

Passive mode-locking and terahertz frequency comb generation in resonant-tunneling-diode oscillator

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Article

Keywords: Optical frequency combs, terahertz frequency range, optical feedback, terahertz sensing

Posted Date: November 9th, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-919266/v1>

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Version of Record: A version of this preprint was published at Nature Communications on June 29th, 2022. See the published version at <https://doi.org/10.1038/s41467-022-31071-3>.

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2 diode oscillator

3

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16

17

1 **Abstract**

2 Optical frequency combs in the terahertz frequency range are long-awaited frequency standards for
3 spectroscopy of molecules and high-speed communications. However, a terahertz frequency comb
4 based on a compact, efficient and room-temperature-operating device remains unavailable especially
5 in the frequency range of 0.1 to 3 THz. In this paper, we show that the resonant-tunneling-diode
6 oscillator can be passively mode-locked by optical feedback and generate a terahertz frequency comb.
7 The standard deviation of the spacing between the comb lines, i.e., the repetition frequency, is reduced
8 to less than 420 mHz by applying external bias modulation. A simulation model successfully
9 reproduces the mode-locking behavior by including the nonlinear capacitance of RTD and multiple
10 optical feedback. Since the mode-locked RTD oscillator is a simple semiconductor device that
11 operates at room temperature and covers the frequency range of 0.1 to 3 THz, it can be used as a
12 frequency standard for future terahertz sensing and communications.

13

14

1 (Main text)

2 **Introduction**

3 The optical frequency comb is a crucial light source for metrology and spectroscopy. Its spectrum
4 consists of equidistant optical modes [1]. The frequency of each mode is represented as follows:

$$5 \quad f_n = f_{\text{CEO}} + n f_{\text{rep}}. \quad (1)$$

6 Here, f_{rep} , f_{CEO} , and n are the repetition frequency, carrier-envelope-offset frequency, and modal
7 index, respectively. The optical modes are coherent and have a stable phase relationship with each
8 other. The frequency-comb source is long-awaited as the frequency standard for spectroscopy of
9 gaseous molecules [2] and high-speed communications in the terahertz frequency range [3]. However,
10 such light sources typically depend on bulky, energy-consuming, and expensive femtosecond lasers
11 [4]. Development of a compact, efficient and low-priced terahertz frequency-comb source based on a
12 semiconductor device is still being pursued.

13 A promising candidate for a semiconductor-based terahertz frequency-comb source is the quantum
14 cascade laser (QCL) [5], which is a compact device emitting watt-class terahertz waves [6][7]. A
15 frequency comb using a terahertz QCL was recently demonstrated [8][9][10][11]. Moreover,
16 differential frequency generation in mid-infrared QCL comb has been used to make a comb from 1.8
17 to 3.3 THz at room temperature [12][13]. However, it is difficult for a QCL to generate terahertz comb
18 below 1.8 THz. There are also devices based on Si CMOS technologies. For instance, a frequency-

1 comb source based on a multiplier was demonstrated for spectroscopy in the range from 220 to 330
2 GHz [14]. Moreover, a bipolar CMOS device was used to generate a frequency comb from 0.03 to 1.1
3 THz [15]. However, it is difficult for CMOS devices to generate terahertz waves of higher frequency.

4 This study reports a novel terahertz comb source, a passive mode-locked resonant-tunneling-diode
5 (RTD) oscillator. The RTD oscillator is an electrical device with fundamental oscillation frequency in
6 the terahertz frequency range at room temperature [16]. Oscillation from the sub-terahertz to 1.98 THz
7 range has been achieved [17][18][19][20][21], and oscillation up to 2.77 THz is expected [22]. A
8 single oscillator can be fabricated on a millimeter-sized chip [23]. The emission power reached 0.4
9 mW for a single oscillator at 530-590 GHz [24] and 0.73 mW for a large-scale array at 1 THz [25].

10 The DC-to-RF conversion efficiency in the terahertz region is about 1 % [24]. However, there has
11 been no report on mode-locking and frequency comb generation in an RTD oscillator. In this paper,
12 we show that the RTD oscillator can be passively mode-locked by simply controlling the optical
13 feedback and that a terahertz frequency comb can be generated. We also demonstrate that the repetition
14 frequency can be stabilized by external modulation. We present a simulation model which reproduces
15 the mode-locking, and predict the future improvement in comb performance.

16

1 Results

2 Measurement of emission spectrum

3 Figure 1a is a schematic diagram of the experimental setup. We measured the emission spectrum of
4 an RTD oscillator under optical feedback with variable amplitude and delay. The distance between
5 the oscillator and the mirror z_M was about 500 mm. We performed a heterodyne measurement with
6 the local oscillator (LO) signal, which had a center frequency of 303.5 GHz and a linewidth of less
7 than 240 mHz at FWHM (see the Experimental setup section in the Methods.) Figure 1b shows a
8 typical emission power spectrum of a continuous-wave (CW) oscillatory state observed without
9 optical feedback from the mirror. It is a single-frequency spectrum with minor sidebands with much
10 lower power spectral densities (PSD) compared with the main peak. The bottom axis shows the
11 heterodyne frequency, and the top axis shows the corresponding terahertz frequency.

