

# Contact residue contributions to interaction energies between SARS-CoV-1 spike proteins and human ACE2 receptors

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## Research Article

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1 **Contact residue contributions to interaction energies between SARS-CoV-1 spike proteins**  
2 **and human ACE2 receptors**

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3 **ABSTRACT**

4 **Several viruses of the *corona* family interact, *via* their spike (S) proteins, with human**  
5 **cellular receptors. Spike proteins of SARS-CoV-1 and SARS-CoV-2 virions, being struc-**  
6 **turally related but not identical, mediate attachment to the human angiotensin-converting**  
7 **enzyme 2 (hACE2) receptor in similar but non-identical ways. Molecular-level understand-**  
8 **ing of interactions between spike proteins and hACE2 can aid strategies for blocking**  
9 **attachment of SARS-CoV-1, a potentially reemerging health threat, to human cells. We**  
10 **have uniquely identified dominant molecular-level interactions, some attractive and some**  
11 **repulsive, between the *receptor binding domain* of SARS-CoV-1 spike proteins (S-RBD)**  
12 **and hACE2. We performed fragment-based quantum-biochemical calculations which di-**  
13 **rectly relate biomolecular structure with the hACE2...S-RBD interaction energy. Consis-**  
14 **tent with X-ray crystallography and cryo-EM, the interaction energy between hACE2 and**  
15 **S-RBD ( $\approx -26$  kcal/mol) corresponds to a net intermolecular attraction which is signifi-**  
16 **cantly enhanced by inclusion of *dispersion* van der Waals forces. Protein fragments, at the**  
17 **hACE2...S-RBD interface, that dominate host-virus attraction have been identified together**  
18 **with their constituent amino acid residues. Two hACE2 fragments which include residues**  
19 **(GLU37, ASP38, TYR41, GLN42) and (GLU329, LYS353, GLY354), respectively, as well as**  
20 **three S-RBD fragments which include residues (TYR436), (ARG426) and (THR487, GLY488,**  
21 **TYR491), respectively, have been identified as primary attractors at the hACE2...S-RBD in-**  
22 **terface.**

## 23 INTRODUCTION

24 The severe acute respiratory syndrome coronavirus, SARS-CoV-1, represents a potentially  
25 reemerging and not fully understood health threat [1, 2] that originated in late 2002. While the  
26 threat from SARS-CoV-1 faded with the aid of effective health mitigation policies, other coron-  
27 aviruses have recently emerged including the genetically related SARS-CoV-2. [3–6] Although  
28 related, SARS-CoV-1 and SARS-CoV-2 display important differences in their host-binding struc-  
29 tures, namely the *receptor binding domains* (RBD) of their spike (S) proteins. [7] Both, public  
30 health concerns and RBD structural variations, underscore the need to study molecular-level in-  
31 teractions of each particular coronavirus with host-cell receptors. Such studies can elucidate the  
32 physico-chemical origins and residue-level mechanisms of viral infection. This work presents a  
33 quantitative structure-based analysis of key interactions, largely responsible for an attractive host-  
34 virus binding energy, between SARS-CoV-1 and the human ACE2 receptor.

36 Virions of the coronaviruses SARS-CoV-1 and SARS-CoV-2 have characteristic roughly-  
37 spherical shapes, on the order of 100 nm in diameter, with petal-shaped spikes which project  
38 outwards from their surfaces. [4] Coronaviruses encode three types of surface proteins, [5, 8]  
39 namely membrane (M), envelope (E) and, of particular importance, the so-called spike (S) which  
40 are positioned in their membrane envelopes. In addition another, nucleocapsid (N), structural  
41 protein is encoded. Spike proteins play crucial roles in a virion's infection of host-cells, both by  
42 binding to their cellular receptors and, subsequently, by promoting fusion with their cellular mem-  
43 branes. [4, 9, 10] To interact with their host-cell receptors, spike proteins undergo conformational  
44 motions that either hide or expose their structural determinants of receptor binding which cor-  
45 respond to their *down* or *up* states, respectively. [11] Spike glycoproteins of coronaviruses play  
46 crucial roles in the initial stages of host-cell infection and are major targets for virus-neutralizing  
47 antibodies. [12] Thus, identifying and studying in a quantitative way the physico-chemical inter-  
48 actions between the *up*-state spike conformations, which are receptor accessible, [11, 13] and  
49 their host receptors is of interest not only for elucidating the molecular-level origins of host-virus  
50 binding but also for developing therapeutic countermeasures.

