

Factors Determining the Subseasonal Prediction Skill of Summer Extreme Rainfall Over Southern China

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31 **Abstract**

32 The occurrence of summer extreme rainfall over southern China (SCER) is
33 closely related with the boreal summer intraseasonal oscillation (BSISO). Whether the
34 operational models can reasonably predict the BSISO evolution and its modulation on
35 SCER probability is crucial for disaster prevention and mitigation. Here, we find that
36 the skill of subseasonal-to-seasonal (S2S) operational models in predicting the first
37 component of BSISO (BSISO1) might play an important role in determining the
38 forecast skill of SCER. The systematic assessment of reforecast data from the S2S
39 database show that the ECMWF model performs a skillful prediction of BSISO1
40 index up to 24 days, while the skill of CMA model is about 10 days. Accordingly, the
41 SCER occurrence is correctly predicted by ECMWF (CMA) model at a forecast lead
42 time of ~14 (6) days. The diagnostic results of modeled moisture processes further
43 suggest that the anomalous moisture convergence (advection) induced by the BSISO1
44 activity serves as the primary (secondary) source of subseasonal predictability of
45 SCER. Once the operational model well predicts the moisture convergence anomaly
46 in the specific phases of BSISO1, the higher skill for the probability prediction of
47 SCER is obtained. The present study implies that a further improvement in predicting
48 the BSISO and its related moisture processes is crucial to facilitating the subseasonal
49 prediction skill of SCER probability.

50
51 **Keywords** subseasonal prediction; boreal summer intraseasonal oscillation; extreme
52 rainfall over southern China, S2S models

64 **1. Introduction**

65 Southern China (SC), which is affected by the East Asian summer monsoon,
66 features complex and varied weather and climate systems (Li et al. 2020a). The
67 extreme rainfall is one of the most severe disastrous weather events in SC during
68 summer, which could lead to flood, landslide, debris flow and other secondary
69 disasters, and result in infrastructure damage and casualties (Li and Wang. 2018;
70 Wang et al. 2021; Yang et al. 2021a).

71 Accurate prediction of extreme rainfall at longer lead time (beyond 10 days) has
72 important meaning for better disaster prevention and mitigation (Zhang et al. 2021).
73 However, because of the imperfectness of numerical models (e.g., errors in data
74 assimilation techniques, initialization schemes and parameterizations) (Liang and Lin.
75 2018; Pegion et al. 2019), the subseasonal prediction (at a forecast lead time of 2 to 6
76 weeks) skills based on current numerical models cannot meet the demand of
77 meteorological services yet. Understanding the source of subseasonal predictability
78 and improving the model skills at this timeframe bring in grand challenge for both
79 scientific and operational communities worldwide (Brunet et al. 2010; Lee and Wang
80 2016; Liu et al. 2020a).

81 Extreme rainfall, which are associated with anomalous large-scale circulation,
82 are often modulated by atmospheric intraseasonal variations (Lau et al. 1988; Liu et al.
83 2008; Chen and Zhai 2017; Ji et al. 2021). As the dominant mode of subseasonal
84 variations in the tropical atmosphere (Madden 1986; Chen and Chen 1993; 1995), the
85 tropical intraseasonal oscillation (ISO, or the Madden-Julian Oscillation) activity
86 exerts local and remote impacts on weather/climate systems worldwide (Matthews et
87 al. 2004; Zhang 2005; Stan et al. 2017; Hsu et al. 2021). During boreal summer, the
88 eastward-propagating characteristic of ISO becomes weaker whereas the northward

89 propagation from the equator into the Asian summer monsoon (ASM) region is
90 prominent, regulating the dry and wet phases of monsoon rainfall (e.g., Webster et al.
91 1998; Annamalai and Slingo 2001; Moon et al. 2013; Hu et al. 2017; Liu et al. 2020b;
92 Li et al. 2021; Liu and Zhu 2021) and extremes over ASM (Hsu et al. 2016; 2017; Lee
93 et al. 2017; Yao et al. 2020). These northward-propagated ISOs are referred
94 commonly to as the boreal summer intraseasonal oscillation (BSISO, Lee et al. 2013).

95 For better monitoring and predicting the BSISO over the ASM region
96 (approximately 0° – 40° N, 40° – 160° E), Lee et al. (2013) proposed two BSISO indices
97 based on the four leading Empirical Orthogonal Function (EOF) modes of daily mean
98 outgoing longwave radiation (OLR) and 850-hPa zonal wind (U850) anomalies over
99 the ASM region. The first index of BSISO (BSISO1), consisting of the first two EOF
100 modes, effectively represents the canonical northward and northeastward propagation
101 feature of BSISO over the Indian Ocean with a period of 30-60 days. The second
102 BSISO index (BSISO2) is defined by the combination of the third and fourth EOF
103 modes, which mainly captures the northward and northwestward propagating ISO
104 characteristic with a period of 10-30 days. Statistical analyses show that the
105 probability of rainfall and heat extremes over the Asian monsoon regions, including
106 southern China, India, and Northeast Asia, is notably modulated by BSISO1 and
107 BSISO2 (Hsu et al. 2016; 2017; Lee et al. 2017; Yao et al. 2020). This provides a
108 potential of real-time forecast for rainfall/temperature based on their relation with
109 BSISO (Lee et al. 2017; Wang et al. 2020).

110 Accurate prediction of atmospheric intraseasonal variations, such as BSISO,
111 especially the extreme events 10–30 days in advance is imperative and is the main
112 target of the subseasonal to seasonal (S2S) prediction project (Vitart et al. 2017). The
113 S2S project establishes an extensive database (the S2S Database), including

114 subseasonal (up to 60 days) forecasts and reforecasts from 11 operational and research
115 centers (Vitart et al. 2017), providing a wide platform to study the intraseasonal issues.
116 Thus, many studies have been carried out based on this database. Jie et al. (2017)
117 found that the S2S models can effectively predict BSISO1 and BSISO2 events up to
118 6-24.5 and 6.5-14 day in advance, respectively. However, there is still a large room to
119 improve BSISO since multi-model mean prediction skill is much lower than the
120 potential/theoretical predictability of BSISO (Ding et al. 2011; Lee et al. 2015). Most
121 models show deficiency in simulating the spatial structure, evolution, propagation,
122 and intensity of BSISO (He et al. 2019; Bo et al. 2020; Zhu et al. 2021; Fang et al.
123 2019). The subseasonal prediction skills of rainfall and extreme rainfall are also
124 limited. For the global weekly-mean precipitation over land, the forecast skills from
125 most S2S models are confined in the first week (de Andrade et al. 2019). The
126 significant prediction skills of South Asian Monsoon and Southeast Asian Monsoon
127 rainfall are only up to about 2.5 days (Jie et al. 2017). The summer weekly-mean East
128 Asian precipitation can be predicted significantly up to 5-11 days in advance (Liang
129 and Lin 2018), meanwhile, the biases in predicting the subseasonal variability of East
130 Asian summer rainfall are also exist (Fang et al. 2019). Although the ECMWF
131 model's preperformances are generally better than others (Fu et al. 2013; Jie et al.
132 2017; He et al. 2019), it is still hard for the ECMWF model to capture the record-
133 breaking Meiyu rainfall event in 2020, with anomaly correlation coefficient lower
134 than 0.5 (Liu et al. 2020a).

135 Previous studies mainly focused on the evaluation of model performance in
136 simulating BSISO index and subseasonal rainfall variation, while less attention has
137 been paid to discussing the source of subseasonal predictability of extreme rainfall.
138 Considering the observed association between BSISO and extreme rainfall, whether

139 the prediction skill of extreme rainfall is linked with the prediction skill of BSISO
140 needs to be further examined. Through what key processes that the BSISO influences
141 the extreme rainfall prediction in the S2S operational models is also an important
142 issue, which helps to identify the source of biases in prediction.

143 In this paper, we aim to systematically assess the prediction skill of BSISO1
144 and SCER, including the deterministic and probabilistic prediction capability revealed
145 from the reforecast data of two S2S operational models. Then, the sources of the
146 associated prediction biases are diagnosed and discussed. This work is a basic but
147 crucial step towards improving the current S2S prediction systems for predicting the
148 high-impact extreme weathers at the subseasonal timescale.

149

150 **2. Data and methods**

151 **2.1 Observational data**

152 The observational datasets used in this study are as follows: 1) The daily mean
153 precipitation data from gauge stations over China gridded into a horizontal resolution
154 of $0.25^\circ \times 0.25^\circ$, which is provided by the National Meteorological Information
155 Center (CN05.1, Wu and Gao 2013), and the Asian Precipitation Highly-Resolved
156 Observational Data Integration Towards Evaluation (APHRODITE) gridded
157 precipitation (Yatagai et al. 2012) with a 0.25° horizontal resolution are adopted. To
158 reduce the uncertainty arising from different data, a simple arithmetic average of these
159 two precipitation datasets is applied. 2) The ERA-Interim reanalysis dataset (Dee et al.
160 2011) including daily mean wind and specific humidity is used, with its horizontal
161 resolution being $1.5^\circ \times 1.5^\circ$. 3) We also employ the real-time BSISO index proposed
162 by Lee et al. (2013) (<http://iprc.soest.hawaii.edu/users/jylee/bsiso/>), which better

163 represents the northward propagation of ISO during boreal summer than the Real-time
164 Multivariate MJO index (RMM, Wheeler and Hendon 2004).

