

Electronic Clinical Decision Support for Children with Minor Head Trauma and Intracranial Injuries: A Sociotechnical Analysis

Jacob K Greenberg (✉ jacobgreenberg@wustl.edu)

Washington University in St. Louis <https://orcid.org/0000-0003-2675-5658>

Ayodamola Otun

Washington University in Saint Louis

Azzah Nasraddin

Washington University in Saint Louis

Ross C Brownson

Washington University in Saint Louis

Nathan Kuppermann

University of California Davis

David D Limbrick

Washington University in Saint Louis

Po-Yin Yen

Washington University in Saint Louis

Randi E Foraker

Washington University in Saint Louis

Research article

Keywords: sociotechnical analysis, traumatic brain injury, head trauma, electronic clinical decision support, implementation science, health information technology

Posted Date: October 26th, 2020

DOI: <https://doi.org/10.21203/rs.3.rs-95088/v1>

License:  This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

Version of Record: A version of this preprint was published at BMC Medical Informatics and Decision Making on May 19th, 2021. See the published version at <https://doi.org/10.1186/s12911-021-01522-w>.

Abstract

Background: Current management of children with minor head trauma (MHT) and intracranial injuries is not evidence-based and may place some children at risk of harm. Evidence-based electronic clinical decision support (CDS) for management of these children may improve patient safety and decrease resource use. To guide these efforts, we evaluated the sociotechnical environment impacting the implementation of electronic CDS, including workflow and communication, institutional culture, and hardware and software infrastructure, among other factors.

Methods: Between March and May, 2020 semi-structured qualitative focus group interviews were conducted to identify sociotechnical influences on CDS implementation. Physicians from neurosurgery, emergency medicine, critical care, and pediatric general surgery were included, along with information technology specialists. Participants were recruited from nine health centers in the United States. Focus group transcripts were coded and analyzed using thematic analysis. The final themes were then cross-referenced with previously defined sociotechnical dimensions.

Results: We included 28 physicians and four information technology specialists in seven focus groups (median five participants per group). Five physicians were trainees and 10 had administrative leadership positions. Through inductive thematic analysis, we identified five primary themes: 1) clinical impact; 2) stakeholders and users; 3) tool content; 4) clinical practice integration; and 5) post-implementation evaluation measures. Participants generally supported using CDS to determine an appropriate level-of-care for these children. However, some had mixed feelings regarding how the tool could best be used by different specialties (e.g. use by neurosurgeons versus non-neurosurgeons). Feedback from the interviews helped refine the tool content and also highlighted potential technical and workflow barriers to address prior to implementation.

Conclusions: We identified key factors impacting the implementation of electronic CDS for children with MHT and intracranial injuries. These results have informed our implementation strategy and may also serve as a template for future efforts to implement health information technology in a multidisciplinary, emergency setting.

Background

Minor head trauma (MHT) is among the most frequent and most damaging health problems affecting children.^{1, 2} While longer-term health effects are one important concern following pediatric MHT, the acute management is largely focused on identifying and managing high-risk groups at risk of acute neurological decline.³ Consequently, there have been several large-scale efforts to develop and validate clinical decision support (CDS) tools guiding the need for computed tomography (CT) imaging in children with MHT.^{4, 5} One of these tools developed by the Pediatric Emergency Care Applied Research Network (PECARN) network has subsequently been implemented in several large-scale trials.^{6, 7}

Less effort has been directed to developing and implementing evidence-based guidance for managing the 4–14% of children with MHT who are found to have intracranial injuries (ICI) on neuroimaging.^{5,8} While most of these children remain neurologically stable, some will require neurosurgical or other advanced interventions, emphasizing the importance of risk-stratification and close monitoring for high-risk subgroups. At the same time, universal intensive care unit (ICU) admission strains limited resources and may subject patients and families to unnecessary emotional distress.^{9–11}

Addressing this evidence gap, a provider-facing risk score was recently developed and internally validated using a large-scale multicenter dataset, which is currently undergoing external validation in a large, multicenter cohort.⁸ The goal of this risk score is to support evidence-based decision-making (e.g. regarding disposition to an appropriate level-of-care) among pediatric neurotrauma providers at the point-of-care. However, successfully integrating CDS into routine practice remains a challenge, with major barriers including clinician difficulty remembering tool details and absence of a user-friendly interface.^{12, 13} Electronic CDS may mitigate many of these barriers by presenting clinician users with relevant evidence at the point-of-care.

Implementing electronic CDS also requires navigating complex sociotechnical dimensions, including areas such as workflow and communication, institutional culture, and hardware and software infrastructure.¹⁴ Failure to investigate these dynamics prior to introducing new health information technology can lead to ineffective efforts and potential unintended consequences.^{15–17} Recognizing the importance of such foundational work, we conducted a sociotechnical analysis of electronic CDS intended to promote evidence-based decision-making among clinicians managing children with MHT and ICI. Given our focus on matching patient risk to an appropriate level-of-care, our primary objective was to investigate the sociotechnical influences on implementing electronic CDS to guide clinicians' decisions regarding the need for ICU admission. Our secondary objective was to investigate alternative uses of electronic CDS among children with MHT and ICI.

Methods

Leveraging qualitative methods, we conducted a sociotechnical analysis to guide the development and implementation of electronic CDS for children with MHT and ICI. Participants in this study were either physicians who care for children with MHT and ICI, hospital administrators, or information technology specialists experienced in the development implementation of electronic CDS. All participants were recruited via email solicitation. For the physician participants, we contacted all attending and fellow physicians from neurosurgery, pediatric general surgery, pediatric emergency medicine, and pediatric critical care at a single large academic medical center. Due to the small number of post-residency fellows, we also contacted senior (\geq post-graduate-year four) residents from neurosurgery and general surgery. To broaden the generalizability of the results, we also solicited participation from attending physicians from these specialties at six other academic hospitals, one community medical center, and one military-

affiliated institution (nine total centers). Participants were offered \$50 compensation. Interviews were conducted from March through May, 2020.

