

Preprints are preliminary reports that have not undergone peer review. They should not be considered conclusive, used to inform clinical practice, or referenced by the media as validated information.

# Numerical Simulation of Foundation Pit Dewatering Using Horizontal Seepage Reducing Body

Jianxiu Wang (🛛 wang\_jianxiu@163.com )

Tongji University

## Yanxia Long

Tongji University

## Yu Zhao

Tongji University

# Weiqiang Pan

Shanghai Tunnel Engineering Company Co.,Ltd

# Jianxun Qu

Shanghai Tunnel Engineering Company Co.,Ltd

## Hanmei Wang

Shanghai Institute of Geological Survey

## Yujin Shi

Shanghai Institute of Geological Survey

# Xiaotian Liu

Tongji University

# **Research Article**

**Keywords:** confined aquifer, foundation pit dewatering, vertical curtain, horizontal seepage reducing body (HSRB), three-dimensional numerical simulation, seepage mode

Posted Date: September 29th, 2021

DOI: https://doi.org/10.21203/rs.3.rs-936569/v1

License: (a) This work is licensed under a Creative Commons Attribution 4.0 International License. Read Full License

**Version of Record:** A version of this preprint was published at Scientific Reports on January 26th, 2022. See the published version at https://doi.org/10.1038/s41598-022-05348-y.

1	Numerical simulation of foundation pit dewatering using horizontal							
2	seepage reducing body							
3	Jianxiu Wang <sup>1,2,*</sup> , Yanxia Long <sup>1</sup> , Yu Zhao <sup>1</sup> , Xiaotian Liu <sup>1</sup> , Weiqiang Pan <sup>3</sup> , Jianxun Qu <sup>3</sup> ,							
4	Hanmei Wang <sup>4</sup> , Yujin Shi <sup>4</sup>							
5	<sup>1</sup> College of Civil Engineering, Tongji University, Shanghai, 200092, China							
6	<sup>2</sup> Key Laboratory of Geotechnical and Underground Engineering of Ministry of Education, Tongji							
7	University, Shanghai, China							
8	<sup>3</sup> Shanghai Tunnel Engineering Company Co.,Ltd., Shanghai 200082, China							
9	<sup>4</sup> Shanghai Institute of Geological Survey, Shanghai 200093, China							
10								
11	Corresponding author: *Jianxiu Wang, Department of Geotechnical Engineering, Tongji							
12	University, Shanghai 200092, China; wang_jianxiu@163.com; Tel: +86-13916185056,							
13	+86 21 65983036; Fax: +86 21 659851011							
14								
15								
16								
17								
18								
19								
20								
21								
22								

23	Abstract: Groundwater level has to be lowered during deep excavation. A vertical curtain is							
24	usually adopted to control the drawdown both inside and outside a foundation pit in a built-up area.							
25	However, the cost and working difficulty increases substantially with the increasing depth of vertical							
26	curtains. In the manuscript, a kind of man-made horizontal seepage reducing body (HSRB) was							
27	introduced to shorten the vertical curtain depth and control drawdown. With the No. 4 shat							
28	foundation pit of Guangyuan Project, Shanghai as background, HSRB was proposed in foundation							
29	pit dewatering. Microbially induced carbonate precipitation grouting technology was recommended							
30	to form an environment-friendly HSRB. Numerical method was used to simulate and understand							
31	the influence of position, thickness, and hydraulic conductivity of HSRB on groundwater level. The							
32	non-separated HSRB was better than the separate HSRB. Decreasing HSRB hydraulic conductivity							
33	was better than increasing HSRB depth. Four seepage modes are summarized considering vertical							
34	curtain penetration conditions into multi-aquifer, and the fifth seepage mode was formed for vertical							
35	curtain using man-made HSRB, which can be referred by similar engineering.							
36	Keywords: confined aquifer, foundation pit dewatering, vertical curtain, horizontal seepage							
37	reducing body (HSRB), three-dimensional numerical simulation, seepage mode							
38								
39								
40								
41								
42								
43								
44								

#### 45 **1 Introduction**

46 Coastal cities are often developed in economic because of convenient transportation. Urbanization 47 develops quickly. Underground space is developed to serve the development of the city. In the aspect 48 of engineering geology, engineering hydro-geology is important for a coastal city. Multi aquifer and 49 multi aquitard are often encountered during underground exploitation. How to deal with the multi-50 aquifer is significant for a deep excavation. Meanwhile, how to control the environment influence 51 of lowering ground water level is also important. The excavation depth continuously increased under 52 the urbanization demand, the required drawdown is increasing correspondingly. The contradiction 53 between the increasing drawdown and strict requirements of surrounding land subsidence, together 54 with groundwater resource protection, is also expanding. The majority of the accidents in foundation 55 pit are concerned with groundwater. How to control groundwater level effectively during excavation 56 has become a research hotspot (Cong et al., 2009; Wang et al., 2010; You et al., 2017; Cui, 2017; 57 Estanislao et al., 2017; Zhang et al., 2019; Li et al., 2021). The improper control of groundwater 58 in excavation and construction processes often leads to large ground deformation (Caldhead et al., 59 2011; Xu et al., 2012; Pujes et al., 2017), damage to surrounding buildings (Song et al., 2014; Tan 60 et al., 2018), quicksand and gushing (Zheng et al., 2016; Wu et al, 2018, 2019), and other engineering hazards. Field monitoring has indicated that the pumping and depressurization of 61 62 confined water are the main factors causing ground settlement in foundation pit engineering (Chen 63 et al., 2009; Ye et al., 2009). The influence range reaches 10 to 15 times of the excavation depth 64 (Gong et al., 2008). Therefore, groundwater control in excavation is necessary to ensure the safety 65 both for foundation pit and environment.



67	foundation pit (Fig. 1). Pumping is generally used and curtain is currently utilized to achieve
68	foundation pit dewatering (Ha et al., 2018; Wang et al., 2016, 2017). Vertical curtain is usually
69	used to cut off aquifers, decrease aquifer discharge section, change seepage direction, prolong
70	seepage path. Some studies have evaluated the dewatering effect of the insertion depth of vertical
71	curtain (Feng et al., 2013; Zhao et al., 2020; Li et al., 2017; Wu et al., 2019), pumping rate (Li et
72	al., 2020), hydraulic conductivity, and distance between pumping well and vertical curtain (Wang
73	et al., 2016, 2017). Wang et al. (2010) analyzed the mode and mechanism of the wall-well
74	interaction, four patterns including fully enclosed, flush, partially enclosed, and fully exposed types
75	are defined. The depth of vertical curtain penetrating into aquifer influence drawdown obviously.
76	The interaction between vertical curtain and pumping well should be considered in foundation pit
77	dewatering (i.e., wall-well interaction) (Wu et al., 2019). The application of the wall-well
78	interaction in different projects was also summarized (Wang et al., 2009, 2010, 2013, 2014).
79	However, the verticality of curtain, such as diaphragm wall, is difficult to be controlled precisely
80	when the depth of vertical curtain is too large. If the verticality is not controlled in a certain value,
81	the foot of two adjacent diaphragm wall splits leading to water leakage, which is dangerous for
82	groundwater control. The vertical curtain cannot cut off aquifers and cannot meet the strict
83	settlement control requirements of the surrounding environment when the aquifer is too deep. This
84	type of deep confined aquifers has led to the use of horizontal curtain (Liu, 2010). However,
85	horizontal curtain cannot avoid local leakage owing to complex hydrogeological conditions and
86	construction quality. Local leakage points result in water inrush.
87	In the manuscript, a kind of man-made horizontal aquiclude with lower permeability was introduced

88 in the dewatering system with vertical curtain. A kind of man-made horizontal seepage reducing

89	body (HSRB) was proposed. With the No. 4 shaft foundation pit of the Guangyuan Project, Shanghai
90	as background, HSRB was suggested to combine with vertical curtain to control groundwater
91	drawdown. Microbially induced carbonate precipitation (MICP) grouting technology was suggested
92	to form the HSRB. Finite difference method (FDM) was used to simulate the working mechanism
93	of HSRB. The position, thickness, and hydraulic conductivity of HSRB were analyzed, which can
94	be referred by similar projects.

