

Dual Guidance Structure for Evaluation of Patients with Unclear Diagnosis in Centers for Rare Diseases (ZSE-DUO): Study Protocol for a Controlled Multi-center Cohort Study

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Research

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**Dual guidance structure for evaluation of patients with unclear diagnosis
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161 **Abstract**

162 Background

163 In individuals suffering from a rare disease the diagnostic process and the
164 confirmation of a final diagnosis often extends over many years. Factors contributing
165 to delayed diagnosis include health care professionals' limited knowledge of rare
166 diseases and frequent (co-)occurrence of mental disorders that may complicate and
167 delay the diagnostic process. The ZSE-DUO study aims to assess the benefits of a
168 combination of a physician focusing on somatic aspects with a mental health expert
169 working side by side as a tandem in the diagnostic process.

170 Study design

171 This multi-center, prospective controlled study has a two-phase cohort design.

172 Methods

173 Two cohorts of 682 patients each are sequentially recruited from 11 university-based
174 German Centers for Rare Diseases (CRD): the standard care cohort (control, somatic
175 expertise only) and the innovative care cohort (experimental, combined somatic and
176 mental health expertise). Individuals aged 12 years and older presenting with
177 symptoms and signs which are not explained by current diagnoses will be included.
178 Data will be collected prior to (T0) and at the first visit (T1) to the CRD's outpatient
179 clinic and 12 months thereafter (T2).

180 Outcomes

181 Primary outcome is the percentage of patients with one or more confirmed diagnoses
182 covering the symptomatic spectrum presented. Sample size is calculated to detect a
183 10 percent increase from 30% in standard care to 40% in the innovative dual expert
184 cohort. Secondary outcomes are a) time to diagnosis/diagnoses explaining the
185 symptomatology; b) proportion of patients successfully referred from CRD to
186 standard care; c) costs of diagnosis including incremental cost effectiveness ratios; d)

187 predictive value of screening instruments administered at T0 to identify patients with
188 mental disorders ; e) patients' quality of life and evaluation of care; and f) physicians'
189 satisfaction with the innovative care approach.

190 Conclusions

191 This is the first multi-center study to investigate the effects of a mental health
192 specialist working in tandem with a somatic expert physician in CRDs.

193 If this innovative approach proves successful, it will be made available on a larger
194 scale nationally and promoted internationally. In the best case, ZSE-DUO can
195 significantly shorten the time to diagnosis for a suspected rare disease.

196

197 **Keywords:** rare diseases, undetermined symptoms, unclear diagnosis, mental health
198 disorders, cohort study

199

200 **Trial registration:** ClinicalTrials.gov; Identifier: NCT03563677; First posted: June 20,
201 2018, <https://clinicaltrials.gov/ct2/show/NCT03563677>.

202 **Introduction**

203 In Europe, a disease is classified as rare if less than 5 in 10.000 citizens are affected.

204 It is estimated that about 27-36 million people in the member states of the European

205 Union ¹ and about 4 million people in Germany ² suffer from a rare disease. With more

206 than 7.000 distinct rare diseases described so far ³ and most of these affecting only a

207 few people in Europe, establishing a diagnosis is often difficult. In many cases, even

208 with finally established rare diseases, it takes years to name the health condition and

209 to initiate targeted treatment.^{4,5}

210 Rare diseases often affect multiple organ systems and vary in their manifestations

211 among individuals. In several disorders such as 22q11 deletion syndrome,

212 psychological symptoms are part of the clinical manifestations of the disease itself.⁶

213 However, given the progressive and debilitating course of many rare diseases and the

214 typically long and frustrating way to diagnosis, individuals with rare diseases may also

215 develop a co-morbid mental disorder.⁵ Furthermore, patients with a mental or

216 behavioral disorder – possibly associated with a common health condition – may be

217 suspected to suffer from a rare disease. Irrespective of the prevalence of the underlying

218 disease – be it rare or not –, a (co-)morbidity with a mental disorder may lead to a more

219 complex symptomatology thereby further delaying the diagnosis and adequate

220 treatment.

221 In 2013, a National Plan of Action for People with a Rare Disease was presented in

222 Germany which – among other measures – called for structures and processes to

223 improve the diagnostic process in people with a suspected rare disease but yet

224 undiagnosed health condition.⁷ Subsequently, most German Centers for Rare

225 Diseases (CRDs) established outpatient clinics for undiagnosed patients. In these

226 clinics, patients are seen by a specialist from a somatic discipline such as internal

227 medicine, neurology, pediatrics etc. If psychiatric and/or psychosomatic expertise is

228 required, the patient is usually referred to respective specialists. Although in the
229 authors' experience the majority of patients presenting to a clinic for undiagnosed
230 cases are severely distressed and present with mental health problems, only a few are
231 eventually seen by a respective specialist and even fewer come back for evaluation of
232 a potential rare disease. In fact, patients frequently report the impression of not being
233 taken seriously, feel relegated and are highly suspicious or even refuse to be evaluated
234 by a mental health specialist. Therefore, a close collaboration of both somatic and
235 mental health specialists during the diagnostic process and subsequent treatment
236 decisions might significantly improve patient care. Thus, the objective of the ZSE-DUO
237 project is to assess the benefits of a mental health specialist working in tandem with
238 an expert in somatic medicine at a CRD.

