

# Assessing The Sustainable Safety Practices Based On Human Behavior Factors: An Application to Chinese Petrochemical Industry

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## Research Article

**Keywords:** Petrochemical Industry, Sustainable Safety Development, BBS, FAHP, Human Factors, FCE

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1                   **Assessing the sustainable safety practices based on**  
2                   **human behavior factors: an application to Chinese**  
3                   **petrochemical industry**

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8                   **Abstract.**

9                   Many catastrophic accidents and irreversible environmental pollution have oc-  
10                  curred in the petrochemical industry, most of which are caused by human factor  
11                  errors. Therefore, sustainable safety development is one of the main tasks of pet-  
12                  rochemical industry. Behavior-based safety (BBS) management is an effective  
13                  means to improve human factor errors and reduce accidents. Previous BBS liter-  
14                  ature studies mainly focused on single method, while rarely combined with other  
15                  quantitative technical methods. This study proposes an extended BBS approach  
16                  that combines the application of fuzzy analytic hierarchy process (FAHP) and  
17                  fuzzy comprehensive evaluation (FCE) to assess sustainable safety performance  
18                  of a petrochemical industry. By using the FAHP for design and prioritizing the  
19                  behavior factors to develop a BBS program and provide a guidance for the safety  
20                  managers and employees on site. The FCE method is applied to evaluate the sus-  
21                  tainable safety performance and this method is a new application of BBS man-  
22                  agement. To verify the validity of the proposed framework, the FCE results be-  
23                  fore and after BBS management are compared. The results show that the ap-  
24                  proach has a positive effect on human factor errors, accident reduction and sus-  
25                  tainable safety development. This paper supplements the knowledge system of  
26                  BBS program in terms of method and carry out two respects and provides leaders  
27                  with guidance on sustainable safety development.

28                  **Keywords:** Petrochemical Industry, Sustainable Safety Development, BBS,  
29                  FAHP, Human Factors, FCE.

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## 37 **1 Introduction**

38 Petrochemical industry contains a large number of flammables, corrosive, toxic and  
39 explosive materials (Rollinson, 2018). A small accident may cause irreversible envi-  
40 ronmental pollution, casualties and societal losses. Review of accident data in recent  
41 years shows that fires, combustible dust explosion, petrochemical leakages, factory ex-  
42 plosions and suffocations have occurred in the petrochemical industry (wang et al.  
43 2020). Furthermore, unsafe production in the petrochemical industry may lead to envi-  
44 ronmental pollution, energy waste, climate change and oil price change, which are re-  
45 garded as a great threat to sustainable safety development (Sharma et al. 2017). There-  
46 fore, it is vital to protect the environment and reduce accidents for move towards sus-  
47 tainable safety development. In order to achieve these sustainable safety development  
48 goals, three aspects need to be considered: environment, society and economy (San-  
49 toyo-Castelazo and Azapagic, 2014). This is gradually recognized by decision makers  
50 and managers, and is reflected in some accident studies. However, the importance of  
51 human factors has been fully established in the causes of occupational injuries and ac-  
52 cidents (Baysari et al. 2008; Kelly and Efthymiou 2019; Karthick et al. 2020).

53 In the petrochemical industry, the consequences of major accidents may be disas-  
54 trous, the environment may need to be remedied quickly, and the economic impact can  
55 be significant for the country and enterprises. (Harsini et al. 2020). The employee's  
56 unsafe behaviors in their daily works can have a direct and indirect impact on workplace  
57 safety and fatalities (Dodoo and Samarraie 2021). Among the direct causes of accident,  
58 Heinrich believes that 88 percent are unsafe behavior of persons, 10 percent are unsafe  
59 situations, and 2 percent are unpreventable (Heinrich 1931). Reason claims that human  
60 behaviors are a root cause of accidents; these behaviors are sometimes deliberate and  
61 other times, inadvertent (Reason 2000). Garlapati et al. support this stated that unsafe  
62 acts of persons cause most of the accidents and occupational injuries in the oil and gas  
63 sectors (Garlapati et al. 2013). It therefore follows that, if unsafe behaviors can be mod-  
64 ified, accidents and occupational injuries will be reduced as well. Although in subse-  
65 quent causation models, the cause of the accident extends to supervisors and senior  
66 managers such as the Swiss cheese model (Reason et al. 2006) and Loss Causation  
67 Model (Chua and Goh 2004), understanding and improving unsafe behavior is still one  
68 of the significant research subjects in sustainable safety development.

69 The two effective management methods are found to be a safety culture-based ap-  
70 proaches and behavior-based safety. Thus, one effective approach used to improve un-  
71 safe acts of persons is behavior-based safety (BBS) (Geller 2005; Navidian et al. 2015).  
72 BBS has been successfully applied in various area in North America, Asia and Europe  
73 for over the past years such as mining (Hagge et al. 2017), the construction industry  
74 (Lee et al. 2019), robots (Scianca et al. 2021), the E-waste collection (Battoo et al. 2021)  
75 and the vehicle industry (Wang et al. 2018). The above researches demonstrate the ef-  
76 fectiveness of BBS management on improving unsafe behaviors and sustainable safety  
77 performance. There is no agreed concept of Behavior-Based Safety (BBS), but it is  
78 usually considered as an approach aiming at safety intervening and modifying unsafe  
79 acts of persons (Geller 1999; Geller 2002). The BBS approach is established on correc-

80 tive feedback and positive observation rather than punitive measures, which the work-  
81 ers get after being observed immediately by colleagues or managers. The approach may  
82 include corrective feedback for unsafety behavior and proposals on how to promote  
83 safety acts (Brosschot et al. 2018). The combination of the safety observation, goal  
84 setting and feedback process together with management support is aimed to identifica-  
85 tion critical unsafe behavior, promote safety climate and reduce occupational injuries  
86 and accidents. Furthermore, safety behaviors have become play an important mecha-  
87 nism in preventing or reducing serious consequence such as occupational fatalities and  
88 casualty (Li et al. 2020). Safety behavior is defined as the act of improving safety and  
89 health for employees, the community, and the work environment (McSween and Moran  
90 2017). As a result, more and more research has focusing on analyzing and identifying  
91 safety behavior as a tool for reducing industry accidents. In particular, it is necessary to  
92 strengthen the empirical research on factors affecting employees' unsafe behaviors.  
93 This means that more attention should be paid to the analysis and understand of human  
94 factors or behaviors (Mirzaei et al. 2020), such as stress, training, experience, etc.  
95 (Sauer et al. 2016). Therefore, an effective way to improve people's unsafe behavior is  
96 BBS.

97 These researches provide support for the concentrate on participation in a BBS man-  
98 agement. Furthermore, BBS management provides a procedural approach to construct  
99 sustainable safety performance gains and process control. BBS theory claim that as  
100 employee's safety awareness and behavior habits are not inherent, therefore, they can  
101 be improved with education and training (Feng et al. 2020), and the successful imple-  
102 mentations of the BBS method appear significant. Although BBS has many successful  
103 cases, others point out that there is still room for improvement (Alkaissy et al. 2020).  
104 For instance, in the petrochemical and petroleum industry, the quantitative assessment  
105 and analysis of the behavior of employees is still scarcely considered. In fact, the im-  
106 provement of human safety depends on the reduction of the risks for the employees  
107 when carry out routine work considering safety behavior (Rodriguez et al. 2017; Das-  
108 gupta et al. 2020). In order to reduce the occasional of industry accidents, the study  
109 focused on the behavioral and assessment side of the employees, design and implement  
110 BBS program, which starts from the understanding and identification of potential un-  
111 safe behavior to assess the employees' safety performance (Norton et al. 2017). There-  
112 fore, to preferably solve the accidents and occupational injuries, the combination of a  
113 fuzzy analytic hierarchy process (FAHP), a BBS and fuzzy comprehensive evaluation  
114 (FCE) should be proposed. There are several reasons that we apply the combined  
115 method.

116 Analytic hierarchy process (AHP) is a decision-making method to analyze and solve  
117 complex problems based on mathematics and psychology (Nguyen et al. 2015). How-  
118 ever, the determinants of sustainable safety performance evaluation contain intangible  
119 side of a precise data. It becomes difficult for human to immediately describe and eval-  
120 uate sustainable safety performance. Since people and preference judgments are usually  
121 vague and uncertain, they cannot assess their preference with an accurate numerical  
122 value (Pramanik et al. 2020). Additionally, the evaluating factors involve complex and  
123 vague internal relationships, leading to a fuzzy evaluation result (Mohsenzadeh et al.

124 2019). As a result of, it is necessary to utilize a hybrid method to evaluate the sustain-  
 125 able safety performance involving linguistic variables (Ma et al. 2021). Fuzzy set is an  
 126 effective and appropriate tool to tackle uncertain problems. While, AHP, developed by  
 127 Saaty, is a reasonable decision-making approach (Saaty 1980). As an extension of AHP,  
 128 FAHP can solve the problem of fuzzy multi-criteria decision-making and it has been  
 129 widely applied in various fields, such as project prioritization and selection (Shaygan  
 130 and Testik 2019; Wang et al. 2019), capital investment (Khashei and Sharifan 2020;  
 131 Chien et al. 2021), Brand Preference (Hsu et al. 2021), three-dimensional printers (Chen  
 132 and Wu 2021), sustainable production and consumption (Shete et al. 2020; Goyal et al.  
 133 2021), manufacturing service (Gul et al. 2018; Hu et al. 2021), oil industry (Iqbal et al.  
 134 2021) and city construction (Lyu et al. 2020). So, the FAHP is appropriate for calculat-  
 135 ing the factors weights in the assessment model. To improve employees' sustainable  
 136 safety performance, this paper develops a fuzzy management behavior-based assess-  
 137 ment model by using FAHP, BBS and FCE (Chang 1992; Chang 1996).

138 The rest of this paper is organized as follow. In Section 2 and 3, we describe the  
 139 related definition of FAHP and FCE, respectively. In Section 4, taking a petrochemical  
 140 plant as an example, the combined application of the FAHP, FCE and BBS manage-  
 141 ment. The main discussions are drawn in Section 5. The conclusions and limitations of  
 142 the study are given in Sections 6 and 7, respectively.

## 143 2 Fuzzy analytic hierarchy process

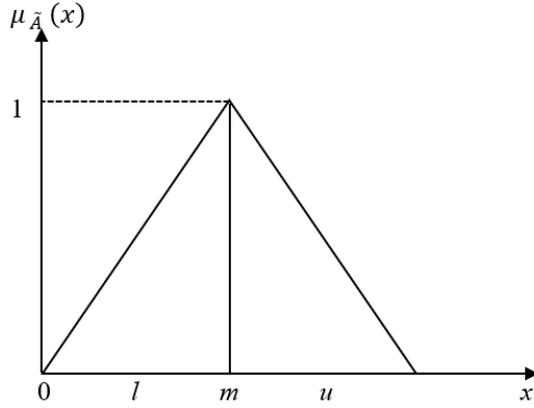
144 In this section, we introduce some definition and operational laws correlated to trian-  
 145 gular fuzzy number (TFN).

