

# PM2.5 can Promote the Alzheimer's Disease-like Changes through Microglia Related Mechanism in Mice

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**Research**

**Keywords:** PM 2.5, Alzheimer's disease, RNA-Seq, Microglia

**Posted Date:** October 14th, 2020

**DOI:** <https://doi.org/10.21203/rs.3.rs-90903/v1>

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2 **Microglia Related Mechanism in Mice**

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26 **Abstract**

27 **Background:** PM<sub>2.5</sub>, the main particulate air pollutant, poses serious hazard to human health.  
28 Alzheimer's disease (AD) is a major neurodegenerative disease characterized by amyloid  
29 plaques and neurofibrillary tangles. Recent studies reported that PM could promote AD-like  
30 pathologies in human brain. However, the mechanism of PM<sub>2.5</sub>-induced AD-like changes is  
31 still unclear and more investigations are needed for further understanding.

32 **Methods:** In this study, we established experimental model of long-term PM<sub>2.5</sub> exposure with  
33 young/old wildtype C57BL/6 and APP/PS transgenic mice. Behavior assessments  
34 were monitored after four weeks of exposure. The changes of blood cells were detected by  
35 Complete Blood Count and splenic macrophages were detected by flow cytometry.  
36 Immunohistochemical staining was used to observe the damage of PM<sub>2.5</sub> on neurons, the  
37 deposition of A $\beta$  and the changes of microglia. RNA-seq was used to analyze the whole  
38 genome changes of hippocampus after PM<sub>2.5</sub> exposure. In addition, microglia related genes  
39 were analyzed via Real-time PCR.

40 **Results:** After mice were exposed to PM<sub>2.5</sub> for a month, some AD-like behavioral changes,  
41 such as learning and memory impairment were detected especially in old and transgenic mice.  
42 The histopathological changes, such as  $\beta$ -amyloid (A $\beta$ ) deposition, morphological changes of  
43 microglia, as well as great impairments of hippocampus neurons but not cortex neurons were  
44 observed. The analyze of whole-genome expression in the hippocampus suggested long term  
45 PM<sub>2.5</sub> exposure changed the expression of genes related with AD process (mouse behavior  
46 and microglia differentiation). Furthermore, the mRNA level, which related to microglia, of  
47 CD86, CD22, IL-1 $\beta$  was upregulated and CD206, TREM2, TGF- $\beta$ 2 was downregulated.

48 **Conclusions:** Aged population were more susceptible to long-term PM<sub>2.5</sub> exposure and PM<sub>2.5</sub>  
49 could promote AD-like phenotype through microglia related mechanism.

50 **Keywords:** PM<sub>2.5</sub>; Alzheimer's disease; RNA-Seq; Microglia

## 51 **1. Introduction**

52 Long-term exposure to PM<sub>2.5</sub> (the air polluted particles with a diameter less than 2.5 μm)  
53 affects the health of billions of people around the world [1], many of whom, especially the  
54 people in developing countries, are in very dangerous concentrations of PM<sub>2.5</sub> [2]. Although  
55 PM<sub>2.5</sub> exposure have been confirmed to play crucial roles in promoting respiratory and  
56 cardiovascular diseases [3-7], neurodegenerative diseases, like AD, are attracting more and  
57 more researchers' attention [8-11]. Numerous epidemiological and toxicology studies recently  
58 demonstrated that PM<sub>2.5</sub> can cross the Blood Brain Barrier (BBB) and bring about abnormal  
59 expression and deposition of Aβ proteins, neuronal damage, chronic inflammation and even  
60 AD-like changes [8] in the brain [12-14]. But the clearly function and underlying mechanism  
61 are not well illustrated.

62 AD, characterized by progressive cognitive decline with loss of neurons, is considered to  
63 be the most common cause of dementia [15, 16]. With the aging of population becomes more  
64 and more serious, the problem of AD, a disorder destroying patients' lives and bringing  
65 heavy burdens to the family as well as the society, becomes more and more prominent [16,  
66 17]. The genetic factors and environmental factors are usually recognized as major risk  
67 factors for AD [18-20]. All these clues remind the importance of environmental PM<sub>2.5</sub>  
68 exposure in the high incidence of AD at present.

69 Microglia, which is derived from bone marrow progenitor cell in yolk sac of embryo, are  
70 the only immune cells accounts for about 10% of the total cells in central nervous system  
71 (CNS) [21]. The crucial character of microglia related neuroinflammation in acute and  
72 chronic neurodegenerative diseases, like AD, has been demonstrated by numerous studies.  
73 For one thing, microglia could protect neuron cells by engulfing alien substances, clearing  
74 cell fragments, Aβ deposition and etc. For another, microglia related inflammatory responses  
75 could contribute to the increasing of Aβ deposition, neuron damage and even AD risk [22,

76 23].

77 RNA-Seq is a recently developed method for transcriptome profiling using deep  
78 sequencing technology [24]. Compared with microarray analysis, this technique is more  
79 accurate and more sensitive for characterizing transcriptomes with less confounding effects  
80 [25]. Besides providing a precise measurement on gene expression levels, it also owns  
81 capability to discover splice junctions, novel transcripts, alternative splice variants and un-  
82 annotated genes [26]. In recent years, RNA-Seq has been mostly applied to profile the whole-  
83 genome expression of lung tissue or cells after PM<sub>2.5</sub> exposure. However, applications of  
84 RNA-Seq in the effect of PM<sub>2.5</sub> exposure on CNS have not been reported so far.

85 In order to clarify the relationship between PM<sub>2.5</sub> exposure and AD, we established mice  
86 model of long-term exposure to PM<sub>2.5</sub>. Young and old wildtype C57BL/6 mice and APP/PS  
87 transgenic mice were used to explore the effects of PM<sub>2.5</sub> on different groups. We found that  
88 PM<sub>2.5</sub> exposure could induce AD-like changes and had a significant effect on the  
89 hippocampus of mice, especially in the aged group. RNA-Seq was performed on the  
90 hippocampus of the aged group to screen out possible mechanisms and targets. Microglia-  
91 related changes were detected and reexamined by Real-time PCR and immunohistochemical  
92 staining. All data proved that aged population were more susceptible to long-term PM<sub>2.5</sub>  
93 exposure and PM<sub>2.5</sub> might promote AD-like phenotype through microglia related mechanism.

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## 101 **2. Materials and methods**

### 102 **2.1 Animals**

103 Male C57BL/6 mice were purchased from the Dalian Medical University Laboratory  
104 Animal Centre, APP<sup>swe</sup>/PS1<sup>dE9</sup> C57BL/6 J double-transgenic (APP/PS1) male mice on  
105 C57BL/6 background were purchased from Nanjing Medical University Animal Model  
106 Institute. All animals were housed 4-5 per cage at 22±2°C with a 12h light/dark cycle. Animal  
107 experiments performed in compliance with the National Institutes of Health guidelines for the  
108 use of laboratory animals. The ethical standards of the experiments were in accordance with  
109 the guidelines provided by the Committee for the Purpose of Control and Supervision of  
110 Experiments on Animals. The experiments were designed to include control groups for all  
111 experiments as well as randomized procedures and to apply blinded analysis whenever  
112 possible.

113 After a 7-day acclimatization period to the new environment, young (3.5 months old),  
114 old (12 months old) and APP/PS (3.5 months old) mice were weighting 25-35g and then  
115 divided into: Con-young group: Saline only (n = 6), PM<sub>2.5</sub>-young group: PM<sub>2.5</sub>+Saline (n = 6);  
116 Con-old group: Saline only (n = 6), PM<sub>2.5</sub>-old group: Saline+PM<sub>2.5</sub> (n = 6); Con-APP/PS  
117 group: Saline only (n = 6), PM<sub>2.5</sub>-APP/PS group: Saline+PM<sub>2.5</sub> (n = 6) randomly by weight.  
118 After the division of each group, mice were either treated with the PM<sub>2.5</sub> suspension  
119 (100µg/20ul) or with filtered sterile saline (20ul, n=6) by intra-tracheal instillation once every  
120 other day for 4 weeks.

### 121 **2.2 PM<sub>2.5</sub> collection and preparation**

122 The PM<sub>2.5</sub> samples were prepared according to previously published methods [27].  
123 Briefly, PM<sub>2.5</sub> was collected on ultra-fine quartz fiber filters using a PM<sub>2.5</sub> air sampler, four-  
124 stage multinozzle cascade impactor (MCI) (Tokyo Dylec Corp., Tokyo, 79 Japan), from  
125 Dalian (Liaoning, China). The filters adhering PM<sub>2.5</sub> were cut into small pieces and immersed

126 in sterile distilled water, followed by ultrasonic, vacuum-freeze drying, weighed and stored at  
127  $-20^{\circ}\text{C}$  until use. The particles were diluted with sterile saline into  $\text{PM}_{2.5}$  suspension with a  
128 concentration of  $10\text{mg/mL}$ . The  $\text{PM}_{2.5}$  suspension was always sonicated and vortexed before  
129 use.

## 130 **2.3 Behavioral Testing**

### 131 **2.3.1 Elevated Plus Maze Test (EPM)**

132 EPM was used to evaluate the anxiety-like state of animals, because it could reflect the  
133 conflicting behaviors caused by the characteristics of exploring new and different  
134 environments and the aversion to open spaces and heights [28]. The maze is made of white  
135 acrylic glass plate, including two open arms ( $30\times 5\text{ cm}$ ) and two enclosed arms ( $30\times 5\times 15\text{ cm}$ )  
136 [29]. The four arms radiate outward at a  $90$ -degree angle and a central platform ( $5\times 5\text{ cm}$ ) was  
137 used to connect the arms such that the same kind of arms could be opposite to each other. The  
138 maze was placed at  $55\text{ cm}$  above the ground.

