

Deep Learning Assisted Cognitive Diagnosis for the D-Riska Application

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Abstract

The use of new technologies applied to the health sector, and specifically to occupational therapy, is constantly growing, giving rise to the appearance of new software applications that allow supporting professionals in decision-making for the diagnosis, evaluation and subsequent treatment of patients with Acquired Brain Injury (ABI). In this article, we expose a system developed that extends the Acquired Brain Injury (ABI) diagnostic application known as D-Riska with an artificial intelligence module that supports the diagnosis of ABI enabling therapists to evaluate patients in an assisted way. The application is in charge of collecting the data of the diagnostic tests of the patients, and due to a multi-class Convolutional Neural Network classifier (CNN), it is capable of making predictions that facilitate the diagnosis and the final score obtained in the test by the patient. To find out the best solution to this problem, different classifiers are used to compare the performance of the proposed model based on various classification metrics. The proposed CNN classifier makes predictions with 93 % of Accuracy, 94 % of Precision, 91 % of Recall and 92% of F1-Score.

Keywords: ~~Cloud Computing~~, Acquired Brain Injury, Cognitive test, Deep Learning, Convolutional Neural Networks, D-Riska

1. Introduction

According to public well-known statistics, Acquired Brain Injury (ABI) represents a serious health problem, mainly due to the large number of people affected (more than 400,000 in Spain), the duration of this type of injury,

5 which is usually chronic, the severity and variety of sequelae. Furthermore,
6 it should be noted that ABI represents the leading cause of disability in
7 adults in developed countries [1]. The causes of ABI are diverse [1], these
8 include from traumatic brain injury, stroke, anoxia or hypoxia, brain tumors,
9 to encephalitis of various etiologies, among many others. In addition, ABI
10 can affect all areas of human functioning.

11 The affected area and the deficits presented by affected people depend
12 on the type of injury, the initial location and severity of the injury, as well
13 as the characteristics of the affected people, such as age, personality or skills
14 prior to the injury [2]. This type of injury represents a serious public health
15 problem, both due to the number of affected people and the severity of their
16 injuries, which led us to introduce technological advances into this discipline.

17 Nowadays, existing technology enables developers to generate several user
18 interface (UI) configurations, where UI components can be offered to users
19 through different devices. This set of interrelated devices, also known as
20 multi-device ecosystems, allows users to interact with the system through dif-
21 ferent interaction mechanisms that are distributed in physical environments[3].

22 This paper presents an application that employs the Distributed User
23 Interface (DUI) [4] paradigm in the D-Riska application [5] for the evaluation
24 and diagnosis of Acquired Brain Injury. This application is based on the
25 traditional Riska test, which is part of the Loewenstein Occupational Therapy
26 Cognitive Assessment Battery (LOTCA)[6].

27 The Riska test is performed together with other tests defined in the
28 LOTCA, and consists of a card game (Figure 1), in which patients should
29 group a total of 18 cards with different shapes and colors making as many
30 groupings as possible. The more grouping patients are able to form, the
31 higher is the score obtained in the test. The higher the score, the lower the
32 degree of patients' possible brain injuries.

33 The solution we have developed focuses on the first stage of the reha-
34 bilitation of brain injury assisting the diagnosis, evaluation and treatment of
35 patients, facilitating the work of therapists and improving the work of pa-
36 tients, that is, on the evaluation of the sequelae produced and the difficulties
37 or deficits they cause. To achieve this goal, we have relied on a traditional
38 evaluation methodology in this area called LOTCA, and we have created a
39 digital platform that facilitates the diagnosis and evaluation of the therapist.

40 Thanks to the use of distributed user interfaces, our application allows
41 the patient and therapist to work simultaneously, each one on a different
42 screen, saving us from using the rest of the devices necessary for the test. The

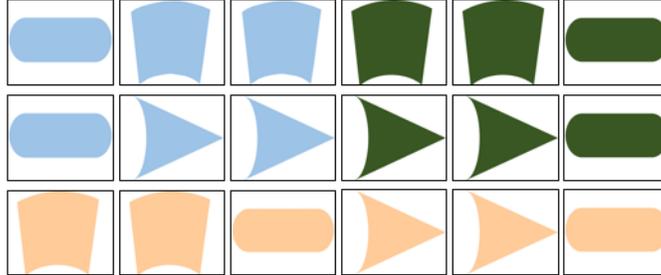


Figure 1: View of the set of cards that the patient has to classify in D-Riska test

43 application is responsible for storing all the information related to the session,
 44 and allows the therapist to focus on observing how the patient performs the
 45 test to facilitate and improve their diagnosis. In addition, it allows this
 46 observation to be less invasive, since it is not necessary even for them to be
 47 in the same physical space [7].

48 The decision regarding patients' final diagnosis by therapists only de-
 49 pends on therapists knowledge and interpretation. However, it is considered
 50 essential to endow this type of applications with the ability to assist or sup-
 51 port therapists' final decision. this paper presents an expert module based
 52 on Artificial Intelligence (AI) using techniques stand out in the current state
 53 of the art to reach this goal.

54 Various techniques are considered to tackle this problem in order to
 55 achieve a great capacity for success in the model interpretation.

56 The system consists of two separated physical UIs which are synchronized.
 57 While therapists operate the *Therapist UI*; patients perform assessments on
 58 the *Patient UI*.

59 *Therapist UI*. It enables therapists to conduct and analyze the assessment
 60 process. Therefore, it enables therapists to introduce assessment session in-
 61 formation (i.e. patient personal information and condition, therapist per-
 62 sonal information, etc. as well as to have full control of the assessment
 63 process in real-time, since both UI are connected and synchronized. For
 64 instance, therapist can control patients' UI during the assessment process).

