

Growth Patterns and Clinical Outcomes in Association with Breastfeeding in HIV Exposed and Unexposed Infants in KwaZulu Natal, South Africa

Larisha Pillay University of KwaZulu-Natal College of Health Sciences Dhayendre Moodley University of KwaZulu-Natal College of Health Sciences Lynda Marie Emel Fred Hutchinson Cancer Research Center Ntombifikile Maureen Nkwanyana University of KwaZulu-Natal College of Health Sciences Kimesh Loganathan Naidoo (Imaidook9@ukzn.ac.za) University of KwaZulu-Natal College of Health Sciences

Research article

Keywords: HIV, Infants, Breastfeeding, Growth trajectories, Clinical outcomes

Posted Date: October 13th, 2020

DOI: https://doi.org/10.21203/rs.3.rs-90849/v1

License: (a) This work is licensed under a Creative Commons Attribution 4.0 International License. Read Full License

| 1 | Growth Patterns and Clinical Outcomes in Association with Breastfeeding in HIV |
|----|---|
| 2 | Exposed and Unexposed Infants in KwaZulu Natal, South Africa |
| 3 | |
| 4 | Larisha Pillay, MBChB, FCPaeds (SA) ¹ , Dhayendre Moodley, PhD ² , Lynda Marie Emel, PhD ³ , |
| 5 | Ntombifikile Maureen Nkwanyana, MSc Statistics, PhD ⁴ , Kimesh Naidoo, MBChB, FCPaeds (SA), |
| 6 | PhD ¹ |
| 7 | |
| 8 | ¹ Department of Paediatrics and Child Health, School of Clinical Medicine, University of KwaZulu- |
| 9 | Natal, 719 Umbilo Road, Congella, 4013, South Africa |
| 10 | ² Department of Obstetrics and Gynaecology, School of Clinical Medicine, University of KwaZulu- |
| 11 | Natal, 719 Umbilo Road, Congella, 4013, South Africa. |
| 12 | ³ Biostatistics, Bioinformatics, and Epidemiology/VIDD, Fred Hutchinson Cancer Research Center, |
| 13 | Seattle, WA USA |
| 14 | ⁴ Discipline of Public Health Medicine, College of Health Sciences, University of KwaZulu-Natal, |
| 15 | South Africa |
| 16 | |
| 17 | *To whom correspondence should be addressed: Dr K L Naidoo, Department of Paediatrics and Child |
| 18 | Health, School of Clinical Medicine, University of KwaZulu-Natal, 719 Umbilo Road, Congella, 4013, |
| 19 | South Africa. Email: <u>naidook9@ukzn.ac.za</u> Tel: +27 31 2604350 |
| 20 | |
| 21 | Running title: Infant growth faltering breastfeeding HIV-exposed and unexposed infants |
| 22 | |
| 23 | Keywords: HIV; Infants; Breastfeeding; Growth trajectories, Clinical outcomes |
| 24 | |
| 25 | |
| 26 | |
| 27 | |

28 Abstract

Background: Exclusive breastfeeding for six months and breastfeeding with complementary feeds until 12 months for infants exposed to HIV (IEH) or 24 months for infants unexposed to HIV (IUH) is the current World Health Organisation (WHO) recommendation for LMICs to improve clinical outcomes and growth trajectories in infants. In a post hoc evaluation of IEH and IUH cohorts, we examine growth patterns and clinical outcomes in the first six months of infancy in association with breastfeeding duration.

Methods: Two non-contemporaneous cohorts of infants born to women living with HIV and women without HIV, from a low-socioeconomic township in South Africa, were evaluated from birth until nine months of age. Clinical, anthropometric and infant feeding data were analysed. Standard descriptive statistics and regression analysis were performed to determine the effect of HIV exposure and breastfeeding duration on growth and clinical outcomes.

40 Results: Included in this secondary analysis were 123 IEH, and 157 IUH infants breastfed for a median of 26 weeks and 14 weeks, respectively. Median WLZ score was consistently and significantly lower 41 in IEH than IUH at 3, 6 and 9 months (-0.19 vs 2.09; -0.81 vs 0.28; 0.05 vs 0.97 respectively). In 42 43 contrast, the median LAZ score was significantly lower among IUH at 3 and 6 (-1.63 vs 0.91; -0.37 vs 44 0.51). A significantly higher proportion of IUH was classified as stunted (LAZ<-2SD) at 3 and 6 months 45 (3.9% vs 44.9%, 4.8% vs 20.9% respectively) and a higher proportion of IEH experienced one or more 46 episodes of skin rash (44.5% vs 12.8%) and upper respiratory tract infection (30.1% vs 10.9%) 47 (p<0.0001). In a multivariable analysis, the odds of occurrence of wasting, skin rash, URTI or any 48 clinical adverse event in IEH were 2.86, 7.06, 3.01 and 8.89 times higher than IUH after adjusting for 49 breastfeeding duration.

50 Conclusion: Our study has generated additional evidence that IEH is at substantial risk of infectious 51 morbidity and decreased growth trajectories independent of breastfeeding duration. We further report 52 shorter breastfeeding duration in infants not exposed to HIV and the higher prevalence of stunting in 53 this cohort is also independent of breastfeeding duration.

- 54
- 55

56 Introduction

57 UNAIDS reports more than 60% decline in new perinatal HIV infections in 2018 associated with the 58 universal coverage of antiretroviral treatment in antenatal clinics worldwide [1]. South Africa has an estimated 3.5 million HIV exposed uninfected (HEU) children, the highest recorded in the world [2]. 59 60 This is attributed to one of the most successful Prevention of Mother-to-Child Transmission (PMTCT) programmes in Sub-Saharan Africa with universal combination antiretroviral treatment (cART) for all 61 62 pregnant and breastfeeding women living with HIV, safe breastfeeding guidance for 12 months and repeat HIV testing during pregnancy and breastfeeding to identify new HIV infections and to allow for 63 64 early commencement of cART [3,4].

