

# Machine Health Surveillance System by Using Deep Learning Sparse Autoencoder

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# Machine Health Surveillance System by Using Deep Learning Sparse Autoencoder

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## Abstract

Deep learning is a rapidly growing research area having state of art achievement in various applications including but not limited to speech recognition, object recognition, machine translation, and image segmentation. In the current modern industrial manufacturing system, Machine Health Surveillance System (MHSS) is achieving increasing popularity because of the widespread availability of low cost sensors internet connectivity. Deep learning architecture gives useful tools to analyze and process these vast amounts of machinery data. In this paper, we review the latest deep learning techniques and their variant used for MHSS. We used Gearbox Fault Diagnosis dataset in this paper that contains the sets of vibration attributes recorded by SpectraQuest's Gearbox Fault Diagnostics Simulator. In addition, the authors used the variant of auto encoders for feature extraction to achieve higher accuracy in machine health surveillance. The results showed that the bagging ensemble classifier based on voting techniques achieved 99% accuracy.

## Introduction

Nowadays, the deep learning is the promising area of research in artificial intelligence. Deep learning is a subcategory of machine learning that uses the neural network to design a highly accurate system. Neural network architecture contains several layers, made of neuron, that apply a non-linear and linear transformation between the layers. There are a number of successful

41 implementations of supervised and unsupervised based deep learning techniques in computer  
42 vision and natural language processing (Almiani, AbuGhazleh, Al-Rahayfeh, Atiewi, &  
43 Razaque, 2020). The deep learning models learn in hierarchical fashion where the lower-level  
44 features derived from high-level features. For example, in classification of an image-processing  
45 task, the deep learning algorithm grabs pixel value in the input layer and allocates label value to  
46 the object in the output layer. Among these two layers, there are a number of internal layers,  
47 known as hidden layers, that assemble successive higher-order features (LeCun, Haffner,  
48 Bottou, & Bengio, 1999). The term “deep” in DL represents several transformation layers of  
49 representation that lie between the model’s inputs and output. In deep neural network, there is no  
50 standard number of layers, but most research in this area considers at least more than two layers  
51 must be present. The reason for the success of deep learning is that it avoids the process of  
52 feature engineering (Komar, Yakobchuk, Golovko, Dorosh, & Sachenko, 2018). In conventional  
53 machine learning algorithms, feature engineering is the task of choosing relevant features  
54 compulsory for the algorithm to work efficiently. This task is complex and time-consuming as  
55 the precise selection of features is important to the performance of the algorithm (Bouktif, Fiaz,  
56 Ouni, & Serhani, 2018). In this paper, four deep learning algorithms i.e. Auto-Encoders (AE),  
57 Restricted Boltzmann Machine (RBM), Convolutional Neural Network (CNN), and Recurrent  
58 Neural Network (RNN) and variants have been discussed and applied in the area of MHSS in  
59 detail. The data-driven algorithms and the industrial internet of thing (IoT) are having a  
60 revolution in the manufacturing. It is empowering computer network to collect a massive amount  
61 of data from the linked machines and transform it into valuable information (O’Donovan, Leahy,  
62 Bruton, & O’Sullivan, 2015; F. Tao, Qi, Liu, & Kusiak, 2018). As an important module of the  
63 modern manufacturing system, monitoring of health through the machine has fully accepted the  
64 big data revolution (M. Chen, Ma, Song, Lai, & Hu, 2016; Luo, Wu, Gopukumar, & Zhao,  
65 2016). New models of bottom-up solutions for fault detection have overtaken traditional physics-  
66 based techniques. The data-driven systems for MHSS accomplish this by diagnosing certain  
67 faults arising in the system and has the ability to predict the remaining useful life of the machine  
68 (Nuhic, Terzimehic, Soczka-Guth, Buchholz, & Dietmayer, 2013). The developmental  
69 paradigms for complex and dynamic systems are difficult because of the noisy working  
70 conditions which delays constructing physical models. Similarly, the effectiveness and flexibility  
71 of physics-based models are hindered as they have no ability of the real time data  
72 updates (Mosterman, 1997). Deep learning has proven to perform as a connecting entity between  
73 huge machinery data and intelligent MHSS. Deep learning models are developed using multi-  
74 layer approach to classify the data pattern. Deep learning goes as back as the 1940s, but recent  
75 popularity contributes mostly to the computer vision, speech recognition systems, bioinformatics  
76 and audio recognition (W. Liu et al., 2017). The increased use of the deep learning based model  
77 can be contributed to the cheaper GPU, exponential growth in data, and the deep learning  
78 research strength.

79 Cheaper GPU: The performance of deep learning models requires high end GPUs, and the recent  
80 development of cheaper GPU made it easier to build more efficient models. Resultantly, it has

81 significantly reduced the time required to run algorithms specific to deep learning. As in (Raina,  
82 Madhavan, & Ng, 2009) the research has shown, the running time for a four-layered Deep Belief  
83 Network (DBN) reduced to a single day, as compared to several weeks, for over 100 million  
84 parameters.

85 Exponential growth in data: Our every operation is digitized nowadays, stored by sensors and  
86 computer, connected to the Internet, and stored in the cloud. As shown in (Yin, Li, Gao, &  
87 Kaynak, 2014) that in industry associated systems such as electronics and industrial informatics,  
88 having 1000 Exabyte produced per annum and a 20-fold rise can be contemplated in the coming  
89 ten years. Research in (Al-Sarawi, Anbar, Abdullah, & Al Hawari, 2020) predicts that a minimum  
90 of 30 billion systems will be connected by end of 2025.

91 Deep Learning strengthens research: the ever first success of deep learning is the pre-training  
92 practice in the unsupervised manner (Hinton, 2007), Hinton suggested maintaining a single layer  
93 at a time deploying RBM and fine-tune by utilizing backpropagation in 2007.

94 The main contribution of this article is to monitor the health of a by using Gearbox Fault  
95 Diagnosis dataset, that contains the sets of vibration attributes recorded by SpectraQuest's  
96 Gearbox Fault Diagnostics Simulator. The rest of the paper is organized as follows: Section 2 has  
97 the literature about recent work on deep learning models used for MHSS. In Section 3, we  
98 present our proposed methodology in detail. In section 4, experimental study and results have  
99 been carried out in a tool wear prediction task. In section 5 we conclude this paper and outline  
100 for future direction.