95 **2 Material and methods** 

## 96 **2.1 Project overview**

97	The No. 4 shaft foundation pit (Fig. 2) of Guangyuan Project in Pudong New District, Shanghai is
98	located on Jihui Road of Gaoyan Institute, 97.1 m away from the West 220 kV high-voltage iron
99	tower, 12 m away from the east substation, and 8.5 m nearest to a two-story pump house. The
100	surrounding environment of the shaft was complicated. The foundation pit is a 55 m $ imes$ 50 m
101	rectangular in plane. The designed ground elevation is 4.5 m, while the pit excavation depth is 39.6
102	m. The foundation pit bottom was located in the silty clay of layer (5). The enclosure retaining
103	system composed of diaphragm wall, outer trench cutting re-mixing deep wall (TRD), and inner
104	support. Diaphragm wall was also used as vertical curtain for dewatering.

105 The 150-m depth layers of the site was composed of the Quaternary Holocene to Middle Pleistocene

- 106 sedimentary strata. The strata are divided into 10 main engineering geological layers (Fig. 2(b)).
- 107 The layer  $(5)_1$  and above layers were generalized as shallow soil layers.
- 108 The aquifers consisted of phreatic aquifer (shallow soil layers), micro confined aquifer (layer ⑤,)
- 109 confined aquifer I (layer (1)), confined aquifer II (layer (9)), and confined aquifer III (layer (11)).
- 110 The recommended hydraulic conductivity for each layer based on laboratory and in-site pumping

111 test are shown in **Table 1**.

- 112 The foundation pit bottom was mainly located in clayey soil. Dewatering schemes for each aquifer
- 113 under the pit were arranged as shown in **Table 2**.
- 114 An MICP man-made HSRB was suggested besides the vertical curtain consisted by diaphragm wall
- and TRD to reduce the influence on surrounding environment. MICP grouting technology was
- suggested to form the HSRB using bacillus pasteurella and cementing fluid (CaCl<sub>2</sub> solution, urea

117 solution).

#### 118 **2.2 Numerical modeling**

The hydrogeological conceptual model was translated into a mathematical model. The accuracy of the model was verified via model identification and verification. A three-dimensional unsteady flow continuity equation was established in anisotropic porous media:

122 
$$\begin{cases} \frac{\partial}{\partial x} \left( k_{xx} \frac{\partial h}{\partial x} \right) + \frac{\partial}{\partial y} \left( k_{yy} \frac{\partial h}{\partial y} \right) + \frac{\partial}{\partial z} \left( k_{zz} \frac{\partial h}{\partial z} \right) - W = \frac{E}{T} \frac{\partial h}{\partial t} \dots (x, y, z) \in \Omega \\ h(x, y, z, t) \Big|_{t=0} = h_0(x, y, z) \dots (x, y, z) \in \Omega \\ h(x, y, z, t) \Big|_{\Gamma_1} = h_1(x, y, z) \dots (x, y, z) \in \Gamma_1 \\ h(x, y, z, t) \Big|_{\Gamma_2} = h_2(x, y, z) \dots (x, y, z) \in \Gamma_2 \end{cases}$$
(1)

123 where 
$$E = \begin{cases} S & \text{Commed aquifer} \\ S_y & \text{Diving aquifer} \end{cases}$$
;  $T = \begin{cases} M & \text{Confined aquifer} \\ B & \text{Diving aquifer} \end{cases}$ ;  $S_s = \frac{S}{M}$ ; S is water

storage coefficient,  $S_y$  is water supply,  $S_s$  is water storage rate (1/m); M is unit thickness of the confined aquifer (m); B is saturated thickness of groundwater in the phreatic aquifer unit body (m);  $k_{xx}$ ,  $k_{yy}$ ,  $k_{zz}$  are the anisotropic principal direction hydraulic conductivities (m/d); h is head value of point (x, y, z) at time t (m); W is source and exchange items (1/d);  $h_0$  is initial head value of the calculation domain (m);  $h_1$  is value of the water head around the first boundary (m);  $h_2$  is water head value of the first boundary of the foundation pit (m); t is time 130 (d); and  $\Omega$  is computational domain;  $\Gamma_1$  is Dirichlet boundary;  $\Gamma_2$  is Neumann boundary.

With the shaft foundation pit as center, a 2000 m  $\times$  2000 m and 150 m deep modeling range was selected. The range was generalized into a 3D heterogeneous, horizontally isotropic, and unstable groundwater seepage system. The model was divided into 11 layers according to soil layer distribution. The ground elevation was taken as + 4.5 m (**Fig. 3**). The outer boundary was defined as constant water head boundary, and the bottom was set as impermeable boundary. Model hierarchy and its parameters are shown in **Table 1**.

137 For the shaft foundation pit was too deep, the confined aquifer II which was seldom concerned

- 138 previously had to be lowered. Although double vertical curtains were adopted, the layer (9) was
- 139 not cut off. MICP HSRB was suggested to control the drawdown which was discussed in another
- 140 manuscript\*. According to laboratory results, the hydraulic conductivity of the layer (9) was

141 decreased from  $2.1 \times 10^{-3}$  cm/s to  $1.9 \times 10^{-4}$  cm/s using the MICP technology.

- In working conditions, the influence of the position, thickness, and permeability of the horizontal curtain on the foundation pit dewatering was designed. The working conditions of numerical simulation is shown in **Table 3**.
- 145 FDM was used to solve the problem. The conjugate gradient method (PCG) was chosen to
- 146 simultaneously solve the algebraic equations. Groundwater level changes inside and outside the
- 147 foundation pit were simulated.

148 **3 Results** 

#### 149 **3.1 Results without HSRB**

In case 1, four wells were used to pump water simultaneously, and the pumping rate of the four
wells was 2950 m<sup>3</sup>/d. After continuous pumping for 6 days, the dynamic water level of the fourth

152	pumping well in layer $(a)_{22}$ of the pit decreased by 9.0 m, and the minimum drawdown in the
153	foundation pit was 5.56 m, which met the requirements of the foundation pit anti-gushing
154	calculation, and the drawdown in the layer $\textcircled{B}_{22}$ was 5.5 m (Fig. 4(a)). The change of the minimum
155	water level decrease with time in the pit is shown in Fig. 5. At this time, water level in the range of
156	600 m of layer $(B)_{22}$ outside the pit decreased by 4.70 m to 0.83 m. When the dewatering of layer
157	$(B)_{22}$ met the drawdown requirement (i.e., continuous pumping for 6 days), the drawdown of four
158	wells in layer $(9)$ in the pit was 12.0 m, and the minimum water level drawdown of layer $(9)$ in the
159	pit was 5.3 m, which met the requirement of 2.3 m drawdown of layer $(9)$ in the foundation pit anti-
160	gushing calculation (Fig. 4(b)). The variation of the minimum water level drawdown with time in
161	the pit is shown in Fig. 5(a). At this time, the water level within 600 m of layer (9) outside the pit
162	decreased by 4.70 m to 0.82 m, as shown in Fig.5(b).
163	As shown in Fig. 5, under the simultaneous action of four pumping wells, the water level of the
164	deep foundation pit of the No. 4 working well decreased rapidly in the first day, reaching 5.3 m.
165	However, the design drawdown meeting the anti-gushing calculation can be achieved on the sixth
166	day owing to the large permeability of the second confined aquifer in Shanghai and the large
167	pumping rate of the foundation pit. As shown in Fig. 6, the drawdown of the water level outside the
168	foundation pit of layer $\textcircled{B}_{22}$ and layer $\textcircled{9}$ coincided with the distance, and the change of the
169	drawdown curve can be divided into three areas. (1) Within the range of 0 to 150 m, the drawdown
170	gradient with the distance was large, and the change of water level was large. (2) Within the range
171	of 150 m to 300 m, the gradient of the drawdown with the distance began to decrease, and the change
172	of water level was slow and gradually transited to the slow area. Beyond the range of 300 m, the
173	drawdown gradient with distance was small, and the change of water level was small as well.

#### 174 **3.2 Influence of HSRB position on dewatering**

179

Before forming a horizontal curtain by using the MICP technology, the depth of the horizontal curtain setting should be determined. This set the top plate of the horizontal curtain at the equilibrium position of the water and soil pressure after the excavation of the foundation pit. The calculation formula is as follows:

$$\sum \gamma_i h_i \ge \gamma_s \gamma_w H, \qquad (2)$$

180 where  $\gamma_i$  is weight of each layer of soil (kN/m<sup>3</sup>);  $h_i$  is thickness of each layer (m); H is pressure 181 head height at the horizontal curtain (m);  $\gamma_w$  is water severity (kN/m<sup>3</sup>), take 10 kN/m<sup>3</sup>; and  $\gamma_s$  is 182 safety coefficient, take 1.05.