139 At the beginning of the experiment, each animal was placed into the central platform  
140 facing the open arms and allowed to explore the maze freely for  $5\text{ minutes}$ . The arms were  
141 cleaned with  $75\%$  ethanol after each trial in this test. All mice's performances were recorded  
142 by video and the total amount of time spent in open arms and the closed arms was calculated  
143 [30]. The reduction of the exploration time in open arms was regarded as increased anxiety.

### 144 **2.3.2 Sucrose Preference Test (SPT)**

145 SPT is widely accepted to evaluate the depressive-like behavior in mouse [31]. The  
146 experiment was conducted as described previously [32] Briefly, animals were given two  
147 bottles of  $1\%$  sucrose solution in the first training day. In the second training day, animals  
148 were served with one bottle of  $1\%$  sucrose solution and one bottle of sterile water. After two  
149 days of training, the formal experiment was conducted. We gave the animal two bottles of  
150 liquid in each animal's cage to choose, one bottle of sterile water and one bottle of  $1\%$

151 sucrose solution. And the bottle positions were switched after 12 h to prevent a position  
152 preference. Each bottle was weighed and the consumption was calculated 24 hours later  
153 (grams converted to ml). The sucrose preference was calculated by the following formula:  
154 Sucrose preference (%) = (sucrose intake(ml)/total fluid intake(ml)) × 100%. The calculation  
155 was conducted according to previous work [33-35].

### 156 **2.3.3 Morris Water Maze Test (MWM)**

157 MWM, an important cognitive test for neurodegenerative diseases like AD, was performed  
158 to test spatial learning and memory of animals[36]. The maze in this work is a circular tank  
159 (120 cm in diameter and 50 cm in height), filled with water to 30 cm height (22±1°C). The  
160 maze was divided averagely into four equal quadrants and a hidden circular platform (10 cm  
161 in diameter) was submerged 1 cm below the water surface in the center of the third quadrant.  
162 Entry points, which were systematically used throughout the experiment, were in other three  
163 quadrants and spaced equally around the edge of the tank. Briefly, the animals were trained  
164 for 90 seconds repeatedly to find the platform using visual information around and time taken  
165 (escape latency) to find the hidden platform was recorded as the learning (acquisition)  
166 process [37]. Mice who can not find the platform within 90 seconds were guided to the  
167 platform and the escape latency of it was recorded as 90 seconds. The animal motion was  
168 recorded and sent to the computer by a digital camera mounted above the center of water  
169 maze.

170 To assess the spatial memory retention of these mice, the spatial probe test was performed  
171 24 hours after the final acquisition session of the navigation test above. In spatial probe test,  
172 the platform was taken away and mice were allowed to explore the maze for 60 seconds.  
173 Record all the performance and figure out the number of times they crossed the original  
174 platform position and the percent of time and distance in the third quadrant.

### 175 **2.3.4 Y-Maze**

176 Y-Maze was used to assess spatial learning and memory in mice. The maze consists of  
177 three arms (47×46×16 cm) and each arm of this Y-maze was positioned at 120° equally. In  
178 the spontaneous alternation test, each mouse was placed at the end of the same arm, the  
179 mouse was allowed to freely explore the maze for 8 min. As previously described, we  
180 recorded the whole body entries (4 paws inside an arm) of mice into all arms and counted  
181 spontaneous alternation when an animal entered three different arms consecutively [38]. The  
182 percentage of spontaneous alternation was calculated by the following formula: [(number of  
183 alternations)/(total number of arm entries – 2)] × 100% [39].

#### 184 **2.4 Complete Blood Count (CBC)**

185 After the behavioral experiment, blood was collected via retro-orbital, 200μl venous  
186 blood was taken into a vacuum vessel containing ethylene diamine tetra acetic acid (EDTA)  
187 anticoagulant. All measurements were performed on the hematologic analyzer Sysmex XN-  
188 1000.

#### 189 **2.5 Cell isolation and Flow cytometry**

190 After the excision of spleen, place it in a 200-mesh cell sieve and grind it thoroughly and  
191 mechanically dissociated in cold phosphate buffer saline (PBS). After lysis of red blood cell  
192 (RBC), spleen cells were washed and re-suspended in PBS. Fluorescence staining was  
193 performed using CD11b antibodies purchased from Abcam. Briefly, the cells from spleen  
194 were re-suspended in PBS and Fc receptors were blocked with purified anti-mouse CD16/32  
195 for 30 min at 4°C. Single cell suspension of spleen were incubated with anti-mouse CD11b-  
196 APC (clone: M1/70 eBioscience) for 20 min at 4°C in the dark. Following incubation,  
197 washing with PBS were performed. After washing, the cells were resuspended in PBS, and  
198 analyzed by flow cytometry on a ACEA NovoCyte™ instrument with Novoexpress  
199 software. According to the negative control, the position of CD11b+ cells was analyzed.  
200 Results were expressed as the percentage of CD11b+ cells (the cells in the right gate/shoulder)

## 201 **2.6 Immunohistochemistry**

202 The hippocampus was cut coronally on a frozen sliding microtome (20 $\mu$ m/tablet). These  
203 20 $\mu$ m coronal sections were obtained with a Leica CM1850 cryostat. Slices were collected  
204 free floating in PBS and processed for immunohistochemistry. Each brain (located  
205 approximately 3.10-1.68 mm from interaural) was cut into about 60-70 slices at the same  
206 level. All immunohistochemical and cell counting procedures have been published previously  
207 [40-43]

208 In brief, after blocking and washing, brain slices were incubated with diluted primary  
209 antibody at 4°C overnight in PBS with 0.25% Triton X-100 (PBST). The next day, the slices  
210 were rewarmed for 1 h at room temperature, and then incubated with corresponding  
211 secondary antibody for 30 min and a streptavidin-biotin system for 30min (KIT-9720;  
212 Ultrasensitive TMS-P, Maixin Biotech, Inc., Fuzhou, China). Finally, stained the brain slices  
213 with the diaminobenzidine tetrahydrochloride (DAB) method.

214 Ionized calcium binding adapter molecule 1 (Iba1) is a microglia/macrophage-specific  
215 calcium-binding protein [44]. Microtubule-associated protein 2 (MAP-2) is usually used as a  
216 specific marker for neurons[45]. Amyloid-beta peptide (A $\beta$ 1-42) is regarded as the main and  
217 special pathological feature of AD[62]. The following primary antibodies were therefore used  
218 for marker detection: anti-IBA-1 antibody (019-19741; Wako Pure Chemical Industries, Ltd,  
219 Japan) (1:2000), anti-MAP-2antibody (GTX133109;Gene Tex,USA) (1:800), anti-A $\beta$ 1-42  
220 antibody (GTX134510-S; Gene Tex, USA) (1:1000).

221 An Olympus IX-71 microscope with a three-axis motorized stage, video camera and  
222 Image J (National Institutes of Health, Bethesda, MD, USA) was used for image analysis.  
223 Three sections of each mouse (one out of six slices distributed from hippocampus (3.10-1.68  
224 mm from Interaural) for staining Nissl, MAP2, IBA-1, A $\beta$ 1-42 respectively) were taken for  
225 counting, and matched for level as closely as possible from animal to animal. To get the same

226 amount of light, the intensity of light was adjusted for unstained control areas in the same  
227 section. The collected Iba-1 and A $\beta$ 1-42 staining images were transformed into integrated  
228 optical density (IOD) images by use of a standard transformation curve by Image-Pro Plus  
229 6.0 software.

## 230 **2.7 Nissl Staining**

231 Hippocampal and cortex neurons were visualized by Nissl staining. The brain slices  
232 were stained with thionine at 37°C for 20 min, the slices were subsequently dehydrated with  
233 70, 75, 90, 95, and 100% alcohol, washed in xylene. Image were imaged by the bright field  
234 microscopy, the number of surviving neurons in the CA1 of the hippocampus and cortex were  
235 manually circled and counted within a unbiased counting fields (100 $\mu$ m $\times$ 100 $\mu$ m) per group.  
236 Each slices was counted in three segments, and the average number was the neuronal density  
237 (ND).

## 238 **2.8 Extraction of total RNAs**

239 Fresh tissue was collected, flash frozen and stored at -80 °C. Hippocampi dissected out in  
240 sterile saline after brains thawed. Total RNAs of mice Hippocampi were extracted by using  
241 TRIZOL reagent (Invitrogen Life Technologies, USA) following the manufacture's protocol.  
242 The concentration and purity of the RNAs were checked by Nanodrop 2000 (Thermo Fisher  
243 Scientific, USA). For RNA sequencing, the RNA integrity was assessed by analyzing  
244 standard denaturing agarose gel electrophoresis and Agilent 2100 was used to determine  
245 RNA integrity number (RIN) value.

## 246 **2.9 Library construction, RNA sequencing and data analysis**

247 Firstly, rRNAs in samples from control and PM<sub>2.5</sub> groups were removed. Then, the  
248 libraries for next generation sequencing were prepared using the Truseq<sup>TM</sup> RNA sample  
249 prep Kit for Illumina (Illumina, USA) according to the manufacturer's instructions. After  
250 enrichment and purification, the libraries were processed for sequencing by Shanghai

251 Origingene Bio-pharm Technology Co. Ltd (Shanghai, China) according to an available  
 252 protocol. After quality control of the original data, the high-quality sequencing data is  
 253 compared with the designated reference genome. The theexpression values is calculated by  
 254 the StringTie tool, and tDESeq algorithm was applied to filter the differentially expressed  
 255 genes. Gene ontology (GO) and Kyoto encyclopedia of genes and genomes (KEGG) analysis  
 256 was performed to facilitate exploring functions of differentially expressed genes and  
 257 pathways involved.