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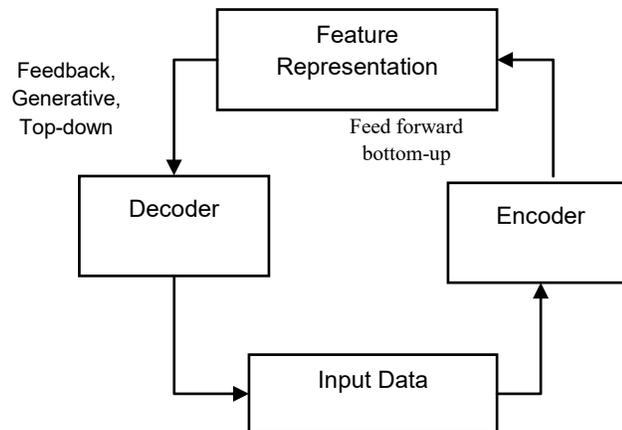
## 102 **Related work**

103 In the area of MHSS, the multi-layer conventional neural network has been used for many years  
104 (Su & Chong, 2007). In MHSS, recently a huge number of deep learning algorithms has  
105 significantly increased. Deep neural network based on Restricted Boltzmann machine or  
106 autoencoder smooths the training phase and improve the classification power to classify data.  
107 Recursion neural network and convolution neural network come up with highly complex and  
108 advance composition to extract a pattern from machine data. For MHSS based on deep learning,  
109 the first layer is used for input. To diagnose a task in the top layer, discrete values are used which  
110 is called the softmax layer. To diagnose a task having continuous values, linear regression is  
111 used. A deep learning survey based on MHSS is carried out in three different architectures and  
112 summarized in Table 1.

### 113 **MHSS using Auto encoder**

114 Auto encoder (AE) algorithms can extract high-level information automatically from machine  
115 data. Honga et al. (Hoang & Kang, 2019) suggested a single layer AE solution to classify  
116 induction motor fault based on the neural network as shown in Figure 1 and Figure 2. The author  
117 focuses on how to overcome overfitting due to training data because the available dataset is  
118 having a limited dimension. Their model uses dropout architecture to hide the random output of  
119 the hidden layer. Lu et al. (Lu, Wang, Qin, & Ma, 2017) proposed a compressive study on  
120 stacked autoencoder denoising techniques having three hidden layers to diagnosis a fault of the

121 rotary machine. In the suggested study, experimental work was carried out in cross working and  
 122 single working environment which effects deep architecture, input size denoising operation, and  
 123 sparsity control check. Tao et al.(S. Tao, Zhang, Yang, Wang, & Lu, 2015) presented many  
 124 structures of deep learning based on two-layer SAE. To perform a classification task of fault  
 125 diagnosis proposed system consists of the various hidden layer having masking probability. The  
 126 input dataset is huge in size, which causes overfitting and high computation. Therefore, some  
 127 authors suggested normalizing input data using AE models. Jia et al. (Jia, Lei, Lin, Zhou, & Lu,  
 128 2016) use spectra frequency of time series for features extraction from raw input data. The  
 129 extracted features are utilized for the classification of fault diagnosis applications. Sun et al.  
 130 (Sun, Yan, & Wen, 2017) proposed a soft threshold non-linear and digital wavelet model to  
 131 operate the vibration signal. SAE is used to classify fault diagnosis over the preprocessed input  
 132 signal. Liu et al. (H. Liu, Li, & Ma, 2016) proposed Fourier wavelet transform used to extract  
 133 features. SAE based on a deep neural network is used to classify roller bearing fault diagnosis.  
 134 SAE utilized a dropout and ReLU activation function, which cause to prevent overfitting issue.  
 135 In Input, the data-set is normalized by short time Fourier transform to generate a normalized  
 136 spectrogram. The two-layer SAE based DNN is then used to classify bearing rolling fault  
 137 diagnosis. Galloway et al. (Galloway, Catterson, Fay, Robb, & Love, 2016) extracts  
 138 spectrograms from tidal turbine data using two unit layer SAE based on DNN to diagnose the  
 139 fault. In (K. Li & Wang, 2015) SAE based on DNN is used to classify fault diagnosis and  
 140 principal component analysis has been utilized to extract features from input.



153 **Figure 1: Encoding of Recovering the Original Data and the Input Data**

154 Auto encoders have been also utilized to extract a useful pattern from multiple sensors and time  
 155 series data by Chen and Li (Zhuyun Chen & Li, 2017) and Kishore et al. (Reddy, Sarkar,  
 156 Venugopalan, & Giering, 2016). Informative statistical input features with high frequency and  
 157 specific time domain are derived from signal vibration and then utilized for pattern classification.  
 158 Some researchers have taken a step further to investigate the application of autoencoders with  
 159 other machine learning approaches.

160 Jiang et al. (Jiang, Xie, Wang, Chen, & He, 2017) proposed a scheme to extract frequency

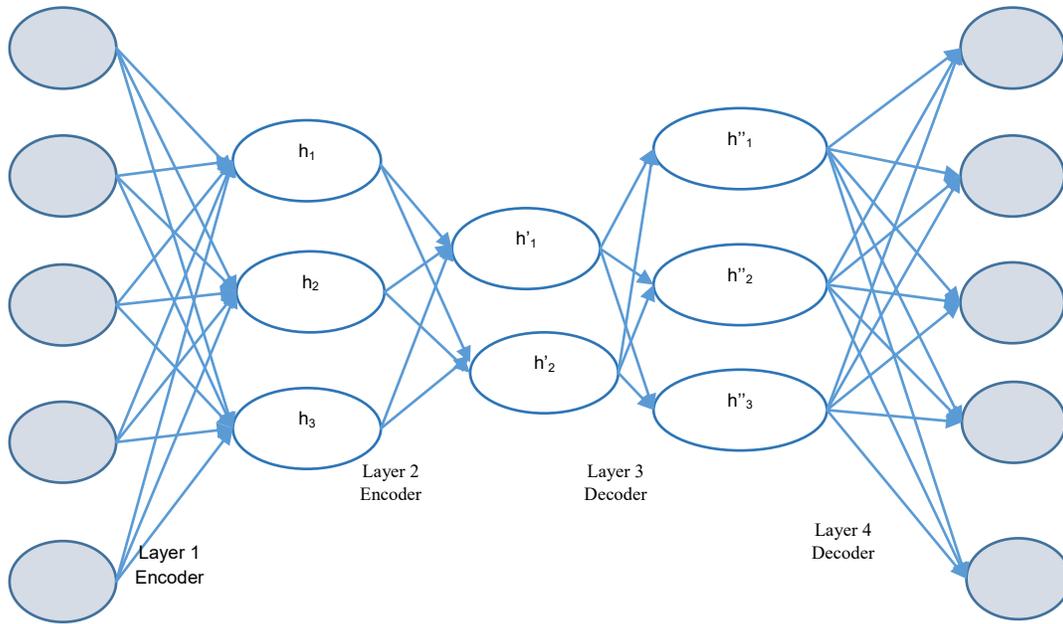
161 domain features by using auto-encoders and use conventional classifier algorithms such as  
162 random forest and support vector machine to perform the classification task. Wang et al. (Wang,  
163 Zhao, Pei, & Tang, 2016) suggested a novel auto-encoder unsupervised features learning  
164 technique, which prevents overfitting and changes gradient direction for a fault classification  
165 task. Mao et al. (Mao, He, Li, & Yan, 2017) presented a novel version of autoencoder for a fault  
166 recognition named extreme learning machine. Compare with conventional auto encoder extreme  
167 machine is quick and efficient.