12 We found that a frequency comb is generated when optical feedback is injected into the RTD
13 oscillator in a certain phase. The red trace in Figure 1c shows a typical frequency-comb spectrum.
14 Including the small peaks that are not numbered in Figure 1c, there are optical modes with a mode
15 spacing of 273.3 MHz. The mode spacing was approximately proportional to the inverse of z_M . This
16 shows that optical feedback from the mirror causes the optical modes. We note that the mode spacing
17 is not exactly equal to the free-spectral range of a Fabry-Perot cavity, i.e., $c/2z_M$, where c is the
18 speed of light. This is because the amplitude of the return light is small, and a good cavity is not formed

1 in our setup, as described in Supplementary Section 8 (3-2). One in four optical modes has a large
2 intensity. The RF frequencies of the numbered peaks are described with the following equation:

$$3 \quad f_n^{\text{RF}} = f_0^{\text{RF}} + n f_{\text{rep}}. \quad (2)$$

4 Here, f_n^{RF} is the RF frequency of the mode with index n , and f_0^{RF} is the offset RF frequency. We
5 fitted the relationship between the frequencies of the comb lines f_n^{RF} ($n = 0$ to 9) and n with
6 equation (2) and obtained the parameters with the average values and standard deviation as follows:

$$7 \quad f_0^{\text{RF}} = 618.97 \pm 0.45 \text{ MHz} \quad \text{and} \quad f_{\text{rep}} = 1093.13 \pm 0.11 \text{ MHz} \quad (\text{see the Spectrum characterization}$$

8 in the Methods). Since f_{rep} is an integer multiple of the mode spacing, it is a harmonic frequency
9 comb [26][27]. In the present experiment, the harmonic frequency comb with a separation of 4 mode
10 spacing was the most stable.

11 The peaks shown in the black trace of Figure 1c are homodyne signals that appeared even when we
12 blocked the LO signal. Figure 1d shows the homodyne signal measured under the same conditions as
13 those of Figure 1c. There are three peaks, and their frequencies match integer multiples of f_{rep} within
14 the margin of error. Hence, the homodyne peaks are the inter-mode beat note of the harmonic comb.

15 Figures 1e and 1f show the magnified spectrum of the comb line indexed as $n = 3$ and the homodyne
16 peak at 1.0931 GHz. The linewidth of the comb line is 1.9 MHz. The homodyne peak has a smaller
17 linewidth of 310 kHz. Its small linewidth corresponds to a small error in f_{rep} and implies that the
18 optical modes are phase-locked to each other.

1

2 **Relative modal phases**

3 To clarify that the modal phases obey a stable relationship, we measured the single-shot temporal
4 waveform of the heterodyne signal shown in Figure 1c. A sequential waveform was measured over
5 65.6 μs , as shown in Supplementary Section 2. The dots in Figure 2a show a typical part of the
6 measured waveform, and the trace shows a fitting curve obtained in the analysis below. The
7 heterodyne waveform has an average period of approximately 200 ps corresponding to the center RF
8 frequency of 5 GHz in the comb spectrum. We can see that it has a frequency modulation with a period
9 of approximately 1 ns. This frequency-modulated property is further clarified in the analysis below.

10 We performed a fitting analysis of the heterodyne waveform to clarify the phase relationship
11 between the modes. We utilized a fitting function representing the heterodyne beat of the frequency
12 comb:

$$13 \quad f(t) = \sum_{n=2}^6 A_n \sin[2\pi(f_0^{\text{RF}} + nf_{\text{rep}})(t - t_0) + \varphi_n]. \quad (3)$$

14 Here, n is the modal index shown in Figure 1c. We considered only the five modes of n from 2 to
15 6, which have significant amplitudes. A_n denotes the amplitudes of the modes, which are fixed
16 parameters derived from the spectrum. t_0 is the time origin. φ_n denote the initial phases at $t = t_0$.
17 f_0^{RF} , f_{rep} , t_0 , and φ_n are the fitting parameters. We neglected the phase fluctuation of the LO signal
18 because its linewidth was less than 240 mHz.

1 We analyzed the long-term waveform of 65.6 μs to clarify the stability of the phase relationship. In
2 the time scale defined by linewidths of the comb lines ($1/1.9 \text{ MHz} = 520 \text{ ns}$), noise causes a random
3 phase shift in each mode. If the modes are not phase-locked, the phase relationship between the modes
4 would be randomized in this time scale. When the modes are phase-locked, the modes keep a certain
5 phase relationship, and the noise causes only a timing jitter of the mode-locked waveform. The
6 waveform in 65.6 μs has random phase shift or timing jitter, so we cannot fit the entire waveform with
7 equation (3), in which each frequency component is described as a single sinusoidal wave with a well-
8 defined phase. We divided the long span of 65.6 μs into short spans of 164 ns and fitted the waveform
9 in each short span. A typical fitting curve is shown as the trace in Figure 2a; it fits the data points. It
10 is not a short and intense pulse, as is often the case for a mode-locked pulse. We note that we carefully
11 defined the time origin t_0 in each short span to compensate the timing jitter and represent the
12 relationship between the initial phases φ_n uniquely. We defined the time origin t_0 in each span as
13 the time at which the condition $\varphi_3 = \varphi_4$ is satisfied. The details of the fitting are shown in
14 Supplementary Section 3.