65 *Patient UI*. It enables therapist to evaluate patients' condition. Therefore,
 66 this UI enables patients to grouping cards (instead of physical plastic objects)

67 using a touch screen. In fact, card movements performed by patients are
68 transferred to the *Therapist UI* in real-time in order to observe unexpected
69 patterns or behaviors in patients as soon as possible to intervene in the
70 assessment process as required.

71 *1.1. Paper contribution*

72 This paper proposes the development of an expert module to extend the
73 tool previously developed and evaluated in [5] in order to support patients'
74 final diagnosis automatically. The data sources employed to build the expert
75 module were gathered from the use and testing of this application with real
76 patients at the Centro de día Los Tulipanes (day center) and Residencia
77 Hermanitas de los Pobres (residence) in Granada, Spain, which are detailed
78 in the section 3.2. This data consists of sets of images classified by therapists
79 (experts in the field) which enabled the definition of a supervised image
80 classification problem using models based on artificial vision and specific
81 image classification to build a diagnostic module based on AI. This module
82 assists therapists to evaluate patients distinguishing among different types of
83 groupings patients have formed during the assessment process. Consequently,
84 it assigns a candidate score which reduces the probability of errors in the
85 diagnosis process.

86 This module is not intended to replace the work done by therapists, it
87 only assists and support their decisions on the evaluation. However, as this
88 tool supports session recording to review the assessment process a posteriori,
89 it enables therapists to move their attention to other aspects, such as putting
90 down notes while the patient is performing the test, instead of focusing their
91 full attention only to every movement performed by patients. Some of these
92 that the therapist can focus on are the procedure that follows to carry out
93 the test, recheck what it has happened when the session is recorded, and in
94 the case of novel therapists, it provides guidelines for evaluation that facil-
95 itate clinical reasoning. In addition, it also facilitates a first self-diagnosis
96 performed by the patients autonomously, and in case of detecting any be-
97 havior or abnormal response, schedule an appointment with a professional
98 therapist to carry out a more exhaustive test.

99 Since the proposed application collects and manages tests results using
100 images, they can be reused to train multi-class classification models in **Con-**
101 **volutional Neural Network classifier (CNN)**, [8] to predict the probability of
102 the grouping class associated to an existing grouping defined in the test itself.

103 The proposed expert module provides:

- 104 • A greater probability of success at diagnosis time.
- 105 • A reduction in the average diagnosis time.
- 106 • A self-performing and open-source diagnostic tool.
- 107 • Enables the therapists to focus their attention on other fundamental
108 aspects of the evaluation.

109 To reduce the development time, a set of existing convolutional neural
110 networks presented as part of the state of the art in [9] is used as a stat-
111 ing point to implement the expert module instead of defining them from
112 the scratch. Due to the high demand of resources required to train these
113 networks, the architecture infrastructure is supported by Cloud Computing
114 (CC) services which provide high levels of computing and storage capacity.

115 In general, the contribution of this work can be summarized as follows:

- 116 • Presents a DUI system which employs an AI module that assists ther-
117 apists in the diagnosis of patients with ABI; which is migrated to a CC
118 service provider.
- 119 • Collects and stores information obtained from tests carried out on real
120 patients to generate sets of valid data to train and validate the system
121 model, while providing a reliable persistence and management of this
122 information.
- 123 • Presents a classifier that infers the class of a grouping and its proba-
124 bility of membership.
- 125 • Validates and compares the performance of the a set of models based
126 on the most representative and descriptive metrics in the state of the
127 art.

128 *1.2. Organization of the paper*

129 This article is structured as follows. Section 2 describes the state of
130 the art related to this research area. In addition, while section 3 details
131 the proposed solution, the evaluation and validation process carried out is
132 presented in section 4. Finally, section 5 summarizes the conclusions and
133 future works of this work.

134 2. Related Work

135 We start providing an overview of the traditional Loewenstein Occupa-
136 tional Therapy Cognitive Assessment Battery (LOTCA)[6] evaluation pro-
137 cess, which inspired the design and implementation of the D-Riska applica-
138 tion detailed in [5]. The LOTCA was developed as a technique to assess basic
139 cognitive skills and visual perceptions in adults with neurological disabilities.
140 Provides an in-depth assessment of basic cognitive skills that can be used for
141 treatment planning as well as for treatment progress reviews [10].

142 The LOTCA battery assess the basic cognitive skills required for daily
143 functions including: orientation, visual perception and psychomotor skills,
144 problem solving skills, and thought operations. The development of this
145 battery is based on information obtained from clinical and neuropsychological
146 experience and development theories. Moreover, LOTCA is generally used
147 at the initial stage of patient evaluation; however, it can be used to set
148 therapeutic goals and review the cognitive status of patients over time [11,
149 12].

150 Regarding people's fitness, LOTCA can be used with patients who suf-
151 fered a stroke, elderly people with dementia, patients with aphasia, people
152 with traumatic brain injuries, or people with intellectual disabilities [13] and
153 mental illness [14].

154 The D-Riska application is based on one of the tests proposed by the
155 LOTCA battery, known as Riska. In the traditional Riska test, 18 plastic
156 objects of 3 different colors and 3 different shapes are delivered to patients.
157 The classification of Riska objects consists of two sub-tests. While in the *Un-*
158 *structured sub-test* therapists ask patients to form groupings of objects spon-
159 taneously; in the *structured sub-test* therapists ask patient to form groupings
160 of objects according to a class following a given pattern which is presented
161 as an example.

162 Thus, the D-Riska application enables therapist to carry out patients'
163 assessment process in a similar way to the traditional one while providing
164 the advantages that digital technologies introduce in the process[5].