## 168 **MHSS using RBM**

169 A significant type of autoencoder is called Restricted Boltzmann Machine (RBM). He et al. (He,  
170 He, & Bechhoefer, 2016) presents a RBM based technique for the prediction of the remaining  
171 useful life (RUL) of machine. After training the input data, an additional layer is appended at the  
172 top of RBM. Zhu et al. (Zhu, Chen, & Peng, 2018) suggested a modified RBM for RUL  
173 prediction of machine. In their proposed study, a new hidden unit is appended to achieve training  
174 function objective of RBM. After that, a Self-Organizing Map (SOM) unsupervised algorithm  
175 has been modelled to transform a pattern recognized by a novel RBM called health value. The  
176 health value has been, then, utilized for the prediction of RUL using harmony methodology. In  
177 (C. Li et al., 2015) support vector multi-model classification technique was suggested for fault  
178 recognition of gearbox. The proposed algorithm extracts three types of feature from vibration  
179 signal: time-frequency, frequency and time. After that, a three layer Gaussian Bernoulli  
180 Boltzmann Machines (GDBM) has been applied to extracted features. In every GDBM softmax,  
181 the unit layer is attached at the top. After fine-tuning, the statistical output of the softmax layer  
182 generated from three GDBM has been assessed by support vector classification structure to  
183 predict the final stage of classification. Li et al. (C. Li et al., 2016) developed a single unit  
184 GDBM for feature containing three different tones i.e. time-frequency, frequency and time. To  
185 predict a fault, the combination of softmax and the stacked layer is applied on top of GDBM. Li  
186 et al. (Tseng, Chen, Kao, & Lin, 2016) applied a two layers DBM to extract deep information  
187 having statistical parameters based on wavelet packet transform (WPT) of input sensory signal to  
188 recognized fault diagnosis for the gearbox. In the proposed study author focus on the fusion of  
189 data, two different DBMs were trained over vibratory and acoustic signals. Random forest was  
190 applied to fuse information recognized by two layers of DBM. To make a useful application of  
191 DBN having DNN, Ma et al. proposed a novel model to minimize the evaluation under bearing  
192 accelerated life test (Ma, Chen, Wang, Liu, & Li, 2016).

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**Figure 2. Rearrange the Value for Single Layer of an Autoencoder**

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Root mean square (RMS), a variant of statistical feature, used by Weibull statistics that can keep away fluctuation areas. Then the feature of the frequency domain has been extracted as input raw data. To conclude, the proposed methodology is given in Figure 3. Shao et al. (Shao, Sun, Yan, Wang, & Gao, 2017) proposed a DBN framework to diagnosis a fault of induction motor having the uninterrupted utilization of signal vibration data. Tao et al. (J. Tao, Liu, & Yang, 2016) proposed a novel framework multi-sensor DBN base information fusion model to predict bearing fault diagnosis. In the first phase, three signals of vibration extracted from three sensors and has been combined to extract 14 time-domain statistical features using DBN as input model. In the training phase, to determine the iteration number, a predefined threshold value was utilized. In (Zhiqiang Chen, Li, & Sánchez, 2015) the researchers presented a model to extract a feature vector speed, load measure, domain frequency features, and domain time features was given as input to DBN having DNN for classification of gearbox fault. In (Gan & Wang, 2016), Gan et al. presented the hierarchical framework to diagnosis network fault pattern and classification. The spinning element of bearings containing two adjacent stages having four variant faults are determined.

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### **MHSS using CNN**

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A new approach, which gains high attention of researcher for the high dimensional data such as time series, images, and information is CNN. CNN is made of neural networks, which contain extracted feature during the training phase and the assigned weights are adjusted during the training phase. The fundamental truth that CNN can resolves is the issue of manual extraction of features The main feature and advantage of CNN is the process of feature extraction automation

233 (Hussain et al., 2019). CNN is a simplified form of neural networks, which utilize the  
234 convolution process instead of a conventional multiplication matrix.

235 In some frameworks, the raw machinery information can be gathered in a single 2D format like  
236 spectrum frequency time, where in some cases, the presented data is in a 1D format such as time  
237 series. CNN algorithms are capable to learn robust and complex pattern with the convolutional  
238 layer from both format. Traditionally, convolutional layers, with the help of filters, are able to  
239 extract local patterns from raw data while convolutional layers can further be stacked together to  
240 create meaningful patterns. Liu et al. (R. Liu, Meng, Yang, Sun, & Chen, 2016) presented a 2D-  
241 CNN framework for four variety of spinning machinery conditions classification. Two sensors,  
242 that are set perpendicular to each other, generate two accelerometer signals processed by DFT.  
243 The adopted CNN model consists of a directly connected layer and a single convolutional layer.  
244 After that, the top softmax-layer is applied for classification. Babu et al. (Babu, Zhao, & Li,  
245 2016) created 2D deep convolution neural network for the prediction of RUL of a machine over  
246 normalized variable time sequence collected from sensor signals. In the proposed study, the  
247 mean pooling approach is applied rather than max pooling. As the value RUL is a continuous  
248 number, so the top layer is set off as a linear-regression layer.

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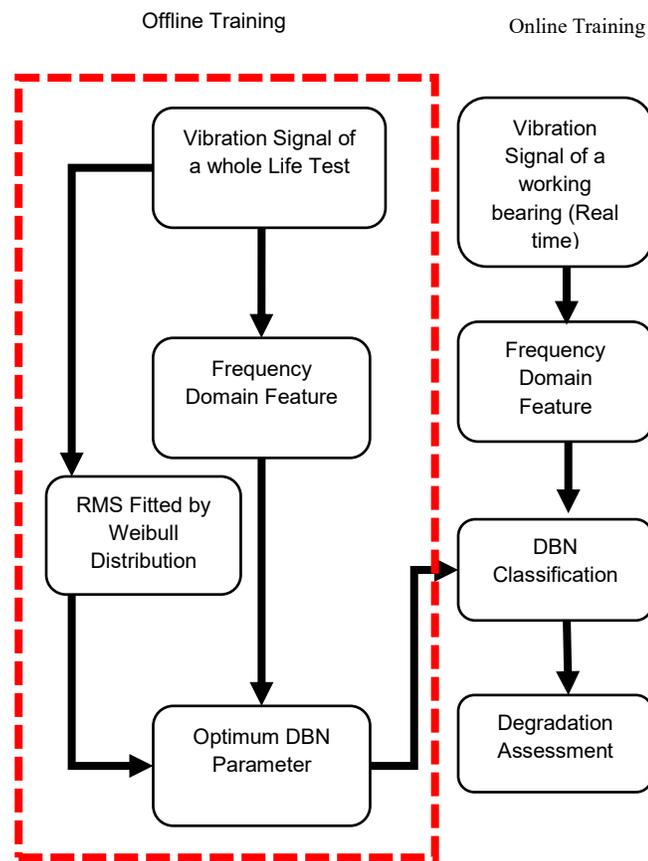
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270 **Figure 3. Proposed Framework for DBN-DNN for Assessment of Bearing**

