

Effect of Y on the Oxidation Behavior of a Directionally Solidified Ni-based Single Crystal Superalloy

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1 Effect of Y on the oxidation behavior of a directionally solidified 2 Ni-based single crystal superalloy

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6 Abstract

7 The effect of Y addition on the oxidation behavior of a Ni-based directionally solidified
8 single crystal superalloy was investigated. Isothermal oxidation test for the samples with
9 different-level Y addition was conducted at 1100°C in air. The Y content of the samples
10 was demarcated by the actual pickup amount resulted from ICP-AES test. It was found
11 that the addition of Y increased the oxide resistance by an adhesive double-layer oxide
12 scale which was composed of Al₂O₃ and spinel Ni(Cr,Al)₂O₄. With 70ppm Y addition, the
13 oxidation weight gain was decreased from 12.6g/m² for the alloy without Y addition to
14 5.3g/m², and the oxidation rate was significantly decreased. Besides, the internal nitride
15 also disappeared after Y doping because of the increasing oxidation scale adherence and
16 the decreasing of oxidation products. In this study, 660ppm Y addition alloy showed the
17 best oxidation resistance.

18 **Key words:** isothermal oxidation; element Y; single crystal superalloy; oxide scale

19 Introduction

20 Nickel-based superalloys had been used as the main materials in aerospace industry
21 and industrial gas turbine for years, due to their excellent properties such as high strength,
22 relatively low density and strong environmental resistance at high operating temperature[1-

23 3]. Turbines, such as high pressure turbine or combustor, are exposed to a high
24 temperature and oxygen-enriched environment, and this means that high mechanical
25 properties have always been required for the using material. And the oxidation resistance
26 at high temperature of alloys mainly achieved by the formation of a passive oxide scale[4].

27 Rare earth (RE) elements addition can reduce the impurities amount in melt form
28 because of the active properties of RE, and it has brilliant effect on improving the oxidation
29 resistance of alloy by forming an adhesive protective oxide scale which had a low growing
30 rate[5,6]. In 1966, Francis and Whitlow first added yttrium element to Cr-Fe alloy which
31 was proved to improve the oxidation resistance[7]. In the past decades, a number of
32 studies had shown that the addition of RE elements to superalloy was beneficial to improve
33 the oxidation-resistance properties of alloys in high temperature environment[8-10]. But
34 there are still some debates aimed at the mechanism of the influence of RE elements on
35 oxide scale. It was deduced by several references that Y doping could bring better scale
36 adhesion because of the "tying up" effect on S by the RE elements[11-13]. However, all the
37 sulfides formed cases were on the premise that the alloy had high sulfur activity and low
38 oxygen activity. In the cases with the opposite premise, sulfides could not be observed in the
39 alloy. From another viewpoint, RE elements would "site block" at the grain boundaries to
40 inhibit the outward diffusion of Al^{3+} [14-16]. Recently, a new perspective was put forward by
41 Arthur H. Heuer and David B. Hoivis, that REs could affect oxidation behavior by reducing
42 both the diffusion of O^{2-} to grain boundaries and the Al ionization[17].

43 Different amount of element Y was added to a directionally solidified nickel-based
44 single crystal superalloy, and the main purpose of the study was to probe into the effect of

45 Y addition on the oxidation behavior.

46 **Experimental Details**

47 A second-generation nickel-based single crystal superalloy samples with the
48 composition of Ni-7.5Co-7.0Cr-6.2Al-6.5Ta-5.0W-1.5Mo-3.0Re-0.15Hf-0.05C-0.04B(wt%)
49 and different Y doping level were used in this study.

50 [001] orientation single crystal bars were prepared by the industry Bridgeman
51 directional solidification furnace, in which Y level was changed by using different amount
52 of Ni-Y intermediate alloy. The single crystal bars were subjected to standard heat
53 treatment. According to the ICP-AES results, the final pick-up amount of Y was 70ppm,
54 190ppm, 230ppm, 660ppm and the corresponding specimens were labeled as 0Y, 70Y,
55 190Y, 230Y, 660Y, respectively. The specimens for isothermal oxidation experiment were
56 cut into the size of 30mm×10mm×1.5mm from these single crystal bars by electron spark
57 machining, and then they were polished by a series of SiC paper up to 800-grit. The
58 specimens were cleaned by alcohol, followed by blowing dry.

59 The tested specimens were heated in dry and static air at 1100°C for 100h, and during
60 the testing they were weighed at an interval of 25h. An X-ray diffractometer was used to
61 characterize the oxide scales at the sample surface. The microstructures of oxide scales
62 and element distribution maps were analyzed by a field emission scanning electron
63 microscope equipped with an energy dispersive spectrometer. The surface roughness was
64 determined by a laser scanning confocal microscope.

65 During the isothermal oxidation experiment, the weight of porcelain crucible was
66 recorded before the oxidation (m_1) and after every oxidation interval (m_2). The weight gain

67 per unit of oxidation (G^+) and the average oxidation rate ($\overline{K^+}$) can be calculated according

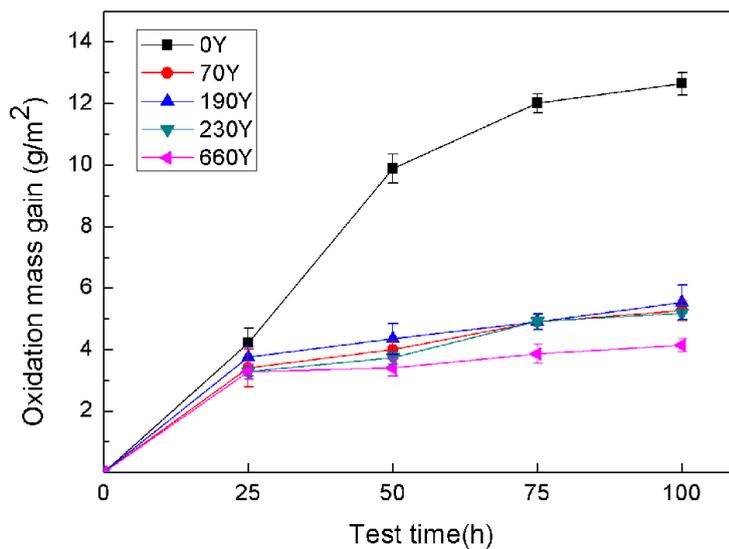
68 to the following formula:

69
$$G^+ = \frac{m_2 - m_1}{S}$$

70
$$\overline{K^+} = \frac{\overline{G^+}}{t}$$

71 Oxidation Kinetic

72 Y-doped alloys showed obvious difference from the 0Y sample. Compared to the 0Y
73 sample, Y doping reduced the rate of oxidation and the total weight gain after 100h
74 isothermal oxidation.

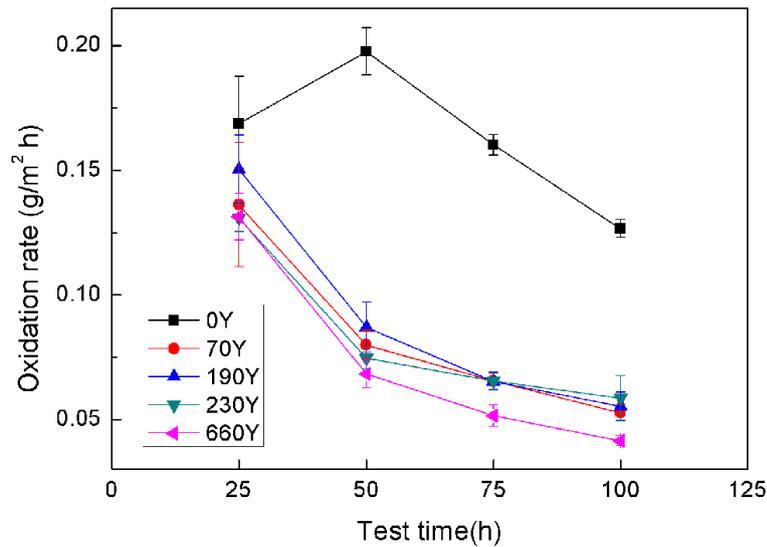


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76 Fig 1 Weight gain of Ni-based single crystal superalloy samples with different Y