15 Figures 2b and 2c show f_0 and f_{rep} for each fitting span. The average values and standard
16 deviations considering the fitting error are as follows: $f_0 = 618.039 \pm 0.061 \text{ MHz}$ and $f_{\text{rep}} =$
17 $1093.1500 \pm 0.0032 \text{ MHz}$. The average values are consistent with those derived from the spectrum.
18 The standard deviations are smaller than the linewidths in Figures 1e and 1f. It indicates that there is

1 a long-term deviation not observed in this span. Figure 2d shows the *relative initial phase*, defined as
2 $\Delta\varphi_n \equiv \varphi_n - \varphi_4$ in each fitting span. Surprisingly, they held the same relationship stably for 65.6 μ s.
3 Their average values and standard deviations are as follows: $\Delta\varphi_2 = -0.40 \pm 0.61$, $\Delta\varphi_5 = 3.29 \pm$
4 0.48 , $\Delta\varphi_6 = 0.25 \pm 0.49$ rad. Their relation can be expressed approximately as

$$5 \quad (\Delta\varphi_2, \Delta\varphi_3, \Delta\varphi_4, \Delta\varphi_5, \Delta\varphi_6) \cong (0, 0, 0, \pi, 0). \quad (4)$$

6 This stable relationship between modal phases is clear evidence of mode-locking. We note that the
7 relationship of equation (4) is different from that of the typical mode-locked lasers based on saturable
8 absorbers. All the modes have the same phase in such lasers, and they show amplitude-modulated
9 waveform. The relationship of equation (4) rather means a frequency-modulated waveform, as
10 described in detail in Supplementary Section 4. Such a frequency-modulated waveform is also
11 observed in the frequency comb generated in the QCL [28] and the mode-locked dark pulse generated
12 in the microresonator [29].

13

14 **Conditions for passive mode-locking**

15 We found that passive mode-locking occurred only around a particular point in the frequency-
16 voltage curve, which we call the "frequency jump." Figure 3a shows frequency-voltage curves
17 measured over a wide range with and without optical feedback from the mirror. When there is no
18 optical feedback, the curve shows a frequency jump of about 2 GHz around 471 mV. The frequency

1 changes continuously at the other bias points. When feedback is present, many small steps appear in
2 the frequency-voltage curves. The oscillation frequency shows a hysteretic behavior in the sweeping
3 direction. A large hysteresis loop in the frequency-voltage curve formed at the frequency jump point
4 of 471 mV. These behaviors can be qualitatively explained with the oscillation condition for a
5 simplified circuit model with optical feedback [30], which is given in Supplementary Section 5.
6 Furthermore, these frequency-voltage curves were reproduced in a simulation, as shown in the next
7 section. When the bias voltage is set near the frequency jump point and the position of the mirror is
8 swept, the passive mode-locking state appears. Figure 3b shows the detailed frequency-voltage curve
9 measured near the frequency jump and the peak frequencies of the comb (green crosses). We swept
10 the mirror at bias voltages from 467 to 475 mV in 0.5 mV steps and obtained the comb spectra only
11 in the range of 470 to 472 mV, which is the vicinity of the frequency jump.

12 The comb spectra appeared at a particular mirror position. Figure 3c shows the heterodyne spectrum
13 measured by sweeping the mirror in steps of 0.02 mm at a fixed voltage of 471 mV. The comb spectra
14 were observed periodically to the mirror position, as shown by the vertical lines on the top of Figure
15 3c. The period was 0.500 mm. The round-trip length of 1.000 mm is equal to the wavelength of the
16 terahertz wave of 300 GHz. This shows that passive mode-locking takes place at a certain phase of
17 the optical feedback.

1 In the present experiment, the feedback amplitude was close to the lower limit of the passive mode-
2 locking. When the feedback amplitude was reduced to less than 93 % of the maximum amplitude, the
3 passive mode-locked state disappeared. The details of the feedback amplitude dependence are
4 described in Supplementary Section 6.

5

6 **Hybrid mode-locking**

7 We succeeded in stabilizing the repetition frequency by using the hybrid mode-locking technique
8 [31], in which an additional bias modulation is applied to the passively mode-locked oscillator. Figure
9 1g shows a magnified view of the spectrum of the inter-mode beat note in the hybrid mode-locked
10 state. The modulation frequency was set to 1.0932 GHz (with a linewidth of less than 1 Hz), which is
11 the same as the harmonic-comb spacing of the passive mode-locked state. By applying the modulation,
12 the linewidth of the inter-mode beat note decreased to less than 1 Hz. It corresponds to the standard
13 deviation of 420 mHz in the repetition frequency. The output power of the modulator was only -40
14 dBm, while the emission power from the RTD oscillator was -20 dBm. In the hybrid mode-locked
15 state, the linewidth of the comb lines did not change from that of the passive mode-locked state. This
16 means that the carrier-envelope-offset frequency of the comb lines was not stabilized by the hybrid
17 mode-locking. Moreover, the amplitudes of the modes did not change. The details of the hybrid mode-
18 locking and conditions for achieving hybrid mode-locking are described in Supplementary Section 7.

