

Preliminary Study of Ai-assisted Diagnosis Using FDG-PET/CT for Axillary Lymph Node Metastasis in Patients With Breast Cancer

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Original Research Article

Preliminary study of AI-assisted diagnosis using FDG-PET/CT for axillary lymph node metastasis in patients with breast cancer

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2

3 ABSTRACT

4 **Background:** To improve the diagnostic accuracy of axillary lymph node (LN) metastasis
5 in breast cancer patients using FDG-PET/CT, we constructed an artificial intelligence (AI)-
6 assisted diagnosis system that uses deep-learning technologies.

7 **Materials and Methods:** Two clinicians and the new AI system retrospectively analyzed
8 and diagnosed 414 axillae of 407 patients with biopsy-proven breast cancer who had
9 undergone FDG-PET/CT before a mastectomy or breast-conserving surgery with a sentinel
10 lymph node (LN) biopsy and/or axillary LN dissection. We designed and trained a deep 3D
11 convolutional neural network (CNN) as the AI model. The diagnoses from the clinicians
12 were blended with the diagnoses from the AI model to improve the diagnostic accuracy.

13 **Results:** Although the AI model did not outperform the clinicians, the diagnostic accuracies
14 of the clinicians were considerably improved by collaborating with the AI model: the two
15 clinicians' sensitivities of 59.8% and 57.4% increased to 68.6% and 64.2%, respectively,
16 whereas the clinicians' specificities of 99.0% and 99.5% remained unchanged.

17 **Conclusions:** It is expected that AI using deep-learning technologies will be useful in
18 diagnosing axillary LN metastasis using FDG-PET/CT. Even if the diagnostic performance
19 of AI is not better than that of clinicians, taking AI diagnoses into consideration may
20 positively impact the overall diagnostic accuracy.

21

22 **Keywords:** breast cancer, axillary lymph node, FDG-PET/CT, AI-assisted diagnosis, deep
23 convolutional neural network

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26

27 **Background**

28 Breast cancer has been reported as the most prevalent cancer among women in
29 western countries, and it causes the second greatest number of cancer-related deaths among
30 females [1]. The treatments and prognoses of breast cancer depend on several factors
31 including the size and grade of the tumor, the patient's endocrine (hormonal) receptor (ER)
32 status and human epidermal growth factor receptor 2 (HER2) status, axillary lymph node
33 (LN) involvement, and metastatic spread. Among these factors, the extent of axillary LN
34 metastasis is regarded as the most reliable predictor of survival in breast cancer [2]. A
35 determination of the patient's axillary nodal status before treatment can contribute to
36 management decisions and is thus significant.

37 The 'gold standard' for diagnosing axillary LN involvement is a pathological
38 examination of aspiration cytology, a sentinel LN biopsy (SLNB), and an axillary LN
39 dissection (ALND); however, these are invasive methods. In contrast, the utility of
40 noninvasive ^{18}F -fluorodeoxyglucose positron emission tomography/computed tomography
41 (FDG-PET/CT) for the diagnosis of axillary LN metastasis in patients with breast cancer has
42 been described by several research groups [3–9], one of which achieved a relatively low
43 pooled sensitivity value of 60% and a quite high pooled specificity value of 97% [8].

44 To improve the accuracy of diagnoses of axillary LN metastasis by clinicians using
45 FDG-PET/CT, recent artificial intelligence (AI) technologies are worthy of consideration.
46 Deep learning technologies, which typically use deep convolutional neural networks
47 (DCNNs), have been widely applied to the field of medical image analysis [10], including
48 FDG-PET/CT [11]. Although AI models trained with mass data can be competitive with
49 experienced clinicians in some applications, in most cases, AI cannot outperform clinicians.
50 This is due in part to the lack of well-annotated data. However, suboptimal AI models trained

51 with a limited amount of data may not necessarily be useless.

52 In this study, we examined the practicability of using deep-learning technologies to
53 improve the diagnosis of axillary LN metastasis with FDG-PET/CT for breast cancer
54 patients. We constructed an AI-assisted diagnosis system by developing a DCNN-based
55 diagnosis method and a collaboration method blending AI and clinicians' diagnoses. The
56 experimental results confirmed the effectiveness of the proposed AI-assisted diagnosis using
57 deep-learning technologies.

58

59 **Materials and Methods**

60 *Patients*

61 The appropriate review board at each institution approved this retrospective study, and the
62 requirement for patient-informed consent was waived. We collected the data of 410 female
63 patients with newly diagnosed invasive breast cancer who underwent pretreatment whole-
64 body FDG-PET/CT examinations before their surgery between September 2008 and
65 September 2019. We excluded three patients with other existing diseases (malignant
66 lymphoma, leukemia, and sarcoidosis). Seven patients had bilateral breast cancer, and thus
67 a final total of 414 index breast cancers in 407 patients (28–90 years; mean±SD 59.2±14.0
68 years) were included in the study. The patient and tumor characteristics are summarized in
69 Table 1. One hundred twenty-five patients (30.7%) underwent neoadjuvant chemotherapy
70 (NAC) and/or hormonal therapy before the surgery. For the NAC, anthracycline-containing
71 regimens, anthracycline followed by taxanes, or taxane-based regimens were administered.
72 Hormonal therapy was given to the patients with hormone receptor-positive breast cancer,
73 and the patients with HER2-positive breast cancer were treated with a trastuzumab-based
74 regimen.

75 The subtypes of the 414 tumors were luminal A (ER+/HER2-, Ki67 <20%) in 148
76 tumors (14.3%), luminal B (ER+/HER2-, Ki67 \geq 20%) in 120 (35.7%) tumors, luminal-
77 HER2 (ER+/HER2+) in 43 (10.4%) tumors, HER2-positive (non-luminal) in 43 (10.4%)
78 tumors, and triple-negative in 60 (14.5%) tumors. Regarding the tumor-node-metastasis
79 (TNM) stage, the tumors of 140 patients (33.8%) were stage I, those of 217 (52.4%) were
80 stage II, and those of the other 57 (13.8%) were stage III.

81 Among the 414 axillae, 204 (49.3%) were diagnosed pathologically as having axillary
82 LN metastasis. The axillary node metastasis was confirmed by the overall assessment of
83 aspiration cytology, SLNB, and ALND. Histopathologic characteristics were determined
84 based on the samples obtained by core needle biopsy and surgical resection findings.

85

86 ***FDG-PET/CT***

87 All FDG-PET/CT examinations were performed by using one of four PET/CT scanners: a
88 Gemini GXL (Philips Medical Systems, Eindhoven, The Netherlands) (n=283), Gemini TF
89 (Philips Medical Systems) (n=72), Ingenuity TF (Philips Medical Systems) (n=26), and
90 Discovery IQ5 (GE Healthcare, Waukesha, WI, USA) (n=26). The clinical parameters are
91 shown in Table 2.

92

93 ***Human diagnosis***

94 All FDG-PET/CT images were retrospectively reviewed by one experienced reader (12
95 years of experience with oncologic FDG-PET/CT; referred to as clinician A hereinafter) and
96 one reader (2 years of experience with oncologic FDG-PET/CT; referred to as clinician B
97 hereinafter), both of whom had no knowledge of the other imaging results or clinical and
98 histopathologic data other than the presence of breast cancer. Because several groups have

99 reported that the diagnostic performances of qualitative and quantitative assessments were
100 not significantly different [9,12,13], we used a qualitative assessment in this study. The
101 diagnostic certainty of assessing axillary LN metastasis was visually graded as 1 (definitely
102 absent), 2 (probably absent), 3 (indeterminate), 4 (probably present), and 5 (definitely
103 present). An LN was graded as 4 or 5 if it showed ^{18}F -FDG uptake greater than that of the
104 reference background. A non-elevated PET signal or one considered compatible with
105 physiological lymphatic uptake was rated as grade 1 or 2.

106

107 *AI diagnosis*

108 DCNNs, which have been the most popular AI model in recent years, have enabled
109 tremendous achievements in various medical image analysis tasks [14]. However, the task
110 in the present study is quite different from the previous tasks handled with DCNNs. In
111 general-diagnosis tasks of medical images, DCNNs are usually trained to distinguish
112 abnormality from normality by recognizing one specific type of lesion. In our present
113 investigation, the objects of interest are patients diagnosed as having breast cancer, which
114 requires the DCNN model to distinguish between breast cancer and axillary LN metastasis.
115 DCNN models are faced with a dilemma in such a task since breast cancer and axillary LN
116 metastasis have similar characteristics in terms of FDG uptake on PET images. In addition,
117 in CT images, the anatomical structures of breast cancer and axillary LN metastasis are
118 ambiguous to DCNN models without a human's technical knowledge. It is thus a challenging
119 task for DCNN models to diagnose axillary LN metastasis with PET/CT images.