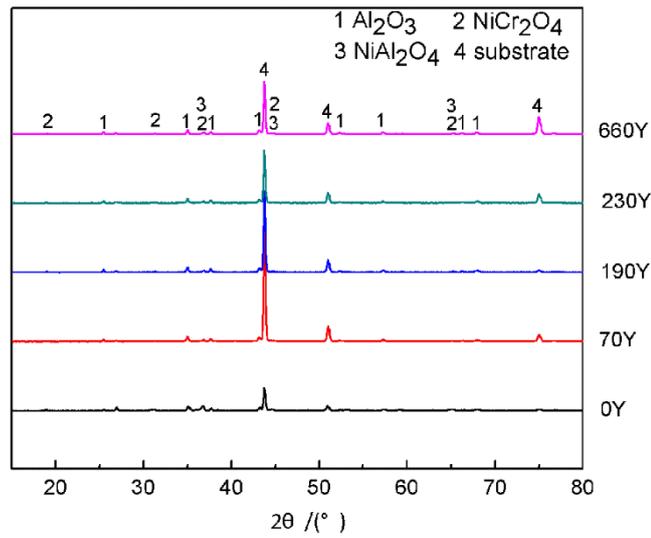
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addition during oxidation test at 1100°C in air



78
79 **Fig 2 Oxidation rate of Ni-based single crystal superalloy samples with different Y**
80 **addition during oxidation test at 1100°C in air**

81 As shown in Fig 1, it was obvious that 0Y sample had the maximum weight gain and
82 the mass gain after oxidation for 100h was 12.6g/m². Compared to 0Y sample, the mass
83 gain was decreased for 70Y, 190Y, 230Y, 660Y samples, which was 5.3g/m², 5.5 g/m², 5.2
84 g/m² and 4.1g/m², respectively. Fig 1 also showed clearly that, compared to 0Y, at the
85 earlier stage Y-doped alloy intended to reach a steady state of weight gaining. During the
86 first 25h of oxidation, there was no evident difference of mass gain between each Y-doped
87 specimens. Compared to the Y-doped alloy, 0Y sample still exhibited a high weight gaining
88 after 25h testing. As shown in Fig 3, the oxidation rate of Y-doped alloy continuously
89 decreased, but for 0Y sample, its oxidation rate firstly increased but decreased after 50h
90 testing. During the whole oxidation process, the oxidation rate of 0Y sample was always
91 higher than Y-doped alloys.

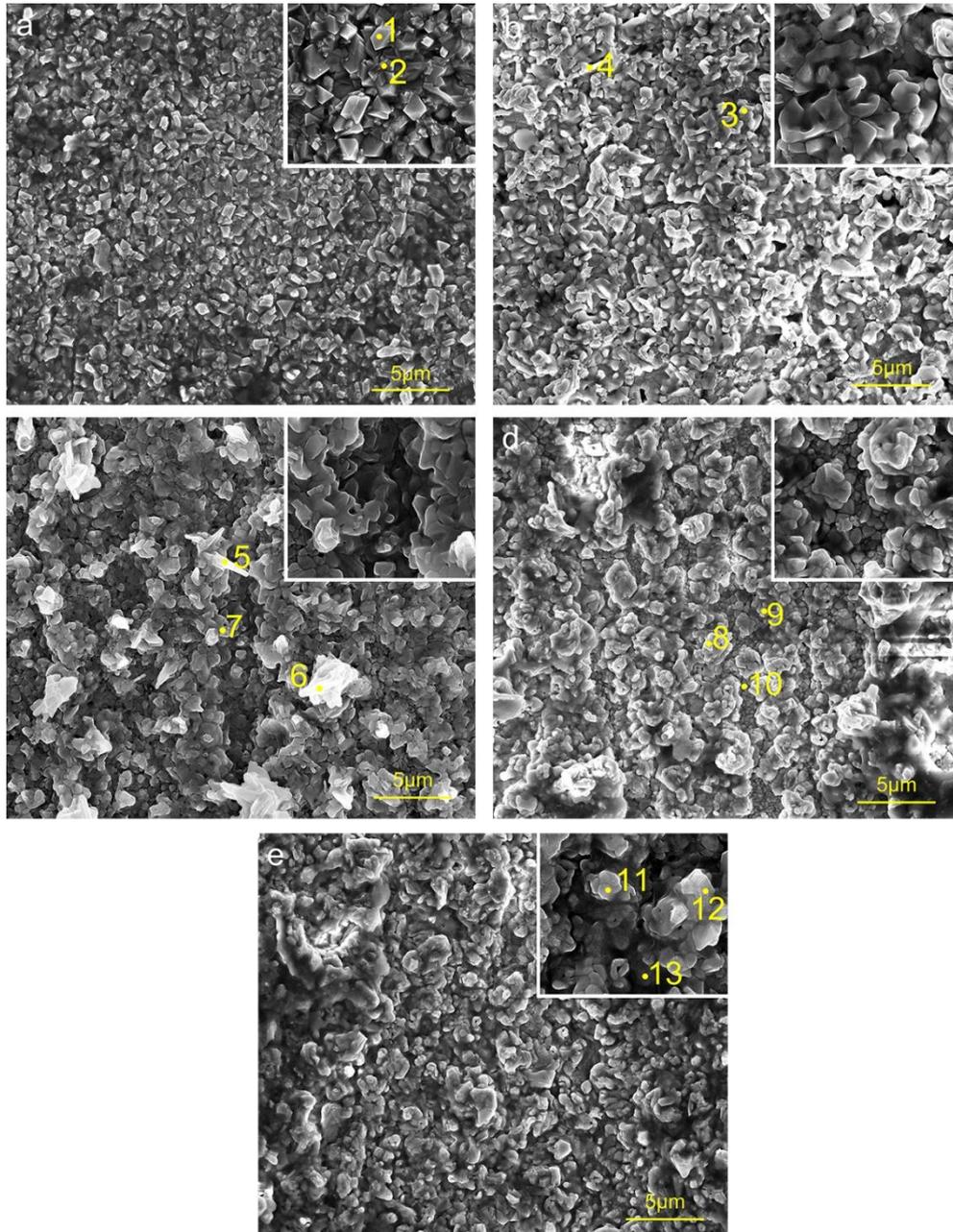


92

93 **Fig 3 XRD pattern of the oxide scale formed on samples with different Y addition after**
 94 **oxidation at 1100°C for 100h**

95 The phase constituents of different samples after oxidation are shown in Fig 2. It
 96 appeared that the oxidation products mainly consisted of Al₂O₃ and Ni(Cr,Al)₂O₄.

97 **Oxidation Scale**



98

99 **Fig 4 Morphology of the surface oxidation scale of alloy with different Y addition after**

100

oxidation at 1100 °C (a)0Y; (b)70Y; (c)190Y; (d)230Y; (e)660Y

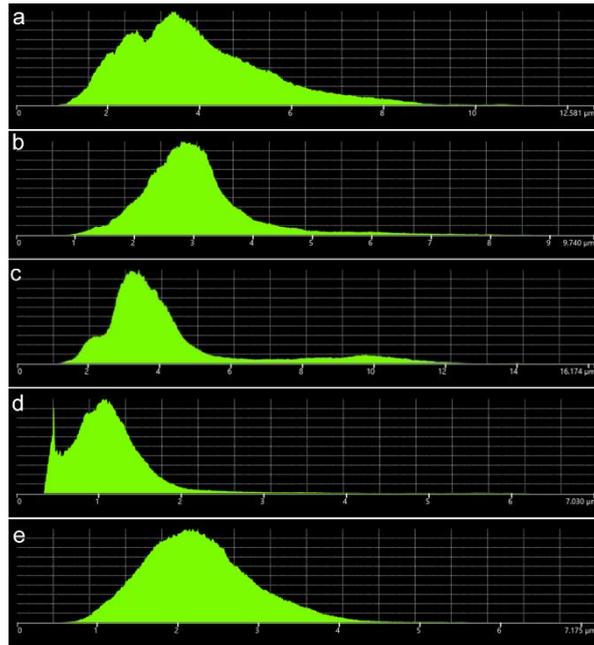
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Table 1 chemical composition of the points marked in Fig 4 (wt. %)

point	C	O	Al	Cr	Co	Ni	Fe
1	19.4	31.5	31.9	4.9	1.9	10.4	-
2	16.6	34.6	30.7	4.8	2.0	11.2	-
3	4.5	25.5	29.3	4.7	-	35.9	-
4	7.9	36.6	26.5	3.8	-	25.2	-
5	9.7	42.5	31.6	3.0	2.4	10.7	0.2
6	12.3	38.1	34.2	3.2	2.3	9.9	-

7	-	48.7	48.2	1.2	0.3	1.7	-
8	17.3	35.7	23.9	4.9	3.1	15.1	-
9	10.2	43.4	42.2	1.6	0.4	2.2	-
10	4.3	11.4	52.2	5.7	3.9	22.4	-
11	-	48.3	46.6	1.5	0.5	2.8	-
12	15.4	38.7	30.6	2.8	2.2	10.4	-
13	9.0	26.0	49.9	3.3	1.7	10.1	-

