

Quantitative Assessment of Climate Change Impacts On Forest Ecosystem

Dan Liu

Heilongjiang Province Institute of Meteorological Sciences <https://orcid.org/0000-0003-0516-527X>

Hao Yan

China National Meteorological Center

Cheng long Yu (✉ nefuycl@163.com)

Heilongjiang Province Institute of Meteorological Sciences

Shi Ping Yin

Heilongjiang Province Institute of Meteorological Sciences

Cheng Wei Wang

Heilongjiang Meteorological Observatory

Li Juan Gong

Heilongjiang Province Institute of Meteorological Sciences

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Quantitative Assessment of Climate Change Impacts on Forest Ecosystem

Dan Liu^a, Hao Yan^b, Cheng Long Yu^a, Shi Ping Yin^a, Cheng Wei Wang^c, Li Juan Gong^a

a Heilongjiang Province Institute of Meteorological Sciences, Harbin, China; b China National Meteorological Center, Beijing, China; c Heilongjiang Meteorological Observatory, Harbin, China

Abstract:

Characterizing and predicting the response of terrestrial ecosystems to global change is one of the key challenges of contemporary ecology and ecological conservation. The impact of climate change on forest ecosystem has been widely studied, but it rarely uses method of multi-index fusion for quantitative evaluation. In this study, forest ecosystem in Heilongjiang Province was investigated. Based on remote sensing, meteorological observation, ground survey, geographic information, MAXENT model, CASA model, carbon sequestration potential model of Zhou Guangsheng-Zhang Xinshi, pixel dichotomy model and Savitzky Golay Filter model were used. On this basis, we analysed the change characteristics of forest distribution, net primary productivity and vegetation coverage. We also established a model for evaluating the impact of forest ecosystem change on climate, and made a quantitative assessment of the impact on climate. Our results indicate the following: (1) From 2001 to 2019, the forest area in Heilongjiang Province ranged from 2.34×10^5 to 2.46×10^5 km², the forest NPP ranged from 40.48 to 555.32 gC/m²/a, and the vegetation coverage ranged from 42.42% to 67.64%, both of which showed a significant upward trend; (2) The values of forest ecological role were significantly positively correlated with the climatic potential; (3) The results of climate impact assessment of forest ecosystem change showed the contribution rate of climate change to forest ecosystem change was negatively correlated with forest coverage, which varied from 4.79% to 18.07% in different regions (cities) of the province. This study contribute to improving evaluating influence of climate change on forest ecosystem.

KEYWORDS

Forest; Net primary productivity; vegetation coverage; climate change

1. Introduction

Global environmental change and sustainable development have always been the major challenges facing mankind. It is an indisputable fact that the global change marked by the increase of temperature and the change of precipitation pattern (Chair et al, 2015). Climate change may trigger interactions between global terrestrial and marine ecosystem processes (Williamson et al, 2009), resulting in global forest and grassland degradation (Underwood et al, 2018; Cusack et al, 2016; Hedwall et al, 2016), land desertification (Huang et al, 2020; Li et al, 2021) or desertification reversal (Yue et al, 2019), migration of vegetation zones (Myers-Smith et al, 2019; Bjorkman et al, 2018; Carboin et al, 2018), sharp decline in biodiversity (Trew et al, 2021) and so on. Forest ecosystem is one of the main terrestrial ecosystems, and it is also the most complex terrestrial ecosystem. It has high biological productivity and biomass (Melillo et al, 1993), as well as rich biodiversity (Tilman et al, 1996; Nadrowski et al, 2010; Yu et al, 2008). Ecosystem distribution is an important signal of forest ecosystem status. There are large areas of forest vulnerability in Northeast and Southwest China. The subtropical evergreen deciduous broad-leaved mixed forest, cold temperate mountain coniferous forest and temperate deciduous broad-leaved mixed forest become more vulnerable under climate Change (Wan et al, 2018). The effect of temperature on distributing plant species in forest-steppe ecotone of northern (Liu et al, 2015) and boreal forest (Wu et al, 2017) in northern China was greater than that of precipitation. Air temperature

57 increasing obviously effected the ecotone of alpine coniferous forests. The areas of suitable
58 distribution regions for alpine tundra, subalpine forest, cold-temperate coniferous forest, and
59 temperate mixed forest decreased continuously; however, the areas for warm-temperate deciduous
60 broad-leaved forest and temperate grassland increased (Liu et al, 2017). And if the climate
61 continues to warm, it will cause the transition zone between *Pinus tabulaeformis* and *Picea*
62 *crassifolia* to move to higher elevations (Wang et al, 2021).

63 Net primary productivity (NPP) is an important signal of vegetation biomass accumulation
64 and carbon sink capacity, and climate has a direct impact on the global ecosystem NPP (Leith,
65 1975; Taiz et al, 2015; Gillman et al. 2015; Chu et al, 2016). Global warming, the increase of CO₂
66 concentration and increased nitrogen deposition will increase plant photosynthetic rate and carbon
67 uptake to some extent (Schippers et al, 2015; Gang et al, 2015). But also stimulate the activities
68 of soil microorganisms, improve soil heterotrophic respiration rate, and increase soil carbon
69 release (Allison et al, 2008; Ma et al, 2018). Since the 1990s, the NPP of terrestrial vegetation in
70 China has been increasing in general (Fang et al, 2003). However, because of the obvious regional
71 differences in climate change, the impacts on the NPP of forest ecosystems in different regions are
72 also different. The results show that climate warming has a negative effect on forest NPP in
73 southern China, but a positive effect on northern China (Li et al, 2017; Wang et al, 2017). There
74 is a good correlation between Normalized Difference Vegetation Index (NDVI) and vegetation
75 growth (Piao et al, 2015; Ghebregabhera et al, 2020; Dearborn et al, 2021; Shen et al, 2021;), and
76 the time series of vegetation index extracted by remote sensing can be used to study the response
77 of vegetation to climate change at a large regional scale. The size of the time window can affect
78 the results of the vegetation cover change trend study. Both long-term and short-term changes are
79 relative, and different models (such as linear and nonparametric models) will also significantly
80 affect the results (De Jong, et al, 2011; 2012).

81 The impact of climate change on forest ecosystem is often assessed qualitatively or
82 quantitatively by analyzing the relationship between NDVI, NPP and climate factors. These
83 studies have made important contributions to understanding the long-term evolution of forest
84 ecosystems and revealing the impact of meteorological and human on terrestrial vegetation.
85 However, the changes of vegetation coverage and NPP may not be consistent (Ding et al, 2020),
86 so the study of the climate driving of forest ecosystem change trend by a single indicator may
87 increase confuses the results. It is necessary to assess the impact of meteorological conditions on
88 forest ecosystem comprehensively and accurately before the government draws up ecological
89 measures, protection plans and financial investment to cope with climate change (Aldieri et al,
90 2020). Therefore, it is important to comprehensively evaluate the climate influence of vegetation
91 growth with different biophysical properties.

92 Heilongjiang Province, the northernmost province in China, has a forest coverage rate of nearly
93 50% which is an important ecological security barrier in Northeast Asia. How to ensure the
94 sustainable development of the forest ecosystem in this region and mitigate the adverse effects of
95 global change is important. The province situates in the East Asian monsoon region with the
96 largest rate of environmental change on earth. It spans the cold temperate and temperate climate
97 zones from north to south, and the semi-arid and semi-humid climate zones from west to east. It's
98 environmental variability, sensitivity and vulnerability of ecosystem are in the forefront of the
99 country and even in East Asia (Martel et al, 2018; Zhao et al, 2020; Wu et al, 2016; Li et al, 2018;
100 Xia et al, 2017). This combination of climate and geography across thermal and humid climate
101 zones, as well as diverse types of forest ecosystems, makes this region an ideal choice for studying
102 how climate change affects boreal forest ecosystems.

103 The objective of this study is to quantitatively evaluate the comprehensive contribution rate of
104 climate conditions to forest ecosystem change. In this study, we analyzed the change
105 characteristics of forest distribution, NPP and vegetation coverage in Heilongjiang Province and
106 their climate impact. We also established a model for evaluating the impact of forest ecosystem
107 change on climate to solve the problem of single quantitative assessment index of climate impact
108 on forest ecosystem.