65

Despite the reduction in perinatal HIV infections and HIV-associated morbidity and mortality in the current ART era, the persistently high rate of gastroenteritis, acute respiratory infections and malnutrition in children in general in Sub-Saharan Africa is of increasing concern [5-7]. The rates of stunting, severe acute malnutrition and moderate acute malnutrition remain high in hospital admissions of HIV infected and HIV unexposed children [8].

71

72 Breastfeeding has long been established as the best form of adequate nutrition in infants to reduce the 73 risk of childhood morbidity and mortality [9,10]. The World Health Organisation (WHO) has made 74 substantial investments towards promoting exclusive breastfeeding for six months and continued breastfeeding for up to two years [11]. Much attention, however, has been given to promoting and 75 monitoring breastfeeding among women living with HIV (WLHIV). There is limited evidence of 76 feeding practices and infant wellbeing among women not living with HIV (WNLHIV). In a pooled 77 78 analysis of 21 clinical trials involving over 19 000 HEU infants in Sub-Saharan Africa and Asia, half 79 of the infant deaths occurred before three months of age [12]. While mothers not receiving cART for 80 life contributed to almost 50% of infant deaths, never breastfeeding contributed to 10.8% of infant 81 deaths. Current WHO infant feeding recommendations for HIV exposed infants in low- and middle-82 income countries (LMIC) are exclusive breastfeeding for six months and continued breastfeeding with complementary feeds until 12 months while mothers are virally suppressed on cART. For women not
living with HIV (WNLHIV), it was recommended that infants be exclusively breastfed for six months
but a longer duration of breastfeeding with complementary feeds thereafter until 24 months [11]. Two
recent studies in South Africa reported short breastfeeding duration among women in general regardless
of their HIV status [13,14].

88

With the high rates of HIV infection in childbearing women in SSA and SA, in particular, there has been widespread usage of antiretroviral treatment for both treatment, PMTCT and infant prophylaxis and the known effect of intrauterine exposure to antiretrovirals on infant growth, the opportunity to assess the growth of infants, rates of malnutrition and childhood infections in infants born to mothers not exposed to antiretroviral drugs is becoming rare [15,16].

94

95 Our study aimed at describing the growth patterns and clinical outcomes in two cohorts of infants born 96 to WLHIV and WNLHIV and living in the same geographical and socioeconomic context. In a 97 secondary analysis of data, we report the incidence of respiratory infections, rash or skin infections, 98 diarrhoea, malnutrition and growth faltering in the first six to nine months of infancy in association with 99 breastfeeding duration.

100

101 Patients and Methods

102 This is a secondary analysis of two cohorts of infants born to WLHIV and WNLHIV residing in Umlazi, 103 a peri-urban low-socioeconomic township in South Africa. Between 2007 and 2009, infants exposed to 104 HIV (IEH) were enrolled in a multi-centred randomised placebo-controlled trial (HPTN046) designed to investigate the efficacy of extended Nevirapine prophylaxis in preventing breastfeeding transmission 105 of HIV-1 [17]. For the secondary analysis, we selected infants who were enrolled in Umlazi and who 106 did not receive NVP prophylaxis, nor did their mothers receive cART during pregnancy and 107 breastfeeding. Between 2017 and 2018, pregnant women without HIV were enrolled in an observational 108 109 cohort study (CAP088) designed to determine the incidence of HIV during pregnancy and breastfeeding 110 [18].

111

Infants in the HPTN046 study were enrolled within seven days of birth, had to test negative with HIV 112 DNA PCR, birthweight at least 2000g and able to breastfeed. Gestational age was not determined. 113 Clinical, anthropometric and infant feeding assessments were conducted by research nurses and 114 115 clinicians at two, five, six and eight weeks and three, four, five, six, nine, 12 and 18 months. In the CAP088 study, clinical, anthropometric and infant feeding assessments were conducted and 116 117 documented in the Road-to-Health Card by primary health care nurses as per the IMCI guidelines at three, six and nine months of age. A copy of the completed Road-to-Health Card was filed in the 118 maternal folder. 119

120

121 For this study, the growth outcomes, length and weight measurements at birth, three, six and nine 122 months were used to estimate the weight-for-age (WAZ), length-for-age (LAZ) and weight-for-length 123 (WLZ) z-scores using the WHO growth standards [19]. Infants were classified as being underweight, 124 having stunting or wasting based on WAZ, LAZ and WLZ <-2 respectively [20]. Clinical outcomes 125 included having a minimum of one episode of rash or skin infection, fever, upper respiratory tract 126 infection (URTI), acute gastroenteritis (AGE) and lower respiratory tract infection (LRTI) between 127 birth and nine months. Hospitalisation during the first nine months of infancy was also included as a 128 clinical outcome.

129

Breastfeeding practice was ascertained by a questionnaire and documented as a "Yes" or "No" at three,
six and nine months and duration of breastfeeding were determined at nine months or the last clinic
visit if infants were not seen at nine months. Infants in the HPTN046 study were tested for HIV at three,
six and nine months using HIV DNA PCR assay. Mothers in the HPTN046 study had a CD4 count done
at the time of infant enrolment.