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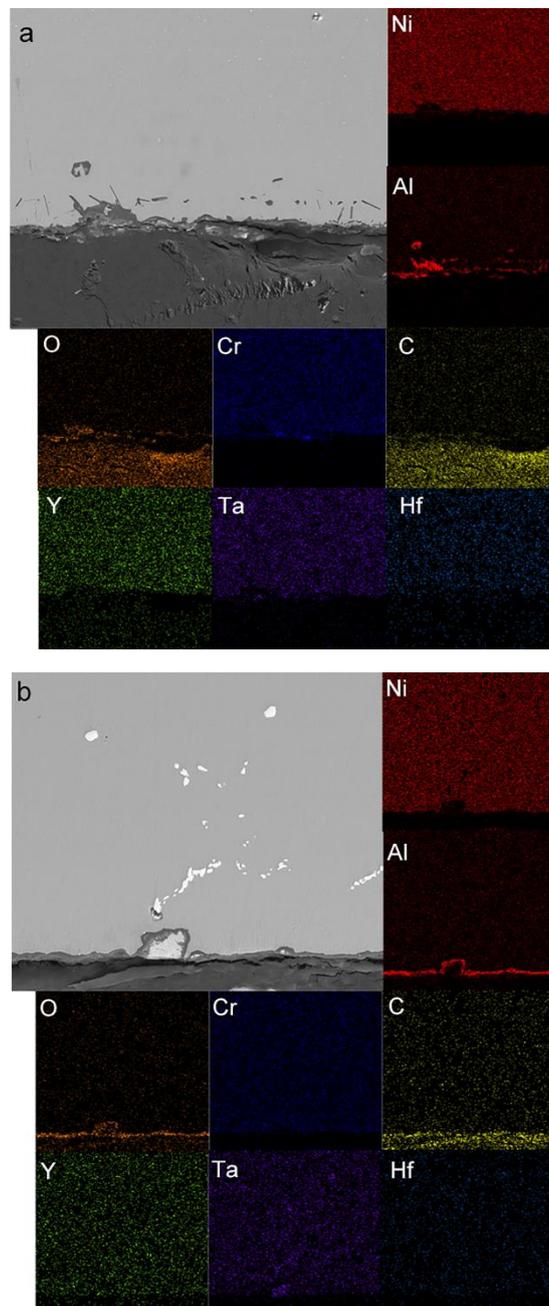
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104 Fig 5 Height histogram resulted by laser scanning confocal microscopy test (a)0Y ;
 105 (b)70Y ; (c)190Y ; (d)230Y ; (e)660Y

106 Fig 4(a-e) showed the surface morphologies of different Y-doped alloy after oxidation
 107 at 1100°C for 100h. There existed lumpy and flaky particles on the oxide scale surface of
 108 0Y sample. The EDS analysis results (Table 1) verified the composition of this kind of
 109 sizeable oxide product was Ni(Cr,Al)₂O₄.

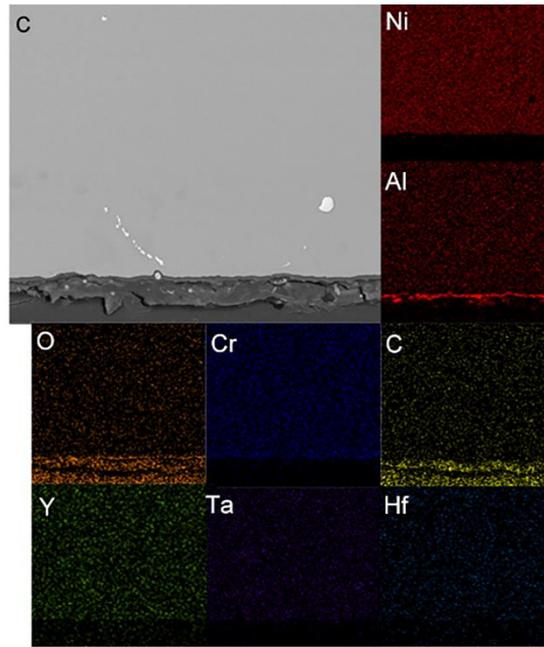
110 For 70Y sample, the cubic morphology of oxidation particles on the alloy surface
 111 disappeared, the oxidation particles ridged and compactly arranged. With increasing Y
 112 amount, the ridged particle began to agglomerate into cauliflower-like bulge. For the
 113 agglomerates growing larger continuously, the distance between each agglomerate

114 became closer. Combined with the EDS and XRD results, the main composition of the
115 ridged particulars and agglomerate were both Al_2O_3 . Fig 5 was the Height histogram of
116 oxide surface. It could be found that, compared to the 0Y, the roughness decreased in 70Y.
117 And for all Y addition alloys, the roughness of oxide surface tended to first increase and
118 then decrease. The variation of the roughness resulted from the height histogram was in
119 good accordance with the changing tendency of surface morphologies shown in Fig 4.

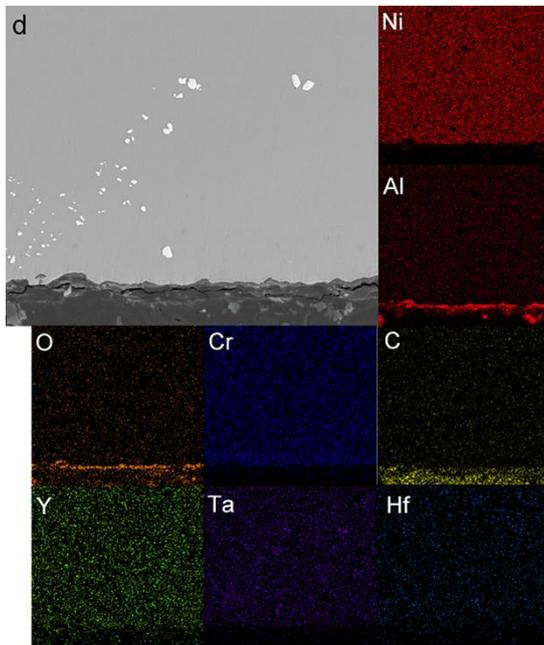


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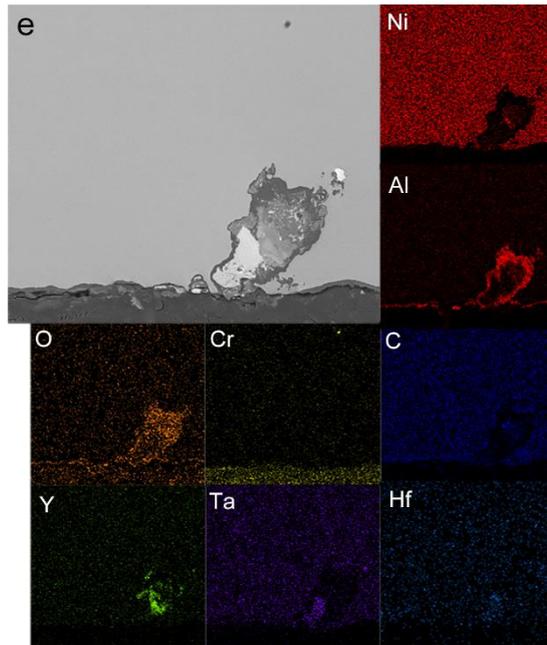
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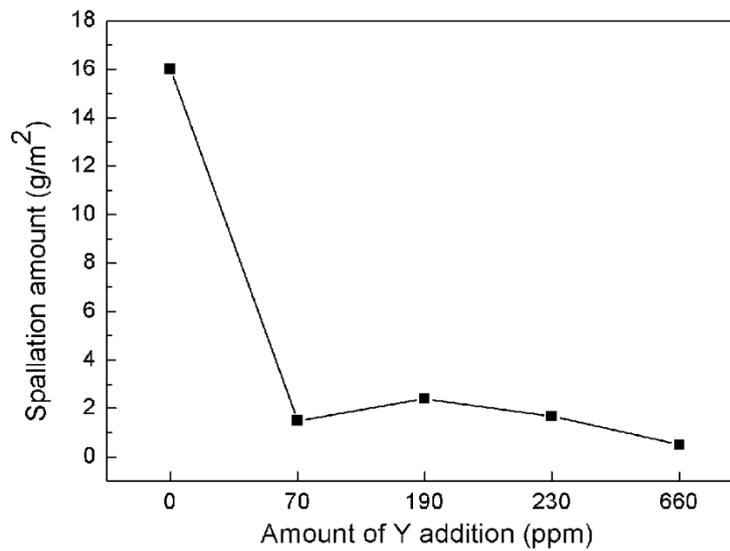
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125

Fig 6 Section morphologies of oxide scale and element area distribution maps of Ni-