183 The buried depth of the horizontal curtain was 82 m, as calculated using formula (2).

According to the calculation results of working conditions 2 to 7, combined with the comparative analysis of the calculation results of condition 1, the influence of HSRB at different positions on deep foundation pit dewatering was studied. The thickness of HSRB was 4 m, and the hydraulic conductivity was  $5 \times 10^{-3}$  cm/s. According to the different positions of the horizontal curtain, the combination forms of the three-dimensional curtain were classified into inner-wrapping, flush, and separated types, as shown in **Table 4**.



196 gushing calculation was substantially shortened compared with that without horizontal curtain, 197 which was only approximately 28.8 min, and only 0.4% of that without horizontal curtain. For 198 several working conditions of the non-separated three-dimensional curtain, the position and depth 199 of horizontal curtain had minimal influence on the dewatering time of the deep foundation pit. 200 When the buried depths of the horizontal curtain were 88, 90, and 92 m (i.e., HSRB and vertical 201 curtain were separated and combined to form separate three-dimensional curtain), the times required 202 to reach the design drawdown were 0.35, 0.55, and 0.8 d, respectively. Compared with the non-203 separated three-dimensional curtain, the time to reach the design drawdown was increased. 204 However, the time to reach the design drawdown was also significantly shorter than that without 205 HSRB, which was only approximately 10% of that without HSRB. With the deepening of the buried 206 depth of the horizontal curtain, the time required for the foundation pit dewatering to reach the 207 design drawdown increased correspondingly.

Therefore, from the perspective of dewatering time, the effect of setting HSRB on the design drawdown of the foundation pit dewatering was significant, and the effect of the non-separation three-dimensional curtain was better than that of the separation three-dimensional curtain. The work efficiency of setting horizontal curtain was evidently higher than that without HSRB. In practical engineering, the construction period was substantially reduced, construction efficiency was considerably improved, construction nodes can be completed in advance, and good social and economic benefits will be achieved.

To significantly compare the influence of the different types of three-dimensional curtain on deep foundation pit dewatering, the non-separation and separation three-dimensional curtain were studied separately. Working conditions 2 to 4 were calculated to study the influence of the position of the non-separating horizontal curtain on the three-dimensional curtain–well group system. The minimum drawdown of the water levels in the pit of layers  $\circledast_{22}$  and  $\circledast$  are shown in Figs. 7(a) and 7(b), respectively. The drawdown time curve under the working conditions of 82, 84, and 86 m of the horizontal curtain had minimal difference. After 28.8 min of pumping well operation, the drawdown of the water level in the pit reached 5.6m of the design requirement.

Working conditions 4 to 6 were calculated to study the influence of the position of the separated horizontal curtain on the three-dimensional curtain–well group system, working conditions 4 to 6 were calculated. The minimum drawdown of the water levels in the pit of layers  $(B)_{22}$  and (g) are shown in **Figs. 7(c)** and **7(d)**, respectively. The time required to reach the design drawdown in the pit increased with the deepening of HSRB. Before reaching the design drawdown, the deeper HSRB was buried, the smaller the minimum drawdown in the foundation pit under the corresponding working conditions.

230 During the excavation of the deep foundation pit in Shanghai, the main purpose of extracting 231 groundwater from the deep second confined aquifer was to reduce the water head pressure at the 232 bottom of the pit and avoid the occurrence of sudden gushing at the bottom of the pit. However, the 233 decrease of the groundwater level leads to an increase of the effective self-weight stress of the layer 234 below the original water level, soil consolidation, ground settlement around the foundation pit, and 235 uneven settlement, inclination, and cracking of underground pipelines and surface buildings. 236 Therefore, effective measures should be implemented to reduce or even eliminate the impact of 237 foundation pit dewatering on the surrounding environment. The setting of vertical curtain relatively 238 reduced the impact of foundation pit dewatering on the surrounding environment. However, merely 239 setting a vertical curtain may not meet the requirements for engineering with strict requirements on

the surrounding environment. Hence, HSRB should be added to further eliminate the settlement of the pit bottom caused by foundation pit dewatering. Therefore, the influence of HSRB on groundwater level outside the pit must also be referred to evaluate the effect of the different HSRB position, thickness, and hydraulic conductivity on the three-dimensional curtain–well group system on the deep foundation pit dewatering engineering.

245 As shown in Figs. 8(a) and 8(b), the variation law of the drawdown distance curve outside the pit 246 of layers (8)22 and (9) under each working condition was consistent. Meanwhile, the drawdown 247 value and drawdown trend of the water levels outside the pit of layers  $\bigotimes_{22}$  and  $\bigotimes$  were basically 248 the same. Therefore, this study only analyzed the drawdown variation outside the pit of layer  $(B)_{22}$ 249 with distance. For the working condition of non-separated three-dimensional curtain, when the 250 HSRB buried depths were 82, 84, and 86 m, the drawdown distance curves of the three working 251 conditions were nearly coincidental. When the drawdown of water level in the pit reached the design 252 requirement of 5.52 m, the maximum drawdown of the water level outside the pit was 2.4 m, and 253the drawdown of the water level outside the pit was 0.5 m when the drawdown of the water level 254 outside the pit was 150m. For the non-separated three-dimensional curtain, the position of the HSRB 255in the three-dimensional curtain has evident minimal influence on the deep foundation pit 256 dewatering project, which can be disregarded.

For the working conditions of the separated curtain (i.e. when HSRB buried depths were 88, 90, and 92 m), the variation trend of the drawdown distance curve outside the pit under the three working conditions was consistent, and the curve slope was the same. That is, the drawdown rate of the water level outside the pit was the same with a decrease in distance. However, the drawdown of the water level outside the pit was different with the varying positions of HSRB. The deeper the HSRB was buried, the higher the drawdown of the water level outside the pit; and the greater the settlement of the ground outside the pit, the greater the impact on the environment. When HSRB depth was 88 m, the maximum drawdown of the water level outside the pit was 4.3 m and the maximum drawdown 400 m away from the pit was 0.84 m. When HSRB depth was 90 m, the maximum drawdown of the water level outside the pit was 4.5 m and the maximum drawdown 450 m away from the pit was 0.91 m. When the HSRB depth was 92 m, the maximum drawdown of the water level outside the pit was 4.6 m and the maximum drawdown 500 m away from the pit was 0.92 m.

In summary, for the non-separation type of three-dimensional curtain, the effects of the innerwrapped, flush, and transitional three-dimensional curtains on the deep foundation pit dewatering engineering are equivalent, and they are better than the effect of the separation type threedimensional curtain. In the separated three-dimensional curtain, the closer the horizontal curtain to the bottom of the vertical curtain, the better the effect. Therefore, the design form of non-separated three-dimensional curtain should be adopted in practical engineering.

#### 275 **3.3 Influence of HSRB thickness on dewatering**

276 From the analysis results of the influence of HSRB position on the three-dimensional curtain-well 277 group system, the effect of the non-separated HSRB was better than the separated horizontal curtain, and the influence of the HSRB position on the dewatering effect of the internal three-dimensional 278 279 curtain was minimally evident. Given that the MICP technology was used to form a horizontal 280 curtain, which involved the seepage of bacteria and cementation liquids in groundwater, to avoid 281 the impact of bacteria and cementation liquids used in MICP on the surrounding environment, 282 vertical curtain was used to control the MICP bacteria and cementation liquids within the scope of 283 the vertical curtain of foundation pit. Therefore, when the buried depth of the horizontal curtain roof was set at 82 m, the thickness of the MICP bacterial liquid infusion was not over 6 m, thereby reducing the impact on the surrounding environment.