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272 Ding et al. (Ding & He, 2017) suggested a novel approach based on deep Convolutional Network  
273 (ConvNet), having wavelet packet energy (WPE) picture that has been utilized as an input to

274 predict spindle bearing fault. To completely recognize the hierarchical structure, the multi-scale  
 275 layer is appended succeeding to the end of the convolutional layer. The output of the last  
 276 convolution layer is concatenated with the first pooling layer. Guo et al. (Guo, Chen, & Shen,  
 277 2016) suggested an algorithm based on adaptive deep convolution neural network (ADCNN).  
 278 The hierarchical module is designed to predict fault size and pattern of fault. The process of fault  
 279 pattern decision phase ADCNN was first applied to recognize the type of fault. ADCNN having  
 280 an identical structure is utilized to recognize fault dimension. A function  $f$  is used to classify the  
 281 fault type. The function  $f$  is determined as summation of probability given and shown in (1):

$$f = \sum_{j=0}^c a_i p_j \quad (1)$$

283 **Table 1. Summary Of Deep Learning Used In Health Monitoring System Applications**

Technique	Ref.	Application	Strengths	Limitations
Auto-Encoders	(Zhuyun Chen & Li, 2017)	Bearing fault diagnosis	Capable to update the values to learn useful	For training process a lot of fine tuning
	(Hoang & Kang, 2019)	Rolling element bearing fault diagnosis	Overcome over fitting due to training	A lot of processing time required
	(Lu et al., 2017)	Fault diagnosis of rotary machinery	Easy to implement	
	(S. Tao et al., 2015)	Bearing fault diagnosis	Masking probability	Over fitting and low computation
	(Jia et al., 2016)	Diagnosis of rotating machinery	spectra frequency of time series	Threshold occur
	(Sun et al., 2017)	Bearing fault diagnosis	Dimensionality reduction	
	(H. Liu et al., 2016)	Rolling bearing fault diagnosis	prevent over fitting issue	Low computation
	(H. Liu et al., 2016)		generate normalized spectrogram	Cant extract useful information
	(Galloway et al., 2016)	Diagnosis of tidal turbine vibration		Low computation
	(K. Li & Wang, 2015)	Recognition of Signal and diagnosis for spacecraft	prevent over fitting	Cant extract useful information
	(Wang et al., 2016)	Transformer fault diagnosis	Easy to track the cost/loss	
	(Reddy et al., 2016)	Fault classification in large flight data	statistical features are extracted in frequency and time domain	A lot of processing time required
	(Jiang et al., 2017)	Intelligent fault diagnosis of rotary machinery	Handcraft based extracted features	Handcraft based extracted features
(Mao et al., 2017)	Bearing fault diagnosis		A comparative study	
Denoising auto encoder	(Thirukovalluru, Dixit, Sevakula, Verma, & Salour, 2016)	To diagnosis fault in health prognosis applications	Higher accuracy for feature extraction	Noise at input level

Technique	Ref.	Application	Strengths	Limitations
Vibrational auto encoder RBM	(Lu et al., 2017)	Health state identification	supervised fine-tuning	High computation
	(Yan & Yu, 2019)	Anomaly detection for gas turbine	DE noising or Compression.	
	(Yoon et al., 2017)	Predict remaining useful life (RUL) estimation	Learns which noise distribution to Insert at code.	Can be difficult to optimize
	(He et al., 2016)	RUL	Predict Future root mean square (RMS) value	
	(Zhu et al., 2018)	Prediction of bearing RUL	Transform the learned pattern	Difficult to train
	(C. Li et al., 2015)	Fault recognition of gearbox	extract three types of feature from vibration signal	High computation
	(C. Li et al., 2016)	Gearbox fault diagnosis	extract deep information having statistical parameters capable to generate patterns if missing data	Fusion of data
DBM	(Ma et al., 2016)	Bearing degradation assessment	away areas of fluctuation having statistical parameter multisensory information fusion	Difficult to train model
	(Shao et al., 2017)	Fault diagnosis of induction motors	Able to learn good generative models	Threshold occur
	(J. Tao et al., 2016)	Bearing fault diagnosis		
	(C. Li et al., 2015)	Gearbox fault diagnosis		Training may be slower than DBN.
DBN	(Jia et al., 2016)	Fault diagnosis for rotating machinery	Retains much of desired data present in DBN	Cost the joint optimization of Parameters impractical for large datasets.
	(AlThobiani & Ball, 2014)	Diagnosis fault of reciprocating compressor valves	Work well for single dimensional data.	Training may be very low and inefficient
CNN	(Ma et al., 2016)	Assessment of bearing degradation	steadily achieve high performance over raw signal without too much data preparation	
	(Lee, Yoo, Kim, Lee, & Hong, 2019) (Kaplan, Kaya, Kuncan, Minaz, & Ertunç, 2020)	Bearing fault diagnosis Bearing fault diagnosis	Good for multi-dimensional data improved feature extraction	High computation Requires more training time

Technique	Ref.	Application	Strengths	Limitations
	(R. Liu et al., 2016)	Diagnosis of fault approach for electric machine	High performance on local feature extraction	
	(Babu et al., 2016)	Estimation of remaining useful life	Easy to implement	
	(Ding & He, 2017)	Spindle bearing fault diagnosis	multiscale feature learning	High computation
	(Guo et al., 2016)	Bearing fault diagnosis		Requires more training time

284

## 285 **METHODOLOGY**

286 In this section, the proposed methodology is discussed in detail to prove the effectiveness of the  
 287 proposed MHSS algorithm for fault diagnose. A data set of six gearboxes was recoded under a  
 288 variant environment having different rotation speed. The framework of the proposed  
 289 methodology is shown in Figure 4.

### 290 **Data Set**

291 Dataset used in this paper contains the vibration dataset recorded using SpectraQuest's Gearbox  
 292 Fault Diagnostics Simulator(ZhiQiang Chen, Li, & Sanchez, 2015). Dataset is collected with the  
 293 aid of four variant sensors. These sensors were placed at four different locations. Data set has  
 294 been gathered under different load percentage and the range was from zero to 90 percent load.  
 295 Data set has been gathered in the following two scenarios. Broken Tooth Condition and Healthy  
 296 condition.

### 297 **Preprocessing**

298 The Running time span of every signal was 0.5 s, a total of 120 fragments were extracted from  
 299 the original signal for every condition. Every sample was then preprocessed using CAE.  
 300 Therefore, each type of gearbox fault had 120 records to get a balanced dataset. There are a total  
 301 number of 720 samples for six variant health conditions. The dimension size of the total sample  
 302 was 6140. Hence the dimension of fault gearbox was 6140×720. In the output layer, the numbers  
 303 of the neuron are six, showing six different health states. Fine-tuning process and Iteration level  
 304 of each hidden layer is set to 100 for both. Fine-tuning process, the value 100 is set for the first  
 305 layer. The corresponding learning rates were 0.01 and 0.1. A subset 30% of the total samples was  
 306 used for testing, whereas all of the reaming datasets were used for training.