126

based superalloy after oxidation at 1100°C for 100 h



127

128

Fig 7 Spallation amount of oxide scale with different Y addition

129

As shown in Fig 6, all the Y-doped specimens had a continuous Al₂O₃ oxide scale,

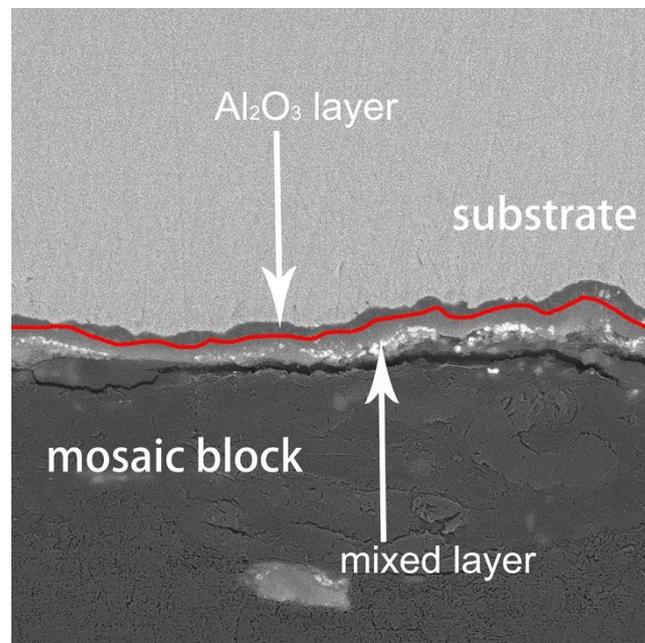
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indicating better oxidation resistance than the alloy without Y addition. This enhancement

131

also reflected in the low dropping weight of oxide scale compared to 0Y(as shown in Fig

132 7). Needle-like aluminum nitrides determined by EDS existed at the near-surface area in
133 0Y sample, but they disappeared with the Y addition.



134

135

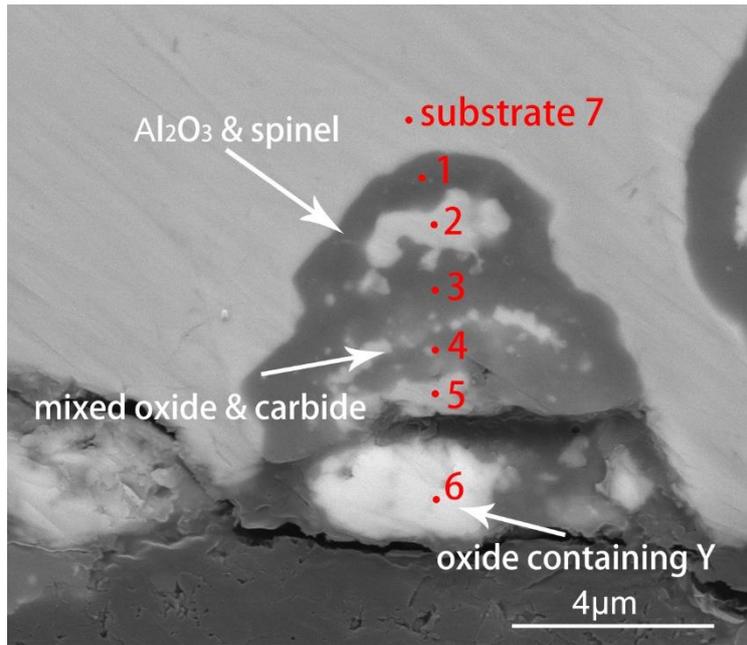
Fig 8 Double-layer structure of oxide scale

136 Fig 8 showed the classical structure of oxide scale, a typical double-layer structure.

137 Combined with the EDS and XRD analysis results, the outer layer was composed of

138 Ni(Al,Cr)₂O₄ spinel and oxide particles containing refractory elements (a mixed oxide

139 layer), and the inner one was Al₂O₃ layer.



140

141 Fig 9 Typical cross-section of surface layer for the Ni-based single crystal superalloy

142

Table 2 EDS analysis results for the points marked in Fig 9

	Ni	Al	C	O	Cr	Co	Re	Si	N	Hf	S	Y	Ti	Ta
1	29.6	20.5	20.3	14.9	5.0	4.6	2.9	1.6	0.6	0.1	-	-	-	-
2	55.6	5.8	16.9	3.5	1.7	9.6	4.7	2.2	-	-	-	-	0.1	-
3	10.0	26.4	24.0	24.3	4.7	1.7	1.7	1.0	1.0	1.2	-	-	0.1	3.9
4	2.5	21.5	19.8	18.2	15.9	0.3	1.3	1.9	0.9	3.6	-	-	0.1	14.0
5	3.5	8.1	12.3	9.1	19.7	0.8	2.6	2.1	-	7.8	-	-	0.2	33.7
6	8.4	1.9	9.9	14.2	2.0	1.4	3.5	3.1	-	6.6	-	0.5	0.1	48.4
7	55.7	3.0	16.8	0.5	9.1	8.6	4.3	1.9	-	0.2	-	-	0.1	-

143

While observing the cross-section of oxide scale, within the Y-doped specimens there

144

existed a nail-like internal oxidation, showed in Fig 9. According from the EDS analysis

145

results (Table 2), from inside to outside, the “nail” was composed of Al₂O₃ and spinel,

146

mixture of refractory oxide and carbide, as well as oxide containing Y. It was easy to notice

147

that the Y contained oxide located at the bottom of “nail”.

148

Table 3 Characteristics of the oxide scale for different levels of Y addition

	70Y	190Y	230Y	660Y
Thickness of oxide scale(μm)	2.799	1.987	2.435	1.882
Spallation amount (g/m ²)	1.500	2.400	1.683	0.500
Oxidation weight gain(g/m ²)	5.264	5.525	5.180	4.135

149 Table 3 showed the change of oxide scale thickness, spallation amount of oxide scale
150 and the oxidation weight gain with the added amount of element Y. Therefore, from these
151 three aspects it could be concluded that 660Y alloy sample exhibited the best oxidation
152 resistance among all of the Y-doped samples.

153 Discussion

154 The initial oxidized products was NiO and spinel (Ni(Cr,Al)₂O₄), in which NiO firstly
155 formed with the oxidation process but it finally disappeared as the intermediate product
156 because it reacted with Al₂O₃ and Cr₂O₃ forming Ni(Cr,Al)₂O₄[18]. This can be described
157 by the following expression:



159 With the addition of Y element, the phenomenon of oxide scale spallation almost
160 disappeared, and thus the oxidation resistance of the alloy was increased significantly.

161 According to the classic Wagner theory, the oxidation process was orientational and
162 determined by the direction of ions diffusion[19,20]. According to the study on NiCrAl_s and
163 FeCrAl_s, the oxide scales formation involved both outward aluminum diffusion and inward
164 oxygen diffusion, and the pathway of the oxidizing process was along those grain
165 boundaries[21-23].

166 For the analysis result of oxidation kinetic curves, the oxidation resistance increased
167 with the addition of Y element, and 660ppm Y addition alloy exhibited the best oxidation
168 resistance.

169 And for the high chemical activity of element Y, segregation of Y ions would occur at
170 the matrix/oxide scales interface[24]. Because of the larger standard Gibbs formation free

171 energy (ΔG^0) of Y_2O_3 , the affinity of Y with O was stronger than that of C with Al[25,26],
172 and thus Y_2O_3 formed at surface prior to the Al_2O_3 layer. The formation of Y_2O_3 appears to
173 affect the Al^{3+}/O^{2-} diffusion behavior at the grain boundaries[19,27,28], but no evidence for
174 significant segregation of Al^{3+} and O^{2-} was found.

175 For 0Y sample, needle-like aluminum nitrides existed at the near-surface region of
176 oxidation scale in the matrix. Due to the volume increasing, which was caused by the
177 formation of the oxides (Al_2O_3 28% increasing)[29,30], cracks and spallation occurred there.
178 Cracks were indeed observed across the oxide scales and element N further penetrated
179 through the cracks to form AlN. However, with Y doping, the aluminum nitrides disappeared
180 because of the higher oxide scale adherence and less oxidation products, as well as less
181 volume increasing below oxide scale and in the meantime the cracks also disappeared.
182 On the other hand, according to the references[31,32], this kind of phenomenon is also
183 related to the purification of alloy after the addition of Y element.

184 **Conclusion**

185 Based on the study of the oxidation kinetic and the surface/cross-section
186 morphologies of oxidation scale, some key points can be summarized as follows:
187 1. For improving the oxidation resistance of Ni-based superalloy, doping yttrium was
188 significantly effective by decreasing the oxidation rate, and after oxidation at 1100°C for
189 100h the weight gain of the 70 ppm Y alloy decreased from 12.6g/m² to 5.3g/m².
190 Furthermore, the 660Y alloy exhibited the best oxidation resistance due to the least weight
191 gain, spallation amount and thickness of oxide scale.