### 146 2.1 Triangular fuzzy numbers

147 A fuzzy number  $A$  on  $R$  to be TFN if its membership function  $\mu_{\tilde{A}}(x): R \rightarrow [0,1]$  is  
 148 equal to following Eq. (1) (Chang 1996)

$$149 \mu_{\tilde{A}}(x) = \begin{cases} \frac{x-l}{m-l} & \text{for } l \leq x \leq m \\ \frac{u-x}{u-m} & \text{for } m \leq x \leq u \\ 0 & \text{otherwise} \end{cases} \quad (1)$$

150 Where  $l \leq m \leq u$ ,  $l$  and  $u$  can be expressed the lower and upper values of the respec-  
 151 tively support of  $\tilde{A}$ , and  $m$  for the modal value (as Fig. 1). When  $l = m = u$ , the TFN  
 152 becomes a non-fuzzy number.



153  
154

**Fig. 1.** A triangular fuzzy number,  $\tilde{A} = (l, m, u)$ .

155 **2.2 The operational laws of TFN**

156 Let  $\tilde{A} = (l_1, m_1, u_1)$  and  $\tilde{B} = (l_2, m_2, u_2)$  be two TFN, then their operations are shown  
157 as follows (Kauffman and Gupta 1991):

158 
$$\tilde{A} \oplus \tilde{B} = (l_1, m_1, u_1) \oplus (l_2, m_2, u_2) = (l_1 + l_2, m_1 + m_2, u_1 + u_2) \quad (2)$$
  
for  $l_i > 0, m_i > 0, u_i > 0, i = 1, 2$

159 
$$\tilde{A} \ominus \tilde{B} = (l_1, m_1, u_1) \ominus (l_2, m_2, u_2) = (l_1 - l_2, m_1 - m_2, u_1 - u_2) \quad (3)$$

160 
$$\tilde{A} \otimes \tilde{B} = (l_1, m_1, u_1) \otimes (l_2, m_2, u_2) = (l_1 l_2, m_1 m_2, u_1 u_2) \quad (4)$$

161 
$$\tilde{A} \oslash \tilde{B} = (l_1, m_1, u_1) \oslash (l_2, m_2, u_2) = (l_1 / u_2, m_1 / m_2, u_1 / u_2) \quad (5)$$

162 
$$A^{-1} = (l_1, m_1, u_1)^{-1} = (l_1 / u_2, m_1 / m_2, u_1 / u_2) \quad (6)$$

163 **2.3 Distance of TFN**

164 Let  $\tilde{A} = (l_1, m_1, u_1)$ ,  $\tilde{B} = (l_2, m_2, u_2)$ , the distance between  $\tilde{A}$  and  $\tilde{B}$  is defined as follows  
165 (Van and Pedrycz 1983):

166 
$$d(\tilde{A}, \tilde{B}) = \frac{(l_1 - l_2) + (m_1 - m_2) + (u_1 - u_2)}{3} \quad (7)$$

167 **2.4 The extent analysis FAHP method**

168 The process of Chang's FAHP can be discussed as follows, the fuzzy pairwise compar-  
169 ison matrix  $\tilde{A} = (\tilde{a}_{ij})_{n \times n}$  can be mathematically defined as follow:

$$170 \quad \tilde{A}^c = \begin{bmatrix} 1 & \alpha_{l_2} & L & \alpha_{l_n} \\ \alpha_{l_1} & 1 & L & \alpha_{l_n} \\ L & L & L & L \\ \alpha_{l_1} & \alpha_{l_2} & L & 1 \end{bmatrix} = \begin{bmatrix} 1 & \alpha_{l_2} & L & \alpha_{l_n} \\ 1/\alpha_{l_2} & 1 & L & \alpha_{l_n} \\ L & L & L & L \\ 1/\alpha_{l_1} & 1/\alpha_{l_2} & L & 1 \end{bmatrix} \quad (8)$$

171 where

$$172 \quad \tilde{A}^c = \alpha_{l_j} = \begin{cases} 1 & i = j \\ 1, 3, 5, 7, 9 \text{ or } \dots, 1^{-1}, 3^{-1}, 5^{-1}, 7^{-1}, 9^{-1} & i \neq j \end{cases} \quad (9)$$

173 For  $S_i$ , the fuzzy synthetic extent value, with respect to the  $i$ -th object is express as:

$$174 \quad S_i = \sum_{j=1}^m M_{ij} \otimes \left[ \sum_{i=1}^n \sum_{j=1}^m M_{ij} \right]^{-1} \quad (10)$$

175 With

$$176 \quad \sum_{j=1}^m M_{ij} = \left( \sum_{j=1}^m l_{ij}, \sum_{j=1}^m m_{ij}, \sum_{j=1}^m u_{ij} \right), \quad i = 1, 2, \dots, n \quad (11)$$

$$177 \quad \sum_{i=1}^n \sum_{j=1}^m M_{ij} = \left( \sum_{i=1}^n \sum_{j=1}^m l_{ij}, \sum_{i=1}^n \sum_{j=1}^m m_{ij}, \sum_{i=1}^n \sum_{j=1}^m u_{ij} \right) \quad (12)$$

$$178 \quad \left[ \sum_{i=1}^n \sum_{j=1}^m M_{ij} \right]^{-1} = \left( \frac{1}{\sum_{i=1}^n \sum_{j=1}^m u_{ij}}, \frac{1}{\sum_{i=1}^n \sum_{j=1}^m m_{ij}}, \frac{1}{\sum_{i=1}^n \sum_{j=1}^m l_{ij}} \right) \quad (13)$$

179 The degree of possibility of  $S_j = (l_j, u_j, m_j) \geq S_i = (l_i, u_i, m_i)$  is denoted as:

$$180 \quad V(S_j \geq S_i) = \text{height}(S_i \cap S_j) = \begin{cases} 1 & \text{if } m_j \geq m_i \\ 0 & \text{if } l_i \geq u_j \\ \frac{l_i - u_j}{(m_j - u_j) - (m_i - l_i)} & \text{otherwise} \end{cases} \quad (14)$$

181 To compare between  $S_j$  and  $S_i$ , it is required to calculate both  $V(S_i \geq S_j)$  and  $V(S_j \geq S_i)$ .  
182 The minimum degree of possibility  $d(i)$  of  $V(S_j \geq S_i)$  for  $i, j = 1, 2, \dots, k$  is calculated as  
183 follows (see Fig. 2):

$$184 \quad \begin{aligned} & V(S \geq S_1, S_2, S_3, L, S_k) \\ & = V[(S \geq S_1) \text{和} (S \geq S_2) \text{和} (S \geq S_k)] \\ & = \min V(S \geq S_i), i = 1, 2, 3, L, k \end{aligned} \quad (15)$$

185 If assumed  $d(A_i) = \min V(S \geq S_i)$ , for  $i = 1, 2, L, k$ . Then, the weight vector is given  
186 as:

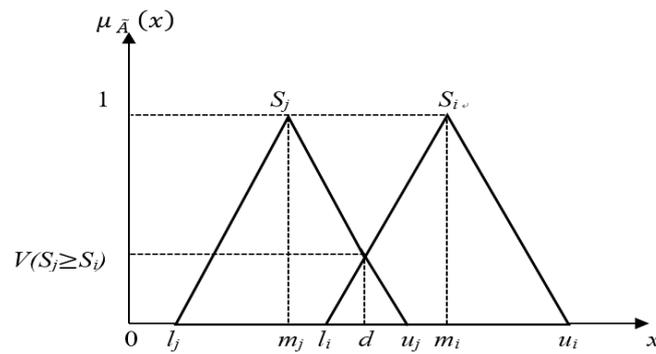
187 
$$W = (d(A_1), d(A_2), L, d(A_n))^T \quad (16)$$

188 Here  $A_i (i = 1, 2, \dots, n)$  are  $n$  elements.

189 The weight vectors are obtained by normalizing as below:

190 
$$W = (W_1, W_2, L, W_n)^T \quad (17)$$

191 Where  $W$  is a real number.



192

193

**Fig. 2.** The intersection between  $S_j$  and  $S_i$ .

194 **2.5 Questionnaire design and linguistic scales**

195 In this study, TFN expresses a subjective pairwise comparison of decision makers. The  
 196 linguistic terms and graphical representation of triangular linguistic labels are shown in  
 197 Table 1 and Fig. 3 ((Kahraman et al. 2006)).

198 **Table 1** Linguistic scales and fuzzy scales for importance.

Linguistic scale for importance	Triangular fuzzy scale	Triangular fuzzy reciprocal scale
Absolutely more important (AMI)	$(5/2, 3, 7/2)$	$(2/7, 1/3, 2/5)$
Very strongly more important (VSMI)	$(2, 5/2, 3)$	$(1/3, 2/5, 1/2)$
Strongly more important (SMI)	$(3/2, 2, 5/2)$	$(2/5, 1/2, 2/3)$
Weakly more important (WMI)	$(1, 3/2, 2)$	$(1/2, 2/3, 1)$
Equally important (EI)	$(1/2, 1, 3/2)$	$(2/3, 1, 2)$
Just equal	$(1, 1, 1)$	$(1, 1, 1)$

199

200 namely, the importance of one factor over another is divided into six levels

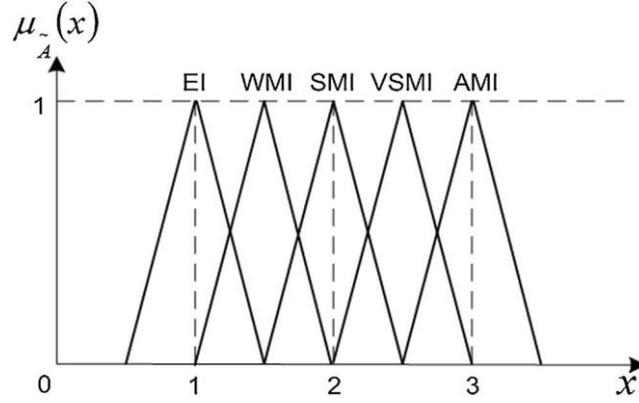


Fig 3. Linguistic scale for relative importance.

201  
202

### 203 2.6 Establishing the comparison matrix of the decision-makers

204 Integration the opinions of multiple decision makers is one of the characteristics of the  
205 AHP. In the application of this method, geometric mean operation is commonly used  
206 to integrating group opinions, and this operation satisfies Pareto principle (unanimity  
207 condition) and homogeneity condition (Chen et al. 2015). This study is composed of K  
208 decision-makers (experts), who construct the group comparison matrix by compare n  
209 factors in pairs. In the group comparison matrix, the TFNs can be expressed as:

$$\begin{aligned}
 l_{ij} &= \min(l_{ijk}), (k = 1, 2, L, K) \\
 m_{ij} &= \sqrt[k]{\prod_{k=1}^K m_{ijk}}, (k = 1, 2, L, K) \\
 u_{ij} &= \max(u_{ijk}), (k = 1, 2, L, K)
 \end{aligned}
 \tag{18}$$

211 As a result of this comparisons, a series of k matrices,  $\tilde{A}_k = (\tilde{a}_{ijk})$ , can be obtained;  
212 where  $\tilde{a}_{ijk} = (l_{ij}, m_{ij}, u_{ij})$  denotes an importance of factor i to j relatively, as evaluated by  
213 the decision-maker k.