1

2 **A circuit model for the passive-mode locking**

3 Here, we present a circuit simulation model that reproduces the frequency-voltage curve, the
4 frequency comb in the vicinity of the frequency jump, and the frequency-modulated waveform. The
5 model simulates an LCR parallel circuit with an RTD. It includes not only the nonlinear conductance
6 but also the nonlinear capacitance of the RTD [32][33][34]. The optical feedback is included as
7 feedback current $I_{\text{FB}} = \sqrt{\eta}I_{\text{load}}(t - t_d)$. Here, $I_{\text{load}}(t)$ is the current at the load in the circuit, and
8 t_d is the time delay. η is a *reflectivity* including the coupling efficiency. Noise in the circuit is
9 included. The circuit diagram and parameters are given in Supplementary Section 8 (1).

10 Figure 4a shows a simulated frequency-voltage curve that reproduces the experimentally measured
11 curve in Figure 3a. To reproduce the frequency jump, we found that two additional optical-feedback
12 terms with parameters (t_d, η) of (19.7 ps, $10^{-2.0}$) and (178 ps, $10^{-3.0}$) were necessary [see
13 Supplementary Section 8 (3-1)]. They correspond to reflection surfaces separated from the oscillator
14 by 2.95 mm and 26.7 mm, that are presumably due to the device itself and the experimental setup.
15 Around the frequency jump, we found a state which produces a harmonic frequency comb spectrum,
16 as shown in Figure 4b. The harmonic comb spectrum was preserved under noise level of one-tenth of
17 the shot noise but was not preserved under the shot noise level. We could not verify whether the mode-
18 locked state can be made more stable by tuning the parameters or we need another stabilizing effect.

1 In Supplementary Section 9, we show that the temporal waveform is not a short and intense pulse, but
2 rather a frequency-modulated waveform. We expect this oscillatory state corresponds to the passive
3 mode-locked state in the experiment.

4 To investigate the mechanism of passive mode-locking, we performed a simulation experiment
5 removing the nonlinear effects one by one from the conditions of Figure 4b. When we removed the
6 feedback term with a time delay of 19.7 ps and 178 ps, we obtained neither a frequency jump nor a
7 comb spectrum. When we replaced the nonlinear capacitance with a constant capacitance of 8 fF, we
8 obtained a frequency jump around 303 GHz, but no comb spectrum. On the other hand, we obtained
9 a comb spectrum when we removed the noise. Hence, feedback with a short delay time and a nonlinear
10 capacitance are necessary for passive mode-locking, whereas noise is not necessary. As far as we
11 know, the mode-locking caused by such effects is different from the conventional mode-locking
12 mechanisms. It is a subject for future work to determine how these effects cause mode-locking.

13

14 **Discussion**

15 Among some previous studies on the optical feedback effect in RTD oscillator, this study is the first
16 report of the mode-locking. There are studies showing that optical feedback affects the oscillation
17 frequency and emission power, but their report was limited to single-mode oscillation [30][35]. There
18 is another report implying self-pulsation due to optical feedback [36]. However, the conditions to

1 obtain the self-pulsation and the mode-locking state have not been clarified. Pulsed emission can be
2 obtained from RTD relaxation oscillators [37]. Although, its spectrum consists of several phase-locked
3 modes, it is simply due to the harmonic generation.

4 Finally, we discuss the improvement in comb performance of the mode-locked RTD oscillator.
5 Through hybrid mode-locking, the repetition frequency can be tuned with an external signal. Therefore,
6 if we can stabilize the carrier-envelope offset frequency, we can obtain a fully stabilized comb
7 spectrum. To stabilize the offset frequency, a resonant-tunneling-diode oscillator combined with a
8 varactor diode [38] would be effective. In this oscillator, a phase-locked-loop (PLL) control through
9 the varactor diode can be used to decrease the linewidth to less than 1 Hz in a CW oscillation state.
10 Stabilization of one of the comb lines through PLL control would stabilize the offset frequency. Fixing
11 one of the comb lines to a molecular absorption line will also result in narrow frequency comb lines
12 with known absolute frequencies.

13 The simulation model shows that we can broaden the spectral bandwidth of the frequency comb in
14 a different feedback condition. Figure 4c shows a simulated harmonic frequency-comb spectrum with
15 a larger feedback amplitude. In this case, the comb spectrum is broader than in Figure 4b. The
16 simulation showed that various broadband comb spectra can be generated depending on the feedback
17 conditions (see Supplementary Section 10). Optimization of the feedback conditions and circuit
18 parameters will enable us to control the bandwidth and mode spacing of the comb. It also showed the

1 possibility to make a compact feedback configuration; optical feedback from surfaces with distances
2 of 2.95 and 26.7 mm can cause the mode-locking even without the feedback from the mirror (see
3 Figure S10b).

4 In conclusion, we clarified that a terahertz frequency comb can be obtained from a passive mode-
5 locked resonant-tunneling-diode oscillator. Mode-locking is achieved with controlled optical feedback.
6 We succeeded in stabilizing the repetition frequency with an additional bias-voltage modulation. The
7 mode-locked waveform was not a short and intense pulse but rather a frequency-modulated waveform.
8 By including the nonlinear capacitance of RTD and multiple optical feedback, a simulation model
9 reproduced several behaviors of mode-locking. It suggested the possibility of broadband comb
10 generation and compact feedback configuration. A better understanding of the mechanism and
11 engineering will lead to high-performance terahertz frequency-comb generation from an RTD
12 oscillator. Since the mode-locked RTD oscillator is based on a compact, efficient, and room-
13 temperature operating semiconductor device, we believe it is suitable as a frequency standard for
14 terahertz sensing and communications.