192 2. The alloy chosen in this study was Al₂O₃ formation alloy, and it has a typical double-layer
193 structure of the oxide scale, one with the main composition of Al₂O₃ and Ni(Al,Cr)₂O₄ spinel,
194 and the other with oxide/carbide particles containing refractory elements.

195 3. With Y addition, the needle-like aluminum nitrides disappeared resulted from the
196 formation of adhesive oxide scale and the decreasing of oxide products which led to less
197 increasing of oxide volume, and in the meantime the cracks also disappeared in oxide
198 scale.

199 **Declarations**

200 **Availability of data and materials**

201 The datasets used and/or analysed during the current study are available from the
202 corresponding author on reasonable request.

203 **Competing interests**

204 The authors declare that they have no competing interests.

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206 The work was supported by the preliminary research project.

207 **Authors' contributions**

208 ZHZ and KG were in charge of the whole trial; ZHZ wrote the manuscript; RJC and
209 JCQ assisted with sampling and laboratory analyses; ZHH assisted with the revision. All
210 authors read and approved the final manuscript.

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212 Not applicable.

213

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Figures

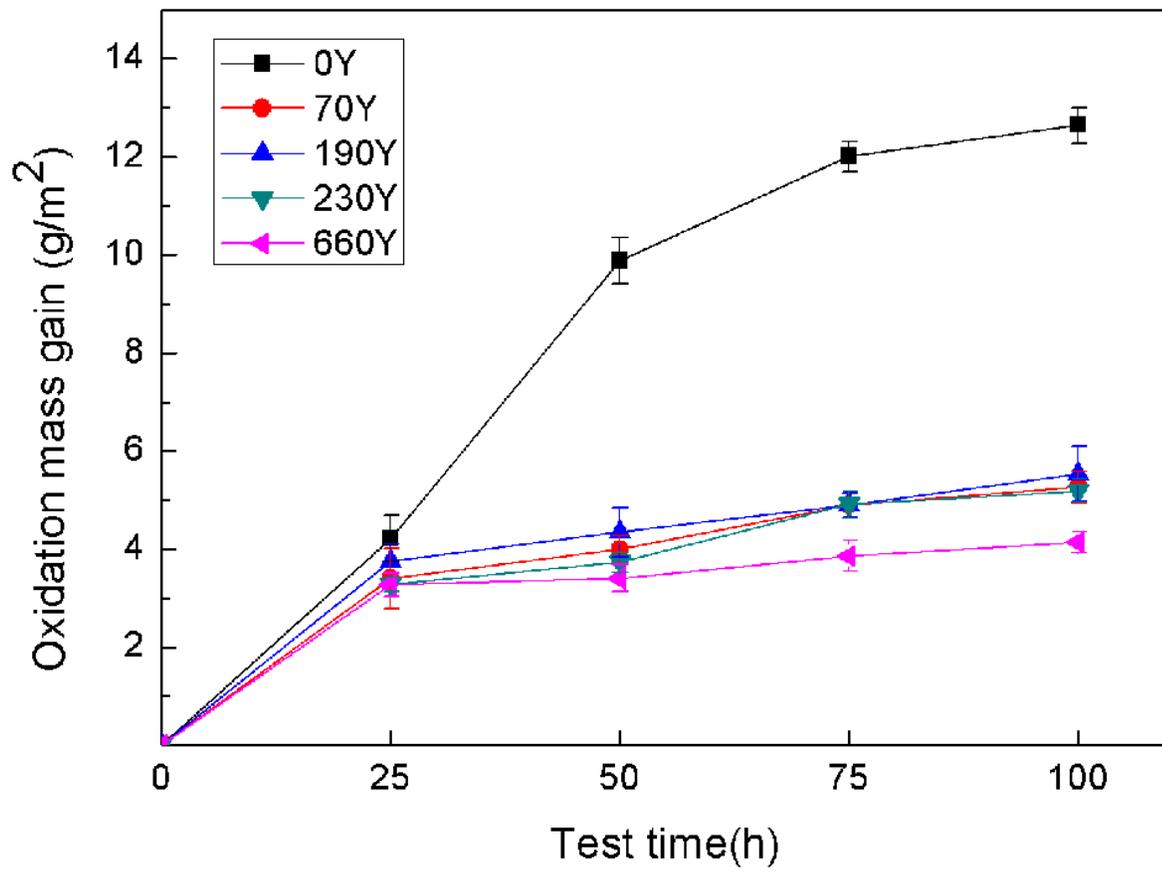


Figure 1

Weight gain of Ni-based single crystal superalloy samples with different Y addition during oxidation test at 1100°C in air

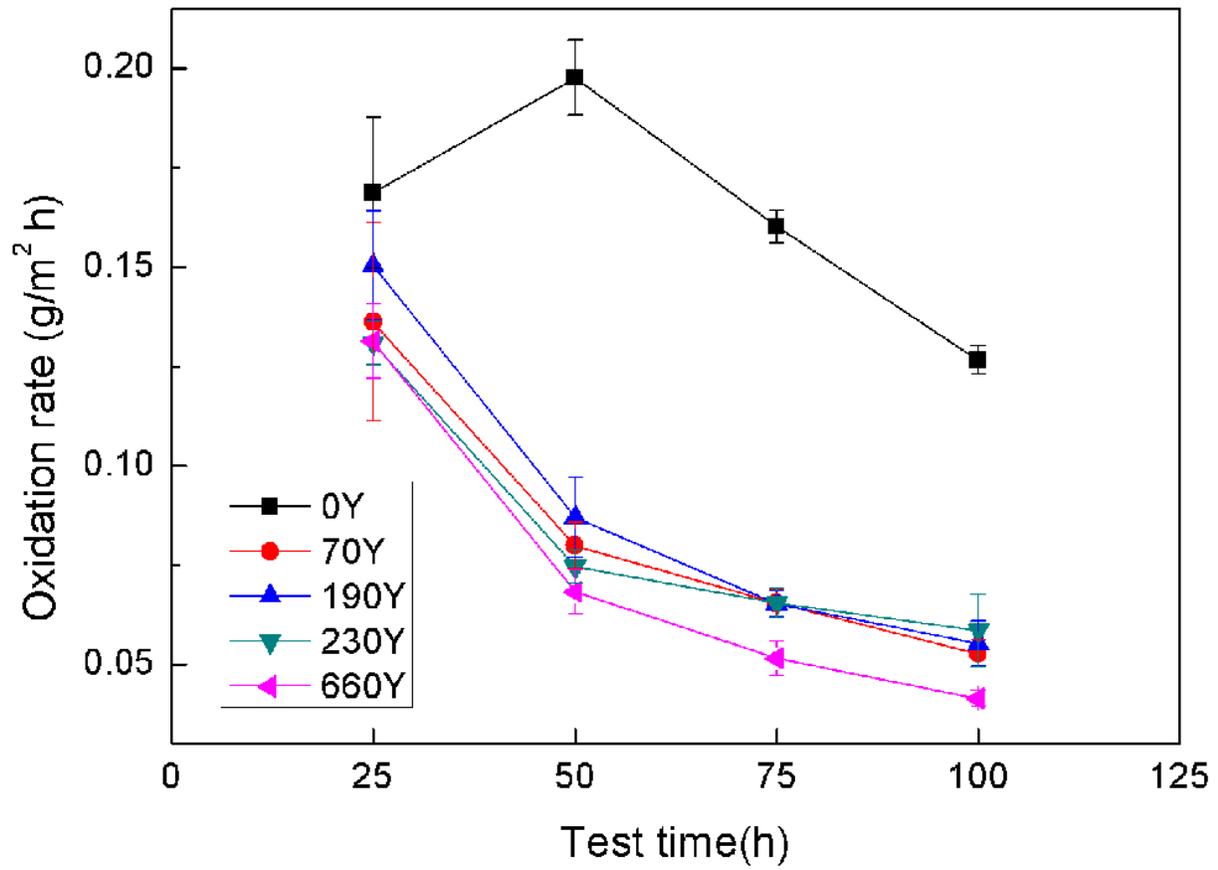


Figure 2

Oxidation rate of Ni-based single crystal superalloy samples with different Y addition during oxidation test at 1100°C in air