120 To overcome this problem, we designed a deep 3D residual convolutional neural
121 network (CNN) equipped with an attention mechanism. The residual network is one of the
122 most significant CNN structures and has been considered to be generally effective [15]. A

123 3D CNN can analyze PET/CT images without a deficiency of spatial information, which
124 occurs with a general 2D CNN. The attention mechanism also enables the network to pay
125 closer attention to regions that are truly meaningful to diagnoses, i.e., the locations at which
126 the breast cancer and axillary LN metastases appear [16].

127 We constructed the network to perform a three-class classification: (1) no breast
128 cancer, (2) breast cancer but no axillary LN metastasis, and (3) axillary LN metastasis of
129 breast cancer. The network receives only the chest regions of the PET/CT images as inputs
130 rather than the whole-body PET/CT images. The PET image and the CT image are
131 concatenated as different channels to be fed into the network. One side of each PET/CT
132 image (left chest or right chest; separated by the central line) is regarded as one training
133 sample, which eliminates the need for healthy control subjects, since a side with no breast
134 cancer can be used as a healthy side. In this manner, a total of 814 samples were obtained
135 from the 407 patients with breast cancer: 400 normal samples, 210 breast cancer samples
136 with no axillary LN metastasis, and 204 axillary LN metastasis samples. The three-class
137 classification network was trained with the 814 samples.

138 Before the network was trained, the samples were normalized for more accurate and
139 faster processing by the network. The PET images were clipped by using a maximum
140 standardized uptake value (SUV_{max}) cutoff of 6, i.e., voxels with an SUV value >6 were
141 assigned 6, and then normalized to [0, 1]. Similarly, the CT images were clipped by a low
142 Hounsfield unit (HU) cutoff of -100 and a high HU cutoff of 200 and then normalized to [0,
143 1]. The cutoff values for the PET images and the CT images were determined by joint
144 empirical and experimental estimations.

145

146 *The AI-assisted diagnoses*

147 To use the AI model as an assistant, we blended the diagnoses from the AI model with the
 148 clinicians' diagnoses. Since the AI model is not as reliable as the clinicians due to the limited
 149 amount of training data, the blending was biased towards the clinicians. Specifically, the
 150 graded clinicians' diagnoses were first converted into diagnostic probabilities of having
 151 axillary LN metastasis according to the diagnostic certainty: grade 1 corresponds to 0%,
 152 grade 2 to 25%, grade 3 to 50%, grade 4 to 75%, and grade 5 to 100%. The diagnostic
 153 probability from the clinicians (which we refer to as $\square_{\square\square\square}$) was then blended with the
 154 diagnostic probability from the AI model (which we refer to as $\square_{\square\square}$) using a confidence
 155 weight $\alpha = \square\square\square (\square_{\square\square\square}, I - \square_{\square\square\square})$ as the following equation:

$$156 \quad \square_{\square\square\square\square\square} = \alpha \times \square_{\square\square\square} + (I - \alpha) \times \square_{\square\square}.$$

157 Finally, the blend diagnostic probability was converted back into the graded diagnosis in the
 158 following manner: probabilities of 0%–20% are regarded as grade 1, 21%–40% as grade 2,
 159 41%–60% as grade 3, 61%–80% as grade 4, and 81%–100% as grade 5.

160 Based on a generally valid assumption in the field of deep-learning that predictions
 161 with high confidence made by DCNN models tend to be more accurate than those with low
 162 confidence, we did not adopt diagnoses with relatively low confidence from the AI model
 163 for the AI-assisted diagnosis in this study. Here, 'confidence' denotes $\square\square\square (\square_{\square\square}, I - \square_{\square\square})$.
 164 To determine an appropriate confidence threshold, we studied the relationship between the
 165 threshold and the ratio of predictions with a confidence value larger than the threshold on
 166 the 414 samples with breast cancers. From Figure 1 illustrating the relationship, it can be
 167 seen that the ratio decreases slowly until the threshold increases to around 0.95, and then the
 168 ratio decreases much faster. We therefore chose 0.95 as the confidence threshold in this
 169 study.

170 In the AI-assisted diagnosis system, we can quantify how the AI assistance impacts a

171 clinician's diagnoses as follows. For diagnoses of grade 1 and grade 5, the AI assistance has
172 no effect since the confidence weight α is 1. For diagnoses of grade 2 and grade 4, the AI
173 model can either agree with the clinician and enhance the diagnostic certainty, i.e., modify
174 the grade to 1 or 5, or query the clinician's diagnosis and modify the grade to 3. For diagnoses
175 of grade 3, the AI model can help the clinician to make to some extent definite diagnoses
176 and modify the grade to 2 or 4. Note that these cases are limited to samples selected by the
177 confidence threshold. The AI diagnoses screened out by the threshold are not taken into
178 consideration, and thus the clinician's diagnoses are considered the final diagnoses for these
179 samples.

180

181 *Statistical analyses*

182 A five-fold cross-validation was conducted on the 407 patients. For the AI model, a receiver
183 operating characteristic (ROC) curve and an area under curve (AUC) value of the ROC curve
184 were calculated for evaluation since the diagnoses from the AI model are continuous
185 probabilities. However, the diagnoses from the two clinicians are of five grades so that it is
186 less meaningful to compare the ROC curves between the clinicians and the AI model. To
187 compare the performances of the AI model and the clinicians and evaluate the performance
188 of the AI-assisted diagnosis, we used sensitivity, specificity and accuracy as evaluation
189 metrics.

190

191 **Results**

192 The evaluations were performed mainly on the 414 samples of the half-chests with breast
193 cancers. The performances of the human (clinicians') diagnoses, AI diagnoses and AI-
194 assisted diagnoses are presented as follows. Some supplementary results are also provided

195 for further analysis.

196

197 *Human diagnoses*

198 In general, LNs graded as 4 and 5 are considered positive, and on the 414 samples, the side-
199 based sensitivity, specificity, and accuracy values of clinician A's reading for diagnosing
200 axillary LN metastasis were 59.8% (122/204), 99.0% (208/210) and 79.7% (330/414),
201 respectively. When including LNs of grade 3 as positive, the side-based sensitivity,
202 specificity and accuracy of clinician A's reading were 74.0% (151/204), 96.7% (203/210)
203 and 85.5% (354/414), respectively.

204 For clinician B, on the 414 samples, the side-based sensitivity, specificity, and
205 accuracy when grade 4 and 5 were considered positive were slightly lower than the results
206 of clinician A, at 57.4% (117/204), 99.5% (209/210), and 78.7% (326/414), respectively.
207 The side-based sensitivity, specificity, and accuracy when grades 3, 4, and 5 were considered
208 positive were 68.6% (140/204), 99.0% (208/210), and 84.1% (348/414), respectively.

209

210 *AI diagnosis*

211 For the 414 samples, the side-based AUC of the AI diagnosis for axillary LN metastasis was
212 0.868. The ROC curve is shown in Figure 2. The maximum Youden's index ($J = \text{sensitivity}$
213 $+ \text{specificity} - 1$) is marked on the curve. The side-based sensitivity, specificity, and
214 accuracy values at the maximum Youden's index were 73.5% (150/204), 89.0% (187/204),
215 and 81.4% (337/414), respectively.

216

217 *AI-assisted diagnosis*

218 Table 3 compares the performances of the human diagnoses and AI-assisted diagnoses for

219 axillary LN metastasis on the 414 samples. The AI-assisted diagnosis results were obtained
220 by the aforementioned blending method in which the diagnoses of grades 2, 3, and 4 from
221 the clinicians may be modified by the AI model. The side-based values of sensitivity,
222 specificity, and accuracy of the two clinicians with and without AI assistance under different
223 positive standards are listed in the Table to demonstrate the effect of AI assistance.

224 As shown in Table 3, when considering grades 4 and 5 as positive, the AI assistance
225 brought significant improvements in sensitivity and accuracy while keeping the extremely
226 high specificity value unchanged. The two clinicians' sensitivities were increased by 8.8%
227 and 6.8% and the accuracies were increased by 4.4% and 3.4%, respectively. These
228 improvements indicate that the AI assistance helped the clinicians make relatively accurate
229 diagnoses for the ambiguous samples graded as 3 by the clinicians. When considering only
230 grade 5 as positive, the diagnoses of the clinicians were also improved considerably by the
231 AI assistance in sensitivity (increased by 17.6% and 20.6% respectively) and accuracy
232 (increased by 8.4% and 10.1% respectively). The improvements were gained by enhancing
233 the diagnostic certainty with the AI assistance. However, when considering grades 3, 4, and
234 5 as positive, the AI assistance hardly affected the clinicians' performances. This result
235 implies that the AI model cannot accurately diagnose the positive samples graded as 2 by
236 the clinicians and cannot recognize more negative samples than the clinicians. As a whole,
237 according to Table 3, the effects of the AI assistance on the two clinicians were substantially
238 consistent.