239

240 **Methods**

241 Study design

242 ZSE-DUO is a multi-center, prospective, controlled trial with a two-phase cohort design
243 (Clinicaltrials.govIdentifier: NCT03563677). Eleven CRDs in Germany recruit
244 individuals with a suspected rare disease but unclear diagnosis. Study participants of
245 the control group are consecutively enrolled during the first 12 months of the project
246 and are diagnosed and treated according to the Standard Care (SC) procedures.
247 Participants of the intervention group recruited during the following 16 months receive
248 the Innovative Care (IC) procedures which integrate the dual expert components (see
249 **Figure 1**). For recruitment of the IC group, the period had to be extended from 12
250 months - as originally planned - to 16 months because of stops in recruitment during
251 the COVID-19 pandemic. Due to the nature of the patients, settings and interventions
252 a sequential cohort design with the IC group following the SC group was preferred over

253 a randomized trial, as blinding of participants and team members is not possible and
254 cross-contamination between groups might have occurred in a randomized design.
255 In addition, to understand possible selection bias, outcomes of patients seen in
256 outpatient clinics of participating CRDs 9 months prior to the start of the project, e.g.
257 (confirmed) diagnoses and time to diagnosis, are retrospectively assessed and
258 compared to the outcome of the SC group in these centers. By this means, unintended
259 changes in standard care with the start of the ZSE-DUO project can be detected.

260

261

262 Study population

263 Participants are recruited by 11 CRDs associated with university hospitals in the cities
264 of Aachen, Bochum, Frankfurt, Hannover, Magdeburg, Mainz, Münster, Regensburg,
265 Tübingen, Ulm and Würzburg. Recruitment is supported by a collaboration with the
266 National Alliance of Chronic Rare Diseases Germany (ACHSE e.V.) representing
267 many rare disease organizations.

268 Individuals with a suspected rare disease but unclear diagnosis who approach one of
269 the participating centers or are referred to one of these centers by their treating
270 physician are assessed for eligibility to participate in the study.

271 Inclusion criteria for participation in the project are:

- 272 i) first contact with a participating CRD,
- 273 ii) suspicion of a rare disease but no established diagnosis,
- 274 iii) attending the CRD as an outpatient, and
- 275 iv) written informed consent.

276 Patients are excluded from the study if one or more of the following exclusion criteria
277 apply:

- 278 i) age <12 years,

279 ii) incomplete medical records available to the CRD at the time of presentation
280 (records must include medical summary letters, imaging studies, blood tests
281 etc.), and

282 iii) pre-diagnosed disease(s) explaining the symptomatic spectrum presented.

283 Furthermore, due to the funding of the project patients with a private health insurance
284 (ca. 10.5% of German patients) cannot be enrolled.

285

286 Randomization

287 No randomization will be conducted since allocation to control and intervention
288 conditions will occur based on timing of recruitment. However, participants will not be
289 informed about allocation when informed consent is obtained. Thus, participants
290 consent to all innovative care components even if they will receive standard care only.

291

292 Control (SC) and intervention (IC) group

293 Invitation for a clinic visit at a CRD follows the established procedures in each CRD
294 which are based on the national plan of action for people with rare diseases in
295 Germany.⁷ After collecting a complete information package including medical records,
296 imaging studies, a physician referral and structured information from the patient, all
297 information is evaluated by an interdisciplinary team to discuss symptomatology and
298 potentially underlying diagnoses as well as eligibility to participate in the trial.
299 Thereafter, patients are invited for a visit to one of the 11 participating CRD outpatient
300 clinics for undiagnosed patients. All patients invited for a clinic visit and suitable for the
301 trial according to the inclusion and exclusion criteria are asked to participate in the
302 study.

303 *Standard care (control group):*

304 At the outpatient clinic, the medical history is taken and the patient is examined by a
305 physician from a “somatic” discipline such as a specialist in internal medicine,
306 neurology, or pediatrics. Then, additional diagnostic evaluations such as blood testing,
307 imaging, or a consultation of another expert are performed if needed to establish a
308 diagnosis. Interdisciplinary case discussions at a local level are used to include more
309 expertise from additional medical disciplines including mental health specialists for
310 selected patients in whom the diagnosis remains unclear. The referring physician and
311 the patient receive a medical letter summarizing all information and providing
312 recommendations for further evaluations and/or therapy.