### 214 2.7 The consistency tests

215 In order to obtain rational assessment results, the consistency must be tested. Conse-  
216 quently, a fuzzy comparison matrix is transformed into a crisp matrix. In this study,  
217 Chang's approach is used to defuzzify a fuzzy number and the fuzzy perception can be  
218 expressed reasonably (Chang et al. 2009). A TFN represented as  $\tilde{a}_{ij} = (l_{ij}, m_{ij}, u_{ij})$   
219 can be defuzzified to a crisp number as follows:

$$(a_{ij}^\alpha)^\lambda = [\lambda l_{ij}^\alpha + (1 - \lambda) u_{ij}^\alpha], 0 \leq \lambda \leq 1, 0 \leq \alpha \leq 1
 \tag{19}$$

221 Where  $l_{ij}^\alpha = (m_{ij} - l_{ij}) \times \alpha + l_{ij}$ , is interpreted as the left-end value of  $\alpha$ -cut for  $a_{ij}$ .  
222 Conversely,  $u_{ij}^\alpha = u_{ij} - (u_{ij} - m_{ij}) \times \alpha$  is interpreted as the right-end value of  $\alpha$ -cut.  
223  $\lambda$  indicates the degree of optimism among decision-makers, which is any numerical  
224 value from 0 to 1 [52]. When  $\lambda$  is equal to 0, the degree of optimism is maximum among

225 decision-makers. Conversely, when  $\lambda$  is equal to 1, a decision-maker is highly pessimistic.  
 226 Additionally, the decision-making circumstances fluctuating if  $\alpha$  decreases.  
 227 When  $\alpha = 0$ , the degree of uncertainty is the maximum. Therefore, the above formula  
 228 can be described as a fluctuating or stable condition, and its range is from 0 to 1.

229 In a comparison matrix, all the factors of assessment model can be transformed from  
 230 TFNs to crisp numbers (Hsu et al. 2016), which is shown as follows:

$$231 \quad [(A^\alpha)^\lambda] = [(a_{ij}^\alpha)^\lambda] = \begin{bmatrix} 1 & (a_{12}^\alpha)^\lambda & L & (a_{1n}^\alpha)^\lambda \\ (a_{21}^\alpha)^\lambda & 1 & L & (a_{2n}^\alpha)^\lambda \\ L & L & L & L \\ (a_{n1}^\alpha)^\lambda & (a_{n2}^\alpha)^\lambda & L & 1 \end{bmatrix} \quad (20)$$

232 Where, superscripts  $\alpha$  and  $\lambda$  are for comparisons based on equation (19).

233 In order to check the consistency of the comparison matrix, the consistency index  
 234 ( $CI$ ) and the consistency ratio ( $CR$ ) are given as [34]:

$$235 \quad CI = \frac{\lambda_{\max} - n}{n - 1} \quad (21)$$

$$236 \quad CR = \frac{CI}{RI} \quad (22)$$

237 Here  $RI$  is the random index and  $\lambda_{\max}$  denote the maximum eigenvalue. As is shown  
 238 in Table 2, if  $CR \leq 0.1$ , the consistency of the judgment matrix is acceptable range.

239 **Table 2** The random consistency index

$n$	1	2	3	4	5	6	7	8	9
$RI$	0	0	0.58	0.09	1.12	1.24	1.32	1.41	1.45

### 240 **3 Fuzzy comprehensive evaluation method**

241 FCE is an application of the fuzzy set theory. FCE is a quantitative, reasonable and  
 242 objective evaluation method, suggested by Zadeh (Zadeh 1965; Zadeh 1978). Although  
 243 FCE has been widely carried out in many areas, there are few utilizations of FCE in the  
 244 field of the BBS management. In this paper, we used FCE as a tool for sustainable  
 245 safety performance assessment and its application steps are as follows:

#### 246 **3.1 Evaluation factor set**

$$247 \quad U = \{U_{m1}, U_{m2}, \dots, U_{mp}\} \quad (23)$$

248 Where  $m$  denotes number of criteria layers,  $p$  is the number of sub-criteria layers.

#### 249 **3.2 Evaluation set**

$$250 \quad V = \{V_1, V_2, \dots, V_n\} \quad (24)$$

251 Evaluation set  $V$  is consisted of different evaluation results. In this paper,  $V$  is can be  
 252 divided into  $n$  subsets ( $n=5$ ).  $V_1, V_2, V_3, V_4$  and  $V_5$  denote very low, low, medium, high,

253 very high, respectively. They demonstrate the uncertainty and ambiguity of human  
 254 thinking.

### 255 3.3 Determining a fuzzy relationship matrix

256 In the evaluation factor set, a single-factor is evaluated to determine its membership  
 257 degree. The fuzzy relation matrix can be expressed as follows:

$$258 \quad R=(r_{ij})_{m \times n} = \begin{bmatrix} r_{11} & r_{12} & \dots & r_{1n} \\ r_{21} & r_{22} & \dots & r_{2n} \\ \dots & \dots & \dots & \dots \\ r_{m1} & r_{m2} & \dots & r_{mn} \end{bmatrix} \quad (25)$$

259 where,  $r_{ij}$  is the fuzzy membership degree result of the  $i$ th factor belong to the  $j$ th rank.

### 260 3.4 Calculating fuzzy comprehensive evaluation results

261 As described in section 4, the weight set  $W$  is obtained by using FAHP. Therefore, the  
 262 FCE model can be established based on weight set  $W$  and fuzzy relationship matrix  $R$   
 263 as follow:

$$\begin{aligned} B &= W \cdot R \\ &= (W_1, W_2, \dots, W_m) \begin{bmatrix} r_{11} & r_{12} & \dots & r_{1n} \\ r_{21} & r_{22} & \dots & r_{2n} \\ \dots & \dots & \dots & \dots \\ r_{m1} & r_{m2} & \dots & r_{mn} \end{bmatrix} \\ &= (b_1, b_2, \dots, b_n) \end{aligned} \quad (26)$$

265 The results of the FCE can be obtained based on the maximum membership principle.

## 266 4 The proposed framework

267 BBS management is mainly used to improve the safety behaviors performance of em-  
 268 ployees. However, in the lack of a large amount of data, it is necessary to integrate  
 269 expert judgments of experience into the safety assessment. In order to evaluate the  
 270 safety of complex management system, a flexible and comprehensive technique method  
 271 needs to be provided. Therefore, our proposed method integrates the FAHP, multi-cri-  
 272 teria technique, fuzzy comprehensive evaluation with BBS management. This method  
 273 integrates the group consistent decision-making principle, which can not only select the  
 274 suitable alternative but also scientifically quantify the sustainable safety performance  
 275 of employees. In Fig. 4, we describe a flowchart of the assessment framework and  
 276 safety behaviors analysis based on the combined application of FAHP, FCE and BBS  
 277 management.  
 278

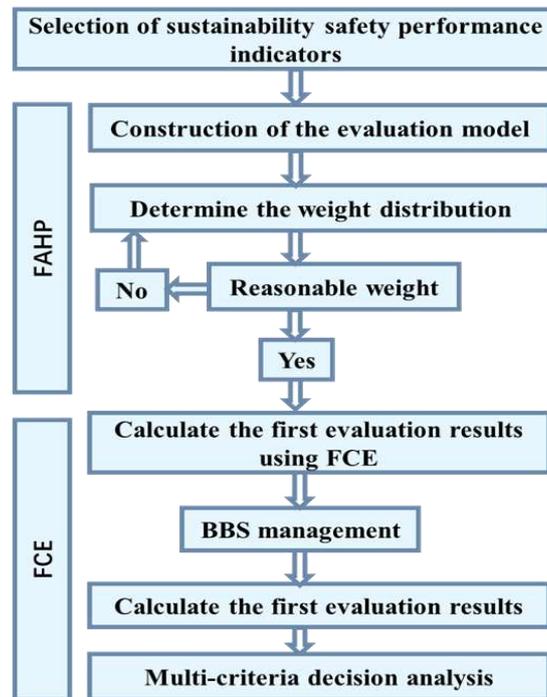


Fig. 4. The flowchart of the proposed assessment framework.

279  
280

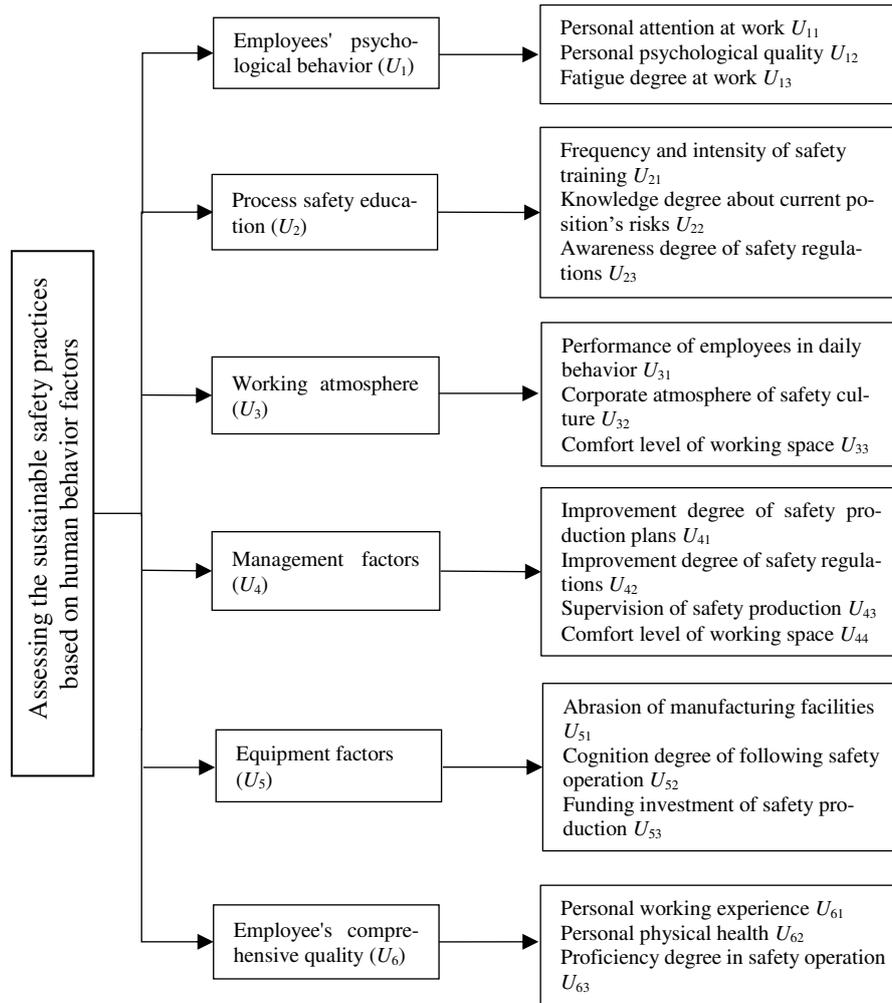
281 As illustrated, FAHP, FCE and BBS process are combined as a part of the safety man-  
282 agement. The colored module shows the application of FAHP and FCE quantified  
283 safety behavior, which is implemented by the combination assessment of these two  
284 approaches.

285 More specifically, the FAHP is used to pairwise comparisons which are calculate the  
286 local and global priority values and prioritize the safety behaviors factors. Next,  
287 the evaluation grade is calculated, the conclusion of performance of employees' safety be-  
288 havior is obtained by combining qualitative analysis and quantitative methods. Accord-  
289 ing to the application of BBS program, the safety behavior of employees is guided and  
290 observed and intervene in the chemic plant. Finally, after a period of BBS process, the  
291 assessment grade is again calculated about sustainable safety performance.