Focus Group Interviews

Individuals who agreed to participate were invited to partake in focus group interviews. Due to the COVID-19 pandemic, all focus groups were held online via Zoom (Zoom Video Communications, San Jose, CA). To avoid imbalances of experience and seniority, physician participants were separated into groups with trainees (residents and fellows) or attendings. We grouped physicians into heterogeneous focus groups with representation from different clinical specialties to promote interactive discussions.¹⁸ We also included a dedicated focus group with physician-administrators with relevant leadership roles. Non-clinician information technology specialists were organized in a separate group.

Each focus group was organized in a semi-structured fashion and followed an interview guide developed using input from qualitative methods experts (PYY and RF). The guide (available in the online supplement) was intended to investigate key sociotechnical dimensions, including: hardware and computing infrastructure; clinical content; human-computer interface; people; workflow and communication; organizational policies, procedures, and culture; and system measurement and monitoring.^{14,19} These domains are displayed in Fig. 1. Participants were shown a wireframe prototype of the preliminary CDS tool, which displayed predicted risk based on imaging findings and a mental status assessment provided by the Glasgow Coma Scale (GCS) score. While the wireframe was updated across interviews, the final version shown is depicted in Fig. 2. A single moderator (JKG, a senior neurosurgery resident with masters-level clinical research training) led all of the interviews, with the aid of a note-taker experienced in qualitative methods (AN and RS). The focus groups were video recorded for subsequent review, and audio recordings were professionally transcribed (Landmark Associated Inc., Phoenix, AZ). Focus groups continued until the research team felt thematic saturation had been reached and no substantially new ideas emerged.¹⁸

Qualitative Analysis

We initially analyzed all focus group transcripts using an inductive process of thematic analysis.²⁰ First, all focus group transcripts were independently analyzed and coded by two authors (JKG, AO) using Dedoose software version 8.3.35 (Dedoose, Hermosa Beach, CA). A codebook was developed by comparing and reconciling codes and code applications after each transcript in an iterative fashion. These codes were then further modified based on input from qualitative methods experts (PYY and RF). The final coding scheme was applied to each transcript based on consensus agreement between both reviewers, with additional input from other team members (PYY and RF) in ambiguous cases. Next, overarching themes and sub-themes were inductively assigned based on the transcript codes. We then used a deductive process to cross-reference the newly created themes with the defined categories of sociotechnical analysis. Finally, we created a schematic diagram based on the interview results indicating

the potential points in the care pathway where electronic CDS could facilitate or impede the management of children with MHT and ICI (Fig. 3).

Results

We conducted seven focus groups with a total of 32 participants from nine institutions. The duration of the interviews ranged from 39 to 62 minutes (median 51 minutes) and included two to six participants per focus group (median five participants). Most participants were either attending (72%) or resident/fellow (16%) physicians, while 12% were non-clinician information technology specialists. Ten (31%) physician participants held relevant administrative positions at their respective institutions (e.g. trauma director, division chief). Most (61%) participants were between 30–39 years and male (59%), and the largest percentage of participants were from either neurosurgery (34%) or emergency medicine (28%). A complete list of participant demographics is shown in Table 1.

Table 1
Participant demographics

	Frequency (%)
Age (years)	
30–39	17 (53)
40–49	10 (31)
50–59	4 (13)
Did not answer	1 (3)
Years since completed training	
0–5	14 (44)
6–10	6 (19)
> 10	11 (34)
Non-clinician	4 (13)
Did not answer	1 (3)
Gender	
Male	19 (59)
Female	12 (38)
Did not answer	1 (3)
Specialty	
Neurosurgery	11 (34)
Emergency medicine	9 (28)
General surgery	3 (9)
Critical care	3 (9)
Other	2 (6)
Information technology	4 (13)
Training level	
Resident/fellow	5 (16)
Attending	23 (72)
Non-physician	4 (13)

	Frequency (%)
Administrative role	
Yes	10 (31)
No	22 (69)

Thematic analysis

Across the seven focus groups, 47 unique codes were identified. These were then inductively grouped into a total of five themes with associated sub-themes: clinical impact; stakeholders and users; tool content; clinical practice integration; and post-implementation evaluation measures. The major themes and sub-themes, along with the corresponding sociotechnical dimensions, are shown in Table 2.

Table 2

Major study themes and sub-themes, along with cross-referenced sociotechnical dimensions.

Study-Based Themes	Sociotechnical Dimensions
Clinical impact	
• Helping guide the need for ICU care	• Organizational policies and procedures
• Informing family discussions	• Workflow and communications
	• People
• Exploratory and controversial uses	• Workflow and communications • People
• Unintended clinical consequences	• People
	• Workflow and communication
Stakeholders and users	
• Nurses as key stakeholders	• People
• Use by neurosurgeons vs. non-neurosurgeons	• People
• Importance of multidisciplinary buy-in	• People
Tool content	
• Components	• Clinical content
• Challenges to using the tool in clinical practice	• Not categorized
Clinical practice integration	
• Integrating the tool within the EHR framework is key but can be logistically challenging	• Hardware and software • Human computer interface • Workflow and communication
• Approaches to targeting users	• Workflow and communication
Post-implementation evaluation measures	• System monitoring and measurement

Clinical impact

A major topic of discussion related to how the proposed electronic CDS tool could be used in clinical practice. Participants generally supported our primary proposed application of using the tool to help guide the need for ICU care. One participant explained,

"I think that would have great clinical applications. Just as an example, we looked at our admissions to the PICU [Pediatric ICU] for minor head trauma, and a set of criteria like this would be very helpful, in my opinion, to trying to figure out which patients would benefit from PICU level care..."