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2. The study area

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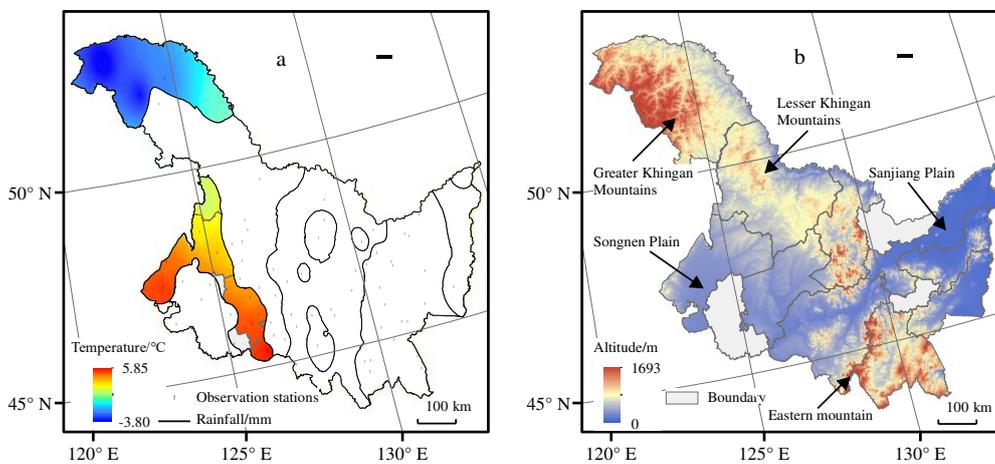
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Heilongjiang Province (121°11'-135°05'N, 43°26'—53°33'E), located in the northeast of China, is the northernmost province in China with the highest latitude, with a land area of about 460,000 km² (Figure 1). The study area belongs to the continental monsoon climate from the middle temperate zone to the cold temperate zone. The annual average temperature is between -3.80 °C and 5.85 °C, and the temperature decreases gradually from southeast to northwest. The annual average precipitation is 397.60 ~ 656.40 mm (data source: dataset of daily climate data from Chinese surface stations V3.0). The precipitation in the southwest is low, and the precipitation in the central and eastern parts is high. The terrain of the study area is high in the northwest, north and southeast, and low in the northeast and southwest which is mainly composed of mountains, platforms, plains and water. Mountains account for about 24.7% and plain 37.0% of the province.



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Figure 1 Average yearly temperature, precipitation (a) and topography (b) in the study area

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3. Data collection and methodology

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3.1 NDVI data set reconstruction

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In this study, we used the Global MOD13Q1 data as the basic data source of land use classification. The data come from EOS data center of NASA LPDAAC (The Land Processes Distributed Active Center). Which is level-3 product in the Sinusoidal projection with 250-meter resolution. The coverage of each area is 10°×10° lat/long, and the data areas used in Heilongjiang Province are h25v03, h25v04, h26v03 and h26v04. The data contains Normalized Difference Vegetation Index (NDVI) and Enhanced Vegetation Index (EVI) data sets. In this study, MODIS Reprojection Tool was used for stitching and projection conversion (conversion to WGS-1984-UTM projection).

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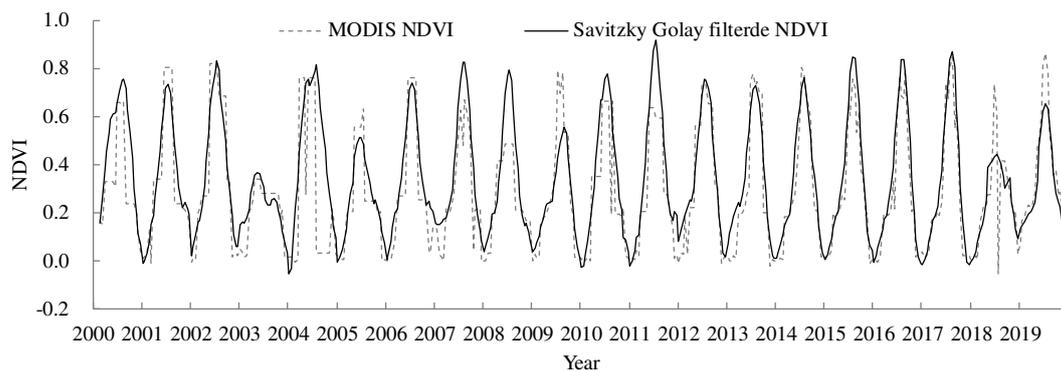
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The temporal spectral data of vegetation index can reflect the dynamic of vegetation growth, and high quality NDVI time series data is of great significance for regional and global ecological and environmental applications. However, Although MOD13Q1/NDVI uses Maximum Value Composite to synthesize data, solar elevation angle and observation angle, interference of cloud, water vapor, aerosol, soil background can effect the data acquisition and processing. In this study, we used the Savitzky Golay Filter (Savitzky et al, 1964) to reconstruct the NDVI dataset from 2001 to 2019. Savitzky Golay Filter is a simplified least squares fitting convolution for smoothing and calculating derivatives of continuous values, which can be understood as a weighted moving

144 average filter with a polynomial of a given degree. It can effectively retain the relative maximum,
145 minimum and width of the data set, and has been applied in winter wheat distribution and
146 phenology monitoring (Chu et al, 2016), satellite data performance evaluation (Kandasamy et al,
147 2015), vegetation temporal and spatial change analysis (Li et al, 2017) and so on.

148 Figure 2 shows there are fluctuations in the NDVI curve in the original sequence, with
149 obvious peaks and troughs in most years, and the general change law conforms to the law of
150 vegetation growth. But the curve has many sawteeth and obvious noise, which is not conducive to
151 the trend analysis of vegetation change. The NDVI curve filtered by Savitzky Golay Filter can
152 clearly show the fluctuation rule of NDVI in a year, and the effect of removing dryness is obvious.
153 It is in line with the law of vegetation growth and can be used as the analysis of vegetation change
154 trend.



155
156 Figure 2 Randomly selected pixels (126.35 ° E, 46.48 ° N): Comparison of original sequence NDVI
157 from 2000 to 2019 with reconstruction using Savitzky Golay filter

159 3.2 Meteorological data

161 3.2.1 Preprocessing of meteorological observation data

162
163 The meteorological data derived from the daily data of 80 meteorological stations in Heilongjiang
164 Province from 1951 to 2019, including daily average temperature, daily precipitation, daily
165 average relative humidity and other basic meteorological. Climate change research must be based
166 on reliable data. However, due to the influence of station migration and others, most of the
167 measured data are not uniform in sequence, which will cover up the reality and produce false
168 climate change. It is necessary to check the uniformity and quality control of the data before
169 conducting climate change analysis to maximize the reliability of the data (WEI F, 1999; REN
170 et al, 1998).

171 We used SPSS 22 to conduct normal test for the daily temperature and relative humidity data
172 in each climate zone. The significance level was $P \geq 0.05$ which indicating most of the daily
173 temperature and relative humidity data in each climate zone were approximately normal
174 distribution, and no standardized processing was required in the analysis. The change of daily
175 precipitation does not have gradual and continuous characteristics. It's not the independent
176 variable to judge whether the precipitation data obey the normal distribution, but the monthly
177 precipitation is the independent variable. The test results show that most of the temperature and
178 precipitation data used in this study are subject to normal distribution. Therefore, no
179 standardization is done when further analysis is not required.

181 3.2.2 Climate index selection

182
183 Climate largely determines the geographical distribution and characteristics of vegetation types,

184 and both low and high temperatures restrict the geographical distribution of plants. Most tropical
185 and subtropical woody plants cannot survive when the minimum temperature in the coldest month
186 is below 5°C and the average temperature is below 10°C in the hottest month. Deciduous woody
187 plants in frigid zone cannot survive when the maximum temperature is higher than 5°C in the
188 coldest month and the average temperature is higher than 21°C in the hottest month. We

189 According to the results of screening bioclimatic variables (bioclimatic variables are
190 specifically defined in WorldClim, <http://worldclim.org>) by Yu et al (2019) and the classification
191 method of main factors affecting plant growth and geographical distribution by Woodward. We
192 divided into seven bioclimatic variables with clear ecological significance. These are as follows:
193 (1) The minimum temperature of the coldest month represents the lowest temperature that plants
194 can tolerate; (2) The annual temperature and the mean temperature of the warmest quarter represent
195 the supply of heat needed to complete a life cycle; (3) Annual precipitation and precipitation of the
196 driest quarter represent the water supply needed to sustain plant growth; (4) The mean diurnal
197 range of temperature represents the range of temperature, and the precipitation seasonality
198 represents the range of precipitation.

