

Impact of Solar Radiation on Chemical Structure and Micromechanical Properties of Cellulose-Based Humidity-Sensing Material Cottonid

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2 **cellulose-based humidity-sensing material Cottonid**

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16

17 **Abstract**

18 Renewable and environmentally responsive materials are an energy- and resource-efficient
19 approach in terms of civil engineering applications, e.g. as so-called smart building skins. To
20 evaluate the influence of different environmental stimuli, like humidity or solar radiation, on the
21 long-term actuation behavior and mechanical robustness of these materials, it is necessary to
22 precisely characterize the magnitude and range of stimuli that trigger reactions and the resulting
23 kinetics of a material, respectively, with suitable testing equipment and techniques. The overall
24 aim is to correlate actuation potential and mechanical properties with process- or application-
25 oriented parameters in terms of demand-oriented stimuli-responsive element production. In this
26 study, the impact of solar radiation as environmental trigger on the cellulose-based humidity-
27 sensing material Cottonid, which is a promising candidate for adaptive and autonomously moving
28 elements, was investigated. For simulating solar radiation in the lab, specimens were exposed to

29 short-wavelength blue light as well as a standardized artificial solar irradiation (CIE Solar ID65) in
30 long-term aging experiments. Photodegradation behavior was analyzed by Fourier-transform
31 infrared as well as electron paramagnetic resonance spectroscopy measurements to assess
32 changes in Cottonid's chemical composition. Subsequently, changes in micromechanical
33 properties on the respective specimens' surface were investigated with roughness measurements
34 and ultra-micro-hardness tests to characterize variations in stiffness distribution in comparison to
35 the initial condition. Also, thermal effects during long-term aging were considered and contrasted
36 to pure radiative effects. In addition, to investigate the influence of process-related parameters on
37 Cottonid's humidity-driven deformation behavior, actuation tests were performed in an alternating
38 climate chamber using a customized specimen holder, instrumented with digital image correlation
39 (DIC). DIC was used for precise actuation strain measurements to comparatively evaluate
40 different influences on the material's sorption behavior. The infrared absorbance spectra of
41 different aging states of irradiated Cottonid show decreased absorption at $2,900\text{ cm}^{-1}$, i.e. the
42 spectral region of C - H stretching vibrations, and increased absorption at $1,800\text{-}1,700\text{ cm}^{-1}$, i.e.
43 the spectral region of C = O stretching vibrations, with respect to unaged samples and therefore
44 indicate oxidative stress on the surface. These findings differ under pure thermal loads. EPR
45 spectra could corroborate these findings as radicals were detected, which were attributed to
46 oxidation processes. Instrumented actuation experiments revealed the influence of processing-
47 related parameters on the sorption behavior of the tested and structurally optimized Cottonid
48 variant. Experimental data supports the definition of an optimal process window for stimuli-
49 responsive element production. Based on these results, tailor-made functional materials shall be
50 generated in the future where stimuli-responsiveness can be adjusted through the manufacturing
51 process.

52

53 **1. Introduction and state of the art**

54 Stimuli-responsive materials inspired by the natural behavior of biological structures, like plants,
55 are adaptive elements in various applications, viz. in biomedicine for drug delivery, in microfluidics
56 and robotics as well as in bioarchitecture for development of e.g. climate-adaptive shading
57 systems [1]. With regard to each application, different stimuli are relevant and, range e.g. from
58 temperature and relative humidity to light and radiation. In bioarchitecture, the passive humidity-

59 driven shape change of cellulosic materials, like plants and wood, in reaction to changing
60 environmental, i.e. weathering, conditions is used for an energy-efficient conversion of primary
61 energy into a kinetic one. Consequently, it is in the nature of things, that these materials are
62 additionally exposed to elevated temperatures and ultraviolet (UV) light during service, and it is
63 of high relevance to characterize their thermal and radiation induced degradation behavior and
64 its effect on their long-term material properties with regard to adaptive or constructive applications
65 [2]. Since adaptivity to external stimuli, like temperature or relative humidity, is the condition for
66 an efficient technical usage of weathering conditions within bioarchitecture, mostly polymer-based
67 material systems, especially wood, are used for the development of so-called smart building skins
68 [3].

69 In this context, Cottonid is a cellulose-based humidity-sensing material manufactured by the
70 parchmentizing process, which exhibits great potential for the production of climate-adaptive
71 elements with tailor-made material properties [4]. This study focusses the impact of solar radiation
72 on chemical structure and micromechanical properties of the cellulose-based humidity-sensing
73 material Cottonid. It is an extract of a comprehensive experimental study on the influence of
74 individual manufacturing parameters on the functional and mechanical properties of the
75 biopolymeric material by means of instrumented actuation and fatigue assessments. The results
76 are used for application-oriented structural optimization of Cottonid for adaptive or constructive
77 applications by adjustment of the manufacturing process.

78 The results presented were obtained by a combination of non-destructive analytical and
79 micromechanical investigations to build up a more profound knowledge about Cottonid's
80 interaction with its environment and the effect of long-term exposure to weathering conditions on
81 its structure-property-relationships on the exposed surface. In the next step, the effect on the
82 macromechanical properties will be investigated by the help of instrumented quasi-static and
83 fatigue experiments.

84 *1.1 Thermal and light-induced degradation mechanisms in polymeric materials*

85 As stated above, the properties of polymer-based material systems are affected by environmental
86 effects and therefore extensive studies have been carried out in recent years on different
87 stabilization strategies to improve their long-term behavior in technical applications. To avoid
88 degradation, which manifests in e.g discoloration, pronounced decrease of mechanical properties

89 and embrittlement, especially the addition of photostabilizers supports reasonable lifetimes in
90 service, which in bioarchitecture means presence of elevated temperatures, changing degrees of
91 relative humidity, influence of oxygen and solar radiation, while predictability of service lifetimes
92 is the goal [5-7]. For cellulose, which is the most abundant biopolymer on earth and therefore
93 intensively used as raw material for various stimuli-responsive material systems, the mechanism
94 of photodegradation is induced by a superimposition of several radical reactions. An initial
95 reaction is a decrease in degree of polymerization (DP) caused by scission of cellulose chains
96 [8]. Yatagai and Zeronian investigated degradation mechanisms caused by ultraviolet (UV)
97 irradiation, heat exposure and by a combination of both treatments. They revealed changes in
98 color as well as formation of carbonyl, carboxyl and hydroperoxide groups, resulting in a decrease
99 in α -cellulose content and degree of polymerization (DP). It was stated, that heat exposure alone
100 caused reactions comparable to UV exposure [9]. Hon further observed a reaction of cellulosic
101 carbon free radicals with oxygen molecules in electron paramagnetic resonance (EPR) studies,
102 identifying oxygen as decisive reagent in photooxidative degradation of cellulose [10,11].

103 In case of cellulose-based material systems, wood is one of the most important engineering and
104 structural materials. Infrared spectroscopy studies on wood exposed to UV irradiation observed
105 an increased amount of carboxylic and carbonyl chromophoric functional groups and a decreased
106 amount in aromatic functional groups, respectively. In these studies, mainly lignin-derived
107 degraded products could be observed on specimens' surfaces. Nevertheless, as stated above,
108 degradation by sunlight or UV radiation, resulting in pronounced structural modifications, is also
109 expected for the other chemical components (cellulose, hemicelluloses). Though it could be
110 verified, that these reactions were limited to the surface [12]. Efficient methods for characterizing
111 these changes in chemical structure are Fourier transform infrared (FTIR) and electron
112 paramagnetic resonance (EPR) spectroscopy, whereas EPR gives information about evolution of
113 radicals related to radiation-induced degradation mechanisms and can be used to verify oxidation
114 reactions that cause deviations from the initial IR spectra [13,14].

115 To characterize the impact of degradation mechanisms on the long-term behavior of cellulose-
116 based material systems in bioarchitectural applications, a precise simulation of outdoor
117 weathering conditions has to be performed in the lab. Solar radiation envelopes a range from
118 200 to 2500 nm, where irradiance levels are present in the visible part. For polymers, the UV part

119 of the spectrum, which reaches the earth's surface, is the most critical one [15]. Therefore, the
120 influence of short-wavelength visible radiation as well as thermal loads on the long-term material
121 properties in the already described application field is of high importance. Further, it is an
122 advantage for research, if aging experiments could be accelerated while simultaneously not
123 changing the temperature due to radiative energy input and hence keeping the causes for
124 degradation viz. temperature and radiation separated. On this topic, Baltscheit et al. [16] and
125 Hemmerich et al. [17,18] report on the simulation of the aging behavior of transparent materials
126 under accelerated artificial conditions with prioritizing effects under blue light radiation with short-
127 wavelength and high energy.

128 *1.2 Bioinspired, stimuli-responsive materials for bioarchitectural applications*

129 In response to external stimuli, like temperature, relative humidity or light etc., stimuli-responsive
130 polymer-based material systems are able of autonomous reactions, e.g. adaptive shape
131 changing, which is an energy-efficient way of producing kinetic energy or a mechanical force due
132 to volume expansion. Therefore, nowadays in terms of energy-efficiency and eco-friendliness this
133 bio-inspired mechanism is very interesting for implementation in technical and engineering issues.
134 Applications fields are various and range from microfluidics over soft robotics to so-called
135 bioarchitectural topics, where smart building skins respond to changing weathering conditions
136 with adaptive reactions. E.g., with increasing temperature, single façade elements expand to
137 provide shading and shrink, when temperatures are decreasing again, so that they are not
138 permanently blocking the sight out of the building. In case of cellulose-based materials, like wood,
139 the decisive stimulus, which activates the adaptive reactions, is humidity. Due to hygroscopic
140 water uptake from the surrounding environment, cellulose micro fibrils swell perpendicular to their
141 orientation within the material and cause a passive shape change, whose magnitude and direction
142 is programmed in the microstructure [19]. This behavior can be observed for various plant
143 systems, where the microstructure of the cell walls is used for directed swelling and shrinking
144 processes [3,20]. These biological moving concepts have been an inspiration for the development
145 of a variety of bio-inspired actuators for different applications in recent years to introduce the
146 efficient relationship between structure and function by development of new functional materials
147 [21-23]. The available actuation concepts, like one-dimensional bending, which is realized over
148 bilayered systems, with one active and one passive layer with regard to the present stimulus, two-

149 dimensional stretching, buckling or three-dimensional volume expansion, can be adjusted by the
150 microstructure of the polymer-based material systems and have their specific advantages and
151 disadvantages with respect to the intended application. Also, a combination of these deformation
152 modes in one actuator system is conceivable by microstructural tuning. Furthermore, the
153 development of systems that respond to multiple external stimuli in a smart and foreseeable way
154 is in the focus of current research [1,3,24]. Additionally, experiments with chemical degradation
155 of matrix polymers to retain just the more porous cellulose scaffold for functionalization have been
156 conducted. Besides usage of the dimensional instability when in contact with humidity in climate-
157 adaptive building shells, the material behavior can also be used for production of curved timber
158 elements by specific upstream humidity treatment and intelligent combination of layers with
159 different micro fibril angles [19,25].