135

Select data were extracted from the HPTN046 database and transferred to an excel spreadsheet. The substudy Investigator reviewed infant source documents from the CAP088 study and populated the excel spreadsheet with relevant data. The excel database was analysed using the SPSS version 24 statistical package. Descriptive statistics, such as frequencies and percentages, were used to summarise categorical data. We tested for normal data distribution using the Shapiro-Wilk test. With continuous variables that were not normally distributed, we report the median and IQR. Measures of central tendency mean and median and measures of dispersion such as standard deviation and interquartile range were calculated for numerical variables. Pearson chi-square test or Fisher's exact test was used to test if there is an association between clinical and growth outcomes and breastfeeding duration in the two cohorts. An alpha value of 0.05 was considered significant.

Results

Included in this secondary analysis were 123 infants born to women living with HIV (Infants exposed to HIV) and 157 infants born to women without HIV (Infants not exposed to HIV). Women living with HIV and not living with HIV were similar in age, with a median age of 25 vs 23 years, respectively (Table 1). Majority of the women living with HIV or without HIV were not married and not living with their partner (91.8% vs 84.7%). A significantly higher proportion of women without HIV were employed (23.6% vs 4.9%) when compared to women living with HIV (p < 0.0001). Women living with HIV were generally healthy with a median CD4 count of 529 (IQR 457; 612) cells/ml and infants exposed to HIV (IEH) or not exposed to HIV (IUH) were of similar birth weight (3200 g) (p=0.878). The two groups of infants (IEH and IUH) differed significantly in the duration of breastfeeding, with a larger proportion of IUH breastfed for less than six months (52.9%) when compared to IEH (38.2%) (p<0.05) (Table 1). Overall, 70% of women who were employed versus 42% of unemployed breastfed for less than six months (p=0.001).

| Characteristics | Cohort I: Infants Exposed to HIV (n=123) | Cohort II: Infants Not Exposed to HIV (n=157) | P-Value |
|--|--|---|---------|
| Study Period | 2007-2008 | 2017-2018 | |
| Residence | Umlazi, South Africa | Umlazi, South Africa | |
| Maternal age (median [IQR]) | 25 [21; 29] | 23 [19; 28] | 0.072 |
| Relationship | | | |
| Married | 1 (0.8) | 6 (3.8) | 0 1 2 2 |
| Not Married, Living with Partner | 9 (7.4) | 18 (11.5) | 0.132 |
| Not Married, Not Living with Partner | 112 (91.8) | 133 (84.7) | |
| Employed | | | |
| No | 116 (95.1) | 120 (76.4) | <0.0001 |
| Yes | 6 (4.9) | 37 (23.6) | |
| Mode of Delivery [n (%)] | | | |
| Vaginal Delivery | 87 (71.9) | 126 (82.8) | 0.039 |
| Cesarean Section | 34 (28.1) | 26 (17.1) | |
| Maternal CD4 count, cells/mm ³ (median [IQR]) | 529 [457; 612] | 856 [706; 1044] | <0.001 |
| Birth weight, g [median IQR] | 3200 [3000;3450] | 3200 [2870; 3530] | 0.373 |
| Birth Weight Category [n (%)] <2500g ≥ 2500g | 10 (7.6) 121 (92.4) | 8 (5.4) 140 (94.6) | 0.878 |
| Duration of Breastfeeding (weeks) Median (IQR) | 26 (25 ; 38) | 14 (14; 38) | 0.003 |
| Duration of breastfeeding Category [n (%)] < 6months > 6months | 47 (38.2) 76 (61.8) | 83 (52.9) 74 (47.1) | 0.010 |

167 Table 1: A comparison of Maternal and Birth Characteristics for Cohorts 1 and II

168

169 Overall median weight-for-length z scores were consistently and significantly lower in IEH than IUH at three, six and nine months (Table 2) (-0.19 vs 2.09; -0.81 vs 0.28; 0.05 vs 0.97 respectively). The 170 median weight-for-age z score was significantly lower in IEH than IUH at nine months only (0.41 vs 171 0.85). In contrast, the median length-for-age z score was lower among IUH at three, six and nine months 172 173 (-1.63 vs 0.91; -0.37 vs 0.51; 0.13 vs 0.77) reaching statistical significance at three and six months only. 174 When compared to IEH, a significantly higher proportion of IUH were classified as underweight (WAZ<-2SD) at three months (1.6% vs 8.18%) and stunted (LAZ<-2SD) at three and six months (3.9% 175 176 vs 44.9%, 4.8% vs 20.9% respectively).