313 *Innovative care (intervention group):*

314 The innovative care includes all aspects of standard care, yet involves dual expertise
315 both from somatic and mental health experts working in tandem. All medical decisions
316 from the diagnostic approach to care procedures at the CRD involve both disciplines
317 (see **Figure 1**). This approach is applied to the entire care process: the evaluation of
318 patient records before the patient is seen, the outpatient visit to the CRD, the care
319 following the visit as well as the writing of the medical letter. Case conferences at a
320 local and national level using videoconferencing allow including additional expertise in
321 the medical evaluations.

322

323 **Place Figure 1 about here**

324

325 At the initial clinic visit, the patient is introduced to the innovative care approach,
326 preferably by both physicians. It is made clear that the patient will meet both physicians
327 during the visit, that they will work in tandem and will both obtain medical and family
328 histories. The complete somatic medical examination is supplemented by diagnostic
329 tests and procedures targeted to narrow down or confirm suspected somatic

330 diagnoses. The mental health specialist will add the psychosocial history and a
331 psychiatric/psychosomatic evaluation including a standardized diagnostic interview for
332 mental disorders (Mini-DIPS Open Access Interview)^{8,9} and a screening questionnaire
333 for personality disorders (PSS-K)^{9,10}. All mental health experts received standardized
334 training in applying the Mini-DIPS. The goal of this evaluation is to clarify if (some)
335 symptoms of the patients can be explained by mental disorders or severe
336 psychological distress (e.g. sleep disorders in depression, tachycardia during anxiety
337 attacks). During case conferences symptoms are explored avoiding dichotomization of
338 unexplained somatic complaints into somatic and mental categories.

339 Furthermore, both physicians have the option to use telemedicine including
340 videoconferencing to communicate with the patient before and after clinic visit(s) (e.g.
341 hints for severe disorder or suicidal tendency; need for urgent medical/psychiatric
342 treatment; planning or follow-up of clinic visit). To facilitate the transition to standard
343 care for mental health conditions and bridge the – often quite long – waiting period for
344 specialist care, the mental health specialist is encouraged to offer appointments via a
345 videoconferencing tool for patients with a mental (co-)morbidity.

346

347 **Recruitment and study procedures**

348 **Figure 2** provides an overview of assessment time points during the ongoing study.
349 This project is currently ongoing. The first patient was enrolled on October 12, 2018
350 and the estimated study completion date will be January 2022. In the first phase, the
351 patients were consecutively enrolled into the SC group only. In the second phase,
352 additional patients were consecutively enrolled into the IC group. Patients complete a
353 set of questionnaires prior to the initial clinic visit and 10% of the patient enrolled are
354 contacted by phone to assess their expectations regarding diagnosis, treatment, and
355 care. Shortly after the clinic visit, the symptoms of the patients are recorded using

356 human phenotype ontology coding (HPO), and newly made diagnoses are
357 documented. Follow-up assessments are conducted 12 months after the initial clinic
358 visit.

359

360 **Place Figure 2 about here**

361

362 **Table 1** provides an overview over patient-related data collected during the project and
363 their mode of assessment.

364

365 **Place Table 1 about here**

366

367 At the end of the innovative care period, satisfaction of physicians involved with the
368 new care will be assessed using a questionnaire specifically developed for this project.
369 The items assessed and specific questions asked will be derived from the input of three
370 focus groups of 8-10 CRD physicians.

371

372 **Study endpoints and measurements**

373 **Table 2** summarizes the primary and secondary endpoints of the study.

374 **Place Table 2 about here**

375

376

377 The primary endpoint in ZSE-DUO is the percentage of patients for whom one or more
378 diagnoses can be confirmed during the evaluation process that explain the
379 symptomatic spectrum presented by the patient. The evaluation period encompasses
380 the period between the initial clinic visit to the CRD (T1) and the 12-month follow-up
381 (T2). The primary endpoint is assessed by using data on symptomatology and

382 diagnosis entered by the treating physician(s) of the CRDs in a project database using
383 electronic case report forms (eCRF).

384

385 Secondary endpoints of the project are:

386 a) Time to diagnosis/diagnoses explaining the symptomatology of the patient. We
387 hypothesize that the period between the initial visit to the CRD and the time of
388 diagnosis averages 6 months with standard care, while the innovative care can
389 shorten this period to 4.5 months. The difference of 1.5 months is considered
390 clinically meaningful by the heads of the participating CRDs. The respective
391 analyses will be based on data collected from the CRDs and the patients at
392 initial clinic visit and follow-up and entered in the eCRFs. The date of diagnosis
393 fully explaining a patient's symptomatology will be defined by the treating
394 physician(s) at the CRD.