51 Structurally, the spikes of coronaviruses are globular trimers, of about 150 Å in diameter, linked  
52 to the virion envelope by a narrow stalk. The spikes of SARS-CoV-1, in particular, are fairly  
53 massive ( $\approx 500$  kDa) in comparison to other type I viral spike proteins. [9] Spike proteins of both

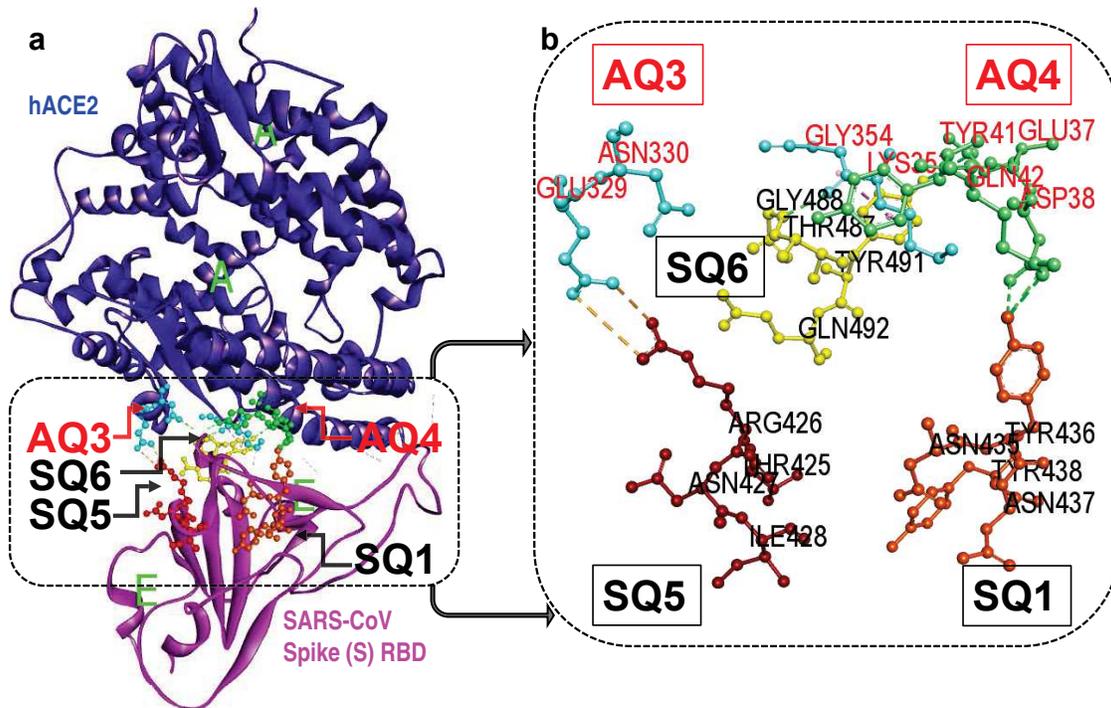


FIG. 1. | **Identification of four-residue fragments (i.e. *quartets*) which produce attractive interaction energies between hACE2 and the SARS-CoV-1 S-RBD.** **a**, Structure of hACE2 receptor (Chain A) in complex with SARS-CoV-1 spike protein (Chain E). [3] The key *quartets*, at the hACE2...S-RBD interface, promoting host-virus binding are shown in the dashed box. **b**, Magnified view of the hACE2 (**AQ3**, **AQ4**) and S-RBD (**SQ1**, **SQ5**, **SQ6**) residue *quartets* which mostly contribute to the attractive hACE2...S-RBD interaction energy (shown in ball and stick).

54 viruses, SARS-CoV-1 and SARS-CoV-2, contain two domains labelled S1 and S2. The S1 domain  
 55 mediates initial virus binding to target cell receptors whereas S2 is involved in the fusion of virus  
 56 and target cell membranes. [1] As shown in Fig. 1 spike (S) proteins interact with host receptors  
 57 *via* their *receptor-binding domain* (RBD) which is herein referred to as S-RBD. A representative S-  
 58 RBD which is closely related to that of SARS-CoV-1, namely that of SARS-CoV-2, has a molecular  
 59 weight of  $\approx 21$  kDa and its prefusion cryo-EM structure has been recently reported. [11]

60 Coronavirus spike proteins, such as those from SARS-CoV-1, need to interact with receptors  
 61 of their target cells to initiate infection. The human angiotensin-converting enzyme 2 (hACE2),  
 62 attached to the outer surface of host-cells, has been identified as an efficient binder of the S1  
 63 domain of SARS-CoV-1 spike proteins. [10, 14] The hACE2 motif was also identified as an en-  
 64 try receptor for the novel SARS-CoV-2 coronavirus S-protein. [15–17] Thus, blocking S-protein

65 interaction with hACE2 or promoting hACE2 conformational changes that render it inefficient as  
66 a receptor are possible antiviral countermeasures. Conversely, identification of hACE2 receptors  
67 as viral entry points highlighted the role of spike protein RBDs as possible target epitopes of  
68 S1-protein-based vaccines. [14]

69 An important determinant of infectivity is the cognate interaction between viral attachment pro-  
70 teins and their host-cell receptors. [18] The structural basis for host receptor recognition has been  
71 reviewed for several coronaviruses and binding similarities as well as differences have been high-  
72 lighted. [19] The main contact residues at the interface of the SARS-CoV-1 S-RBD with ACE2  
73 receptors from several species, including human ACE2, have been structurally identified. [3] The  
74 crystallographic structure of the SARS-CoV-1 S-RBD, in complex with hACE2, was reported at 2.9  
75 Å resolution [3] and more recent Cryo-EM structures provide additional insight about prefusion to  
76 postfusion conformational changes. [13, 20] Likewise, X-ray diffraction and Cryo-EM structures of  
77 the structurally related S-RBD of SARS-CoV-2 [11] and its complex with hACE2 receptors [21, 22]  
78 have been reported.