177

179 Table 2: A comparison of Growth and Clinical Outcomes between Infants Exposed to and Not

180 Exposed to HIV in the 1st nine months of life

| Infant Growth and Clinical Outcomes | Infants Exposed to HIV (n=123) | Infants Not Exposed to HIV (n=157) | P-Value |
|--|-----------------------------------|--|----------|
| Infant Growth, WHO Z scores (m | edian [IQR]) | | |
| Age 3 months | | | |
| WAZ | 0.27 (-0.41; 0.88) | 0.03 (-0.69; 1.03) | 0.360 |
| LAZ | 0.91 (-0.24; 1.72) | -1.63 (-3.60; -0.31) | < 0.001 |
| WLZ | -0.19 (-1.26; 0.76) | 2.09 (0.16; 3.79) | < 0.001 |
| Age 6 months | | | |
| WAZ | 0.31 (-0.38; 0.85) | 0.54 (-0.40; 1.25) | 0.115 |
| LAZ | 0.51 (-0.48; 1.47) | -0.37 (-1.59; 0.99) | 0.001 |
| WLZ | 0.17 (-0.81; 0.79) | 0.92 (0.28; 2.15) | < 0.001 |
| Age 9 months | ~ / / | | |
| WAZ | 0.41 (-0.39; 0.97) | 0.85 (-0.03; 1.82) | 0.007 |
| LAZ | 0.77 (-0.22; 1.93) | 0.13 (-0.58; 1.37) | 0.077 |
| WLZ | 0.05 (-0.67; 0.71) | 0.97 (-0.21; 2.15) | < 0.001 |
| Growth Faltering n(%) | | | |
| Age 3 months | | | |
| Underweight | 2 (1.6) | 9 (8.18) | 0.026 |
| Stunting | 5 (3.96) | 48 (44.9) | < 0.001 |
| Wasting | 11 (8.73) | 6 (5.61) | 0.452 |
| Age 6 months | | | |
| Underweight | 4 (3.23) | 1 (1.49) | 0.659 |
| Stunting | 6 (4.84) | 14 (20.9) | < 0.001 |
| Wasting | 9 (7.26) | 3 (4.48) | 0.545 |
| Age 9 months | | ~ / | - |
| Underweight | 1 (0.82) | 0 | 1.000 |
| Stunting | 7 (5.74) | 1 (2.0) | 0.440 |
| Wasting | 2 (1.64) | 2 (4.0) | 0.581 |
| Infants with 1 or more episodes n | (%) | | |
| Rash/Skin Disease | 59 (44.4) | 20 (12.8) | <0.0001 |
| Fever | 1 (0.8) | 6 (3.9) | 0.129 |
| URTI | 40 (30.1) | 17 (10.9) | < 0.0001 |
| Acute GE | 7 (5.3) | 5 (3.2) | 0.395 |
| LRTI | 4 (3.0) | 4 (2.6) | 1.000 |

181

182 When compared to IUH a markedly higher proportion of IEH experienced one or more episodes of skin

183 rash (12.8% vs 44.5%) and upper respiratory tract infection (10.9% vs 30.1%) (p<0.0001) (Table 2).

184 When stratified by breastfeeding duration, the growth and clinical outcomes among IUH were not

significantly different between infants breastfed for less than six months or six months or more (Table

186 3). In addition, the median LAZ score and the prevalence of stunting did not differ by breastfeeding

187 duration among the IUH (Table 4).

188 Table 3: A comparison of Growth and Clinical Outcomes between LTBF and STBF in Infants

189 Exposed to HIV

| Infant Growth and Clinical Outcomes | Breastfed ≥ 6 months (LTBF) | Breastfed < 6 months (STBF) | P-Value |
|--|------------------------------------|------------------------------------|---------|
| Infant Growth, WHO Z scores (n | · / | | |
| Age 3 months | | | |
| WAZ | 0.40 (-0.23; 1.03) | -0.80 (-0.65; 0.82) | 0.051 |
| LAZ | 1.02 (-0.09; 1.96) | 0.54 (-0.41; 1.49) | 0.068 |
| WLZ | -0.13 (-1.31; 0.58) | -0.43 (-1.22; 0.82) | 0.975 |
| Age 6 months | | 0110 (1122, 0102) | 01770 |
| WAZ | 0.32 (-0.17; 1.11) | 0.20 (-0.71; 0.53) | 0.147 |
| LAZ | 0.61 (-0.64; 1.69) | 0.46 (-0.33; 1.26) | 0.878 |
| WLZ | 0.20 (-0.75; 1.06) | 0.15 (-0.96; 0.62) | 0.229 |
| Age 9 months | 0.20 (0.72, 100) | 0.12 (0.90, 0.02) | 0.22) |
| WAZ | 0.47 (-0.35; 1.03) | 0.29 (-0.62; 0.75) | 0.488 |
| LAZ | 0.68 (-0.25; 1.45) | 0.85 (-0.14; 1.98) | 0.375 |
| WLZ | 0.11 (-0.51; 0.76) | 0.09 (-1.08; 0.37) | 0.117 |
| | 0.11 (0.51, 0.70) | 0.09 (1.00, 0.57) | 0.117 |
| Growth Faltering n(%) | | | |
| Age 3 months | 1 (1.32) | 1 (2.13) | 0.620 |
| Underweight | 3 (3.95) | 2 (4.26) | 0.620 |
| Stunting | 6 (7.89) | 5 (10.64) | 0.035 |
| Wasting | 0(1.89) | 5 (10.04) | 0.410 |
| Age 6 months | 3 (3.95) | 1 (2 17) | 0.514 |
| Underweight | 3 (3.95) | 1 (2.17) 2 (4.35) | 0.514 |
| Stunting | 4 (5.26) | 2 (4.33) 5 (10.87) | 0.020 |
| Wasting | 4 (3.20) | 5 (10.87) | 0.212 |
| Age 9 months | 1 (1 27) | 0 | 0.624 |
| Underweight | 1 (1.37) 4 (5.48) | 3 (6.82) | 0.624 |
| Stunting | 1 (1.37) | 1 (2.27) | 0.529 |
| Wasting | 1 (1.57) | 1 (2.27) | 0.015 |
| Infants with 1 or more episodes n | n(%) | | |
| Rash/Skin Disease | 36 (47.37) | 22 (46.81) | 0.550 |
| Fever | 0 | 1 (2.13) | 0.382 |
| | | | 0.289 |
| | | | 0.149 |
| | | | 0.325 |
| URTI Acute GE LRTI | 21 (27.63) 2 (2.63) 1 (1.32) | 16 (34.04) 4 (8.51) 2 (4.26) | |

| 197 | Table 4: A comparison of Growth Outcomes between LTBF and STBF in Infants Not Exposed |
|-----|---|
| 198 | to HIV |