## 258 **2.10 Real-time PCR analysis**

259 Real-time PCR was performed using the SYBR Green ER qPCR Super Mix Universal  
 260 kit with specific primers listed below. The universal two-step reverse transcriptase PCR  
 261 cycling conditions used were as follows: 95 °C for 30s, 95 °C for 5s, followed by 40 cycles of  
 262 60 °C for 30 s. The primer sequences used are listed in Table 1. All data were calculated  
 263 using the  $2^{-\Delta\Delta Ct}$  method.

264 **Table 1. Primers sequence for Real-time PCR analysis**

Gene Target	Forward Primer Sequence (5'-3')	Reverse Primer Sequence (5'-3')
CD86	GACCGTTGTGTGTGTTCTGG	GATGAGCAGCATCACAAGGA
CD206	TTCGGTGGACTGTGGACGAGCA	ATAAGCCACCTGCCACTCCGGT
TREM2	CACTCTGAAGAACCTCCAAGC	ATTCCTGGAGGTGCTGTGTT
CD22	CCACTCCTCAGGCCAGAAACT	TGCCGATGGTCTCTGGACTG
TGF- $\beta$ 2	GTGAATGGCTCTCCTTCGAC	CCTCGAGCTCTTCGCTTTTA
IL-1 $\beta$	CCAGCAGGTTATCATCATCATCC	CTCGCAGCAGCACATCAAC
GAPDH	AAATGGTGAAGGTCGGTGTGAAC	CAACAATCTCCACTTTGCCACTG

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## 266 **2.11 Statistical Analysis**

267 Statistical analyses were carried out using SPSS Statistics version 21.0 (SPSS Inc,  
 268 Chicago, IL). The data are presented as the mean $\pm$ standard error of the mean (S.E.M.).  
 269 Briefly as described previously [43], the data between control and PM<sub>2.5</sub> model group were  
 270 analysed using independent Student t-test. Multiple groups were analyzed by one-way  
 271 ANOVA followed by a Fisher's least significant difference (LSD) post-hoc correction. The

272 figures were made by GraphPad Prism version 7.0 (GraphPad Software, San Diego, CA,  
273 USA). Statistical significance was assumed at \*p<0.05, \*\* p<0.01, \*\*\* p<0.001. vs Control  
274 group. #P<0.05, ##P<0.01, ###P<0.001 vs Young groups after PM2.5 exposure. P values  
275 lower than 0.05 were considered as statistically significant.

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### 297 **3. Results**

#### 298 **3.1 The systemic inflammation after PM<sub>2.5</sub> exposure**

299 The investigations of PM<sub>2.5</sub> exposure usually focused on inflammatory responses  
300 related with respiratory and circulatory system. Systemic inflammation was also suggested to  
301 contribute to the neurodegenerative diseases [46]. Therefore, we first examined the systemic  
302 inflammation after PM<sub>2.5</sub> exposure. The results of peripheral hemogram showed an increase  
303 of total leukocyte counts per liter after long term PM<sub>2.5</sub> exposure especially in APP/PS  
304 transgenic AD model. PM<sub>2.5</sub> aspiration also increased the platelets (PLT) level in peripheral  
305 blood. RBC counts per liter was almost not changed at all (Table.2)

306

307 **Table 2. Complete Blood Count (CBC) results of young, old and APP/PS mice.**

	Con-young	PM <sub>2.5</sub> -young	Con-old	PM <sub>2.5</sub> -old	Con-APP/PS	PM <sub>2.5</sub> -APP/PS
Leukocyte(10 <sup>9</sup> /L)	11.96 ± 1.433	12.82 ± 1.001	11.63 ± 1.557	11.68 ± 0.661	5.254± 0.340	8.623± 0.677**
RBC (10 <sup>12</sup> /L)	10.23±0.095	10.47± 0.177	9.536±0.168	9.977 ± 0.146	9.882± 0.158	9.878 ± 0.187
PLT (10 <sup>9</sup> /L)	800± 61.29	1015± 53.18*	1071± 116.3	1246 ± 13.8	824± 5.333	1134 ± 86.31*

308 \* p <0.05 for PM<sub>2.5</sub>-young mice vs. Con-young mice; PM<sub>2.5</sub>-APP/PS mice vs. Con-APP/PS mice

309 \*\* p <0.01 for PM<sub>2.5</sub>-APP/PS mice vs. Con-APP/PS mice

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311 Considering the majority (~90%) of CD11b+ splenocytes were monocytes, we then re-  
312 examined the systemic inflammatory response in the biggest peripheral immune organ by  
313 flowcytometry. As shown in Figure.1, PM<sub>2.5</sub> exposure increased the percentage of CD11b+  
314 splenocytes in the young, old and the APP/PS transgenic AD groups significantly, and the  
315 increasing rate were about 23.5%-27% (Figure.1).

#### 316 **3.2 Effects of long term PM<sub>2.5</sub> exposure on spatial learning and memory**

317 To examine the effect of PM<sub>2.5</sub> exposure on spatial learning and memory of mice, Morris

318 water maze and Y maze were used in this experiment. In MWM, PM<sub>2.5</sub> exposure did not  
319 change the swimming speed of mice in the learning phase (Figure.2C). The escape latency,  
320 time to reach to the hidden platform, was longer after PM<sub>2.5</sub> exposure (Figure.2A) especially  
321 at the fifth day of training (Figure.2B). In the probe trial, the time percent spent in the  
322 platform quadrant decreased after PM<sub>2.5</sub> exposure (Figure.2D). The percent of the swimming  
323 distance in the platform quadrant and the number of times crossings the target quadrant were  
324 also decreased significantly after PM<sub>2.5</sub> exposure (Figure.2E, F). The results of Y-maze  
325 showed that the percentage of spontaneous alternation of mice in PM<sub>2.5</sub> treatment groups  
326 were significantly lower than those of the corresponding control groups (Figure.2G) on the  
327 condition that there was no significant difference in the total number of arm entries between  
328 the PM<sub>2.5</sub> treatment groups and the corresponding control groups (Figure.2H). All the results  
329 above suggested that the spatial learning and memory of mice was impaired after PM<sub>2.5</sub>  
330 exposure in this work.

### 331 **3.3 Effects of long term PM<sub>2.5</sub> exposure on the affective behaviors**

332 After 4 weeks of PM<sub>2.5</sub> suspension/saline treatment, the animals in each group were  
333 weighed. PM<sub>2.5</sub> exposure did not change the body weight significantly (Figure.3A). Then,  
334 EPM (for anxiety-like behavior) and SPT (for depressive-like behavior) were used to measure  
335 the affective behaviors of mice. In EPM test, PM<sub>2.5</sub> caused a reduction of the time spent in the  
336 open arms. The reduction was most significantly in the APP/PS transgenic AD group  
337 (Figure.3B). This time decreasing induced by PM<sub>2.5</sub> was not significant in old group  
338 (Figure.3B). But the sucrose preference decreased significantly only in the old group after  
339 PM<sub>2.5</sub> exposure (Figure.3C). All the results above reminded us that the PM<sub>2.5</sub> might have a  
340 greater impact on the affective behaviors of old and the AD population.

### 341 **3.4 Learning and memory impairment may be caused by hippocampus neuron damage** 342 **after PM<sub>2.5</sub> exposure**

343           Considering the behavioral changes of mice after PM<sub>2.5</sub> exposure, we then examined the  
344 pathological changes of hippocampus and cortex by Nissl staining and  
345 immunohistochemistry. The results of Nissl staining of hippocampus CA1 area showed that  
346 the neurons in young control groups exhibited a normal morphology and were neatly  
347 arranged (Figure.4A). The pyramidal neurons in the hippocampus CA1 area of animals in the  
348 old control group and the APP/PS transgenic AD control group were slightly disordered, with  
349 unclear cell structure and deep staining (Figure.4A).

350           Compared with the corresponding control groups, the pyramidal cell layers in the  
351 hippocampus CA1 area in each PM<sub>2.5</sub> treatment group were thinner, the neuron arrangements  
352 were disordered and loose, with unclear unity and arrangement, and a large number of neuron  
353 structures disappeared (Figure.4A). As shown in Figure 4, cortical neurons of animals in  
354 control groups had clear cell structure, full nuclei and rich Nissl bodies in their cytoplasm.  
355 Compared with the corresponding control groups, the cortical neurons of each PM<sub>2.5</sub>  
356 treatment group showed slightly fuzzy cell structure, and slightly decreased cell density of  
357 positive cells with Nissl staining, but there is no statistical difference (Figure.4B).

358           MAP2 is a microtubule-binding protein 2 specifically expressed on neurons. As  
359 shown in Figure 5, there was no significant difference in the number of MAP2 positive cells  
360 between young, old and APP/PS transgenic AD control groups. The arrangement of neurons  
361 of hippocampus were dense and orderly, with regular morphology and clear staining. In the  
362 young PM<sub>2.5</sub> group, the neurons of hippocampus were not neatly arranged with unclear  
363 staining and lower expression of MAP2 (Figure.5). In the old PM<sub>2.5</sub> group, neurons of  
364 hippocampus were irregularly arranged, with large areas without staining, and the expression  
365 of MAP2 was seriously absent (Figure.5). In APP/PS transgenic AD group, neurons of  
366 hippocampus were disordered and loose in cell arrangement, and there were several small  
367 areas without staining around the cells after PM<sub>2.5</sub> exposure (Figure.5). PM<sub>2.5</sub> exposure did

368 not influence the cortical neurons in different groups (data was not shown). All these results  
369 above suggested that the hippocampus neurons were more vulnerable to PM<sub>2.5</sub> exposure. The  
370 hippocampus neurons injury might be responsible for the learning and memory impairment in  
371 this model.