If the pumping rate of 2950 m<sup>3</sup>/d was the same as that of conditions 2 to 7, and the horizontal curtain thickness was increased, then dewatering in the foundation pit rapidly reached the designed drawdown. Difficulty is encountered in analyzing the relationship between the drawdown of HSRB with different thickness and time. Therefore, the pumping rate of the pumping well should be reasonably reduced. Designing conditions 8, 9, and 2 were compared and analyzed to evaluate the influence of pumping rate of the pumping well on the dewatering of the deep foundation pit. Only the pumping rate was different under the three conditions, and other conditions were the same. The

## 293 specific parameters are shown in **Table 5**.

294 According to the numerical simulation results, the drawdown-to-time curves of the water level in 295 the pit under three conditions of the different pumping rates are shown in Figs. 9(a) and Fig. 9(b), 296 and the drawdown to distance curves outside the pit are shown in Figs. 10(a) and 10(b). As shown 297 in Figs. 9(a) and 9(b), when the pumping rate of the pumping well decreased from 2950  $m^3/d$  to 298  $2500 \text{ m}^3/\text{d}$ , the time required for the water level in the pit to reach the design drawdown increased. 299 When pumping rate was 2950  $m^3/d$ , 28.8 min was needed to reach the design drawdown. When 300 pumping rate was 2500 m<sup>3</sup>/d, 72 min was needed to reach the design drawdown. When pumping 301 rate was 2000 m<sup>3</sup>/d, 72 min was needed to reach the design drawdown. When pumping rate was 302  $2500 \text{ m}^3/\text{d}$ , 72 min was needed to reach the design drawdown. Lastly, when pumping rate was 2000 303  $m^3/d$ , 72 min was needed to reach the design drawdown.

As shown in **Figs. 10(a)** and **10(b)**, the drawdown value and drawdown trend of the water level

305 outside the pit of the two layers were the same. Therefore, only the variation of the drawdown of

306	the water level outside the pit of the two layers with the distance were analyzed. When pumping
307	rate was 2950 m <sup>3</sup> /d, the maximum drawdown was 2.3 m, reaching a stable drawdown of 0.48 m at
308	200 m away from the pit. When pumping rate was 2500 m <sup>3</sup> /d, the maximum drawdown was 2.5 m,
309	reaching a stable drawdown of 0.52 m at 200 m away from the pit. When pumping rate was 2000
310	m <sup>3</sup> /d, the maximum drawdown was 3.1 m, reaching a stable drawdown of 0.75 m at 300 m away
311	from the pit. With a decrease in pumping rate, the maximum drawdown of the water level outside
312	the pit increased, and the distance to reach the stable drawdown level also increased. That is, the
313	impact on the surrounding environment increased substantially.
314	In summary, different pumping rates have an impact on the effect of deep foundation pit dewatering.
315	The lower the pumping rate, the longer the time to reach the designed drawdown level and the larger
316	the drawdown and influence range of the water level outside the pit. Therefore, a high pumping rate
317	was beneficial to the deep foundation pit dewatering project, although a necessary action is to set a
318	reasonable pumping rate that considers the actual situation of the construction site.
319	To study the influence of HSRB thickness on the three-dimensional curtain-well group system, the
320	calculation of working conditions 8, 10, 11, and 12 was performed. Four pumping wells in each
321	working condition pump water at a pumping rate of 2500 $m^3/d$ . The buried depth of the horizontal
322	curtain roof was 82 m, and the horizontal curtain thicknesses in each working condition were 3, 4,
323	5, and 6 m. The three-dimensional curtain formed by the horizontal and vertical curtains was inner-
324	wrapped three-dimensional curtain, and the hydraulic conductivity was $5 \times 10^{-3}$ cm/s. The specific
325	parameters are shown in Table 6.
326	According to the numerical simulation results, the drawdown time curves of the water level in the

327 pit under four working conditions with different thicknesses of the HSRB are shown in Figs. 11(a)

328	and 11(b). Evidently, the variation law of the drawdown time curve in the two soil layers was
329	consistent. With the increase of the HSRB thickness, minimal time was needed to achieve the design
330	drawdown. Before reaching the design drawdown, the greater the thickness of HSRB, the greater
331	the drawdown of the water level in the foundation pit. When water level in the pit reached the
332	designed drawdown in layer $(B_{22})$ , drawdown in the pit in layer $(9)$ can reach at least 5.4 m, which
333	can meet the design drawdown of the anti-gushing calculation in layer $(9)$ . Therefore, the pumping
334	time only needed to meet the drawdown requirements of the water level in layer $(B_{22})$ . In case 8,
335	when HSRB thickness was 3 m and the drawdown in the pit met the design requirements, the
336	pumping well should work for approximately 0.15 d. In case 9, when HSRB thickness was 4 m and
337	the drawdown in the pit met the design requirements, the pumping well should work approximately
338	72 min. When the horizontal curtain thickness was 5 m in working condition 10 and drawdown in
339	the pit met the design requirements, the pumping well must work for approximately 36 min. When
340	HSRB thickness of working condition 11 was 6 m and drawdown in the pit met the design
341	requirements, the pumping well should work for approximately 22 min. When HSRB thickness was
342	3 m to 6 m, pumping time for the foundation pit dewatering to reach the design water level was
343	reduced by approximately 50% when the thickness was increased by 1 m. Accordingly, the pumping
344	efficiency approximately doubled.
345	The thicker the HSRB, the higher the working efficiency of the pumping well. However, with the

increase of thickness, the improvement range of the working efficiency of the pumping well decreased. Therefore, designing a three-dimensional curtain in an actual project entails comprehensive consideration of the effect and cost should be comprehensively considered to select the most appropriate horizontal thickness.

350	According to the numerical simulation results, the drawdown-distance curves of the four working
351	conditions with different HSRB thicknesses are shown in Figs. 12(a) and 12(b). The drawdown
352	value and trend of the drawdown rate of water level outside the pit were the same with layers $(B)_{22}$
353	and <sup>(9)</sup> . Evidently, this study only analyzed the drawdown variation of the water level outside the pit
354	with the distance in layer $(a)_{22}$ . The thicker the HSRB, the deeper the water level decreased at the
355	same distance outside the pit. The change trend of the drawdown-distance curve was consistent
356	under the four conditions, and the slope of the curve was the same. That is, the drawdown rate with
357	distance was the same. When HSRB thickness was 3 m, the maximum drawdown outside the pit
358	was 3.1 m, reaching a stable drawdown of 0.6 m at 350 m away from the pit. When HSRB thickness
359	was 4m, the maximum drawdown outside the pit was 2.5 m, reaching a stable drawdown of 0.52 m
360	at 250 m away from the pit. When HSRB thickness was 5 m, the maximum drawdown outside the
361	pit was 2.0 m, reaching a stable drawdown of 0.53 m at 200 m away from the pit. When HSRB
362	thickness was 6 m, the maximum drawdown outside the pit was 1.7 m, and the stable drawdown
363	was 0.51 m at 200 m away from the pit. When HSRB thickness increases from 3 m to 4 m, the lifting
364	effect was significant. Thereafter, with the increase of thickness, the curves begin to get closer with
365	the increase of thickness, and a trend of gradual coincidence was observed. That is, when the
366	horizontal curtain thickness relatively increased, increasing the horizontal curtain thickness will no
367	longer significantly improve the foundation pit dewatering effect.
368	Therefore, with an increase in HSRB thickness, the time required for the drawdown in the pit to
369	reach the design value was reduced, the maximum drawdown outside the pit was reduced, and the
370	influence range of drawdown outside the pit was reduced. When HSRB thickness was small, the
371	effect of increasing curtain thickness was considerably evident. When thickness increased to a

372 certain extent, the effect of increasing curtain thickness was minimally evident. Therefore, the effect
373 and cost should be comprehensively considered in the engineering design of three-dimensional
374 curtain, and the most appropriate horizontal curtain thickness must be selected.

375

#### 3.4 Influence of HSRB hydraulic conductivity on dewatering

According to the analysis results of the influence of the position and thickness of the horizontal seepage reducing curtain on the dewatering effect of the deep foundation pit of the threedimensional curtain-well group system, on the basis of the existing 86-m deep vertical curtain, adding an HSRB with the roof buried depth of 82 m and thickness of 6 m had the best effect on the control of dewatering period and the decline of the underground water level outside the pit in the

- 381 dewatering process of the deep foundation pit.
- Working conditions 13 to 15 and 12 were compared and analyzed to study the influence of hydraulic
- 383 conductivity of HSRB on deep foundation pit dewatering. Four pumping wells under four working
- 384 conditions were pumped at a pumping rate of 2500 m<sup>3</sup>/d, the buried depth of HSRB roof was 82 m,
- and HSRB thickness was 6 m. The form of the three-dimensional curtain formed by horizontal and
- 386 vertical curtains was inner-wrapped three-dimensional curtain. The hydraulic conductivities of

387 working conditions 13, 12, 14, and 15 were  $1 \times 10^{-2}$ ,  $5 \times 10^{-3}$ ,  $1 \times 10^{-4}$ , and  $5 \times 10^{-4}$  cm/s,

388 respectively, as shown in **Table 7**.