165 Using the principal component (PC1 and PC2) time series of the BSISO1 index,
166 the life cycle of BSISO1 are divided into 8 phases. The active BSISO days are defined
167 when the normalized BSISO1 amplitude is greater than 1 (i.e., $\sqrt{PC1^2 + PC2^2} > 1.0$).
168 In contrast, an inactive BSISO1 (or non-BSISO1) period is identified when its
169 amplitude is smaller than 1, during which the BSISO1 signals are weakened and less
170 organized. Note that the phases 2-4 of BSISO1 are highly connected with the extreme
171 rainfall occurrence over southern China (Lee et al. 2013; Hsu et al. 2016). In this
172 article, the model prediction skill in these specific phases of BSISO1 and the
173 associated SCER probability will be mainly focused.

174 Because the extreme rainfall over SC (18° – 32.5° N, 105° – 122° E) and enhanced
175 BSISO activity are observed during the boreal summer monsoon season (May to
176 August, hereafter MJJA), the MJJA during 1998-2012 is selected as the target period
177 for prediction assessment in this study.

178

179 **2.2 S2S model data**

180 The reforecast data from two operational centers, the European Centre for
181 Medium-range Weather Forecasts (ECMWF) and the China Meteorological
182 Administration (CMA), are derived from the S2S database
183 (<http://apps.ecmwf.int/datasets/data/s2s>). Description of the reforecast data from the
184 two S2S models can be found in Table 1 (more details are available in Vitart et al.
185 2017). Variables used in this study include daily horizontal winds, specific humidity,
186 OLR and precipitation. The different horizontal resolutions of these two S2S models
187 are interpolated to a uniform resolution of $1.5^{\circ} \times 1.5^{\circ}$.

188 The CMA model produces daily forecast, whereas the ECMWF model is
189 initiated twice weekly (every Monday and Thursday). For a fair comparison, a data
190 processing method developed by Yang et al. (2018) is utilized to reprocess the
191 ECMWF's twice-weekly model outputs (104 initialization dates yearly) to daily
192 reforecast data. The so-derived dataset contains a continuous distribution of
193 reforecasts on all dates from 1998 to 2012, at all lead times from 3 to 42 days. In this
194 manner, the original data array and the new data array could be consistent, and the
195 evaluation of prediction skills will not be influenced by this special treatment (Yang
196 et al. 2018).

197

198 **2.3 Methods**

199 **2.3.1 Definition of extreme rainfall**

200 Given that models generally have systematic biases, a relative threshold
201 (percentile-based threshold) is used to define the observed and forecasted extreme
202 rainfall (Jones et al. 1999; Yan et al. 2002; Zhang et al. 2011b; Li et al. 2012; Xavier
203 et al. 2014). For a given calendar day at a grid, a rainfall extreme occurs when the
204 daily precipitation amount exceeds a criterion of the 90th percentile of a set of daily
205 records, including those on the same calendar day and 90 neighboring days (45 before
206 and 45 after that day) from 1998 to 2012 (Li et al. 2017; Li et al. 2020b).

207 In each BSISO1 phase, the probability of extreme rainfall occurrence (P_x , x
208 denoting the phases 1–8), is defined by the ratio of the number of extreme rainfall
209 days to the number of total days. To quantify the influence of BSISO1 state on
210 extreme rainfall, the probability of extreme rainfall occurrence during different
211 BSISO1 phases relative to the non-BSISO1 period is compared. Thus, the percentage
212 change in the probability of extreme rainfall occurrence during each of the BSISO1

213 phases is calculated as $[(P_X - P_{\text{non-BSISO1}})/P_{\text{non-BSISO1}} \times 100\%]$, where the
 214 $P_{\text{non-BSISO1}}$ represents the probability of extreme rainfall during the non-BSISO1
 215 period.

216

217 **2.3.2 Verification metrics**

218 For the deterministic verification metric, the bivariate anomaly correlation
 219 coefficient (ACC) of PC1 and PC2 associated with the BSISO1 is used to
 220 quantitatively evaluate the forecast skills of BSISO1 on different lead times (Lin et al.
 221 2008). The definition of ACC is given below:

$$222 \quad \text{ACC} = \frac{\sum_{t=1}^T [F_{1t}O_{1t} + F_{2t}O_{2t}]}{\sqrt{\sum_{t=1}^T [F_{2t}^2 + F_{2t}^2]} \sqrt{\sum_{t=1}^T [O_{2t}^2 + O_{2t}^2]}}, \quad (1)$$

223 where F and O refer to the forecasted and observed BSISO1 index, respectively. The t
 224 indicates time, and T is the total number of forecast times. The subscripts 1 and 2
 225 denote different variables (such as PC1 and PC2).

226 Because both amplitude and phase errors of BSISO may contribute to skill
 227 degradation, the observed and predicted BSISO1 index are rewrote into polar
 228 coordinates as O (o, φ) and F (f, θ), respectively, to separate the relative contribution
 229 of the amplitude and phase of BSISO to ACC skill. Here, o and f refer to amplitude,
 230 and φ and θ are phase angles in the observations and predictions, respectively. ACC in
 231 polar coordinates is then defined as Wang et al. (2019):

$$232 \quad \text{ACC} = \frac{\sum_{t=1}^T f_t \cdot o_t \cdot \cos(\theta_t - \varphi_t)}{\sqrt{\sum_{t=1}^T f_t^2} \sqrt{\sum_{t=1}^T o_t^2}}. \quad (2)$$

233 Assuming phase of BSISO is perfectly forecasted, i.e., $\cos(\theta_t - \varphi_t) = 1$, ACC
 234 is completely determined by the relation between the predicted and observed
 235 amplitude of BSISO:

236
$$ACC_a = \frac{\sum_{t=1}^T f_t \cdot o_t}{\sqrt{\sum_{t=1}^T f_t^2} \sqrt{\sum_{t=1}^T o_t^2}} . \quad (3)$$

237 Assuming amplitude of BSISO is perfectly forecasted, i.e., the linear correlation
 238 coefficient between f_t and o_t is 1, ACC is the scalar phase correlation between the
 239 forecasted and observed phases of BSISO:

240
$$ACC_p = \frac{\sum_{t=1}^T o_t^2 \cdot \cos(\theta_t - \varphi_t)}{\sum_{t=1}^T o_t^2} . \quad (4)$$

241 To judge the similarity of the spatial distribution between observed and
 242 forecasted fields, the pattern correlation coefficient (PCC) is calculated. The
 243 normalized root-mean-square error (NRMSE), indicating the amplitude of forecast
 244 error, is the RMSE normalized by the observed spatial standard deviation with
 245 reference to the whole domain (Lee and Wang 2014). ACC and PCC range from -1 to
 246 1. The closer to 1 the values of ACC and PCC are, the more skillfully the model
 247 performs. The NRMSE varies from 0 to 1. The smaller the NRMSE is, the less biased
 248 the amplitude is.

249 For the probabilistic verification metric, the categorical verification score
 250 referred to as Heidke Skill Score (HSS) is used to appraise the hit rate of extreme
 251 rainfall. The HSS, which can comprehensively evaluate model performance in
 252 simulating the probability of SCER occurrence, measures the fraction of correct
 253 forecasts after eliminating the corrected forecasts that are purely due to random
 254 chance (Heidke, 1926). The HSS is written as follows:

255
$$HSS = \frac{2(ad-bc)}{(a+c)(c+d)+(a+d)(b+d)}, \quad (5)$$

256 where a denotes the number of observed extreme rainfall that are correctly forecasted,
 257 b represents the number of forecasted extreme rainfall that do not occur, c denotes the
 258 number of observed extreme rainfall that are not forecasted, and d represents the
 259 number of correct rejections. The range of the HSS is $-\infty$ to 1. A negative HSS

260 indicates a forecast worse than the random forecast, while 0 means no skill, and 1
261 denotes a perfect forecast.

262

263 **3. Forecast verification of SCER and BSISO1**

264 **3.1 Forecast skills of climatological summer rainfall**

265 Figure 1 shows the observed mean and daily standard deviations of MJJA
266 rainfall over China and their forecast biases at a 14-day lead in the two S2S models. It
267 can be found that both the climatological mean and the variability of summer daily
268 rainfall are maximized over SC (Figs. 1a and d). Overall, both the ECMWF and CMA
269 model have good capability in predicting the spatial distributions of summer mean
270 precipitation (Figs. 1b and c) and the daily precipitation variability (Figs. 1e and f)
271 over China at a 14-day lead. However, the ECMWF model obviously outperforms the
272 CMA model for the spatial distribution of both summer mean rainfall (with PCC of
273 0.86 vs 0.64) and daily standard deviations (with PCC of 0.89 vs 0.61) over China.
274 For ECMWF, both summer mean rainfall and intensity of daily rainfall variability are
275 overestimated over most regions of northwestern SC, but they are slightly
276 underestimated over the southeast coast. The CMA presents an evident
277 underestimation for both mean rainfall and daily variations over entire SC.

278

279 **3.2 Forecast skills of the SCER**

280 Consistent with the summer mean precipitation prediction results, the domain-
281 averaged 90th percentile of precipitation predicted by ECMWF model is higher than
282 observation, whereas the CMA model shows a smaller value (Fig. 2a). With the
283 observed areal-mean 90th percentile of precipitation being 14.5 mm/d, the CMA
284 forecasted thresholds range from 7.5 mm/d to 9.5 mm/d for different lead times. As

285 for ECMWF, the forecasted threshold is around 15–17 mm/d. According to the
286 forecasted threshold (90th percentile) of extreme rainfall in each grid at different lead
287 times, the capability of predicting SCER occurrence by the two S2S model is then
288 assessed.