### 372 **3.5 Alzheimer's Disease-liked pathological changes after PM<sub>2.5</sub> exposure**

373 Stage-specific accumulation of A $\beta$  is the pathological hallmarks of AD. As shown in  
374 Figure 6, there were no expression of A $\beta$ 1-42 in the hippocampus CA1 region of young  
375 control or PM<sub>2.5</sub> treated mice, but a small amount of A $\beta$ 1-42 aggregates scattered in the  
376 hippocampus CA1 of the old control group and the APP/PS transgenic AD group (Figure.6).  
377 Compared with that of corresponding control groups, the A $\beta$ 1-42 in hippocampal CA1 of old  
378 PM<sub>2.5</sub> group and APP/PS transgenic PM<sub>2.5</sub> group were significantly increased (Figure.6).  
379 Mice developed pathological characteristics which similar to Alzheimer's disease.

### 380 **3.6 Changes in transcriptomics of hippocampus tissue after PM<sub>2.5</sub> exposure**

381 To explain the reasons for above results, we performed RNA-Seq on hippocampus.  
382 Analyzing the results showed that PM<sub>2.5</sub> has a highly significant effect on gene expression in  
383 hippocampus of aged mice. In total, 479 genes were identified as differentially expressed  
384 genes ( $p < 0.05$ ) (Figure.7), compared with control group, 125 mRNAs were upregulated and  
385 354 mRNAs were downregulated in PM<sub>2.5</sub> group (Figure.7).

386 As shown in Figure.8, in addition to the conventional enriched extracellular matrix  
387 related genes, Gene Ontology (GO) analysis suggested the adhesion and migration related  
388 genes account for a large part of the top 45 classification. Kyoto Encyclopedia of Genes and  
389 Genomes (KEGG) analysis suggested that the microglial function related genes expression,  
390 like phagosome, inflammatory diseases, immune responses were most enriched among the  
391 differentially expressed genes (Figure.9). Analysis of the results of RNA-Seq showed that  
392 during this AD-like phenotype process, obvious changes have occurred in the differentiation

393 markers of microglia, like CD206 (Figure.7).

### 394 **3.7 Detection of microglia related changes after PM<sub>2.5</sub> exposure by Real-time PCR and** 395 **Immunohistochemical staining**

396 To validate the RNA-Seq results in this study, we confirmed the RNA-Seq data using  
397 Real-time PCR. As shown in Figure 10, after PM<sub>2.5</sub> exposure (all the young group, old group  
398 and APP/ PS transgenic group), CD86 was upregulated (Figure.10A) and CD206 was  
399 downregulated (Figure.10B). Further, genes related to phagocytosis, TREM2 was  
400 downregulated (Figure.10C) and CD22 was upregulated (Figure.10D), inflammatory related  
401 gene IL-1 $\beta$  was upregulated (Figure.10E), and an anti-inflammatory gene TGF- $\beta$ 2 was  
402 downregulated (Figure.10F). This change was more obvious in the old and the APP/PS  
403 transgenic groups.

404 Then, we examined the level of IBA-1, a calcium ion binding molecule specifically  
405 expressed on microglia, to reflect the morphology and number of microglia in hippocampus  
406 after PM<sub>2.5</sub> exposure. The results of IBA-1 immunohistochemistry show that, compared with  
407 the young PM<sub>2.5</sub> group, the number of microglia in both the old and the APP/PS transgenic  
408 PM<sub>2.5</sub> groups increased to different degrees, and the increase of microglia in the old mice is  
409 the most significant (Figure.10H). The number and morphology of microglia in the  
410 hippocampal area of the mice treated with PM<sub>2.5</sub> significantly changed, and the number of  
411 cells, size of cell body and branch complexity all increased, showing an obvious activation  
412 state (Figure.10G). All the results indicated that PM<sub>2.5</sub> may promote the Alzheimer's disease  
413 development through microglia dependent mechanism.

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#### 418 **4. Discussion**

419       The results of this study suggested that long-term exposure to PM<sub>2.5</sub> could promote the  
420 progression of AD, accompanied by damage to hippocampal neurons and deposition of A $\beta$   
421 and microglia may play crucial roles in this model. The results also reminded that the  
422 hippocampus of the elderly and AD patients were more susceptible to PM<sub>2.5</sub>. To our  
423 knowledge, our study was the first animal experiment to demonstrate the relationship  
424 between PM<sub>2.5</sub> and AD with long-term exposure by using RNA-seq. We investigated possible  
425 mechanisms and provided potential targets for further research and treatment of AD.

426       Numerous studies and our previous researches have shown that PM<sub>2.5</sub> can enter the lungs  
427 through breathing, causing oxidative damage and inflammatory response to the lung and heart  
428 [47-49]. Only a few studies have focused on the effects of PM<sub>2.5</sub> on the brain. In 2002,  
429 Oberdörster et al first reported that the target of PM in the brain should be concerned [50].  
430 Generally, the process of PM affecting brain is considered to occur through two pathways.  
431 On the one hand, particles may get access to the brain through nasal olfactory pathway [51-  
432 54]. On the other hand, PM could trigger the release of inflammatory mediators from primary  
433 entry organs or secondary deposition sites [10, 55], which may cause or alter the brain's  
434 susceptibility to neuroinflammation and neurodegeneration [55]. In recent years, population-  
435 based studies from Mexico City have reported that air pollutants including PM might  
436 promote AD-like pathologies [56]. Almost all studies in this field just focus on clinical data  
437 analysis or population sample statistics, but few researches concern about the underlying  
438 mechanism of AD-like changes induced by long term PM<sub>2.5</sub> exposure. Considering the  
439 different incidence of AD in different age groups, we used mice of different ages and gene  
440 backgrounds to explore the relationship between long-term PM<sub>2.5</sub> exposure and AD. We also  
441 wanted to figure out the underlying mechanism and potential target of PM<sub>2.5</sub> in the process of  
442 AD in this work. Firstly, after exposed to PM<sub>2.5</sub> for one month, the increased level of

443 leukocytes and PLT in peripheral hemogram suggested a successful PM<sub>2.5</sub> exposure and  
444 systemic inflammation. Meanwhile, the increased level of monocyte in the spleen suggested  
445 that monocytes may play crucial role in this model.

446 AD is a disease characterized by learning and memory disorders, and the incidence rate  
447 of AD is high in the elderly [15]. We then observed the effects of PM<sub>2.5</sub> exposure on learning  
448 and memory behavior of mice through the MWM and Y-maze experiments. We used  
449 different type of mice (young, old and APP/PS transgenic) to explored the relationship  
450 between AD and long term PM<sub>2.5</sub> exposure separately. Our results suggested that PM<sub>2.5</sub>  
451 exposure impaired spatial learning and memory significantly[26], especially for old and  
452 APP/PS transgenic mice. PM<sub>2.5</sub> exposure also influenced the affective behaviors especially  
453 for old and APP/PS transgenic mice. But this effect on affective behaviors was not too strong,  
454 which consistent with the results of epidemiological investigations that some Alzheimer's  
455 patients suffered from depression during the memory deterioration stage [56, 57].

456 The important roles of hippocampus and cortical in learning and memory have been  
457 demonstrated by a lot of investigations [58-60], we therefore examined the morphological of  
458 neurons in these areas. The neuron damage in hippocampus is a typical pathological  
459 characteristic of AD. Previous investigation demonstrated that the maturation of hippocampal  
460 neurons was inhibited and the complexity of dendrites was significantly reduced in SD rats  
461 after exposed to ammonium sulfate for a long time [61]. After PM<sub>2.5</sub> exposure, the results of  
462 Nissl staining and MAP2 in cortex showed that the damage degree of cortical neurons were  
463 not as obvious as that of hippocampus in mice, which suggested the hippocampus might be  
464 more vulnerable in this model.

465 The disposition of A $\beta$  1-42 in brain is the main and special pathological feature of AD  
466 [62]. We then examined the A $\beta$  amyloid in hippocampus by immunohistochemical stain to  
467 explore the relation between long term PM<sub>2.5</sub> exposure and AD. No A $\beta$  could be found in the

468 hippocampus of young PM<sub>2.5</sub> mice, but the A $\beta$  amyloid deposition increased significantly in  
469 the hippocampal CA1 region of old and APP/PS transgenic AD mice. The trend of these  
470 immunohistochemical results was consistent with our previous behavioral results. All these  
471 suggested that long-term inhalation of PM<sub>2.5</sub> might induce AD, and the old population and  
472 people with AD family genetic disorders are more susceptible.

473         Considering the different effect of PM<sub>2.5</sub> among different groups and significant impact  
474 on hippocampal tissues, we chose the hippocampal tissues of old mice for RNA-Seq analysis  
475 to further explore the underlying epigenetics mechanisms in a systematic perspective. From  
476 the transcriptome analysis, 125 increased genes and 354 decreased genes were detected after  
477 long term PM<sub>2.5</sub> exposure. KEGG analysis showed 39 pathways participated the disorders  
478 induced by PM<sub>2.5</sub>, belonging to Environmental Information Processing, Human Diseases,  
479 Organismal Systems, Cellular Processes. The enrichment analysis of these genes also  
480 strongly supported the linkage between long term PM<sub>2.5</sub> exposure and the function of  
481 microglia, like phagocytosis, immune responses and systemic inflammation, most of which  
482 reminded the participation of microglia in this model. Microglia is the innate immune cells of  
483 CNS. Proliferation and activation of microglia in the brain, concentrated around amyloid  
484 plaques, is a prominent feature of AD [63]. The occurrence and development of AD has also  
485 been proved to be related to microglia [22, 23]. In order to verify the results of RNA-Seq and  
486 our speculation in this model, we re-examined the expression of microglia-related genes in  
487 the hippocampal tissue by Real-time PCR. As expected, the tendency of these results was  
488 consistent with that of RNA-Seq, especially in the elderly. The shifted markers of M1/M2,  
489 the increased phagocytosis-related genes and the changed inflammatory factors suggested the  
490 crucial role of microglia after long term PM<sub>2.5</sub> exposure especially in old and AD groups. We  
491 also confirmed the interaction between long term PM<sub>2.5</sub> exposure and microglia in AD like  
492 process by morphology examination. All the results above suggested that after long-term

493 PM<sub>2.5</sub> exposure, microglia related immune and inflammatory responses may play crucial roles  
494 in the development of learning and memory disorders and AD-like changes.