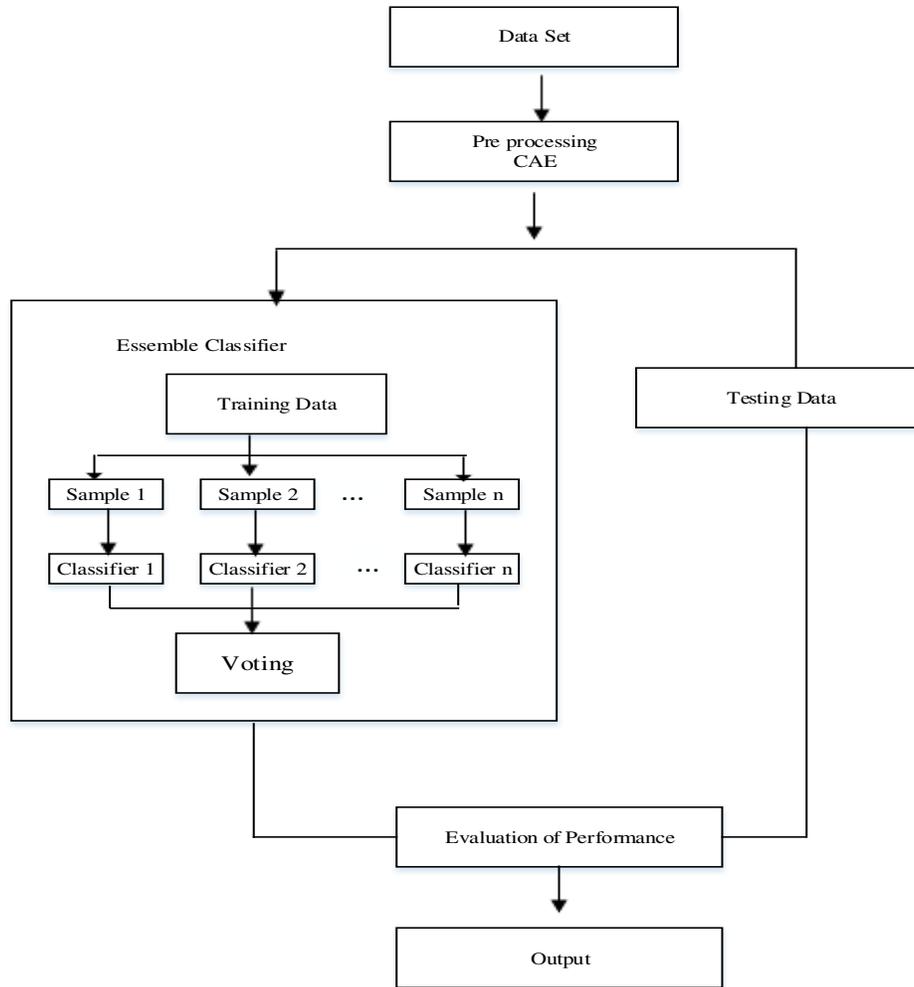


Figure 4. Framework of Proposed Methodology

### Unsupervised Bagging Ensemble Classifier

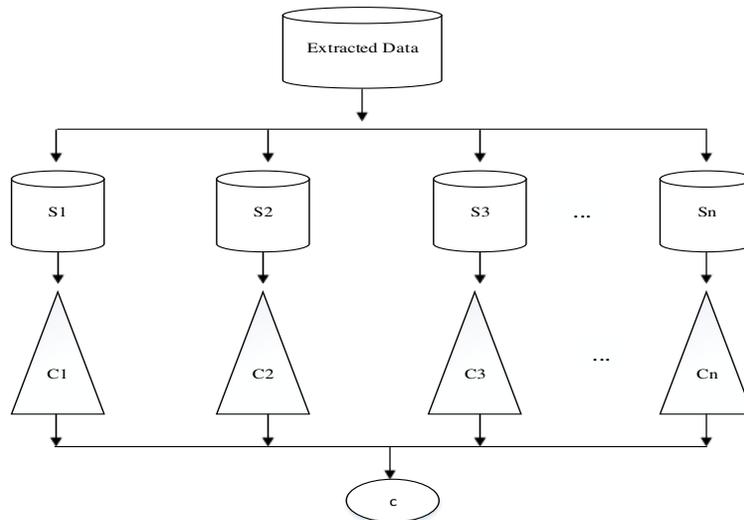
In proposed MHSS model, an ensemble classifier was utilized using bagging model. The extracted features from the dataset are input to ensemble technique to form a set of classifiers. Using the newly constructed set of classifiers, up-to-date data points are classified by measuring vote of their predictions, resulting in the improvement of predictive performance for fault diagnosis. Figure 5 demonstrate the block diagram of bagging ensemble classifier model.

Table 2. Classification of fault process

Faulty Pattern	Location of Fault	Route Transmission
Normal	Normal	5 speed
G22	Gear tooth broken	2 speed
G20	Gear tooth peeling off	5 speed
B	Ring Fault	5 speed
G22 and B	Gear tooth broken + Ring	5 speed

	fault	
G20 and B	Gear tooth peeling off + Ring fault	2 speed

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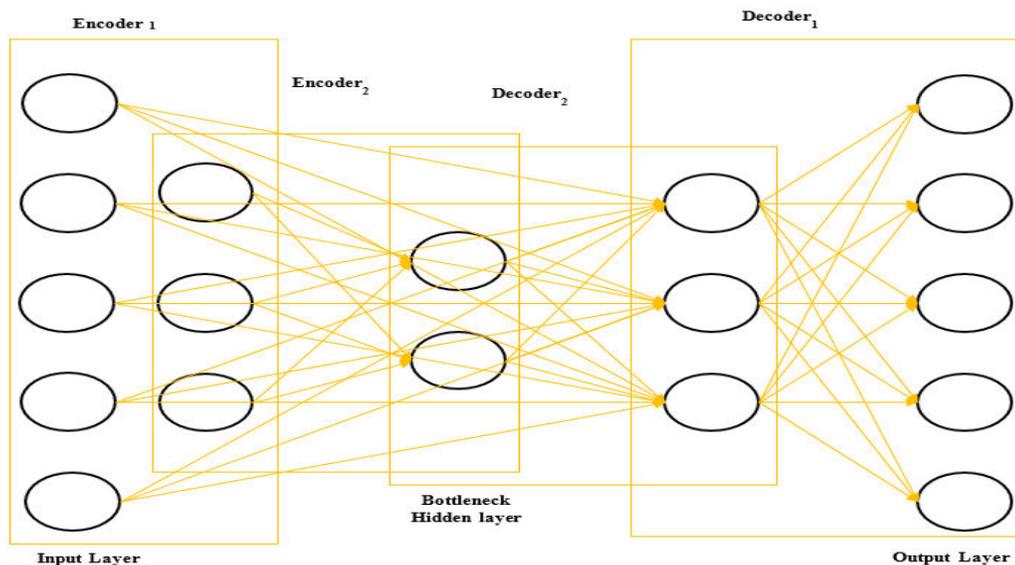
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**Figure 5: Unsupervised bagging ensemble classifier**