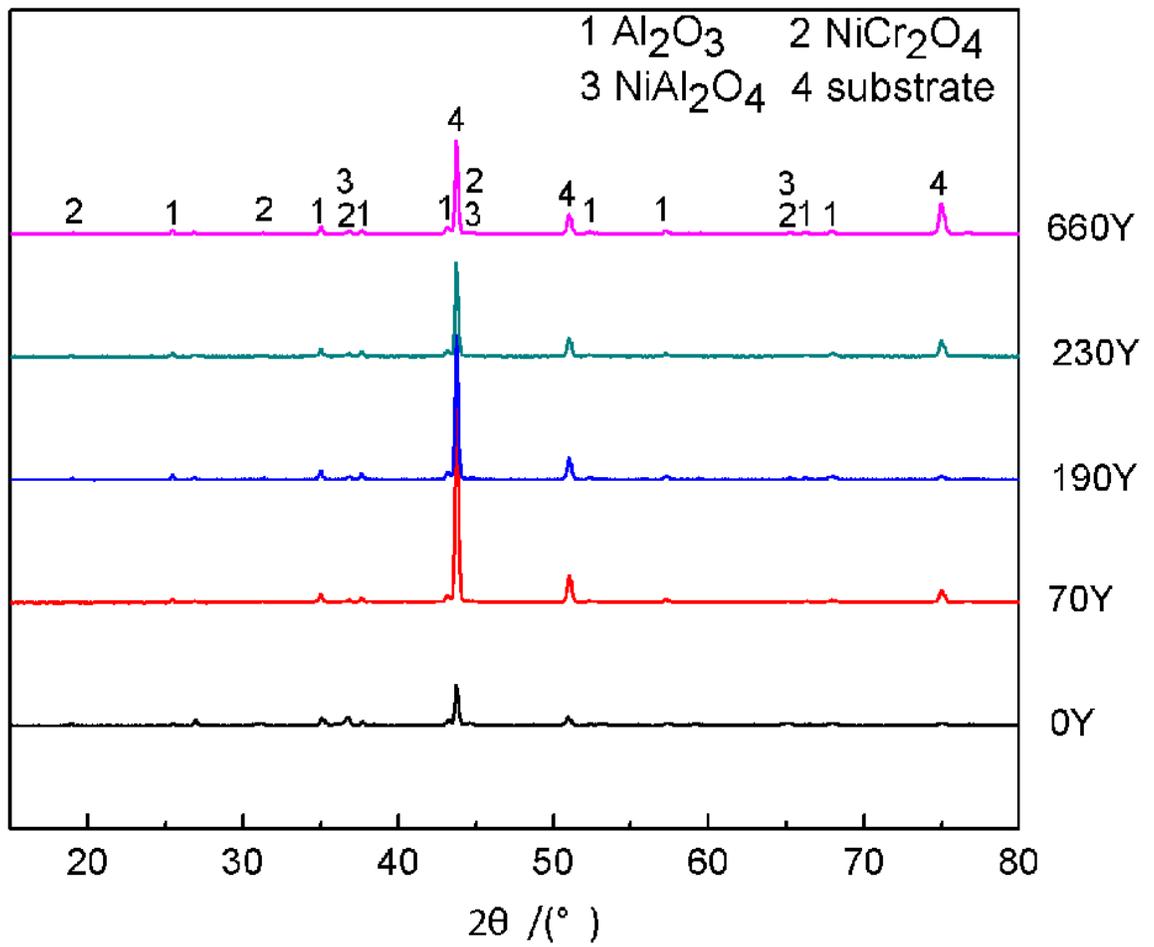


Figure 3

XRD pattern of the oxide scale formed on samples with different Y addition after oxidation at 1100°C for 100h

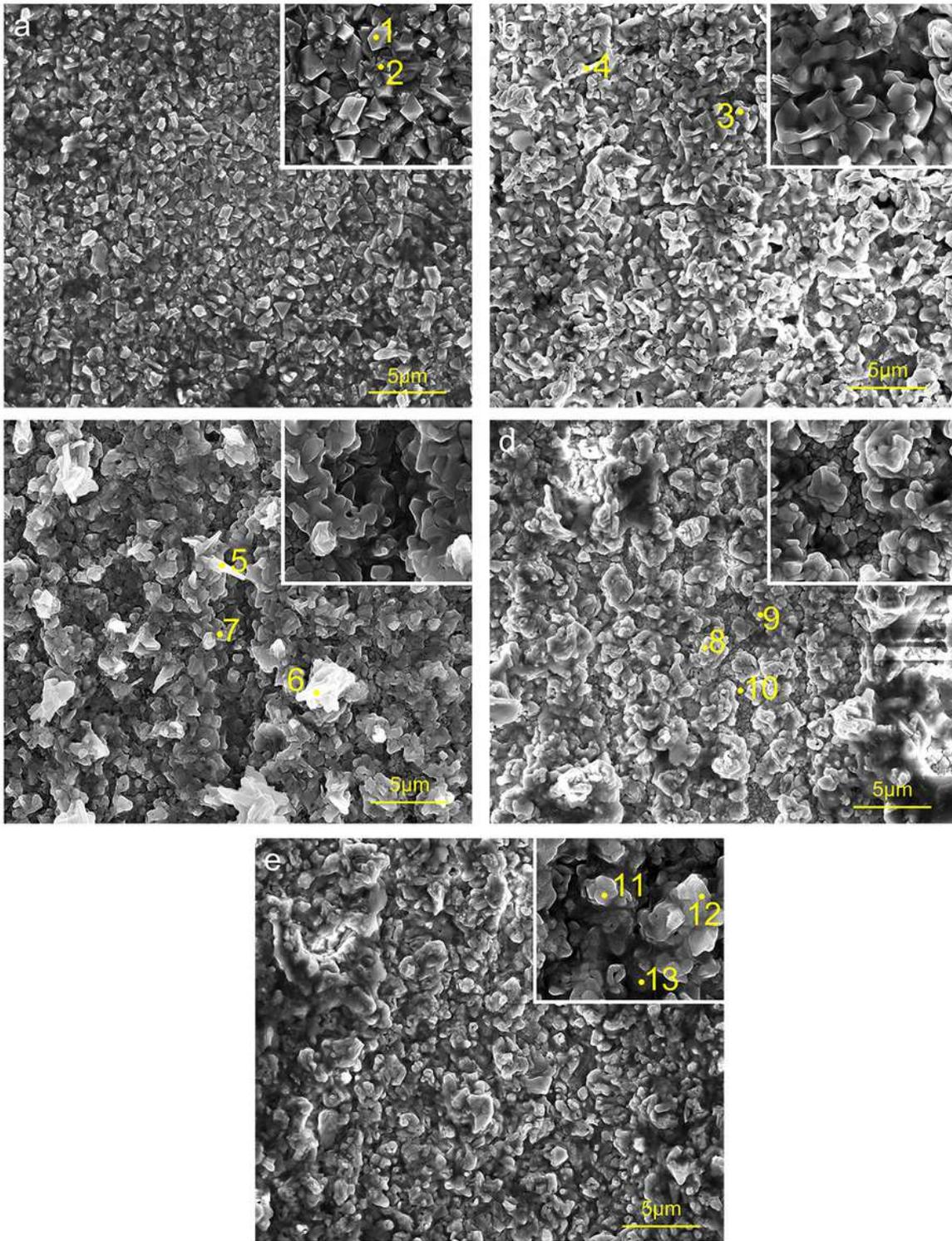


Figure 4

Morphology of the surface oxidation scale of alloy with different Y addition after oxidation at 1100 °C
(a)0Y; (b)70Y; (c)190Y; (d)230Y; (e)660Y