395 b) Proportion of patients successfully referred from CRD to standard care. The
396 innovative care probably facilitates this referral resulting in more patients being
397 specifically cared for by other health care providers within 12 months after the
398 first visit to the CRD. A successful transition will be defined by the treating
399 physician(s) based on patient's responses to the structured 12-month follow-up
400 questionnaire and information available at the respective CRD. The criterion for
401 a successful transition is defined as at least one outpatient or inpatient visit to a
402 physician specialized in a discipline which was recommended by the CRD and
403 is part of available standard care.

404 c) Costs of diagnosis and incremental cost effectiveness ratios. It is hypothesized
405 that the innovative care will reduce the costs of the diagnostic process and
406 positively impact incremental cost effectiveness ratios compared to standard
407 care. Health economic analyses will address costs of the diagnostic process,

408 QoL, incremental cost-effectiveness ratios and costs of therapy linked to
409 confirmed diagnosis of a rare disease. From the incremental cost-effectiveness
410 ratios, additional costs (or savings) linked to the innovative care compared with
411 standard care may be estimated by calculating the total benefits and costs of
412 both care models.

413 To assess the costs of diagnosis and therapy, case-related processes are
414 identified and analyzed. Based on this process analysis, used resources are
415 identified and their economic value determined. The analysis of processes and
416 the resource utilizations is based on surveying staff members. The financial
417 assessment is based on established valuation rates.^{22,23} Further information on
418 costs of diagnosis is gained from the documentation of the CRDs (e.g.,
419 individual process steps documented by the medical specialists) and patients´
420 surveys at baseline and 12-months follow-up (e.g., QoL). Costs of therapy (e.g.,
421 medication, contacts to the medical system) is derived from documentation of
422 the CRDs and account data from the participating health insurances. For the
423 latter data, secondary analyses of health insurance data from the Techniker
424 Krankenkasse, IKK gesund plus and AOK Hessen is performed. These data
425 encompass costs of outpatient and inpatient medical care, drugs, therapeutic
426 remedies and aids, home-care services and medical rehabilitation as well as
427 periods of unemployment or disability to work.

428 d) Identification of patients suffering from mental disorders or severe distress
429 needing to see a mental health specialist at the clinic visit by screening
430 questionnaires, regardless of the presence or absence of a potential rare
431 disease. Prior to the first clinical visit to the CRD, patients of the innovative care
432 group complete a set of questionnaires (Table 1). The predictive value of these
433 screening instruments with respect to mental disorders needing appropriate

434 evaluation and possibly treatment will be assessed. In confirmatory factor
435 analyses, it will be determined whether the number of questions (40 questions
436 from 6 instruments) may be reduced to develop a suitable short questionnaire
437 with sufficient sensitivity in the prediction of a mental disorder or severe distress.
438 This instrument could be useful for future targeted allocation of resources for
439 evaluations by a mental health professional in CRDs.

440 e) Patients' quality of life and evaluation of care. It is hypothesized that the
441 innovative care will improve patient QoL and satisfaction with care. The
442 hypothesis is assessed in the total sample using an established German
443 questionnaire to measure patient satisfaction (Fragebogen zur Messung der
444 Patientenzufriedenheit, ZUF-8)²⁴ and the QoL questionnaires EQ-5D from the
445 EuroQoL-Group in all patients. Furthermore, the Short Form 12 (SF-12)²⁵ is
446 administered in patients 16 years and older while the QoL-questionnaire
447 KIDSCREEN-10 is used in patients younger than 16 years.

448 In a randomly selected subsample of 68 patients per group, stratified by age
449 (12-18 years, 19-40 years, >40 years), sex, and disease burden (low / high),
450 structured telephone interviews are conducted 12 months after the initial visit to
451 the CRD. The qualitative assessment will address the perceived effects of care
452 at the CRD, the estimated quality of care, as well as the satisfaction with and
453 acceptance of structure and processes of CRD care. The interviews last up to
454 30 minutes and will be conducted by trained staff not involved in any other
455 activities related to care or study conduct following a manual. All interviews are
456 recorded and transcribed for further analysis.²⁶

457 f) Physicians' satisfaction with the innovative care. It is assumed that the
458 physicians at the CRDs involved evaluate the innovative care positively. This
459 outcome is assessed using a newly developed questionnaire administered at

460 the end of the innovative care period to all physicians involved in the care of the
461 patients. For the development of the questionnaire, three focus groups were
462 conducted, each with 4 to 8 physicians. The questionnaire addresses perceived
463 effects of the innovative approach, factors influencing success or failure, and
464 satisfaction with and acceptance of the innovative care.