495

## 496 **Conclusions**

497 In summary, the presented results gave the first positive connection between long-term  
498 PM<sub>2.5</sub> exposure and AD development based on RNA-seq analysis of mouse model. Long-  
499 term exposure to PM<sub>2.5</sub> can induce/promote AD, especially in the elderly and AD population.  
500 The damage of neurons and A $\beta$ 1-42 deposition became more serious in mouse hippocampus  
501 after PM<sub>2.5</sub> exposure. Furthermore, microglia played a vital role in our model, and a microglia  
502 dependent immune and inflammatory mechanism might be involved in PM<sub>2.5</sub> induced AD-  
503 like changes and promote Alzheimer's disease progression in CNS. Interfering with this  
504 pathway may have therapeutic and preventive value for AD in future.

505

## 506 **Abbreviations**

507 AD: Alzheimer's disease; BBB: Blood Brain Barrier; CNS: Central nervous system; MCI:  
508 Multinozzle cascade impactor; EPM: Elevated Plus Maze Test; SPT: Sucrose Preference Test; MWM:  
509 Morris Water Maze Test; CBC: Complete Blood Count; PBS: Phosphate buffer saline; RBC: Red  
510 blood cell; DAB: Diaminobenzidine tetrahydrochloride; Iba1: Ionized calcium binding adapter  
511 molecule 1; MAP-2: Microtubule-associated protein 2; A $\beta$ 1-42: Amyloid-beta peptide; IOD:  
512 Integrated optical density; ND: Neuronal Density; RIN: RNA integrity number; GO: Gene ontology;  
513 KEGG: Kyoto encyclopedia of genes and genomes; PLT: Platelets;

514

## 515 **Acknowledgments**

516 We would like to thank Chunqing Liu in particular. She participated in experimental design, animal  
517 experiments and manuscript writing.

518

519 **Authors' contributions**

520 Laiyu Song and Jiaqi Wang: overall organizing of the experiments; Jiaqi Wang, Jie Li, Qiuyuan  
521 Fang, Meiling Meng, Xianzong Meng, Xiaojing Li and Xiao Zhang: performed the experiments;  
522 Xianzong Meng, Jiaqi Wang, Jie Li and Laiyu Song wrote the paper; Wenping Sun, Jia Wang and  
523 Laiyu Song: designed the experiments. All authors have read and approved the final manuscript. The  
524 final manuscript was approved by all authors.

525

526 **Funding**

527 This study was supported by the National Natural Science Foundation of China grants (81502776) and  
528 the Seedling project of Liaoning Province (Grant No. 354 LZ2019065); the Special Grants for  
529 Scientific and Technological Innovation of Dalian (2017RQ123); the Special Grants of Liaoyang  
530 thrombus hospital.

531

532 **Availability of data and materials**

533 The datasets used and/or analyzed during the current study are available from the corresponding  
534 author on reasonable request.

535

536 **Ethics approval and consent to participate**

537 All animal protocols were approved by the Animal Care and Use Committee of Dalian Medical  
538 University in Liaoning.

539

540 **Consent for publication**

541 Not applicable

542

543 **Competing interests**

544 The authors declare that they have no competing interests.

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772 **Figure 1. The systemic inflammation of mice after long term PM<sub>2.5</sub> exposure.**

773 Flow cytometric analysis showed that PM<sub>2.5</sub> increased the percentage of CD11b<sup>+</sup> splenocytes  
774 in the young, old and the APP/PS transgenic AD groups. The results are expressed as the  
775 mean ± SEM (6 mice/group) \*\*P<0.01; \*\*\*P<0.001 vs Control group.

776

777 **Figure 2. The spatial learning and memory of mice after long term PM<sub>2.5</sub> exposure.**

778 A) The escape latency to the hidden platform during days 2–5 of training; B) Escape latency  
779 on the fifth day; C) Swimming speed on the fifth day; D) Percentage of time spent in the  
780 target quadrant. E) Number of times crossing the target quadrant; F) Percentage of swimming  
781 distance in the target quadrant; G) Percentage of spontaneous alternation behavior; H)  
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785 **Figure 3. The affective behaviors changes after long term PM<sub>2.5</sub> exposure.**

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789

790 **Figure 4. Hippocampal and cortex neuron damage visualized by Nissl staining.**

791 A) The representative images of Nissl stained the hippocampal CA1 area of control group  
792 and PM<sub>2.5</sub> treatment group (40×). B) The representative images of Nissl stained  
793 the cortex area of control groups and PM<sub>2.5</sub> treatment groups (40×). The results are expressed  
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795 groups after PM<sub>2.5</sub> exposure.

796

797 **Figure 5. Immunohistochemical staining for MAP2 in the hippocampus after long term**  
798 **PM<sub>2.5</sub> exposure.**

799 The representative immunohistochemical staining image for MAP2 in hippocampus CA1  
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801 \*\*\*P<0.001 vs Control group. #P<0.01; ###P<0.001 vs Young groups after PM<sub>2.5</sub> exposure.

802

803 **Figure 6. Immunohistochemical staining for Aβ1-42 in hippocampus after long term**  
804 **PM<sub>2.5</sub> exposure.**

805 The representative immunohistochemical staining image for Aβ1-42 in hippocampus CA1  
806 area (20×). The results are expressed as the mean ± SEM (6 mice/group). \*\*\*P<0.001 vs  
807 Control group; ###P<0.001 vs Young groups after PM<sub>2.5</sub> exposure.

808

809 **Figure 7. Analysis of differentially expressed genes between control and PM<sub>2.5</sub> groups.**

810 A) Gene expression level of control versus PM<sub>2.5</sub> groups. P<0.05 were used as the threshold  
811 to judge the significance of gene expression difference. B) The heat map and hierarchical  
812 clustering of differentially expressed mRNAs between control and PM<sub>2.5</sub> groups (3  
813 mice/group).

814

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816 **control and PM<sub>2.5</sub> groups.**

817 The functions of genes identified cover three main categories: biological process, cellular  
818 component, and molecular function. Bars represent  $-\log_{10}(p \text{ value})$ .

819

820 **Figure9. KEGG pathways analysis of genes differentially expressed between control and**  
821 **PM<sub>2.5</sub> groups.**

822 The significant pathways for the different expression genes. Bars represent  $-\log_{10}$  ( $p$  value).

823

824 **Figure10. Microglia related gene and protein changes after PM<sub>2.5</sub> exposure.**

825 PM<sub>2.5</sub> exposure increased the mRNA expression of M1 microglia marker CD86(A) and the

826 expression of CD22(D) and IL-1 $\beta$ (E). PM<sub>2.5</sub> exposure decreased the expression of M2

827 microglia marker CD206(B) and the expression of TREM2(C) and TGF- $\beta$ 2(F).

828 Representative immunohistochemical staining image for IBA-1 positive cells in hippocampus

829 CA1 area (40 $\times$ ) (G, H). The results are expressed as the mean  $\pm$  SEM (6 mice/group).

830 \* $P < 0.05$ ; \*\* $P < 0.01$  vs Control group. # $P < 0.05$ ; ### $P < 0.001$  vs Young groups after PM<sub>2.5</sub>