Several participants also explained that the tool would be useful for helping standardize practices and supporting consensus decisions across services. For example, one participant noted,

I also think that anytime where there's a discrepancy, where you say the patient ought to be in the PICU, and the PICU says 'no, they ought to be on the floor,' or something like that, a tool like this may be able to help bridge that gap and help you come to a reasonable decision for the patient.

One neurosurgeon thought the tool would not be useful, expressing,

I'm trying to be open-minded. I'm just not sure how useful it would be. But...I think I'd like to be proven wrong.

Beyond the primary use of guiding the appropriate level-of-care, some participants thought that the tool could also support family counseling. While no physicians endorsed using the tool itself as a shared decision-making aid, several suggested that the risk data provided could inform their discussions with families. One physician stated,

I think it would be good to get the information to us so that we can share it with them appropriately. Some physicians also endorsed additional "exploratory" uses of the CDS intervention they felt could be appropriate with further validation and acceptance of the underlying evidence. For example, some participants thought the tool could guide the need for hospital transfer or provide reassurance for discharging home some low-risk patients.

Along with these potential uses, participants also identified several unintended consequences that could emerge from implementing the CDS tool. One participant expressed concern that the tool could be misinterpreted or misapplied by non-neurosurgeons.

I'm a little concerned about this being a number that's going to other services...whenever you give someone a number and say this is your risk, that seems very authoritative if Epic spits that number out to you.

Other participants expressed concern with the notion of using the tool to guide hospital transfers, explaining that community hospitals may be unprepared for a rare deterioration, and may also lack comprehensive trauma services, including care for non-accidental trauma. One physician stated,

if you're going to use this in outside facilities...it could be used incorrectly if you're not really careful about it and very explicit in your instructions on how it's supposed to be used.

Finally, some participants noted that inter-departmental conflicts could also arise if clinicians had differing interpretations regarding the clinical significance of a particular risk score. These potential uses and unintended clinical consequences are summarized in Fig. 3.

Stakeholders and users

An important area of discussion for physician participants was the tool's potential use by neurosurgeons and non-neurosurgeons. Several neurosurgeons and non-neurosurgeons supported the idea that the CDS tool would help expand risk knowledge across specialties and training levels, empowering broader groups of providers. For example, one neurosurgeon stated,

I certainly like the best thing about them is being they're relatively simple...so that anybody from a neurosurgeon to ICU to ER [emergency room] physicians to the pediatricians can evaluate those components to help decide whether you're going to the ICU or floor or what it might be.

Another emergency department (ED) physician stated,

"From an ER standpoint, we will still defer to you guys a lot for disposition...but I think just being able to click through one of these would help us figure out what the general risk is."

At the same time, several non-neurosurgeons said that the CDS tool had limited relevance for non-neurosurgeons. For example, one ED physician stated,

I'm just not exactly sure what we would do with that information, because if they have a depressed skull fracture, an epidural hematoma, or a midline shift, we're gonna call...the neurosurgeons anyway.

Despite those differing opinions, multiple participants noted the importance of multidisciplinary buy-in for successful CDS implementation. For example, one physician stated,

I mean I could see it being a problem if we were trying to tell the ER or the trauma services that, 'hey, look at this algorithm'...and they don't buy into that.

Outside of the clinical specialties targeted for the focus groups, multiple participants also highlighted the importance of nurses as key stakeholders impacting implementation. For example, some participants noted the need for buy-in from nursing leadership for possible changes in clinical workflow. Additionally, participants felt that nursing capabilities would have an important impact on how the CDS tool is used. For example, one physician stated,

"It's all about how your nurses on the floor or your staff on the floor, what they're comfortable dealing with and what they're staffed to deal with."

Tool content

Participants provided feedback about the appropriateness of tool components presented in the wireframe, which was then used to refine the initial prototype. There was generally broad support for the predicted risk estimates provided in the tool. One physician explained,

I find the percent risk of going on to need an intervention or be intubated or dying to be the most important thing that you're putting out here...

Similarly, most physicians were supportive of including general institutional recommendations based on different risk-thresholds, though there was some resistance. For example, one physician said,

the institution recommendation that you have on here. If this says 'consider ICU admission' on here, but you and the neurosurgeon talk and you put them on the floor, is there gonna be repercussions if they end up in the ICU?

Another area of concern related to cost estimates associated with different levels-of-care. While some participants saw value in the cost estimates, many others expressed concern with cost information being considered at the point-of-care and also noted that accurate cost estimates (versus charges) could be hard to obtain. One physician explained,

I feel like if the cost considerations have already been assessed by the institution, that information is somewhat enfolded on the institution's thresholds, in a way, and I wonder if it really needs to be included. In addition, some participants noted aspects of the tool content that may make it challenging to implement in clinical practice. For example, some participants noted that imaging diagnoses may vary, even among expert radiologists. Additionally, two participants commented that the appropriate timing for assessing the GCS score is unclear (e.g. initial assessment versus worst value), which could impact the values recorded. Other participants noted that some target end-users may lack appropriate expertise to assign all input variables. For example, one ED physician stated,

with regard to the...width of the skull...if I don't know the answer to that, that would be a very limiting step for me.

Multiple participants also noted that the tool does not evaluate social or non-cranial concerns. For example one physician stated,

I could see a situation where it could maybe be inappropriately applied in putting someone on the floor that may need a non-neurologic ICU reason [i.e. ICU-level care for non-cranial injuries].

Finally, several participants emphasized that the tool does not capture all of the nuances of clinical decision-making. For example, one physician stated

I'm sure we've all felt that pain of decision support that is evidence-based, and people immediately get hung up on, 'well the one time this patient had X. Therefore, I need to do this for the rest of the time.'