| Breastfed <u>></u> 6 months (LTBF) | Breastfed < 6 months (STBF) | P-Value |
|--|--|--|
| nedian [IQR]) | | |
| | | |
| 0.17(0.21, 0.89) | 0.04(0.99, 1.12) | 0.370 |
| | | 0.570 |
| , | | 0.098 |
| 2.09 (0.19, 3.99) | 2.15 (0.10, 5.72) | 0.039 |
| | | |
| 0.43 (-0.57: 1.24) | 0.72 (-0.10: 1.71) | 0.453 |
| | | 0.978 |
| 0.86 (-0.06; 2.11) | 1.56 (0.38); 2.75) | 0.408 |
| | | |
| 0.81 (-0.02: 1.7) | 1.22 (0.78: 2.14) | 0.929 |
| | | 0.280 |
| | | 0.812 |
| · · · · · · · · · · · · · · · · · · · | · · · · · | |
| | | |
| 0 | 8 (10.53) | 0.058 |
| 11 (37.93) | 36 (48.0) | 0.387 |
| 1 (3.45) | 5 (6.67) | 0.463 |
| | | |
| 1 (2.08) | 0 | 0.716 |
| 9 (18.75) | | 0.353 |
| 2 (4.17) | 1 (5.26) | 0.638 |
| | | |
| 0 | 0 | 0 |
| 1 (2.78) | 0 | 0.720 |
| 1 (2.78) | 1 (7.14) | 0.485 |
| | $\begin{array}{c} 0.17 \ (-0.31; \ 0.88) \\ -1.45 \ (-2.97; \ -0.31) \\ 2.09 \ (0.19; \ 3.99) \end{array}$ $\begin{array}{c} 0.43 \ (-0.57; \ 1.24) \\ -0.36 \ (-1.54; \ 0.85) \\ 0.86 \ (-0.06; \ 2.11) \end{array}$ $\begin{array}{c} 0.81 \ (-0.02; \ 1.7) \\ -0.03 \ (-0.75) \\ 0.89 \ (0.13; \ 2.18) \end{array}$ $\begin{array}{c} 0 \\ 11 \ (37.93) \\ 1 \ (3.45) \end{array}$ $\begin{array}{c} 1 \ (2.08) \\ 9 \ (18.75) \\ 2 \ (4.17) \end{array}$ | $\begin{array}{ccccccc} 0.17 & (-0.31; 0.88) & -0.04 & (-0.88; 1.13) \\ -1.45 & (-2.97; -0.31) & -1.77 & (-3.69; -0.01) \\ 2.09 & (0.19; 3.99) & 2.13 & (0.16; 3.72) \\ \hline 0.43 & (-0.57; 1.24) & 0.72 & (-0.10; 1.71) \\ -0.36 & (-1.54; 0.85) & -0.45 & (-2.07; 1.04) \\ 0.86 & (-0.06; 2.11) & 1.56 & (0.38); 2.75) \\ \hline 0.81 & (-0.02; 1.7) & 1.22 & (0.78; 2.14) \\ -0.03 & (-0.75) & 0.36 & (-0.23; 1.41) \\ 0.89 & (0.13; 2.18) & 1.31 & (-0.63; 1.92) \\ \hline 0 & 8 & (10.53) \\ 11 & (37.93) & 36 & (48.0) \\ 1 & (3.45) & 5 & (6.67) \\ \hline 1 & (2.08) & 0 \\ 9 & (18.75) & 5 & (26.32) \\ 2 & (4.17) & 1 & (5.26) \\ \hline 0 & 0 \\ 1 & (2.78) & 0 \\ \end{array}$ |

208 Table 5: Multivariable Analysis of adverse growth outcomes and clinical adverse events in

209 Infants Exposed to HIV relative to Infants Not Exposed to HIV adjusted for breastfeeding

210 **duration < 6 months**

| Infant Growth and Clinical Outcomes | Unadjusted OR (95%CI) | P-Value | Adjusted OR (95%CI) | P-Value |
|---|--------------------------|---------|--------------------------|---------|
| Underweight at 3 or 6 months Infants exposed to HIV Infants not exposed to HIV | 0.55 (1.84-1.66) Ref | 0.292 | 0.44 (0.11-1.79) Ref | 0.254 |
| Stunting at 3 or 6 months Infants exposed to HIV Infants not exposed to HIV | 0.15 (0.07-0.30) Ref | <0.001 | 0.15 (0.07-0.34) Ref | <0.001 |
| Wasting at 3 or 6 months Infants exposed to HIV Infants not exposed to HIV | 2.65 (1.10-6.36) Ref | 0.030 | 2.86 (1.09-7.50) Ref | 0.033 |
| <i>Rash/Skin Disease</i> Infants Exposed to HIV Infants not exposed to HIV | 5.42 (3.03-9.69) Ref | <0.001 | 7.06 (3.69-13.51) Ref | <0.001 |
| Upper Respiratory Tract Infection Infants Exposed to HIV Infants not exposed to HIV | 3.52 (1.88-6.57) Ref | <0.001 | 3.01 (1.50-6.03) Ref | 0.002 |
| Any Clinical Adverse Event HIV Exposed Uninfected Breastfeeding<6 months | 6.35 (3.78-10.68) Ref | <0.001 | 8.89 (4.75-16.61) Ref | <0.001 |

211

212 Discussion

In this secondary analysis of growth, clinical and breastfeeding data collected for infants who were HIV exposed (IEH) and unexposed (IUH), we report a significantly shorter breastfeeding duration (median 14 weeks) and a higher occurrence of stunting (45% at 3 months and 21% at 6 months) among IUH in the first six months of life. The shorter duration of breastfeeding was not associated with stunting. We also report lower WLZ scores and higher frequency of rash/skin disease and URTI among the IEH independent of breastfeeding duration.