#### 292 4.1 Application of proposed research framework

293 The mathematical model has been applied to a state-owned petrochemical plant in  
294 China. This petrochemical plant includes an administrative leading department, facility  
295 management, petroleum processing department, quality control department, oil and gas  
296 gathering team, oil refining department, information management center, etc. Analyze  
297 the occupational injury statistics of this company, it is found that the accidents are  
298 mainly caused by human factors. Detailed analysis shows that about 90% of industry  
299 accidents are caused by employees' unsafe behaviors. In the high-risk operation system,  
300 it is of great significant to determine the safety behavior factors and take necessary

301 preventive measures to reduce the accidents occupational injuries. Furthermore, with  
 302 the help of an experienced scholar and administrator, 5 groups of 30 experienced man-  
 303 agers, supervisor, scholars, engineers and employees have been discussed and adjusted  
 304 the safety behavior factors of petrochemical plant. In consequence, the model is built  
 305 by five group of experts as shown in Figure 5.



306

307

**Fig. 5.** The assessment model of sustainable safety performance

308 As shown in Fig. 5, the evaluation index system consists of three levels. The first level  
 309 is the target layer. The second level is the criterion layer, which includes six factors  
 310 ( $U_1$ - $U_6$ ), such as employees' psychological behavior ( $U_1$ ), Process safety education  
 311 ( $U_2$ ), working atmosphere ( $U_3$ ), management factors ( $U_4$ ), equipment factors ( $U_5$ ) and

312 employee's comprehensive quality ( $U_6$ ). The third level is the sub-criterion layer in-  
 313 cluding nineteen sub-factors ( $U_{11}$ - $U_{63}$ ).

#### 314 4.2 Weights are calculated using FAHP

315 To calculate the criterion weights, five groups of 30 experts are designated. The experts  
 316 were organized for an in-depth interview, and asked to compare six criteria and 19 sub-  
 317 criteria in the evaluation model. Pairwise comparisons, sourced from the expert evalu-  
 318 ations on the importance of one criterion over another relatively, are used to establish  
 319 the comparison matrix of every expert. The geometric mean operation is utilized to  
 320 obtain the representative comparison matrix of each expert group by Eq. (18). Three  
 321 groups of factors of representative comparison matrix collected from the expert group  
 322 are respectively shown in Tables 3.

323 **Table 3** Comparison matrix of the factors.

	$U_1$	$U_2$	$U_3$	$U_4$	$U_5$	$U_6$
$U_1$	(1,1,1)	(0.5,1.18,2)	(0.5,1.2,3)	(0.29,1.13,3)	(0.29,1.2,3)	(0.5,1.97,3.5)
$U_2$	(0.5,0.69,2)	(1,1,1)	(0.33,0.9,2)	(0.33,1.29,3)	(0.5,0.91,3)	(0.5,1.55,3.5)
$U_3$	(0.33,0.83,2)	(0.5,1.08,3)	(1,1,1)	(0.67,1.55,3)	(0.33,0.77,3)	(0.5,1.46,3.5)
$U_4$	(0.33,0.88,3)	(0.5,0.8,3.5)	(0.5,0.64,1.5)	(1,1,1)	(0.5,1.19,3.5)	(0.67,1.38,2)
$U_5$	(0.33,0.83,3)	(0.33,1.1,2.5)	(0.3333,1.3)	(0.29,0.84,0)	(1,1,1)	(0.5,1.21,1)
$U_6$	(0.29,0.51,2)	(0.29,0.8,2)	(0.29,0.99,3)	(0.5,0.72,1.5)	(0.4,0.82,2)	(1,1,1)

324 According to the environmental and conditional uncertainty, the experts can decide the  
 325  $\alpha$ -cut subjectivity. In this paper,  $\alpha = 0.5$  is used to express that conditional and envi-  
 326 ronmental uncertainty is stabilized, and  $\lambda = 0.5$  denotes that the attitude of the experts  
 327 is fair and rational. When  $\alpha = 0.5$ ,  $\lambda = 0.5$ , the defuzzification of in Table 3 is expressed  
 328 as follows:

$$329 \quad l_{12}^{0.5} = (1.1761 - 0.5) \times 0.5 + 0.5 = 0.8381$$

$$330 \quad u_{12}^{0.5} = 2 - (2 - 1.1761) \times 0.5 = 1.5881$$

$$331 \quad (a_{12}^{0.5})^{0.5} = [0.5 \times 0.8381 + (1 - 0.5) \times 1.5881] = 1.2131$$

332 Similar to the calculation above, the crisp comparison matrix of all factors is obtained  
 333 in Table 4,

334 **Table 4** Crisp comparison matrix of the factors

	$U_1$	$U_2$	$U_3$	$U_4$	$U_5$	$U_6$
$U_1$	1.0000	1.2131	1.4756	1.3885	1.4220	1.9872
$U_2$	0.8243	1.0000	1.1181	1.4399	1.3302	1.7760
$U_3$	0.6777	0.8944	1.0000	1.8176	1.2172	1.7307
$U_4$	0.7202	0.6945	0.5502	1.0000	1.5957	1.3583
$U_5$	0.7032	0.7518	0.8216	0.6267	1.0000	1.1084
$U_6$	0.5032	0.5631	0.5778	0.7362	0.9022	1.0000

335  
 336 The next step is to calculate the consistency of the crisp comparison matrix. Firstly, by  
 337 using Eqs. (21) and (22),  $CR = 0.0108 < 0.1$ . This shows that the crisp comparison  
 338 matrix satisfies consistency and the weight distribution is reasonable.

339 We use Chang's extent analysis method and the fuzzy evaluation matrix of six factors  
 340 ( $U_1—U_6$ ) in Table 3 to calculate the factors weights. Using Eq. (10) - (14) to calculate  
 341 the value of fuzzy synthetic extent, the factors and sub-factor weights is computed by  
 342 the FAHP. Taking the factor weights of the pairwise comparison matrix in Table 3 as  
 343 an example, the value of fuzzy synthetic extent is calculated as follow:

$$344 \quad S_1 = (3.071, 7.687, 15.5) \otimes \left( \frac{1}{81.9}, \frac{1}{37.751}, \frac{1}{18.61} \right) = (0.038, 0.204, 0.833)$$

$$345 \quad S_2 = (3.167, 6.34, 15) \otimes \left( \frac{1}{81.9}, \frac{1}{37.751}, \frac{1}{18.61} \right) = (0.043, 0.156, 0.806)$$

$$346 \quad S_3 = (3.333, 6.69, 16) \otimes \left( \frac{1}{81.9}, \frac{1}{37.751}, \frac{1}{18.61} \right) = (0.039, 0.168, 0.806)$$

$$347 \quad S_4 = (3.5, 5.904, 15) \otimes \left( \frac{1}{81.9}, \frac{1}{37.751}, \frac{1}{18.61} \right) = (0.034, 0.167, 0.478)$$

$$348 \quad S_5 = (2.786, 6.29, 8.9) \otimes \left( \frac{1}{81.9}, \frac{1}{37.751}, \frac{1}{18.61} \right) = (0.041, 0.177, 0.860)$$

$$349 \quad S_6 = (2.757, 4.841, 11.5) \otimes \left( \frac{1}{81.9}, \frac{1}{37.751}, \frac{1}{18.61} \right) = (0.034, 0.128, 0.618)$$

350 The degree of possibility of every factor over the others is calculated by using Eqs.  
 351 (15), which results are shown as follow:

$$352 \quad V(S_1 \geq S_2) = 1, \quad V(S_1 \geq S_3) = 1, \quad V(S_1 \geq S_4) = 1, \quad V(S_1 \geq S_5) = 1, \quad V(S_1 \geq S_6) = 1.$$

$$353 \quad V(S_2 \geq S_1) = \frac{l_1 - u_2}{(m_2 - u_2) - (m_1 - l_1)} = \frac{0.038 - 0.806}{(0.156 - 0.806) - (0.204 - 0.038)} = 0.9418, \quad V(S_2 \geq S_3)$$

$$354 \quad = 0.9846,$$

$$355 \quad V(S_2 \geq S_4) = 0.986, \quad V(S_2 \geq S_5) = 0.9721, \quad V(S_2 \geq S_6) = 1.$$

$$356 \quad V(S_3 \geq S_1) = 0.9552, \quad V(S_3 \geq S_2) = 1, \quad V(S_3 \geq S_4) = 1, \quad V(S_3 \geq S_5) = 0.9884, \quad V(S_3 \geq S_6)$$

$$357 \quad = 1.$$

$$358 \quad V(S_4 \geq S_1) = 0.9224, \quad V(S_4 \geq S_2) = 1, \quad V(S_4 \geq S_3) = 0.9977, \quad V(S_4 \geq S_5) = 0.9776, \quad V(S_4$$

$$359 \quad \geq S_6) = 1.$$

$$360 \quad V(S_5 \geq S_1) = 0.9364, \quad V(S_5 \geq S_2) = 1, \quad V(S_5 \geq S_3) = 1, \quad V(S_5 \geq S_4) = 1, \quad V(S_5 \geq S_6) = 1.$$

$$361 \quad V(S_6 \geq S_1) = 0.8842, \quad V(S_6 \geq S_2) = 0.9536, \quad V(S_6 \geq S_3) = 0.9354, \quad V(S_6 \geq S_4) = 0.9374,$$

$$362 \quad V(S_6 \geq S_5) = 0.9217.$$

363 Thereafter, identifying the minimum degree of possibility  $d(i)$  of  $V(S_i \geq S_j)$  for  $i, j = 1,$   
 364  $2, \dots, 6$  by using Eq. (16), we have

$$365 \quad d'(1) = \min V(S_1 \geq S_2, S_3, S_4, S_5, S_6) = 1.0000$$

$$366 \quad d'(2) = \min V(S_2 \geq S_1, S_3, S_4, S_5, S_6) = 0.9721$$

$$367 \quad d'(3) = \min V(S_3 \geq S_1, S_2, S_4, S_5, S_6) = 0.9552$$

$$368 \quad d'(4) = \min V(S_4 \geq S_1, S_2, S_3, S_5, S_6) = 0.9224$$

$$369 \quad d'(5) = \min V(S_5 \geq S_1, S_2, S_3, S_4, S_6) = 0.9364$$

$$370 \quad d'(6) = \min V(S_6 \geq S_1, S_2, S_3, S_4, S_5) = 0.8842$$

371

372 Therefore, based on Eqs. (16), the weight vector  $W$  can be obtained as:

$$373 \quad W = (1, 0.9721, 0.9552, 0.9224, 0.9364, 0.8842)^T$$

374 The weight vector is normalized by using Eq. (17). The normalized weights vectors of  
 375 the six factors are calculated as follow:  
 376

377  $W = (W_{U1}, W_{U2}, W_{U3}, W_{U4}, W_{U5}, W_{U6})^T = (0.1764, 0.1714, 0.1685, 0.1627, 0.1651,$   
 378  $0.1559)^T$

379 Similarly, the weight vectors  $W_1, W_2, W_3, W_4, W_5, W_6$  of sub-factors are calculated  
 380 shown as below:

381  $W_1 = (W_{U11}, W_{U12}, W_{U13}) = [0.3462, 0.3496, 0.3043]^T$

382  $W_2 = (W_{U21}, W_{U22}, W_{U23}) = [0.3896, 0.2972, 0.3132]^T$

383  $W_3 = (W_{U31}, W_{U32}, W_{U33}) = [0.3640, 0.3398, 0.2961]^T$

384  $W_4 = (W_{U41}, W_{U42}, W_{U43}, W_{U44}) = [0.2787, 0.2460, 0.2462, 0.2290]^T$

385  $W_5 = (W_{U51}, W_{U52}, W_{U53}) = [0.3532, 0.3097, 0.3371]^T$

386  $W_6 = (W_{U61}, W_{U62}, W_{U63}) = [0.3614, 0.3129, 0.3257]^T$

387 Then, these experts construct to the pairwise comparisons of the relative importance of  
 388 two sub-factors. These pairwise comparison matrices collected from five groups of 30  
 389 experts were shown in Tables 5-10.