289 The HSS spatial distributions in Figs. 2c–h suggest that the ECMWF model has
290 useful skills (with HSS larger than 0.1) in predicting extreme rainfall occurrences
291 over the majority of SC, and the useful skills can persist up to a 21-day lead along the
292 southeast coast. In contrast, the CMA model shows lower prediction skill at a 7-day
293 lead, as only a small part of region shows useful HSS (larger than 0.1), and no useful
294 skills can be found at 14-day and 21-day leads. Figure 2b shows that the areal mean
295 HSS over SC drops quickly as the lead time increases in both two models, either for
296 the predictions of individual members or of the ensemble mean. Compared to the
297 predictions of individual members, the ensemble prediction has higher and more
298 stable predictive skills at most lead times. Using a criterion with HSS of 0.1 (which is
299 considered a useful forecast), the ensemble prediction of the CMA model can capture
300 the SCER occurrence within 7 days in advance, while the ensemble prediction from
301 the ECMWF model can effectively reproduce SCER up to a 14-day lead.

302 In sum, the above forecast verification reveals that the two models have limited
303 skills beyond the lead time of 14 days in predicting the SCER occurrences, which is
304 consistent with the subseasonal predictive level of extreme rainfall among most of the
305 current operational models (Li et al. 2019).

306

307 **3.3 Forecast skills of BSISO1 index**

308 Because of the significant influence of BSISO on SCER (Hsu et al. 2016), the
309 models' capacity in capturing the BSISO1 could directly affect the prediction skill of

310 SCER. Therefore, we first evaluate the prediction skill of BSISO1 indices in the two
311 models. Here, the forecasted BSISO1 indices are obtained by projecting the
312 forecasted normalized OLR and U850 anomalies from each S2S model onto the
313 observed BSISO1 spatial patterns, which are consistent with the observed first two
314 EOF modes of BSISO defined by Lee et al. (2013).

315 Figure 3 shows the ACC skills of BSISO1 indices with a function of lead time.
316 For both the ECMWF and CMA models, the ACCs for the forecasted BSISO1 indices
317 decrease with the increase of lead time. Taking $ACC=0.5$ as the threshold of a valid
318 forecast skill, the lead time of useful ensemble prediction of BSISO1 indices from
319 ECMWF is up to 24 days, which is noticeably higher than that of CMA with the lead
320 time of useful prediction being only up to 10 days. If the amplitude of BSISO1 is
321 perfectly forecasted, the ECMWF (CMA) model can skillfully predict the BSISO1
322 indices 30 (11) days in advance, and the ACC_p is always slightly higher than ACC. If
323 the phase error of BSISO1 is ignored, ACC_a of the two S2S models is above 0.85 at
324 all lead times. This indicates that the phase error, rather than the amplitude error,
325 matters more in the prediction skills of BSISO1 indices. Because the models always
326 have skills in forecasting the BSISO1's intensity, whether they can skillfully predict
327 the BSISO1 depends largely on the capacity for predicting the phase of BSISO1.

328

329 **4. Forecast verification of BSISO1's modulation on SCER**

330 Is the prediction skill of BSISO1 indices related to that of SCER probability as
331 the BSISO1 strongly modulates the SCER occurrences? To address this question, we
332 calculate the linear correlation between the ensemble prediction skills (HSSs) of
333 BSISO1 indices and SCER occurrences at all lead times, and it is found that in both
334 models, the HSS skills for BSISO1 indices are always significantly correlated with

335 areal mean HSS skills of SCER with a correlation coefficient of 0.98 in ECMWF and
336 0.96 in CMA, both of which pass the 99% confidence level. The robust relationship
337 suggests that the prediction by S2S models could reflect the strong modulation of
338 BSISO1 on SCER probability.

339 As shown in Figure 4, with respect to the non-BSISO period, the observed
340 probability of extreme rainfall increases mostly over the Yangtze River Valley (YRV)
341 with rises of 30–80% occurring during phases 2–3 of the BSISO1, while pronounced
342 increases of extreme rainfall higher than 60% appear in southeastern China at phase 4
343 (Fig. 4). How well do the S2S models reproduce the modulation of BSISO1 on SCER
344 probability? As shown in Figure 4, the ECMWF model can predict the increased
345 extreme rainfall probability over the YRV during phases 2–3 and over southeastern
346 China in phase 4 at lead times within 14 days, although the intensity and location of
347 maximum probability slightly depart from the observation. In phase 2, the ECMWF
348 model underestimates probability of extreme rainfall in the YRV, especially beyond
349 14-day lead times. The ECMWF model performs better in phase 3. It can skillfully
350 predict the regions with increased probability of extreme rainfall up to a 21-day lead,
351 with PCCs larger than 0.5. In phase 4, ECMWF underestimates the observed
352 probability increase of extreme rainfall over southeastern China, and overestimates
353 the probability intensity over the YRV, resulting in relatively lower PCCs than in the
354 other two phases. It is disappointing that the CMA model shows very limited skills in
355 predicting the spatial distributions of SCER probability in phases 2–4 of the BSISO1.
356 Even at a 7-day lead, the forecasting underestimates the extreme rainfall probability
357 over the YRV in phases 2-3 and over southeastern China in phase 4.

358 Figure 5 shows the PCC and NRMSE prediction skills for the distribution of
359 SCER probability as a function of lead time during phases 2–4 of BSISO1. In general,

360 the PCC (NRMSE) tends to decrease (increase) with the lead time. The ensemble
361 predictions show that the ECMWF model can skillfully predict the SCER probability
362 at a 7-day lead in phase 2, and at up to a 25-day lead in phase 3. During phases 2 and
363 3, the PCC skills of the CMA model are mostly lower than those of the ECMWF
364 model, as the skills are only useful at 2-3-day lead. No prediction skills can be found
365 in phase 4 for both two models.

366 Figure 6 shows the HSS distributions of SCER probability during phases 2–4 of
367 the BSISO1. In phase 2, within 1-week lead times, the ECMWF model has HSSs over
368 0.2 in most areas of SC, and the areal mean HSSs over SC are above 0.1. In phase 3,
369 ECMWF also has high HSSs over the majority of SC at 7-14-day leads, suggesting
370 encouraging forecast skill of BSISO1's modulation on SCER probability during this
371 phase. In phase 4, high HSSs are mainly confined to the southeast coast only at lead
372 times within a week. For CMA, negative HSSs appear at most parts of SC in each
373 phase, indicating the poor skill of CMA in forecasting the probability of SCER under
374 the influence of BSISO1.

375 Figure 7 shows the areal mean HSSs of SCER modulated by BSISO1 with a
376 function of lead time. It can be found that useful skills (HSS exceeds 0.1) of ensemble
377 prediction can be obtained at lead time up to around 10 days for ECMWF, while the
378 lead time is limited to 5 days for CMA during phases 2-3 of BSISO1. The ECMWF
379 (CMA) model exhibits relatively low skills in phase 4, with HSSs being lower than
380 0.1 only beyond a 7-day (3-day) lead.

381 In summary, the two models have some capability to predict the probability of
382 SCER that is modulated by BSISO1, but biases can be found in both intensity and
383 location of SCER probability changes. In terms of the deterministic skills (PCC and
384 NRMSE) for SCER probability, the ECMWF model displays useful skills up to 1

385 week in advance in phase 2, and 25 days in advance in phase 3. The CMA model
386 cannot predict the BSISO1's modulation on SCER probability in phases 2-3 even at a
387 5-day lead. Poor skills are commonly found in phase 4, with the useful prediction
388 skills for ECMWF can only be gained within 3-day lead times and no such skills exist
389 for CMA. Based on probabilistic forecast verification (HSS), useful skills of SCER
390 probability can be gained at up to around a 10-day lead during phases 2-3, and at a 7-
391 day lead in phase 4 for ECMWF, but the counterpart lead time is only 3 or 4 days for
392 CMA in all three phases.

393

394 **5. Causes of the prediction biases in SCER**

395 Although the S2S models can reproduce the modulations of BSISO1 on SCER
396 probability to some extent, the prediction skill is still quite limited. What are the
397 possible causes of model biases in predicting the SCER modulated by BSISO activity?
398 A clear answer to this question may provide some heuristic clues to improving the
399 S2S prediction of SCER.

400 Because extreme rainfall is related to the favorable atmospheric circulation and
401 abundant moisture conditions, the moisture flux divergence $-\nabla \cdot (q\vec{V})$ is considered
402 as the main factor of SCER during BSISO1 phases (O'gorman and Schneider 2009;
403 Hsu et al. 2016; O'Gorman et al. 2016). The moisture flux divergence $-\nabla \cdot (q\vec{V})$
404 could be further divided into two terms: moisture convergence $(-q \cdot \nabla \vec{V})$ and
405 moisture advection $(-\vec{V} \cdot \nabla q)$. In this section, we will diagnose the column-integrated
406 moisture convergence and moisture advection during phases 2-4 of BSISO1 in the
407 observation and prediction, and further identify the possible causes for the model
408 biases in predicting the BSISO1's modulation on SCER probabilities.