219

Breastfeeding has long been established as the best form of adequate nutrition in infants to reduce the
risk of childhood morbidity and mortality [9,10]. The WHO has made large investments towards
promoting exclusive breastfeeding for six months and continued breastfeeding up to two years [11]. In
our study, the median duration of breastfeeding was 20 weeks among IUH and 26 weeks among IEH.
Only 46% of IUH and 61% of IEH were breastfeed for six months or more. Early cessation of

breastfeeding in the South African population has also been reported in other studies independent of
HIV exposure [13,14]. In Horwood's study, mothers who were returning to work or school were less
likely to breastfeed (AOR 3.76) [14]. This is consistent with our findings: 70% of women who were
employed breastfed for <6 months versus 42% of unemployed breastfed <6 months.

229

In our study, a significantly higher proportion of IUH was underweight at three months (8.2% vs 1.6%) 230 and stunted at three and six months (44.9% vs 3.96%; 20.9% vs 4.84%) when compared to their IEH 231 232 counterparts. Other South African studies have also reported a high prevalence of stunting in children (28.5%) [21.22]. Although a larger proportion of the IUH were breastfed for less than six months, 233 stunting and being underweight were independent of breastfeeding duration. The occurrence of stunting 234 235 even among the longer breastfed infants is suggestive of other factors that could contribute to the high 236 prevalence of stunting such as mixed feeding or poor quality of breastmilk as a result of poor nutrition 237 in lactating mothers [23,24]. More recent studies have underscored the role of maternal nutrition among 238 lactating mothers [25,26]. Maternal nutrition supplementation preconception or early pregnancy was 239 shown to improve linear growth in infants in the first six months, suggesting that poor nutrition in 240 lactating mothers could influence infant growth despite optimal breastfeeding practice [25,26].

241

242 We report significantly lower median WLZ scores among IEH at three, six and nine months and WAZ score at nine months in comparison to IUH and independent of breastfeeding duration. Poor growth has 243 244 long been associated with HIV exposure; however, nutrition and socioeconomic status have also been 245 considered as significant determinants. In a cross-sectional study in Botswana where IEH were more 246 likely to have been formula-fed, a higher proportion of IEH between six and 24 months was underweight and stunted underscoring the benefits of breastfeeding [27]. However, even if breastfeeding is the norm, 247 in the slums of Nairobi, Kenya stunting was the most common form of undernutrition among IEH 248 249 infants when compared to their HU counterparts [28]. The authors concluded that high undernutrition among IEH was as a result of HIV exposure, the number of children in a household and the lack of food 250 251 aid [28]. Here again, we raise the question of nutrition among lactating mothers. Consistent with our 252 findings, a Ugandan study conducted in the pre-ART era also concluded that duration of breastfeeding

was not associated with adverse growth outcomes in IEH [29]. No matter how long women breastfed,
if nutritionally compromised themselves, they are more likely to provide inadequate nutrition to their
infants via breastfeeding [25,26].

256

257 After adjusting for breastfeeding duration, we have shown that IEH were 2.9, 7.1, 3.0 and 8.9 times at risk of wasting, skin rash, URTI, or any clinical adverse event respectively when compared to their IUH 258 counterparts. Consistent with other studies in the pre-ART era, HIV exposure was independently 259 associated with a higher frequency of any clinical event in early infancy. The largest HIV-exposed, 260 uninfected cohort (ZVITAMBO), which prospectively followed up 14 110 infants in Zimbabwe before 261 the availability of ART, reported higher morbidity and three times higher mortality in IEH when 262 compared to IUH; this mortality risk was higher in the first year of life compared with the second [30]. 263 264 A study in South Africa after universal ART became available, reported significantly more hospitalisations, five times higher prevalence of lower respiratory tract infections and three times higher 265 diarrhoeal diseases in IEH compared to IUH (n=410) [31]. The higher incidence was attributed to 266 267 advanced maternal HIV disease and late ART initiation.

268

In conclusion, our study has generated additional evidence that infants exposed to HIV are at substantial
risk of infectious morbidity and decreased growth trajectories independent of breastfeeding duration.
We further report shorter breastfeeding duration in infants not exposed to HIV and the higher prevalence
of stunting in this cohort is also independent of breastfeeding duration.

273

274 Limitations

Our study is not without limitations. We accept that non-contemporaneous studies are not ideal, but this was the only opportunity to disentangle the effect of ART from HIV on infant growth outcomes. To reduce the selection bias, we selected the IEH cohort specifically enrolled from the same community as the IUH cohort but only a few years apart.

The high attrition rate of IUH infants at three, six and nine months was not unusual at the primary health clinic. As a result, we acknowledge that our findings at nine months are not conclusive due to the small number of IUH assessed at this time point.

283

Another limitation to our study findings is the lack of breastfeeding quality data and maternal nutritionalstatus.

286

287 Implications of our findings:

The findings presented in this study raise concerns on maternal nutrition irrespective of HIV exposure in SSA. The possible interplay of maternal nutrition, the role of maternal nutrition supplements preconception or in early pregnancy must be considered when developing breastfeeding policies for HIV unexposed, and HIV exposed mother-infant pairs.

292

293 DECLARATIONS

Ethics approval and consent to participate: The Institutional Review Board of University of
KwaZulu-Natal approved the study (Ref BE 643/16 sub-study of BE 616/16). In this retrospective data
analysis, participant consent was not obtained, and we used de-identified data.