390 **Table 5** Comparison matrix of the “Employees' psychological behavior”.

	$U_{11}$	$U_{12}$	$U_{13}$
$U_{11}$	1,1,1	0.5, 0.8503,2	0.5,1.431,2.5
$U_{12}$	0.5,0.8503,2	1,1,1	1,1.4963,3
$U_{13}$	0.4,0.6988,2	0.3333,0.7677,2	1,1,1

391 **Table 6** Comparison matrix of the “Safety education”.

	$U_{21}$	$U_{22}$	$U_{23}$
$U_{21}$	1,1,1	1,1.5889,2	0.3333,1.6438,3.5
$U_{22}$	0.4,0.6294,1	1,1,1	0.5,0.6294,3
$U_{23}$	0.2857,0.6084,3	0.3333,0.7079,2	1,1,1

392 **Table 7** Comparison matrix of the “Working atmosphere”.

	$U_{31}$	$U_{32}$	$U_{33}$
$U_{31}$	1,1,1	0.4,1.1487,2.5	0.6667,1.7972,3.5
$U_{32}$	0.4,0.8706,2.5	1,1,1	0.5,1.3797,3
$U_{33}$	0.3333,0.5564,2	0.4,0.7248,2	1,1,1

393 **Table 8** Comparison matrix of the “Management factors”.

	$U_{41}$	$U_{42}$	$U_{43}$	$U_{44}$
$U_{41}$	1,1,1	0.5,1.5281,2.5	0.5, 1.5281,3.5	0.5,1.5646,3
$U_{42}$	0.3333,0.6544,2	1,1,1	0.4,0.9221,2.5	0.3333,1.0592,3
$U_{43}$	0.2857,0.9221,3.5	0.4,0.9221,2.5	1,1,1	1,2.4082,3.5
$U_{44}$	0.3333,0.6392,2	0.3333,0.9441,3	0.2857,0.4152,1	1,1,1

394 **Table 9** Comparison matrix of the “Equipment factors”.

	$U_{51}$	$U_{52}$	$U_{53}$
--	----------	----------	----------

$U_{51}$	1,1,1	0.3333,1.292,3.5	1,1.2619,3.5
$U_{52}$	0.2857,0.774,3	1,1,1	0.4,0.7579,2
$U_{53}$	0.2857,0.7925,3	0.5,1.3195,2.5	1,1,1

395

**Table 10** Comparison matrix of the “Employee's comprehensive quality”.

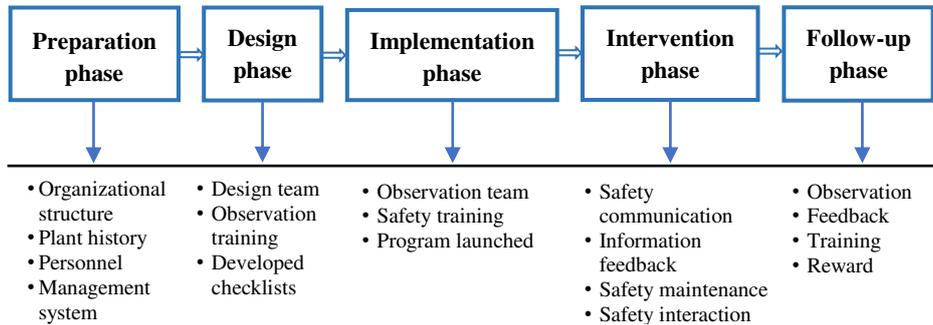
	$U_{61}$	$U_{62}$	$U_{63}$
$U_{61}$	1,1,1	0.3333,0.9872,2.5	1,1.7597,3
$U_{62}$	0.3333,1.2011,1	1,1,1	0.3333,0.7248,2
$U_{63}$	0.3333,0.5682,1	0.5,1.3797,3	1,1,1

396

397 By a similar operation, the weight vectors of sub-factors were determined. Finally, all  
 398 of the  $CR \leq 0.1$ , the consistency in each crisp comparison matrix is accepted.

399 **4.3 The BBS program for a petrochemical plant**

400 As more and more managers realize the importance of BBS process, many Chinese  
 401 companies have also implemented BBS procedures in their management process (Chen  
 402 and Tian 2012; Zhang et al. 2017; Yu and Li 2019). Hence, to better understand the  
 403 BBS practices to Chinese company, this paper selected a state-owned petrochemical  
 404 plant in the east of China as a study case. The specific BBS program is shown as follow:  
 405



406

407

**Fig. 6.** The flowchart of BBS program.

408 As illustrated, there are five steps in the BBS program, including the preparation period,  
 409 design period, implementation period, intervention period, follow-up period. In addition,  
 410 detailed process includes expert interview, questionnaire design, collection of data  
 411 and assessment of sustainable safety performance and so on.

412 **Preparation Period**

413 Firstly, in the BBS process, the first step is to assess the sustainable safety performance  
 414 of employees, understand the current status of the petrochemical plant and review the  
 415 existing organizational structure, plant history, personnel, existing safety management  
 416 system. Therefore, the existing employee of the petrochemical plant were questionnaire  
 417 surveyed on the sustainable safety performance in Fig. 5. Likert five-point scale is used

418 to collect the questionnaire data (Likert, 1932). In the first survey, a total of 824 ques-  
 419 tionnaires were received and 665 were available. Based on the questionnaire data, the  
 420 fuzzy relationship matrix is obtained by using Eq (24):

$$421 \quad RA_1 = \begin{bmatrix} 0.0088 & 0.0380 & 0.3158 & 0.6199 & 0.0175 \\ 0.0307 & 0.0775 & 0.4284 & 0.4415 & 0.0219 \\ 0.0219 & 0.0190 & 0.0673 & 0.3538 & 0.5380 \end{bmatrix}$$

$$422 \quad RA_2 = \begin{bmatrix} 0.0219 & 0.0365 & 0.0731 & 0.3421 & 0.5263 \\ 0.0146 & 0.0234 & 0.0658 & 0.4269 & 0.4693 \\ 0.0146 & 0.0146 & 0.1213 & 0.4854 & 0.3640 \end{bmatrix}$$

$$423 \quad RA_3 = \begin{bmatrix} 0.0029 & 0.0029 & 0.1067 & 0.4415 & 0.4459 \\ 0.0073 & 0.0205 & 0.0731 & 0.4722 & 0.4269 \\ 0.0058 & 0.0175 & 0.1360 & 0.5673 & 0.2734 \end{bmatrix}$$

$$424 \quad RA_4 = \begin{bmatrix} 0.0205 & 0.0468 & 0.0234 & 0.3772 & 0.5322 \\ 0.0044 & 0.0058 & 0.0994 & 0.5365 & 0.3538 \\ 0.0263 & 0.0117 & 0.1491 & 0.4795 & 0.3333 \\ 0.0073 & 0.0073 & 0.1520 & 0.5804 & 0.2529 \end{bmatrix}$$

$$425 \quad RA_5 = \begin{bmatrix} 0.0014 & 0.0072 & 0.0807 & 0.4640 & 0.4467 \\ 0.0029 & 0.0088 & 0.0863 & 0.5322 & 0.3699 \\ 0.0058 & 0.0058 & 0.0658 & 0.4576 & 0.4649 \end{bmatrix}$$

$$426 \quad RA_6 = \begin{bmatrix} 0.0044 & 0.0029 & 0.0687 & 0.5336 & 0.3904 \\ 0.0249 & 0.0161 & 0.0570 & 0.4576 & 0.4444 \\ 0.0234 & 0.0058 & 0.0307 & 0.5058 & 0.4342 \end{bmatrix}$$

427 Where  $RA_1, RA_2, \dots, RA_6$  denote the fuzzy relationship matrix of the first assessment.  
 428 Taking the fuzzy relationship matrix  $RA_1$  as an example, when “Corporate frequency  
 429 and intensity of safety training” was considered, 64.51% of employees rated it “very  
 430 high”, 31.88% of employees rated it “high”, 3.46% rated it “medium”, 0% rated it “low”  
 431 and 0.15% rated it “very low”.

432 Then, the first-layer fuzzy comprehensive evaluation result is obtained based on Eq.  
 433 (26):

$$\begin{aligned} 434 \quad FA_1 &= W_{U1} \cdot RA_1 = \\ & (0.3462, 0.3496, 0.3043) \cdot \begin{bmatrix} 0.0088 & 0.0380 & 0.3158 & 0.6199 & 0.0175 \\ 0.0307 & 0.0775 & 0.4284 & 0.4415 & 0.0219 \\ 0.0219 & 0.0190 & 0.0673 & 0.3538 & 0.5380 \end{bmatrix} \\ & = (0.0204 \ 0.0460 \ 0.2796 \ 0.4766 \ 0.1774) \end{aligned}$$

435 Similar to the  $FA_1$  calculation, we get:

$$FA = \begin{bmatrix} 0.0204 & 0.0460 & 0.2796 & 0.4766 & 0.1774 \\ 0.0174 & 0.0257 & 0.0860 & 0.4122 & 0.4585 \\ 0.0053 & 0.0132 & 0.1039 & 0.4891 & 0.3883 \\ 0.0149 & 0.0190 & 0.1025 & 0.4881 & 0.3753 \\ 0.0033 & 0.0072 & 0.0774 & 0.4830 & 0.4291 \\ 0.0170 & 0.0080 & 0.0527 & 0.5008 & 0.4216 \end{bmatrix}$$

437 According to Eq. (26) and the factors weights value, the assessment result set of second-  
438 layer fuzzy comprehensive is:

$$B_1 = W \cdot FA = (0.1764, 0.1714, 0.1685, 0.1627, 0.1651, 0.1559) \cdot$$

$$\begin{bmatrix} 0.0204 & 0.0460 & 0.2796 & 0.4766 & 0.1774 \\ 0.0174 & 0.0257 & 0.0860 & 0.4122 & 0.4585 \\ 0.0053 & 0.0132 & 0.1039 & 0.4891 & 0.3883 \\ 0.0149 & 0.0190 & 0.1025 & 0.4881 & 0.3753 \\ 0.0033 & 0.0072 & 0.0774 & 0.4830 & 0.4291 \\ 0.0170 & 0.0080 & 0.0527 & 0.5008 & 0.4216 \end{bmatrix}$$

$$=(0.0131, 0.0203, 0.1192, 0.4744, 0.3729)$$

440 Where  $B_1$  the fuzzy comprehensive results of the first evaluation. The results reveal that  
441 the probability of the sustainable safety performance very high is 0.3729, the probabilit-  
442 y of 'high', 'medium', 'low' and 'very low' are 0.4744, 0.1192, 0.0203 and 0.0131,  
443 respectively. The result showing that the sustainable safety performance is assessed as  
444 high based the maximum membership principle. However, the employees' sustainable  
445 safety performance can be further improved in the future.