165 The development of the expert module integrated into the D-Riska ap-
166 plication supported by a CC architecture is described in detail in the section
167 3.1.

168 Internet-based CC is the most powerful type of architecture in the area of
169 computing. It consists of a compilation of hardware, software and infrastruc-
170 ture integrated and available on the network. This type of architecture has

171 several advantages over grid computing and other types of computing con-
172 figurations and infrastructures [15]. The current literature collects numerous
173 reviews on CC [16], [17] [18].

174 Recently, advances in AI techniques have encouraged the development of
175 intelligent solutions for CC applications. AI methods, such as Artificial Neu-
176 ral Networks (ANN), Deep Learning, fuzzy logic, and evolutionary algorithms
177 have allowed CC paradigms to be improved through their capabilities to ex-
178 tract knowledge from large amounts of real-world data, optimizing even more
179 so its design, performance and safety compared to traditional techniques [19].

180 Cloud Computing service providers such as Google Cloud Platform, Ama-
181 zon Web Services, Microsoft Azure and IBM Cloud have incorporated the
182 necessary resources for information management and cloud computing. These
183 also include a large battery of cognitive services, most of them based on AI
184 in areas such as computer vision, voice recognition, text analysis, intelligent
185 indexing, among others [20].

186 As for references to Machine Learning (ML) applications in the field of
187 mental health and, more specifically in the field of Occupational Therapy
188 (OT), there are already great advances and novel approaches.

189 Firstly, [21] presents a comparison of ML techniques for classification
190 based on mental medicine studies on different data sources.

191 Similarly to the approach presented in our proposal, ML techniques are
192 used to guide patients in the evaluation process through a set of tests em-
193 ploying digital screens in [22].

194 In addition, the relationship of the behaviors between participants, with
195 or without symptoms of dementia, is studied to measure the brain's response
196 time when drawing a simple figure that can be digitized for early detection
197 of the disease.

198 A review and a compilation of the latest advances in the area of expert
199 systems and artificial intelligence applications in decision-making and con-
200 sultation is presented in [23].

201 Moreover, a review of automated techniques for the early diagnosis and
202 classification of some classes of the Alzheimer disease is presented in [24].
203 This review of 165 papers includes the application of various techniques such
204 as Support Vector Machines, Artificial Neural Networks and Deep Learning.

205 In [25] Deep Learning is used to solve problems in treatment selection
206 and diagnosis prediction of mental health, starting with depression. It also
207 stands out along the same lines the work presented in [26], where an attempt
208 to identify the characteristics of this type of diseases in Spain is based on

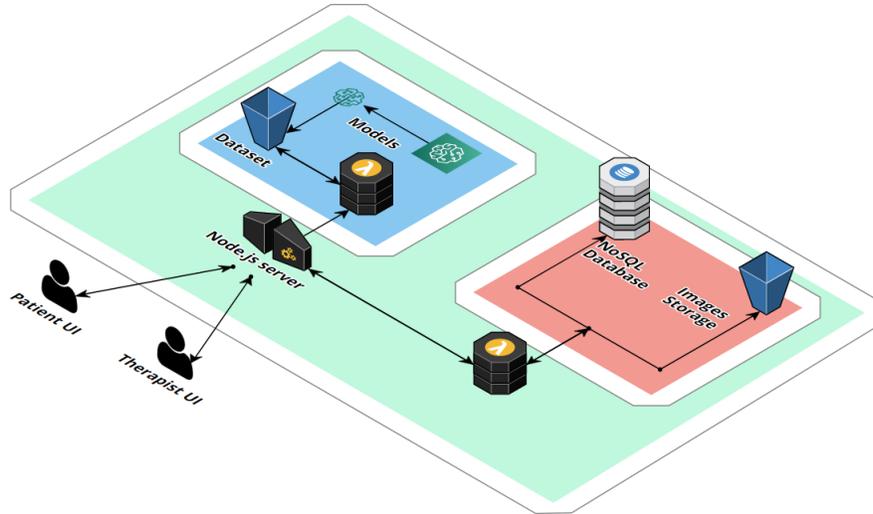


Figure 2: Overview of the architecture of our application deployed using Amazon Web Services

209 written language patterns; which are decisive in the detection of the most
 210 frequent signs of this pathology.

211 As may be seen, all reviews refer to the application and success of the
 212 use of ML techniques in supporting the diagnosis of mental illnesses, either
 213 through specific and generic means or obtained from different sources, such
 214 as the social media, IoT mechanisms or public data sources available online.

215 Finally, the work presented in [27] describes a method based on Deep
 216 Learning techniques determine the paper author personality type through
 217 textual analysis (i.e. given a text relating a set of characteristics with the
 218 psychological profile of the paper author).

219 Based on the presented experience, this paper extends the D-Riska appli-
 220 cation to support decision-making in the RISKKA assessment process taking
 221 advantage of the use and efficiency of CC technologies and ML techniques.

222 3. The Proposed Solution

223 3.1. System Architecture

224 The Figure 2 shows an overview of the application architecture hosted by
 225 Amazon Web Services (AWS) CC provider.

226 The former design of the D-Riska application was based on a client-
227 server architecture where therapists create a personalized sessions for pa-
228 tients. These sessions collect personal information from patients and thera-
229 pists to related them to the assessment session.

230 The server part of the application synchronizes the *Patient UI* with
231 the *Therapist UI* that act as the clients in this architecture. In addition,
232 the server is responsible for managing the session information and guid-
233 ing the assessment session process. The system was implemented using the
234 Node.js environment and operates through a Representational State Trans-
235 fer (ReST)[28] Application Programming Interface (API) that is connected
236 to a non-relational database (NoSQL) where the information related to the
237 session and its participants is stored. The server is not only responsible for
238 collecting the session information entered by therapists, it also collects all
239 the patients' actions through Web Sockets to replicate the patients' actions
240 in the *Therapist UI* in real-time.