409 In observation, during phases 2–3 of BSISO1 (Fig. 8, the first column), the YRV
410 is dominated by low-level southwesterly anomaly on the northwestern flank of the
411 anomalous anticyclone over the western North Pacific (WNPAC). Therefore, strong
412 moisture convergence appears over the YRV, providing a favorable condition for the
413 increase of extreme rainfall in the region (Fig. 4). As the WNPAC further propagates
414 northeastward in phase 4, the moisture divergence originally located in the southeast
415 coast diminishes, and the majority of SC witnesses a replacement by moisture
416 convergence (Fig. 8), leading to enhanced extreme rainfall over most regions south of
417 Yangtze River (Fig. 4).

418 Can the S2S models forecast the low-level WNPAC and the associated moisture
419 convergence? The horizontal patterns of the 850-hPa wind and moisture convergence
420 forecasted at 7-, 14-, and 21-day lead are shown in Figure 8. In general, ECMWF can
421 realistically reproduce the large-scale circulation and moisture convergence during
422 phases 2–4 of BSISO1. In phase 2, because of the weaker southwesterly over the
423 northwestern flank of the WNPAC, the moisture convergence in the YRV region is
424 underestimated in the ECMWF model at a 7-day lead. At lead times beyond 14 days,
425 the WNPAC is forecasted northeastward compared with observation, leading to
426 weakened moisture convergence and therefore underestimated probability of extreme
427 rainfall over the YRV (Figs. 4 and 6). In phase 3, the moisture convergence
428 (divergence) in the YRV (southeast coast) is nicely forecasted up to 21 days in
429 advance, resulting in the high prediction skills of SCER probability in phase 3 (Figs. 4
430 and 6). In phase 4, the moisture convergence center is predicted northward by 5°,
431 leading to the underestimation (overestimation) of extreme rainfall probability south
432 (north) of the Yangtze River (Fig. 4). In comparison to ECMWF, the CMA model

433 cannot well reproduce the intensity and spatial patterns of moisture convergence and
434 WNPAC.

435 Figure 9 shows the observed and forecasted spatial distribution of moisture
436 advection during phases 2–4 of the BSISO1. It is clear that the moisture advection
437 also plays an important role in BSISO1’s modulation on SCER probabilities, but in a
438 opposite way. Negative moisture advection could suppress the SCER probabilities. In
439 ECMWF, for phases 2-3, the negative moisture advection over SC is general
440 forecasted, although its intensity gradually decreases with increasing lead time. For
441 phase 4, although the low-level wind field is well captured, the negative moisture
442 advection over SC could not be reproduced with all lead times, suggesting the low
443 capacity of ECMWF in forecasting the spatial distribution of moisture (∇q). In
444 general, the ECMWF model performs much better than the CMA model, as the latter
445 always underestimates the negative moisture advection over SC.

446 The PCC and NRMSE skills of ensemble prediction as a function of lead time
447 for moisture convergence and moisture advection fields are shown in Figure 10. It is
448 obvious that the CMA model shows much lower PCC skills in predicting the moisture
449 convergence and advection than ECMWF, leading to the much worse prediction skills
450 in SCER probability (Fig. 5) and areal-mean HSSs (Fig. 7) of SCER. Another notable
451 feature is that the prediction of moisture convergence always has better skills than that
452 of moisture advection in ECMWF, suggesting that the model still has difficulty in
453 reproducing the spatial pattern of moisture in the region. Taking PCC=0.5 as the
454 threshold of useful prediction skill, the ECMWF model can predict the moisture
455 convergence 25 days in advance in phase 2, and beyond 30 days in phases 3-4. For the
456 moisture advection, useful skills can be gained up to 25 days in advance in phase 2,
457 and beyond 30 days in phase 3, but only within 5 days in advance in phase 4. It is

458 noteworthy that although the moisture convergence in phase 4 shows a skill
459 comparable to those in phases 2-3 in ECMWF, the low prediction skill of moisture
460 advection in phase 4 results in the poor prediction skills for the probability and HSS
461 of SCER in phase 4 (Figs. 5 and 7). The CMA model has poor skills (PCC less than
462 0.5) at all lead times for both moisture convergence and moisture advection, which
463 are consistent with its low prediction skills of SCER probability (Figs. 5 and 7).

464 To further check whether the prediction skills of SCER probability during phases
465 2–4 of the BSISO1 are related to the models' performance in capturing the BSISO1-
466 related moisture convergence and advection, the scatter plots between the prediction
467 skills (PCC) of SCER probability and column-integrated moisture convergence and
468 moisture advection in ECMWF and CMA ensemble members at all forecast leads are
469 shown in Figure 11. In all ensemble members from both two models, the PCC skills
470 for moisture convergence are significantly correlated with those of the SCER
471 probability, suggesting that the prediction errors in SCER probabilities may stem from
472 the biases in predicting the BSISO1's modulation on the large-scale moisture
473 convergence. On the contrary, the PCC skills of moisture advection in CMA have no
474 relationship with the PCC skills of SCER probability, indicating that moisture
475 advection may play a secondary role in BSISO1's modulation. Thus, the models'
476 capability to represent the associated moisture convergence is the key to the skillful
477 prediction of BSISO1's modulation on SCER probability. Note, however, that the
478 relationship between PCC skills of different contributors and SCER probability also
479 depends on the overall prediction skill of SCER probability. If the overall prediction
480 skill is high, the PCC relationship becomes relatively weak (Fig. 11, phase 3), and
481 *verse visa* (Fig. 11, phase 4). In other words, when the prediction is approaching

482 perfection, the prediction skill is more sensitive to the secondary term $(-\vec{V} \cdot \nabla q)$,
483 rather than the first contributor $(-q \cdot \nabla \vec{V})$.

484

485 **6. Conclusion and discussion**

486 **6.1 Conclusion**

487 Using the reforecast data of 1998–2012 from the CMA and ECWMF models that
488 have been involved in the WMO S2S project, the present study investigates the
489 prediction skills of SCER and BSISO1 activity and unravels how the prediction biases
490 of BSISO1-related moisture processes lead to the biases in predicting the SCER
491 probability. The main conclusions are summarized as follows:

492 1) Although both S2S models can predict the spatial pattern of summer mean
493 rainfall and the standard deviation of daily rainfall over China, the ECMWF model
494 obviously outperforms the CMA model. For ECMWF, both summer mean rainfall
495 and daily variation are slightly overestimated (underestimated) over the northwest
496 (southeast) part of SC. The CMA model presents an evident underestimation for both
497 mean rainfall and daily variation over entire SC.

498 2) Compared to CMA model, ECMWF model shows higher skill in reproducing
499 the summer rainfall variability with a relatively small differences in the threshold
500 value of SCER (the 90th percentile of rainfall amount) at all lead times against the
501 observation. ECMWF also shows higher HSS skills of rainfall extreme occurrence
502 within 14-day lead times over the entire SC area, and at up to 21-day lead over the
503 southeast coast. In contrast, CMA can only perform useful HSS skills of rainfall
504 extreme occurrence within a forecast lead time of 7 days.

505 3) The ensemble forecasts from ECMWF and CMA show skillful prediction for
506 the BSISO1 indices (with ACC larger than 0.5) at 24-day and 10-day forecast lead

507 times, respectively. The prediction skills of BSISO1 phase, rather than its amplitude,
508 determine the total ACC skills of BSISO1 index, suggesting that elimination of phase
509 errors could improve the prediction skills of BSISO1.

510 4) Given that the probabilistic prediction skill (HSS) of SCER occurrence
511 corresponds well to the deterministic prediction skill (ACC) of BSISO1 indices, how
512 the SCER prediction is modulated by the predicted BSISO1 is further revealed. The
513 diagnostic results suggest that the prediction skills of moisture convergence and
514 advection play an important role in the prediction skills of SCER probability
515 influenced by BSISO1. The correlation analysis between the PCC skills of SCER and
516 moisture convergence/advection reveals that moisture convergence is the first
517 contributor to the prediction skill of SCER. Note, however, that, as the secondary
518 contributor, moisture advection may exert a “buckets effect”, as revealed by its good
519 relationship with PCC of SCER during phase 4 of BSISO1 but with the lowest
520 prediction skill of SCER, implying that it is also quite important in predicting the
521 SCER modulated by the BSISO.

522

523 **6.2 Discussion**

524 The above results suggest that improving models' capability of predicting BSISO
525 is crucial for enhancing model performance in prediction of SCER. Specifically, the
526 SCER prediction skill largely depends on whether the models can correctly reproduce
527 the BSISO-related moisture convergence and advection. Thus, one strategy to
528 promote the prediction skill of SCER is to improve models' capability in predicting
529 BSISO-related moisture processes, which could be achieved by adjusting/improving
530 the convection parameterization schemes (Kim et al. 2014; Jiang et al. 2015; Yang et
531 al. 2021b). Improvements of additional factors such as the data assimilation schemes

532 (Liu et al. 2021), initialization (Orsolini et al. 2013; Bo et al. 2020), and ocean-land-
533 atmosphere coupling techniques (Ford et al. 2018) of the models may also helpful to
534 increase the forecast skill of BSISO and related moisture processes and thus the
535 SCER prediction skill. However, the S2S datasets provide reforecast from models
536 with various physics and parameterizations. It is difficult to identify the key
537 parameters controlling the BSISO moisture processes and thus the SCER prediction
538 skill by comparing the reforecast data from models with different configurations.
539 Performing model experiments based on the same model would be needed and it is
540 our future study.

541 Considering the robust relationship between the SCER probability and BSISO,
542 before a further advance in dynamical models is achieved, a dynamical-statistical
543 hybrid method could be an effective way to improve the subseasonal prediction skill
544 for extreme rainfall (Ren et al. 2014; Guo et al. 2017).