#### 446 Design period

447 After the first safety evaluation was accomplish, a total of 30 experts were invited to  
448 the formation of a design team, including safety professionals, safety scholars, safety  
449 managers, administrative staff and supervisors. The design team participated in a two-  
450 day training meet to learned the basic theory of BBS management. Then, they met and  
451 discussed their idea at the petrochemical plant and developed the safety observation  
452 (SO) checklists for the employees. The checklist includes five categories of safety be-  
453 havior is as follow:

454 **Table 11** Safety observation categories and checklist.

Categories	Description of the categories
Personal protective equipment (SO <sub>1</sub> )	Workers wear safety helmets at the work site
	Workers wear goggles when using chemicals
	Workers put on respiratory protection devices in dusty conditions

---

	Keep fire extinguishers in place
Traffic management ( <i>SO</i> <sub>2</sub> )	Workers are not allowed to walk in the blind area of vehicle operators The driver backed up under the guidance of the traffic dispatcher Workers keep an eye on the coming traffic before crossing the street
Psychological performance ( <i>SO</i> <sub>3</sub> )	Maintain communication between supervisor and operator Lazy attitude Overconfidence Workers wear safety belts when at work high above the ground Workers use a working platform without the risk of tripping or falling Workers do not use damaged ladders
Facilities maintenance ( <i>SO</i> <sub>4</sub> )	Workers do not carry anything when up and down the ladder Operation areas of the machine have a clear cordoned off. The operator shuts down the equipment before repairing Comply with the principles of hazardous waste disposal and storage Identifying damaged gaskets
Daily performance ( <i>SO</i> <sub>5</sub> )	Employees turn off unused lights and equipment Worker takes the correct operation posture Workers use appropriate access and egress Workers are not allowed to discard anything from a height

---

A rough assessment of the safety behaviour of workers on site

455

456 Five different behavior factors (coded as *SO*<sub>1</sub>-*SO*<sub>5</sub>) are used to observe the safety be-  
 457 haviors performance of on-site employees, including personal protective equipment  
 458 (*SO*<sub>1</sub>), traffic management (*SO*<sub>2</sub>), psychological performance (*SO*<sub>3</sub>), facilities mainte-  
 459 nance (*SO*<sub>4</sub>) and daily performance (*SO*<sub>5</sub>). Based on the above factors, the checklist  
 460 includes 23 typical employee's behaviors, which can be classified by *SO*<sub>1</sub>-*SO*<sub>5</sub>

#### 461 **Implementation period**

462 The design team elect 35 experienced employees to set up the observation team. The  
 463 role of the observation team is to maintain and monitor possible incidents during the  
 464 BBS process. The observation team was received a two-day safety training (*ST*) course  
 465 in the fundamental principle and practice of BBS management. The training course  
 466 included decision making, unsafe behavior modification, how to manage others' re-  
 467 sistance, observational and communicational skills, the provide personal feedback and  
 468 effective checklist scoring (Dağdeviren and Yüksel 2008; Choudhry 2014; Wang et al.  
 469 2017). Safety training is as shown in Table 12.

470

**Table 12** Safety training categories and checklists.

Items	Specific content
Safety communication ( $ST_1$ )	The safety team having positive communicates with operators on site Actively participate in safety dialogue Increase communication with technicians on site Increase the frequency of informal conversations
Information feedback ( $ST_2$ )	Report of attempted accidents Improve the efficiency of the meetings Improve the recognition of the meeting Reasonable time management Provide effective feedback
Safety maintenance ( $ST_3$ )	Improve traffic safety Fill out work permits correctly Improve the quality of work Improve traffic safety
Safety interaction ( $ST_4$ )	Increase communication with other sites Improve interaction between employees Ask safety questions on site Shaping safety behaviour

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The Table 12 shows that the four-module course (coded as  $ST_1$ - $ST_4$ ) is designed to train employees in the petrochemical plant. After the training period, the design team held a plant-wide launch activity to announce the BBS process. Everyone attends a one-day training meeting on guiding observations and providing feedback. Then, everyone was trained on how to make observations and convey feedback to their colleagues. Various training meeting ensure that each employee know their role in the BBS process. When the BBS program was launched, employees began using both checklists for peer and self-observations.

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### **Intervention Period**

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#### *Administrative leadership system*

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Administrative leadership system is one of the characteristics of China's state-owned company and it can improve the willingness that everyone will accept the safety intervention, participate in observation, and provide individual feedback. The administrative leaders require managers and supervisors to regularly check the employee discipline, the participation in the BBS process, and maintenance of operation tools and equipment. Some leaders are also required to monitor the completion of the BBS process. The BBS management is implemented show that employees need support from their administrative leadership. In addition, these measures solve problems such as lack of communication in BBS process, low conference attendance and participation in observation (Brandhorst and Kluge 2021).

492 *Observation*

493 To ensure authentic and objective safety participation for employees, all observations  
 494 are voluntary. Everyone is invited to participate in peer safety observations or self-ob-  
 495 servations about 14 days. In addition, the BBS process requires each employee to com-  
 496 plete two observations per day. The supervisors randomly observe the monitoring video  
 497 of each employee every day for about 10-15 minutes. Supervisors and managers en-  
 498 courage their employees to spend the time to fulfil the observation, which can either be  
 499 peer safety observations or self-observations. In every observation, the observers need  
 500 to check all items in the safety behavior checklist and collect a behavior sample from  
 501 each on-site employee to confirm whether it is safe or not. One receives a mental or a  
 502 material reward for positively participating and supporting the BBS process, such as  
 503 appreciation from a supervisor.

504 *Feedback*

505 BBS process studies have shown that safety behavior improves when management pro-  
 506 vides clear feedback on employee observation information (Favero et al. 2016). There-  
 507 fore, safety observation team inspects information on participation and observation  
 508 every day, such as use video monitoring to recognize the unsafe behavior or potential  
 509 hazards. Then, by investigating the situation on the ground and working with corre-  
 510 sponding management to deal with any observed unsafe behavior or equipment prob-  
 511 lems. Furthermore, an observation report meeting is held twice a day; One is before the  
 512 employees starts work, and the other is before the employees leave work. The observa-  
 513 tion results are feed back to the employees on site during the report meeting on every  
 514 day. Five working groups were identified as the highest rate of unsafe behavior. Em-  
 515 ployees of the five groups were asked to attend specific training courses such as watch  
 516 accident videos. The goal of training courses is to improve their safety behavior and  
 517 safety awareness, not punish these employees.

518 **Follow-Up Period**

519 At the end of the intervention phase, the questionnaire used in the preparation period  
 520 were again distributed to the employees and to evaluate the changes of their safety be-  
 521 haviors. During this period, employees' behaviors were continuously observed and in-  
 522 tervened. In the second survey, a total of 853 questionnaires have been collected, among  
 523 which 726 replies were usable, with an effective replies rate of 85.11%. The results of  
 524 the twice assessment is contrast, and the scientific of the integration of the FAHP, BBS  
 525 approach and FCE can be proved by the following evaluation. The second FCE results  
 526 of sustainable safety performance from employees are as follows.

$$527 \quad RB_1 = \begin{bmatrix} 0.0000 & 0.0000 & 0.0413 & 0.3048 & 0.6538 \\ 0.0000 & 0.0000 & 0.0171 & 0.5128 & 0.4701 \\ 0.0000 & 0.0000 & 0.0798 & 0.4345 & 0.4858 \end{bmatrix}$$

$$528 \quad RB_2 = \begin{bmatrix} 0.0000 & 0.0000 & 0.0798 & 0.3718 & 0.5484 \\ 0.0000 & 0.0014 & 0.0726 & 0.4786 & 0.4473 \\ 0.0057 & 0.0014 & 0.1239 & 0.4373 & 0.4316 \end{bmatrix}$$

$$529 \quad RB_3 = \begin{bmatrix} 0.0014 & 0.0028 & 0.0769 & 0.3989 & 0.5199 \\ 0.0000 & 0.0014 & 0.0798 & 0.3989 & 0.5199 \\ 0.0000 & 0.0085 & 0.0442 & 0.4088 & 0.5385 \end{bmatrix}$$

$$530 \quad RB_4 = \begin{bmatrix} 0.0000 & 0.0000 & 0.0185 & 0.2635 & 0.7179 \\ 0.0000 & 0.0000 & 0.0527 & 0.5342 & 0.4131 \\ 0.0043 & 0.0014 & 0.0313 & 0.5370 & 0.4259 \\ 0.0028 & 0.0043 & 0.0228 & 0.5442 & 0.4259 \end{bmatrix}$$

$$531 \quad RB_5 = \begin{bmatrix} 0.0014 & 0.0000 & 0.1311 & 0.3903 & 0.4772 \\ 0.0000 & 0.0000 & 0.1068 & 0.4416 & 0.4516 \\ 0.0028 & 0.0014 & 0.0598 & 0.4644 & 0.4715 \end{bmatrix}$$

$$532 \quad RB_6 = \begin{bmatrix} 0.0014 & 0.0000 & 0.0584 & 0.5399 & 0.4003 \\ 0.0000 & 0.0000 & 0.0655 & 0.3860 & 0.5484 \\ 0.0028 & 0.0000 & 0.0285 & 0.4074 & 0.5613 \end{bmatrix}$$

533 Similar to the  $FB_1$  calculation, we get:

$$534 \quad FB = \begin{bmatrix} 0.0000 & 0.0000 & 0.0446 & 0.4170 & 0.5385 \\ 0.0018 & 0.0009 & 0.0915 & 0.4241 & 0.4818 \\ 0.0005 & 0.0040 & 0.0682 & 0.4018 & 0.5254 \\ 0.0017 & 0.0013 & 0.0310 & 0.4617 & 0.5041 \\ 0.0014 & 0.0005 & 0.0995 & 0.4312 & 0.4674 \\ 0.0014 & 0.0000 & 0.0509 & 0.4486 & 0.4991 \end{bmatrix}$$

535 By using Equations (26), the factors weights value, the result set of second-layer com-  
536 prehensive evaluation is as follows

$$B_2 = W \cdot FB = (0.1764, 0.1714, 0.1685, 0.1627, 0.1651, 0.1559) \cdot$$

$$537 \quad \begin{bmatrix} 0.0000 & 0.0000 & 0.0446 & 0.4170 & 0.5385 \\ 0.0018 & 0.0009 & 0.0915 & 0.4241 & 0.4818 \\ 0.0005 & 0.0040 & 0.0682 & 0.4018 & 0.5254 \\ 0.0017 & 0.0013 & 0.0310 & 0.4617 & 0.5041 \\ 0.0014 & 0.0005 & 0.0995 & 0.4312 & 0.4674 \\ 0.0014 & 0.0000 & 0.0509 & 0.4486 & 0.4991 \end{bmatrix}$$

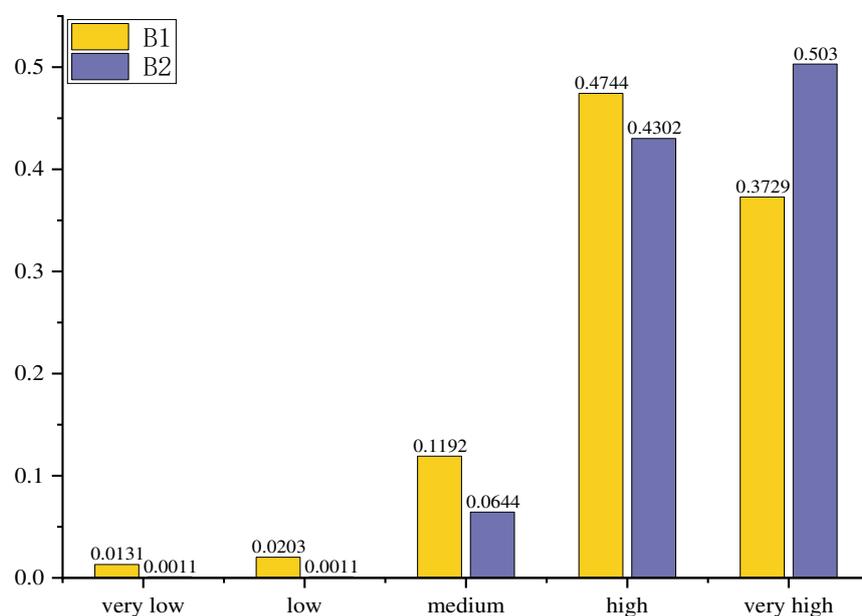
$$=(0.0011, 0.0011, 0.0644, 0.4302, 0.5030)$$

538 Where,  $B_2$  represent the fuzzy comprehensive results of the second evaluation.

## 539 5 Discussion

### 540 5.1 Comparative analysis of FCE results following BBS intervention

541 The result of second evaluation reveals that the probability of the sustainable safety  
 542 performance very high is 0.5030; the probability of 'high', 'medium', 'low' and 'very  
 543 low' are 0.4302, 0.0644, 0.0011 and 0.0011. The result showing that the sustainable  
 544 safety performance is assessed as very high. The twice assessment results are shown in  
 545 Fig. 7.