239 Table 4 and Table 5 elaborate the effect of AI assistance on the diagnoses made by
240 the two clinicians, i.e., which grades the samples were considered by the clinicians and
241 reconsidered with AI assistance. Samples graded as 1 and 5 by the clinicians were not
242 included in the tables since grades of these samples were unaffected. In the tables, a number

243 marked by the asterisk '*' denotes diagnoses corrected by the AI assistance including (1)
244 false-positive and false-negative samples reconsidered as grade 3, and (2) samples of grade
245 3 reconsidered correctly as grade 2 or grade 4. In contrast, a number marked '**' denotes
246 mistakenly reconsidered diagnoses including (1) true-positive and true-negative samples
247 reconsidered as grade 3, and (2) grade 3 samples reconsidered mistakenly as grade 2 or grade
248 4. It is clear in Tables 4 and 5 that the major contribution of the AI assistance came from
249 helping the clinicians diagnose the ambiguous grade 3 samples.

250

251 *Supplementary results*

252 For a further evaluation the AI diagnoses and the AI-assisted diagnoses, some
253 supplementary results are provided as follows. First, we observed an effect of the various
254 PET/CT scanners on the diagnostic accuracy of the AI model. We divided the four PET/CT
255 scanners used in this study into two groups based on the imaging quality that they provide.
256 The Gemini GXL scanner (which has imaging quality inferior to the other scanners)
257 comprised one group, and the other three scanners comprised the other group. The side-
258 based ROC curves of AI diagnosis for the two groups are shown in Figure 3. The AUC
259 values of the two ROC curves were 0.887 for the Gemini GXL and 0.826 for the other
260 scanners. Our unexpected finding that the diagnoses obtained with the inferior scanner were
261 more accurate may be explained by the biased data. Since 283 examinations of the total 407
262 FDG-PET/CT examinations were performed using the Gemini GXL scanner, the training of
263 the AI model was biased toward the samples of the dominant scanner so that it
264 underperformed on the other samples.

265 Considering the different environments of the two sides of the chest, especially in
266 PET images, we also evaluated the AI diagnosis on each side. Figure 4 shows the side-based

267 ROC curves of which the AUC values were 0.891 (left side) and 0.852 (right side). The
268 results seemed again unexpected because the performance on the left side (in which the
269 uptake values in the heart region may produce a disturbance) were expected to be not better
270 than that on the right side. We do not have a plausible explanation for this result; moreover,
271 the results of 414 samples were not statistically meaningful enough.

272 The effect of AI assistance on the diagnostic performance depended on the
273 performance of AI diagnosis on samples graded as 2, 3, and 4 by the clinicians. The side-
274 based AUCs of the AI diagnoses on samples correctly graded as 2 or 4 by clinician A and
275 samples graded as 3 by clinician A were 0.923 and 0.903, respectively, which were clearly
276 better than the side-based AUCs for all 414 samples. These results explained why the AI
277 assistance improved the diagnostic performance.

278 Finally, we provide some results of 814 samples including both sides of the 407
279 patients in Table 6. With the introduction of the 400 negative samples without breast cancer,
280 the AI assistance showed a further contribution to specificity compared to the results
281 obtained with 414 samples.

282

283 **Discussion**

284 FDG-PET/CT can be a noninvasive means for diagnosing LN metastasis. It imposes less
285 burden on patients than invasive means such as SLNB and ALND. However, despite the
286 very high specificities (99.0% and 99.5%) of FDG-PET/CT observed in this study, the
287 sensitivities of the human diagnosis with FDG-PET/CT for axillary LN metastasis were
288 quite poor (59.8% and 57.4%). Similar results have been reported by other groups [3–9]. To
289 improve the sensitivity, we constructed an AI-assisted diagnosis system. In the system, an
290 AI model was trained to diagnose axillary LN metastasis with PET/CT images. The AI

291 model underperformed the two clinicians, whereas with a collaboration method, the AI
292 model helped the clinicians as an assistant to improve the diagnostic accuracy. Such
293 assistance may be promising in clinical applications of AI [17].

294 Our present findings demonstrated that the proposed AI-assisted diagnosis system
295 contributed mainly to diagnoses for ambiguous cases graded as 3 by the clinicians. As shown
296 in Tables 4 and 5, 24/34 and 15/24 samples of grade 3 were diagnosed correctly with the AI
297 assistance, whereas there were relatively small numbers of incorrect diagnoses at 3/34 and
298 4/24. For the grade 2 and grade 4 samples, the AI assistance could query the human
299 diagnoses, but it failed to improve the diagnostic accuracy.

300 On the other hand, the AI assistance also helped the clinicians enhance the diagnostic
301 certainty of their diagnoses of grades 2 and 4, which was confirmed by the results, but such
302 assistance may not truly affect the clinical diagnostic accuracy. For the grade 1 and grade 5
303 samples, we did not use the AI diagnosis because we observed that doing so reduced the
304 diagnostic accuracy. In short, our present results indicate that samples that the clinicians
305 mistakenly diagnosed were also difficult for the AI model—especially the numerous false
306 negatives.

307 Nevertheless, there were still some false-negative diagnoses that were made by the
308 clinicians and queried by the AI model. Figure 5 shows a false-negative sample diagnosed
309 by clinician A. The clinician gave grade 2, whereas the AI model gave a positive diagnosis.
310 As a result, the diagnosis was modified to grade 3 by the AI-assisted diagnosis system. The
311 patient whose case is illustrated in Figure 5 was a 67-year-old woman with a Luminal B
312 (HER2-negative)-type invasive ductal carcinoma (solid ductal cancer, ER 100%, PR 90%,
313 HER2 1+, Ki-67 20%, grade 1, T2N1M0, stage IIB) and ipsilateral axillary LN metastasis
314 diagnosed by aspiration cytology. After neoadjuvant chemotherapy, she received breast-

315 conserving surgery including an SLNB and ALND.

316 In light of the limited number of patients used to train the AI model in this study, a
317 larger contribution of AI assistance may be promising if a greater number of patients is made
318 available for training AI models. This is also implied by the results on the two scanner groups
319 shown in Figure 3. The performance of the AI diagnosis was much better for the group
320 examined with the Gemini GXL compared to the group examined by the Gemini TF,
321 Ingenuity TF or Discovery IQ5 due to the biased distribution of examination scanners. In
322 cases of well-distributed examination scanners, we speculate that the performance of the AI
323 diagnosis on the group of three scanners would not be worse than that for the Gemini GXL
324 since the former scanners have better imaging quality than the Gemini GXL.

325 Due to limited performances and some other issues [18], AI cannot replace human
326 clinicians completely in most clinical diagnoses. However, AI assistance can be useful in
327 saving clinicians' time and/or improving diagnostic performance [19]. In the present study,
328 the AI model which underperformed the clinicians showed an ability to diagnose cases that
329 the clinicians considered indeterminate, with an AUC value of 0.903. This performance was
330 even better than that on all of the samples, which indicates that the AI model has a different
331 perspective from clinicians for diagnoses or can perceive some minute details. Such AI
332 assistance may be desirable despite the difficulty in comprehensively interpreting how AI
333 models make diagnoses.

334 Our study has several limitations, including its retrospective design, which may limit
335 the generalization of the derived conclusions and may have caused statistical errors.
336 Moreover, although a node-by-node-based analysis is ideal, it was difficult to correlate any
337 given LN depicted by imaging with the same node in a dissection specimen. Therefore, the
338 correlation between imaging results and pathological findings based on a side may be more

339 reasonable for this type of study. In addition, as mentioned above, it was difficult to interpret
340 the inference process of the AI model, which may hinder the AI model from gaining more
341 trust. Although some approaches have been proposed to locate the regions that have the
342 greatest impacts on AI's decisions [20,21], we observed herein that the localization can
343 hardly be precise and thus gave poor hints. The best collaboration method between AI and
344 clinicians merits further consideration and should be validated on a larger dataset.

345

346 **Conclusion**

347 Although the AI model trained in this study cannot outperform clinicians, the proposed AI-
348 assisted diagnosis system can improve the diagnostic accuracy of human diagnosis mainly
349 by assisting in the diagnoses of indeterminate patients. However, for hard false negatives,
350 the AI model provides poor assistance. Future studies with more sufficient and well-
351 distributed data may be informative and further improve the diagnostic performance.