465

466 Sample size calculation

467 The primary outcome of the study is the change in the proportion of patients with one
468 or more (confirmed) diagnoses covering the symptomatic spectrum. For the sample
469 size calculation we assumed that the innovative care will increase the percentage of
470 patients receiving one or more confirmed diagnosis during the evaluation process from
471 30% with standard care to 40%. Power calculations were done using Monte-Carlo
472 simulation. Data sets were generated assuming randomly varying center specific
473 baseline prevalence rates (with an average of 95% of rates falling between 20 and
474 40%) and odds-ratios (with an average of 10% of centers not experiencing any
475 positive intervention effect). For each simulated data set, center-specific odds ratios
476 were calculated and then summarized using random-effects meta-analysis. .

477 Based on enrollment varying between 24 and 93 patients per center and period and
478 assuming a drop-out rate of 20%, the inclusion of 682 participants per group resulted
479 in an estimated statistical power of 80.8% within 100,000 simulations, with a mean
480 prevalence across simulations of 30.3% in the SC group and of 40.3% in the IC group.
481 Statistical power was estimated as the percentage of simulations in which the random
482 effects meta-analytic summary estimate of center-specific odds ratios was statistically
483 significant at the 5% level.

484

485 Data processing and statistical analysis

486 Data collection and analysis are coordinated and performed by the Institute for Clinical
487 Epidemiology and Biometry at the University of Würzburg, the Institute for
488 Epidemiology, Social Medicine and Health Systems Research at Hannover Medical
489 School, and the Department of Medical Psychology in Hamburg.

490 For the primary outcome “Proportion of patients with one or more (confirmed)
491 diagnoses covering the full symptomatic spectrum”, a mixed logistic regression model
492 including a fixed period effect along with random center effects and random period
493 effects nested within centers will be employed. In a second step, models will be
494 extended by adding personal characteristics of patients (e.g., sex and age) and
495 interaction terms between these characteristics and period. Significant interactions
496 would suggest modification of intervention effects by the respective characteristics.

497 While statistical significance of main effects will be defined at the 5%-level, it will be
498 defined at the 10% level for interactions.

499 Secondary analyses are carried out in an exploratory way and results will be reported
500 with 95%-confidence intervals. According to the distribution of the variables,
501 differences between groups are tested using the χ^2 test, Fishers’ exact tests, Student’s
502 t-test, Mann–Whitney *U* test as well as univariable and multivariable linear regression
503 models and mixed regression models. The incremental cost effectiveness ratio as a
504 measure of efficacy is calculated by dividing the additional costs by the additional
505 outcomes (QALYs) of IC versus SC. The non-parametric approach of bootstrapping is
506 applied to estimate 95%-confidence intervals of the incremental cost effectiveness
507 ratio. The predictive value of applied standardized screening instruments will be
508 analyzed for identifying patients affected by mental disorders. First, via exploratory
509 factor analysis, it will be tested if the number of items of the applied screening
510 instruments can be reduced. The new set of items will then be tested in a confirmatory
511 factor analysis to examine how well this model fits the current data. Based on a

512 reduced screening instrument a new score for mental disorders will be estimated using
513 multivariable logistic models and the predictive value will be analyzed applying
514 Receiver Operating Characteristic Graph (ROC-Graph). Qualitative interviews will be
515 transcribed and data will be analyzed using MAXQDA.

516 All statistical analyses will be performed using SPSS, STATA and SAS, respectively.

517

518 **Dissemination plan**

519 The main results will be published in a final report according to the German Innovations
520 Funds directive. Furthermore, the scientific results will be published in peer-reviewed
521 scientific journals and via presentations at national and international scientific
522 conferences. The ZSE-DUO manual detailing the structure and procedures as well as
523 experiences developed for the somatic and mental health specialists working in
524 tandem will be published separately.

525

526 **Discussion**

527 This is the first multi-center study investigating the effects of a dual guidance structure
528 involving a somatic and a mental health expert working in tandem to establish one or
529 more diagnoses in people with a suspected rare disease. Including mental health
530 expertise in multidisciplinary teams, i.e. caring for cancer patients, has become more
531 and more common over the last decades. Likewise, medical programs for individuals
532 with stroke or heart attacks and evaluation before certain procedures such as bariatric
533 surgery or transplantation benefit from the inclusion of a mental health expert. ^{27,28}
534 Additionally, in some rare diseases such as Huntington's Disease, the assessment and
535 treatment of cognitive, emotional and behavioral symptoms have become the standard
536 of care and an integrative part of international treatment guidelines. ²⁹

537

538 Strengths

539 This is the first study evaluating a tandem care in CRDs. The sample size is large and
540 we will acquire a large set of data. Due to the multicenter approach (11 centers), the
541 study concept with the developed SOPs will be tested in centers differing in structure
542 and procedures. Findings on possible barriers and potential for improvement can be
543 taken into account in the final recommendations and respective manual. Furthermore,
544 the study considers the perspectives of multiple stakeholders in the care process on
545 satisfaction, burden, acceptability, costs, and feasibility, so that it is easier to transfer
546 and implement the concept of two expert physicians, a somatic and a mental health
547 specialist working in tandem, in different RDC clinics.