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547

**Fig. 7.** Histogram of the sustainable safety performance fuzzy evaluation.

548 Fig. 7 illustrate that the sustainable safety performance of the second assessment is  
 549 better than that of the first. During the implementation of BBS, there are no examples  
 550 of rejecting safety interventions. This intervention has a significant influence on the  
 551 management systems, raising safety climate and increasing safety communication. The  
 552 results show that BBS is a sustainable safety management. In this intervention process,  
 553 it is not necessary to stop working, but to take measures to correct employees' unsafe  
 554 acts. The fact that unsafe acts can be regarded as a social and psychological phenome-  
 555 non. Especially in recent years, evaluation methods in group psychology and cognitive  
 556 psychology have become more and more popular (Calvo garz ó n et al., 2008). Previous  
 557 studies have shown that it is feasible to combine management, mathematics and psy-  
 558 chology, and that interdisciplinary insights can be obtained. The advantage of fuzzy  
 559 mathematics is that it can integrate human thinking and objective data into the process

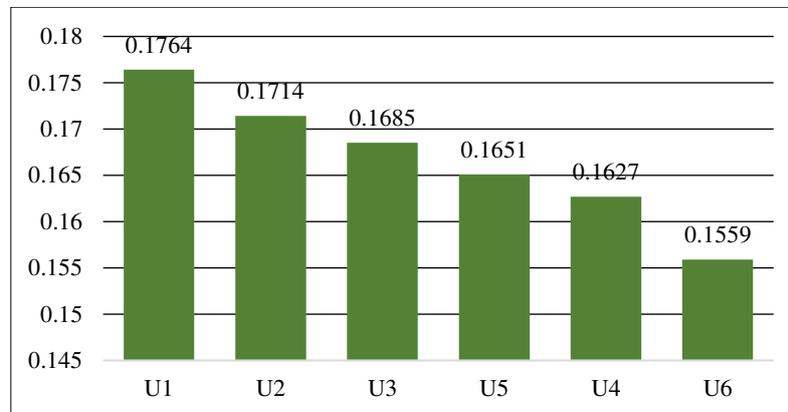
560 of cognitive psychology. This integration can more richly describe human factors, sus-  
561 sustainable safety development and BBS management. Previous safety management stud-  
562 ies usually emphasized external factors and rarely considered the possibility of cogni-  
563 tive psychological effects.

564 In addition to the external factors, BBS intervention is mainly affected by internal  
565 psychological factors, such as value congruence, psychological identity and cognitive  
566 process. Psychological identity is closely related to safety climate, safety communica-  
567 tion and safety culture. This means that BBS intervention is more likely to succeed in  
568 a plant with a positive safety climate. Value consistency is likely to lead to satisfaction  
569 and recognition, so as to improve sustainable safety performance. However, the work-  
570 force is so diverse that workers, managers and supervisors are not also always con-  
571 sistent in the implementation of the BBS intervention. Therefore, employees may be  
572 too hasty to perfunctory BBS intervention. On the other hand, in the process of BBS  
573 intervention, safety ability is a key regulatory factor between safety behavior and sus-  
574 tainable safety performance. This means that unsafe act is not only a problem of psy-  
575 chological motivation, but may also be a problem of ability. There are some activity-  
576 based training programs to help employees improve their safety ability. As mentioned  
577 above, BBS intervention and psychological identity are two complementary methods  
578 to improve unsafe act. Many factors in the evaluation model (Figure 12) are related to  
579 the dimension of psychological identity. Therefore, psychological identity also is the  
580 supplement of BBS intervention, and explains the mixed effect from the perspective of  
581 psychology.

582 With the improvement of psychological identity, employees experience fewer con-  
583 flicts and are more willing to cooperate with the BBS intervention. Thus, managers are  
584 motivated to pursue higher safety performance because it is in line with their psycho-  
585 logical identity and personal interests. Employees are more driven by internal psycho-  
586 logical identity than external punishment or reward. Psychological identity is relatively  
587 stable. Therefore, when the BBS program is implemented in plant with consistent psy-  
588 chological identity, its safety performance is more likely to be sustainable. In addition,  
589 the consistency of psychological identity can also feedback positive group norms. Posi-  
590 tive group norms can reduce work conflicts and violations because all employees ex-  
591 pect to work continuously and safely under occupational pressure (Goh et al. 2015). To  
592 sum up, psychological identity, willingness to pursue sustainable safety performance,  
593 positive group norms and human factors are crucial to the success of BBS intervention.

## 594 **5.2 Weight analysis**

595 Fig 8 and 9 show the local and global weights of the research factors and sub-factors  
596 obtained by applying the FAHP techniques. Obviously, each factor with higher weight  
597 value is more important the decision-making process. The global weights of all sub-  
598 factor are the results by multiplying the local weights of all sub-factor with the weights  
599 of the factor to which it belongs.  
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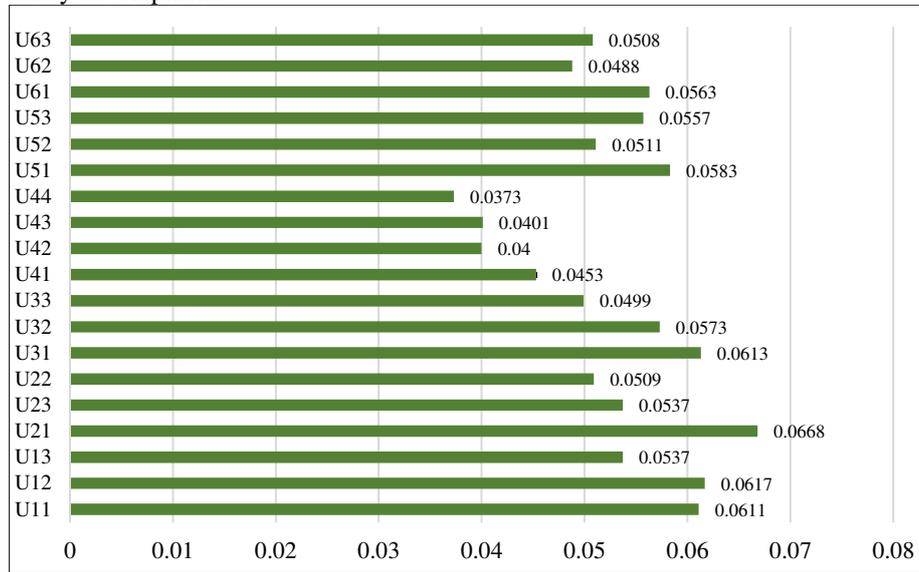
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**Fig. 8.** Local weights of the factors.

603 In Fig. 8, we rank the local weights of all factors from large to small. We realize that  
604  $U_1$  (employees' psychological behavior) is the highest percent of effect on the sustain-  
605 able safety performance rank among all factors ( $U_1$  ranks highest in the local weight),  
606 followed by  $U_2$  (Process safety education),  $U_3$  (Working atmosphere),  $U_5$  (Equipment  
607 factors),  $U_4$  (Management factors) and  $U_6$  (Employee's comprehensive quality). Thus,  
608  $U_1$  (Employees' psychological behavior) and  $U_2$  (Process safety education) are the key  
609 behavior factors must to be considered for the employees. This means that the safety  
610 managers should mainly pay attention to the psychological and educational issues. Psy-  
611 chological pressure may have negative effects on an individual physical and mental  
612 health, such as increased distractibility, lower concentration, and more prone to burn-  
613 out. When employees experience general psychological pressure, they are unlikely to  
614 initiate accident reporting or safely use equipment. If employees are face with greater  
615 psychological pressure, the plant will face more lost working days, absenteeism and  
616 lower safety performance.

617 In addition,  $U_2$  (Process safety education) is also considered to be one of the most  
618 important factors for the practice of sustainable safety development in the chemical  
619 industry. Process safety education' is generally defined as learning safety principles  
620 and operating disciplines to prevent major accidents and casualties in the process in-  
621 dustry (Mkpat et al. 2018). Process safety education aims to improve the understanding  
622 of process safety principles, promote safety knowledge sharing and improve technical  
623 level (Nesheim and Gressgård, 2014). In addition to improving safety performance,  
624 process safety education indirectly promotes many areas of safety culture. It sustains  
625 industry reliability, improves productivity, and enhances the sustainable safety devel-  
626 opment of the chemical industry. Consequently, these plants effectively convey safety  
627 information through meetings and training, quickly solve safety problems, and regard  
628 safety training as an investment. It is also observed that safety climate significantly  
629 affected sustainable safety performance. The traditional safety climate only focuses on  
630 the physical aspects of safety, and now it has been extended to the psychological safety  
631 atmosphere, which focuses on the psychological aspects of health and safety (Yaris et  
632 al. 2020). A positive safety climate gives priority to psychological safety, encourages

633 psychological-oriented safety behavior, and pays attention to sustainable safety perfor-  
 634 mance. Hence, these three factors are considered to be the key to achieving sustainable  
 635 safety development.



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637

**Fig. 9.** Global weights of factors and sub-factors.

638 Fig. 9,  $U_{21}$  (Frequency and intensity of safety training) are considered to be the most  
 639 influential factors in the global weight part, which is followed by  $U_{12}$  (Personal psy-  
 640 chological quality),  $U_{31}$  (Performance of workers in daily safety behavior) and  $U_{11}$  (Per-  
 641 sonal attention at work). Conversely,  $U_{44}$  (Corporate reward and punishment of safety  
 642 production),  $U_{42}$  (Improvement degree of safety regulations),  $U_{43}$  (Corporate supervi-  
 643 sion of safety production) and  $U_{41}$  (Improvement degree of safety production plans)  
 644 have less influence.  $U_{21}$  (Safety training) is actually formed and developed for major  
 645 accidents in the chemical process industry. Accidents in this dangerous industry can  
 646 have serious consequences for the personnel, surrounding environment, and plant as-  
 647 sets. In terms of preventing major incidents, safety training has developed various tools,  
 648 approaches and procedures aimed at removing human errors and technical design de-  
 649 fect, as well as safety management systems. The dangers of the chemical industry led  
 650 to complexity tasks, including the rate of information change, information diversity,  
 651 increase of information volume. These factors demand the employees to make greater  
 652 efforts lead to greater stress, and may lead to burnout, unsafe acts, fatigue and incidents.  
 653 For  $U_{12}$  (Personal psychological quality) and  $U_{31}$  (Performance of workers in daily  
 654 safety behavior), high psychological quality and safety behavior can hold a positive  
 655 attitude towards stress, and employees will not experience any negative results.