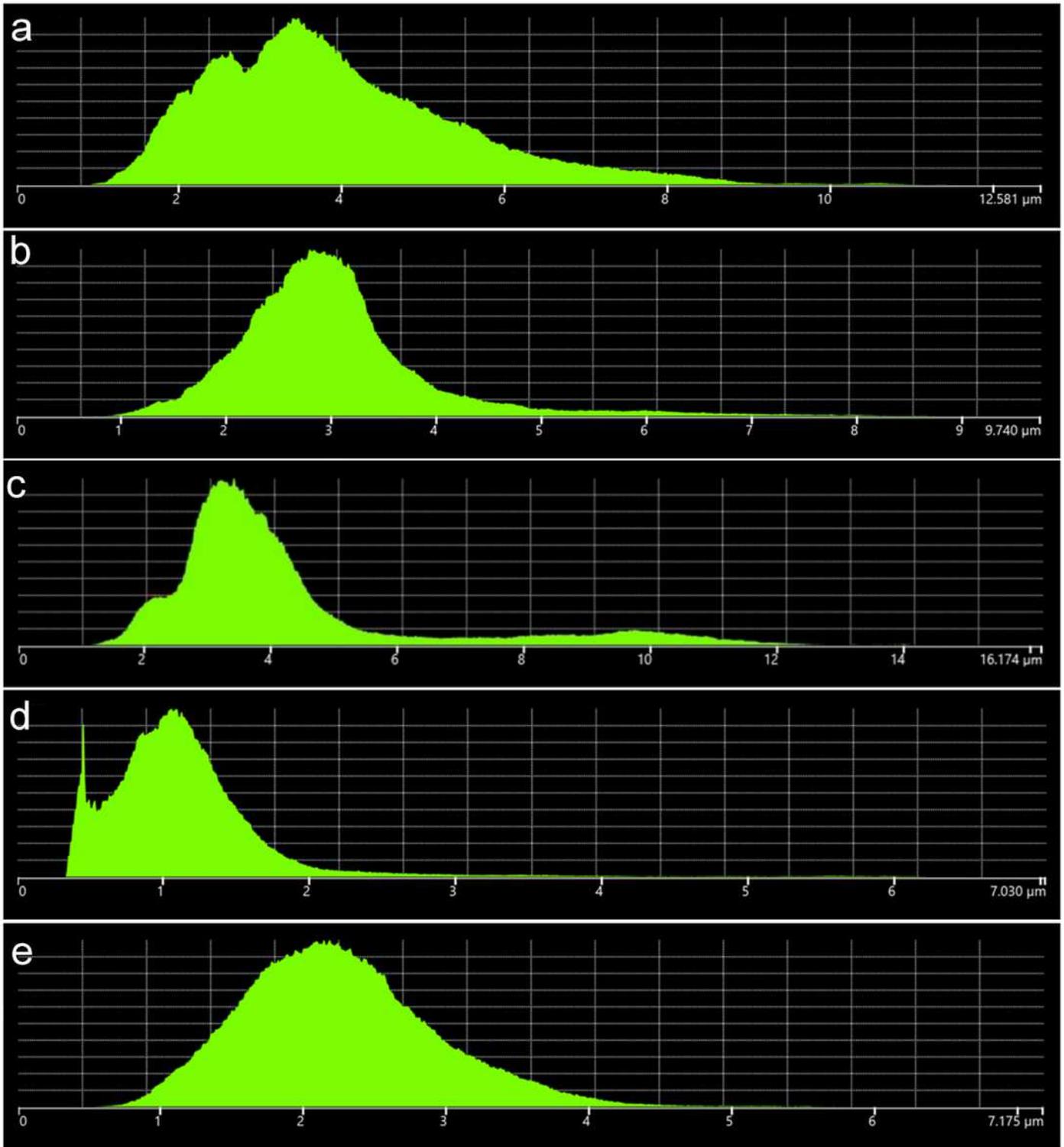


Figure 5

Height histogram resulted by laser scanning confocal microscopy test (a)0Y ; (b)70Y ; (c)190Y ; (d)230Y ; (e)660Y

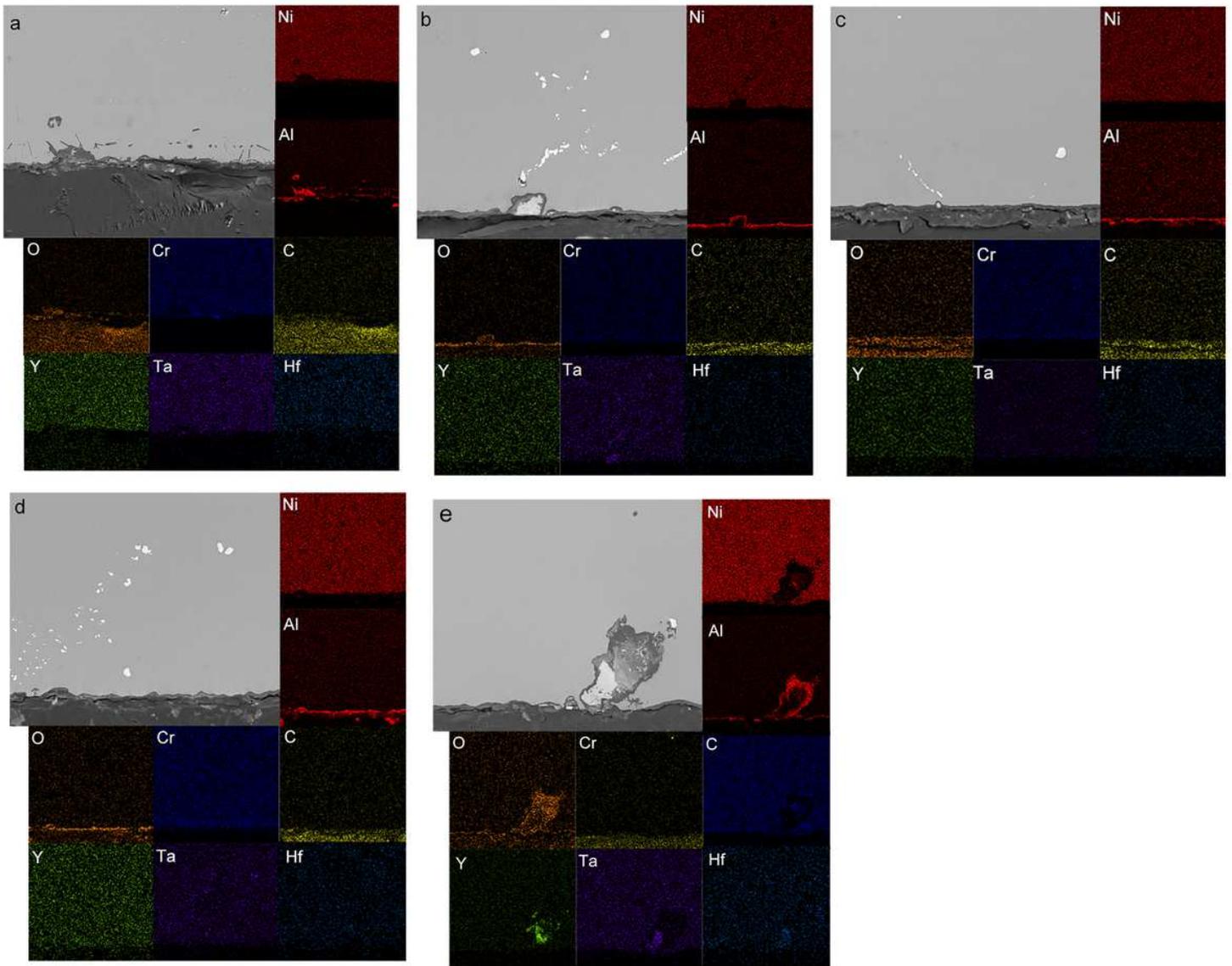


Figure 6

Section morphologies of oxide scale and element area distribution maps of Ni-based superalloy after oxidation at 1100°C for 100 h