241 In addition, the server is also responsible for storing all assessment session
242 related information in the database, in order to access it later on. The server
243 is also in charge of storing the images gathered during session processes in
244 the image database jointly with the corresponding diagnosis (class).

245 On the other hand, we have the part of the architecture that corresponds
246 to the module developed in this work that connects to the server in order to
247 use the information generated by the existing D-Riska application.

248 When therapists evaluate a patient session, they determine in which of
249 the 5 possible categories the card grouping fits, storing the image and its
250 class relationship in the system dataset.

251 A scheduled retraining of the model is performed every week in case new
252 images were included. Thus, the model is constantly learning. Every time a
253 therapist evaluates a new patient, and adds a new categorized image to the
254 dataset; it is included in the model training set.

255 At the same time, when therapists perform patients diagnosis, they are
256 able to can visualize the response of the model including the type of category,
257 or class, the image resulting of the test belongs to. It is this moment when the
258 model analyzes the image related to the test, and assigns it to a class based
259 on previous learning. If the prediction is wrong, when the therapist corrects
260 it, the system stores the image with the correct class and a new training
261 launch to match the characteristics of the image to its corresponding class.

262 *3.2. Data collection*

263 The D-Riska application we have used as a starting point manages differ-
264 ent types of information. On the one hand, it stores a log of sessions carried
265 out by pairs of patients-therapists where patient and therapist personal in-
266 formation. On the other hand, it stores other session information such as the
267 results associated to each assessment session including the following:

- 268 • Duration of the test.
- 269 • Observations of the therapist.
- 270 • Results of the evaluation by the therapist.
- 271 • One image for each test scenario, or grouping, associated to the final
272 layout of the cards.

273 These images are the ones taken to build the data set that the classifi-
274 cation model will use, in its training, validation and evaluation phases (see
275 section 4.1).

276 *3.3. Convolutional Neural Network*

277 This section presents the Convolutional Neural Networks that were used
278 in the diagnosis and classification of the images belonging to the tests carried
279 out, determining for each of these the class or type of grouping to which they
280 belong to.

281 The proposed model assigns classes to card groupings in images using
282 a percentage, or value, of matching for each of them. The class associ-
283 ated to an image results from the highest probability of prediction matching.
284 The advantage of this model is that it allows the extraction of significant
285 characteristics from the images and the possibility of making predictions in
286 reasonable periods of time.

287 The techniques based on Deep Learning are based on Neural Networks
288 which are ML techniques which emphasis is placed on learning through data
289 representation that a series of layers perform aiming to learning more signif-
290 icant representations from gathered data. This technique is based on neurol-
291 ogy concepts based on in the brain synapse process. However, this represen-
292 tation drawn from biology does not imply that convolutional neural networks
293 are an exact model representations of the human brain.

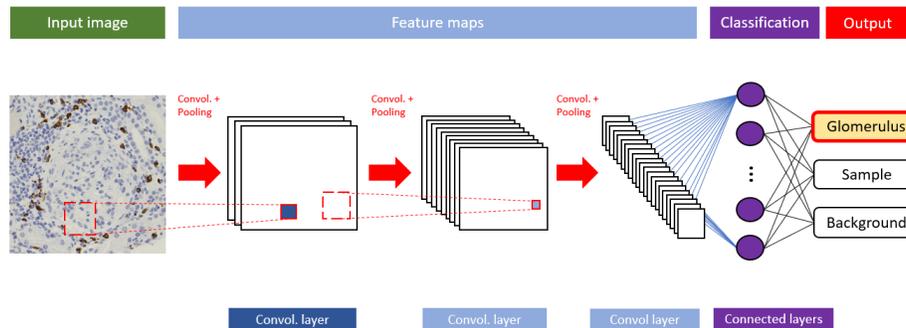


Figure 3: Example of Deep Learning network working on transforms an image into a representation

294 The term Deep does not refer to any kind of deep understanding achieved
 295 by the model; it is based on the idea of successive layers of representations,
 296 which greatly increases the complexity of the model (currently a Deep Learning
 297 model can include around tens or hundreds of successive layers and all of
 298 them learn through exposure of the training set). Thanks to this complexity,
 299 it is possible to learn much more complex and invisible patterns by simpler
 300 models, so that Deep Learning must be understood as a mathematical
 301 framework to learn data representations.

302 The basic scheme of a Deep Learning algorithm specifically applied to a
 303 classification problem is depicted in Figure 3 where the network transforms an
 304 image into a representation that is incrementally different from the original
 305 image and incrementally informative about the final result.

306 Building a Convolutional Learning network from scratch is a very expensive
 307 process that can take a long time to develop, including long periods of
 308 trial and error in order to choose the right configuration of layers and hyper-
 309 parameters that best fits data. In addition, it requires long training periods
 310 for large images that can pose a problem in terms of computational perfor-
 311 mance and training period. In order to solve this problem, there are two
 312 main escape routes. The first one is using the computing provided by CC
 313 services which provide all the infrastructure, frameworks and environments
 314 required to carry out these processes. However, it should be borne in mind
 315 that the accommodation, and use of these services, may entail a high cost
 316 for the project.