320 As demonstrated in Figure 5, the features extracted using machine learning technique forms the  
321 input layer to the proposed model. Several extracted samples ‘s1, s2, s3..., sn’ in the datasets are  
322 preprocessed into feature ‘SFE1, SFE2, SFE3 ..., SFE<sub>n</sub>’. Several classifiers ‘C1, C2, C<sub>n</sub>’ are  
323 created with many samples. Lastly, a blend classifier named ‘C’ is acquired. With the motivation  
324 of refining the accuracy of fault diagnosis. The proposed Bagging Ensemble Classifier processes  
325 obtained samples (i.e., features) concurrently. Every sample gets an equivalent weight. After  
326 that, the classifier is trained for each sample in the bagging ensemble model. Every sample  
327 feature is exploited to train the classifier and the final decision is made by using the vote of each  
328 component.

329 **Autoencoders (AE)**

330 The idea of AE was presented by Lecun (Rolfe & LeCun, 2013). AE comprise of two parts;



**Figure 6: Structure of Stacked Autoencoder**

331 decoder and encoder. Which is designed to learn from input data by reconstructing a new  
 332 representation of data. The decoder and encoder can be observed as two different functions  
 333 (Hinton & Zemel, 1994).

334  $w = f(z) \text{ \& \& } y = g(w)$  (2)

335 The function  $f(z)$  map data point  $z$  to feature space from data space, where  $g(w)$  creates re-  
 336 establishment of data point  $z$  by mapping  $w$  data space from feature space. In recent AE the two  
 337 parameters i.e.  $w = f(z)$  and  $y = g(w)$  are normally a variable function. Where the encoder in  
 338 ( $w/z$ ) decoder is ( $y/w$ ). However  $y$  is the reconstruction of  $z$ . it is foremost to record that AE does  
 339 not learn to copy from input  $z$  (Bourlard & Kamp, 1988).

340 **Sparse Auto Encoder (SpAE)**

341 To view the internal shape of data, in our model, an extra supervision checks on the hidden layer  
 342 is applied. A neuron is called active whose output is near to one, while a neuron is called inactive  
 343 whose output gain is near to zero. The main motivation of SpAE is to minimize the number of  
 344 inactive neurons.

345 Given a sample set of training data  $y_1, y_2, y_3, \dots, y_m$ . The mean activation  $i$ th hidden layer is  
 346  $p_i = (1/m) \sum_{j=1}^m [h_i(x_j)]$ . SpAE impose the restriction  $P_i = P$ , while  $p$  is the activation value of  
 347 the deserved average. In the hidden layer most of activation gain is near to zero. Thus it was  
 348 restriction using the following equation.

349 
$$f(x) = a0 + \sum_{j=1}^{s_2} (p \log \frac{p}{p_j} + (1-p) \log \frac{1-p}{1-p_i})$$
 (3)

350 Where  $p_j$  means activation while  $p$  is the predetermined average value of activation target of  $i$ th  
 351 hidden neuron in the complete dataset. The sparsity addition checks can be learned from hidden

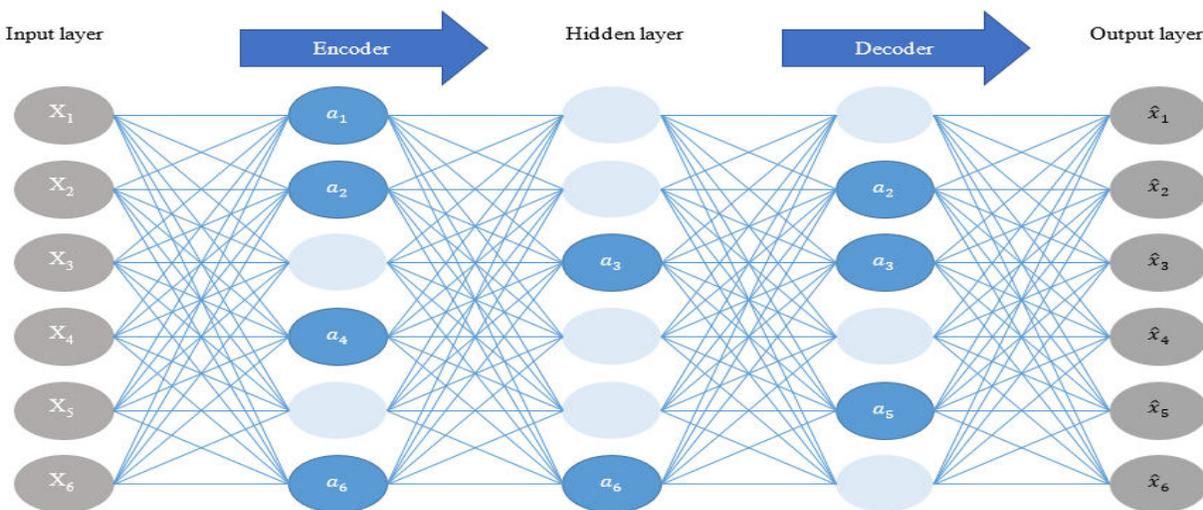


Figure 7. Structure of Sparse Autoencoder

352 layer to be work as sparse representation. Hence, this type of AE is called SPE as shown in  
353 Figure 7.

### 354 **Contractive Autoencoders (CAE)**

355 Some of the real-life applications of neurons involve data labelling for segmentation, denoising  
356 input data, detecting outliers, preprocessing, and replacing missing values in data. Many of these  
357 applications additionally work with SAEs. In CAE, the zero is not completely replaced but there  
358 are little bit changes. In training dataset, the alternative regularization help mapping. CAE is  
359 obtaining with the help of the equation 4.

$$360 \quad CAE = \sum_{y \in h} (R(y, g(f(y))) + \lambda \|Xf(y)\|_F^2) \dots\dots (4)$$

361 It is easy to observe that square of Jacobian corresponds to Frobenius norm to Y weight decay.  
362  $gf(y)$  is an identity function in case of the linear function.

### 363 **Stacked Autoencoders (SAE)**

364 SAE is the combination of many encoders put jointly having several layers of decoding and  
365 encoding. This function permits algorithm to adjust more weights, many layers, and the most  
366 important more robust. Stacked Denoising Autoencoder (SDA) may be yielding a productive  
367 pre-training solution, to train the model via setting the weight deep neural network (DNN). A  
368 type of supervised fine-tuning is applied to reduce classification error over the training label  
369 dataset as shown in Figure 6.