Clinical practice integration

All participants generally agreed that to be maximally effective, the electronic CDS tool needed to be integrated within the EHR environment. Participants also emphasized in particular that satellite apps or websites would be a barrier to use, particularly for physicians who may not care for children with MHT on a frequent basis. One resident physician explained,

I think that the optimal place to put it would be in the EHR...If it's an app that I need to have, or even worse, a website that I need to go to, I'm gonna completely forget about it...

At the same time, several physician participants with informatics experience explained that although building the CDS directly within the EHR is technically feasible, having a website linked to the EHR may be easier to implement. For example, one physician stated,

It's such an easy thing to do; Epic has the ability and the power to do it, but the logistics of actually pushing it through are so overwhelming that the solution...of doing a web app is actually easier. While participants generally agreed that the tool needed to be integrated with the EHR, there was less agreement regarding how end-users could best be targeted. Although the IT specialists generally agreed that either a pop-up or a link in the EHR hyperspace were options, participants lacked a clear approach for triggering the tool. Participants discussed linking an alert to an order for a head CT scan or neurosurgery consult, but felt that approach would be non-specific and ineffective. Other participants explained that relying on diagnoses in the EHR would also be challenging, as ED triage diagnoses may not be accurate and ED discharge diagnoses likely would not be recorded sufficiently early in the encounter.

Post-implementation evaluation measures

The last major theme addressed how participants thought the impact of the CDS should be evaluated after implementation. The complete list of suggested post-implementation evaluation measures is shown in Table 3 and grouped into three categories. First, patient safety/clinical outcome measures included metrics such as unexpected floor-to-ICU transfers that reflected the extent to which the CDS tool improved patient safety. Second, resource use and process measures described changes in the costs following CDS implementation, along with changes in practice patterns (e.g. timing of neurosurgery consults). Finally, participants noted the importance of implementation outcomes, such as how often clinicians actually used the tool when caring for children with MHT and ICI.

Table 3

Post-implementation evaluation measures suggested by focus group participants. Measures are grouped in related categories.

<p>Patient safety/clinical outcome measures</p> <ul style="list-style-type: none">• Unexpected floor-to-ICU transfers• Validating model performance in predicting clinical outcomes (e.g. neurosurgery, intubation) <p>Resource use and process measures</p> <ul style="list-style-type: none">• Number/proportion of ICU admissions• Treatment cost• Overall length of stay• Timing of neurosurgical consults<ul style="list-style-type: none">o When consults are calledo Timeliness of consults being seen• Inter-hospital transfer rates <p>Implementation measures</p> <ul style="list-style-type: none">• Frequency of the tool being presented and how often it is used• Frequency with which the recommendations are being followed
--

Discussion

This report presents the results of a sociotechnical analysis of electronic CDS to aid the management of children with MHT and ICI. Our findings reflect the relatively early stage of this development and implementation effort and also help guide the next steps in this process. The major interview themes covered the anticipated primary and secondary uses of the tool, important stakeholders in implementation, suggestions for improving the tool's content, along with perspectives on how to integrate the CDS into clinical workflow. Finally, participants highlighted key measures that could evaluate the CDS tool's impact after implementation.

The focus group interviews highlighted broad support for using the proposed CDS intervention to guide level-of-care decisions, helping to standardize care, build consensus decisions, and expand risk knowledge across specialties. Although less frequently discussed, there was also support for using the tool to inform family counseling. Participants also identified potential unintended consequences to be avoided in future implementation efforts, such as potential misuse of the tool to avoid appropriate consults. Outside of these primary uses, there was mixed and measured support for other possible uses, such as guiding the need for hospital transfer. While recent reports have suggested that routine transfer to a tertiary hospital may not be needed for all children with MHT and intracranial injuries,²¹ participants

explained that changing such practices will require broader acceptance of the underlying evidence. Additionally, changing transfer practices will require addressing social concerns and non-cranial injuries and involving health system administrators that control referral pathways. These areas require further investigation in future work.

Although other researchers have evaluated the implementation of health information technology in an ED setting, those applications have generally focused on tools used by a single clinical specialty.^{15,22,23} By comparison, our study focused on an interdisciplinary care pathway with diverse clinical stakeholders. Reflecting these diverse opinions, our results emphasized the importance of a participatory process involving end-users in both the design and planned implementation of electronic CDS,²⁴ consistent with expert opinion.²⁵ While participants from all specialties generally viewed the CDS favorably, some expressed uncertainty regarding how the tool's use may differ between neurosurgeons and non-neurosurgeons. The opinion expressed by some ED physicians has previously been summarized as, "really useful but not for me."²⁶ This finding highlighted the need to explicitly incorporate interdisciplinary education and training during implementation efforts. Additionally, while nurses were not included in the focus groups, participants noted the importance of involving nurses in implementation efforts and considering nursing capabilities when developing level-of-care recommendations. Feedback from the focus groups also impacted the CDS content. For example, concerns about including cost estimates in the CDS tool led us to remove this feature. Finally, focus group feedback helped identify and address potential ambiguities, such as the need to explicitly define the GCS score used based on the patient's first assessment in the final treating hospital.

Likely the greatest implementation barrier noted in the focus groups was identifying effective approaches of incorporating the electronics CDS into clinical workflow. Universally, participants felt that integrating the CDS tool within the EHR environment was key, compared to a phone app or stand-alone website. In an optimal setting, all elements of the CDS would be auto-populated based on data from the EHR.^{27,28} Indeed, increased data entry requirements are associated with clinician dissatisfaction and abandonment of CDS.²⁹ Similarly, the EHR offers the potential for targeting users at the point-of-care, an essential element of CDS success.¹⁷ Relying on clinicians to trigger CDS is unlikely to succeed,¹⁷ but inappropriate or excessive alerts promoting alert fatigue were a major concern among participants.^{30,31} Optimizing the timing of CDS presentation may reduce alert fatigue and increase use among clinicians.³² Currently, the lack of structured data elements corresponding to the CDS inputs (e.g. imaging findings) is a major barrier to either auto-populating the tool components or optimizing its integration within clinical workflow. The absence of such solutions for automating use was a frequent finding among participants.