200 **3.3 Land cover classification based on remote sensing**

201
202 The forest distribution range in the study area was extracted based on the classification results of
203 "IGBP Global Vegetation Classification Scheme" of MODIS MCD12Q1 data. Forests consist of
204 the following nine categories: (1) Dominated by evergreen conifer trees (canopy >2m). Tree
205 cover >60%, (2) Dominated by evergreen broadleaf and palmate trees (canopy >2m). Tree
206 cover >60%, (3) Dominated by deciduous needleleaf (larch) trees (canopy >2m). Tree cover >60%,
207 (4) Dominated by deciduous broadleaf trees (canopy >2m). Tree cover >60%, (5) Dominated by
208 neither deciduous nor evergreen (40-60% of each) tree type (canopy >2m). Tree cover >60%, (6)
209 Dominated by woody perennials (1-2m height) >60% cover, (7) Dominated by woody perennials
210 (1-2m height) 10-60% cover, (8) Tree cover 30-60% (canopy >2m), (9) Tree cover 10-30%
211 (canopy >2m).

212 Landsat series data of the same period were used to test the classification accuracy of the
213 combined data. We analyzed the classification accuracy of land use types (forest and other land
214 use types) from 2001 to 2019 by using error matrix and Kappa analysis method based on LANDSA
215 data of the year. The overall classification accuracy was more than 85%, and the Kappa coefficient
216 was more than 0.82.

218 **3.4 Maximum Entropy model**

220 **3.4.1 Model operation parameters and variable**

221
222 Maximum Entropy Model (MAXENT) was originally proposed in the Center for Biological
223 Diversity and Conservation (AMNH) of the American Museum of Natural History. It is a model
224 based on the principle of niche, which derives constraints from the records of species occurrence
225 points and the corresponding characteristics of environmental variables. The maximum entropy
226 principle and machine learning technology are used to establish the niche and distribution model
227 of species, and to explore the possibility of species distribution under this constraint (Steven et al,
228 2006; 2018). It is widely used because of its good performance in the comparison of species
229 distribution modeling methods (Elith et al, 2011; Moya et al, 2017).

230 The parameters required for the operation of MAXENT model are determined according to
231 the evaluation method of Yu et al (2019b). When the sample size of Maxent model is 121, the
232 prediction accuracy is better. The characteristic parameters have great influence on the response
233 curve of environmental variables, while the control frequency and the maximum background point
234 have little influences. The AUC is the highest which the characteristic parameter is "threshold"

and the control frequency is 1. Jackknife test showed that the main temperature factors affecting forest distribution were the average temperature of the warmest season, the annual average temperature and the lowest temperature of the coldest month. And the main water factors were annual precipitation, seasonal variation of precipitation and the driest season precipitation.

3.4.2 Simulation of suitable area of forest distribution

According to the calculation principle of half peak width, about 76% of the distribution points are included in the optimal range when the environmental factors and the frequency distribution curve accord with the normal distribution. Generally, when the sample of plant geographical distribution is enough, the distribution curve of species existence frequency with climate conforms to normal distribution (Gunasekaran et al, 1982; Saha, 2001; Yam et al, 2016). The range of optimum climatic calculated by this method can explain 76% of the species distribution, that is, the climate guarantee rate to ensure the production capacity, stability and sustainability of vegetation or terrestrial ecosystem is 76%. If the data of number of climatic factors (n) affecting the geographical distribution and function of terrestrial ecosystems are statistically independent, the threshold of the existence probability (p) of the distribution boundary of terrestrial ecosystems is 0.76^n (based on 76% climate guarantee rate and n climatic factors) (Wan et al, 2016). According to the simulation results of MAXENT model, the contribution rate of 7 climatic factors affecting forest potential distribution was more than 0, the classification criteria of forest potential distribution was: suitable (existence probability $\geq 14.65\%$), unsuitable ($0 \leq$ existence probability $< 14.65\%$).

3.5 NPP model screening

The vitality of an ecosystem reflects its carrying capacity and anti-disturbance ability. We selected NPP as an evaluation index of the productivity of the ecosystem because the material basis of the existence of all ecosystems. NPP climate potential estimated by Zhou Guangsheng -- Zhang Xinshi model (Zhou et al, 1995) which based on the vegetation CO₂ flux equation and water vapor flux equation. The model was used to simulate the vegetation productivity by Zhang et al (2011) and the results of simulating the vegetation productivity of Zhalong wetland in China were also verified (Yu et al, 2021). The actual NPP was monitored using the CASA model (Potter et al, 1993).

3.6 Vegetation coverage model

Based on NDVI and dimidiated pixel model (Li et al, 2004), the actual vegetation coverage of forest was calculated. The vegetation climatic potential coverage was calculated based on comprehensive eco-meteorological, and the model of vegetation climatic potential coverage is as follows:

(1) Monthly vegetation coverage simulation model based on integrated eco-meteorological:

$$VC_{M,i} = k_1 \times M_{c,i} + k_2 \times VC_{M,i-1} + b$$

Where, VC_M is vegetation coverage; the i is month; the $i-1$ is the month prior to month i ; M_c is the comprehensive ecological-meteorological factor; k_1 , k_2 and b are equation coefficients obtained by model calibration. The specific method is to calibrate the parameters of the Monthly vegetation coverage simulation model by using the remote sensing monthly vegetation coverage (FC) and monthly comprehensive eco-meteorological factor (M_c) data set in 2000, and to obtain the pixel-by-pixel k_1 , k_2 and b .

(2) Calculation Model of M_c based on meteorological factors of light, temperature, water and carbon dioxide.

$$M_c = PAR \times T_e \times W \times F_{CO_2}$$

$$F_{CO_2} = 1 + 0.6 \times \ln([CO_2]/369.29)$$

284

285 Where, M_c is comprehensive eco-meteorological factor; PAR is photosynthetically active
 286 radiation; T_ε is temperature stress coefficient; W is water stress coefficient; F_{CO_2} is Carbon
 287 dioxide fertilization factor; $[CO_2]$ is Surface carbon dioxide concentration (Unit: ppm) .

288 (3) Photosynthetically active radiation

289 Solar global radiation on the earth's surface (R_s) and PAR were estimated according to sunshine
 290 duration. R_s is calculated from the Monthly average sunshine duration by the method
 291 recommended by Food and Agriculture Organization of the United Nations, and the calculation
 292 formula is as follows:

$$R_s = (a + b \frac{n}{N}) R_a$$

293

294 Where, n is actual sunshine duration; N is maximum sunshine duration; R_a is exoatmospheric
 295 solar radiation; a and b are fitting coefficient.

296 PAR was calculated according to the ratio of PAR to R_s of 0.48:

$$PAR = 0.48 \times R_s$$

297

298 (4) Temperature stress coefficient

$$T_\varepsilon = ((T_a - T_{min})(T_a - T_{max})) \div ((T_a - T_{min})(T_a - T_{max}) - (T_a - T_{opt})^2)$$

299

300 Where, T_ε is temperature stress coefficient; T_a is average monthly temperature (Unit: oC); T_{min} 、
 301 T_{max} and T_{opt} are the minimum, maximum and optimum temperatures for photosynthesis,
 302 respectively (Melillo et al, 1993).

303 (5) Water Stress Coefficient

304 The ratio of monthly actual evapotranspiration (E) to potential evapotranspiration (PET) was used
 305 to estimate the water stress coefficient (W), and the calculation formula is as follows:

$$W = E / PET$$

306

$$PET = 1.35 \frac{\Delta R_n}{\Delta + \gamma}$$

307

308 Where, Δ is saturation vapor pressure gradient; γ is humidity calculation constant; R_n is monthly
 309 net radiation, and the calculation formula is as follows:

$$R_n = (1 - \alpha) R_s - R_{nl}$$

310

311 Where, α is surface albedo; R_{nl} is net long-wave radiation

312

313 **3.7 Evaluation model of meteorological impact of forest ecosystem change**

314

315 **3.7.1 Model Construction**

316

317 The meaning of the determination coefficient is that the variation of the dependent variable can be
 318 explained according to the variation of the independent variable. Therefore, this study took the
 319 actual observed value as the dependent variable and the climate potential value as the independent
 320 variable to establish the determination coefficients of NPP, FVC and forest distribution area
 321 respectively. Based on the determination coefficients of each variable, an impact evaluation model
 322 of meteorological conditions for ecological civilization construction was established.