548

549 Limitations

550 There are several limitations in study design and procedures.

551 First, ZSE-DUO is not a randomized controlled trial with a potential bias in selection of
552 participants. However, randomization of participants to one of the two models of care
553 would have posed a large risk that the standard care (control) group might have
554 received facilitated access to the mental health expertise, thereby “contaminating” the
555 control condition. A cluster randomized design, on the other hand, is not feasible since
556 only relatively few CRDs with a non-specialized outpatient clinic for undiagnosed
557 patients exist in Germany.

558 Secondly, due to the nature of the intervention, participants in the project and staff at
559 the CRDs cannot be blinded to allocated care. However, efforts are taken to divulge
560 medical information only after having obtained informed consent. Nevertheless, a
561 selection bias at enrollment cannot be excluded, but can be tested when comparing
562 the symptoms at baseline as well as the diagnoses established at enrollment with those

563 during the 12-month period thereafter. Furthermore, comparing drop-out rates between
564 the two care models will provide information on potential attrition bias.

565

566 The innovative care model combining expertise from both a somatic and a mental
567 health expert in the diagnostic approach of a complex and often persistent unclear
568 symptomatology may not only facilitate and accelerate the process of diagnosis but
569 also help to guide all treatments warranted – may they target a somatic illness, a
570 mental disorder or both. Irrespective of the mental symptoms being the cause of the
571 presenting symptomatology, the consequence of the underlying health condition or
572 unrelated, they can be identified and respective care be initiated. The integration of
573 patients perspectives towards the innovative care model is important to ensure a high
574 level of acceptance for this approach.

575

576 **Conclusions**

577 Should the innovative approach in ZSE-DUO prove successful, it will be made
578 available on a wider scale nationally, will be promoted internationally and may serve
579 as a role model for other medical situations.

580

581 **Declarations**

582 Ethics approval and consent to participate:

583 Study protocol and written informed consent were approved by the Ethics Committee
584 of the Medical Faculty at the University of Würzburg (reference number 132-18). The
585 main ethical approval was confirmed by the ethics committees of all cooperating
586 centres.

587 The study is conducted in full accordance with the principles of the “Declaration of
588 Helsinki” (as amended in Tokyo, Venice and Hong Kong) and with the EC/ICH-
589 Guidelines on Good Clinical Practice.

590

591 Consent for publication

592 Not applicable.

593

594 Availability of data and materials

595 Not applicable.

596

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795 **Table 1)** Patient data collected and instruments used at baseline and 12-month
 796 follow-up

	T0 Prior to first clinic visit	T1 At first clinic visit	T2 At 12 month follow-up
Socio-demographic data	CRD patient questionnaire	Socio-demographic history (family status, education)	----
Employment status (includes unemployment and disability)	CRD patient questionnaire	Social history (employment status, salaries), ZSE-DUO health economics questionnaire (changes in employment status, salaries)	ZSE-DUO health economics questionnaire (changes in employment status, salaries)
Signs and symptoms	CRD patient questionnaire, medical summary from referring physician, medical letters	Medical history, physical examination, psychopathological status*, diagnostic procedures	---
Prior diagnoses	medical summary by referring physician, medical letters	Medical history	---
New diagnoses / symptomatology explained	---	Medical history, physical examination, mental disorders*,	Medical information available after clinic visit

		diagnostic procedures	
Successful transition to standard care delivered by other health care providers	---	---	Medical information available after clinic visit
Quality of life (QoL)	EQ-5D-5L, SF-12 (adults), Kidscreen-10 (youth)*, qualitative telephone interviews	EQ-5D-5L, SF-12 (adults), life satisfaction, Kidscreen-10 (youth)	EQ-5D-5L, SF-12 (adults), life satisfaction, Kidscreen-10 (youth)
Mental status	PHQ-15, GAD-7, DSS-4, SCL-K-9, SDQ (youth)*	PHQ-15, GAD-7, DSS-4, SCL-K-9, SDQ (youth), MINI-DIPS*, PSS-K*	PHQ-9, GAD-7, SDQ (youth)
Health economics data	---	ZSE-DUO health economic questionnaire (adaption of FIMA-questionnaire)	ZSE-DUO health economic questionnaire (adaption of FIMA-questionnaire)
Expectations [#]	qualitative telephone interviews [#]	---	---
Satisfaction	---	---	ZUF-8, qualitative telephone interviews [#]
Health insurance data	---	Selected items	Selected items