One potential solution for this problem includes "smart forms" for integrating structured data elements into clinician notes,³³ but this approach requires clinicians to initiate the form and has been associated with low use among physicians.³⁴ Alternatively, natural language processing may help improve trigger accuracy,^{35,36} but dictated reports are often delayed in the acute care setting. Most encouraging, deep machine learning algorithms have demonstrated strong performance in detecting acute intracranial

hemorrhage on CT.^{37,38} While still requiring physician review, such tools offer a promising avenue for both provider targeting and automating CDS data capture.

While the process of thematic analysis was inductive in nature, the structure of the focus group interviews was intended to address key sociotechnical elements.^{14,19} We found that the themes and sub-themes identified corresponded to almost all key tenets of sociotechnical theory, suggesting that this model captured most considerations relevant to implementation planning. Given that interface design was not considered in this analysis, the human computer interface dimension was expectedly lacking. In addition, we did not identify any significant themes or sub-themes corresponding to external rules or regulations. Likely, this absence reflected the early development stage of the CDS, and further evaluation later in the implementation process may yield additional findings. In addition, we found that one sub-theme – “challenges to using the tool in clinical practice” – could not be effectively mapped to a sociotechnical dimension. We believe this difficulty also reflected the early stage of development, where potential problems, such as ambiguous input variables, were still being identified and remediated.

There are limitations regarding our study. First, while we included a multidisciplinary and multicenter group of physicians, most participants came from academic hospitals, which may limit the generalizability of the results on broader implementation. However, based on the participant feedback, academic clinicians are likely to be the primary targets of future CDS implementation, supporting their higher focus group representation. Additionally, we did not include nurse practitioners or nurses in the focus groups, who may be potential end-users or stakeholders in future implementation efforts. Furthermore, given the early stage of the implementation planning, participants reviewed wireframes of the early CDS prototype, rather a fully developed prototype. An interactive prototype that integrates the focus group feedback will undergo dedicated user testing in future work. Finally, because we depended on volunteer participation, our participants may have reflected a biased sample. For example, more than 80% of our participants were younger than 50 years, and previous efforts have shown older provider age is associated with lower rates of electronic CDS use.^{39,40} Therefore, future larger-scale implementation efforts will need to evaluate potential age-related disparities in beliefs in and use of electronic CDS among providers.

Conclusions

This sociotechnical analysis identified the primary anticipated uses of electronic CDS for children with MHT and ICI, along with other exploratory uses warranting further consideration. By identifying key factors impacting CDS adoption, these results provide a strong foundation for a future implementation trial. This analysis may also inform the development of other electronic CDS tools used in an interdisciplinary emergency treatment setting.

Abbreviations

Glasgow Coma Scale (GCS); minor head trauma (MHT); computed tomography (CT); intracranial injury (ICI); clinical decision support (CDS); Children's Intracranial Injury Decision Aid (CHIIDA); emergency department (ED); electronic health record (EHR); intensive care unit (ICU); information technology (IT).

Declarations

Ethics approval and consent to participate:

This study was approved by the Washington University in St. Louis Institutional Review Board (IRB) (IRB ID# 201902091). All participants received a consent information sheet and subsequently agreed to participate, in accordance with the IRB protocol.

Consent for publication:

Not applicable, as no identifiable information is published in this manuscript.

Availability of data and materials:

Due to the confidential nature of the study interviews, copies of the original transcripts are not available. However, researchers with inquiries related to the study methods or results should contact the corresponding author at jacobgreenberg@wustl.edu.

Competing interests:

The authors declare that they have no competing interests.

Funding:

This study was supported by the Thrasher Research Fund and by the Agency for Healthcare Research and Quality. While feedback from these funding bodies influenced the early study design, the funders had no role in the data collection, analysis, or interpretation of the data, or in the decision to submit the manuscript for publication.

Authors' contributions:

JKG, REF, PYY, DDL, NK, and RCB conceived of the study. JKG and AN conducted the focus group interviews. JKG and AO conducted coding and thematic analysis, with direct supervision from REF and PYY. JKG wrote the first draft of the manuscript. All authors provided critical feedback related to the study results and written manuscript and approved the final manuscript for publication.

Acknowledgements:

We thank Mr. Romario Smith for his assistance with the early focus group interviews. We also thank our participants for their time and thoughtful feedback while participating in this study.