323

$$M = \frac{(a \cdot |NPP_c| + b \cdot |VC_c| + c \cdot |LUC_c|)}{3} \times 100\%$$

324

Where, M is contribution rate of climate change; NPP_c is determination coefficient between actual NPP and NPP climate potential for 2001 – 2019; VC_c is determination coefficient between actual vegetation cover and potential vegetation cover for 2001 – 2019; LUC_c is determination coefficient between actual forest land cover and potential suitable forest area from 2001 to 2019; a , b and c are weights.

329

3.7.2 Determination of weights

331

We combine the Analytic Hierachy process (AHP) (Saaty, 1977; 1980) and Shannon Entropy Index (Shannon, 1948) to determine the model weight. Which not only avoids the subjectivity of the subjective weighting method, but also avoids the randomness of the objective weighting method. Perform consistency test on the weight results calculated by the above two methods, and calculate the combination weight after passing the consistency test. See Table 1 for the calculation results.

338

Table 1 The weights of Analytic Hierachy process, Shannon Entropy Index and combination weighting method

340

Ecological variables	Analytic Process	Hierachy	Shannon Index	Entropy combination weighting
NPP	0.5584		0.3670	0.5195
vegetation cover	0.3196		0.3353	0.3228
forest land cover	0.1220		0.2977	0.1577

341

3.7.2.1 Consistency test of weighting method. The Spearman rank correlation coefficient was used for consistency test.

342

$$d(W^{(1)}W^{(2)}) = \left[\frac{1}{2} \sum_{j=1}^n (W^{(1)} - W^{(2)})^2 \right]^{\frac{1}{2}}$$

343

When $0 \leq d(W^{(1)}, W^{(2)}) \leq 1$, the weighted results are in good consistency. The smaller $d(W^{(1)}, W^{(2)})$ is, the closer the two weighted results are. If the weights obtained by the two weighting methods are consistent, the calculation of the combination weight is carried out. If the consistency of the two weights is not good, the evaluation factors or factor scores in the Analytic Hierachy Process are adjusted until the consistency of the two weighting methods is checked. In this study, $d(W(1), W(2))=0.2532$, indicating that the weight calculated by AHP and entropy method has a good consistency.

351

3.7.2.2 Combination weighting. This research refers to the method of Xi et al (2010) to carry on the combination weight, the method is as follows:

352

$$W = \alpha a_i + (1 - \alpha) b_i$$

354

$$\alpha = \frac{n}{n-1} G_{AHP}$$

355

$$G_{AHP} = \frac{2}{n} (1p_1 + 2p_2 + L np_n) - \frac{n+1}{n}$$

356

357 Where, a_i is Objective weight of the j th attribute; b_i is Subjective weight of the j th attribute; w_i is
 358 Final weight of the j th attribute; α is Coefficient to be determined; n is Number of indicators; p_1 、
 359 p_1 、...、 p_n are W_1, W_2, \dots, W_n reorder the components from small to large.

360

361 3.8 Geographic information data

362

363 Digital Elevation Model (dem) is the data of SRTM terrain product v4.1, which comes from the
 364 international scientific data mirror website (<http://www.gscloud.cn>) of the Computer Network
 365 Information Center of the Chinese Academy of Sciences, with the spatial resolution of 90m.

366 The provincial administrative division data required by the study comes from the 1:250000
 367 basic geographic information issued by the China Meteorological Administration. It's
 368 topologically checked to remove the gaps between provincial boundaries and county boundaries.
 369 The provincial administrative division data and the location data of meteorological observation
 370 stations are from the China Meteorological Administration.

371

372 4. Results

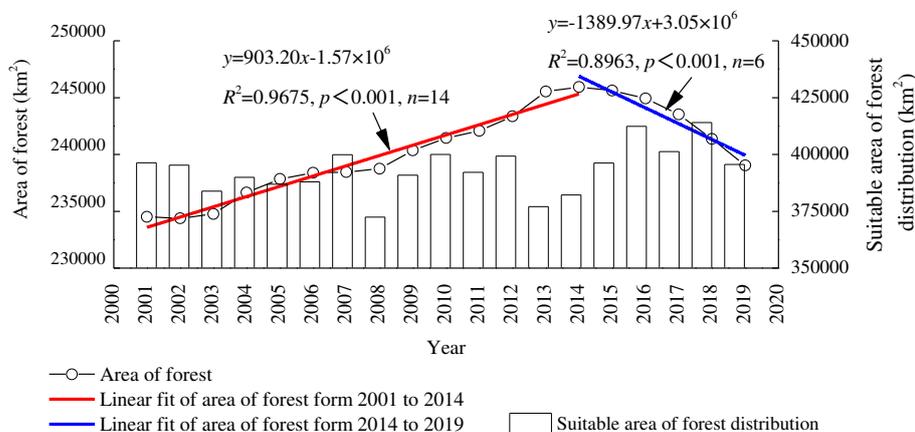
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374 4.1 Characteristics of forest distribution change

375

376 The forest area was between 2.34×10^5 and 2.46×10^5 km² from 2001 to 2019 in Heilongjiang
 377 Province (Figure 3). The change of forest area can be divided into two stages taking 2014 as the
 378 boundary. It increased significantly with an average annual increase of 903. 20 km² in the early
 379 stage (2001 – 2014) and decreased significantly of 1389. 97 km² in the later stage (2014 – 2019).

380 The suitable distribution area of the forest was between 3.72×10^5 and 4.14×10^5 km², with
 381 an average of 3.93×10^5 km², which had no significant change trend and no significant correlation
 382 with the actual distribution area of the forest. The actual distribution area of forest in the study
 383 area may be affected by both meteorological and non-meteorological conditions.



384

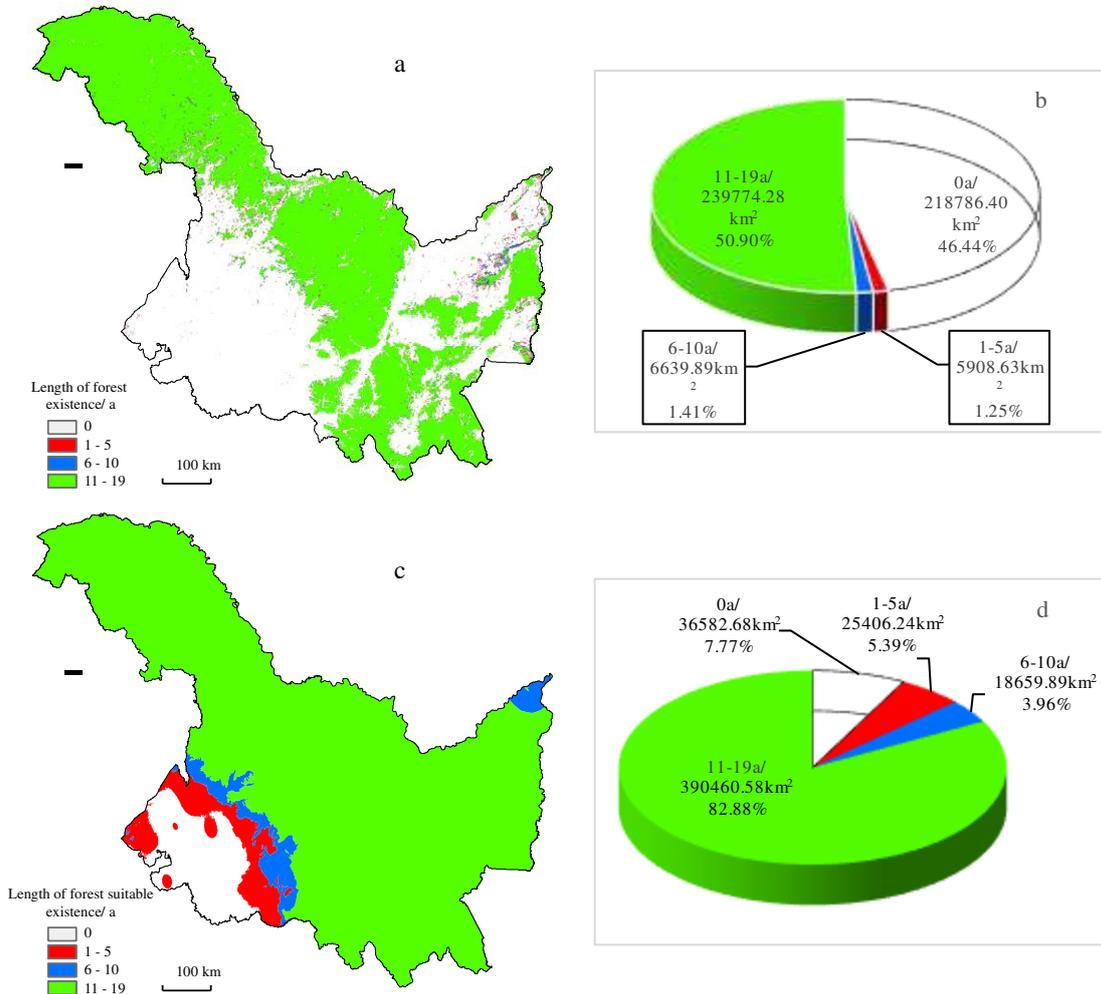
385 Figure 3 Temporal variation of forest area and suitable area of forest in Heilongjiang Province from
 386 2001 to 2019.