545 While the present study shows the evident effects of model biases in BSISO1 on
546 the prediction skill of SCER. Whether and the extent to which the model skill in
547 BSISO2 (quasi-biweekly oscillation) exerts impacts on the SCER prediction merit
548 further exploration.

549

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761 2012 over mainland China (units: mm day^{-1}) and the biases (prediction minus
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764 standard deviations in MJJA (units: mm day^{-1}). The PCC and NRMSE skills are
765 calculated over the whole China and given in the bottom-left of each panel. The green
766 boxes delineate the region of southern China (SC; 18° – 32.5° N, 105° – 122° E).

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768 **Figure 2.** (a) The observed (grey dashed line) and forecasted (colored curves) areal-
769 mean 90th percentile of rainfall (units: mm day^{-1}) over southern China during the
770 summers of 1998-2012 at 5-30-day leads. The blue (red) curve represents the
771 ensemble mean prediction from the ECMWF (CMA) model, along with the inter-
772 member spreads shown by whiskers. (b) Areal-mean Heidke Skill Score (HSS) of
773 SCER occurrence for ensemble mean predictions from ECMWF (blue curve) and
774 CMA (red curve) and their individual members (dashed curves) as a function of
775 forecast lead time (in day). Distribution of HSSs for the forecasted SCER occurrence
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777 (f-h) CMA models.

778

779 **Figure 3.** Bivariate Anomaly Correlation Coefficient (ACC) for the forecasted
780 BSISO1 indices from the CMA (red solid curve) and ECMWF (blue solid curve)
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782 The long and short dashed curves represent the ACC with the assumption of perfect
783 phase (ACC_a) and perfect amplitude (ACC_p) prediction, respectively.

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785 **Figure 4.** Percentage changes (%) in probability of SCER during phase 2 (upper two
786 rows), phase 3 (middle two rows), and phase 4 (bottom two rows) of BSISO1 with
787 respect to the non-BSISO1 period. Panels from left to right are the observation, 7-,
788 14- and 21-day lead of ensemble predictions, respectively. The PCC skills are shown

789 in the bottom of each panel. Changes exceeding the 95% confidence level are dotted.

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791 **Figure 5.** The PCC skills for the percentage changes in SCER probability in (a) phase
792 2, (b) phase 3 and (c) phase 4 of BSISO1 with respect to the non-BSISO1 period as a
793 function of forecast lead time (in day). The red (blue) curves represent the ensemble
794 mean prediction from the CMA (ECMWF) models, along with inter-member spreads
795 shown by shadings. (d-f) are some as (a-c), but for the NRMSE skills.

796

797 **Figure 6.** Heidke skill score (HSS, shading) of SCER for phase 2 (upper two rows),
798 phase 3 (middle two rows), and phase 4 (bottom two rows) of BSISO1 with respect to
799 the non-BSISO1 period. Panels from left to right are 7-, 14- and 21- lead from
800 ensemble mean predictions, respectively. Areal mean HSS over SC is shown in the
801 bottom of each panel.

802

803 **Figure 7.** As in Fig. 5 but for the areal-mean HSS.

804

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806 10^{-5} m s^{-2}) and 850-hPa wind field (vector, unit: m s^{-1}) anomalies for phases 2 (upper
807 two rows), 3 (middle two rows), and 4 (bottom two rows) of the BSISO1. Panels from
808 left to right are the observation, 7-day, 14-day and 21-day lead of ensemble mean
809 predictions, respectively. Only the fields exceeding the 95% confidence level are
810 shown. Letter “A” represents the center of the anticyclonic anomaly. The case number
811 for phase composite is shown in the upper-left corner of each panel.

812

813 **Figure 9.** As in Fig. 8, but for the column-integrated moisture advection (shading,
814 unit: 10^{-5} m s^{-2}) and 850-hPa wind field (vector, unit: m s^{-1}) anomalies.

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817 (solid curves) and moisture advection (dashed curves) from ensemble predictions of
818 CMA (red) and ECMWF (blue) in (a) phase 2, (b) phase 3 and (c) phase 4 of BSISO1
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Figure 11. Scatter diagrams for PCC skills of the percentage changes in SCER probability (y-axis) against the column-integrated moisture convergence (x-axis) over the WNP in (a) phase 2, (b) phase 3 and (c) phase 4 of the BSISO1 for all individual members at all forecast lead times from the two models. (d-f) as in (a-c), but Y axis represents PCC skills of column-integrated moisture advection. The linear fit curves for ECMWF (308 blue dots) and CMA (120 orange dots) are in blue and red, and the large blue and red dots are the averaged PCC for ECMWF and CMA, respectively. The correlation coefficients (R) between PCC skills of percentage changes in SCER probability and those of are column-integrated moisture convergence are given in each panel, and asterisks indicate the R is significant at the 95% confidence level.

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Table 1. Description of the reforecast data of CMA and ECMWF models.

Model	Time range	Model resolutions	Reforecast frequency	Period	Ensemble size
CMA	0–60d	T106, L40	Daily	1995–2014	4
ECMWF	0–46d	Tco639/Tco319, L91	2/week	1997–2016	11

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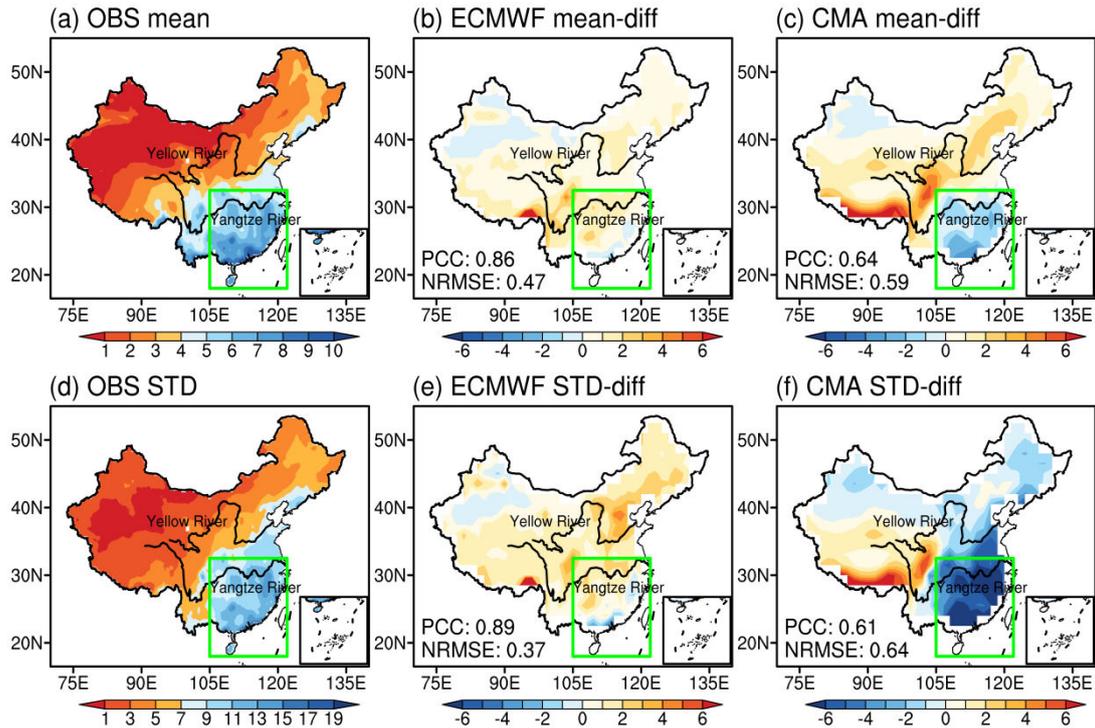
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 883 standard deviations in MJJA (units: mm day^{-1}). The PCC and NRMSE skills are
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 885 boxes delineate the region of southern China (SC; 18° – 32.5° N, 105° – 122° E).