317 On the other hand, a common, and highly effective solution used in Deep

318 Learning, and specifically in small data sets, as it is the case, is to use
319 pretrained networks, a concept known as Transfer Learning [9]. A pretrained
320 network is a stored network that was previously trained to solve a problem
321 on a high-dimensional data set, most often finding pretrained networks in
322 image classification problems on a large data set. If the data set is large and
323 general enough, the learned characteristics space can be effectively used as
324 a generic world view model, and its variables can provide more than enough
325 knowledge to solve different computer vision problems, keeping in mind that
326 these new problems, like the one provided in this paper, may include totally
327 different classes from the original problem. For example, you can train an
328 ImageNet network (where classes are mostly animals and objects of daily
329 life) and retrain part of that network in order to identify other objects in
330 images. This portability of the features learned about different problems is
331 a key advantage in our project, since it allow us to apply Deep Learning on
332 our small set of images.

333 There are two different approach to use pretrained networks, feature ex-
334 traction and fine-tuning. As the second one is not taken into account in this
335 work, only the first one is detailed in next section.

336 3.3.1. Feature extraction

337 Feature extraction involves using representations learned from a pre-
338 trained network with the goal of extracting interesting features from new
339 examples. These characteristics are taken and processed on a new classifier,
340 which is trained from the start. It is necessary to clarify that this new classi-
341 fier is not an independent model, such as an Support Vector Machines (SVM)
342 or a Random Forest, this classifier is defined in terms of series of dense layers
343 (fully connected layers) that are incorporated into the pretrained network.
344 While the first part of the network (already trained) extracts the most rel-
345 evant characteristics of the model; the second part becomes the classifier of
346 the model (pending training with our images). It is not required to detail
347 the meaning of all the layers used because they do not increase complexity
348 and focus on the basis of these models.

349 All these elements are easy to deploy using the framework that stands
350 out in the state of the art Tensorflow [29], currently owned by Google and
351 supported in the Python programming language.

352 Initially, Tensorflow version 1 was complex framework to use compared to
353 the latest version that includes the Keras library update (*tensorflow.keras*).
354 This library enables the creation of sequential models and the edition its

355 hyperparameters easily. Thus, *tensorflow.keras* defines a module that enables
356 a quick inclusion and deployment of pretrained networks. In addition, to easy
357 pretrained network modifications, this module enables freezing or unfreezing
358 layers (i.e. sets of networks already trained, or trainable) as well as adding or
359 deleting model layers. The following set of networks were used to pre-train
360 the proposed model employing the ImageNet dataset [30].

- 361 • InceptionResNetV2.
- 362 • MobileNet.
- 363 • VGG16.
- 364 • VGG19.

365 As mentioned, this framework also provides an easy way to edit layer parame-
366 ters, such as setting the number of hidden layers, the activation/deactivation
367 of functions, the number of learning steps, and, for each layer, the number
368 of neurons to be considered.

369 The configuration process is simple, each of the trained models incor-
370 porates two dense layers (fully connected layers) which are the only layers
371 that are trained with the images of the training set, since the rest of the
372 layers (dedicated to the extraction of characteristics) are frozen. Finally, a
373 dense layer is incorporated. This layer defines 5 neurons where the output of
374 each of these layers is the output corresponding to each problem class. The
375 function Softmax (see equation 1) is used to return the probability for each
376 class and it is used as the activation function of the last layer. The rest of
377 the layers employ the Rectified Linear Unit (ReLU) function (see equation
378 2) to generate their outputs. The following steps summarize the procedure
379 followed the defined networks:

- 380 • Load data sets, train, validate and test.
- 381 • Reduce of the size of all the sets (resizing of images).
- 382 • Perform data augmentation of the training set images (rescaled).
- 383 • Add classification layers to feature extraction models.
- 384 • Model training using the training set for learning, and the validation
385 set for error measurement.

- 386 • Evaluate the predictive capacity on the validation and test, using Ac-
387 curacy, Precision, Recall, F1-Score, Mathews Correlation Coefficient
388 (MCC), Cohen’s Kappa and confusion matrix.

$$Softmax(y)_i = \frac{exp(y_i)}{\sum_j exp(y_j)} \quad (1)$$

$$ReLU(x) = max(0, x) \quad (2)$$

389 4. Evaluation

390 4.1. Dataset

391 After the decision support model is trained, validated and evaluated with
392 data from the available data sources (see section 3.2) using the final images
393 of the finished tests. A total of 860 images, or instances, were processed.
394 The resolution of these images is 1320 x 410 pixels in RGB format. They
395 were arranged in 5 different proportion classes, taking into account that the
396 minority class is the class that corresponds to a random grouping (grouping
397 more susceptible to suffering a pathology).

398 Since the number of images is too small to address the problem using
399 Deep Learning, it is required to generate extra images to increase the set of
400 these initial images using the data augmentation technique presented in [31].

401 To carry out this task, the initial images resulting from therapists’ evalu-
402 ations were compiled to apply various noise introduction procedures. These
403 procedures perform negligible movements on the cards captured in the im-
404 age, generating a totally new, and classy instance, similar to the real one. It
405 was exhaustively verified that these movements do not modify the type of
406 grouping to keep the class given by the therapist.

407 Since the number of images is still small to include in neural networks, the
408 re-scaling technique was employed by Data Augmentation image generators
409 to increase the number of images.