352

353 **Abbreviations**

354 ALND: axillary lymph node dissection

355 AUC: area under curve

356 DCNN: deep convolutional neural network

357 ER: endocrine receptor

358 FDG-PET/CT: ¹⁸F-fluorodeoxyglucose positron emission tomography/computed
359 tomography

360 HER2: human epidermal growth factor receptor 2

361 HU: Hounsfield unit

362 LN: lymph node

363 NAC: neoadjuvant chemotherapy

364 ROC: receiver operating characteristic

365 SLNB: sentinel lymph node biopsy

366 SUVmax: maximum standard uptake value

367 TNM: tumor-node-metastasis

368

369 **Ethics approval and consent to participate:** The ethics committees of the institutions
370 from which the patient population was drawn each provide approval for this study. The
371 requirement for patients' informed consent was waived in light of the retrospective nature
372 of the study.

373

374 **Consent for publication:** The requirement for patients' consent for publication was
375 waived in light of the retrospective nature of the study.

376

377 **Availability of data and material:** The corresponding author can be contacted for
378 requests regarding the data and material.

379

380 **Competing interests:** The authors declare that they have no competing interests.

381

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384

385 **Authors' contributions**

386 ZL was involved in the design of the study, designed and trained the AI model, analyzed the

387 results, and was a main contributor to the manuscript. K. Kitajima was involved in the design
388 of the study, collected and analyzed data, and was a main contributor to the manuscript. KH
389 and RT were involved in the design of the study, helped with the analyses, and critically
390 contributed to the manuscript. JT contributed to the data analysis. YM, K. Kudo, TO and
391 MH critically contributed to the manuscript and coordinated the study. All authors read and
392 approved the final manuscript.

393

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Table 1. Patient and tumor characteristics

	n	%
Total patients	407	
Age mean (range)	59.2 (28–90)	
Tumor location, right/left/bilateral	228/172/7	56.0%/42.3%/1.7%
NAC, yes/no	125/282	30.7%/69.3%
Total breast cancers	414	
Type of surgery		
Breast-conserving surgery	164	39.6%
Modified radical mastectomy	250	61.4%
Histology		
IDC	373	90.1%
Others (Myxoid/ILC/apocrine/metaplastic)	15/14/11/1	0.9%
Molecular phenotype		

Luminal A (ER+/HER2-, Ki67 <20%)	148	35.7%
Luminal B (ER+/HER2-, Ki67 ≥20%)	120	29.0%
Luminal-HER2 (ER+/HER2+)	43	10.4%
HER2-positive (non-luminal)	43	10.4%
Triple-negative	60	14.5%
Axillary lymph node metastasis		
Present	204	49.3%
Absent	210	50.7%
Diagnostic tool of axillary node		
SLNB	197	47.6%
ALND	12	2.9%
SLNB and ALND	59	14.3%
Aspiration cytology and ALND	60	14.5%
Aspiration cytology and SLNB	19	4.6%
Aspiration cytology, SLNB, and ALND	67	16.2%
TNM Stage (I/II/III)	140/217/57	33.8%/52.4%/13.8%

ALND: axillary lymph node dissection, ER: endocrine receptor, HER: human epidermal growth factor receptor, IDC: invasive ductal cancer, ILC: invasive lobular cancer, NAC: neoadjuvant chemotherapy, SLNB: sentinel lymph node biopsy, TNM: tumor-node-metastasis.

Table 2. Clinical parameters of PET/CT scanners

Scanner	Gemini GXL	Gemini TF64	IQ5	Ingenuity TF
Vendor	Philips	Philips	GE	Philips
CT scanning				
Tube voltage	120 kV	120 kV	120 kV	120 kV
Effective tube	current auto- mA up to 120 mA	100 mA	12~390 mA (Smart mA: Noise Index 25)	100 mA (variable by Dose Right)
Detector configuration	16×1.5 mm	64×0.625 mm	16×1.25 mm	64×0.625 mm
Slice thickness, mm	2	2	3.75	2
Transverse FOV, mm	600	600	700	600
PET scanning				
FDG injection dose, MBq/kg	4	3	3.7	3.7
Scan time for each bed, mm	90	90	180	90
TOF	no	yes	no	yes
PET reconstruction				
Reconstruction	LOR-RAMLA	3D-OSEM	3D- OSEM+PSF+ Q-clear	3D-OSEM
Iterations	2	3	4	3
Subsets	n/a	33	12	33
Smoothing	n/a	n/a	Gaussian	n/a
FWHM of filter, mm			5	
Matrix	144×144	144×144	192×192	144×144
Pixel size, mm	4×4×4	4×4×4	3.125×3.125×3. 125	4×4×4

FDG: fluorodeoxyglucose, FWHM: full-width at half maximum, LOR-RAMLA: line-of-response row-action maximum likelihood algorithm, OSEM: ordered-subset expectation maximization, PSF: point spread function, TOF: time of flight.

Table 3. The side-based sensitivity, specificity, and accuracy values of the human (clinicians') diagnoses and AI-assisted diagnoses on the 414 samples

Graded as positive	Clinicians with/without AI assistance	Sensitivity	Specificity	Accuracy
3, 4, 5	Clinician A w/o AI	74.0%	96.7%	85.5%
	Clinician A w/ AI	76.5%	94.3%	85.5%
	Clinician B w/o AI	68.6%	99.0%	84.1%
	Clinician B w/ AI	68.6%	99.0%	84.1%
4, 5	Clinician A w/o AI	59.8%	99.0%	79.7%
	Clinician A w/ AI	68.6%	99.0%	84.1%
	Clinician B w/o AI	57.4%	99.5%	78.7%
	Clinician B w/ AI	64.2%	99.5%	82.1%
5	Clinician A w/o AI	37.3%	100%	69.1%
	Clinician A w/ AI	54.9%	99.5%	77.5%
	Clinician B w/o AI	33.8%	100%	67.4%
	Clinician B w/ AI	54.4%	100%	77.5%

Table 4. Details of how the AI assistance affected the diagnoses made by clinician A

Regraded with AI assistance	Grade by clinician A					
	Grade 2 127		Grade 3 34		Grade 4 48	
	Positive 34	Negative 93	Positive 29	Negative 5	Positive 46	Negative 2
Grade 1	19	63				
Grade 2	7	22	3**	3*		
Grade 3	8*	8**	5	2	3**	
Grade 4			21*		7	1
Grade 5					36	1

Table 5. Details of how the AI assistance affected the diagnoses made by clinician B

Regraded with AI assistance	Grade by clinician B					
	Grade 2 12		Grade 3 24		Grade 4 49	
	Positive 8	Negative 4	Positive 23	Negative 1	Positive 48	Negative 1
Grade 1	2	2				
Grade 2	3	2	3**			
Grade 3	3*		5		1**	1*
Grade 4			15*	1**	5	
Grade 5					42	

Table 6. The side-based sensitivities, specificities and accuracies of human diagnosis and AI-assisted diagnosis on the 814 samples

Graded as positive	Clinicians with/without AI assistance	Sensitivity	Specificity	Accuracy
3, 4, 5	Clinician A w/o AI	74.0%	96.9%	91.2%
	Clinician A w/ AI	76.5%	97.0%	91.9%
4, 5	Clinician A w/o AI	59.8%	99.2%	89.3%
	Clinician A w/ AI	68.6%	99.3%	91.6%

Figure legends

Fig. 1. The relationship between the threshold and the ratio of predictions with a confidence value larger than the threshold on the 414 samples with breast cancers.

Fig. 2. The side-based ROC curve of the AI diagnosis for axillary LN metastasis on the 414 samples.

Fig. 3. The side-based ROC curves of AI diagnosis on samples of the two scanner groups.

Fig. 4. The side-based ROC curves of the AI diagnosis on two sides of the chest.

Fig. 5. A positive sample that clinician A graded as 2 (probably negative) and the AI model diagnosed as positive. **(a)** Maximum intensity projection (MIP) from FDG-PET. **(b)** Fused axial FDG-PET/CT showing moderate FDG uptake in the left breast tumor measuring 23 mm (*arrow*). **(c)** Axial FDG-PET. **(d)** Axial CT. **(e)** Fused FDG-PET/CT showing no abnormal FDG uptake in a left tiny (4-mm) axillary LN (*arrow*).