297

Authors Contributions: LP conceptualised the study, collated the data, interpreted the statistical analysis and wrote the manuscript. DM and KN assisted with conceptualising the study, interpretation of statistical analysis and helped write the manuscript. LME assisted with data collation and reviewed the manuscript. NMN performed the statistical analysis and reviewed the manuscript. All authors have read and approved the manuscript.

303

Acknowledgements: We are grateful to the HPTN046 and CAP088 protocol teams for allowing us
access to the data. We also thank the Umlazi study co-ordinators, data management, laboratory and
clinical teams that conducted the HPTN046 and CAP088 studies.

| 308 Conflicts of Interest: None Decla | ared. |
|--|-------|
|--|-------|

| 3 | 09 | |
|---|----|--|
| | | |

Source of Funding:

- 311 The HIV Prevention Trials Network (HPTN) 046 study was funded by the US National Institutes of
- Health (NIH), initially through the HPTN and later through the International Maternal Pediatric
- Adolescent AIDS Clinical Trials (IMPAACT) group. The HPTN (U01AI46749) has been funded by
- the National Institute of Allergy and Infectious Diseases (NIAID), the Eunice Kennedy Shriver
- 315 National Institute of Child Health and Human Development (NICHD), National Institute of Drug
- 316 Abuse (NIDA), and National Institute of Mental Health (NIMH). NIAID, NICHD, and NIMH have
- funded the IMPAACT Group (U01AI068632).

318

319 The CAP088 study was funded by Family Health International 360 under Cooperative Agreement/

320 Grant No. AID-674-A-14-00009 funded by USAID Southern Africa. CAPRISA provided additional

321 funding for this study.

322

323 Availability of data and materials

The data that support the findings of this study are available from the corresponding author,
upon reasonable request.

328

329

330 **References**

UNAIDS. Global HIV Statistics 2019. <u>https://www.unaids.org/en/resources/fact-sheet</u>. Accessed
 05 May 2020.

- Slogrove AL, Powis KM, Johnson LF, Stover J, Mahy M. Estimates of the global population of
 children who are HIV-exposed and uninfected, 2000–18: a modelling study. Lancet Glob Health
 2020; 8: e67–75.
- 336 3. UNAIDS. Getting to zero: HIV in eastern and southern Africa. Johannesburg 2013.
 337 <u>https://www.unicef.org/esaro/Getting-to-Zero-2013.pdf</u> Accessed 09 May 2020.
- 338 4. Department of Health, South Africa. National Consolidated Guidelines for the Prevention of
- 339 Mother-to-Child Transmission of HIV (PMTCT) and the Management of HIV in Children,
- Adolescents and Adults. 2015 <u>www.health.gov.za</u>. Accessed 16 May 2020.
- 5. Cohen C, Moyes J, Tempia S, et al. Epidemiology of Acute Lower Respiratory Tract Infection in
 HIV-Exposed Uninfected Infants. *Pediatrics*. 2016;137(4):e20153272.
- Brennan AT, Bonawitz R, Gill CJ, et al. A Meta-analysis Assessing Diarrhea and Pneumonia in
 HIV-Exposed Uninfected Compared With HIV-Unexposed Uninfected Infants and Children. J
 Acquir Immune Defic Syndr. 2019;82(1):1-8. doi:10.1097/QAI.00000000002097
- 346 7. Marquez C, Okiring J, Chamie G, et al. Increased morbidity in early childhood among HIV-exposed
 347 uninfected children in Uganda is associated with breastfeeding duration. *J Trop Pediatr*.
 348 2014;60(6):434-441.
- 349 8. Child Healthcare Problem Identification Programme (ChIP),
 350 http://www.kznhealth.gov.za/chrp/CHIP.htm. Accessed 25 Sep 2020.
- 9. Sankar MJ, Sinha B, Chowdhury R, et al. Optimal breastfeeding practices and infant and child
 mortality: a systematic review and meta-analysis. *Acta Paediatr*. 2015;104(467):3-13.
- 10. Feachem RG, Koblinsky MA. Interventions for the control of diarrhoeal diseases among young
 children: promotion of breastfeeding. *Bull World Health Organ*. 1984;62(2):271-291.
- WHO. Infant and Young Child Feeding. <u>https://www.who.int/news-room/fact-sheets/detail/infant-</u>
 and-young-child-feeding Accessed 10 May 2020.
- 357 12. Arikawa S, Rollins N, Jourdain G, et al. Contribution of Maternal Antiretroviral Therapy and
 358 Breastfeeding to 24-Month Survival in Human Immunodeficiency Virus-Exposed Uninfected

359 Children: An Individual Pooled Analysis of African and Asian Studies. *Clin Infect Dis.*360 2018;66(11):1668-1677.

- 361 13. Horwood C, Haskins L, Engebretsen I, Connolly C, Coutsoudis A, Spies L. Are we doing enough?
 362 Improved breastfeeding practices at 14 weeks but challenges of non-initiation and early cessation
 363 of breastfeeding remain: findings of two consecutive cross-sectional surveys in KwaZulu-Natal,
 364 South Africa. *BMC Public Health*. 2020;20(1):440.
- 365 14. Zunza M, Esser M, Slogrove A, Bettinger JA, Machekano R, Cotton MF; Mother-Infant Health
- 366 Study (MIHS) Project Steering Committee. Early Breastfeeding Cessation Among HIV-Infected
- and HIV-Uninfected Women in Western Cape Province, South Africa. AIDS Behav. 2018

368 Jul;22(Suppl 1):114-120. doi: 10.1007/s10461-018-2208-0.

- 369 15. Ramokolo V, Goga AE, Lombard C, Doherty T, Jackson DJ, Engebretsen IM. In Utero ART
- 370 Exposure and Birth and Early Growth Outcomes Among HIV-Exposed Uninfected Infants
- 371 Attending Immunisation Services: Results From National PMTCT Surveillance, South Africa.