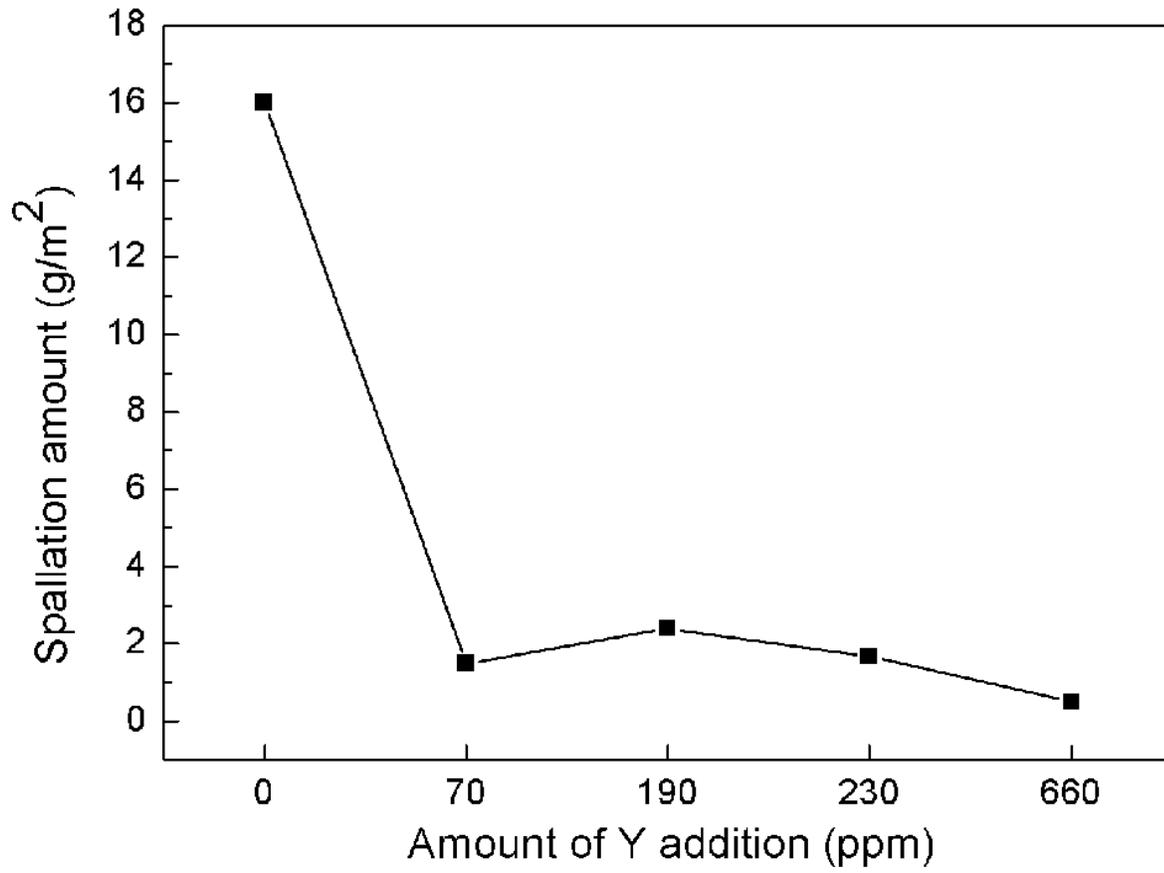


Figure 7

Spallation amount of oxide scale with different Y addition

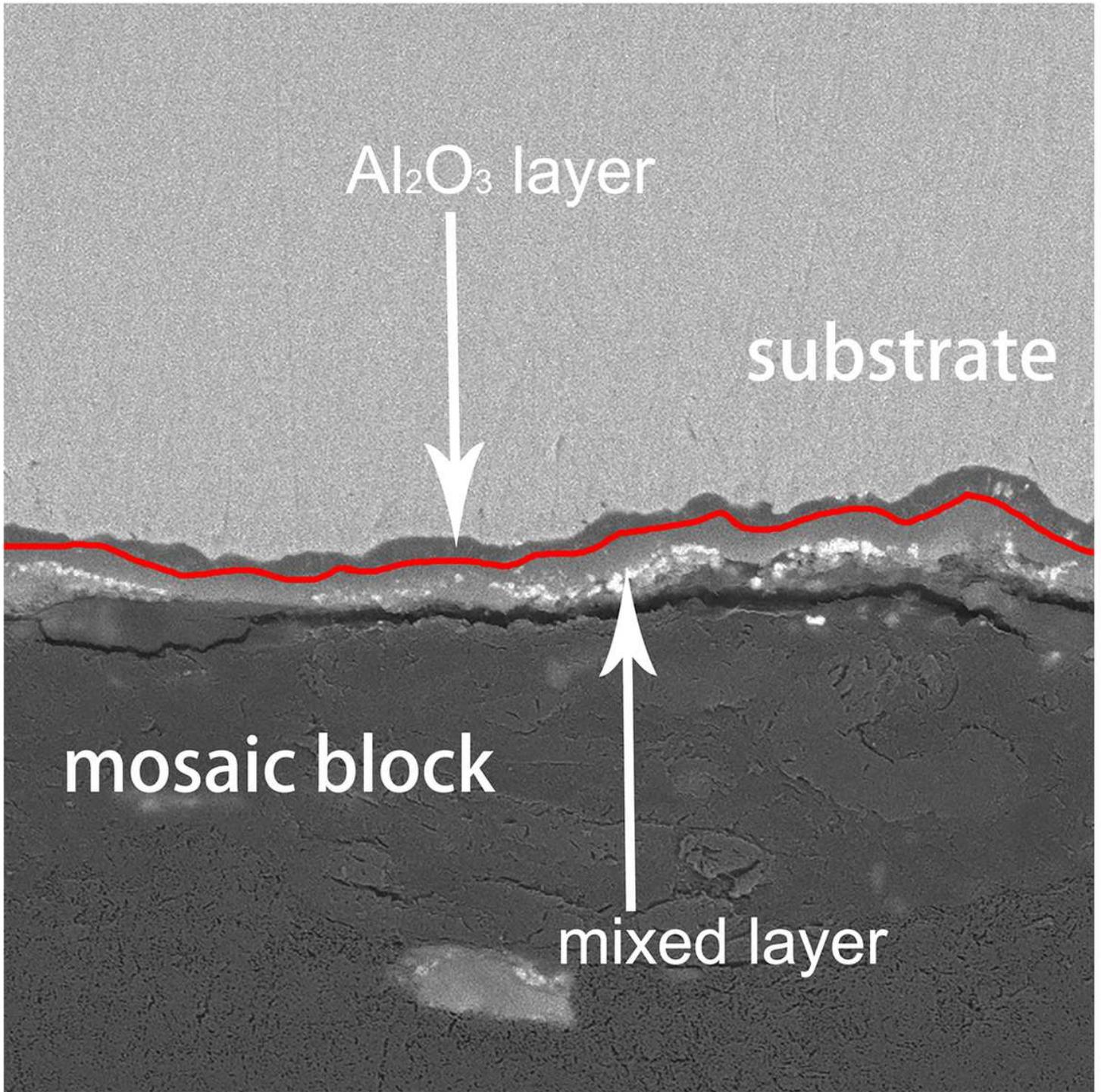


Figure 8

Double-layer structure of oxide scale

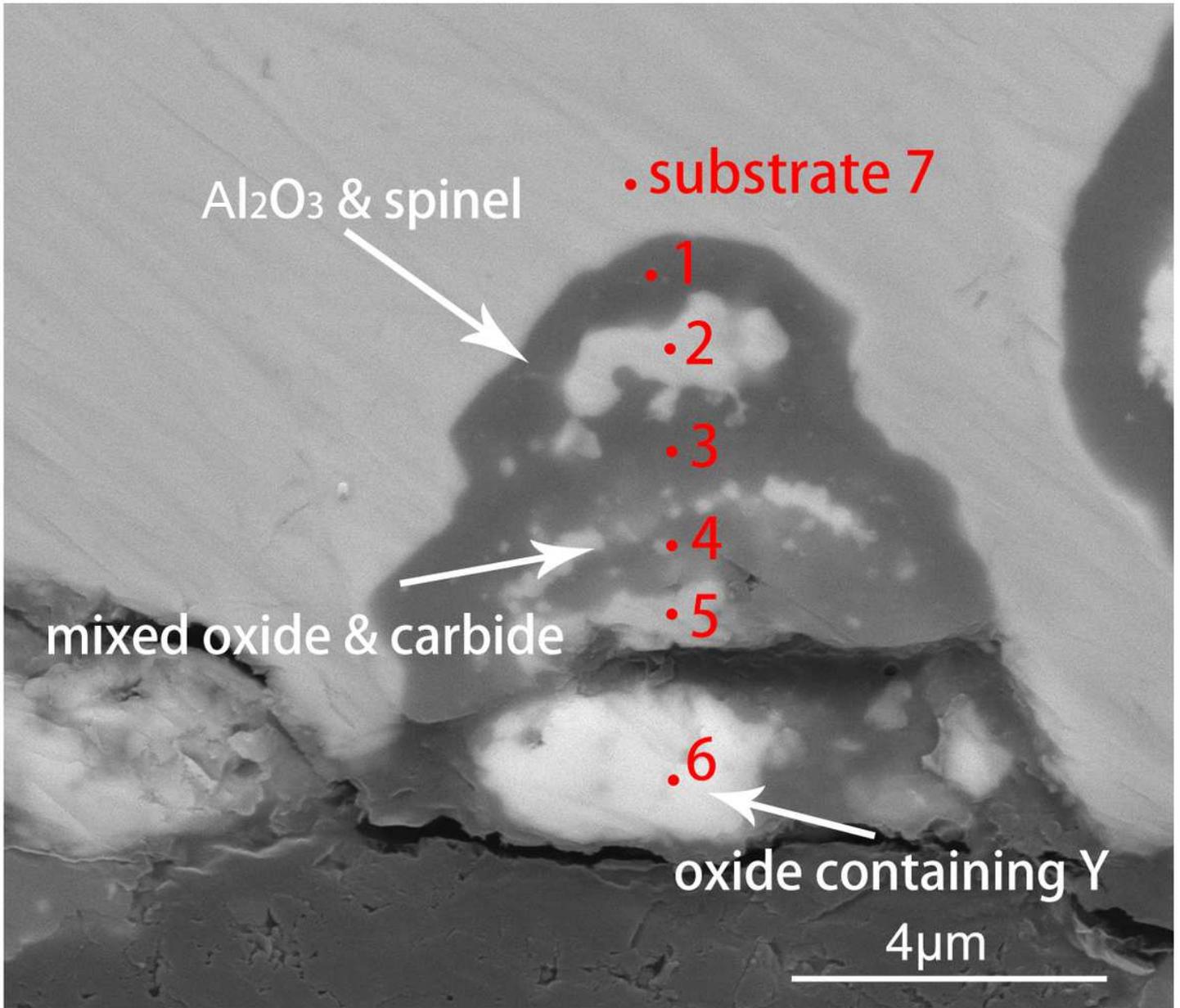


Figure 9

Typical cross-section of surface layer for the Ni-based single crystal superalloy