Figure 1

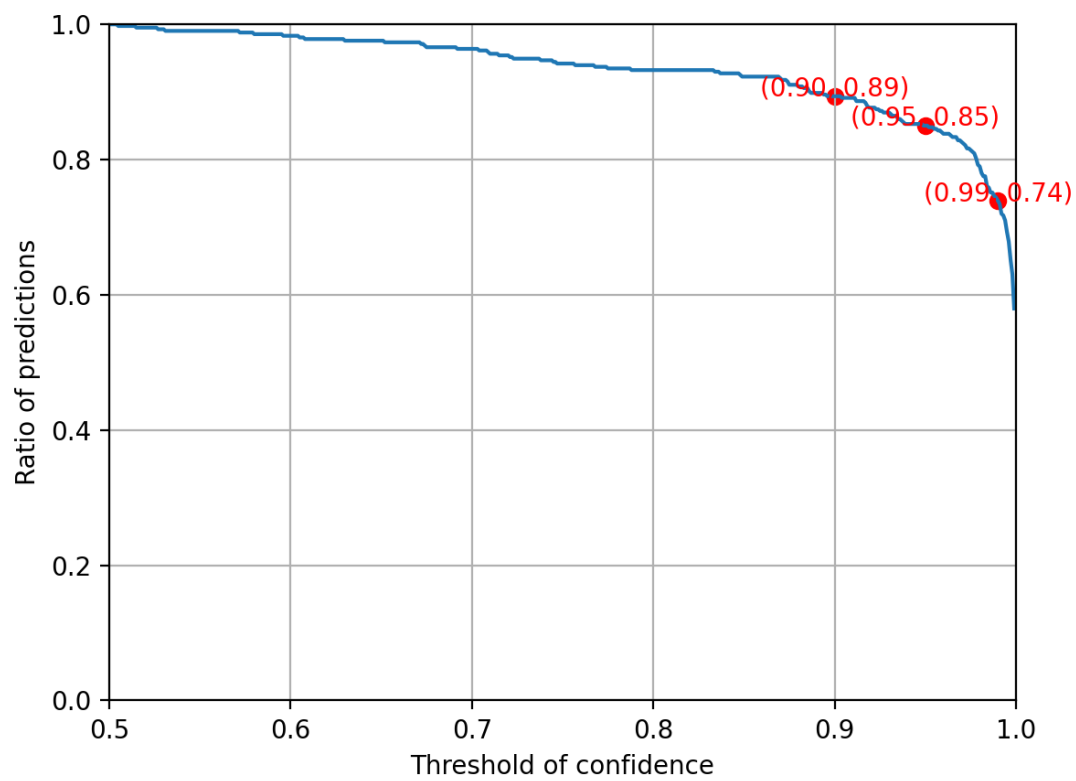


Figure 2

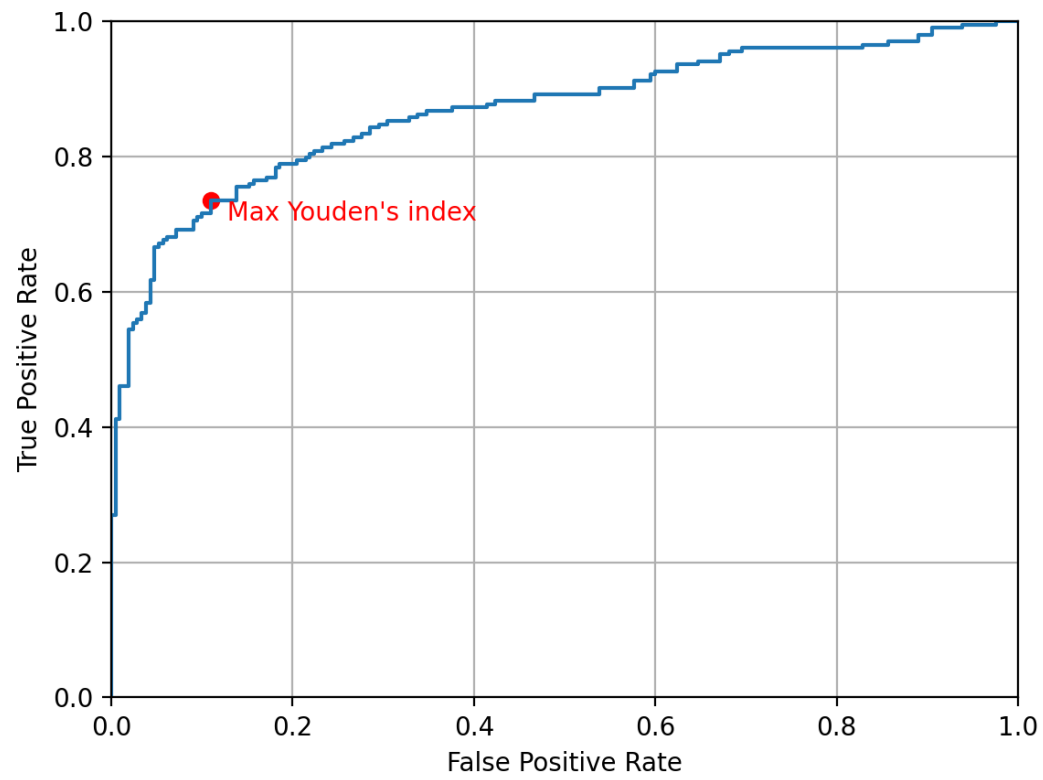


Figure 3

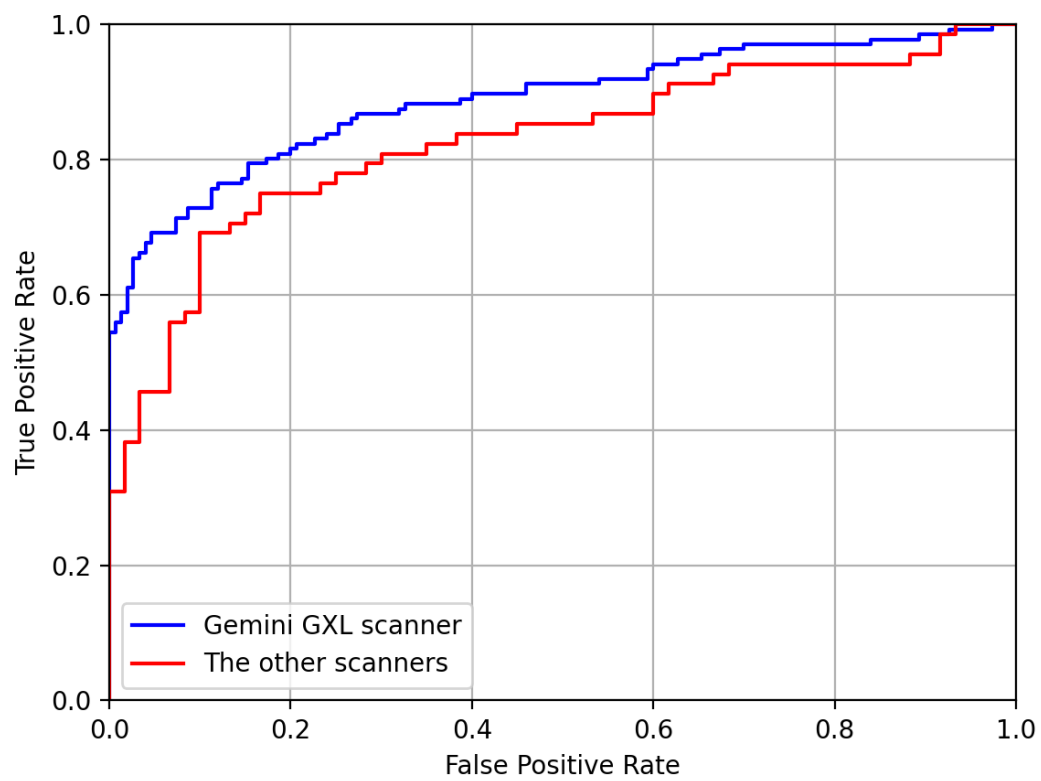


Figure 4

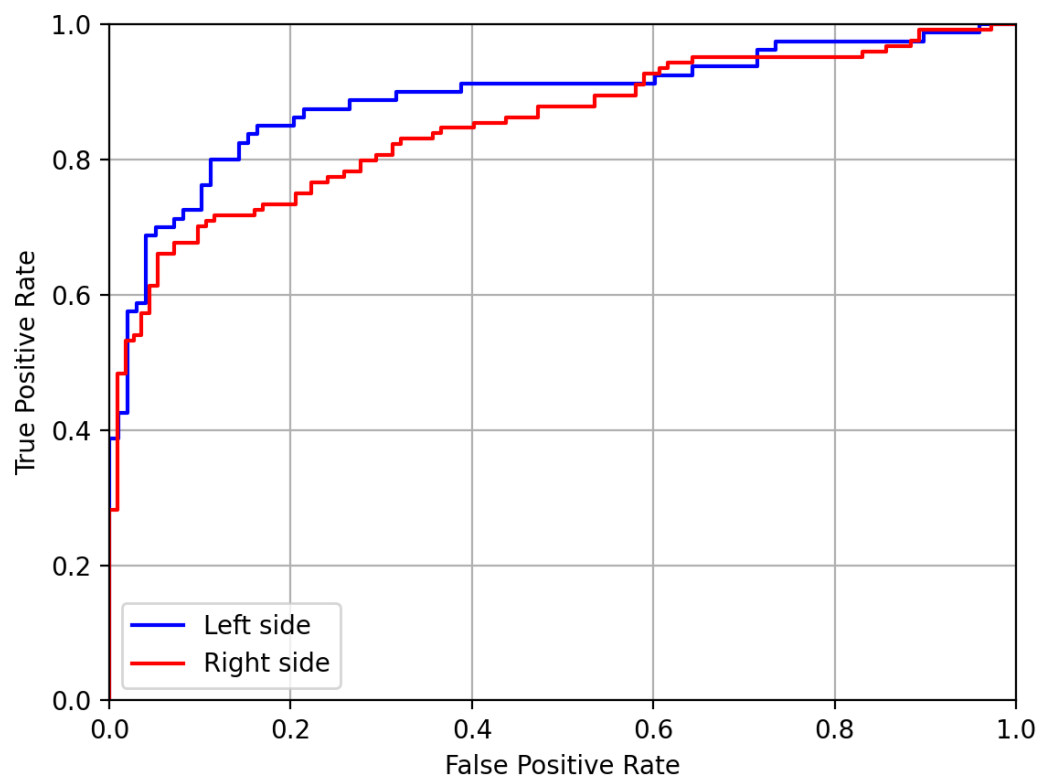
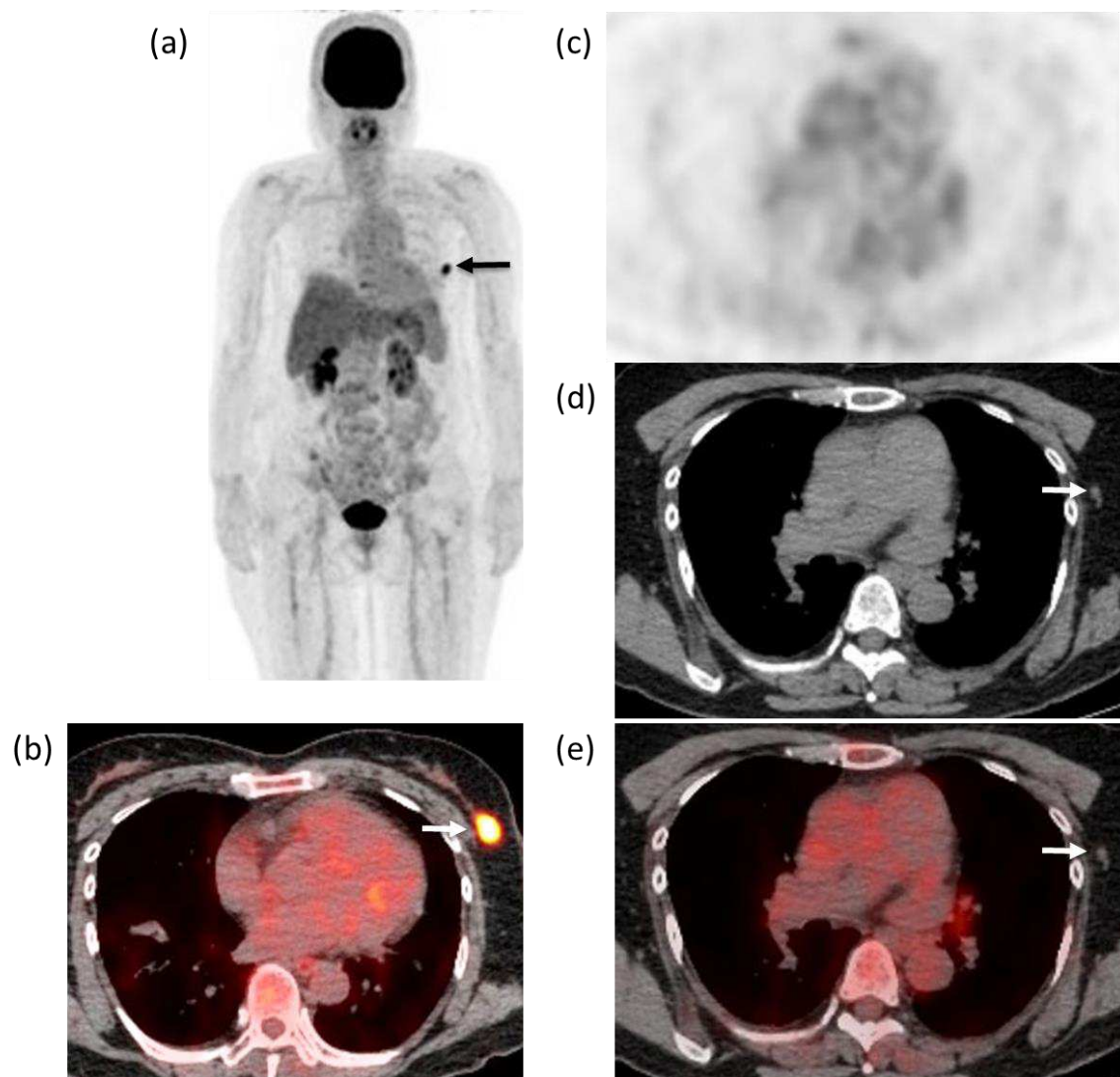