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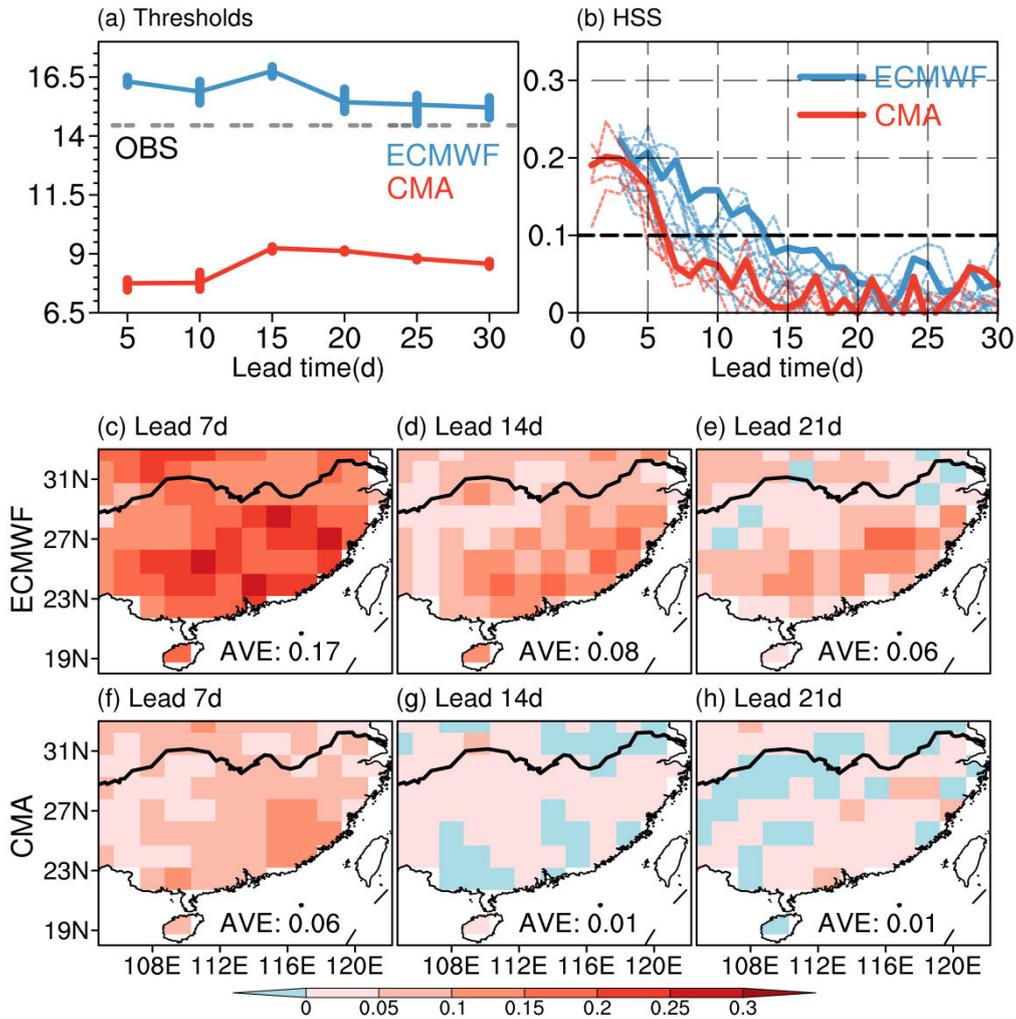
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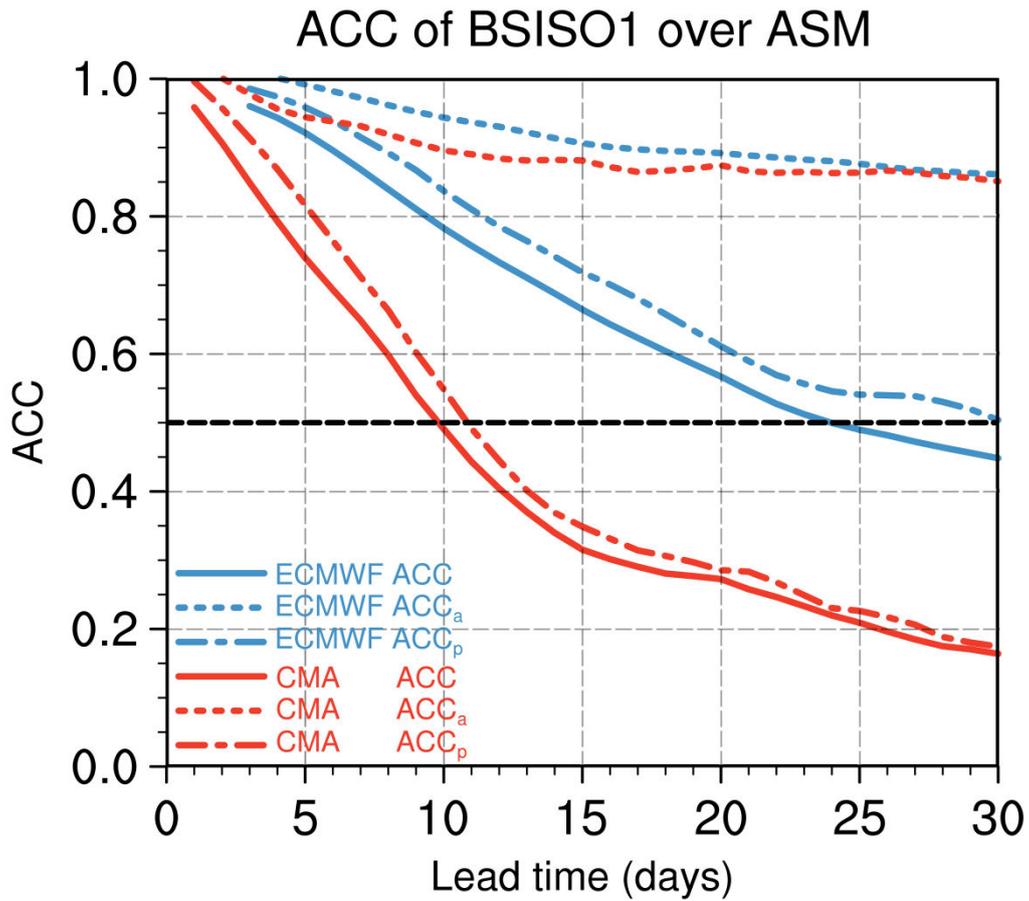
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 902 ensemble mean prediction from the ECMWF (CMA) model, along with the inter-
 903 member spreads shown by whiskers. (b) Areal-mean Heidke Skill Score (HSS) of
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 911 BSISO1 indices from the CMA (red solid curve) and ECMWF (blue solid curve)
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 914 phase (ACC_a) and perfect amplitude (ACC_p) prediction, respectively.