160 Therefore, smart building skins play an important role in the required global reduction of energy
161 consumption, since autonomous, humidity-driven wood bilayers can serve as an energy-efficient
162 alternative to motor-driven façade shading elements [26]. To assess the performance of the
163 systems, especially the long-term stability as well as the repeatability of the humidity-driven
164 movements, instrumented test setups, which simulate outdoor weathering conditions have been
165 developed using environmental chambers and materials' reactions have been characterized, e.g.
166 by optical monitoring of the swelling and shrinkage strains, measurements of changes in
167 temperature, moisture content or other material specific parameters. Furthermore, the effect of
168 different humidity cycles, slow and fast cycles in high and low humidity ranges, were
169 investigated. During these experiments, a hysteresis was observed, when strains are plotted as
170 function of relative humidity [27].

171 *1.3 Cottonid material system*

172 Cellulose as most abundant biopolymer on earth and therefore a very sustainable resource is an
173 attractive material candidate for substitution of fossil products in specific, i.e. mostly functional,
174 applications as stated above. Besides native cellulose, cellulose derivatives, like e.g. regenerated
175 or oxidized cellulose containing cellulose II crystals, are favored because of their simple and
176 reproducible way of manufacturing. For this material class, the amount of inter- and intramolecular
177 hydrogen bonds is very important for the physical properties of cellulosic commercial products
178 [28]. For the above rendered adaptive applications, pure cellulosic materials often show a more

179 pronounced swelling and shrinking behavior in comparison to composite materials like wood. In
180 this case, Cottonid is a modified natural material fully based on partly modified cellulose and
181 appears to be a mix of cellulose I and II. Based on unsized raw paper, chemical modification is
182 achieved by a treatment with a warm zincdichloride (ZnCl_2) or sulphuric acid (H_2SO_4) solution,
183 called parchmentizing. The temperature of the catalyst bath T_{cat} and the reaction time t_{react} in it
184 define the amount of inter- and intramolecular hydrogen bonds that are newly formed [4]. These
185 are, as stated above, important for the final mechanical and physical properties. Since nowadays
186 this well known material gains new relevance in technical and especially climate-adaptive
187 applications, Scholz et al. performed an experimental assessment to qualify Cottonid in general
188 for technical applications compared to established materials, like synthetic plastics and wood [29-
189 31], as well as to assess the influence of individual manufacturing parameters on the amount of
190 hydrogen bonds present and therefore on the functional and mechanical properties of Cottonid
191 [32-34]. Furthermore, the impact of outdoor weathering conditions on the long-term actuation and
192 fatigue behavior is characterized. The aim is to structurally optimize Cottonid [35] through
193 adjustment of the manufacturing process for the production of Cottonid elements with tailor-made
194 properties for either adaptive or constructive applications.

195

196 **2. Materials and Methods**

197 *2.1 Sample preparation*

198 For aging experiments, specimens were cut out of a plate of industrial Cottonid material (Ernst
199 Krueger, Geldern, Germany) with a thickness of $t_{\text{mat}} = 2.0$ mm.

200 For actuation experiments, specimens were cut out of plates of the structurally optimized Cottonid
201 variant M60Z50 with material thicknesses of $t_{\text{mat,thin}} = 0.4$ mm and $t_{\text{mat,thick}} = 4.0$ mm. Unsized raw
202 paper (weight = 320 g/m^2 , $t_{\text{mat}} = 0.9$ mm, Hahnemuehle, Dassel, Germany), which fully consists
203 of cotton linters, subsequently marked as "M", was used for the production of M60Z50. For
204 chemical treatment, a 70 wt-% zincdichloride (ZnCl_2) solution, subsequently marked as "Z", is
205 used as catalyst. The sample name of the resulting Cottonid variants is a combination of the
206 chosen manufacturing parameters (**Tab. 1**). The samples are then smoothed and dried in a
207 calender (Sumet, Denklingen, Germany) under pressure and temperature. In order to prevent a
208 dimensional change of the material due to water absorption from the environment, the samples

209 are stored under weight in a dry atmosphere until further analysis [33]. Caused by the
210 manufacturing process, the cellulose micro fibrils in the paper layers have a preferred orientation
211 in manufacturing direction [30]. So dependent on specimen location within the Cottonid sheet,
212 varying actuation and mechanical properties, respectively, are expected, **Fig. 1a**. Actuator type I
213 was chosen to characterize humidity-driven swelling and shrinking behavior perpendicular to
214 micro fibril axis, **Fig. 1b**.

215 For conditioning, specimens were stored under laboratory conditions (temperature $T = 23 \pm 2^\circ\text{C}$,
216 relative humidity $\varphi = 35 \pm 5\%$) for a time $t_{\text{cond}} > 48$ hrs before testing.

217 *2.2 Aging experiments*

218 The aging experiments were performed under certain combinations of temperature T and relative
219 humidity φ , which especially in case of φ were dependent on the used device but not controllable.
220 So, the changes in material properties discussed in this study are only relevant for these special
221 environmental parameters. Differences can be expected if higher humidities and/or higher
222 temperatures had been used in both the heat and UV exposures [8].

223 *2.2.1 Short-wavelength blue light and temperature*

224 Aging experiments under short-wavelength blue light were performed in a customized test setup
225 for accelerated optical aging of materials under predefined, constant conditions, developed by
226 [15-17]. The main component of the test setup is a double-wall specimen chamber out of high-
227 quality steel, which is thermostatted by a continuous circulation of water and equipped with
228 thermocouples for the measurement of temperature in the chamber and on the specimens'
229 surfaces. The cooling ensures, that specimens can be tempered between $< 10^\circ\text{C}$ and $> 90^\circ\text{C}$
230 independent of the induced radiant power. For aging, a blue multi-chip-on-board high-
231 performance LED (CLU048-1818C4-B455-XX, Citizen Electronics, Kamikurechi, Japan) is
232 mounted above the specimen. The irradiance on the specimens' surfaces by the LED were
233 calculated using a raytracing simulation. By monitoring and saving of the operating parameters,
234 a controlled and traceable optical aging of specimens is realized [19].

235 Industrially manufactured Cottonid specimens for aging experiments have a unique red color
236 intended as marketing and recognition factor for the product. The temperature on surface due to
237 absorbtion (of the blue LED light) was $T_{\text{aging}} \sim 75^\circ\text{C}$. Averaged irradiance was 12.8 kW/m^2 for an

238 aging time of $t_{\text{aging}} = 3352$ h. Relative humidity was measured directly after the LED was turned
239 off and was $\varphi_{\text{LED}} \sim 56\%$.

240 To separate degradation mechanism due to thermal and radiative loading, respectively, further
241 specimens were thermally aged in an oven (UF 110 PLUS, Memmert, Schwabach, Germany) at
242 $T_{\text{aging}} = 75^{\circ}\text{C}$ also for $t_{\text{aging}} = 3352$ h. Relative humidity was $\varphi_{\text{oven}} \sim 0\%$.

243 *2.2.2 Solar radiation*

244 For simulation of solar radiation in the lab, in order to verify the comparability of LED irradiation
245 in the blue spectral region, further specimens were exposed to standardized artificial solar
246 radiation (CIE Solar ID65) in a commercial xenon weathering chamber (Suntest XLS+, Atlas,
247 Altenhaslau) with averaged irradiance of 2 kW/m^2 for an aging time of $t_{\text{aging}} = 3352$ h.
248 Temperature was $T_{\text{aging}} = 75^{\circ}\text{C}$ with a relative humidity of $\varphi_{\text{sun}} \sim 11.2\%$

249 *2.3 Structural investigations*

250 *2.3.1 Infrared and electron paramagnetic resonance spectroscopy*

251 For analysis of changes in chemical structure due to thermal and light-induced degradation
252 mechanisms, FTIR spectroscopy was utilized (Nicolet iS50, Thermo Fisher Scientific, Waltham,
253 Massachusetts, USA). IR spectra after different durations of aging were obtained in the mid-IR
254 range of 4000 to 400 cm^{-1} in attenuated total reflection mode (ATR). Since the reflection mode
255 investigates the surface, a correlation with the ultra-micro-hardness measurements was possible.
256 Twenty scans were averaged at a resolution of 4 cm^{-1} . A background scan was acquired for each
257 sample and subtracted in the subsequent measurement. The software offers the possibility to
258 correct the baseline drift and to automatically detect characteristic peaks.

259 For detection of organic radicals, which may form on the specimens' surfaces due to thermal and
260 light-induced aging, electron paramagnetic resonance spectroscopy (EPR) (MiniScope MS 5000,
261 Bruker, Billerica, Massachusetts, USA) was carried out on specimens aged with the standardized
262 artificial solar irradiation (CIE Solar ID65). EPR spectra were obtained in a magnetic field range
263 of 330 to 340 mT at a microwave frequency of $f = 9.438 \text{ GHz}$ and five measurements were
264 averaged.

265 *2.3.2 Surface roughness and ultra-micro-hardness testing*

266 Surface roughness of the specimens was assessed with the profile method according to DIN EN
267 ISO 4288 using a mobile surface roughness tester (MarSurf M 300 C, Mahr, Goettingen,
268 Germany). For each aging state, roughness values were calculated and averaged based on three
269 sampling lengths $l_r = 2.5$ mm.