15

16 **Methods**

17 **Experimental setup**

1 A detailed schematic figure of the experimental setup is shown in Supplementary Section 1. The
2 evaluated RTD oscillator is a prototype oscillator with a plastic leaded chip carrier package
3 $4 \times 4 \times 2.44$ mm in size, made by Rohm Co., Ltd [23]. It was connected to a source meter and a
4 signal generator via a bias-Tee. The RTD oscillator was biased with a DC voltage. When we wanted
5 to show the effect of the bias modulation, we used a signal generator (RF002, RFnetworks
6 Corporation). The signal generator was stabilized using the 10 MHz frequency reference from the
7 atomic clocks in global positioning satellites (GPS). The current-voltage curve of the oscillator is
8 shown in Supplementary Section 8 (1). The emission power was typically about $10 \mu\text{W}$.

9 The measurement part is basically the same as that used in our previous study [39]. The local
10 oscillator (LO) signal was a frequency-stabilized CW terahertz wave. We utilized a LO signal with a
11 linewidth less than 240 mHz to evaluate the linewidth of the heterodyne spectrum and measure the
12 temporal heterodyne waveform. The power of the LO signal was about $10 \mu\text{W}$. The mixed terahertz
13 wave was detected by a Fermi-level managed barrier diode (FMDB) with an amplifier bandwidth of
14 10 GHz [40]. The RF spectrum of the detected signal was measured with a spectrum analyzer (MXA
15 9020B, Keysight Technologies Inc). It had a bandwidth of 23 GHz and maximum resolution
16 bandwidth of 1 Hz. The spectrum analyzer was referenced to the 10-MHz frequency reference from
17 GPS atomic clocks. The temporal waveform of the RF signal was also measured with an oscilloscope
18 (MSO68B 10 GHz, Tektronix Inc). It had a sampling rate of 50 GS/s and a bandwidth of 10 GHz.

1 We should note that there would be some inaccuracy in the measured amplitude. The sensitivity
2 of the measurement system might have some frequency dependence because of standing waves
3 forming [41] between the oscillator and the detector. In addition, the mixed terahertz wave was so
4 strong that saturation of the integrated amplifier in the FMBD module [40] might have taken place.
5 Hence, it is difficult to compare the intensity of the frequency-comb spectrum and the inter-mode
6 beat note. It is also difficult to discuss the depth of the amplitude modulation in the temporal
7 waveform of the passive mode-locked state.

8

9 **Spectrum characterization**

10 In the evaluation of the comb lines, we derived the frequencies of the comb lines f_n^{RF} ($n = 0$ to 9)
11 as the center frequencies obtained by fitting the peaks with a Gaussian function. We fitted f_n^{RF} with
12 equation (2), taking the linewidths of the peaks as the standard deviation of f_n^{RF} .

13 Similarly, in the evaluation of the inter-mode beat notes, the frequencies of the three peaks, $f_{\text{IMB},m}$,
14 were derived from a Gaussian fitting. We fitted $f_{\text{IMB},m}$ with

$$15 \quad f_{\text{IMB},m} = mf_{\text{rep}} \quad (5)$$

16 where $m=1, 2,$ and $3,$ taking the linewidths of the peaks as the standard deviation of $f_{\text{IMB},m}$. The
17 resulting $f_{\text{rep}}, 1093.16 \pm 0.33$ MHz, matches the value derived from the comb spectrum.

18

1 **Acknowledgements**

2 The authors would like to thank M. Asada and S. Suzuki in Tokyo Institute of Technology for
3 valuable discussions. We appreciate Y. Takida and H. Minamide in RIKEN for their helpful
4 discussions. This work was supported by JST ACCEL (Grant No. JPMJMI17F2).

5

6 **Author Contributions**

7 T.H. conceived the experiment, performed the measurements and analyzed the data with input from
8 T.A. and K.T. Y.I. performed the simulation with input from T.H. and K.T. H.I. provided the Fermi-
9 level-managed barrier diode. T.H. wrote the first draft of the manuscript and all authors contributed to
10 manuscript revision. All work was performed under the supervision of K. T.

11

12 **Competing Interests statement**

13 The authors declare no competing financial interests.

14

15 **Data Availability**

16 The datasets generated during and/or analyzed during the current study are available from the
17 corresponding author on reasonable request.