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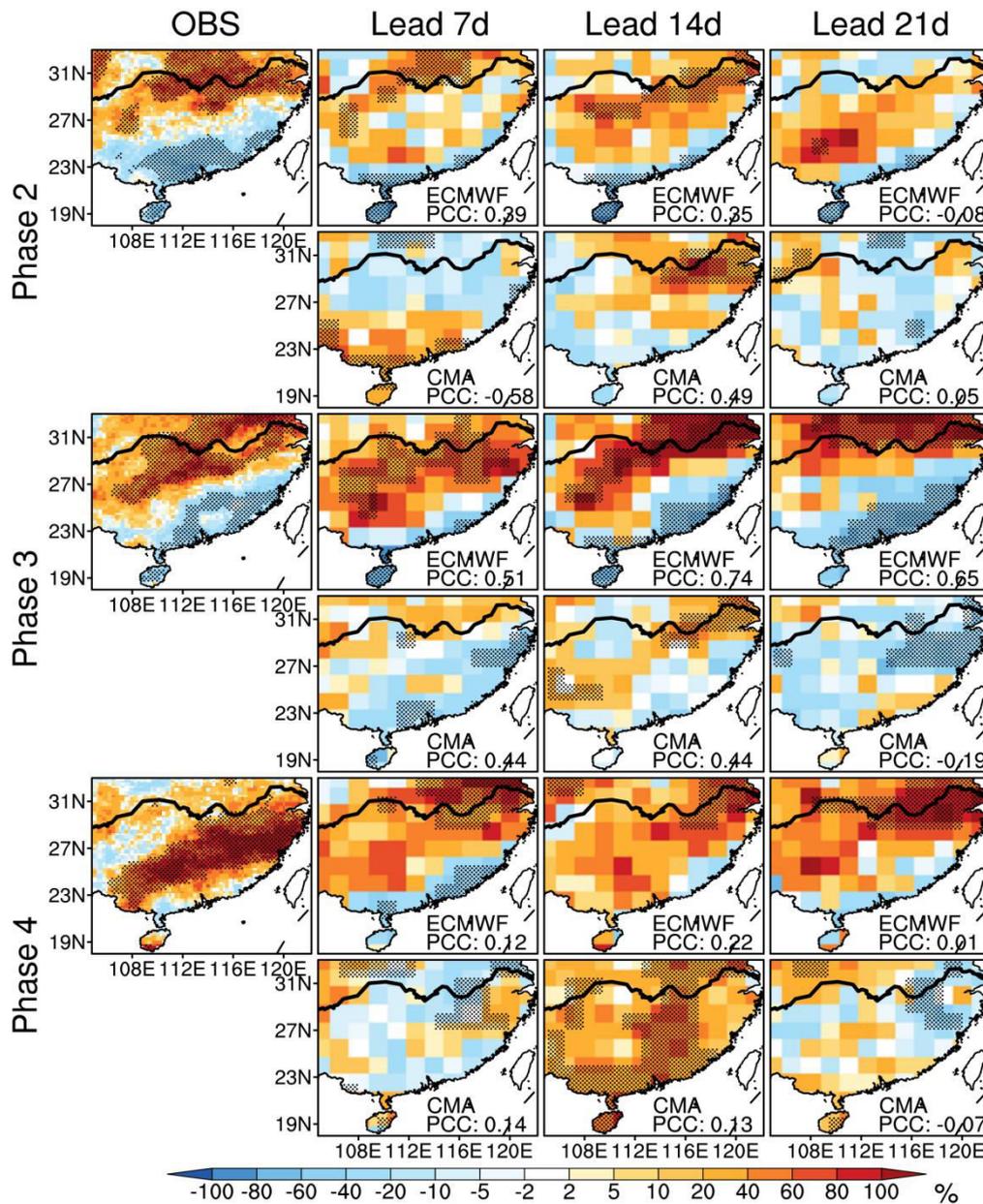
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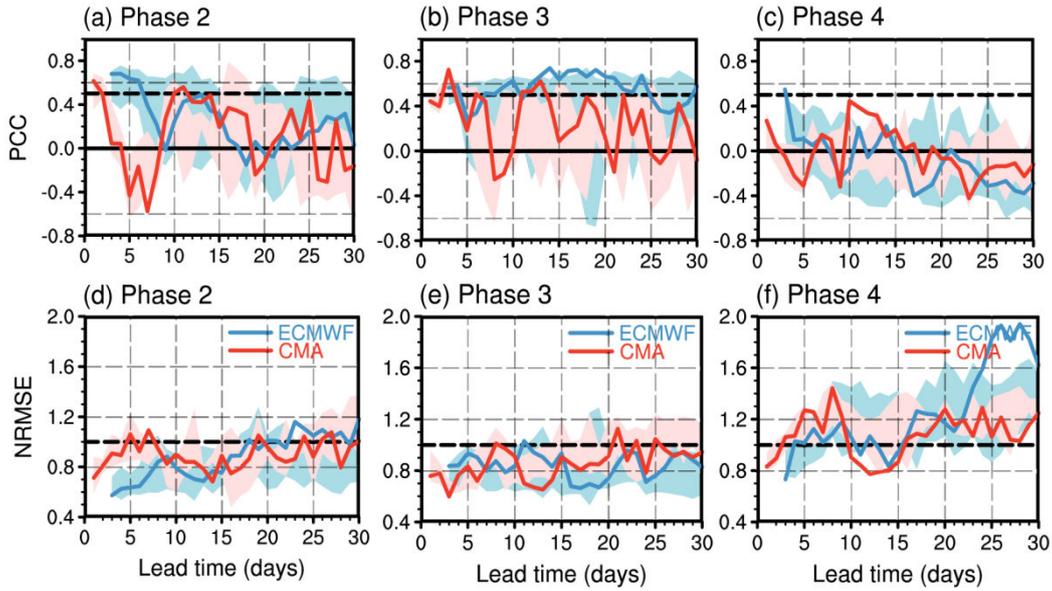
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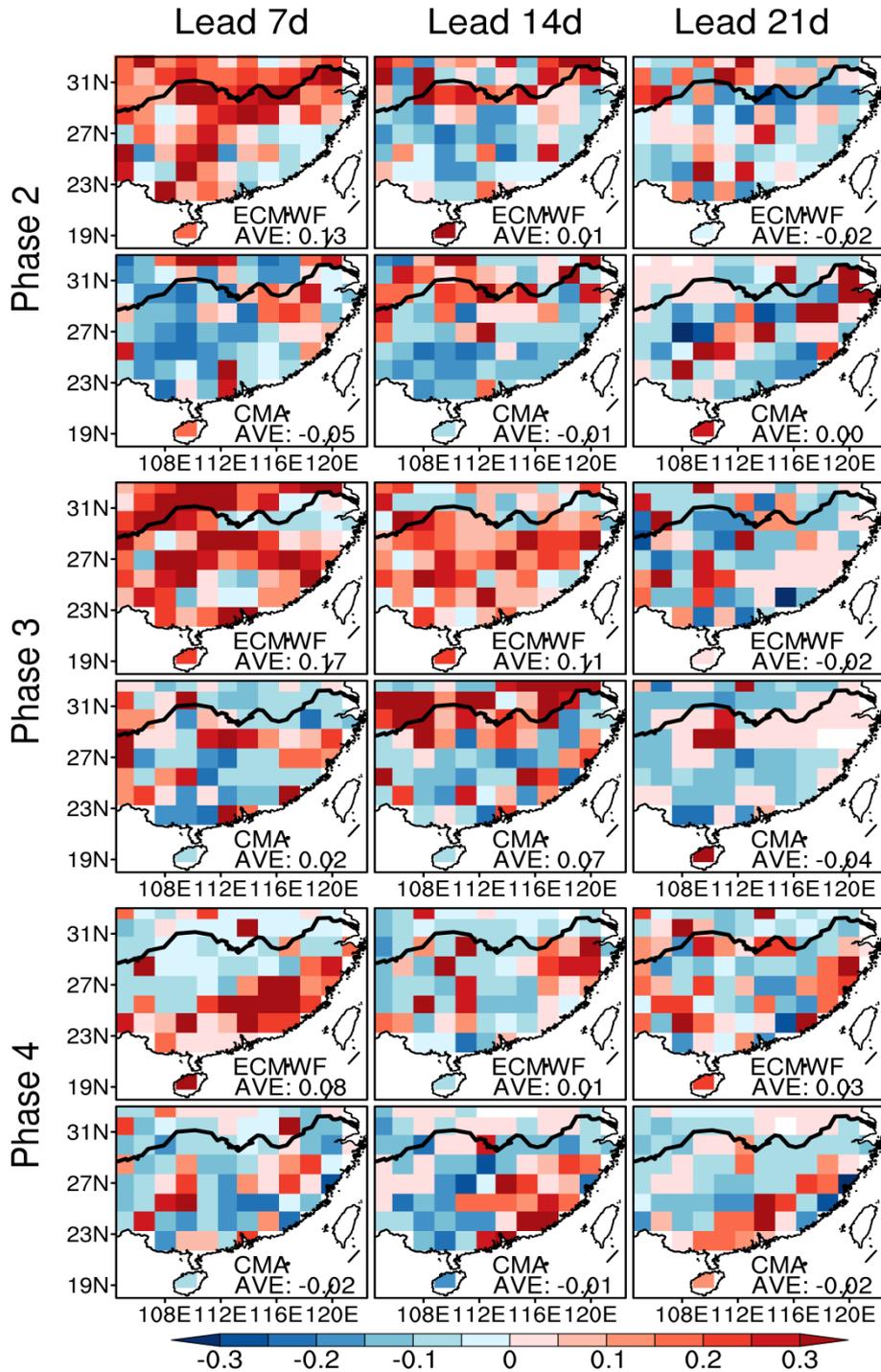
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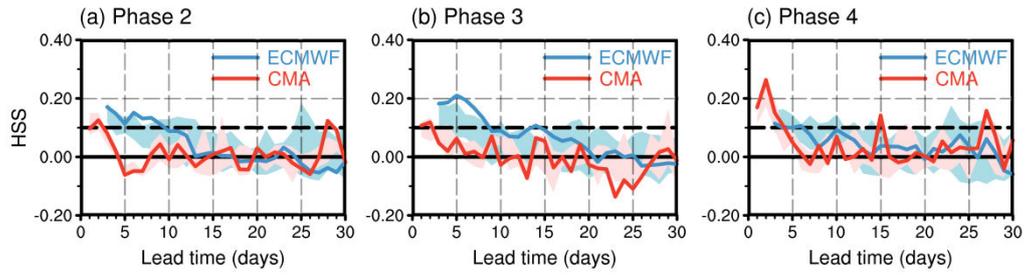