270 Instrumented ultra-micro-hardness measurements were performed using a dynamic ultra-micro-
271 hardness tester (DUH 211, Shimadzu, Kyoto, Japan) and load-indentation depth curves were
272 obtained according to DIN EN ISO 14577. The process consists of a loading and unloading
273 phases, where the load is applied with a constant load rate up to a predetermined indentation
274 force of $F_{\max} = 98.09$ mN. For each series of tests, five indentations per sample were made for
275 each aging state. The change in Martens hardness ΔHM as well as indentation modulus E_{it} due
276 to thermal and light-induced degradation processes was calculated and related to the values in
277 initial condition.

278 *2.4 Investigations on humidity-driven actuation behavior*

279 For characterization of the humidity-driven swelling and shrinking behavior of the structurally
280 optimized Cottonid variant M60Z50, static actuation test were performed in an alternating climate
281 chamber (MKF 115, Binder, Tuttlingen, Germany) at a temperature $T_{\text{climate}} = 23^\circ\text{C}$ and a relative
282 humidity $\varphi_{\text{climate}} = 95\%$ for a swelling time of $t_s = 2.75$ h, **Fig. 2a**. To allow for the hygroscopic
283 expansion of the Cottonid specimen, a customized specimen holder was used, where one clamp
284 is fixed and the other one is moveable, **Fig. 2b**. Actuation strains were measured using digital
285 image correlation (DIC) (Limes, Krefeld, Germany), for this the specimens' surfaces were
286 speckled with a traceable pattern, **Fig. 2c**. For optical monitoring of the movements, an
287 encapsulated camera was used (Hero 5, GoPro, San Mateo, USA).

288

289 **3. Results and Discussion**

290 *3.1 Infrared and electron paramagnetic resonance spectroscopy*

291 Infrared spectra of the initial condition of Cottonid were depicted in relation to the aged states
292 thermal ($T_{\text{aging}} = 75^\circ\text{C}$ for $t_{\text{aging}} = 3352$ h) in **Fig. 3**, optical (12.8 kW/m² at $T_{\text{aging}} = 75^\circ\text{C}$ for $t_{\text{aging}} =$
293 3352 h) in **Fig. 4** and sun (2 kW/m² at $T_{\text{aging}} = 75^\circ\text{C}$ for $t_{\text{aging}} = 3352$ h) in **Fig. 5**. The spectra were
294 collected in a wavelength interval between $4,000$ and 400 cm⁻¹ and all of them show the

295 characteristic peaks for cellulose, which are defined in the initial condition spectra. In the interval
296 between 3,600 and 3,100 cm^{-1} the stretching vibrations of the hydroxyl groups ($\nu(-\text{OH})$) of the
297 cellulose chains can be seen. The height and area of the peak provides information about inter-
298 and intramolecular hydrogen bonds present in the material. The much lower peak at 2,900 cm^{-1}
299 is attributed to the stretching vibrations of the alkyl groups ($\nu(-\text{CH})$). Absorbed water is the reason
300 for the peak at 1,640 cm^{-1} . In the interval between 1,300 and 900 cm^{-1} peaks of the alcohols
301 located on the cellulose chains can be observed. The peak of the glycosidic linkage, which is also
302 known as amorphous peak, can be found at 898 cm^{-1} [36].

303 When comparing the spectrum in initial condition with the spectra of the different aging states it
304 becomes clear, that a considerable change in absorption can be detected at 2,900 cm^{-1} and
305 between 1,750 and 1,740 cm^{-1} , respectively, which indicates the presence of oxidative stress on
306 the surface for all aging states. The close-ups of the interesting regions make the changes more
307 visible. The increase of peaks in the range of 1,750 and 1,740 cm^{-1} results from the formation of
308 carbonyl and carboxyl groups due to reactions with the surrounding oxygen. These reactions
309 imply the splitting of cellulose chains on the surface and a decrease of degree of polymerization
310 (DP) [8-10,37]. Therefore, the peak at 2,900 cm^{-1} simultaneously decreases because of these
311 oxidative reactions, indicating a degradation of C – H combinations. These findings could also be
312 verified by EPR spectroscopy, since formation of organic radicals could be detected with
313 increasing aging time, see **Fig. 6** [13]. The described reactions are most pronounced for
314 specimens aged with the standardized artificial solar irradiation [8].

315 Another reaction worth mentioning is the increase of the peak at 1,644 cm^{-1} , which stands for
316 adsorbed water. At first, it is not reasonable, that a specimen aged with temperature and light
317 contains more water, since rather drying effects would be expected. Since the Cottonid specimens
318 were taken out of the experimental setups for aging to collect IR and EPR spectra, the authors
319 assume, that the material directly reacted with its surrounding again in taking up humidity from
320 the ambient air.

321 *3.2 Roughness and ultra-micro-hardness testing*

322 **Fig. 7** shows the results of roughness measurements for the initial condition in comparison to the
323 different aging states.

324 For evaluation of the change of surface roughness due to thermal and light-induced aging,
325 averaged roughness depth R_z and roughness value R_a were obtained. In general, the aged
326 specimens seem to have a lower roughness and especially when looking at R_z , a pronounced
327 difference is visible between initial and sun. This finding correlates with studies of Hauptmann et
328 al., where a decrease of nanoroughness of wood due to UV-irradiation accompanied by a change
329 in color was observed [38,39].

330 Results of ultra-micro-hardness tests are depicted in **Fig. 8**. Here, just three aging stages are
331 shown since no results were available for the specimens aged with standardized artificial solar
332 irradiation (sun). A maximum test force of $F_{\max} = 98.07$ mN was chosen in order to evaluate an
333 influence of thermal and light-induced aging on the micromechanical properties in the outer layers
334 of the specimen. **Fig. 8a** displays load-displacement curves. Qualitatively, the aged specimens
335 exhibit lower values in indentation depths. Furthermore, differences in the slope of the loading
336 and unloading phase of each curve can be observed, which indicate a change in the elastic-
337 plastic deformation behavior of the aged Cottonid specimens. For the purpose of comparability of
338 the characteristic values Martens hardness HM and indentation modulus E_{it} , **Fig. 8b** shows the
339 arithmetic mean and standard deviation of five measuring points for the initial condition, the
340 thermal and the optical aged state. By comparison, the different aging states show pronounced
341 differences within the characteristic values. Compared to the initial condition, thermally aged
342 specimens show a distinct increase of HM and E_{it} , which is also visible for the optically aged
343 specimens, but less pronounced. So, as expected, thermal and light-induced aging seems to lead
344 to an embrittlement of the outer, irradiated layers of the specimens, which can be verified by
345 several other studies on polymeric materials, especially wood [39].

346 *3.3 Humidity-driven actuation*

347 **Fig. 9** shows the results of static actuation tests ($T_{\text{amb}} = 23^\circ\text{C}$, $\varphi_{\text{amb}} = 95\%$ r.H., $t_s = 2.75$ h) on the
348 structurally optimized Cottonid variant M60Z50. True tangential strain $\varepsilon'_{\text{Tan}}$, detected via DIC on
349 the specimens' surfaces, is plotted over the swelling time t_s and the influence of material thickness
350 t_{mat} as well as cellulose micro fibril orientation on the humidity-driven swelling and shrinking
351 behavior is characterized. Strains parallel to cellulose micro fibril orientation ($0^\circ/X$) are indexed
352 with x , whereas strains perpendicular to cellulose micro fibril orientation ($90^\circ/Y$) are indexed with
353 y .

354 In **Fig. 9a**, results are shown for M60Z50 specimens with $t_{\text{mat,thick}} = 4.0$ mm and $t_{\text{mat,thin}} = 0.4$ mm.
355 After a swelling time of $t_s = 0.25$ h, $\epsilon'_{\text{Tan,y,thin}}$ reaches its maximum with $\sim 2.5\%$ compared to $\epsilon'_{\text{T,y,thick}}$
356 with $\sim 0.15\%$. So, while the thin specimen shows a very adaptive behavior, the thick one is more
357 or less dimensionally stable. At the end of the test at $t_s = 2.75$ h $\epsilon'_{\text{Tan,y,thick}}$ increases up to $\sim 0.4\%$
358 compared to the initial condition. With regard to the thin specimen with $\sim 2\%$ at the end of the test,
359 this humidity-driven expansion is much lower. So it can be concluded, that humidity-driven
360 actuation behavior of Cottonid is a function of the material thickness t_{mat} and therefore by varying
361 the amount of paper layers fed into the parchmentizing process either climate-adaptive elements
362 for bioarchitectural issues or dimensionally stable components for conventional constructive
363 issues can be produced. A transitional material thickness $t_{\text{mat,trans}}$, where humidity-driven
364 expansions of Cottonid are not justifiable anymore with regard to standards for conventional
365 construction, will be defined in further investigations.

366 In **Fig. 9b**, showing results for the M60Z50 specimen with $t_{\text{mat,thin}} = 0.4$ mm, it becomes clear, that
367 swelling due to water absorption is more pronounced perpendicular to cellulose micro fibril
368 orientation, since $\epsilon'_{\text{Tan,y,thin}}$ reaches a higher value of $\sim 2.5\%$ compared to $\epsilon'_{\text{Tan,x,thin}} = 1.5\%$ after a
369 swelling time of $t_s = 0.25$ h. After reaching its maximum value, $\epsilon'_{\text{Tan,y,thin}}$ decreases again and
370 saturates after $t_s = 0.75$ h at $\sim 2\%$. At $t_s = 0.50$ h, $\epsilon'_{\text{Tan,x,thin}}$ reaches its maximum, saturates at
371 $\sim 2.25\%$ and stays above $\epsilon'_{\text{Tan,y,thin}}$ until the end of the test. It can be concluded, that for short
372 swelling times, the Y-orientation is favorable, while there seems to be no distinct difference in the
373 materials' reaction when it comes to long-term humidity loading.