### 370 **Training and Testing**

371 After applying CNN variants to extract all important features, for training the assemble model,  
372 the feature map of train CNN variants, actual data and its label are used. We used the extracted  
373 feature vectors having class labels and actual data to train the assemble model. Assemble trained  
374 classifiers calculate the labels of new records in the form of feature vectors. Later, the  
375 performance of the proposed model is calculated. In this research, we utilized an ensemble model  
376 based on three different deep learning algorithms, namely, RBM, DBN and DBM. The dataset  
377 were divided into test and training set with 70-30 ratio.

### 378 **Classification**

379 The classification phase has a key role in the field MHSS. The training dataset is used to train the  
380 model and the test dataset is used to validate the model's results. For the classification purpose a  
381 bagging approach is used that combine the three classifiers i.e. RBM, DBN, and DBM

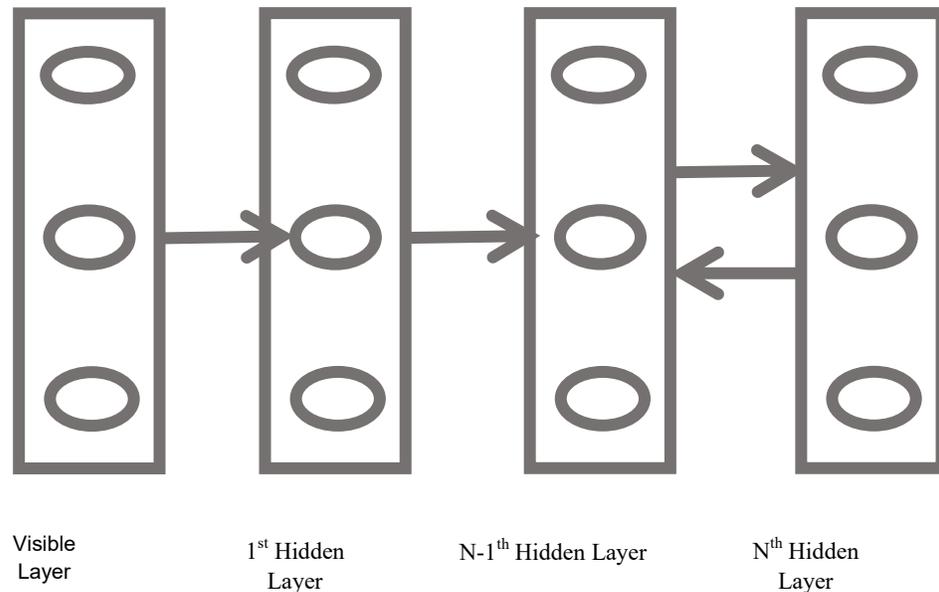
#### 382 **i) Restricted Boltzmann Machine (RBM)**

383 RBM is a useful variant of recurrent neural networks proposed by Geoff Hinton in (C. Li et al.,  
384 2015). RBM is very robust for feature learning, classification, filtering, and dimension  
385 reduction. RBM is a type of generative stochastic technique called recurrent neural networks. It  
386 has a probabilistic element i.e. neuron, which is used to make up the whole network. RBM is  
387 two-layer NN shaping a multidirectional graph, which consists of two classes. The hidden unit d

388 and visible unit  $b$  with a restriction that there is no communication between the hidden layer and  
389 visible layer and there is no communication between the group with node.

390 **ii) Deep Belief Network**

391 Deep belief network (DBN) is a type of deep learning in which many RBM are combined. Figure  
392 8 illustrate a generic deep belief network (Jia et al., 2016). The process of training is performed  
393 in a greedy approach having weight fine-tuning to extract hierarchical feature from given data.  
394 The purpose of DBN creation was to delineate a model, which distribute data among hidden, and



**Figure 8: Deep Belief Network**

395 input layer such that there is an uninterrupted relationship between upper layer nodes and lower  
396 layer nodes. The training process is performed layer-wise in parallel by balancing weight  
397 parameter and applying contrastive convergence. Apart from these restriction, probabilities of  
398 dispersal DBN is invariable which is also robust to noise transformation(Ma et al., 2016).

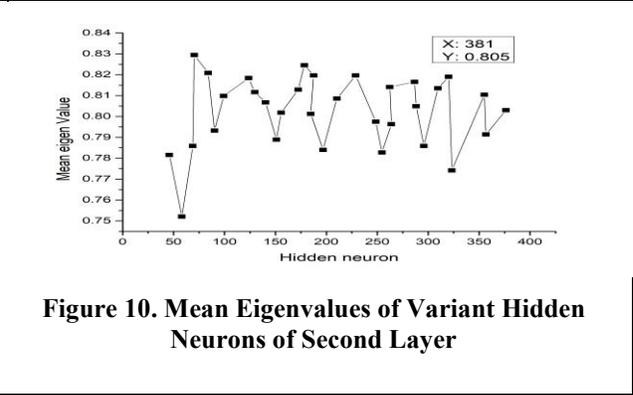
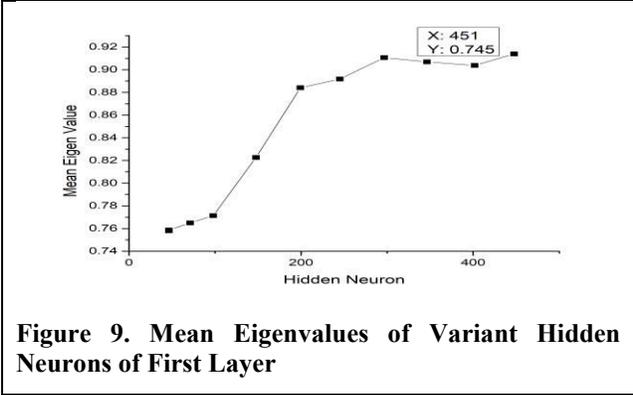
399 **iii) Deep Boltzmann Machine**

400 A deep structure of RBM is combined to form Deep Boltzmann machine (DBM) in which hidden  
401 layer are combined in hierarchy shape (C. Li et al., 2015). Allowing RBMs networking  
402 restriction no connection among non-neighbour layer and single complete connectivity has been  
403 established between subsequent layers. DBM is also called Network of symmetrically grouped  
404 stochastic binary layers. The main difference between DBM and DBN is that DBM is completely  
405 un-directed graphical algorithm, where DBN is varied undirected/ directed type(Jia et al., 2016).