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Furthermore, according to the idea of self-regulation theory, for  $U_{11}$  (Personal atten-  
 tion at work), attention mechanism and behavior process can guide individuals to de-  
 velop towards the safe direction of goal setting. For  $U_{44}$ , Komaki et al. (1978) proposed  
 a method of rewarding employees to reduce unsafe acts in the industry. Rewards and

660 punishments create a psychological environment that encourages employees to modify  
 661 their target behavior. However, this theory does not explain why a rewards or punish-  
 662 ment modify a behavior and what makes it work. For  $U_{42}$  (Improvement degree of  
 663 safety regulations),  $U_{43}$  (Corporate supervision of safety production) and  $U_{41}$  (Improve-  
 664 ment degree of safety production plans). The  $U_{41}$  has a lowest weight value of 0.12,  
 665 while the  $U_{42}$  and  $U_{43}$  have weights of 0.15 and 0.5. This means that decision makers  
 666 take into account the cognition and psychology of employees, and the factors related to  
 667 safety production have little effect on behavior improvement.

### 668 5.3 Analysis of the recordable incidents in UK

669 The incidence of manufacturing related accidents and injuries also remains high. There-  
 670 fore, in any realistic prospect of safety intervention in this complex industry, the under-  
 671 standing of the root cause of the accident is still indispensable. Pickup et al. (2020) uses  
 672 the personal diary method to record and analyze real-time data of safety incidents  
 673 (Pickup et al. 2020). This study qualitatively explores the safety related events recorded  
 674 by employees for the first time, so as to identify the perceived potential risk and find  
 675 human errors. Taking a car manufacturing site in the UK as an example, Pickup et al  
 676 recorded and analyzed 176 incidents and classified them according to the event type in  
 677 Table 13.

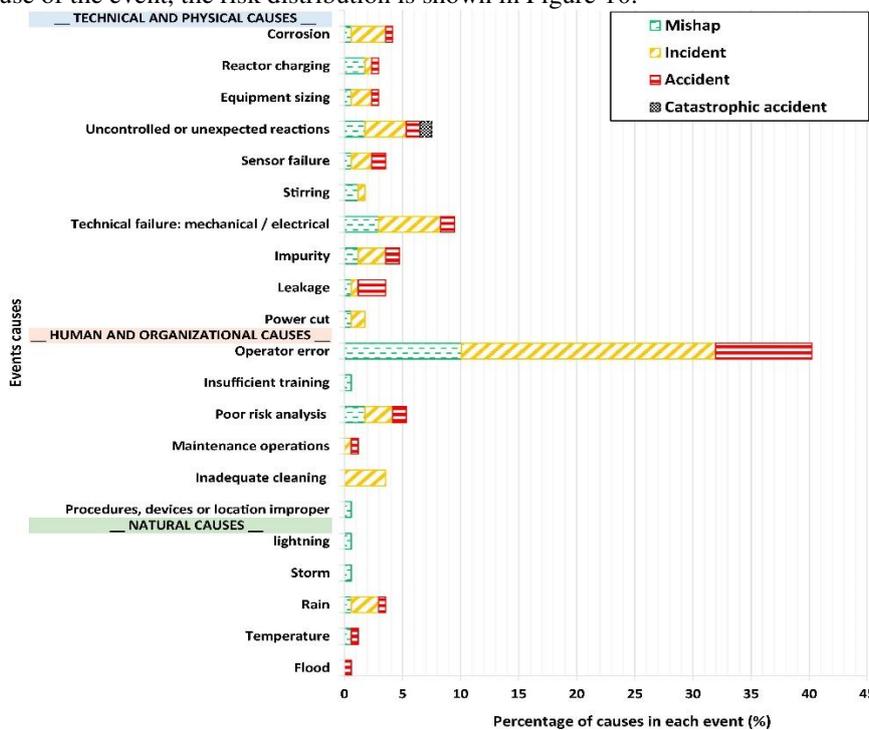
678 **Table 13** Percentage and frequency of incident types in log data (Pickup et al. 2020).

	Frequency	%	Official Data
Unsafe Condition	50	28.41	-
Unsafe Act	67	38.07	-
Near Miss	46	26.14	12
Accident	13	7.39	3
Personally experienced	42	23.86	-
Total	176	100.00	15

679  
 680 The most common type of incident is unsafe acts, accounting for 38.07%, followed by  
 681 unsafe conditions (28.41%). The proportion of near misses (N=46) is high compared  
 682 with accidents (N=13), accounting for 26% of the data, and exceeds the official data  
 683 reported in the same time period (N=12 and N=3, respectively). Therefore, exploring  
 684 the causes of accidents is still an indispensable part of injury reduction and safety im-  
 685 provement, especially within complex manufacturing and chemical industries. This  
 686 study reveals that a more comprehensive taxonomy and model need to be integrated  
 687 into accident investigation and analysis, so as to explore the diversity of human error  
 688 and cognitive performance at the personal level. Meanwhile, human factors describe  
 689 the correlation between safety climate and unsafe act, including safety culture and man-  
 690 ager quality. Consequently, the study makes BBS intervention possible in daily unsafe  
 691 acts, thus clarifying a new view of human factors at the system and individual levels.

### 692 5.4 Analysis of the recordable accident data in France

693 Accidents can cause disastrous damage to environment, human health and economy in  
 694 the chemical industry. In order to prevent such incidents in the industry, it is necessary  
 695 to review and analyze the past accident data. ARIA (Analysis, Research and Infor-  
 696 mation on Accidents) database is considered to be one of the important technical acci-  
 697 dent databases in Europe. ARIA is a huge database managed and maintained by the  
 698 French Ministry of ecology, sustainable development and energy. The database records  
 699 more than 43000 accidents occurred in the world and in France. Dakkoune et al. (2018)  
 700 collected and selected 169 safety related events in the French database ARIA. These  
 701 safety related events occurred between 1974 and 2014. Dakkoune et al. (2018) analyzed  
 702 the causes and consequences of these events. According to the type of event and the  
 703 cause of the event, the risk distribution is shown in Figure 10.



704

705

**Fig. 10.** Distribution of initial causes for each event (Dakkoune et al. 2018).

706 Obviously, the main initial cause of events in the chemical industry sector is operator  
 707 error (about 40% of events). The other initial causes contain technical and physical  
 708 causes, as well as human and organizational causes, which are respectively classified  
 709 as the following uncontrolled or unexpected reactions, technical failure, insufficient  
 710 risk analysis and corrosion, etc. In natural causes, most risks are less than 4%, although  
 711 natural phenomena are often difficult to predict and generally destructive.

712 The chemical industry needs to pay more attention to and prevent these risks related  
 713 to human factors. Because human behavior is complex and uncontrollable, it interacts  
 714 with other external factors, such as equipment, colleagues, management, environment,

715 etc. In order to reduce accidents and injuries related to human factors, the research of  
716 approaches to improve the unsafe act of employees is crucial. In fact, it is difficult to  
717 design and apply digital systems to eliminate all human errors in daily work. On the  
718 other hand, a BBS intervention process needs to be proposed to identify and improve  
719 unsafe behaviors. For the government, this BBS approach can also be used as a starting  
720 point for developing safety management strategy, in order to prevent and reduce the  
721 number of safety related events in the chemical industry.

## 722 **6 Conclusions**

723 This paper proposes a comprehensive sustainable safety performance assessment  
724 framework based on BBS management, FAHP and FCE, which included 6 factors and  
725 19 sub-factors. The evaluation results further show the importance of BBS management  
726 to the implementation and achievement of sustainable safety development in the petro-  
727 chemical plant. The results of this study can be concluded as follows:

728 (1) Due to its unique complexity and danger, petrochemical industry is the most  
729 prone to major accidents in the world, so it is urgent to improve the performance of  
730 employees' safety behavior. However, the traditional BBS research mainly focuses on  
731 the behavior analysis after the occurrence of an accidents, because there is no tool to  
732 collect employees' behavior data from on site. In addition, the essence of employees'  
733 safety behavior evaluation involves many processes in the petrochemical plant. There-  
734 fore, we proposed the model overcome this limitation and it can record, monitor and  
735 assess employees' safety behaviors. In practical application, with the collection of be-  
736 havioral data, the model is used to quantitatively evaluate the sustainable safety perfor-  
737 mance of employees.

738 (2) The model systematically combines the knowledge and experience of the expert  
739 team to calculate the weight of safety behavior factors. Weight ranking can also provide  
740 safety strategy to managers in a reasonable, scientific and effective manner. In addition,  
741 in order to reduce industry accidents, the petrochemical plant is becoming more and  
742 more employee-centered and strive to improve their sustainable safety performance. In  
743 conclusion, the model determines the sustainable safety performance of employees  
744 based on calculated weight and obtained data, and its data sources are both objective  
745 and subjective. These evaluation results can help employees realize that safety is eve-  
746 ryone's responsibility and safety is above all else.

747 (3) To prevent potential risky behaviors of employees, this paper applies a more  
748 professional and systematic approach to assess sustainable safety performance of em-  
749 ployees. BBS management will ensure that employees have adequate control and im-  
750 prove of safety behavior and psychological health. The BBS process emphasizes the  
751 operations management, planned maintenance, correct use of equipment and risk anal-  
752 ysis. This requires develop guidelines for safety behavior, creation of unified teams  
753 with opened safety communication and shared responsibility for employee safety, and  
754 leaders and managers to consider the BBS program regard as a benefit rather than a  
755 burden.

## 756 **7 Limitations of this study**

757 Due to state-owned company and policy constraints, the intervene time is only 14 days.  
 758 The assessment data and frequency of employee behaviors is limited. As a result, the  
 759 proposed BBS management lacks some sustainability in collect employee's behavior  
 760 data. Moreover, the case study was implemented in state-owned company and mostly  
 761 involved dangerous petrochemical experiments at the time of the study. In some ways,  
 762 the BBS process may be not allowed and interrupted. Finally, the company culture and  
 763 the nature of the company may influence the sustainability and effectiveness of the  
 764 employees-focused BBS management and such influence needs further research.

765 **Author contribution** All authors have contributed to the study. Junqiao Zhang is the main contributor  
 766 to writing the manuscript. Xuebo Chen checked the grammar and language of this manuscript and  
 767 collected data in the Petrochemical plant. Qiang Qu analyzed and computed the data.

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769 **Availability of data and material** All data generated or analyzed during this study are included in this  
 770 published article. More detail data may be provided by the author upon reasonable request and permis-  
 771 sion

## 772 **Compliance with ethical standards**

773 **Ethics approval** Not applicable

774 **Consent for publication** Not applicable

775 **Consent for participate** Not applicable

776 **Competing interests** The authors declare no competing interests

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