18

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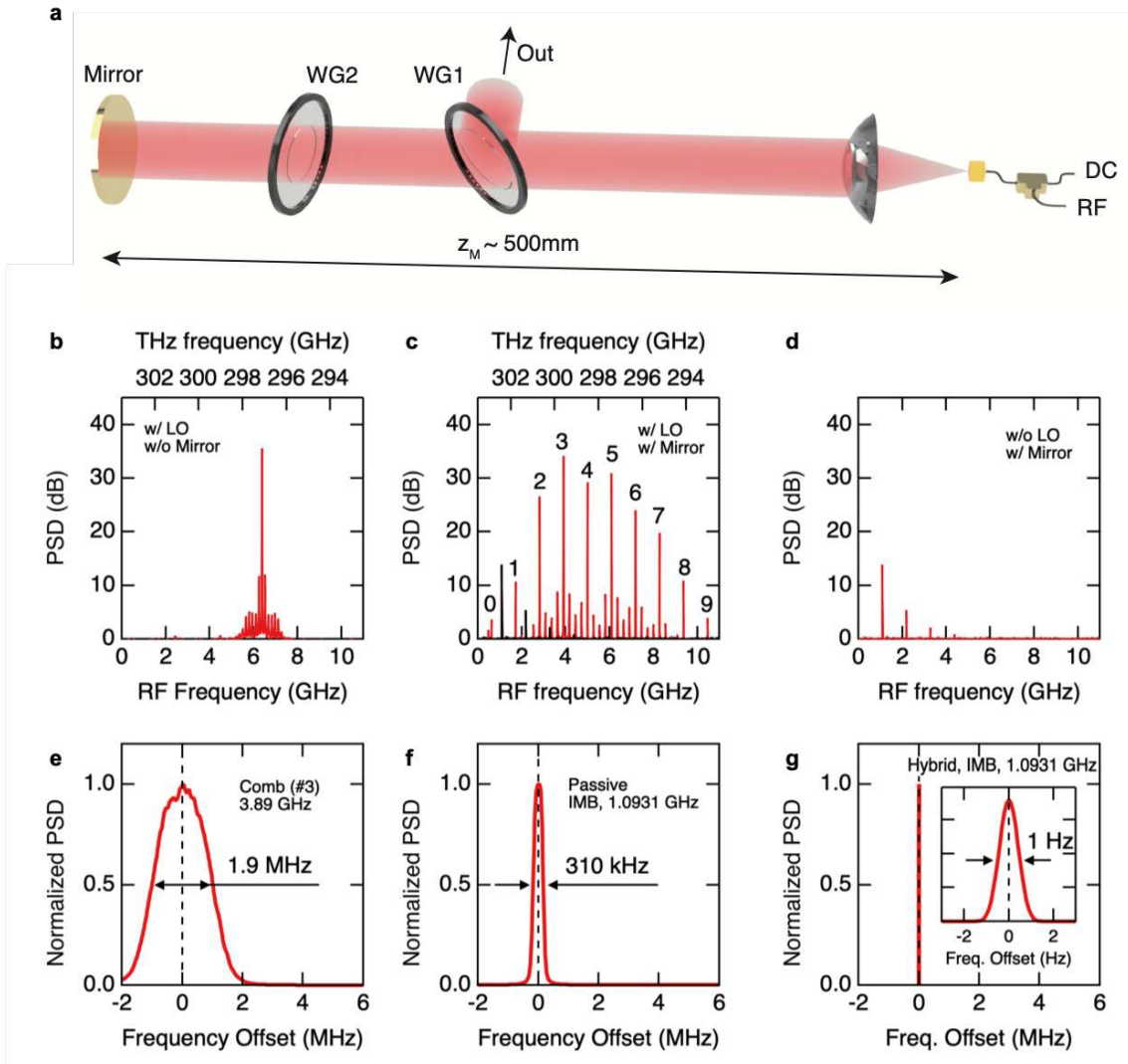
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- 3

