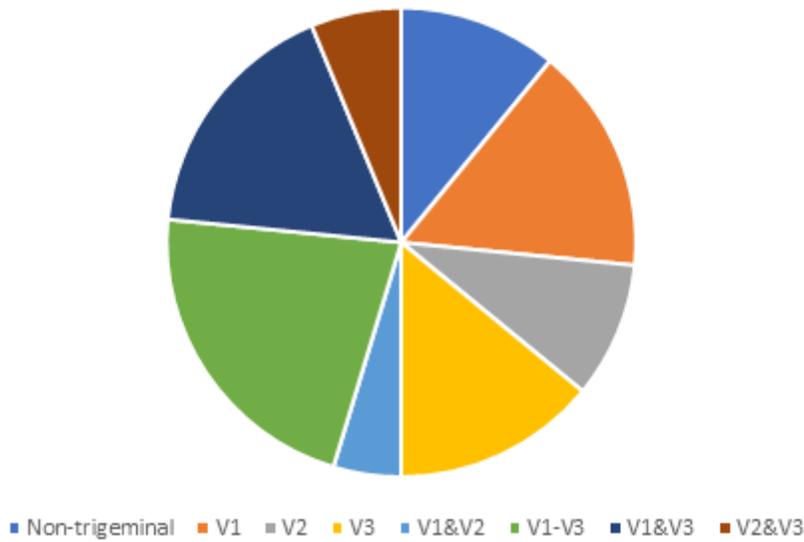


Figure 4

Summary of pain locations in cohort 2.

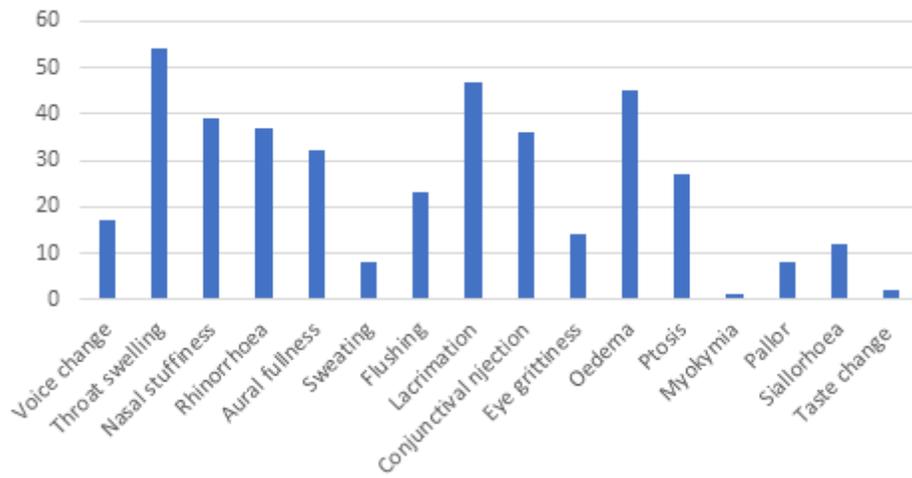


Figure 5

Summary of phenotype of CAS reported by cohort 2. The y axis represents the number of patients reporting each symptom.