410 Consequently, the final set of images consists of a total of 9000 images
411 divided into 3 independent sets based on a logical proportion criteria. In 4
412 we can see a sample with four images includes in our set of images, for four
413 different possible groupings of cards (by form, by color, complete series or by
414 form and color)

415 The training, validation and test sets are presented in Table 1.

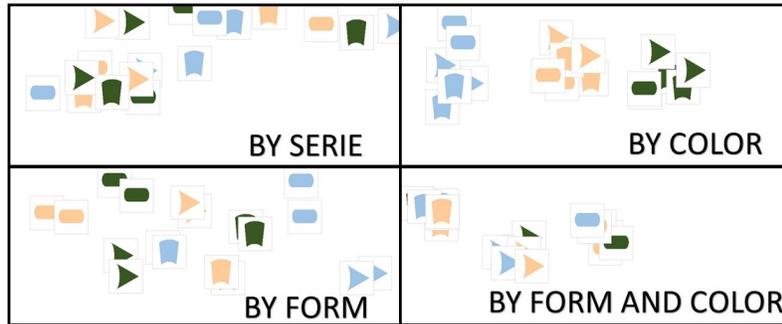


Figure 4: Example of some images within our dataset corresponding to different groupings of possible cards

Table 1: Dataset Description

Dataset name	ISE-Driska
Resolution	1320 x 410
Num. Instances	9000
Num. Classes	5
Proportion classes	Unbalanced

Set	Dimension	Proportion
Training	8000	89%
Validation	700	8%
Test	300	3%

		True Class		
		A	B	C
Predicted Class	A	TP_A	E_{BA}	E_{CA}
	B	E_{AB}	TP_B	E_{CB}
	C	E_{AC}	E_{BC}	TP_C

Figure 5: Example of the confusion matrix for a multi-class classification test

416 *4.2. Metrics*

417 To evaluate and understand the results obtained by each network, and
 418 to be able to easily select the classifier that best suits our problem, we have
 419 used the indexes in the domain of multi-class classification presented in [32].

420 The problem to tackle presents an unbalanced case (i.e. there are different
 421 proportions of groups) because, in reality, the proportion of people who is ill
 422 or suffer related problems (i.e. those who carry out a "random" grouping)
 423 is much lower than the rest. Therefore, it is necessary to take into account
 424 more complete indices to provide a more specific vision of the discriminatory
 425 power of the model, and how it classifies on all cases according to each type
 426 of grouping in particular [33]. In multi-class classification problems like this,
 427 the commonly selected indices are: Accuracy, Precision, Recall, MCC, Kappa
 428 and F1-Score [34].

429 To evaluate the performance of the classifier at the individual level of each
 430 grouping it is essential to visualize the confusion matrix (Figure 5) since in
 431 multi-class problems it collects, as in binaries, true positives or TP on its
 432 diagonal (TP_A for class A, TP_B for class B and TP_C for class C in Figure
 433 5. An example of a correctly classified image suppose a unit hit sum on the
 434 corresponding diagonal.

435 The rest of the elements that do not correspond to the diagonal suppose
 436 cases where the predicted class differs from the real class meaning that there
 437 are errors in our classifier ($[E_{BA}, E_{CA}]$ for class A, $[E_{AB}, E_{CB}]$ for class B and
 438 $[E_{AC}, E_{BC}]$ for class C (see Figure 5). The ideal assumption is that all values
 439 are concentrated on the diagonal, so that when representing this matrix in
 440 a heat map format it is easy to observe the success or not of the model, or
 441 in which classes it has more discriminative error, using the intensity of color

442 and looking for its location on the corresponding diagonal.

443 As for model comparison, for each of the models, and each of the indices,
444 their calculations are made from the predictions. Once the values of these
445 indices were obtained, independently for each of the classes, the mean of
446 the corresponding values is selected. This enables models, and their corre-
447 sponding indices, to be compared at the macro average level. The reason for
448 using these indices is the maximization of the success score for each of the
449 categories or classes.

450 A simplified description for each of these indices is exposed as follows:

451 *Accuracy (AC)*. The Accuracy (AC) is the most used metric to measure
452 classifier performance and represents the percentage of instances classified
453 correctly and is measured in percentage (%). This is a sensitive index in the
454 case of unbalanced problems, since a high success rate can hide flaws as far as
455 minority classes are concerned, so that it must always be as far as possible,
456 accompanied by other metrics. The general equation to calculate this metric
457 is shown in equation 3.

$$Accuracy = \frac{TP + TN}{P + N} \quad (3)$$

458 Where TP are the True Positive values, TN are True Negatives values, FP
459 are the False Positives and FN are the False Negatives values, all of them
460 calculated over all classes.

461 *Precision*. The Precision, also known as Positive Prediction Value (PPV)
462 determines the ratio of cases that, within those classified as positive, which
463 really are. This index is beneficial when working with minority classes, which
464 allows us to summarize to some extent the general discriminatory power of
465 our classifier (see general equation 4).

$$Precision = \frac{TP}{TP + FP} \quad (4)$$

466 *Recall*. The Recall, also known as the True Positive Rate (TPR), is the pro-
467 portion of positive cases that were correctly identified by the classifier. The
468 equation to calculate this metric is presented in general equation 5.

$$Recall = \frac{TP}{TP + FN} \quad (5)$$

469 *F1-Score*. The F1-Score determines the relationship between Precision and
 470 Recall. Combine those measurements to return a more general quality mea-
 471 surement of the model. The value of the measurement F is a harmonic value,
 472 located in the range 0 to 1, being 1 when both Precision and Recall values are
 473 around 1, since it calculates the mean between these, so that an imbalance
 474 in one of them, it significantly reduces the quality of the model. The general
 475 equation 6 shows how to calculate this metric.

$$F - Score = 2 * \frac{Precision * Recall}{Precision + Recall} \quad (6)$$

476 *Cohen's Kappa*. As for the Cohen's Kappa, it is a statistician who is in
 477 charge of measuring the agreement between two classifiers. This score type
 478 measure expresses the level of agreement defined in equation 7 where p_o is
 479 the empirical probability of agreement on the label assigned to any example
 480 (by way of agreement ratio), and p_e is the expected agreement when both
 481 models randomly assign labels. p_e is estimated using empirical criteria on
 482 the different classes. The output is therefore a value between -1 and 1. The
 483 maximum value implies complete agreement; a value of 0 or less implies a
 484 random or meaningless agreement.