Figure 5



Figures

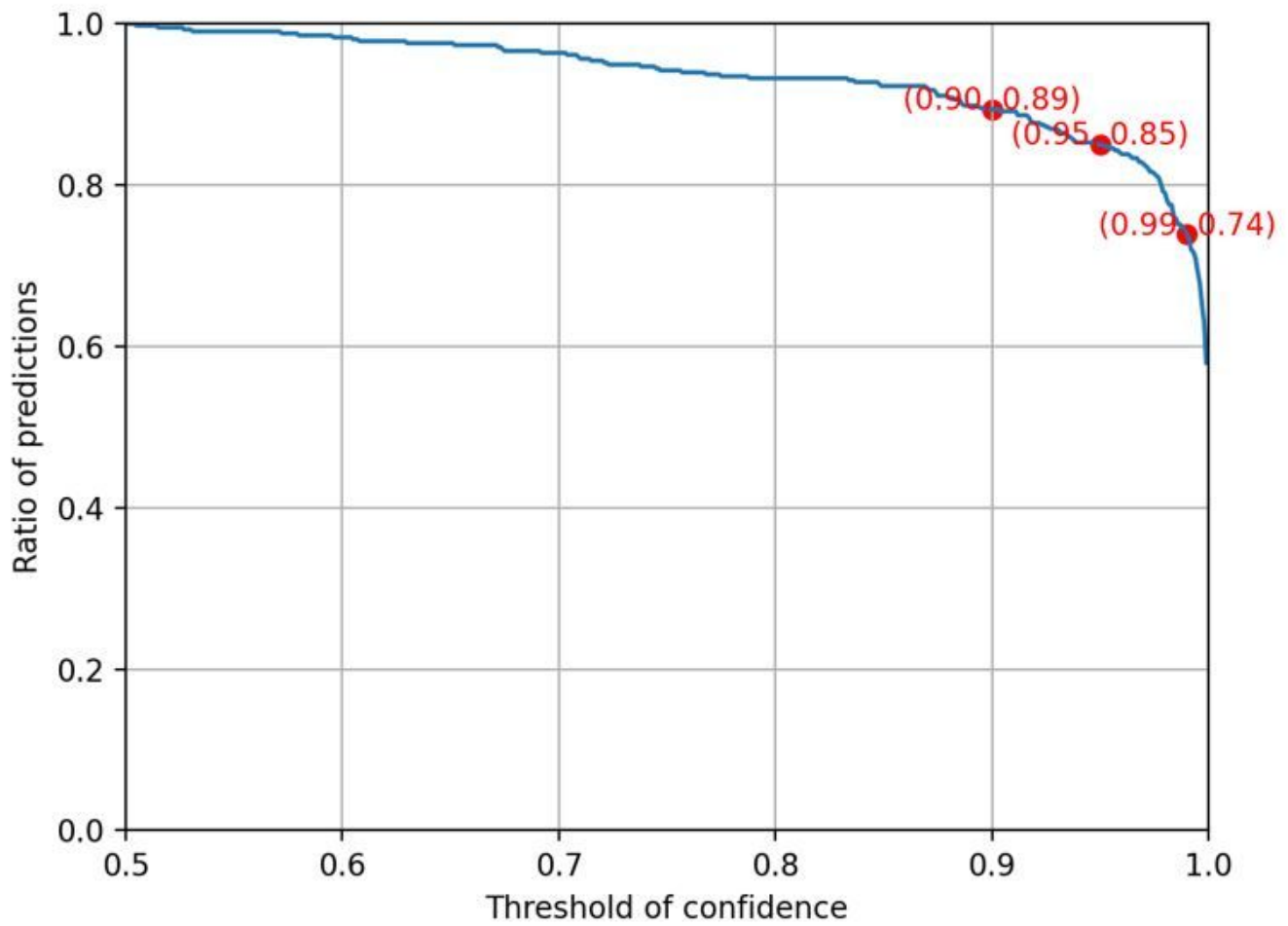


Figure 1

The relationship between the threshold and the ratio of predictions with a confidence value larger than the threshold on the 414 samples with breast cancers.