374

375 **4. Conclusions and Outlook**

376 This study, focusing on the impact of solar radiation on chemical structure and micromechanical
377 properties of the cellulose-based humidity-sensing material Cottonid, is an extract of a
378 comprehensive experimental study on the influence of individual manufacturing parameters on
379 the functional and mechanical properties of the biopolymeric material by means of instrumented
380 actuation and fatigue assessments. It could be shown, that the impact of thermal loads in
381 combination with solar radiation leads to chemical modifications of the cellulose on the surface of
382 the aged Cottonid specimens. Infrared spectra revealed changes in adsorption at $2,900\text{ cm}^{-1}$, i.e.
383 the spectral region of C - H stretching vibrations, and between $1,800$ and $1,700\text{ cm}^{-1}$, i.e. the
384 spectral region of C = O stretching vibrations, and therefore indicate oxidative stress on the

385 surface of the aged specimens [4,8,9]. These findings are underlined with results of EPR
386 spectroscopy, which indicate the presence of oxidation-related radicals. The oxidative stress
387 obviously provokes a decreased surface roughness leading to embrittlement, which could be
388 verified by increased Martens hardness HM and indentation modulus E_{it} assessed by ultra-micro-
389 hardness tests. Therefore, a change in micromechanical surface properties of the aged Cottonid
390 specimens due to chemical modifications induced by a combination of thermal loads and solar
391 irradiation could be observed [40-42]. Experiments with pure thermal loads induced comparable
392 degradation effects to combined loads, whereas aging with a standardized artificial solar
393 irradiation caused a more distinct material reaction compared to aging with short-wavelength blue
394 light radiation [14]. These more or less material-specific reactions onto the induced loads could
395 origin from the chemical modification of cellulose I in a not yet defined mix of cellulose I and II
396 during the manufacturing process of Cottonid and have to be thoroughly investigated in further
397 studies. Since for manufacturing of Cottonid the cellulose contained in the raw paper layers gets
398 partly hydrolyzed by the help of an chemical catalyst, which was observed to be a stabilizing
399 process in terms of light-induced radical reactions [8], these investigations will be of high interest
400 to qualify Cottonid for outdoor applications. Further, since the presented results were obtained on
401 industrial manufactured Cottonid material, which has a unique red color, it is essential to
402 investigate and comparatively evaluate photodegradation mechanisms on colorless, i.e. white,
403 Cottonid [8].

404 Actuation tests on the structurally optimized Cottonid variant M60Z50 revealed an influence of the
405 material thickness t_{mat} as well as of the predefined orientation of the cellulose micro fibrils. It can
406 be stated, that Cottonid's actuation behavior is a function of its material thickness, i.e. a ten times
407 thinner specimen achieves a ten times increased humidity-driven expansion. Furthermore,
408 humidity-driven actuation is more pronounced perpendicular to micro fibril orientation, which is
409 already described in various studies concerning cellulose based materials [2,17,42]. These
410 findings can be used for the development of climate-adaptive Cottonid elements capable of
411 complex passive shape changes due to efficient and smart combination of microstructure and
412 material thickness. Since Cottonid is manufactured by chemical modification of single paper
413 layers, which are stacked to get thicker material, the adjustment and smart usage of these
414 manufacturing parameters for tailor-made climate-adaptive element production can be easily
415 implemented.

416 In summary, the conditions of the manufacturing process of Cottonid and its hygroscopic material
417 behavior make the material ideal for the development of application-oriented adaptive elements
418 capable of complex passive, humidity-driven shape changes with adjustable magnitude e.g. for
419 bioarchitectural issues. The aging experiments revealed an interaction of Cottonid with thermal
420 and light-induced loads, which are expected in natural weathering conditions, i.e. environmental
421 triggers, in this application field. The change in micromechanical properties and degradation
422 processes, respectively, on the surface have to be considered for the prediction of the long-term
423 behavior of climate-adaptive Cottonid elements. Up to now the results show, that degradation
424 takes place and that the modifications of the chemical structure also affect the micromechanical
425 properties.

426 In further studies, it will be investigated how these mechanisms affect the macroscopic
427 deformation behavior of Cottonid and its specific mechanical values, like Young's modulus and
428 ultimate tensile strength, as well as the actuation behavior. For this, in a first step aging
429 experiments will be conducted at different temperatures and relative humidity levels to assess the
430 influence of weathering conditions on the degradation behavior. Furthermore, the customized
431 specimen holder for actuation experiments can be equipped with LEDs, so that in the near future
432 swelling and shrinking behavior can be characterized with superimposed thermal and light-
433 induced loading.

434

435 **Availability of data and materials**

436 The datasets used and/or analyzed during the current study are available from the corresponding
437 author on reasonable request.

438

439 **Competing interests**

440 The authors declare that they have no competing interests.

441

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445 material Cottonid as an adaptive element" (WA 1672/23-1, ZO 113/22-1).

446

447 **Author contributions**

448 R.S., M.L. and M.H. performed experiments, prepared figures and wrote the manuscript. F.W.,
449 C.Z. and J.M. supervised the project.

450

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456

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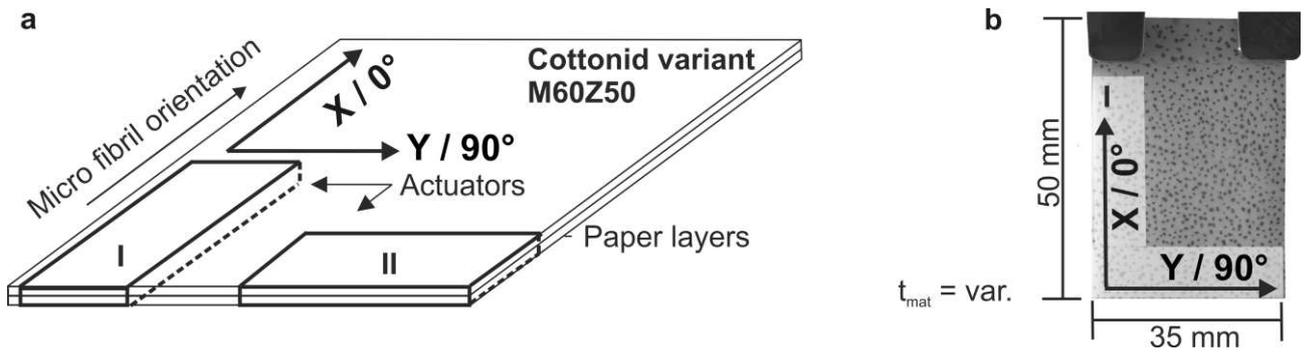
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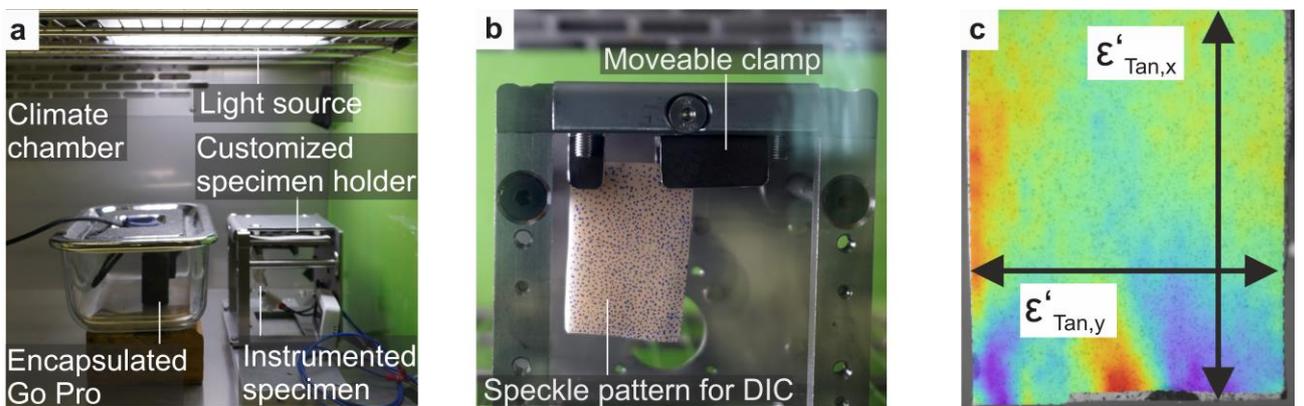
571 **Figures**



572

573 **Fig. 1**

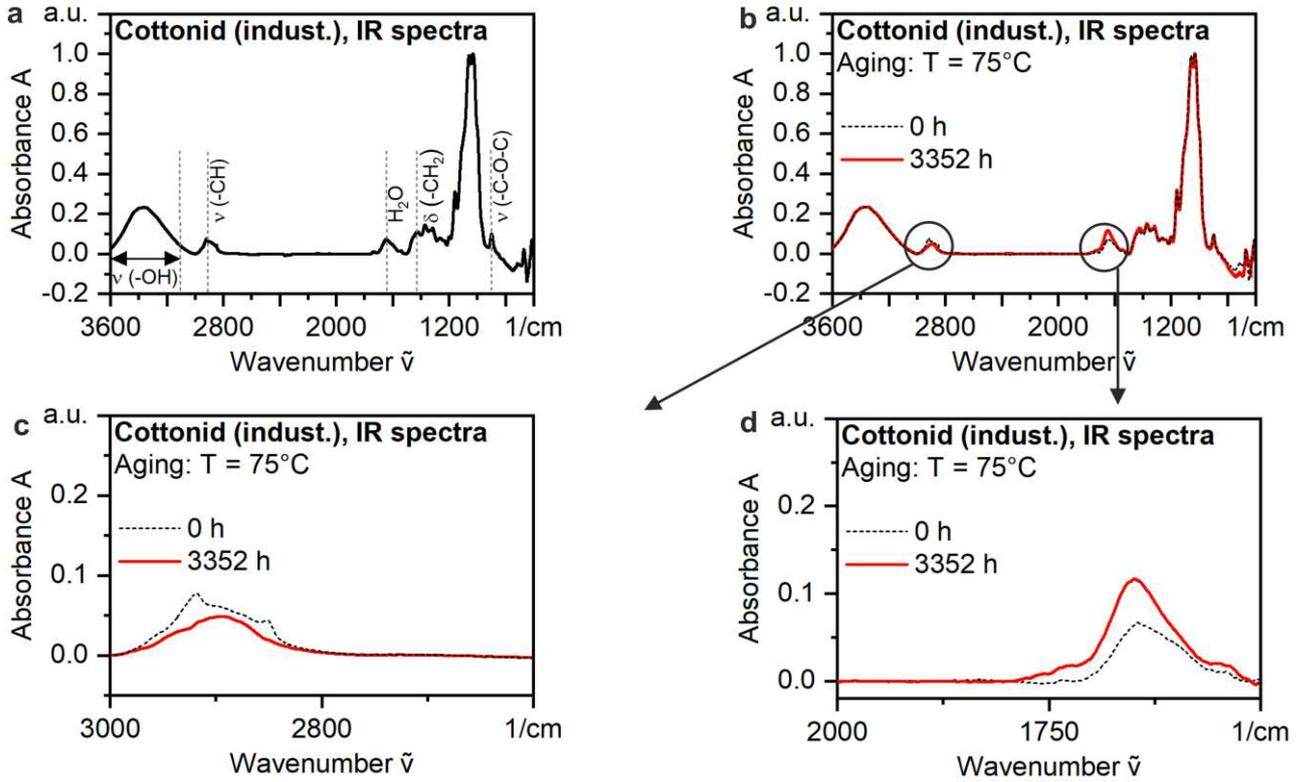
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576 **Fig. 2**