797 * in innovative care group only

798 # 10% of patients only

799 Abbreviations of instruments used: EQ-5D-5L – 5 dimensions 5 level quality of life
800 (QoL) questionnaire of the EuroQol group, SF-12 – Short Form Health questionnaire
801 ^{11,12}, PHQ-9, PHQ-15 - Patient Health Questionnaire-9 and -15 ¹³⁻¹⁵, GAD-7 - General
802 Anxiety Disorder-7 questionnaire ^{16,17}, DSS-4 – Dissociation Tension Scale ¹⁸, SCL-
803 K-9 – Symptom Checklist ¹⁹, SDQ – Strengths and Difficulties Questionnaire ²⁰, Mini-
804 DIPS – standardized diagnostic interview for mental disorders ^{8,9}, PSS-K – screening
805 measure for the assessment of personality disorders ^{9,10}, FIMA – questionnaire for
806 health-related resource use in the elderly population ²¹.
807

808 Table 2) Study endpoints

Primary endpoint	Proportion of patients with one or more confirmed diagnoses covering the symptomatic spectrum presented
Secondary endpoints	a) Time to diagnosis/diagnoses explaining the symptomatology of the patient.
	b) Proportion of patients successfully referred from CRD to standard care
	c) Costs of diagnosis including incremental cost effectiveness ratios
	d) Identification of patients suffering from mental disorders by screening questionnaires
	e) Patients' quality of life and evaluation of care (i.e., satisfaction with the process of diagnosis and treatment)
	f) Physicians' satisfaction with the innovative care

809

810 **Figure legends**

811

812 Figure 1) Standard diagnostic approach employed for people with a suspected rare
813 disease in Centers for Rare Diseases and additional innovative elements established
814 in the ZSE-DUO project

815

816 Figure 2) Timeline of assessments at timepoints T0, T1 and T2 during the study.
817 Recruitment and delivery of care in the standard care and the innovative care groups
818 occurred in consecutive time periods.