According to the results of the numerical simulation, drawdown-time curves of the water level in the pit under four conditions with different hydraulic conductivities are shown in **Figs. 13(a)** and **13(b)**, and the drawdown to distance curves outside the pit are shown in **Figs. 14(a)** and **14(b)**. As shown in **Figs. 13(a)** and **Fig. 13(b)**, with a decrease in the hydraulic conductivity of the HSRB, the

393 time required for the water level in the pit to reach the design drawdown decreased. When water

394	level in the pit reached the designed drawdown of layer $(a)_{22}$ , the drawdown of the water level in
395	the pit of layer $9$ can reach at least 5.5 m, which met the design drawdown of the surge calculation
396	of layer (9). Therefore, the pumping time only needed to meet the seepage reduction demand of
397	layer $(\otimes_{22})$ . When the hydraulic conductivity of HSRB was $1 \times 10^{-2}$ cm/s, 0.20 d was needed to
398	reach the design drawdown. When the hydraulic conductivity of HSRB was $5 \times 10^{-3}$ cm/s, 22 min
399	was needed to reach the design drawdown. When the hydraulic conductivity of HSRB was $1 \times 10^{-3}$
400	cm/s, the water level in the foundation pit decreased rapidly, reaching 9.3 m in 15 min. When the
401	hydraulic conductivity of HSRB was $5 \times 10^{-4}$ cm/s, the drawdown of water level in the foundation
402	pit was faster than that in working condition 14, reaching 11.1 m in 15 min. When the hydraulic
403	conductivity of HSRB was below $1 \times 10^{-3}$ cm/s, only 5% of the hydraulic conductivity of $1 \times 10^{-2}$
404	cm/s was needed to reach deeper drawdown. Therefore, dewatering time can be considered a
405	secondary factor, and drawdown outside the pit was mainly considered. That is, the impact of the
406	foundation pit dewatering on the environment outside the pit.
407	As shown in Figs. 14(a) and (b), the drawdown value and drawdown trend outside the pit of the
408	two layers were the same. With a decrease in the hydraulic conductivity of HSRB, the maximum
409	drawdown of the water level outside the pit was smaller, and the influence of foundation pit
410	dewatering on the outside of the pit became smaller. Therefore, only the variation of the drawdown

- 411 of water level outside the pit of layer  $(B)_{22}$  with the distance was analyzed. When the hydraulic 412 conductivity of HSRB was  $1 \times 10^{-2}$  cm/s, the maximum drawdown of the water level outside the pit 413 was 3.2 m, and stable drawdown of the water level was 0.67 m at 350 m away from the pit. When 414 the hydraulic conductivity of HSRB was  $5 \times 10^{-3}$  cm/s, the maximum drawdown of the water level
- 415 outside the pit was 1.7 m, and stable drawdown of the water level was 0.48 m at 150 m away from

416 the pit. When the hydraulic conductivity of HSRB was  $1 \times 10^{-3}$  cm/s, the maximum drawdown of 417 the water level outside the pit was 0.93 m, and stable drawdown of the water level was 0.42 m at 150 m away from the pit. When the hydraulic conductivity of HSRB was  $5 \times 10^{-4}$  cm/s, the 418 419 maximum drawdown of the water level outside the pit was 0.71 m, and the stable drawdown of the 420 water level was 0.42 m at 150 m away from the pit. With a decrease in hydraulic conductivity of 421 horizontal curtain, the curves of each working condition were increasingly closer, particularly when 422 the hydraulic conductivity of HSRB was below  $1 \times 10^{-3}$  cm/s. Accordingly, the curves began to 423 overlap, thereby indicating that the improvement effect of lowering the hydraulic conductivity when 424 it was below  $1 \times 10^{-3}$  cm/s was no longer evident. 425 Therefore, with a decrease in the hydraulic conductivity of HSRB, the time required for water level 426 in the pit to reach the design drawdown was reduced, the maximum drawdown outside the pit was 427 decreased, and the impact of foundation pit dewatering outside of the pit was reduced. When the hydraulic conductivity of HSRB was reduced from  $1 \times 10^{-2}$  cm/s to  $5 \times 10^{-3}$  cm/s, the improvement 428 429 effect was evident. However, when hydraulic conductivity of HSRB was reduced below  $1 \times 10^{-3}$ 430 cm/s, the improvement effect of further reduction of hydraulic conductivity on the drawdown and 431 influence range of drawdown outside the pit was no longer evident. Therefore, when using the MICP 432 technology to reduce the permeability of sand, blindly pursuing lower permeability is no longer 433 necessary.

434 **4 Discussions** 

#### 435 **4.1 Fifth foundation pit seepage modes**

436 In deep foundation pit dewatering, vertical curtains are often adopted in multi-aquifer and multi-

437 aquitard (MAMA) to control drawdown inside and outside pit. Wu et al. (2003) summarize three

438 seepage modes of foundation pit considering vertical penetration condition in MAMA. The seepage 439 mode outside curtain during portal and export dewatering for a shield machine in MAMA was 440 defined as the fourth seepage mode (**Wu et al. 2010**). The four seepage modes (**Fig. 15**) were based 441 on vertical curtain and the penetration conditions of MAMA (**Wu 2003; Wang et al. 2009; Xu et 442 al. 2014; Wu et al. 2015a, f; Zhang et al. 2015b**).

- (1) Mode I (Curtain penetrating shallow aquifers and partially penetrating bottom aquitard of the
   target aquifer of a MAMA)
- In the mode, vertical curtain cuts off all the target aquifers (should be dewatered) of MAMA. The
- bottom of the vertical curtain penetrated all shallow aquifers and partially penetrated the top aquitard of the lowest confined aquifer that should be dewatered. The side and bottom boundaries of the water flow were the cutoff wall and aquitard, respectively. Water level in the pit was lowered using pumping wells within the boundaries. Three sub-modes were defined according to the dewatered
- 450 aquifers.
- 451 Mode 1-1: The excavation face located in the phreatic aquifer and underlying confined water 452 pressure satisfied anti-gushing conditions, and vacuum well point, waterway, and shallow pumping 453 well were arranged to drain the aquifers.
- 454 Mode 1-2: The excavation face located in the phreatic aquifer and underlying confined water 455 pressure did not satisfy anti-gushing conditions, and pumping wells were arranged to drain the 456 phreatic aquifer and lower the water level of the confined aquifer.
- 457 Mode 1-3: The excavation face located in the confined aquifer, the top aquitard of the shallow
- 458 confined aquifer was excavated, and pumping wells were arranged to drain the phreatic aquifer,
- 459 shallow confined aquifers, and exposed confined aquifer.

460 (2) Mode II (Curtain penetrating shallow aquifers and partially penetrating top aquitard of a461 MAMA).

462 The vertical curtain penetrated the top aquitard of the deepest confined aquifer that should be 463 dewatered. Vertical curtain penetrated and cut off all shallow aquifers. However, no vertical curtain 464 penetrated the deepest confined aquifer that needed to lower water level. Three sub-modes were 465 defined according to the dewatered aquifer. Modes 2-1, 2-2, and 2-3 were the same as modes 1-1, 466 1-2, and 1-3 for shallow aquifers. Separated pumping wells had to be arranged in the deepest 467 confined aquifer inside or outside the pit to lower the water level. Seepage mode included the water 468 flow in shallow aquifers cut off by walls, underlying water flow in deep aquifer without cutoff walls, 469 and cross-flow between the shallow and deep aquifers.

470 (3) Mode III (Curtain penetrating shallow aquifers and partially penetrating deep target aquifers of471 a MAMA).