References

1. Mannix R, O'Brien MJ, Meehan WP, 3rd. The epidemiology of outpatient visits for minor head injury: 2005 to 2009. *Neurosurgery*. 2013;73(1):129-34; discussion 34.
2. National Center for Injury Prevention and Control. Report to Congress on Mild Traumatic Brain Injury in the United States: Steps to Prevent a Serious Public Health Problem. Atlanta, GA2003.
3. Lumba-Brown A, Yeates KO, Sarmiento K, Breiding MJ, Haegerich TM, Gioia GA, et al. Centers for Disease Control and Prevention Guideline on the Diagnosis and Management of Mild Traumatic Brain Injury Among Children. *JAMA Pediatr*. 2018;172(11):e182853.
4. Kuppermann N, Holmes JF, Dayan PS, Hoyle JD, Jr., Atabaki SM, Holubkov R, et al. Identification of children at very low risk of clinically-important brain injuries after head trauma: a prospective cohort study. *Lancet*. 2009;374(9696):1160-70.
5. Babl FE, Borland ML, Phillips N, Kochar A, Dalton S, McCaskill M, et al. Accuracy of PECARN, CATCH, and CHALICE head injury decision rules in children: a prospective cohort study. *Lancet*. 2017;389(10087):2393-402.
6. Dayan PS, Ballard DW, Tham E, Hoffman JM, Swietlik M, Deakyne SJ, et al. Use of Traumatic Brain Injury Prediction Rules With Clinical Decision Support. *Pediatrics*. 2017;139(4).
7. Hess EP, Homme JL, Kharbanda AB, Tzimenatos L, Louie JP, Cohen DM, et al. Effect of the head computed tomography choice decision aid in parents of children with minor head trauma: a cluster randomized trial. *JAMA network open*. 2018;1(5):e182430-e.
8. Greenberg JK, Yan Y, Carpenter CR, Lumba-Brown A, Keller MS, Pineda JA, et al. Development and Internal Validation of a Clinical Risk Score for Treating Children With Mild Head Trauma and Intracranial Injury. *JAMA Pediatr*. 2017;171(4):342-9.
9. Wang HE, Yealy DM. Distribution of specialized care centers in the United States. *Ann Emerg Med*. 2012;60(5):632-7 e7.
10. Nelson LP, Gold JI. Posttraumatic stress disorder in children and their parents following admission to the pediatric intensive care unit: a review. *Pediatr Crit Care Med*. 2012;13(3):338-47.
11. Colville G, Darkins J, Hesketh J, Bennett V, Alcock J, Noyes J. The impact on parents of a child's admission to intensive care: integration of qualitative findings from a cross-sectional study. *Intensive Crit Care Nurs*. 2009;25(2):72-9.
12. Stiell IG, Bennett C. Implementation of clinical decision rules in the emergency department. *Acad Emerg Med*. 2007;14(11):955-9.
13. Green SM. When do clinical decision rules improve patient care? *Ann Emerg Med*. 2013;62(2):132-5.

14. Sittig DF, Singh H. A new sociotechnical model for studying health information technology in complex adaptive healthcare systems. *Qual Saf Health Care*. 2010;19 Suppl 3:i68-74.
15. Sheehan B, Nigrovic LE, Dayan PS, Kuppermann N, Ballard DW, Alessandrini E, et al. Informing the design of clinical decision support services for evaluation of children with minor blunt head trauma in the emergency department: a sociotechnical analysis. *J Biomed Inform*. 2013;46(5):905-13.
16. Ash JS, Sittig DF, Poon EG, Guappone K, Campbell E, Dykstra RH. The extent and importance of unintended consequences related to computerized provider order entry. *J Am Med Inform Assoc*. 2007;14(4):415-23.
17. Bates DW, Kuperman GJ, Wang S, Gandhi T, Kittler A, Volk L, et al. Ten commandments for effective clinical decision support: making the practice of evidence-based medicine a reality. *J Am Med Inform Assoc*. 2003;10(6):523-30.
18. Curry LA, Nembhard IM, Bradley EH. Qualitative and mixed methods provide unique contributions to outcomes research. *Circulation*. 2009;119(10):1442-52.
19. Yen PY, McAlearney AS, Sieck CJ, Hefner JL, Huerta TR. Health Information Technology (HIT) Adaptation: Refocusing on the Journey to Successful HIT Implementation. *JMIR Med Inform*. 2017;5(3):e28.
20. Nowell LS, Norris JM, White DE, Moules NJ. Thematic analysis: Striving to meet the trustworthiness criteria. *International journal of qualitative methods*. 2017;16(1):1609406917733847.
21. Tallapragada K, Peddada RS, Dexter M. Paediatric mild head injury: is routine admission to a tertiary trauma hospital necessary? *ANZ J Surg*. 2018;88(3):202-6.
22. Melnick ER, Lopez K, Hess EP, Abujarad F, Brandt CA, Shiffman RN, et al. Back to the Bedside: Developing a Bedside Aid for Concussion and Brain Injury Decisions in the Emergency Department. *EGEMS (Wash DC)*. 2015;3(2):1136.
23. Patterson BW, Pulia MS, Ravi S, Hoonakker PL, Hundt AS, Wiegmann D, et al. Scope and Influence of Electronic Health Record–Integrated Clinical Decision Support in the Emergency Department: A Systematic Review. *Ann Emerg Med*. 2019;74(2):285-96.
24. Beerlage-de Jong N, Wentzel J, Hendrix R, van Gemert-Pijnen L. The value of participatory development to support antimicrobial stewardship with a clinical decision support system. *Am J Infect Control*. 2017;45(4):365-71.
25. Van de Velde S, Kunnamo I, Roshanov P, Kortteisto T, Aertgeerts B, Vandvik PO, et al. The GUIDES checklist: development of a tool to improve the successful use of guideline-based computerised clinical decision support. *Implementation Science*. 2018;13(1):86.
26. Liberati EG, Ruggiero F, Galuppo L, Gorli M, González-Lorenzo M, Maraldi M, et al. What hinders the uptake of computerized decision support systems in hospitals? A qualitative study and framework for implementation. *Implementation Science*. 2017;12(1):1-13.
27. Garg AX, Adhikari NK, McDonald H, Rosas-Arellano MP, Devereaux PJ, Beyene J, et al. Effects of computerized clinical decision support systems on practitioner performance and patient outcomes: a systematic review. *JAMA*. 2005;293(10):1223-38.