819

Figures

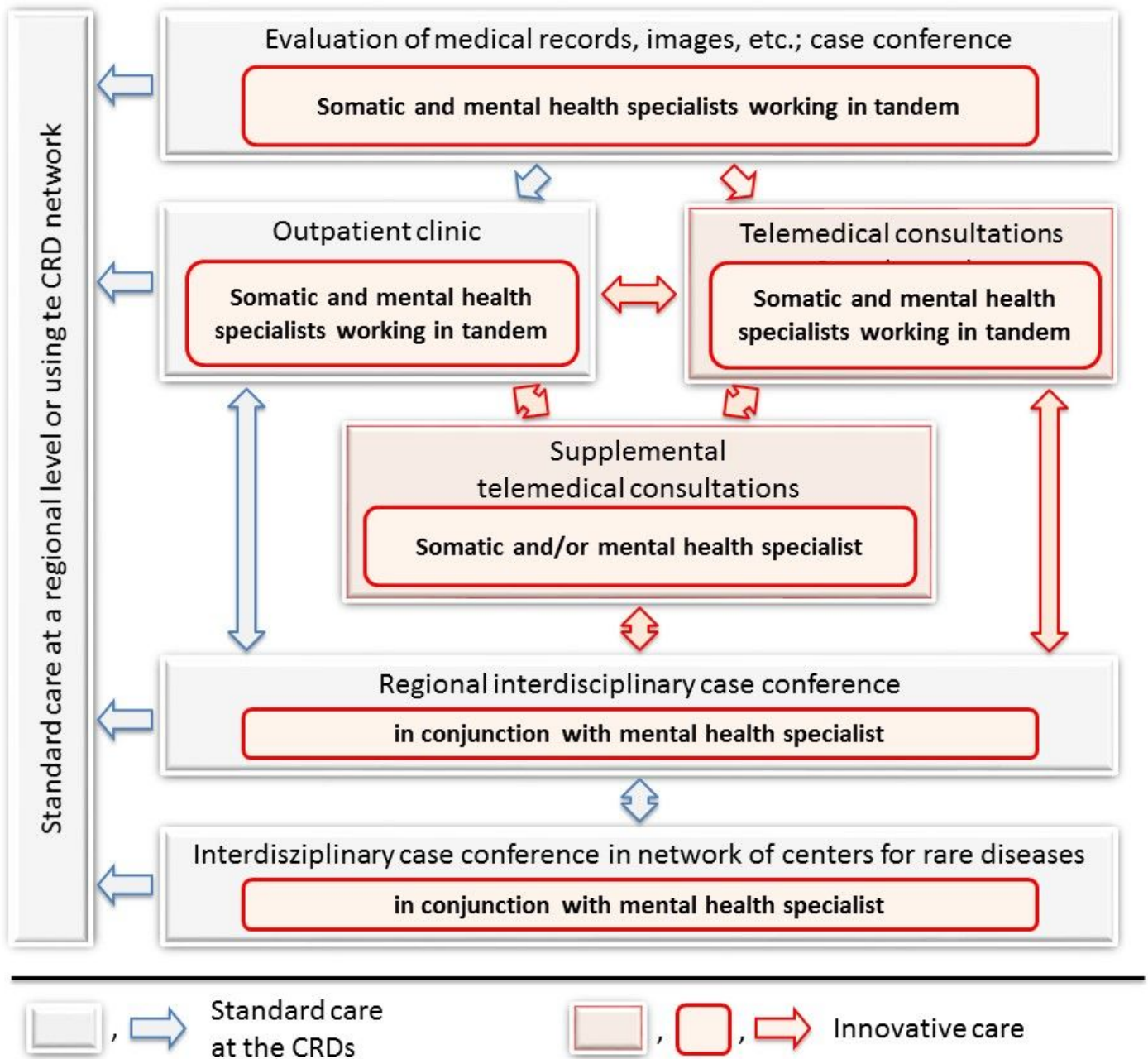


Figure 1

Standard diagnostic approach employed for people with a suspected rare disease in Centers for Rare Diseases and additional innovative elements established in the ZSE-DUO project

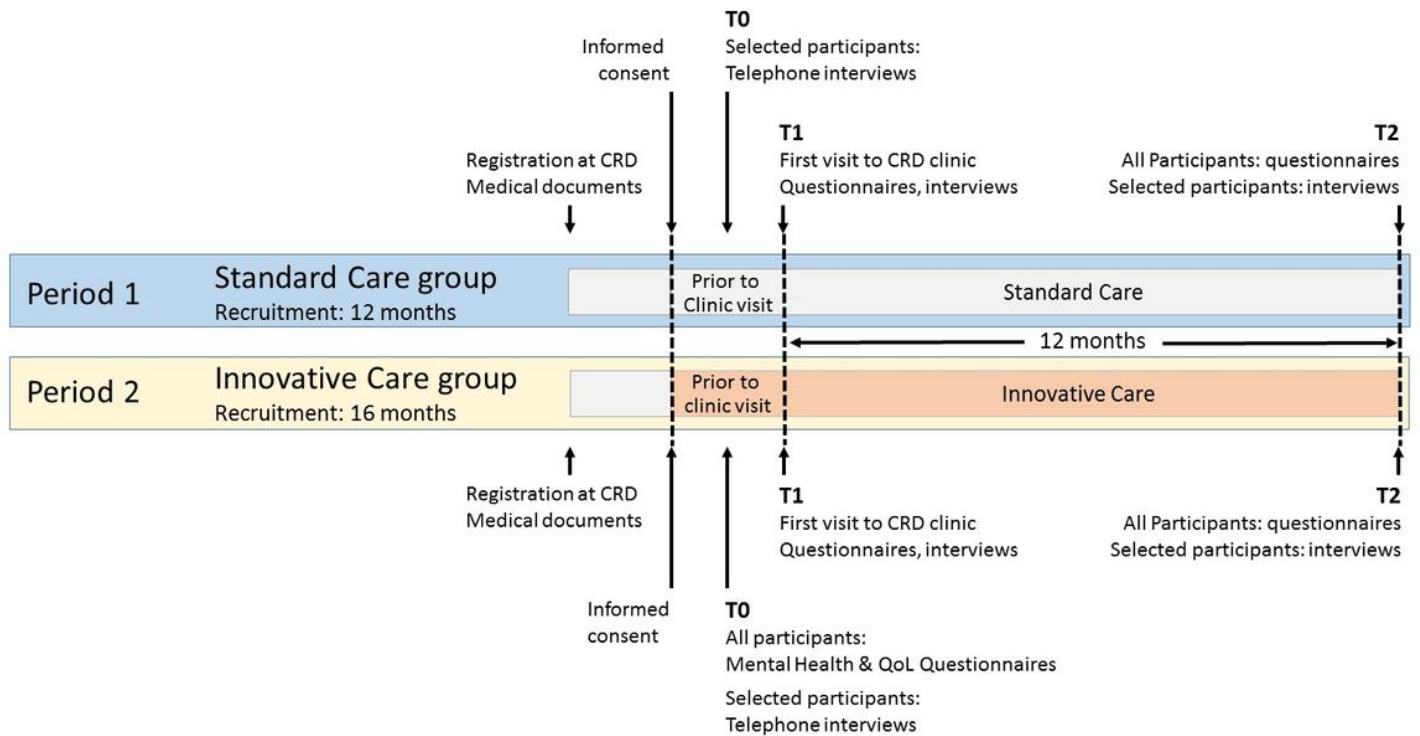


Figure 2

Timeline of assessments at timepoints T0, T1 and T2 during the study. Recruitment and delivery of care in the standard care and the innovative care groups occurred in consecutive time periods.