1 **Figures and Legends**

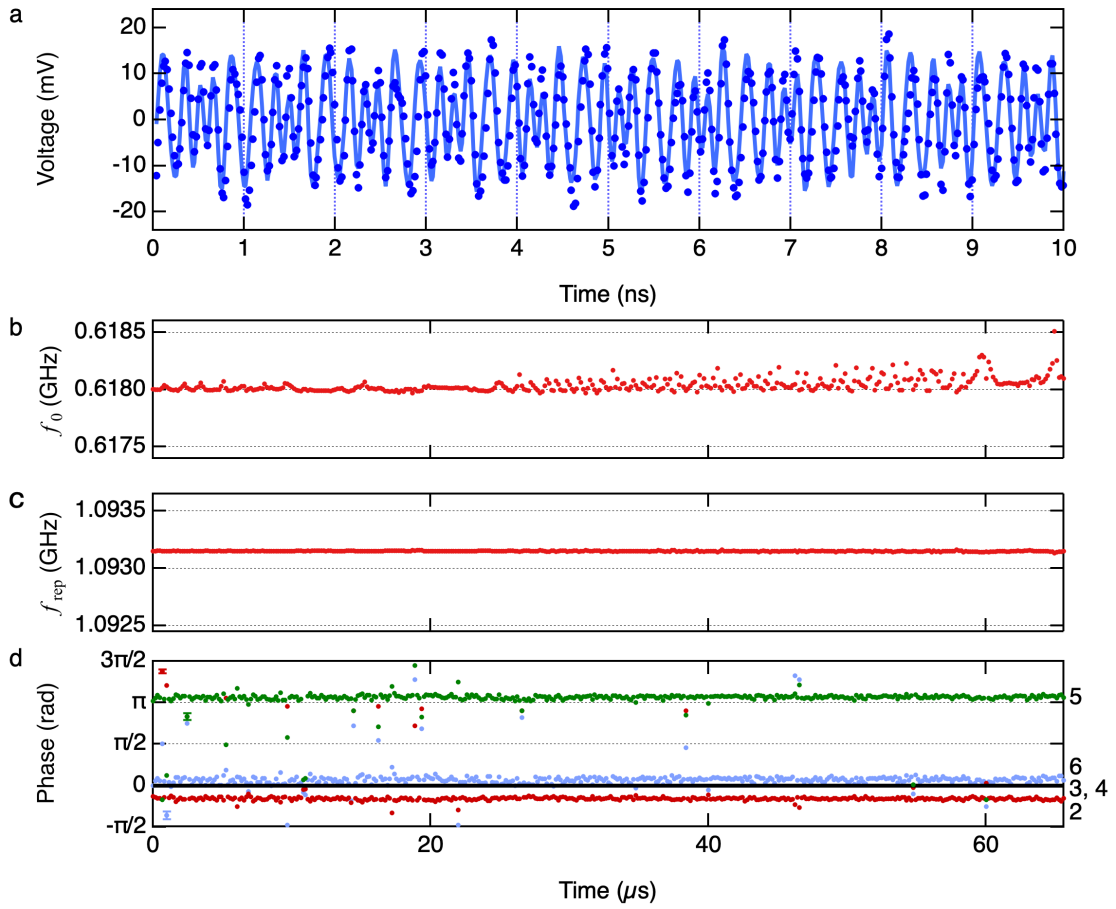


2

3 **Fig. 1 THz frequency comb generation in RTD oscillator.** a, Schematic diagram of the experimental
 4 setup. The RTD oscillator is biased with a DC bias voltage and generates a terahertz wave. We applied
 5 an external modulation only when we demonstrated hybrid mode-locking. The terahertz emission is
 6 split into two beams by the wire-grid polarizer WG1 with a power ratio of 1:1. The beam transmitted
 7 by WG1 is reflected at the mirror and fed back to the RTD oscillator. The distance between the mirror
 8 and the oscillator, z_M , is about 500 mm. It is tunable with a motorized stage on which the mirror is

1 mounted. The amplitude of the return light is controlled by rotating another wire-grid polarizer, WG2.
2 WG2 is tilted to the beam in order to prevent a direct reflection to the oscillator. The beam reflected
3 at WG1 enters the measurement part. **b**, Emission spectrum of CW oscillation state observed when
4 the return light was blocked. The left axis shows the power spectral density (PSD) relative to the noise
5 level. The bottom axis shows the heterodyne frequency, and the top axis shows the corresponding
6 terahertz frequency. **c**, Frequency-comb spectrum measured with the local oscillator (LO) signal. The
7 peaks shown by the black trace were observed even without the LO signal. The numbers at the peaks
8 are the mode indices of the frequency comb. **d**, Emission spectrum of the passive mode-locked state
9 measured without the LO signal. Three peaks are inter-mode beat notes. **e**, Magnified view of a comb
10 line indexed as $n = 3$. The vertical axis is PSD normalized with the peak height. **f**, Magnified
11 spectrum of the inter-mode beat note indexed as $m = 1$. **g**, Magnified spectrum of the inter-mode beat
12 note indexed as $m = 1$ when the bias modulation was applied (Hybrid mode-locked state). These
13 spectra were accumulated over 1 second. The bias voltage was 471 mV.

14



1

2 **Fig. 2 Fixed relationship between modal phases.** a, Measured heterodyne temporal waveform of

3 passive mode-locked state (dots) and fitting curve (trace) plotted over 10 ns. The temporal resolution

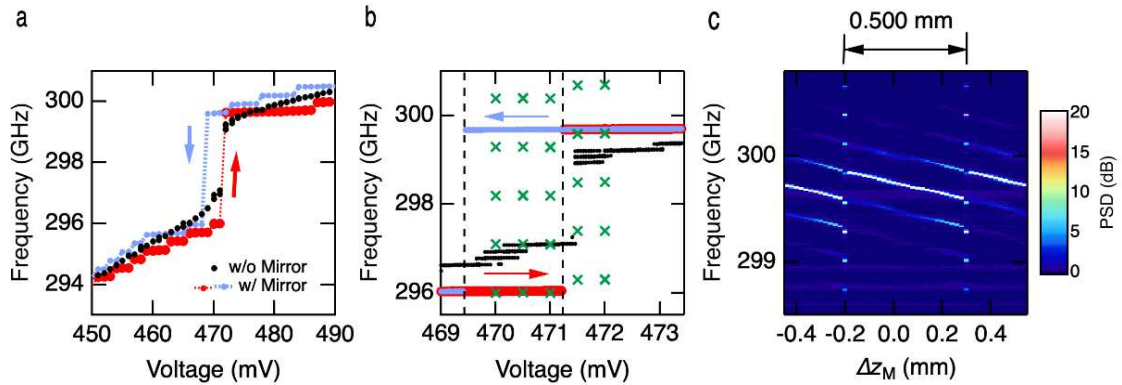
4 of the measurement was 20 ps. Long-term stability of b, offset frequency, c, repetition frequency, and

5 d, relative initial phases over 65.6 μ s. The numbers beside the right axis show the mode indices

6 corresponding to the markers. The error bars show the estimated standard deviation of the fitting