472 The cut off wall penetrated the shallow MAMA aquifers and partially penetrated deep confined 473 aquifer that should be dewatered. Cut off shallow aquifers can be divided into three sub-modes 474 similar to those defined in Modes I and II. According to the depth of the cut off wall and pumping 475 well filter tubes bottom, four pumping well arrangement patterns were formed for the underlying 476 partially penetrated curtain, including the (1) entire filter tube enveloped by curtain pattern, (2) filter 477 tube partially enveloped by curtain and part of the filter tube exposed a curtain pattern, and (3) all 478 filter tube exposed curtain pattern. Nine seepage modes were combined: Mode III-1i(i=1,2,3), Mode 479 III-2j(j=1,2,3), and Mode III-3k (k=1,2,3) in curtain pattern. Water flow occurred in cut off shallow 480 aquifers and partially cut off deep aquifers, together with the leakage and crossflow between the 481 shallow and deep aquifers.

482 (4) Mode IV (Pumping outside curtain and nearby shield tunnel type).

483 When a shield machine entered or left the portal or expose working pit, dewatering was occasionally 484 necessary to control water pressure and leakage of reinforced soil. Pumping wells were arranged 485 outside the cut off wall and near the shield machine and tunnel. The cutoff wall and tunnel influenced 486 the water flow as boundaries. The dewatering type was defined as Mode IV. 487 The current four seepage modes were used widely used. However, vertical curtain may be unable 488 to effectively cut off all confined aquifers of MAMA and achieve the designed dewatering effects 489 when the confined aquifer was considerably thick or buried substantially deep. The current study introduced a HSRB as man-made aquiclude to decrease hydraulic conductivity in deep confined 490 aquifer. The man-made HSRB belongs to a type of anti-seepage body formed by various 491

492 construction technologies at a certain depth of confined aquifers. Horizontal curtain was previously

493 used as a complete impermeable curtain, the seepage of which belongs to Mode I. Horizontal curtain

494 required advanced construction technology and cost was high. The man-made HSRB was formed

495 to improve the vertical water blocking effect rather than a water proof curtain. HSRB reduced the 496 hydraulic conductivity of aquifer soil by grouting and other techniques to weaken the hydraulic

497 connection inside and outside the pit. The conceptual model is shown in **Fig. 16**.

HSRB decreased the hydraulic conductivity of the soil by grouting in confined aquifer, formed an HSRB with certain thickness to reduce seepage in foundation pit dewatering, increased hydraulic gradient in the curtain body, and reduced outlet water pressure and effectively controlled seepage flow. Compared with the complete impervious curtain, this method was economical, simple, and easy to realize. Seepage occurred inside the body and bears substantial hydrodynamic force to decrease the outlet water pressure. 504 According to the relative position of HSRB and vertical curtain, the combination can be divided

- 505 into two categories: separated and non-separated. Non-separated can be sub-divided into three types:
- 506 inner-wrapped, transitional, and flush.

507 (1) Mode V-1(Separated): HSRB was separated from the suspension waterproof curtain, as shown

- 508 in Fig. 17(a). HSRB was equivalent to setting a certain area as man-made aquiclude in the aquifer
- 509 below the vertical curtain at certain depths. Mode was formed in the cases that the HSRB was deeper
- 510 than vertical curtain and formed after the vertical one.

511 (2) Mode V-2 (Inner-wrapped curtain): The HSRB was inside the vertical curtain, as shown in Fig.

- 512 **17(b)**, similar to a box that only allows a small amount of water to seep at the bottom. Given that
- 513 HSRB was completely within the vertical curtain range, when grouting technology was used to form
- 514 HSRB, vertical curtain can prevent grout from spreading into surrounding groundwater, thereby
- 515 reducing environmental pollution.

(3) Mode V-3 (Flush curtain): The top of the HSRB connected with the vertical curtain, as shown in Fig. 17(c). This three-dimensional curtain was approximately the same as the inner-wrapped three-dimensional curtain, and both form a partially closed box with the vertical curtain. The construction of this type of scheme can avoid the influence of the vertical curtain and can be realized through some processes outside the pit. However, the influence of the groundwater seepage field

521 outside the pit should be considered.

522 (4) Mode V-4 (Transitional curtain): Given that the horizontal body had a certain thickness, the top

- 523 of the horizontal body was within the depth of the vertical curtain and the bottom plate was outside
- 524 the range of the vertical curtain depth. This three-dimensional curtain that transitioned from an inner
- 525 envelope to a level was defined as a transition three-dimensional curtain, as shown in Fig. 17(d).

Suspended vertical curtain and HSRB were combined in foundation pit dewatering, as shown in Fig.
18. The HSRB was divided into full and local HSRB. Full HSRB contacted with vertical curtain to
form a partially closed box. The partially HSRB did not contact vertical curtain to form a non-closed
solid curtain.

530 When the survey data showed numerous underlying partial impermeable zones or weakly permeable 531 water bodies in the confined aquifer, these natural horizontal water-tight structures can be utilized 532 to control the design cost and construction difficulty (i.e., local horizontal curtains were set around 533 the weak water-permeable body).

534 **4.2 HSRB construction method** 

535 The man-made HSRB can be considered a type of permeable horizontal curtain. At present, the 536 main forms of vertical curtain include diaphragm wall, soil mixing wall (SMW) method, cement-537 soil mixing method, and high-pressure jet grouting method. Diaphragm wall can be used as retaining 538 structure, and widely used as vertical curtain in deep foundation pit. However, its cost was high 539 when used as curtain. The SMW method can also combine the functions of waterproof and retaining 540 structure by mixing cement slurry with the original soil and inserting an H-shaped steel. The SMW 541 method had short construction period, low environmental impact, good seepage insulation effect, 542 and relatively low cost. This method included dry and wet construction processes with short 543 construction period and low requirements for construction conditions. However, the working depth 544 of the method was limited. The high-pressure jet grouting method can combine support row piles or 545 soil nail walls to achieve waterproof and retain functions. It cut the soil mass through the cement 546 slurry ejected from the nozzle, mixing the undisturbed soil and slurry to form cement soil, and 547 hydraulic conductivity of cement-soil was considerably lower than that of the undisturbed soil mass.

This method was easy to construct and construction equipment was simple. However, guaranteeing construction quality was difficult when the construction depth was considerably deep. Freezing method has immense advantages in the construction of complex and special strata, including convenient construction and recoverable engineering equipment. The deeper the excavation depth, the better the freezing method. However, water cannot be pumped during the freezing period. Special attention should be given to the overall stability, freezing, and thermal insulation of the curtain.

555 The HSRB construction method should be selected according to engineering, geological, and 556 economic conditions. The HSRB was permeable instead of impermeable curtain, the main purpose was to reduce the hydraulic conductivity of the target aquifer from 1 to 2 order of magnitude to 557 558 decrease the permeability of the target aquifer. Grouting method was often suggested. However, the 559 high pressure of jet cement destroyed the structure of aquifer and aquitard, and partial leakage 560 cannot be avoided. When depth was large, the connection of the different piles was difficult. This 561 study suggested the MICP grouting technology to form HSRB with grout of bacillus pasteurella 562 and cementing fluid (CaCl<sub>2</sub> solution, urea solution), which has minimal impact on the environment. 563 The structure of the aquitard and aquifer were not destroyed.

The traditional materials used in the traditional horizontal curtain forming method, such as cement and lime cementitious materials, caused adverse effects on the ecological environment of groundwater. Moreover, traditional grouting materials have difficulty entering the sand layer with small pores, such as layer (9) of fine sand in Shanghai. The original structure of the target aquifer was destroyed in traditional technology to form a horizontal curtain. Therefore, using the MICP grouting technology to form environment-friendly horizontal curtain can solve numerous limitations

- 570 of the traditional horizontal curtain forming method. The formation method of MICP HSRB was
- 571 presented in another manuscript\*.

## 572 **5 Conclusions**

- 573 (1) On the bases of vertical waterproof curtain applied in foundation pit dewatering engineering,
- 574 HSRB was added to form a horizontal man-made aquiclude. The vertical curtain, HSRB and 575 pumping wells were designed to work together. The combination included separated and non-576 separated types.
- 577 (2) For non-separation HSRB, the inner-wrapped, flush, and transitional vertical curtains were
- 578 equivalent. Non-separation HSRB, were better than the separation HSRB. For separated HSRB, the
- 579 closer to the vertical curtain bottom, the better the dewatering effect.
- 580 (3) The time reaching the designed drawdown, the maximum outside drawdown, and influence
- 581 range decreased with increasing HSRB thickness.
- 582 (4) When HSRB thickness was thin, increasing HSRB thickness was considerably evident. When
- 583 HSRB thickness was increased to a certain extent, the increasing of curtain thickness was less
- evident. The effect and cost should be comprehensively considered, and the most appropriate HSRB
- 585 thickness must be selected.
- 586 (5) Based on the combination of vertical curtain and HSRB, the fifth seepage mode was suggested
- 587 for foundation pit dewatering which can be referred by similar projects.