387

388 The forest area in Heilongjiang Province took 2014 as the demarcation point, which showed a
 389 trend of increasing first and then decreasing as the curve shown in the figure. The bar chart shows
 390 that the suitable area of forest fluctuates greatly, and there is no significant change trend.

391 The forests mainly distributed in the Greater Kingan Mountains, Lesser Kingan Mountains
 392 and most of the Eastern Mountainous areas. There are 50.90% area of the whole province has
 393 maintained forest vegetation for a long time (11 – 19 years). The unstable areas of forest vegetation
 394 are mainly distributed in the edge of the Greater Kingan Mountains, the Lesser Kingan Mountains
 395 and the Eastern mountain, and some areas of Sanjiang Plain, accounting for 2.66% of the province

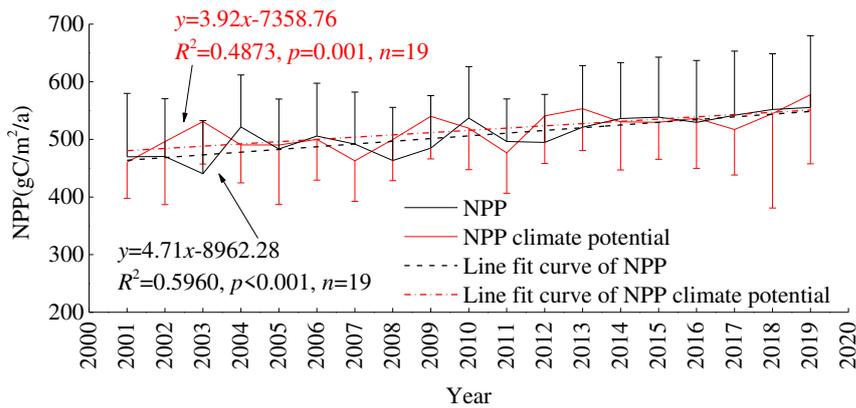
396 (Figure 4-a and Figure 4-b). Figure 4-c shows that most areas of the province, except the southwest,
 397 are suitable for forest distribution. And that all the forests in the province are distributed in the
 398 suitable distribution area, with 82.82% of the area suitable for forest distribution in the long term
 399 (11 – 19 years) (Figure 4-d). And the actual distribution area of forests is highly consistent with
 400 the potential distribution area.



401
 402 Figure 4 Sketch map of forest distribution in Heilongjiang Province from 2001 to 2019
 403 (a, c): The number of years that each pixel of forest has existed (a) or suitable existence (c). Green,
 404 blue, and red pixels represent forests that have existed (a) or suitable existence (c) for 11-19 years, 6-
 405 10 years, and 1-5 years, respectively. The white pixels in the study area represent a forest that never
 406 existed (a) or unsuitable existence between 2001 and 2019. (b, d): There are different years of forest
 407 pixel area and the proportion of the total area of the study area.

409 4.2 Variation characteristics of forest NPP

410
 411 The NPP (ranges from 440.48 to 555.32gC/m²/a with an average of 507.05gC/m²/a) is generally
 412 slightly lower than the NPP climate potential (ranges from 460.38 to 577.62gC/m²/a with an
 413 average of 515.67gC/m²/a.). They all showed a significant increase trend of 4.71 gC/m²/a and
 414 3.92gC/m²/a respectively (Figure 5).



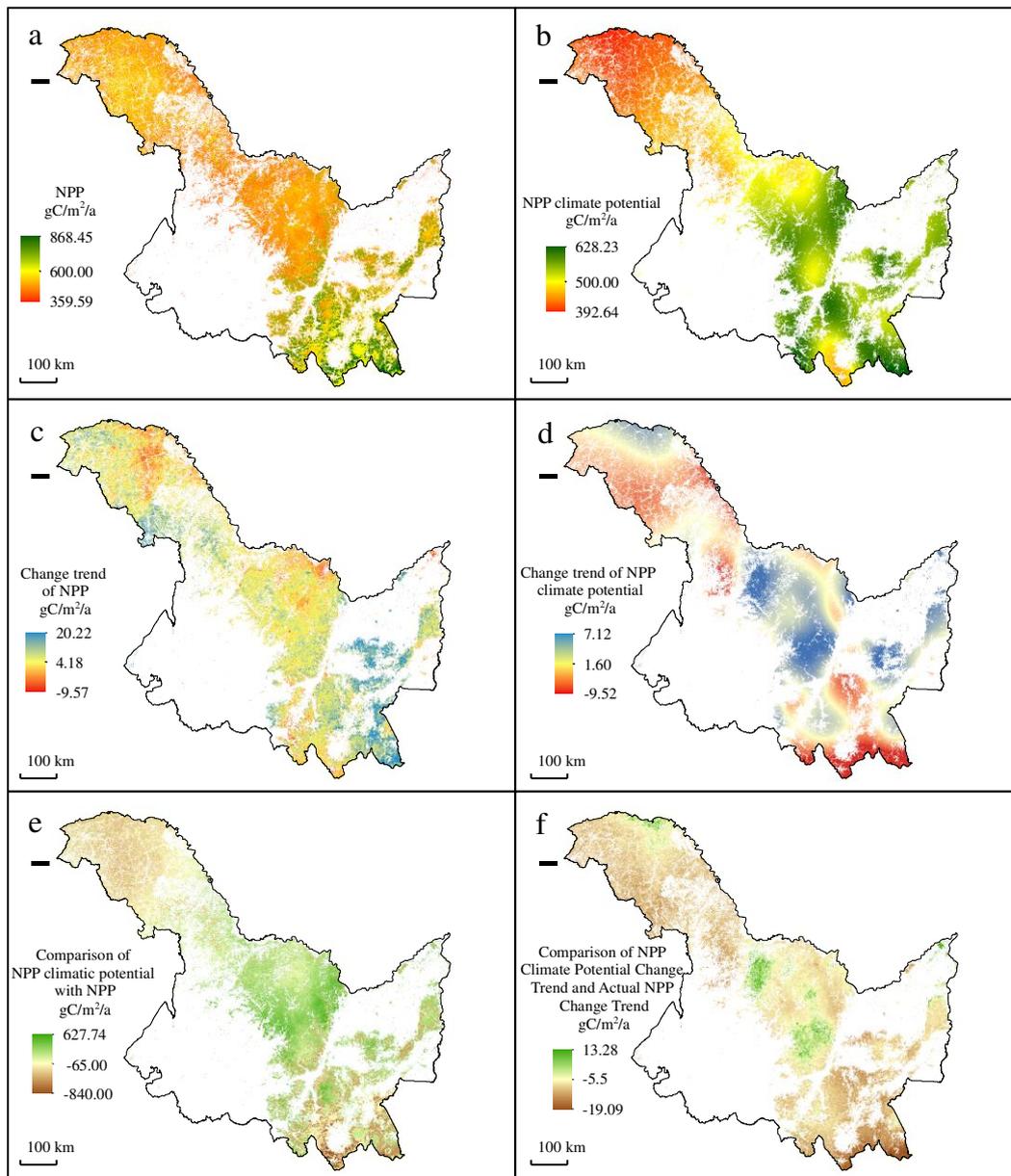
415

416 Figure 5 Temporal variation of forest NPP in Heilongjing Province from 2001 to 2019

417

418 The spatial variation of forest NPP was obvious ranges from 359.59 to 868.45gC/m²/a of
 419 which about 469.49gC/m²/a was the most. The NPP in the Eastern Mountains was generally larger
 420 than that in the Greater Kingan Mountains and the Lesser Kingan Mountains (Figure 6-a). The
 421 regional distribution characteristics of NPP climate potential (Figure 6-b) was consistent with the
 422 forest NPP. The climatic potential of NPP ranged from 392.64 to 628.23gC/m²/a and mainly
 423 concentrated in the vicinity of 462.13gC/m²/a, followed 560gC/m²/a.