372 Open Forum Infect Dis. 2017 Aug 30;4(4):ofx187. doi: 10.1093/ofid/ofx187.

16. le Roux SM, Abrams EJ, Donald KA, Brittain K, Phillips TK, Nguyen KK, Zerbe A, Kroon M,

374 Myer L. Growth trajectories of breastfed HIV-exposed uninfected and HIV-unexposed children

375 under conditions of universal maternal antiretroviral therapy: a prospective study. Lancet Child

376 Adolesc Health. 2019 Apr;3(4):234-244. doi: 10.1016/S2352-4642(19)30007-0. Epub 2019

- 17. Coovadia HM, Brown ER, Fowler MG, et al. Efficacy and safety of an extended nevirapine regimen
 in infant children of breastfeeding mothers with HIV-1 infection for prevention of postnatal HIV-1
 transmission (HPTN 046): a randomised, double-blind, placebo-controlled trial. *Lancet*.
 2012;379(9812):221-228.
- 18. CAP088 Study. HIV incidence rates, socio-behavioural and biological HIV risk factors, HIV
 transmission rates and acceptability of PreP during pregnancy and/or post-natally in KwaZulu Natal. https://www.caprisa.org/Pages/CAPRISAStudies Accessed 10 May 2020
- WHO Multicentre Growth Reference Study Group (2006). WHO Child Growth Standards:
 Length/Height-for-Age, Weight-for-Age, Weight-for-Length, Weight-for-Height and Body Mass

- Index-for-Age: Methods and Development. Geneva, Switzerland: World Health Organization; 312.
 Available at: www.who.int/childgrowth/publication Accessed 10 May 2020
- 388 20. World Health Organisation (2010). Nutrition Landscape Information System (NLIS)
- Available at <u>https://www.who.int/nutrition/nlis_interpretation_guide.pdf</u> Accessed 20
 May 2020.
- 391 21. Rothman M, Faber M, Covic N, Matsungo TM, Cockeran M, Kvalsvig JD, Smuts C Infant
- 392 Development at the Age of 6 Months in Relation to Feeding Practices, Iron Status, and Growth in
 393 a Peri-Urban Community of South Africa. Nutrients. 2018 Jan 12;10(1):73. doi:
- **394** 10.3390/nu10010073.
- 22. Matsungo TM, Kruger HS, Faber M, Rothman M, Smuts CM. The prevalence and factors
- associated with stunting among infants aged 6 months in a peri-urban South African community.
- 397 Public Health Nutr. 2017 Dec;20(17):3209-3218. doi: 10.1017/S1368980017002087. Epub 2017
 398 Sep 7
- 23. Lutter CK, Daelmans BM, de Onis M, Kothari MT, Ruel MT, Arimond M, Deitchler M, Dewey
- 400 KG, Blössner M, Borghi E. Undernutrition, poor feeding practices, and low coverage of key
- 401 nutrition interventions. Pediatrics. 2011 Dec;128(6):e1418-27. doi: 10.1542/peds.2011-1392.
- 402 Epub 2011 Nov 7.Pediatrics. 2011. PMID: 22065267
- 403 24. Fouché C, van Niekerk E, du Plessis LM. Differences in Breast Milk Composition of HIV-Infected
 404 and HIV-Uninfected Mothers of Premature Infants: Effects of Antiretroviral Therapy. Breastfeed
- 405 Med. 2016 Nov;11:455-460. doi: 10.1089/bfm.2016.0087. Epub 2016 Aug 16. PMID: 27529566.
- 406 25. Krebs NF, Hambidge KM, Westcott JL, Garcés AL, Figueroa L, Tsefu AK, et al. Women First
- 407 Preconception Maternal Nutrition Study Group. Growth from Birth through Six Months for
- 408 Infants of Mothers in the "Women First" Preconception Maternal Nutrition Trial. J Pediatr. 2020
- 409 Sep 18:S0022-3476(20)31165-3. doi: 10.1016/j.jpeds.2020.09.032.
- 410 26. Kerac M, Mwangome M, McGrath M, Haider R, Berkley JA. Management of acute malnutrition
- 411 in infants aged under 6 months (MAMI): current issues and future directions in policy and
- 412 research. Food Nutr Bull. 2015;36(1_suppl1):S30–S4.

- 27. Chalashika P, Essex C, Mellor D, Swift JA, Langley-Evans S. Birthweight, HIV exposure and
 infant feeding as predictors of malnutrition in Botswanan infants. *J Hum Nutr Diet*. 2017;30(6):779790
- 416 28. Wambura JN, Marnane B. Undernutrition of HEU infants in their first 1000 days of life: A case in
 417 the urban-low resource setting of Mukuru Slum, Nairobi, Kenya. *Heliyon*. 2019;5(7):e02073.
- 418 29. Muhangi L, Lule SA, Mpairwe H, et al. Maternal HIV infection and other factors associated with
- growth outcomes of HIV-uninfected infants in Entebbe, Uganda. *Public Health Nutr.*2013;16(9):1548-1557.
- 30. Marinda E, Humphrey JH, Iliff PJ, et al. Child mortality according to maternal and infant HIV status
 in Zimbabwe. *Pediatr Infect Dis J.* 2007;26(6):519-526.
- 31. le Roux SM, Abrams EJ, Donald KA, et al. Infectious morbidity of breastfed, HIV-exposed
 uninfected infants under conditions of universal antiretroviral therapy in South Africa: a prospective
 cohort study. *Lancet Child Adolesc Health*. 2020;4(3):220-231.