28. Wright A, Sittig DF. A framework and model for evaluating clinical decision support architectures. *J Biomed Inform.* 2008;41(6):982-90.
29. Jaspers MWM, Smeulers M, Vermeulen H, Peute LW. Effects of clinical decision-support systems on practitioner performance and patient outcomes: a synthesis of high-quality systematic review findings. *J Am Med Inform Assoc.* 2011;18(3):327-34.
30. Press A, Khan S, McCullagh L, Schachter A, Pardo S, Kohn N, et al. Avoiding alert fatigue in pulmonary embolism decision support: a new method to examine 'trigger rates'. *Evidence Based Medicine.* 2016;21(6):203-7.
31. Olakotan OO, Yusof MM. Evaluating the alert appropriateness of clinical decision support systems in supporting clinical workflow. *J Biomed Inform.* 2020:103453.
32. Coleman JJ, van der Sijs H, Haefeli WE, Slight SP, McDowell SE, Seidling HM, et al. On the alert: future priorities for alerts in clinical decision support for computerized physician order entry identified from a European workshop. *BMC Med Inform Decis Mak.* 2013;13(1):1-8.
33. Schnipper JL, Linder JA, Palchuk MB, Einbinder JS, Li Q, Postilnik A, et al. "Smart Forms" in an electronic medical record: documentation-based clinical decision support to improve disease management. *J Am Med Inform Assoc.* 2008;15(4):513-23.
34. Varghese P, Wright A, Andersen JM, Yoshida EI, Bates DW. Clinical Decision Support: The Experience at Brigham and Women's Hospital/Partners HealthCare. In: Berner ES, editor. *Clinical Decision Support Systems: Theory and Practice.* Cham: Springer International Publishing; 2016. p. 227-44.
35. Sorin V, Barash Y, Konen E, Klang E. Deep Learning for Natural Language Processing in Radiology—Fundamentals and a Systematic Review. *Journal of the American College of Radiology.* 2020.
36. Horng S, Sontag DA, Halpern Y, Jernite Y, Shapiro NI, Nathanson LA. Creating an automated trigger for sepsis clinical decision support at emergency department triage using machine learning. *PLoS One.* 2017;12(4):e0174708.
37. Lee H, Yune S, Mansouri M, Kim M, Tajmir SH, Guerrier CE, et al. An explainable deep-learning algorithm for the detection of acute intracranial haemorrhage from small datasets. *Nature Biomedical Engineering.* 2019;3(3):173.
38. Kuo W, Häne C, Mukherjee P, Malik J, Yuh EL. Expert-level detection of acute intracranial hemorrhage on head computed tomography using deep learning. *Proceedings of the National Academy of Sciences.* 2019;116(45):22737-45.
39. Kirkovits T, Schinkoethe T, Drewes C, Gehring C, Bauerfeind I, Harbeck N, et al. eHealth in modern patient-caregiver communication: high rate of acceptance among physicians for additional support of breast cancer patients during long-term therapy. *JMIR cancer.* 2016;2(2):e14.
40. Ballard DW, Vemula R, Chettipally UK, Kene MV, Mark DG, Elms AK, et al. Optimizing clinical decision support in the electronic health record: clinical characteristics associated with the use of a decision tool for disposition of ED patients with pulmonary embolism. *Appl Clin Inform.* 2016;7(3):883.