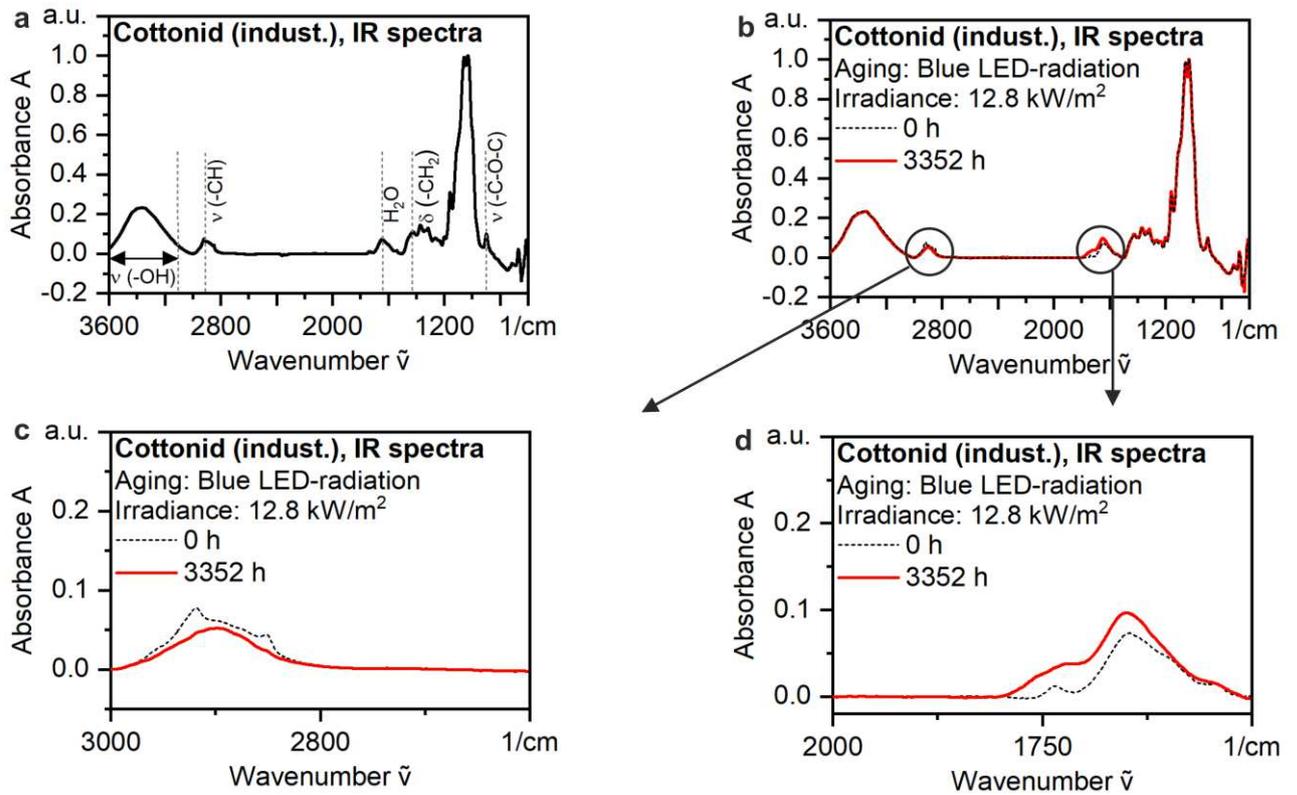
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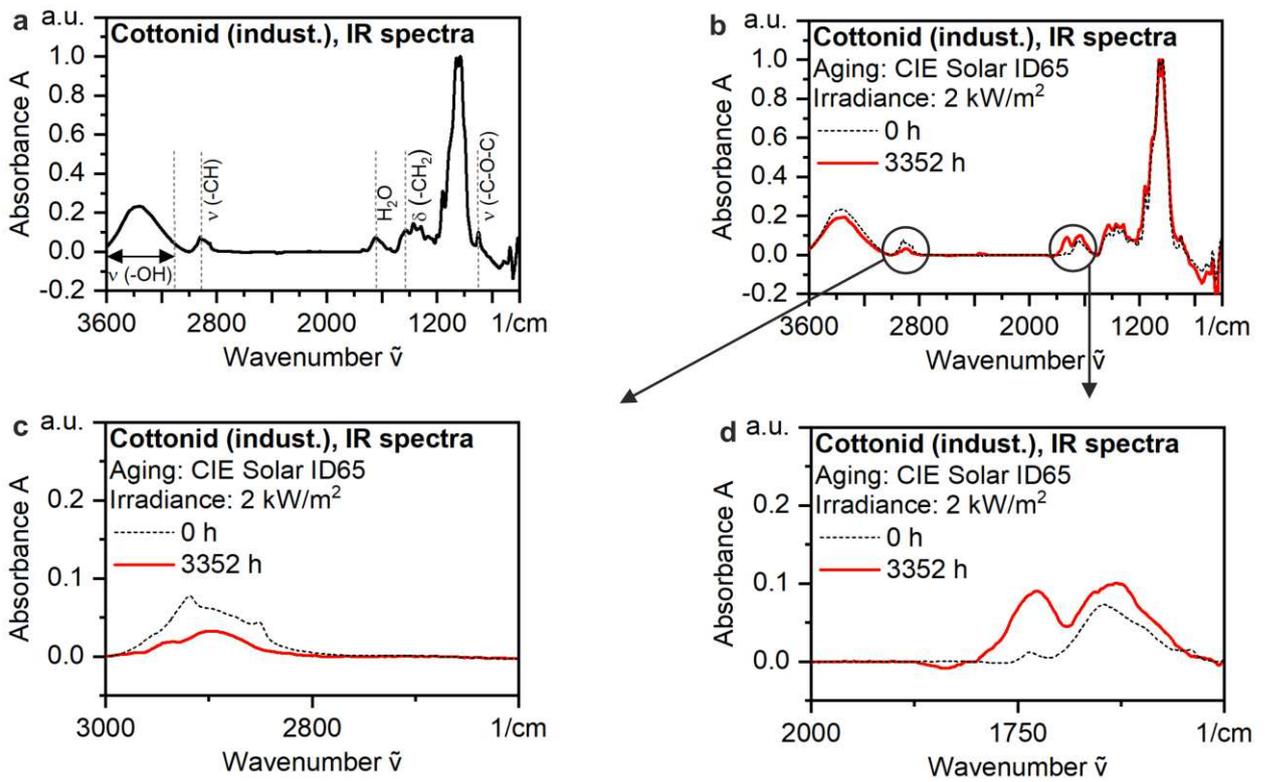
579 **Fig. 3**

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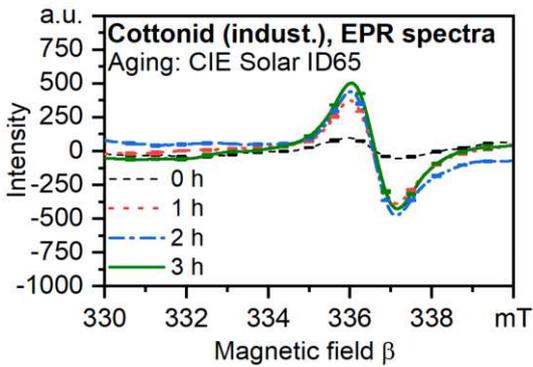
582 **Fig. 4**



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584 **Fig. 5**

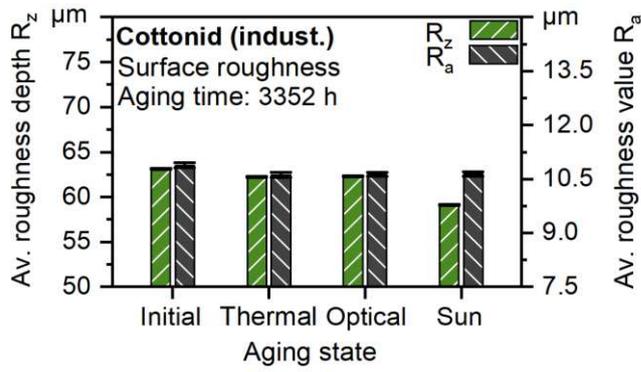
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587 **Fig. 6**

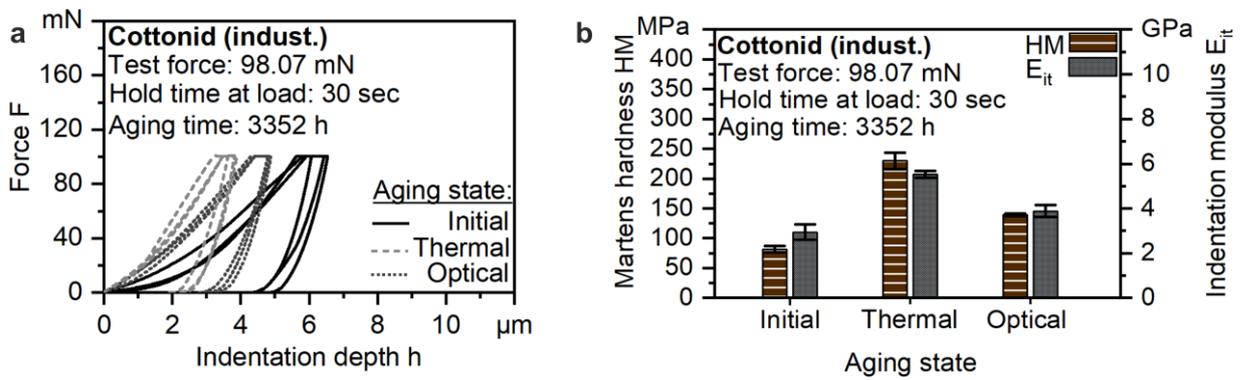
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590 **Fig. 7**