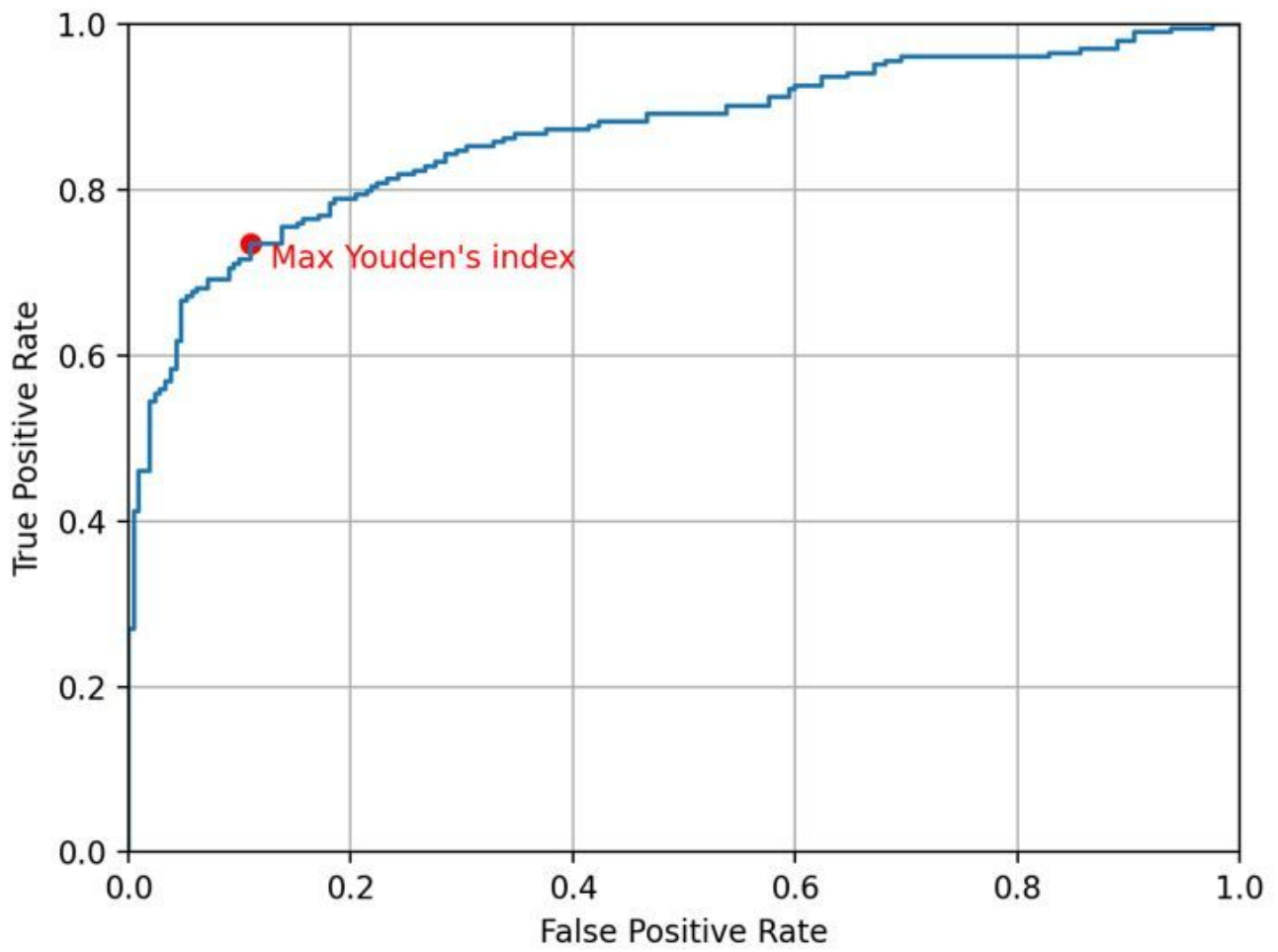


Figure 2

The side-based ROC curve of the AI diagnosis for axillary LN metastasis on the 414 samples.

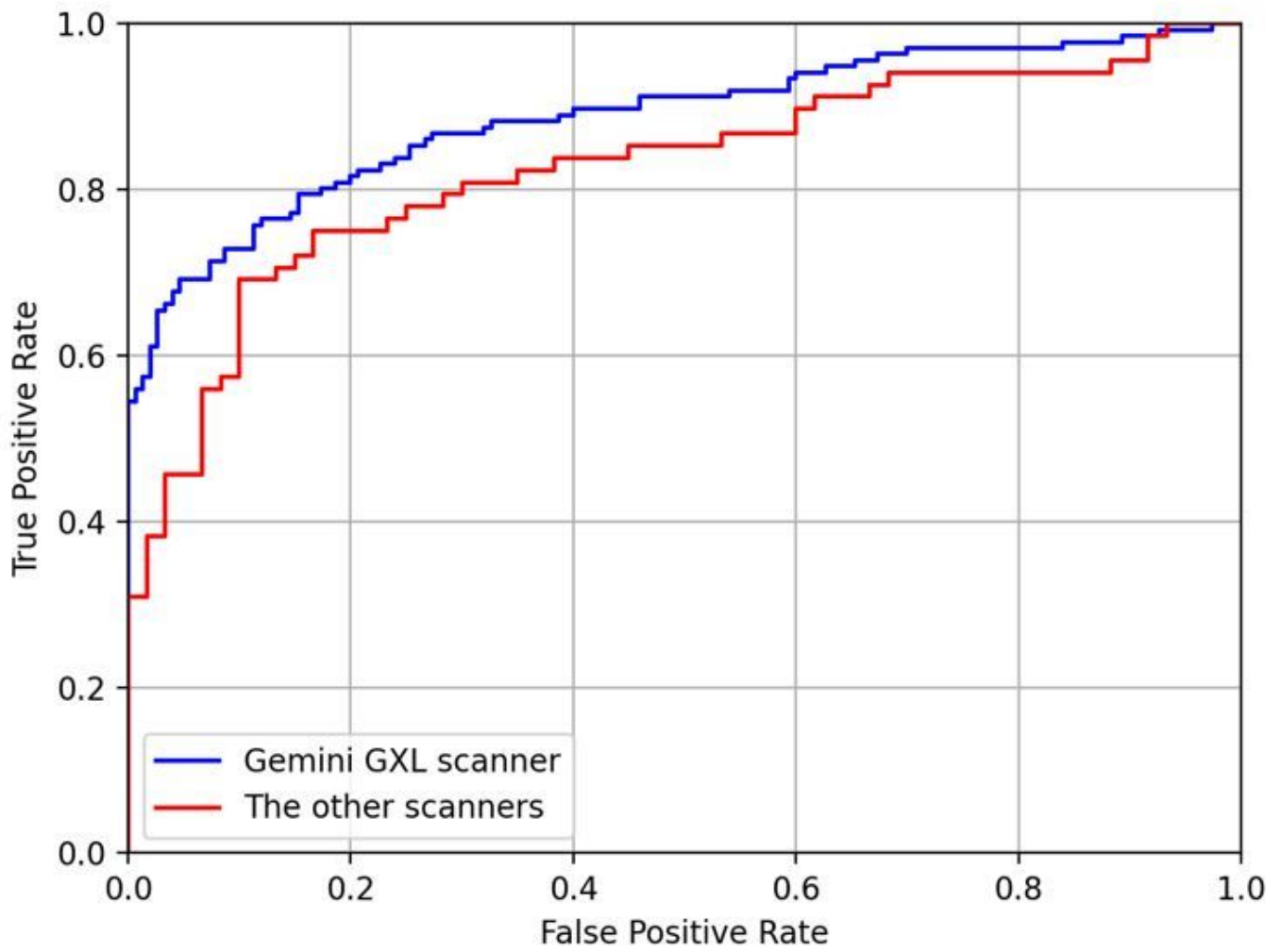


Figure 3

The side-based ROC curves of AI diagnosis on samples of the two scanner groups.