424 The forest NPP in most regions is lower than the NPP climatic potential (Figure 6-e), but
 425 some regions of the eastern mountains exceeds the climatic potential which is calculated based on
 426 meteorological observation data. One of the reason may be that the interpolation accuracy of
 427 meteorological data will be affected under the condition of complex terrain, which will affect the
 428 estimation accuracy of NPP. The NPP (Figure 6 – C) and NPP climatic potential (Figure 6 – d) of
 429 most forests showed increasing trends, with the largest increasing trends at 4.21 gC/m²/a and 2.98
 430 gC/m²/a, respectively. the increasing trend of forest actual NPP was greater than the increasing
 431 trend of NPP climate potential in most areas (Figure 6 – f).



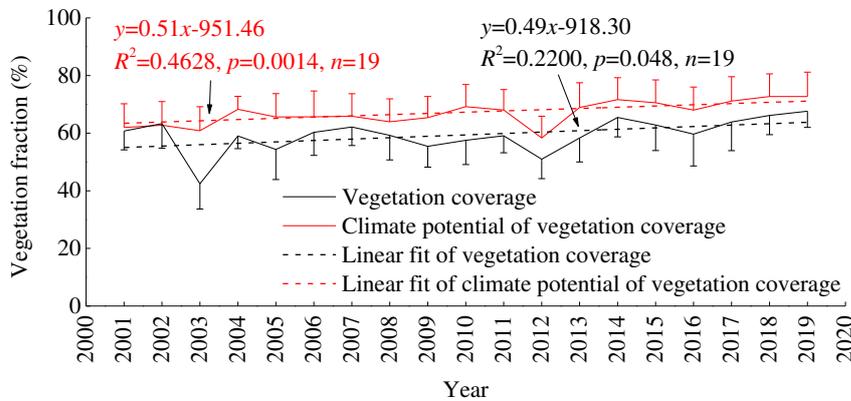
432
 433 Figure 6 Comparison of NPP climate potential and NPP in Heilongjiang Province from 2001 to 2019
 434 a: Distribution map of mean NPP of forest from 2001 to 2019; b: Distribution map of average climate
 435 potential of forest NPP from 2001 to 2019; c: Distribution of linear trend of NPP change in real forest
 436 from 2001 to 2019, in which the value of each pixel is the slope obtained by linear fitting; d:
 437 Distribution of linear trends in forest NPP climate potential change from 2001 to 2019, where the
 438 values for each pixel are the slopes of the linear fit; e: A comparison diagram of the climate potential
 439 of forest NPP and the real forest NPP, calculated as the climate potential of forest NPP (Fig. 6-b) minus
 440 the real forest NPP (Fig. 6-a); f: A comparison diagram of the changing trend of climate potential of
 441 forest NPP and the changing trend of real forest NPP, calculated as the changing trend of climate
 442 potential of forest NPP (Figure 6-d) minus the changing trend of real forest NPP (Figure 6-c).

443

444 **4.3 Change characteristics of forest vegetation coverage**

445

446 The vegetation coverage (ranged from 42.42% to 67.64%) was generally lower than the climate
 447 potential of vegetation coverage (ranged from 58.34% to 72.71%). And there was a significant upward
 448 trend of 0.51%/a and 0.49%/a respectively.



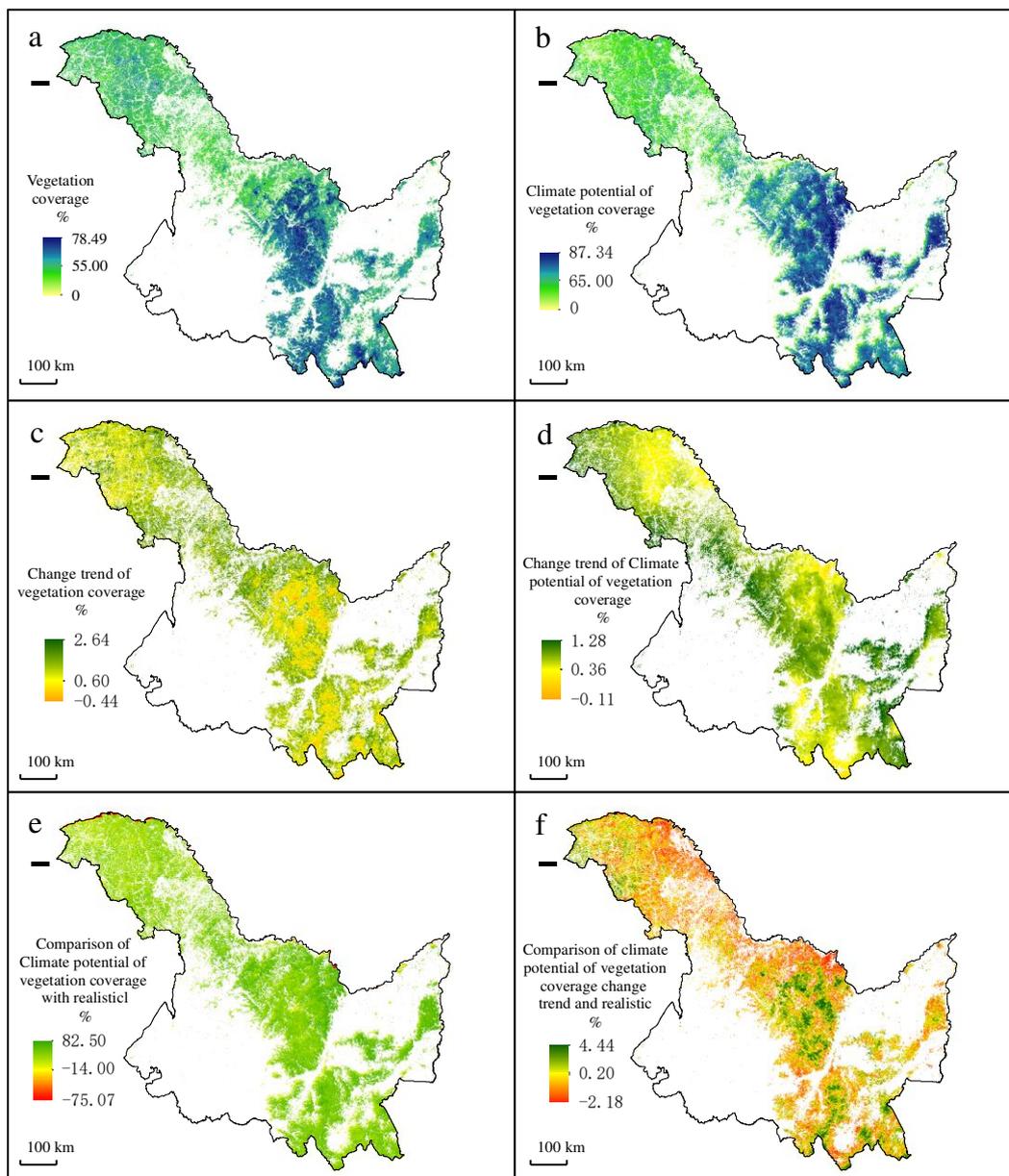
449

450 Figure 7. Temporal change of forest vegetation coverage in Heilongjiang Province from 2001 to
 451 2019

452

453 The forest vegetation coverage (Figure 8-a) and the climatic potential of vegetation coverage
 454 (Figure 8-b) showed the same distribution characteristic. The Eastern Mountains and the
 455 southeastern part of the Lesser Khingan Mountains was higher than that in the Greater Khingan
 456 Mountains and the northern part of the Lesser Khingan Mountains. The forest coverage was lower
 457 than the climate potential (Figure 8-e), in which the vegetation coverage in the northern slope of
 458 Lesser Kingan Mountains and Eastern Mountains were different from the climate potential.