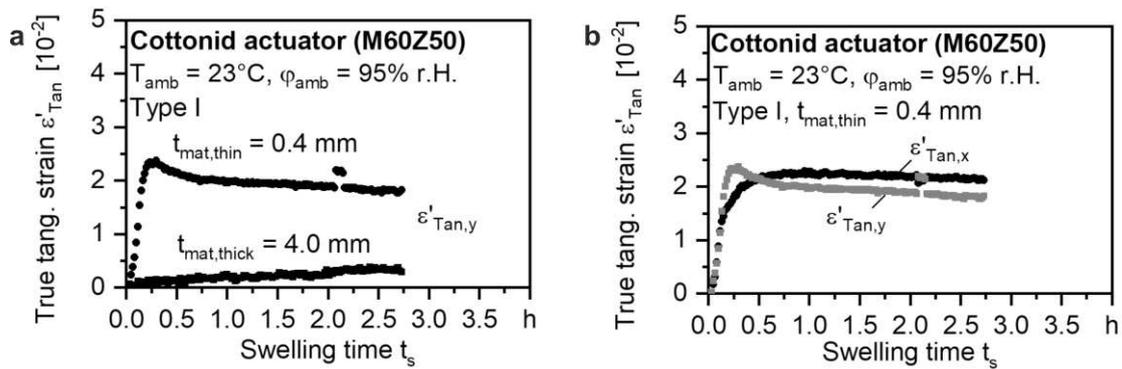
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593 **Fig. 8**

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596 **Fig. 9**

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600

601 **Tables**

602 **Tab. 1**

Cottonid variant	Cellulose source	Reaction time	Catalyst	Temperature
M60Z50	Cotton linters („M“)	60 sec	Zinndichloride solution („Z“)	50 °C

603

604 **Figure and table captions**

605 **Fig. 1**

606 Specimen preparation for actuation experiments: **a** Specimen location in Cottonid sheet according
607 to manufacturing direction of paper layers, and **b** Cottonid actuator type I with speckled surface
608 for strain measurements via digital image correlation (DIC) mounted in customized specimen
609 holder

610 **Fig. 2**

611 **a** Test setup for actuation experiments in alternating climate chamber (MKF 115, Binder,
612 Tuttlingen, Germany); **b** Cottonid specimen with speckled surface for strain measurements via
613 digital image correlation (DIC) mounted in customized specimen holder; **c** Strain measurements
614 on specimen's surface via DIC parallel ($\epsilon'_{Tan,x}$) and perpendicular ($\epsilon'_{Tan,y}$) to cellulose micro fibril
615 orientation

616 **Fig. 3**

617 Infrared (IR) spectra of industrial Cottonid material (Ernst Krueger): **a** In initial condition with peak
618 definition of raw material cellulose, and **b** Comparative evaluation of initial condition and after
619 thermal aging at $\sim 75^\circ\text{C}$ for $t_{aging} = 3352$ h; Peak evolution due to thermal aging: **c** Close-up at $\tilde{\nu} =$
620 2.900 cm^{-1} , and **d** Close-up at $\tilde{\nu} \sim 1.750\text{ cm}^{-1}$

621 **Fig. 4**

622 Infrared (IR) spectra of industrial Cottonid material (Ernst Krueger): **a** In initial condition with peak
623 definition of raw material cellulose, and **b** Comparative evaluation of initial condition and after
624 optical aging (blue LED-radiation, irradiance: $12,8\text{ kW/h}$) for $t_{aging} = 3352$ h; Peak evolution due to
625 optical aging: **c** Close-up at $\tilde{\nu} = 2.900\text{ cm}^{-1}$, and **d** Close-up at $\tilde{\nu} \sim 1.750\text{ cm}^{-1}$

626 **Fig. 5**

627 Infrared (IR) spectra of industrial Cottonid material (Ernst Krueger): **a** In initial condition with peak
628 definition of raw material cellulose, and **b** Comparative evaluation of initial condition and after
629 optical aging (CIE solar ID65, irradiance: 2 kW/h) for $t_{aging} = 3352$ h; Peak evolution due to optical
630 aging: **c** Close-up at $\tilde{\nu} = 2.900\text{ cm}^{-1}$, and **d** Close-up at $\tilde{\nu} \sim 1.750\text{ cm}^{-1}$

631 **Fig. 6**

632 Electron paramagnetic resonance (EPR) spectra of industrial Cottonid material (Ernst Krueger)
633 in initial condition and after aging with standardized artificial solar irradiation (CIE solar ID65,
634 irradiance: 2 kW/h) for increasing aging times

635 **Fig. 7**

636 Roughness measurements on surface of industrial Cottonid material (Ernst Krueger) in different
637 aging stages

638 **Fig. 8**

639 Ultra-micro-hardness measurements on surface of industrial Cottonid material (Ernst Krueger) in
640 different aging stages: **a** Force (F) - indentation depth (h) curves, and **b** Obtained values for
641 Martens hardness HM and indentation modulus E_{it}

642 **Fig. 9**

643 Actuation tests on structurally optimized Cottonid variant M60Z50: **a** Influence of cellulose micro
644 fibril orientation, and **b** Influence of material thickness t_{mat}

645 **Tab. 1**

646 Sample designation of structurally optimized Cottonid
647

648

Figures

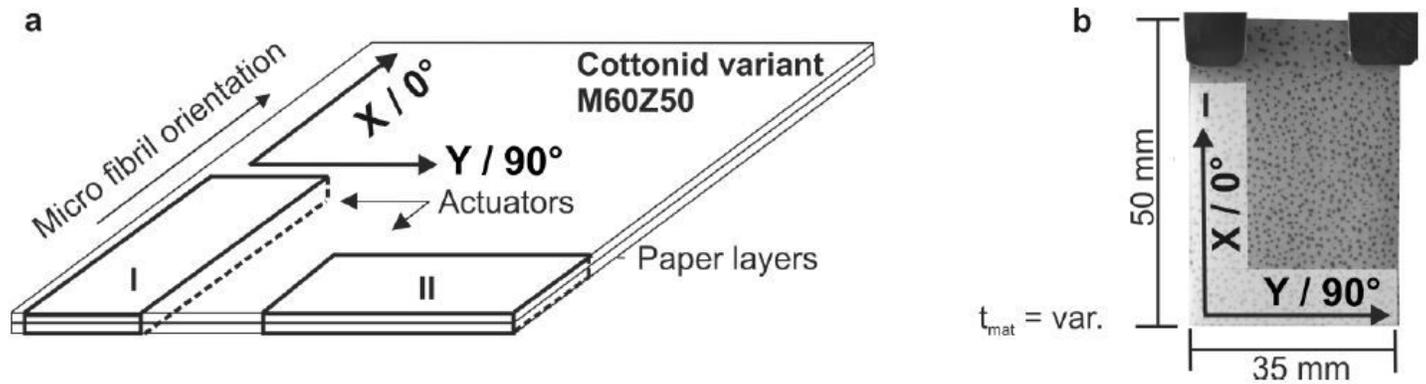


Figure 1

Specimen preparation for actuation experiments: a Specimen location in Cottonid sheet according to manufacturing direction of paper layers, and b Cottonid actuator type I with speckled surface for strain measurements via digital image correlation (DIC) mounted in customized specimen holder

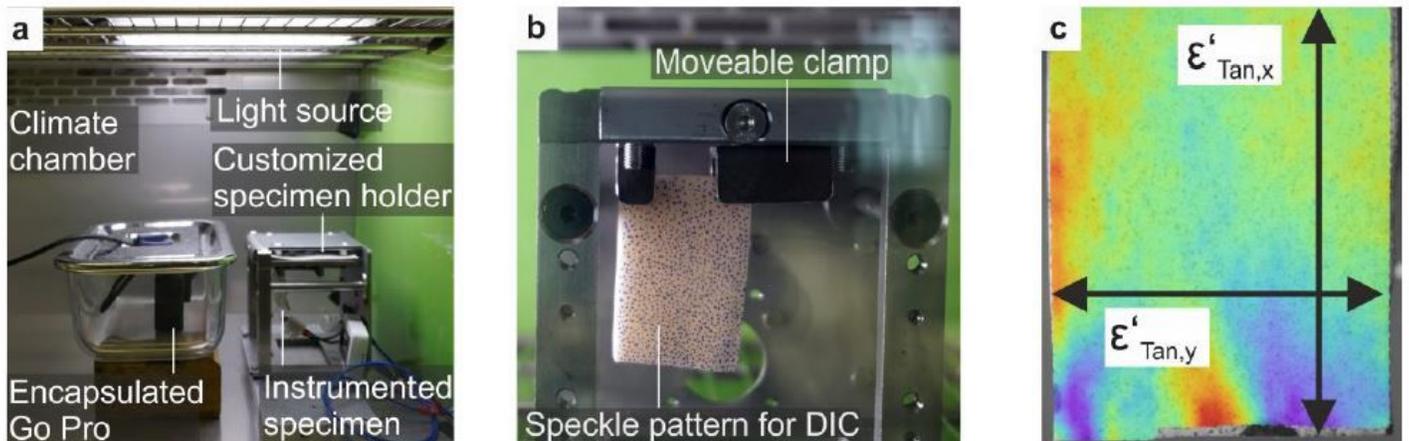


Figure 2

a Test setup for actuation experiments in alternating climate chamber (MKF 115, Binder, Tuttlingen, Germany); b Cottonid specimen with speckled surface for strain measurements via digital image correlation (DIC) mounted in customized specimen holder; c Strain measurements on specimen's surface via DIC parallel ($\epsilon'_{Tan,x}$) and perpendicular ($\epsilon'_{Tan,y}$) to cellulose micro fibril orientation