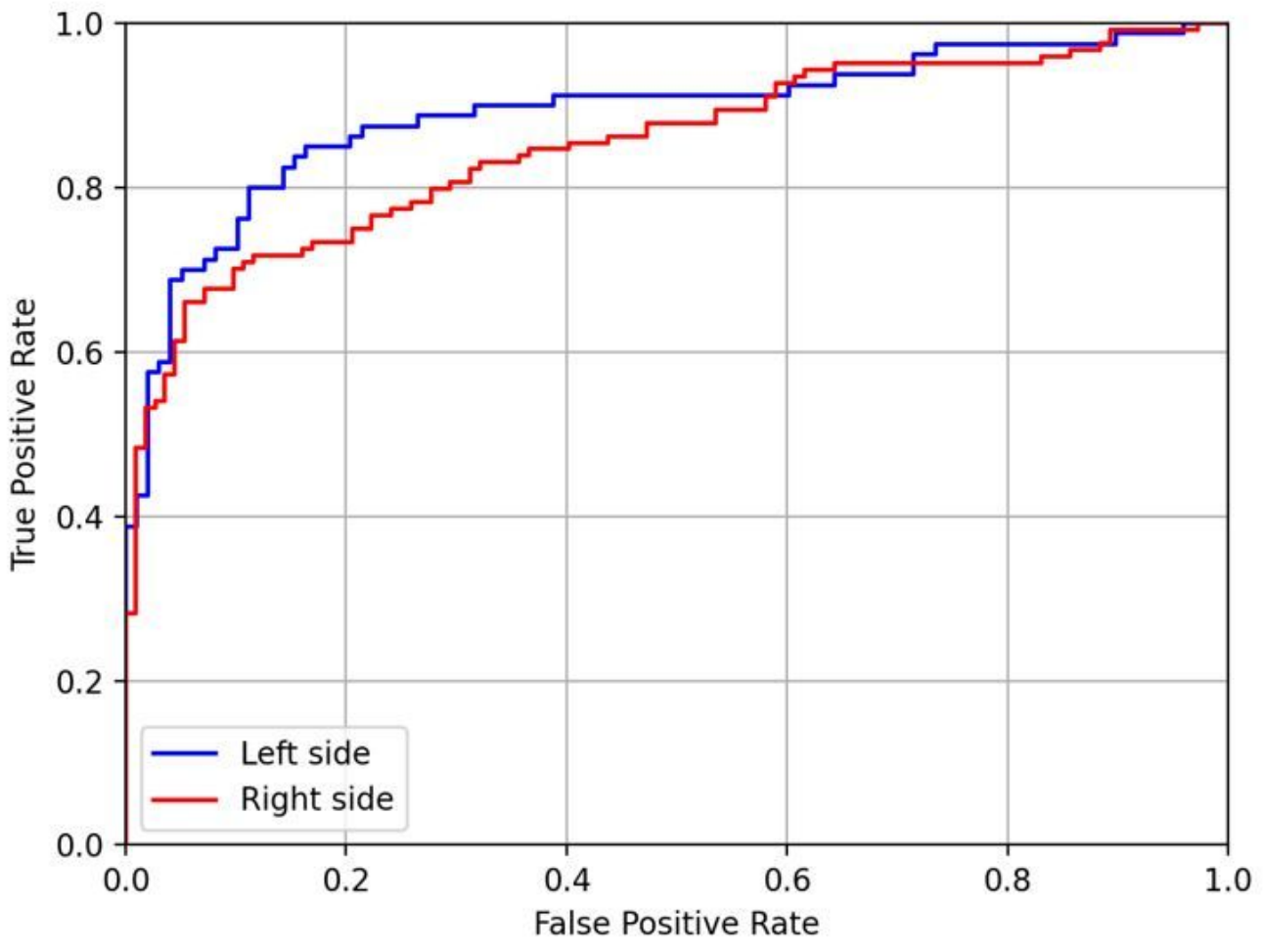


Figure 4

The side-based ROC curves of the AI diagnosis on two sides of the chest.

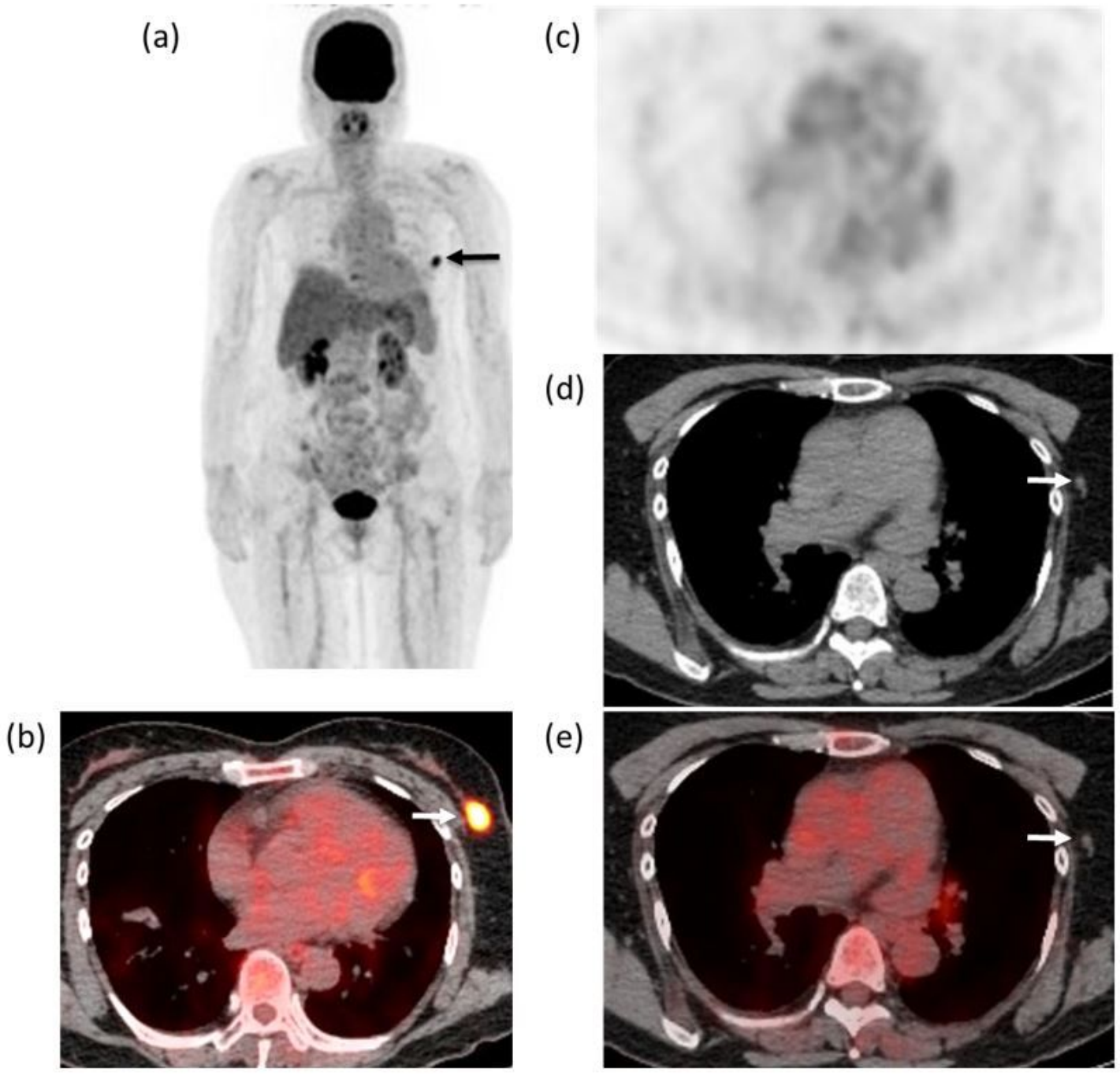


Figure 5

A positive sample that clinician A graded as 2 (probably negative) and the AI model diagnosed as positive. (a) Maximum intensity projection (MIP) from FDG-PET. (b) Fused axial FDG-PET/CT showing moderate FDG uptake in the left breast tumor measuring 23 mm (arrow). (c) Axial FDG-PET. (d) Axial CT. (e) Fused FDG-PET/CT showing no abnormal FDG uptake in a left tiny (4-mm) axillary LN (arrow).