459 Most of the forest vegetation coverage (Figure 8-c) and the climatic potential of vegetation
 460 coverage (Figure 8-d) were increasing. The climate potential of vegetation coverage change trend
 461 was less than that of realistic coverage in most of the province, but the opposite has occurred in
 462 parts of the Lesser Khingan Range (Figure 8-f).



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Figure 8 Comparison of climatic potential and forest vegetation coverage in Heilongjiang Province from 2001 to 2019 a: Distribution map of actual average forest vegetation coverage from 2001 to 2019; b: Distribution map of mean climatic potential of forest vegetation coverage from 2001 to 2019; c: Distribution of linear trend of actual forest vegetation coverage change from 2001 to 2019, in which the value of each pixel was the slope obtained by linear fitting; d: Distribution map of linear trend of climatic potential change of forest vegetation coverage from 2001 to 2019, in which the value of each pixel was the slope obtained by linear fitting; e: A comparison of climate potential of vegetation coverage and vegetation coverage, calculated as the climate potential of forest vegetation coverage (Fig. 8-b) minus the vegetation coverage (Fig. 8-a); f: A comparison diagram of the changing trend of climate potential of vegetation coverage and the changing trend of vegetation coverage. The calculation method was the changing trend of climate potential of vegetation coverage (Figure 8-d) minus the changing trend of real forest vegetation coverage (Figure 8-c).

4.4 Impact of meteorological conditions on forest ecosystem changes

4.4.1 Meteorological influence of ecosystem change

Because the NPP climate potential, vegetation coverage climate potential and potential distribution area of forest are all calculated based on meteorological factors, which can represent the climate potential of each ecological function driven by meteorological factors, this study analyzed the relationship between the actual value of each ecological function and the potential climate potential.

Fig. 9 shows that the actual values of forest ecological function elements are significantly positively correlated with the climate potential. The NPP climate potential was calculated based on annual precipitation and average daily temperature from 0°C to 30°C. Therefore, the actual value of forest NPP was positively correlated with the coupling effect of the above two basic meteorological factors, and the contribution rate of NPP change was 16.31%. Climate potential of vegetation coverage is based on that calculation model of comprehensive eco-meteorological factors based on sunshine hours, monthly average temperature, evapotranspiration and carbon dioxide fertilization, so there was a positive correlation between the actual value of forest vegetation coverage and the comprehensive effect of the above three meteorological factors; According to the analysis results of potential distribution area of forest simulated by MAXENT model, the comprehensive contribution rate of precipitation in the driest season and annual precipitation to the potential distribution of forest was 69.96% (average value from 2001 to 2019), which showed that there was a positive correlation between actual distribution area of forest and the coupling effect of precipitation in the driest season and annual precipitation.

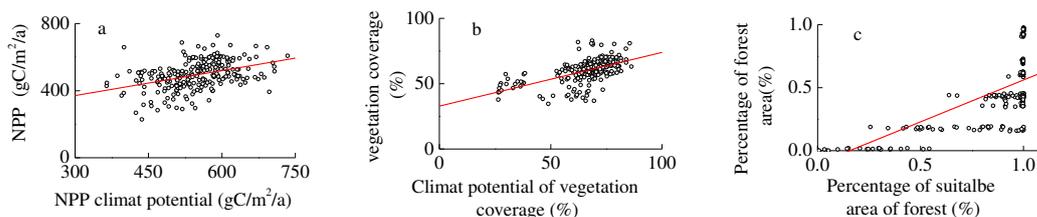


Figure 9 Relationship between NPP and climatic productivity potential (a), between vegetation coverage and climate potential of vegetation coverage (b), and between percentage of forest in district and percentage of suitable area of forest in district (c) in Heilongjiang province

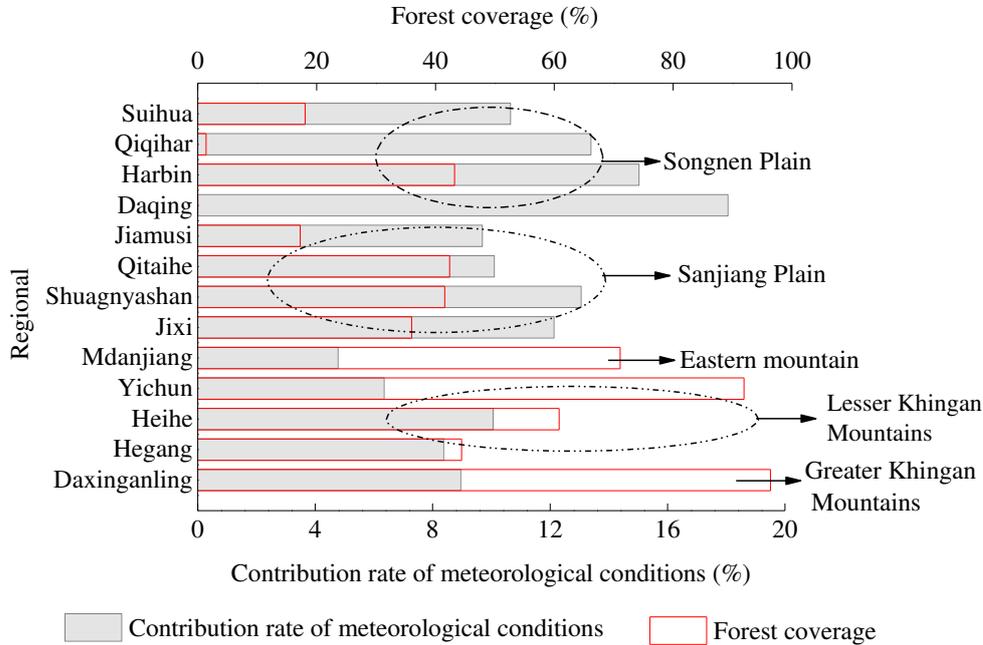
The linear fitting relation of NPP climate potential and realistic NPP was $y=0.49x+221.81$, Coefficient of determination (Figure 9-a) was 0.1631, $\text{Prob}<0.001$. The linear fitting relation of climate potential of vegetation coverage and realistic vegetation coverage (Figure 9-b) was $y=0.41x+32.82$, Coefficient of determination was 0.3261, $\text{Prob}<0.001$. The linear fitting relation of suitable area of forest distribution and area of forest (Figure 9-c) was $y=0.66x-0.10$, Coefficient of determination was 0.5161, $\text{Prob}<0.001$. All the sample points participating in linear fitting were 247.

The data of sample points is the average value of each city in Heilongjiang Province. The province is divided into 13 cities, and the sample points are 13 cities \times 19 years (2001-2019) =247.

4.4.2 Impact assessment of meteorological conditions

The contribution rate of meteorological conditions to forest ecosystem changes is 11.08% in the province, and the contribution rate is between 4.79% (Mudanjiang) and 18.07% (Daqing). Considering the forest coverage and location of each city, it can be seen that the forest coverage in Songnen Plain (0.01% -43.22%) and Sanjiang Plain (17.24% -42.37%) is relatively low, and

521 the contribution rate of meteorological conditions to forest ecosystem change is relatively high,
 522 with an average of 14.29% and 11.25%, respectively. However, the urban forest coverage in the
 523 Greater Kingan Mountains (96.36%), Lesser Kingan Mountains (44.38%–91.92%) and Eastern
 524 Mountains (71.09%) was higher, and the contribution rate of meteorological conditions was
 525 relatively low, averaging 8.96%, 8.27% and 4.79%, respectively.