$$K = \frac{p_o - p_e}{1 - p_e} \quad (7)$$

485 *Matthews Correlation Coefficient (MCC)*. The Matthews Correlation Coef-
 486 ficient (MCC) is a measure of the quality of the rankings. This takes true
 487 and false positives and negatives into account and is generally interpreted as
 488 a balanced measure which can be used even when classes have very different
 489 sizes. A coefficient of 1 implies a perfect prediction, a 0 implies a random
 490 prediction and a -1 implies a totally inverse prediction. The statistic is also
 491 known as the phi coefficient (see equation 8.)

$$MCC = \frac{(TP * TN - FP * FN)}{\sqrt{(TP + FP)(TP + FN)(TP + FP)(TN + FN)}} \quad (8)$$

492 4.3. Results and discussion

493 The table 2 shows the results obtained for the different CNN classifiers
 494 proposed, based on the metrics selected at the macro average level: Precision,
 495 Recall, F1-Score, Accuracy and MCC. A classifier that clearly stands out

Table 2: Performance evaluation using CNN with various classifiers

Classifier	Precision	Recall	F1-Score	Accuracy	MCC
MobileNet	0,47	0,45	0,37	0,46	0.36
VGG16	0,94	0,91	0,92	0,93	0.91
InceptionResNetV2	0,25	0,22	0,2	0,24	-0.02
VGG19	0,18	0,18	0,18	0,19	-0.02

496 above the rest in all metrics is the VGG16. The results obtained by this
 497 classifier exceed in all the metrics analyzed the score of 90 out of 100, which
 498 makes it the best solution we have found to solve the problem of multi-
 499 classification.

500 As shown in the table, the success rate obtained is 93 out of 100, that is to
 501 say, that out of every 100 times that the therapist selects the help of our tool
 502 to find out which category the test result belongs to, employing the VGG16
 503 classifier, we would guess 93 out of the 100 times. The MobileNet classifier
 504 obtains results on the threshold of uncertainty, having an approximate hit
 505 rate when tossing a coin. However, both the InceptionResNetV2 and the
 506 VGG19 fail to adjust to the problem posed, obtaining very pessimistic results
 507 that make it unfeasible to use them to solve the problem.

508 As for the Precision-Recall balance (F1-Score), the VGG16 classifier is
 509 again the one with the best results. It implies that despite of the problem be-
 510 ing unbalanced, the model correctly predicts minority classes, and especially
 511 the class random, which, as recalled, corresponds to the most susceptible
 512 cases of disease.

513 In the case of MCC, it can be seen how the VGG16 approaches remarkably
 514 perfectly in the predictions; however, the rest of the models are closer to the
 515 random prediction (which can be seen perfectly in the Accuracy of these
 516 models).

517 The matrix Figure 6 presents the case of the Cohen’s Kappa classifier,
 518 which is the one that has the greatest agreement with the real classes is the
 519 VGG16 (0.91), followed by the MobileNet network (0.32). It is easy to see
 520 that there is also a certain agreement between them since their value is 0.32;
 521 however, it is not a high agreement value.

522 The Figure 7 compares these results graphically for the all the metrics.
 523 The closer the score is to 100, the better the result of the classifier for that
 524 metric. At a glance, the reader can see how the VGG16 classifier is the one
 525 that obtains the best results in all metrics with a notable difference compared