#### 588 **Declaration of Competing Interest**

- 589 The authors declared that there is no conflict of interest.
- 590

#### 591 Acknowledgements

27

- 592 This study is sponsored by the Shanghai Municipal Science and Technology Project (18DZ1201301;
- 593 19DZ1200900), Key Laboratory of Land Subsidence Monitoring and Prevention, Ministry of
- 594 Natural Resources of the People's Republic of China (No. KLLSMP202101), Suzhou Rail Transit
- 595 Line 1 Co. Ltd, Xiamen Road and Bridge Group (XM2017-TZ0151; XM2017-TZ0117), China
- Railway 15 Bureau Group Co., and IGCP Project (663-La Subsidence in Coastal cities).
- 597 References
- 598 Calderhead, A.I., Therrien, R., Rivera, A., Martela, R., Garfiasd, J., 2011. Simulating pumping-
- 599 induced regional land subsidence with the use of InSAR and field data in the Toluca Valley, Mexico.
- 600 Adv. Water Resour. 34, 83–97.
- 601 Cong, A.S, 2009. Discussion on seepage stability of deep foundation pit on multilayer foundation.
- 502 Journal of rock mechanics and engineering, 28 (10): 2018-2023.
- 603 Cui, Y.G., 2017. Study on water head rise of bottom side surge of foundation pit with suspended
- 604 curtain. Acta geologica Sinica, , 25 (3): 699-705.
- 605 Chen, H.S., Chen, B., He, D., 2009. Risk classification of ground settlement for deep foundation pit
- engineering in Shanghai. Journal of underground space and engineering, 5 (4): 829-833.
- 607 Estanislao, P., Ander, L., Jesus, C., et al., 2012. Barrier effect of underground structures on aquifers.
- 608 Elsevier B.V, 145-146.
- 609 Feng, X.L., Li, D.G., 2013. Calculation of foundation pit leakage under the condition of falling
- bottom waterproof curtain. Hydrogeology and engineering geology, 40 (05): 16-21.
- 611 Gong, S.L., Ye, W.M., Chen, H.S., et al., 2008. Evaluation theory and method of ground settlement
- 612 of deep foundation pit engineering in Shanghai. Chinese Journal of geological hazards and
- 613 prevention, 19 (4): 55-60.

- Ha, D., Zhu, K.P., Li, Z., et al., 2018. Optimization of diaphragm wall depth under confined aquifer
- 615 conditions in Tianjin. Journal of underground space and engineering, 14 (02): 490-499.
- Li, F., Xu, J., Zhang, F., et al., 2017. Numerical simulation of uplift resistance in deep foundation
- 617 pit excavation under seepage. Journal of underground space and engineering, 13 (04): 1088-1097.
- 618 Li, G.M., Li, M.S., 2020. Study on dewatering control measures of foundation pit with suspended
- 619 waterproof curtain. Journal of underground space and engineering, 16 (03): 921-932.
- 620 Li, Y., Chen, D., Liu, X.W., et al., 2021. Simplified calculation method for decompression and
- 621 dewatering of deep foundation pit with suspended waterproof curtain. Geotechnical mechanics, (03):
- 622 **1-8**.
- 623 Liu, Z.Y., 2010. Study on dewatering scheme of deep foundation pit with deep and strong permeable
- 624 layer for Kunming Metro. Railway Survey and design, (5): 266-270.
- Ni, J.C., Cheng, W.C., Ge, L., 2013. A simple data reduction method for pumping tests with tidal,
- 626 partial penetration, and storage effects. Soils Found. 53 (6), 894–902.
- 627 Pujades, E., De Simone, S., Carrera, J., Vázquez-Suñé, E., Jurado, A., 2017. Settlements around
- pumping wells: analysis of influential factors and a simple calculation procedure. J. Hydrol. 548,
  225–236.
- 630 Song, J.X., Nie, X.H., Zhang, J.Y., 2014. Prediction technology of adjacent underground pipelines
- damage caused by excavations dewatering. Build. Sci. 15, 74–79 (in Chinese).
- Tan, Y., Lu, Y., 2018. Responses of shallowly buried pipelines to adjacent deep excavations in
- 633 Shanghai soft ground. J. Pipel. Syst. Eng. Pract. ASCE 9 (2), 05018002.
- 634 Xu, Y.S., Ma, L., Shen, S.L., Sun, W.J., 2012. Evaluation of land subsidence by considering
- underground structures that penetrate the aquifers of Shanghai, China. Hydrogeol. J. 20 (8), 1623-

636 **1634**.

- 637 Wang, J.X., Huang, T., Hu, J., 2014. Field experiments and numerical simulations of whirlpool
- 638 foundation pit dewatering. Environmental earth sciences, 71(7): 32-45.
- 639 Wang, J.X., Guo, T.P., Wu, L.G., et al., 2010. Mechanism and engineering application of wall well
- 640 interaction in deep foundation pit dewatering. Journal of underground space and engineering, 6 (03):
- 641 **564-570**.
- 642 Wang, J.X., Liu, X.T., Wu, Y, et al., 2017. Field experiment and numerical simulation of coupling
- 643 non-Darcy flow caused by curtain and pumping well in foundation pit dewatering.Journal of
- 644 Hydrology, 549: 277-293.
- 645 Wang, J.X., Wu, Y, Liu, X.t., et al., 2016. Areal subsidence under pumping well-curtain interaction
- 646 in subway foundation pit dewatering: conceptual model and numerical simulations. Environmental
- 647 Earth Sciences, 75(3) : 198.1-198.13.
- 648 Wang, J.X., Feng, B., Guo, T., 2013. Using partial penetrating wells and curtains to lower the water
- 649 level of confined aquifer of gravel. Engineering geology, 161: 16-25.
- 650 Wang, J.X., Hu, L, Wu, L., 2009. Hydraulic barrier function of the underground continuous concrete
- wall in the pit of subway station and its optimization. environmental geology, 2009. 57(2): 447-453.
- Wang, J.X., Feng, B., Yu, H., 2013. Numerical study of dewatering in a large deep foundation pit.
- Environmental earth Sciences, 1-10.
- Wu, Y.X., Lyu, H.M., Shen, J., Arulrajah, A., 2018. Geological and hydrogeological environment in
- Tianjin with potential geohazards and groundwater control during excavation. Environ. Earth Sci.
- 656 77 (10), 392.
- 657 Wu, Y.X., Lyu, H.M., Han, J., Shen, J.S., 2019. Dewatering-induced building settlement around a

- deep excavation in soft deposit in Tianjin, China. J. Geotech. Geoenviron. Eng. 145 (5), 5019003.
- 659 https://doi.org/10.1061/(ASCE)GT.1943-5606.0002045.
- 660 Wang, X.W., Yang, T.L., Xu, Y.S., et al., 2019. Evaluation of optimized depth of waterproof curtain
- to mitigate negative impacts during dewatering, Journal of Hydrology, 577.
- 662 Ye, W.M., Wan, M., Chen, B., et al., 2009. Influence of dewatering in confined aquifer of deep
- foundation pit on land subsidence. Acta subterranean space and engineering, (S2): 1799-1805.
- 664 You, Y., Yan, C.h., Liu, S., et al., 2017. Optimization design of dewatering scheme for a deep
- 665 foundation pit under complex geological conditions. Acta geologica Sinica, 25 (3): 715-722.
- 666 Zhao, Y.H., Tong, L.Y., Zhu, W.J., et al., 2020. Prediction and analysis of the impact of foundation
- 667 pit dewatering under different waterproof curtain insertion depths on the surrounding environment.
- 668 Water conservancy and hydropower technology, 51 (05): 126-131.
- 669 Zheng, G., Dai, X., Diao, Y., Zeng C.F., 2016. Experimental and simplified model study of the
- 670 development of ground settlement under hazards induced by loss of groundwater and sand. Nat.
- 671 Hazards 82 (3), 1869–1893.
- 672 Zhang, M., Fan, J., Zhao, Y.R., 2019. Study on the influence of subway station reconstruction
- dewatering on adjacent rail transit. Water conservancy and hydropower technology, 50 (2): 61-68.
- 674
- 675
- 676
- 677
- 678
- 679