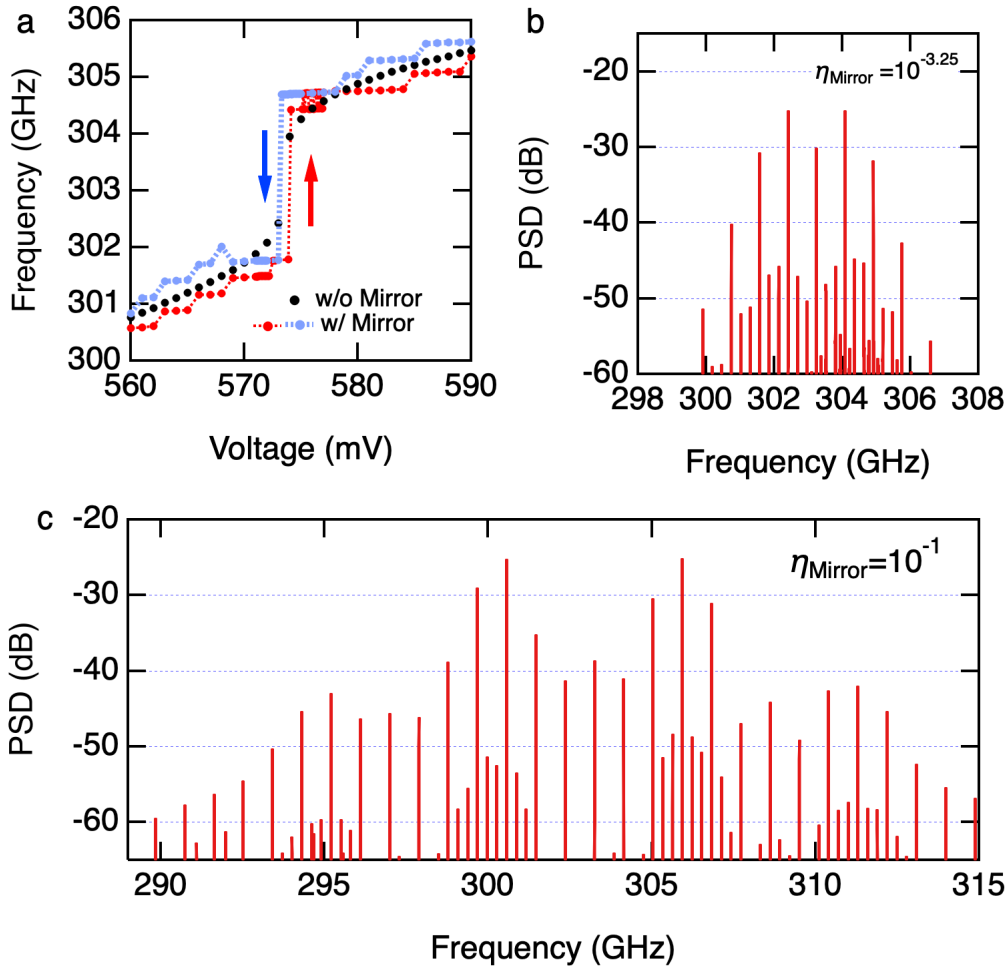
7 parameter. In Figures 2b and 2c, the error bars are smaller than the marker size. In Figure 2d, the error

8 bars are shown in one data point in the first few μ s for each marker as a typical value.



1
2 **Fig. 3 Conditions of passive mode-locking.** **a**, Frequency-voltage curve measured without mirror
3 (black dots), with mirror and up-swept voltage (red dots), and with mirror and down-swept voltage
4 (blue dots). Significant hysteresis on the sweep direction was not observed in the case of no mirror. **b**,
5 Frequency-voltage curve measured around the frequency jump point (markers are the same as in **a**).
6 The green crosses show the frequencies of the comb peaks observed when the mirror was swept at
7 each bias voltage. **c**, THz spectrum observed when the mirror was swept with a bias voltage of 471
8 mV. The horizontal axis shows the shift of the mirror position Δz_M . The sweep direction was the one
9 in which Δz_M decreases. These figures were measured with the maximum feedback amplitude in our
10 setup. The frequency resolution was 11 MHz. The data points in Figure 3a and 3b were extracted from
11 the series of spectra obtained during the voltage sweep and are the frequency points that had a PSD
12 larger than the noise level by 20 dB.

13



1
2 **Fig. 4 Circuit simulation.** **a**, Wide-range frequency-voltage characteristics. In the simulation, the
3 temporal waveform was calculated by sweeping the bias voltage. At each data point, the voltage sweep
4 was stopped, and the temporal waveform was simulated for $0.62 \mu\text{s}$. The spectrum was obtained by
5 Fourier transforming the temporal waveform of the last $0.1 \mu\text{s}$. The shot noise described in
6 Supplementary Section 8 (1) was included. **b**, Harmonic frequency comb spectrum simulated for
7 reflectivity $\eta_{\text{Mirror}} = 10^{-3.25}$, which corresponds to the experimental condition. **c**, Harmonic
8 frequency comb spectrum simulated for $\eta_{\text{Mirror}} = 10^{-1}$. Since the circuit has a nonradiative loss, it

1 corresponds to the case where all the emitted power is fed back from the mirror. The results in Figures
2 4b and 4c obtained under the following conditions: the bias voltage was 573.5 mV. The temporal
3 waveform was simulated over 11.0 μ s, and the spectrum was calculated using the last 1.0 μ s. Noise
4 had a standard deviation 10 times smaller than the shot noise.

5

6

Supplementary Files

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- [PMLRTDSup20210919.pdf](#)