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# Figures

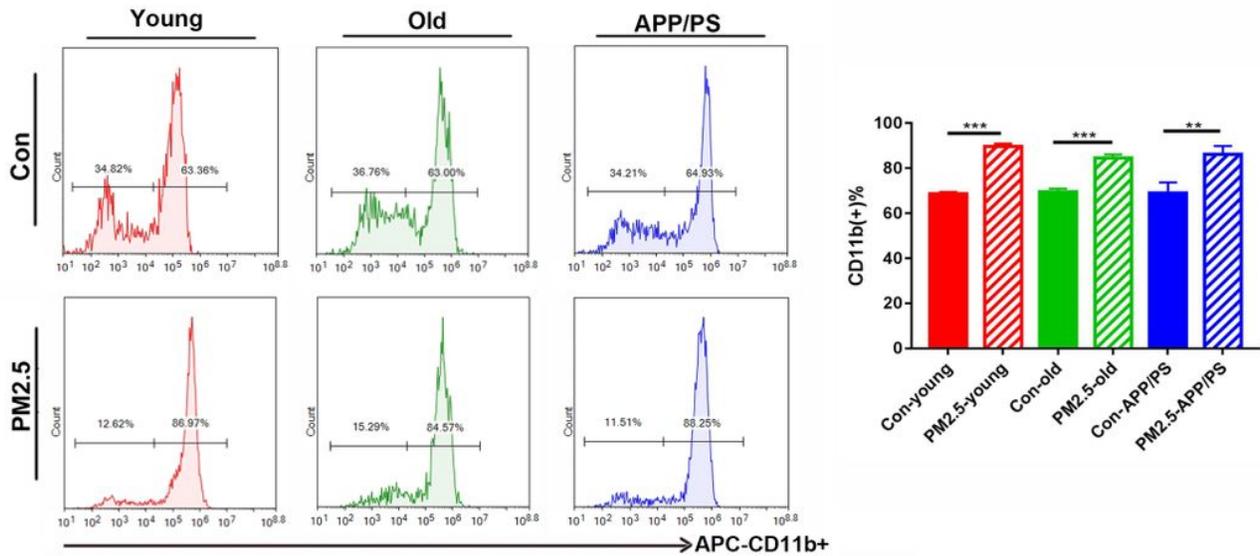
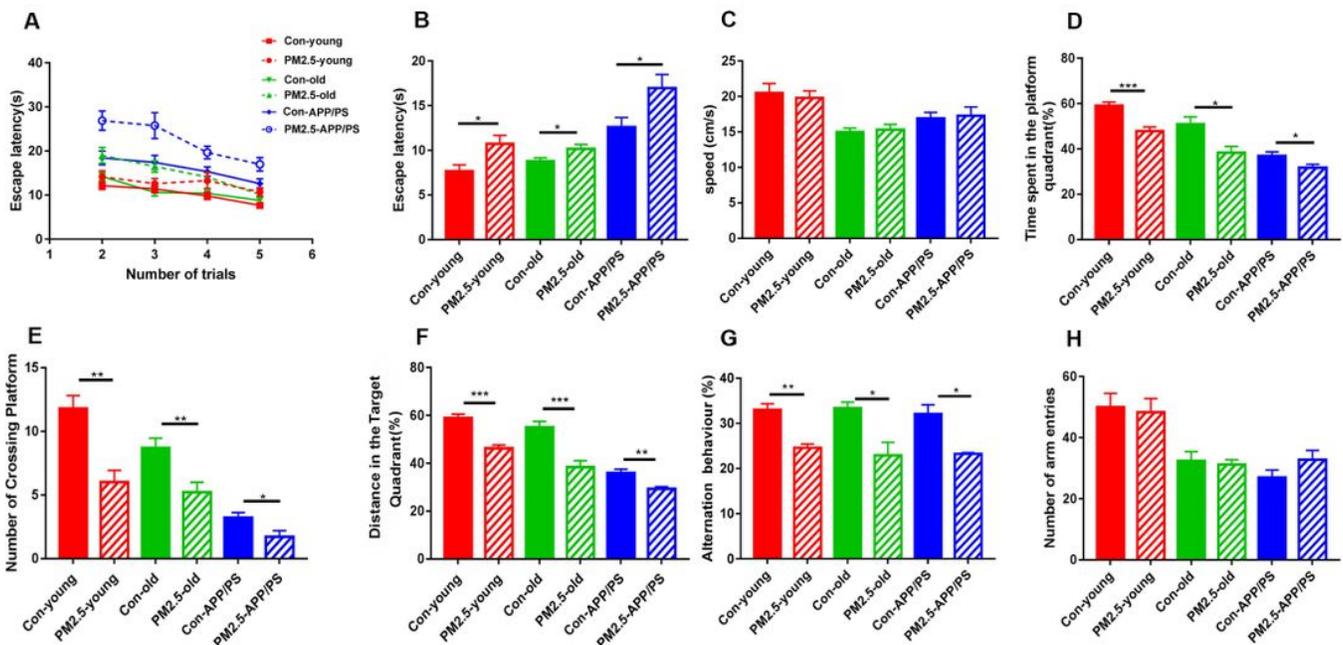