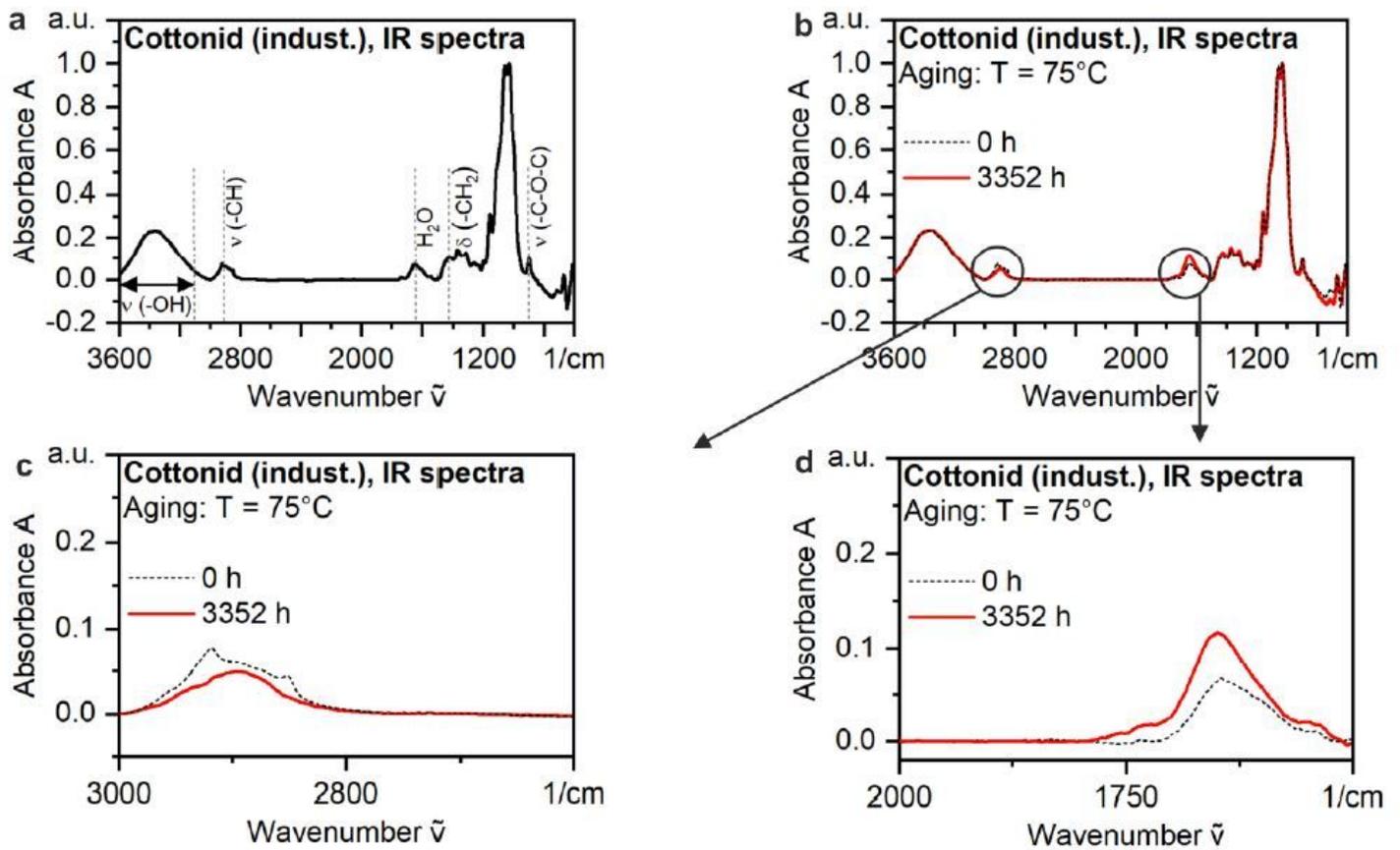


Figure 3

Infrared (IR) spectra of industrial Cottonid material (Ernst Krueger): a In initial condition with peak definition of raw material cellulose, and b Comparative evaluation of initial condition and after thermal aging at $\sim 75^\circ\text{C}$ for tagging = 3352 h; Peak evolution due to thermal aging: c Close-up at $\tilde{\nu} = 2.900 \text{ cm}^{-1}$, and d Close-up at $\tilde{\nu} \sim 1.750 \text{ cm}^{-1}$

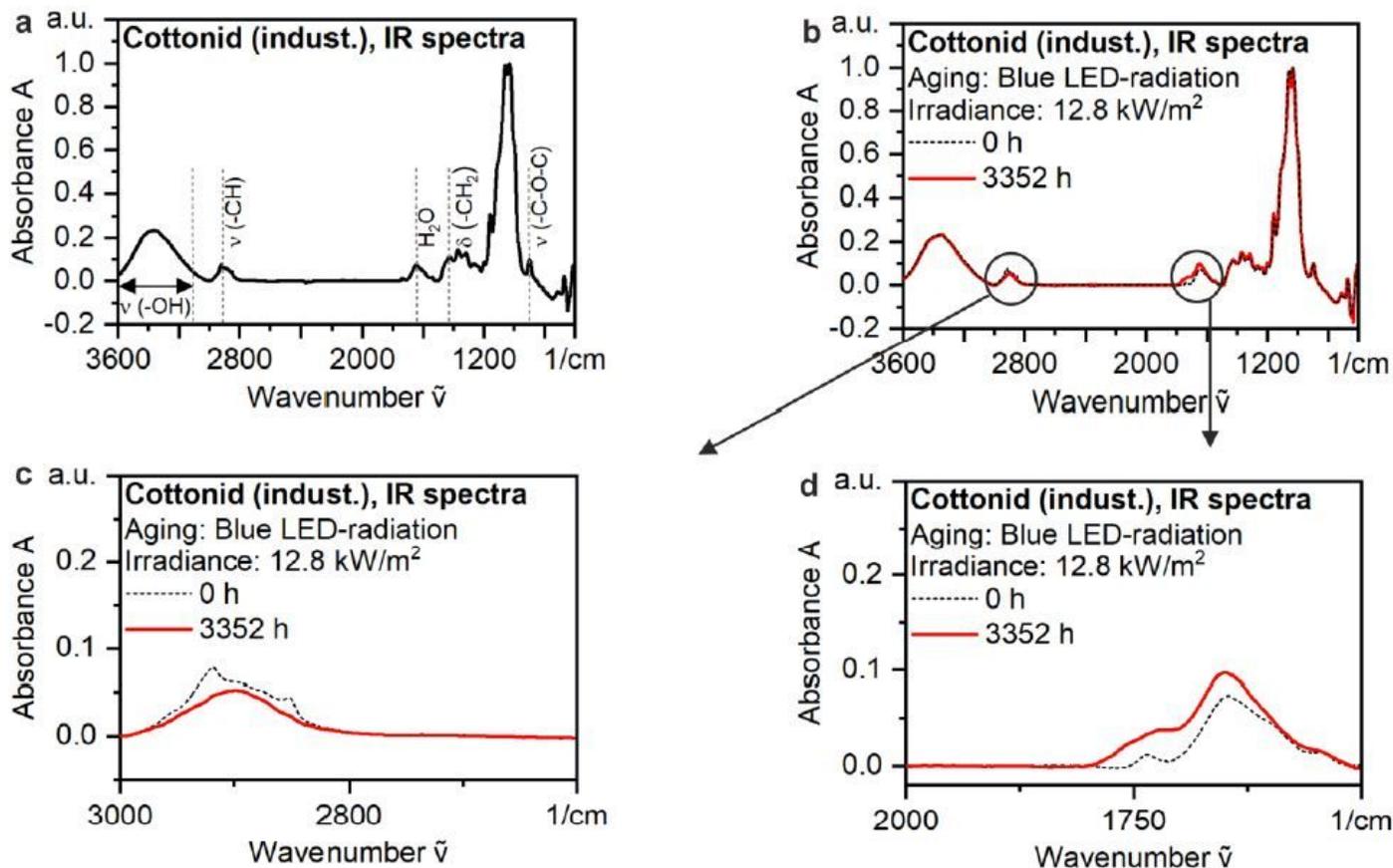


Figure 4

Infrared (IR) spectra of industrial Cottonid material (Ernst Krueger): a In initial condition with peak definition of raw material cellulose, and b Comparative evaluation of initial condition and after optical aging (blue LED-radiation, irradiance: 12,8 kW/h) for taging = 3352 h; Peak evolution due to optical aging: c Close-up at $\tilde{\nu} = 2.900 \text{ cm}^{-1}$, and d Close-up at $\tilde{\nu} \sim 1.750 \text{ cm}^{-1}$