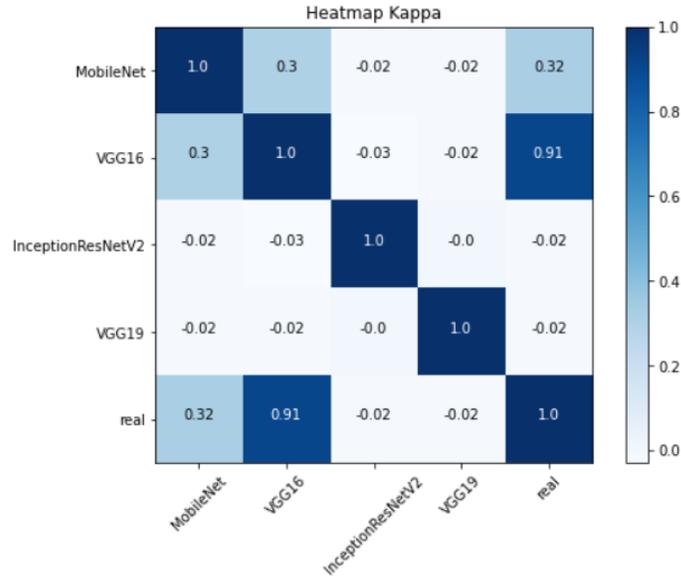


Figure 6: Head Map for the Cohen's Kappa

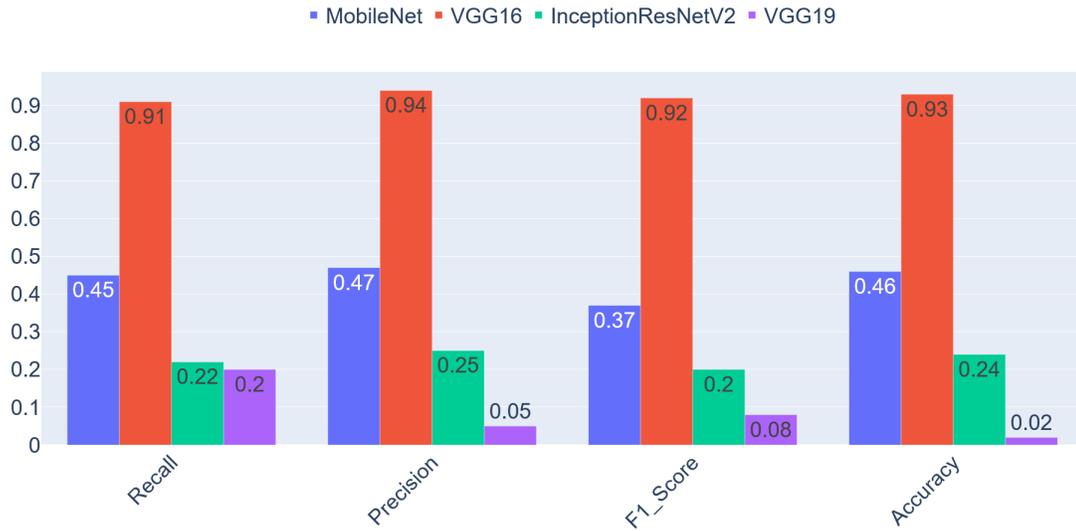


Figure 7: Comparison of classifiers results in in terms of various measures.

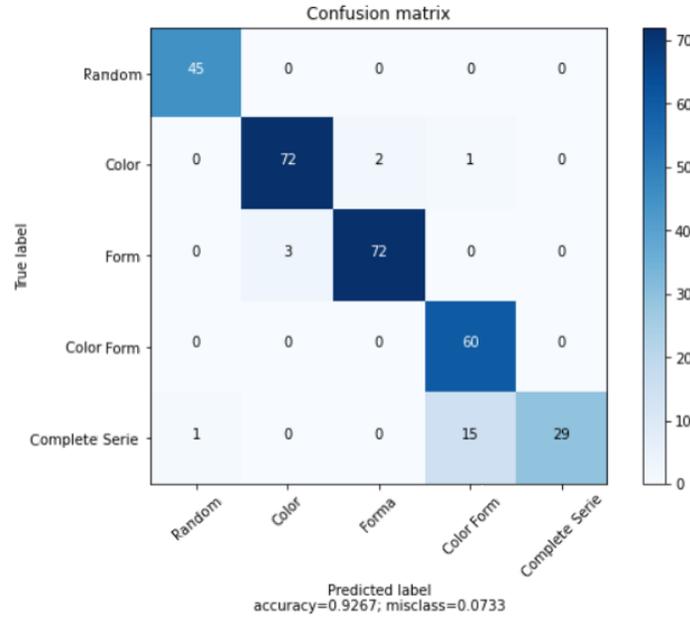


Figure 8: Confusion matrix for the VGG16 classifier

526 to the others.

527 The Figure 8 shows the confusion matrix for the classifier that has ob-
 528 tained the best results on the test set, the VGG16. If we analyze this matrix,
 529 we obtain several conclusions about the behavior of the VGG16 classifier for
 530 this problem, and where it finds the greatest difficulties in prediction. As
 531 seen in the first row of the matrix, for the "random" case, the proposed sys-
 532 tem is always correct, having correctly predicted the 45 "random" cases that
 533 have been provided. In the second row, for the "color" case, we see that he
 534 has been correct 72 times out of the 75 cases that have been provided to him,
 535 erroneously classifying two as "shape" and one as "color shape". In the third
 536 row, for the "form" case, we see that it obtains similar results to the "color"
 537 case, hitting 72 of the 75 times, erroneously classifying 3 as "color" this time.
 538 For the "form color" case, in the fourth row, we see that once again, as in the
 539 "random" case, it has a full number of correct answers, correctly classifying
 540 the 60 proposed cases. In the last row, for the "complete series" case, it is
 541 where we find the most of errors in the proposed model. The Recall metric

542 for this case drops to 64 out of 100 indicating that the proposed model is
543 only capable of classifying 64% of "complete series" cases correctly, while the
544 rest, in its vast majority, classifies them as "color form".

545 This implies that our classifier is sensitive to distinguish between the cases
546 of "color form" and "complete series", that is, there is great uncertainty in
547 the classifier's discrimination power between these two classes; therefore,
548 it may be considered a misleading from the therapist part. However, the
549 results obtained despite the reduced number of images and the possibility
550 that our model improves with the increase in the training set, certifies that
551 it is possible to improve the results. And it is also important to highlight
552 that the tool responds to the therapist correctly in most of cases. It should
553 also be noted that cases of complete series and color form are usually very
554 rare cases in tests performed by patients.

555 **5. Conclusions**

556 In this article, we present a module that provides an application for the
557 evaluation of Acquired Brain Injury (ABI) in patients with a model based
558 on Artificial Intelligence (AI) that suggests diagnosis decision to therapists.
559 The article briefly presents the D-Riska application, based on the Riska test
560 of the LOTCA battery, which is used as the data provider of the developed
561 AI module.

562 To achieve this goal, Cloud Computing services were employed to support
563 the client-server architecture of the application. This architecture includes
564 the model that implements the incremental learning process based on the
565 CNN that is in charge of collecting the information resulting from the eval-
566 uations carried out by the therapists to learn and improve their predictions,
567 while helping therapists to make decisions about patients' diagnosis providing
568 a prediction based on the training performed.

569 The selection of the CNN classifier to be use in the system, we have car-
570 ried out an experimental evaluation where we have compared several trained
571 classifiers with test cases of the problem to solve. Consequently, we have
572 decided that the classifier that best suits this problem is the VGG16, which
573 is capable of making predictions with a 93% hit rate and a 0.92% F1-Score.

574 Observing the experimental results for this classifier, we assume that they
575 validate the performance of the proposed model in terms of different ranking
576 metrics over other ranking models.

577 As future works, this approach could be improved by implementing an
578 expert system or a rule-based system that is capable of increasing the Pre-
579 cision the VGG16 classifier makes its predictions for the "complete series"
580 case, which is the one that generates the most errors in order to increase the
581 Precision to a value close to 100%.

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