Fig. 1 Curtain cutoff, pumping and recharging measures to control groundwater level for excavation





(a) Background working well base



Note:  $\gamma$  = unit weight;  $w_n$  = water content;  $w_p$  = plastic limit;  $w_L$  = liquid limit; e = void ratio;  $a_{0.1-0.2}$  = coefficient of compressibility; c=cohesion ;  $\varphi$  = internal friction angle (b) Hydrogeological Profile Fig. 2 Layout of the No. 4 working shaft background



(b) Section A-A' Fig.3 Numerical model of the MICP HSRB



(b) Layer (9) Fig.4 Contour map of the aquifer drawdown







Fig.6 Time chart of the dewatering reaching the design depth of each working condition



(a) Layer (8)<sub>22</sub>





Fig. 7 Drawdown-time curve in the pit with different HSRB positions



Fig. 8 Drawdown-distance curve outside the pit with different HSRB positions



Fig. 9 Drawdown-time curve in the pit with different pumping rates



Fig. 10 Drawdown-distance curve outside the pit with different pumping rates



Fig. 11 Drawdown-time curve in the pit with different HSRB thicknesses



Fig. 12 Drawdown-distance curve outside the pit with different HSRB thicknesses



Fig.13 Drawdown-time curve in the pit with different hydraulic conductivities



Fig. 14 Drawdown-time curve outside the pit with different hydraulic conductivities



1) Mode I







## 3) Mode III



4) Mode IV Fig. 15 Conceptual model of four summarized seepage modes



Fig. 16 Conceptual model of three-dimensional curtain-well group system



(a) V-1:Separated three-dimensional curtain (b) V-2: Inner-wrapped three-dimensional curtain



(c) V-3:Flush three-dimensional curtain (d) V-4:Transitional three-dimensional curtain Fig. 17 Combination sub-mode of vertical curtain and HSRB



Fig. 18 Framework of the three-dimensional curtain concept system

		Hydraulic		
Layer	Soil	conductivity	Ss $(1/m)$	
		(cm/s)		
/	Shallow clay layer	5.0×10 <sup>-5</sup>	8.0×10 <sup>-4</sup>	
$(5)_2$	Clayey silt with silty clay	3.0×10 <sup>-4</sup>	4.5×10 <sup>-4</sup>	
<b>(5</b> ) <sub>3</sub>	Silty clay	8.0×10 <sup>-5</sup>	8.0×10 <sup>-3</sup>	
5)4	Silty clay	4.0×10 <sup>-5</sup>	8.0×10 <sup>-3</sup>	
$\bigcirc_1$	Sandy silt	1.48×10 <sup>-3</sup>	4.5×10 <sup>-4</sup>	
$\bigcirc_2$	Silt	1.40×10 <sup>-3</sup>	5.0×10 <sup>-4</sup>	
$\bigcirc$	Interlayer of silty clay and	6.0×10-5	4 <b>5</b> ×10-4	
@21	Silt	0.0~10	4.3×10	
(8) <sub>22</sub>	Silty sand with silty clay	6.4×10 <sup>-3</sup>	5.0×10 <sup>-4</sup>	
9	Silt	5.0×10 <sup>-2</sup>	2.0×10 <sup>-5</sup>	
(11)	Silt	1.0×10 <sup>-2</sup>	3.0×10 <sup>-5</sup>	

Table 1 Hydraulic conductivity of the soil layers

Desition	Well type	soil layers	depth Qu	Quantity	Aperture/well diameter/well pipe	Wall No	Thickness of clay
POSITION				Quantity	thickness	well no.	ball
	Dewatering well	1~53	38	12	650/273/4mm	4J-1~4J-12	0
	Depressurization well		68	1	650/273/6mm	4Y7-1	8m
	Depressurization well	7~821	63	1	650/273/6mm	4Y7-2	8m
In nit	Observation well		60	1	650/273/6mm	4G7-1	8m
m pu	Observation well		70	1	650/273/6mm	4G8-1	8m
	Depressurization well		85	3	850/400/8mm	4Y9-1~4Y9-3	10
	Spare well	(8) <sub>22</sub> ~(9)	85	1	850/400/8mm	4YB9-1	TOIII
	Observation well		85	1	850/400/8mm	4G9-1	
	Spare and observation	52	20	4	650/273/4mm	AIG52 1. AIG52 A	0
Between	well		30	4	050/275/411111	4JUJ2-1~4JUJ2-4	0
two walls	Spare and observation	$\overline{7}$	63	1	650/273/6mm	4IG7-1~4IG7-4	8m
	well		05		050/275/01111		om
	Observation well	$\overline{7}$	60	4	650/273/6mm	4WG7-1~4WG7-4	8m
Out pit	Observation well	(8) <sub>21</sub>	70	2	650/273/6mm	4WG8-1~4WG8-2	8m
	Observation well	® <sub>22</sub> ~9	90	1	850/325/6mm	4WG9-1	8m

Table 2 Statistical table for the layout of dewatering wells in foundation pit

	uc	cp roundation pr	t dewatering	
Working	Depth of	Buried depth	Thickness	Hydraulic
working	vertical	of HSRB roof	of HSRB	conductivity of
condition	curtain (m)	(m)	(m)	HSRB (cm/s)
1		/	/	/
2		82	4	$5 \times 10^{-3}$
3		84	4	$5 \times 10^{-3}$
4		86	4	$5 \times 10^{-3}$
5		88	4	$5 \times 10^{-3}$
6		90	4	$5 \times 10^{-3}$
7		92	4	$5 \times 10^{-3}$
8	86	82	4	$5 \times 10^{-3}$
9		82	4	$5 \times 10^{-3}$
10		82	3	$5 \times 10^{-3}$
11		82	5	$5 \times 10^{-3}$
12		82	6	$5 \times 10^{-3}$
13		82	6	$1 \times 10^{-2}$
14		82	6	$1 \times 10^{-4}$
15		82	6	$5 \times 10^{-4}$

Table 3 Working conditions of the influence of three-dimensional curtain on deep foundation pit dewatering

Table 4 Combination forms of three dimensional curtain

Working condition	Buried depth of HSRB roof (m)	Thickness of HSRB (m)	Hydraulic conductivity (cm/s)	The form of three- dimensional curtain
1	/	4	$5 \times 10^{-3}$	/
2	82	4	$5 \times 10^{-3}$	Inner-wrapped type
3	84	4	$5 \times 10^{-3}$	Transitional type
4	86	4	$5 \times 10^{-3}$	Flush type
5	88	4	$5 \times 10^{-3}$	Separated type
6	90	4	$5 \times 10^{-3}$	Separated type
7	92	4	5×10 <sup>-3</sup>	Separated type

Working condition	Buried depth of HSRB roof (m)	Thickness of HSRB (m)	Hydraulic conductivity of HSRB (cm/s)	Pumping rates (m <sup>3</sup> /d)
2	82	4	5×10-3	2950
8	82	4	$5 \times 10^{-3}$	2500
9	82	4	5×10-3	2000

Table 5 Working conditions of different pumping rates

Table 6 Working conditions of different HSRB thicknesses

Working condition	Buried depth of HSRB roof (m)	Thickness of HSRB (m)	Hydraulic conductivity of HSRB (cm/s)	Pumping rates (m <sup>3</sup> /d)
8	82	4	$5 \times 10^{-3}$	2500
10	82	3	$5 \times 10^{-3}$	2500
11	82	5	$5 \times 10^{-3}$	2500
12	82	6	$5 \times 10^{-3}$	2500

Table 7 Working conditions of HSRB with different hydraulic conductivities

Working condition	Buried depth of HSRB roof (m)	Thickness of HSRB (m)	Hydraulic conductivity of HSRB (cm/s)	Pumping rates (m <sup>3</sup> /d)
13	82	6	1×10 <sup>-2</sup>	2500
12	82	6	$5 \times 10^{-3}$	2500
14	82	6	$1 \times 10^{-3}$	2500
15	82	6	$5 \times 10^{-4}$	2500