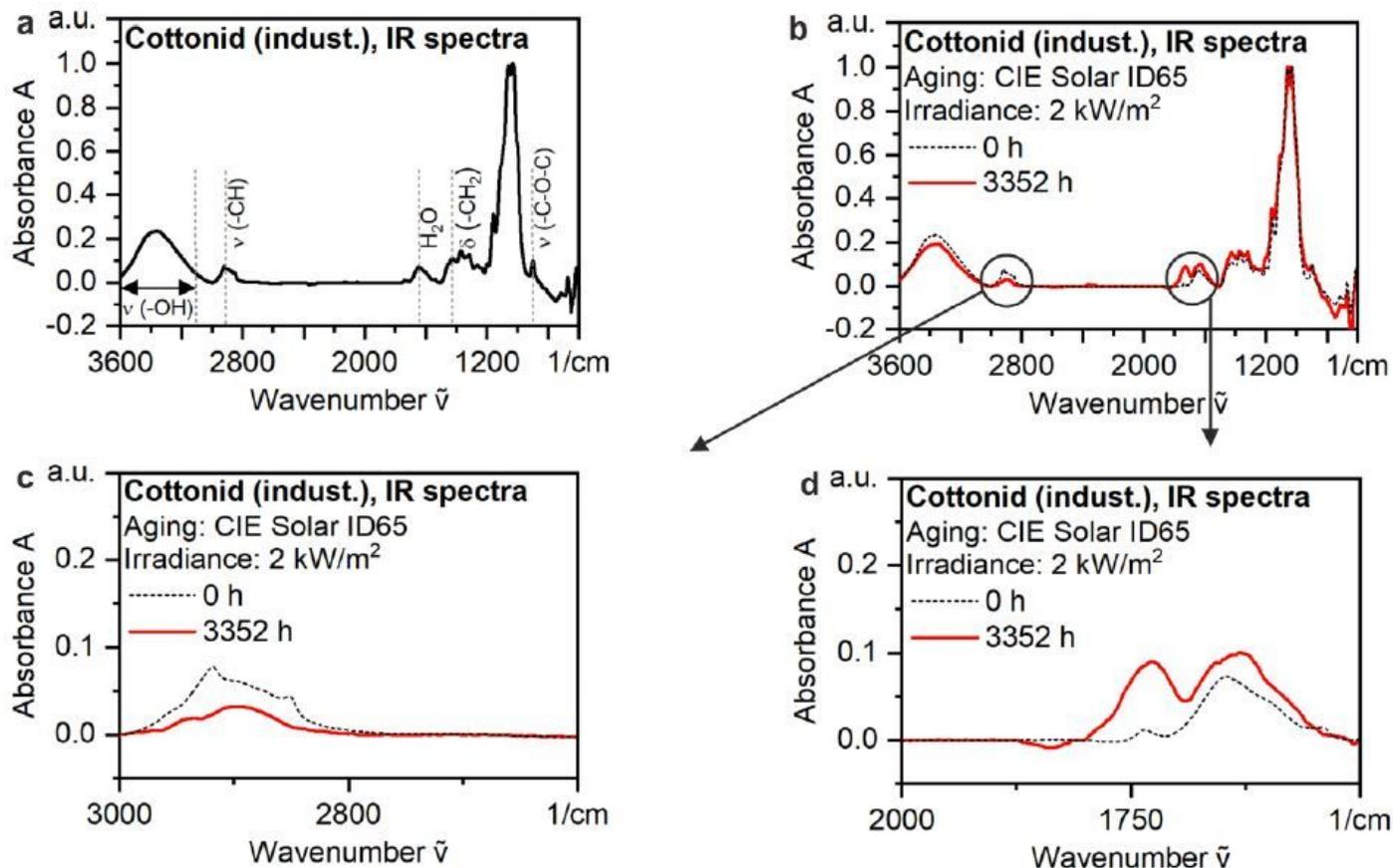


Figure 5

Infrared (IR) spectra of industrial Cottonid material (Ernst Krueger): a In initial condition with peak definition of raw material cellulose, and b Comparative evaluation of initial condition and after optical aging (CIE solar ID65, irradiance: 2 kW/h) for tagging = 3352 h; Peak evolution due to optical aging: c Close-up at $\tilde{\nu} = 2.900 \text{ cm}^{-1}$, and d Close-up at $\tilde{\nu} \sim 1.750 \text{ cm}^{-1}$

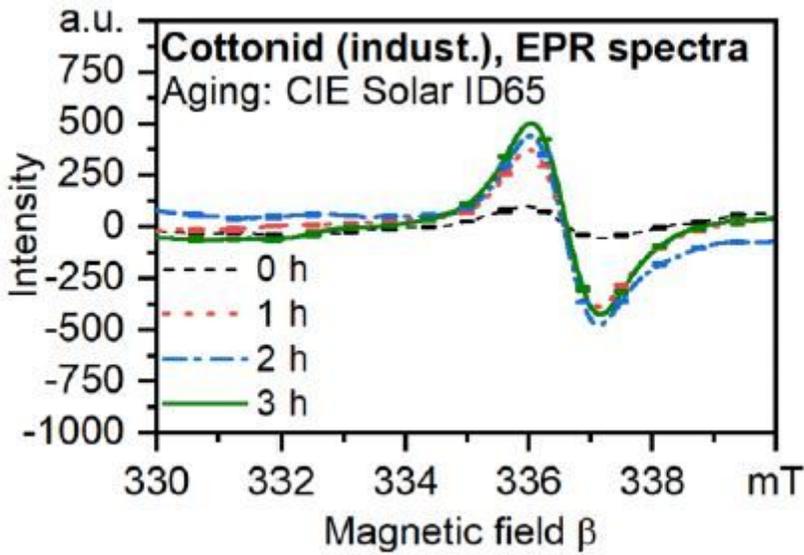


Figure 6

Electron paramagnetic resonance (EPR) spectra of industrial Cottonid material (Ernst Krueger) in initial condition and after aging with standardized artificial solar irradiation (CIE solar ID65, irradiance: 2 kW/h) for increasing aging times

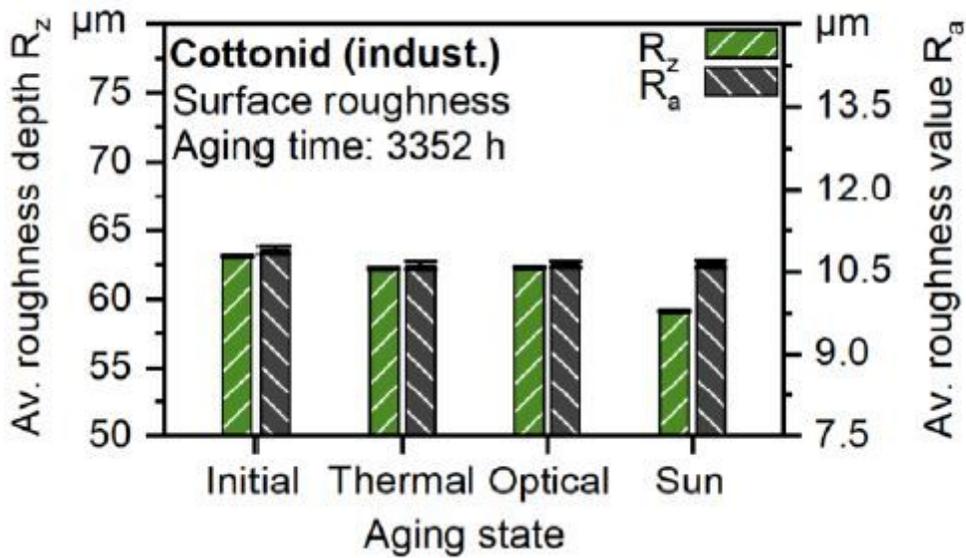


Figure 7

Roughness measurements on surface of industrial Cottonid material (Ernst Krueger) in different aging stages

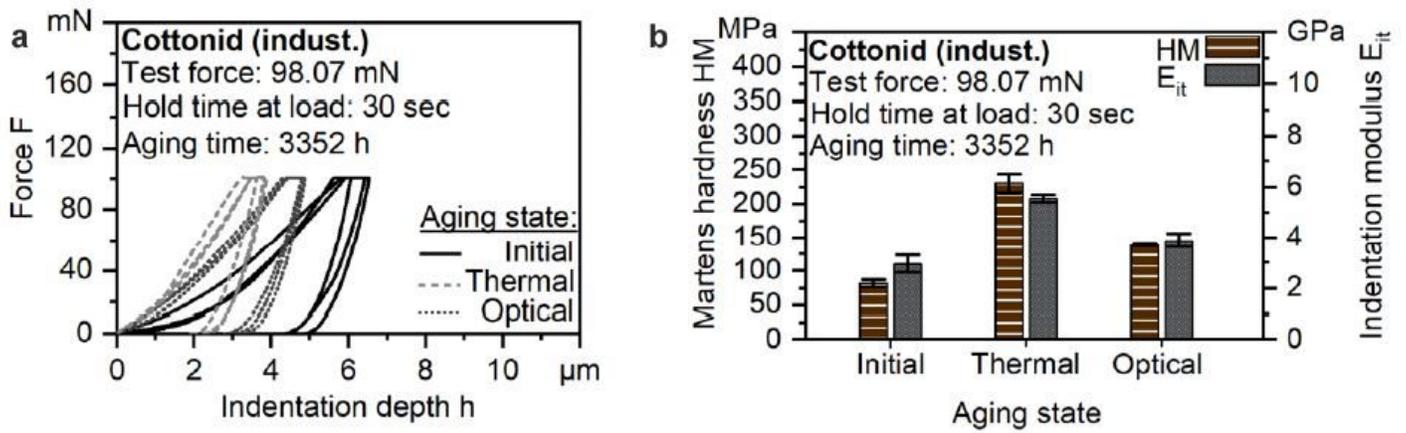


Figure 8

Ultra-micro-hardness measurements on surface of industrial Cottonid material (Ernst Krueger) in different aging stages: a Force (F) - indentation depth (h) curves, and b Obtained values for Martens hardness HM and indentation modulus E_{it}

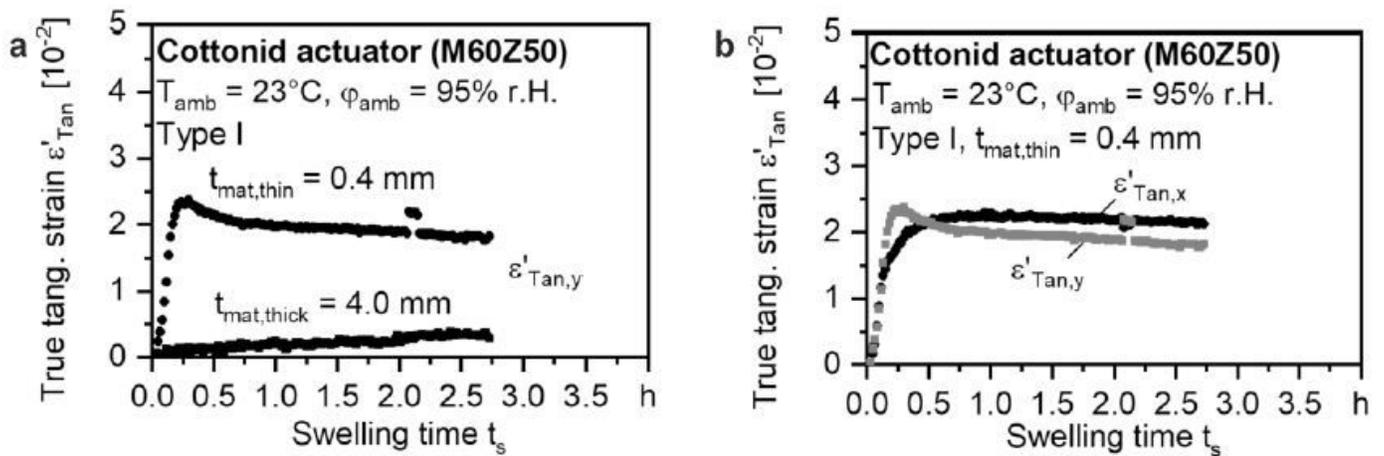


Figure 9

Actuation tests on structurally optimized Cottonid variant M60Z50: a Influence of cellulose micro fibril orientation, and b Influence of material thickness t_{mat}