Figures

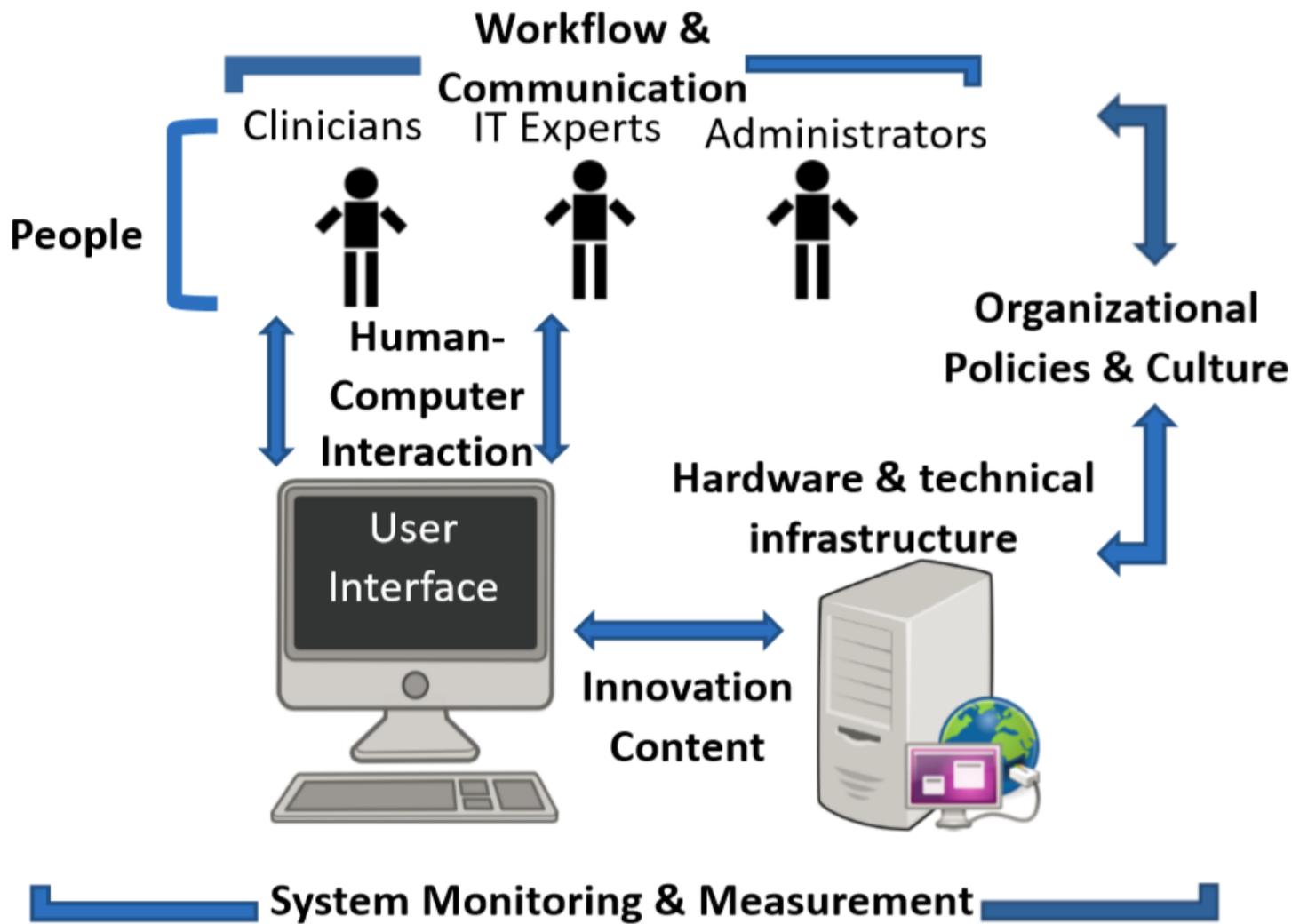


Figure 1

A schematic diagram depicting the domains of sociotechnical analysis¹⁴ investigated during the focus group interviews.

CHIIDA Risk Predictor

Risk prediction tool for children with a GCS 13-15 head injury and intracranial injury on CT or MRI

Does your patient have a skull fracture depressed by \geq the width of the skull?

Yes No

Does your patient have an epidural hematoma?

Yes No

Does your patient have midline shift?

Yes No

What was your patient's initial GCS score in the emergency department?

13 14 15

Submit

*Please note: this tool is not appropriate for children with bleeding coagulopathies, penetrating head injuries, structural brain lesions (e.g. brain tumors), or injuries > 24 hours prior to evaluation.

Predicted risk of a major neurological outcome (neurosurgery, intubation > 24 hours due to TBI, death due to TBI)



Observed outcomes for similar patients

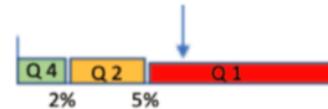
For every 1,000 patients who present with the following characteristics:

A ____, B ____, C ____, D ____,

XX (%) underwent neurosurgical intervention
XX (%) had prolonged intubation due to TBI
XX (%) died due to TBI

Your Institution's Recommendation

Q 1 hour neurological checks



Cost per level of care

General ward admission: \$1,200/day

ICU admission: \$5,000/day

For further information on the risk model development and validation, see the following [publications](#).

Figure 2

An example of the final wireframe shown to focus group participants.

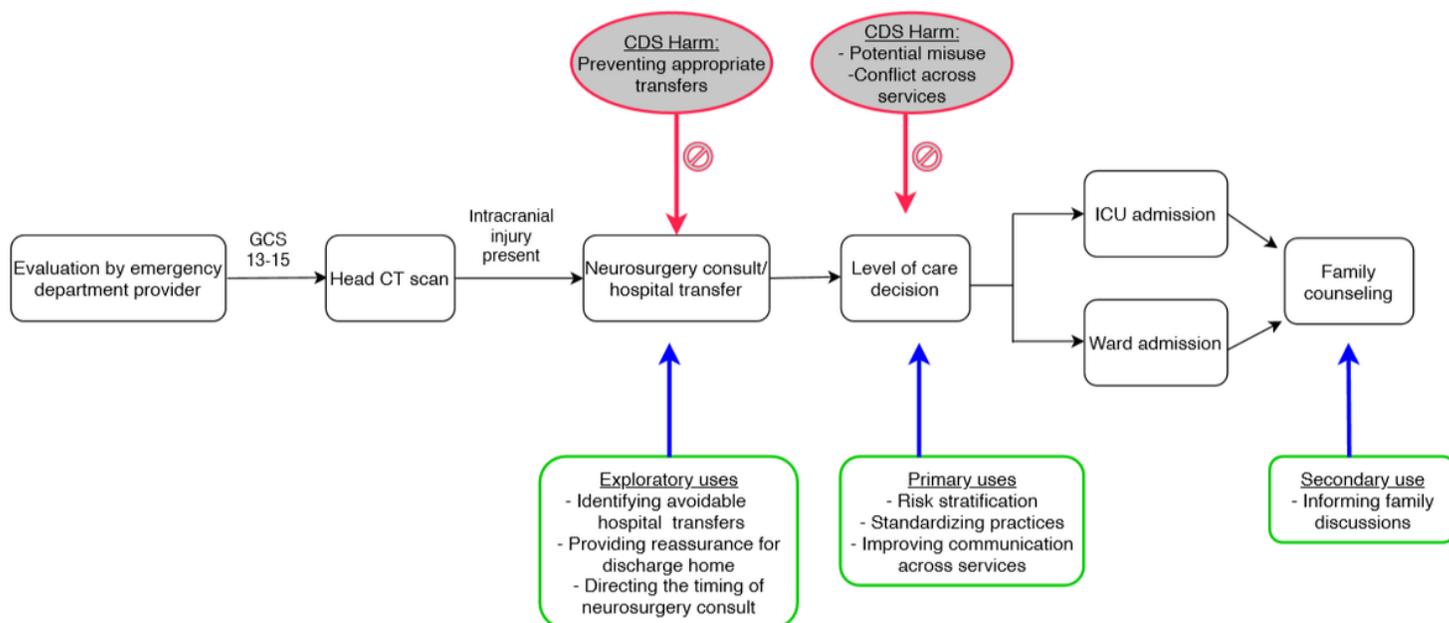


Figure 3

A workflow diagram of the care pathway of children with minor head trauma and intracranial injuries. Points where electronic clinical decision support could facilitate (green boxes) or impede (red ovals) care processes are highlighted.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [SociotechnicalAnalysisFocusGroupGuideIRBApproved.docx](#)