526 Contribution rate of meteorological conditions (gray Bar) and forest coverage (red Bar) of
 527 forest ecosystems in 13 cities of Heilongjiang Province
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 529

530 Forest coverage is calculated as the percentage of forest area in the total area of a region.
 531 There was a significant negative correlation between the contribution rate of meteorological
 532 conditions (y) and forest coverage (X), and the linear regression equation was $y = -5.94x + 107.67$.
 533 Coefficient of determination was 0.4748, Prob=0.009, and sample points participating in linear
 534 fitting were 13.

535
 536 **5. Discussions**

537
 538 *Influence of climatic factors on NPP*

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 540 One of the concerns of our research is the impact of climate factors on NPP. The results
 541 showed that the forest NPP in Heilongjiang Province increased significantly from 2001 to 2019.
 542 The coupling effect of annual precipitation and daily mean temperature (0-30 °C) was the main
 543 meteorological factors of forest NPP change in the province, and the contribution rate was 16.
 544 31%. This result was much lower than the conclusion reached by Wang et al (2017) that 66% of
 545 NPP variation in Northeast China was attributable to climate factors. The main reason for this
 546 difference is the different calculation methods of NPP climate driving effect. The statistical object
 547 of Wang et al was the pixel with significant inter-annual variation of NPP. However, the object of
 548 this study is the whole forest pixels (including the pixels with significant inter-annual changes in
 549 NPP, but also including the pixels without significant changes in NPP). In addition to
 550 meteorological, there are many others that affect the change of vegetation NPP, such as the
 551 characteristics of vegetation itself, atmospheric CO₂ concentration, atmospheric nitrogen

552 deposition, artificial afforestation (or deforestation), etc. Among them, the dynamics of carbon
553 cycle in forest ecosystems largely depends on the age of forests, which is the key factor to
554 determine the carbon storage and carbon flux of ecosystems. The characteristics of carbon
555 exchange between forest and atmosphere vary with stand age, and have significant forest age effect
556 (Schwalm et al, 2007; Pregitzer et al, 2004). The forest dominant species in Heilongjiang Province
557 are mainly *Larix gmelinii*, *Pinus koraiensis*, *Quercus mongolica*, *Betula platyphylla*, *Picea*
558 *asperata*, *Pinus sylvestris* var. *mongolica* and so on, and the forest age is mainly middle-aged forest
559 and near-mature forest (Dai et al, 2011). The NPP of the forest in this study period is in the stage
560 of rapid growth (He et al, 2012; Goulden et al, 2011), which may be one of the reasons for the
561 significant increasing trend of forest NPP in Heilongjiang Province. Zhang et al (2014) studied the
562 changes of forest biomass in Northeast China through satellite observation, and found that forest
563 biomass increased significantly from 2001 to 2010, in which forest development was the most
564 important contributor to forest biomass growth, followed by climate control.

565 *Influence of climatic factors on vegetation distribution*

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568 Climate impact is a long-term and permanent process, which has a decisive impact on
569 vegetation distribution (Raich et al, 1992, Parmesan et al, 2003). As for that boreal forest in China,
570 the influence of temperature on the forest is greater than that of precipitation, which may be due
571 to the physiological and ecological characteristics of the boreal forest. Boreal forests are also more
572 sensitive to global warming than other ecosystems (Wilmking, et al, 2005; Buermann et al, 2014).
573 Due to the impact of climate change, boreal coniferous forest in Heilongjiang Province will face
574 the severe challenge of being replaced by other biological communities, and the distribution area
575 may be reduced, but this does not mean that the potential distribution area of boreal forest
576 ecosystem will be reduced (Liu et al, 2017). In recent years, rising temperatures have led to a
577 sustained and island-like degradation of permafrost in northeastern China. This change is pushing
578 northern ecosystems into an unbalanced state, which may affect the relative role of climate factors
579 and fire in determining vegetation distribution. The results of this study showed that although the
580 actual distribution area of forest in Heilongjiang Province was distributed in the potential
581 distribution area (4.1.2 Figure 4), there was a significant correlation between the proportion of
582 actual distribution area and the proportion of potential distribution area (4.4.1 Figure 9-c).
583 However, the actual distribution area of forests in the province is not consistent with the potential
584 distribution area (Figure 3 of Section 4.1.1). This shows that the actual distribution area of forest
585 is not only affected by meteorological conditions, but also strongly disturbed by fire, outbreak of
586 pests and diseases, human production activities and so on, but the results of such disturbances are
587 not enough to completely change the distribution trend of forest ecosystem in a larger area.

588 *Influence of climatic factors on vegetation coverage*

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590
591 The results show that the average vegetation coverage of the province has a significant
592 increasing trend from 2001 to 2019, and the contribution rate of climate change to the change of
593 vegetation coverage is 32.61%. On the one hand, climate warming can promote vegetation growth
594 in cold areas or high altitude areas in the north; On the other hand, the increase of vegetation cover
595 will also have an adverse effect on land surface temperature. The increase of vegetation coverage
596 reduces the background (no snow) and snow-covered surface albedo, resulting in a significant
597 increase in surface absorption of solar radiation, and amplifies the feedback between snow cover,
598 surface albedo and absorbed solar radiation (Zhang et al, 2007). Snow- vegetation interaction
599 warms northern land in spring, resulting in a rapid increase of vegetation coverage in spring and
600 prolongs the length of growing season (Peng et al, 2011). From the point of view of heat, it
601 provides favorable conditions for the improvement of vegetation coverage. In addition, although
602 the increase of vegetation coverage reduces the intensity of soil evaporation, it increases the
603 vegetation transpiration, even if there is no significant impact on the total precipitation, but it may

604 change the pattern of precipitation, so that the precipitation in some areas decreases, resulting in
605 the reduction of vegetation coverage. Which may also be one of the reasons for the decrease of a
606 small amount of forest vegetation coverage in the southern part of the Lesser Kinggan Mountains
607 and the northern slope of the Eastern Mountains (Figure 8-c).

608 *Influence of climatic factors on forest ecosystem*

609
610
611 Climate is one of the main factors affecting the distribution pattern and functional
612 characteristics of terrestrial vegetation types, which affects the composition of biological
613 communities in ecosystems by affecting physiological processes such as photosynthesis,
614 respiration and phenology of vegetation, thus changing vegetation distribution, NPP and
615 vegetation coverage. Due to the inconsistency of vegetation coverage and NPP changes, there is
616 great uncertainty in assessing the climate driving effect by using a single index (Ding et al, 2020).
617 In this study, the impacts of climate change on forest ecosystems were assessed with different
618 weights by integrating forest distribution, NPP and vegetation coverage indexes, which reducing
619 the uncertainty generated by single index assessment to a certain extent. The results showed that
620 the contribution rate of meteorological conditions to forest ecosystem change ranged from 4.79%
621 to 18.07%, and there was a significant negative correlation between climate contribution rate and
622 forest coverage. From the perspective of landscape ecology, the larger the patch area of the forest
623 type, the more conducive it will be to the abundance and quantity of species, the extension and
624 interconnection of the food chain, and the reproduction of the secondary species, so as to gain
625 greater anti-interference and restoration ability. Therefore, for the same external disturbance, areas
626 with higher forest cover rate have a lower impact on their ecological functions than those with
627 lower forest cover rate.

628 **6. Conclusion**

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630
631 From 2001 to 2019, the forest area in Heilongjiang Province showed a trend of increasing first
632 and then decreasing, and both NPP and vegetation coverage showed a significant upward trend.
633 The contribution rate of meteorological conditions to forest ecosystem change varies from 4.79%
634 to 18.07% in different cities. There was a negative correlation between the impact of
635 meteorological conditions on forest ecosystem and forest coverage, that is, the higher the forest
636 coverage, the lower the impact of meteorological conditions, and vice versa.

637
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642
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644
645 **Authors contributions:** Dan Liu: Writing and analysis; Hao Yan: analysis; Chenglong Yu: analysis
646 and supervision; Shiping Yin: analysis; Chengwei Wang: analysis; Lijuan Gong: analysis.

647 **Declarations**

648
649 **Ethical approval and consent to participate:** Not applicable

650 **Consent to publish:** Agree to publish

651 **Competing interests:** The authors declare no competing interests.

652

653 **ORCID**

654 Dataset of daily climate data from Chinese surface stations V3.0. Id: <http://data.cma.cn/>
655 MODIS MCD12Q1 and MODIS MOD13Q1. Id: <https://ladsweb.modaps.eosdis.nasa.gov/>

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