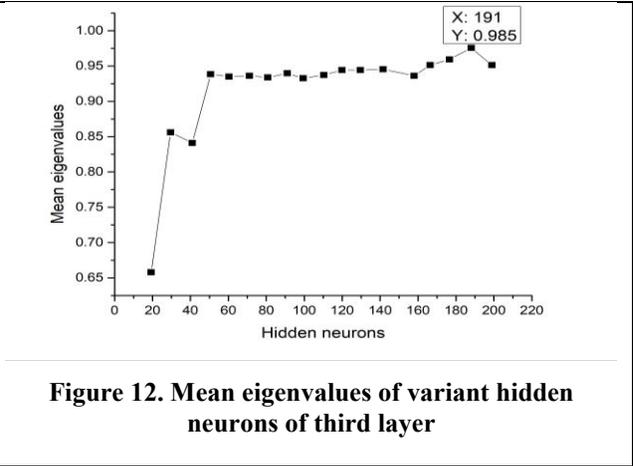
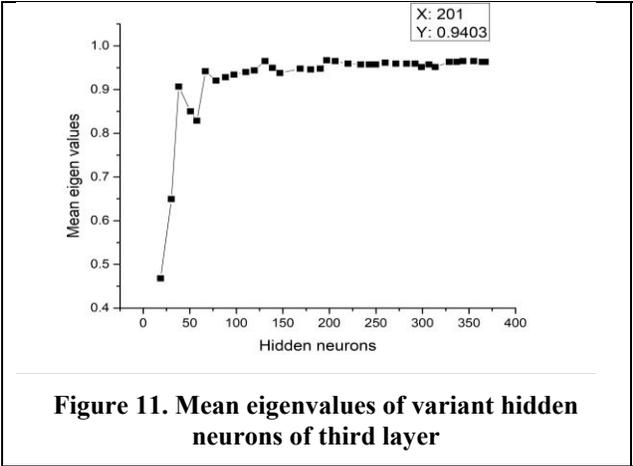
406 **EXPERIMENTAL RESULTS**

407 This section presents the experimental evaluation of our proposed ensemble model. The mean  
408 eigenvalue of variant hidden neuron considering different layers are shown in Figure 9. The  
409 output results of the first layer provide the high mean value i.e., 0.745, where the size of hidden  
410 neurons in the hidden layer is 451. The mean eigenvalue of the second layer is between 0.805  
411 and 0.76, where the highest eigenvalues is set to 0.381 hidden neurons as shown in Figure 10.

412 In the third layer, the highest Eigen mean value is 0.966, where the number of neurons in the  
 413 hidden layer are 201 as shown in Figure 11. As for the last fourth layer, the highest Eigen mean  
 414 value is larger than 0.93 for the reaming hidden neuron. The eigenvalue of hidden neuron 40, 30  
 415 and 20 are 0.980 where the number of neurons in the hidden layers are 191 as shown in Figure  
 416 12.



417  
418



419  
 420 The results are shown in Table 3. An increase is observed in Kc value with the increasing  
 421 hidden layer. When the Kc value is greater than 0.98, hidden neuron at layer fourth gives Kc  
 422 value 0.985 as the result show the proposed method consists of four hidden layers. Deep learning  
 423 based on Bozaltman architecture consist of 6141 at input layer correspond to input data. First  
 424 layer has 451 neurons, 381 neurons at the second layer, 201 neurons at the third layer, 191 at the  
 425 fourth layer and 7 neurons at the output layer.

**Table 3. Best neurons and value of kc at each layer**

426  
 427  
 428 Figure 13 demonstrate the training performance of the proposed MHSS method. A decrease in  
 429 the result is shown for MHSS in mean squared with the increasing hidden layers. The mean  
 430 squared errors of MHSS algorithm is higher than 50 except for the 1st layer. The results also  
 431 show that the MHSS has a higher feature learning ability than the traditional Algorithms.

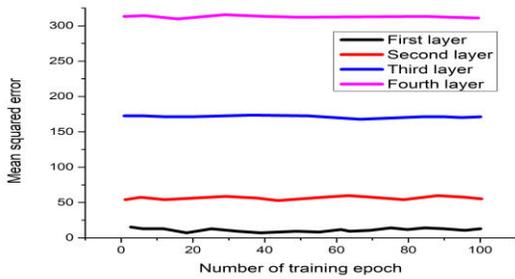


Figure 13. Training performance of SAE and MHSS with four hidden layers

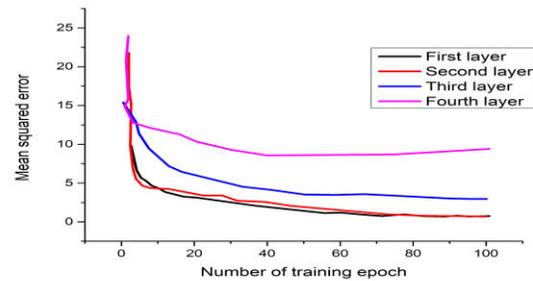


Figure 14. Training performance of proposed MHSS with four hidden layers

432

433 To verify the effectiveness of the proposed MHSS, the most commonly utilized techniques are  
 434 employed in the testing, and testing results are listed in Table 4. The classification accuracy of all  
 435 algorithms are above 90 percent except SAE. From the above results, it is clear that the  
 436 performance of SAE and proposed MHSS algorithms are promising than AE, CAE, SAE and  
 437 SpAE. The Lc value of the MHSS is 0.99, which is better than SAE 0.92. The SpAE method  
 438 resulted in the lowest Lc value 0.64. It means that the features learnt by MHSS are more  
 439 distinguishable than those learnt by others techniques. The main features are obtained from the  
 440 peer techniques are also shown in Figure 14. It should be noted that the two main value features  
 441 were normalized into [1, 0] along the projecting. direction. The AE, SpAE, and CAE could  
 442 distinguish “G20,” “Normal,” and “G22 and B” samples from other samples. However, it is clear  
 443 that the peer algorithms, except for SAE method, especially for the “B” and “G20 and B”  
 444 samples.

445

Table 4. Accuracy of testing and clustering performance of the comparative algorithms

Algorithm	Accuracy	Lc
AE	0.9705	0.76
SpAE	0.9898	0.64
CAE	0.9891	0.91
SAE	0.8734	0.92
MHSS	1	0.99

446

## 447 CONCLUSION AND FUTURE DIRECTIONS

448 Deep learning is a rapidly growing research area having state of art achievement in various  
 449 application such as speech recognition, object recognition, machine translation and image  
 450 segmentation. In current modern industrial manufacturing system, MHSS is achieving high  
 451 popularity because of the widespread availability of sensors having low cost and access to the  
 452 internet connection. Deep learning architecture gives useful tools to analyze and process these  
 453 huge amounts of machinery data. In contrast to the traditional machine learning model, deep

454 learning algorithms having superior achievements in the area of machine  
455 health monitoring.to perform pre-processing using sparse auto encoder can improve the result  
456 accuracy of MHSS. CAE applications are crucially important for MHSS. CNN and their types  
457 can handle MHSS feature extraction. Due to the complexity model, hyper-parameter selection is  
458 needed to acquire state-of-the-art performances.

459

460

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467

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469 been submitted to other journal for simultaneous consideration. The manuscript has not been  
470 published previously. The study is not split up into several parts to increase the quantity of  
471 submissions and submitted to various journals or to one journal over time. No data have been  
472 fabricated or manipulated (including images) to support my conclusions. No data, text or theories  
473 by others are presented as if they were of my own. Proper acknowledgements to other works are  
474 provided, and I use no material that is copyrighted. I consent to submit the paper, and I have  
475 contributed sufficiently to the scientific work and I am responsible and accountable for the  
476 results.

477

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