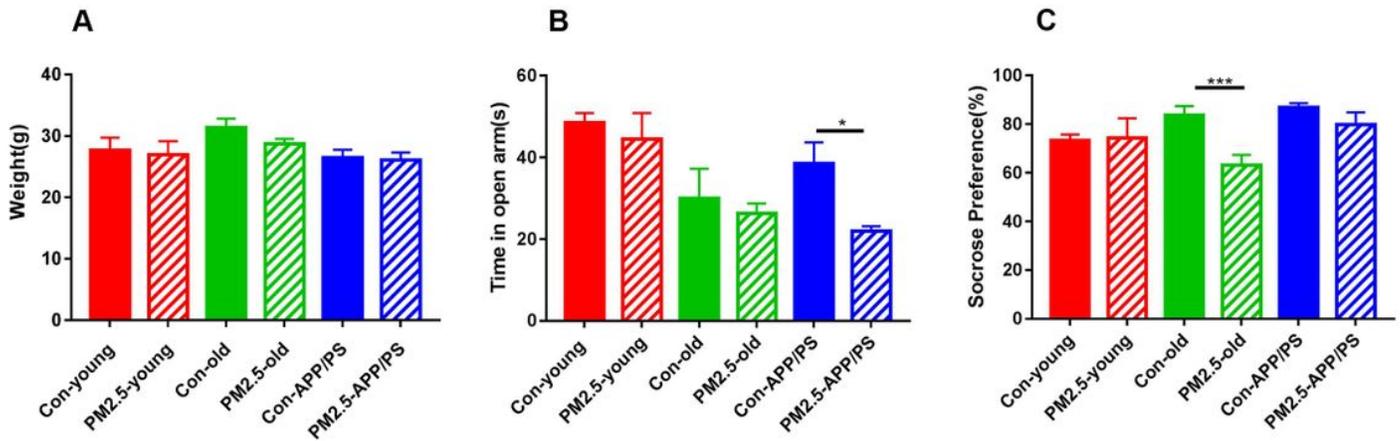
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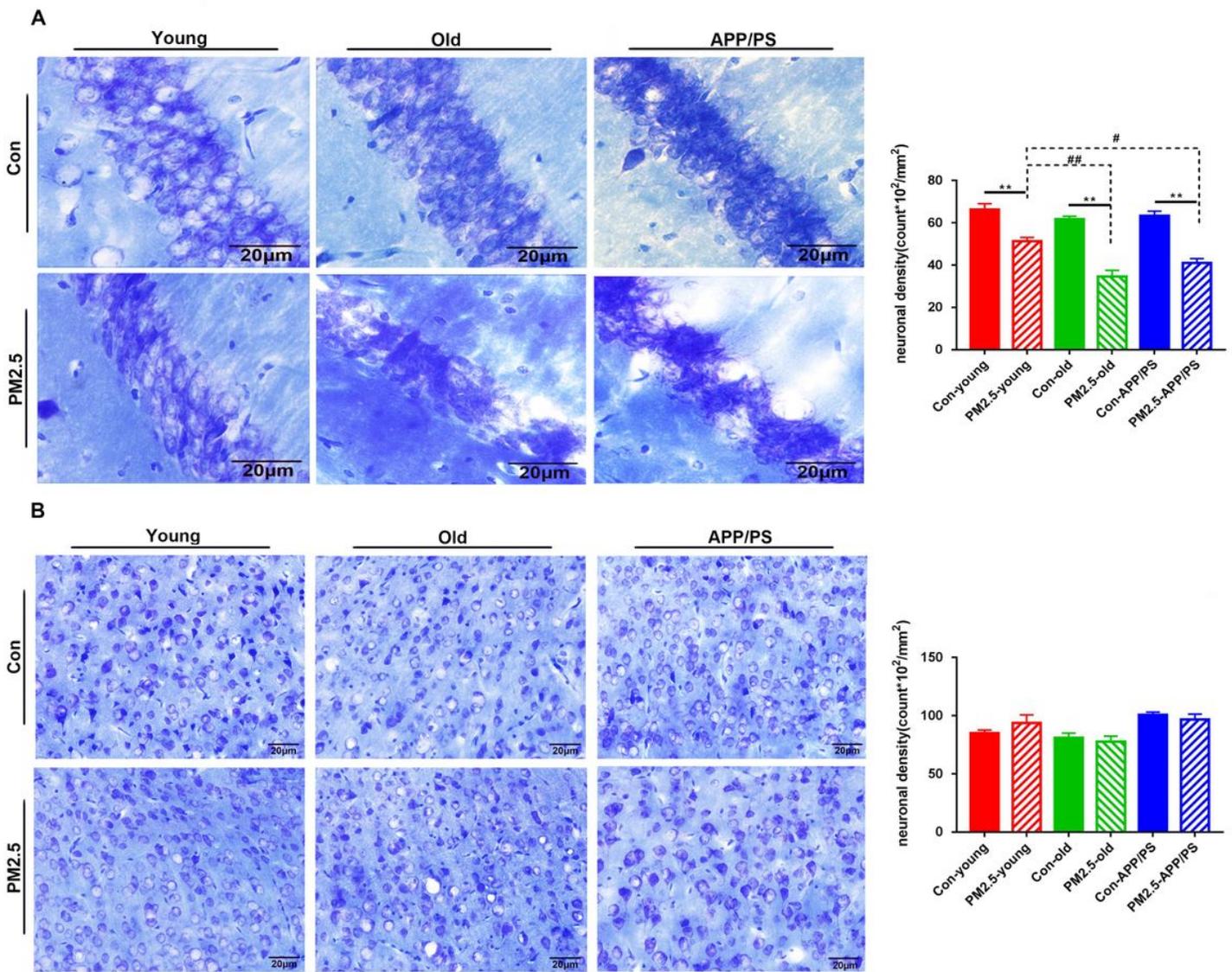
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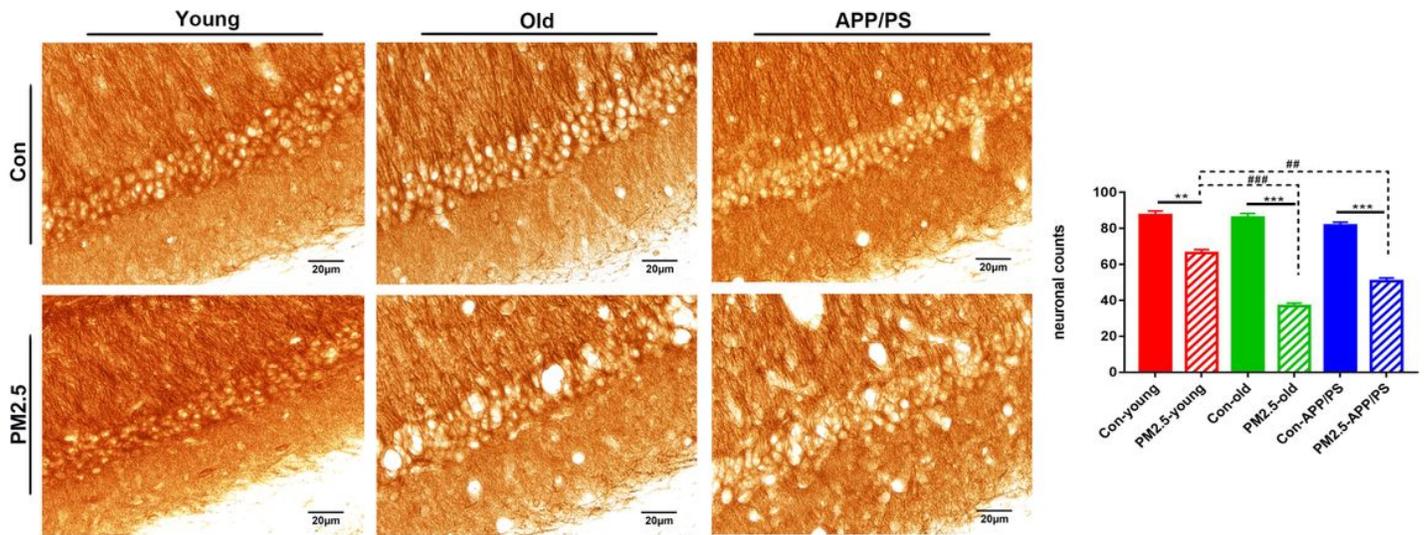
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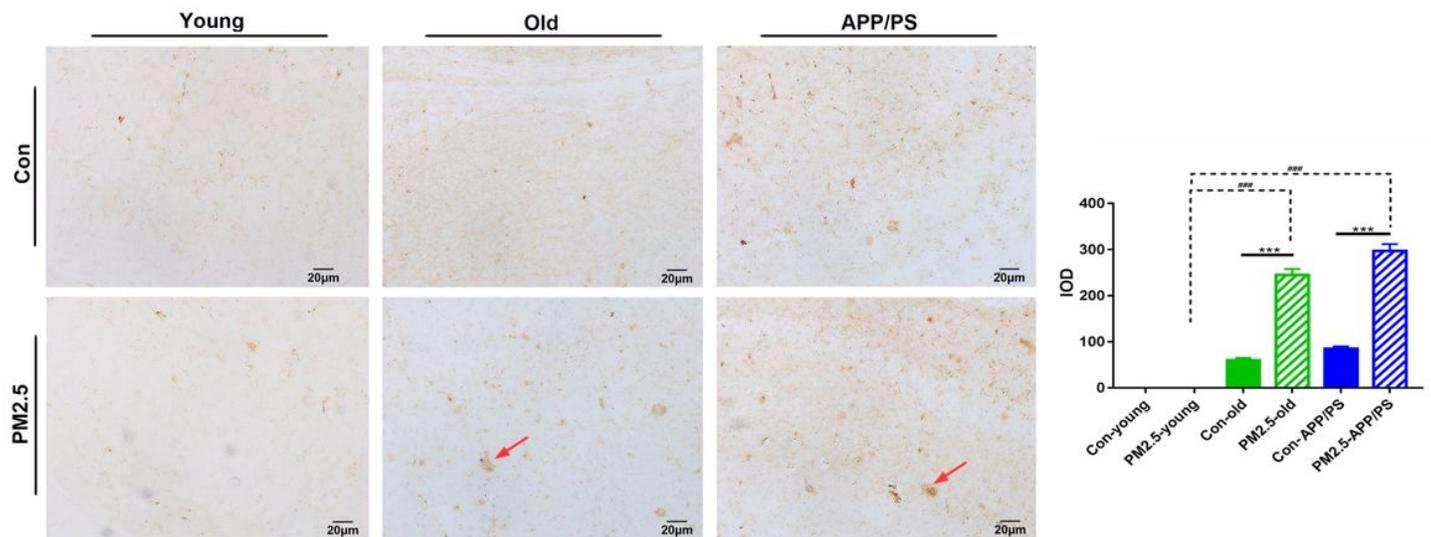
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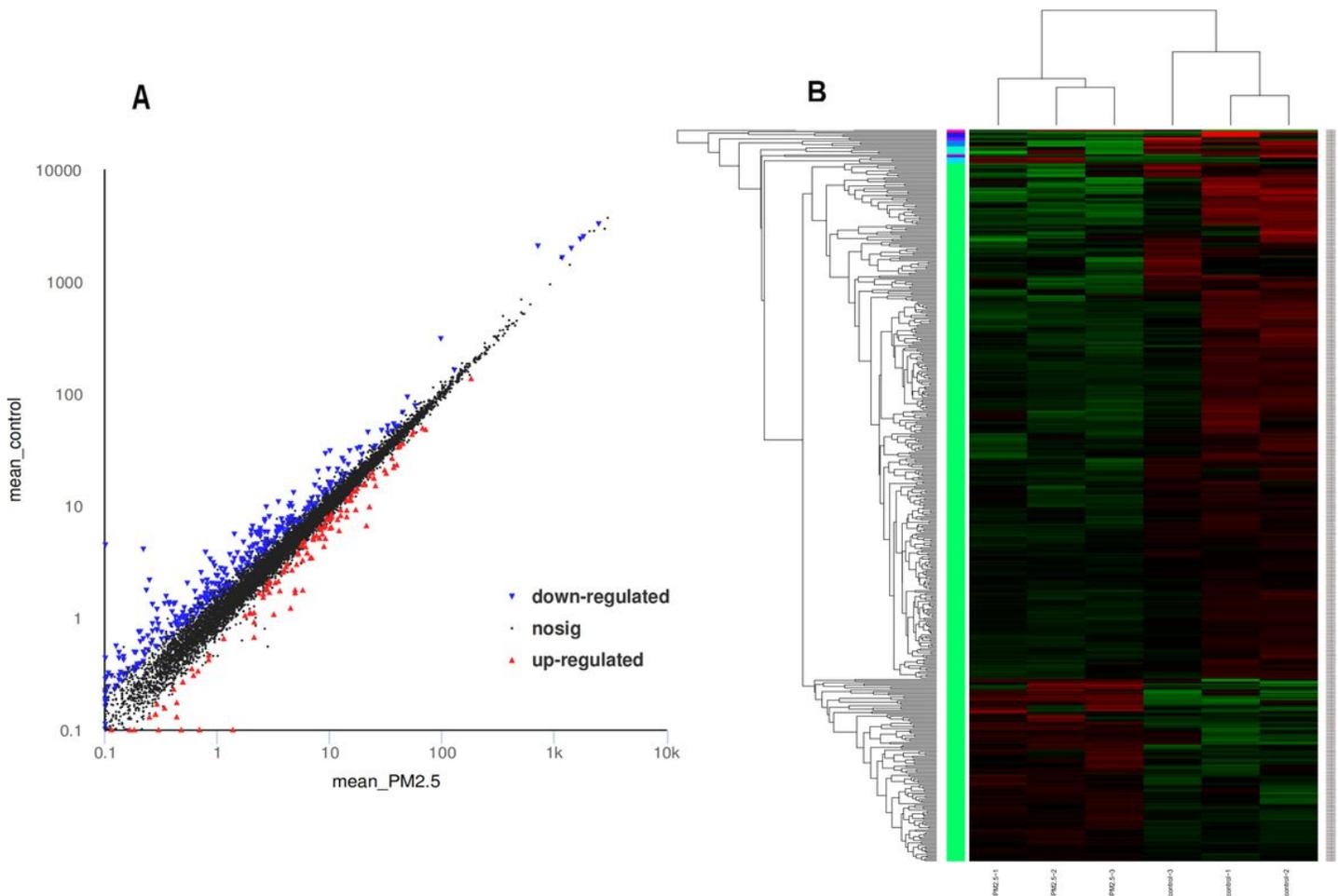