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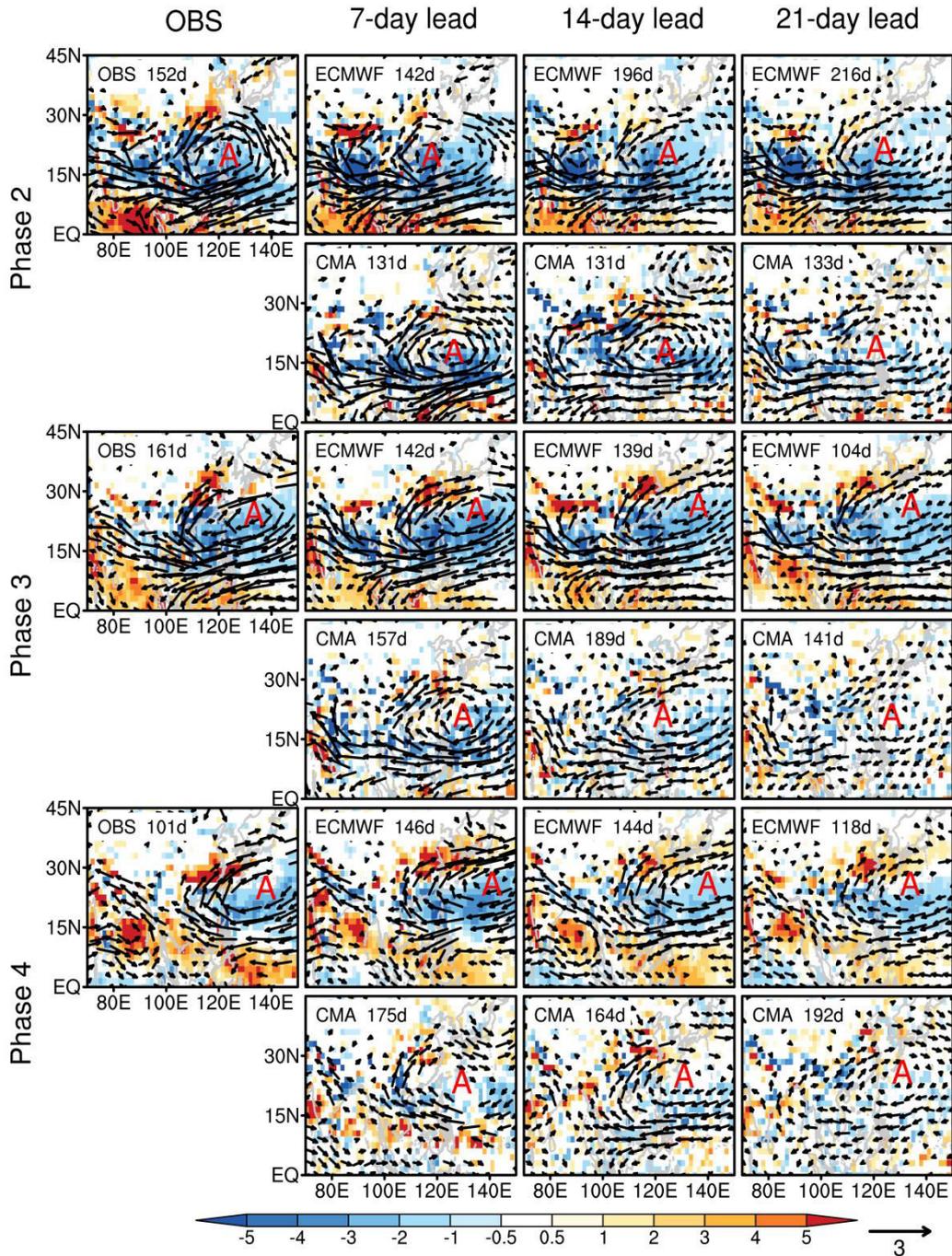
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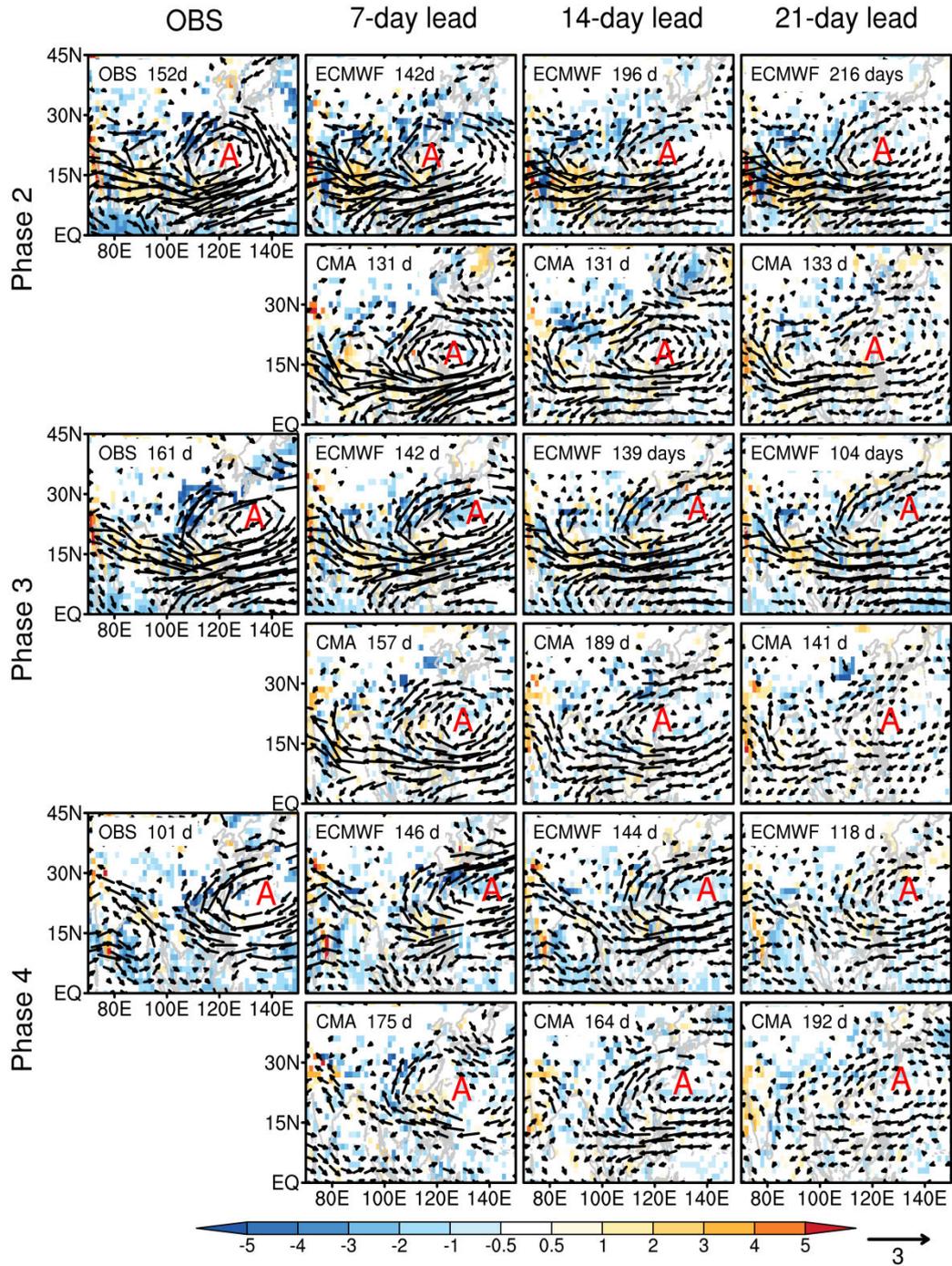
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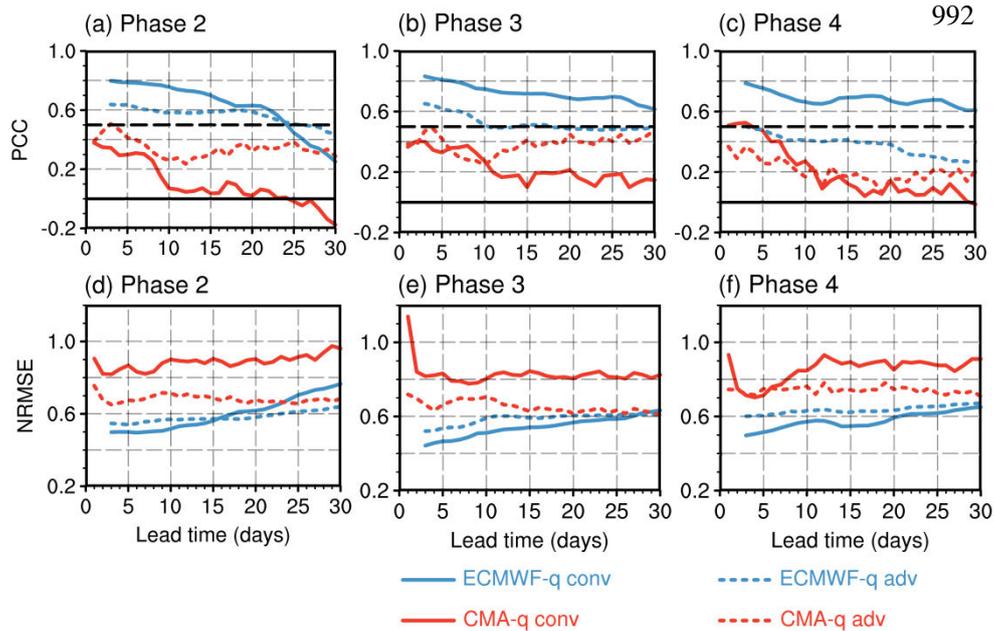
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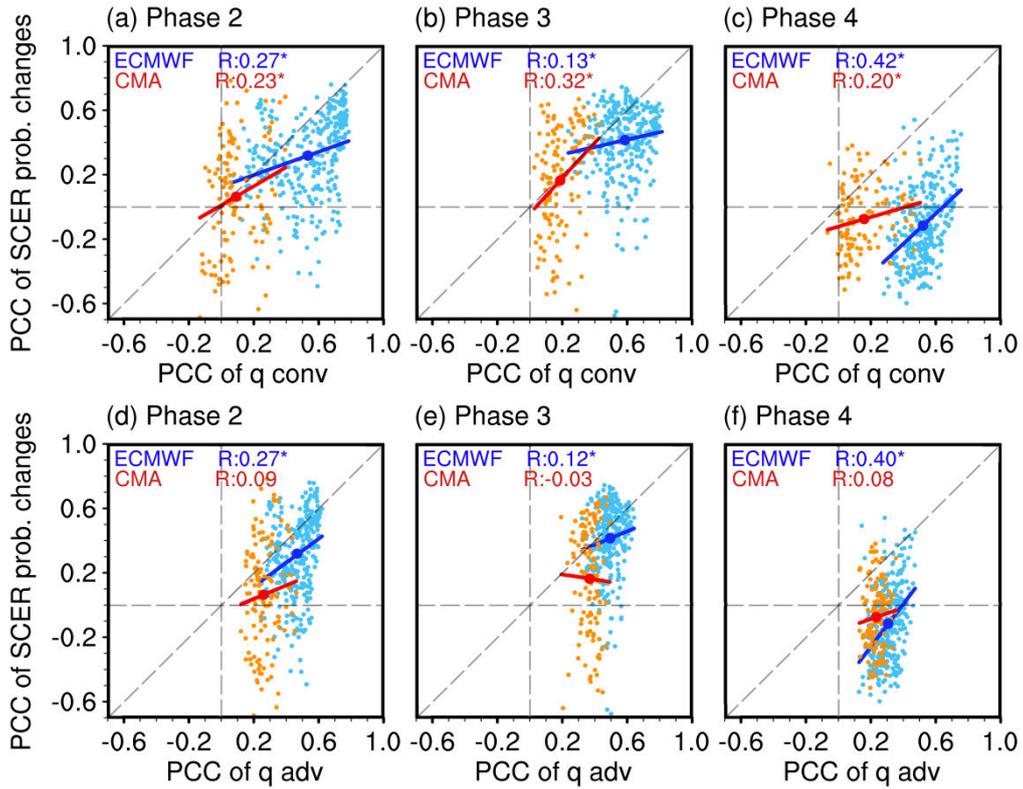
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