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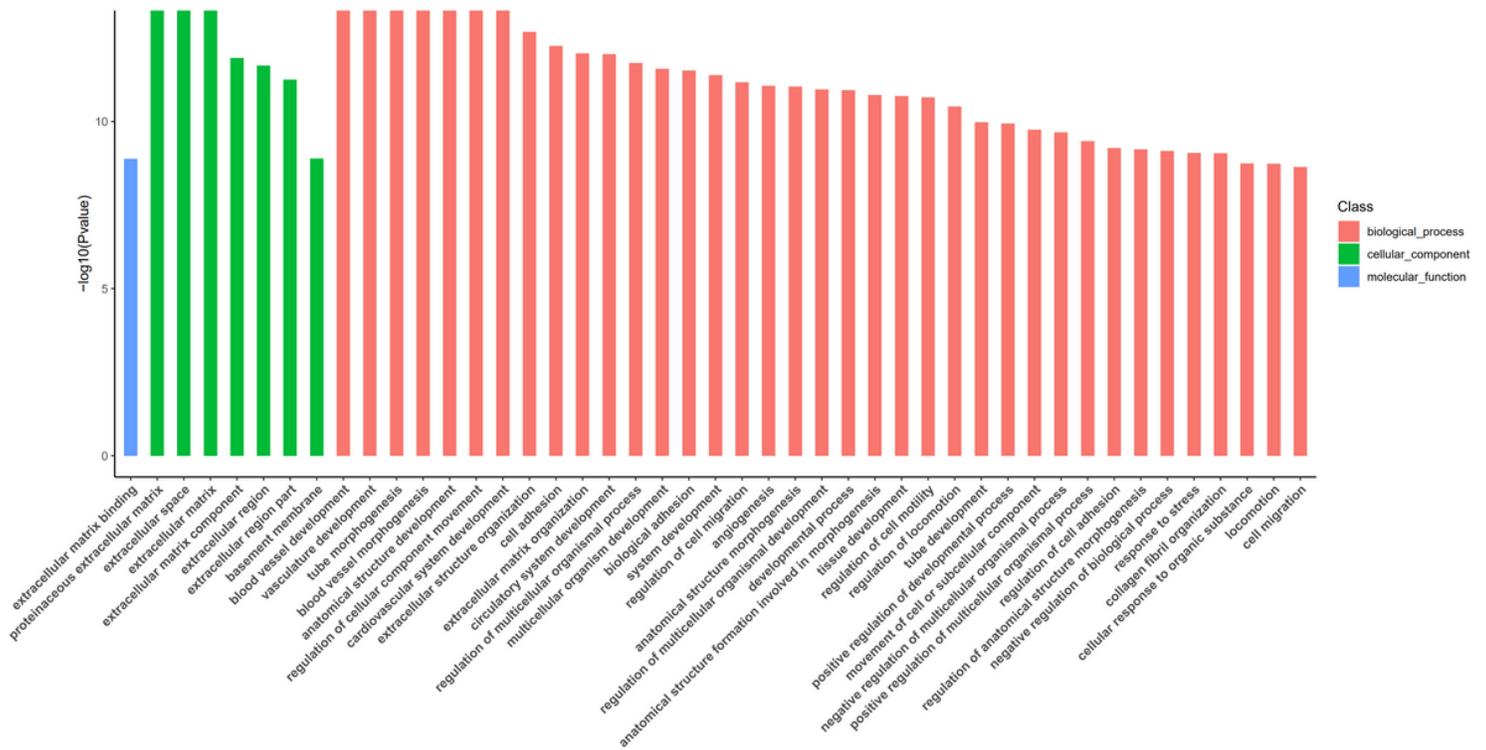
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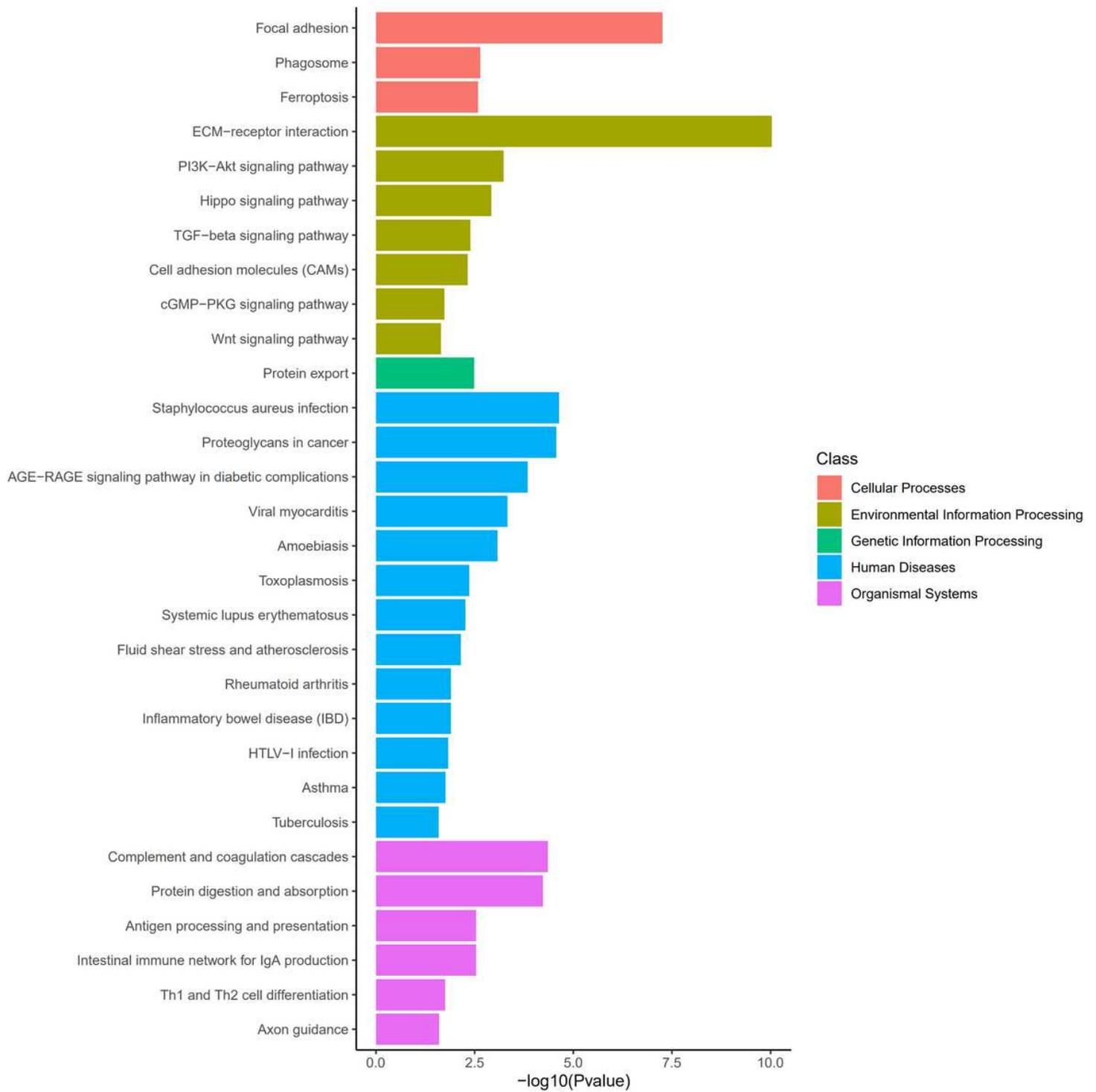
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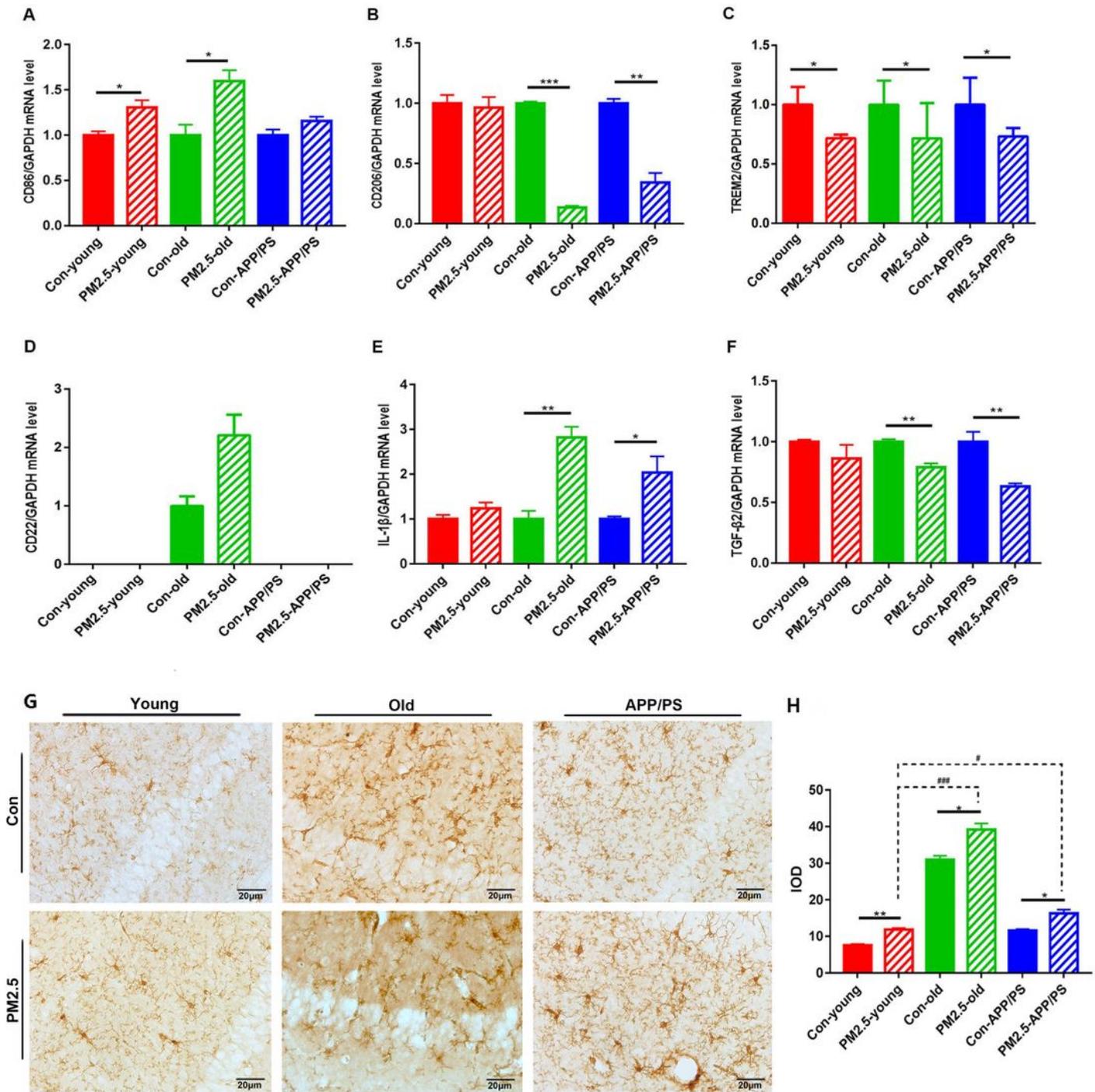
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