79 The interaction energy, as defined by Eqs. 1-3, is a measure of the propensity of the viral S-  
80 RBD to attach itself to an ACE2 receptor. Although the contact residues at the hACE2...S-RBD  
81 interface have been structurally identified, no quantitative assesment of their contributions, indi-  
82 vidualy or as part of a fragment, to the overall host-virus interaction energy has been reported.  
83 Techniques such as X-ray crystallography (XRC) and cryogenic electron microscopy (cryo-EM)  
84 can identify the contact residues at the hACE2...S-RBM interface. However, these techniques  
85 cannot unequivocally determine which S-RBM residue fragments are attractive or which are re-  
86 pulsive relative to hACE2. Likewise, XRC or cryo-EM cannot accurately quantify partial or total  
87 strengths of hACE2...S-RBM interaction energies. By contrast, such information, helpful for an-  
88 tiviral or vaccine development, can be obtained via rigorous quantum biochemical calculations as  
89 shown in the present study. Quantum biochemical calculations [23] can, to a large extent, explain  
90 the origin of attractive energies between spike proteins, in their *up* prefusion state, and host-cell  
91 receptors. We implemented a fragment-based quantum biochemical method that evaluates the  
92 strength and detailed nature, i.e. attractive or repulsive, of ACE2 interactions with S-protein *recep-*  
93 *tor binding domains*. We used the SARS-CoV-1...hACE2 crystallographic structure [3] to perform  
94 such fragment-based calculations that clearly identify which contact residue fragments give rise  
95 to the attractive hACE2...S-RBD interaction energy and, therefore, promote viral infection.

96 The *receptor binding motif* (S-RBM) of spike proteins, an integral and main functional compo-  
97 nent of their S-RBD, is at the interface which potentially binds to a host receptor such as hACE2.  
98 Importantly, despite a sequence identity of about 72-73% between the *domains* (S-RBD) of SARS-  
99 CoV-1 and SARS-CoV-2, the identity of their respective *motifs* (S-RBM) is significantly lower, only  
100 about 47.8% [24]. Thus, although structural similarities may produce *some* similar interaction  
101 mechanisms between the S-RBD of SARS-CoV-1 and SARS-CoV-2 with hACE2, their S-RBM  
102 structural differences [7] will likely produce other, concomitant but different, attractive or repulsive  
103 hACE2...S-RBD interactions. To develop therapeutic drugs and to understand the action of an-  
104 tibodies [25] which target viral spike proteins, it is useful to study each specific viral S-RBD and  
105 their interactions with hACE2. In this work we focus on identifying the main, molecular level, in-  
106 teractions between the S-RBD of SARS-CoV-1, a potentially reemerging public health threat, and  
107 hACE2.

108 The ability of coronaviruses to recognize their host-cell receptors is a first and crucial deter-  
109 minant of their host range and infectivity. It has been realized that the process of recognition is  
110 not due to accidental or random intermolecular events but to viral-RBD and host-receptor struc-  
111 tural complementarity. [26] Less attention has been paid, however, to specific and concomitant  
112 energetic complementarities which favor non-covalent attraction at the viral-host interface. Here,  
113 we establish such quantitative link between structural complementarity and concomitant physico-  
114 chemical viral-host non-covalent interactions. We implemented a fragment-based quantum bio-  
115 chemical method to study the hACE2...S-RBD interface. We report, in units of kcal/mol, the *total*  
116 interaction energy between contact residues of hACE2 and the SARS-CoV-1 S-RBD. In addition,  
117 we evaluate *partial* interaction energies between specific sets of four hACE2 residues, herein  
118 called *quartets*, with their neighboring S-RBD residues. Thus, we identify which hACE2 *quartets*  
119 are attractive and which are repulsive relative to the SARS-CoV-1 S-RBD. Likewise, we identify  
120 which S-RBD residue *quartets* are attractive or repulsive relative to the hACE2 receptor. Our  
121 results enhance the understanding of molecular-level mechanisms of hACE2 and S-RBD recog-  
122 nition and, in addition, identify potential therapeutic targets and SARS-CoV-1 epitopes.

TABLE I. | **Energies<sup>a</sup> of the human receptor (hACE2), spike protein binding domain (S-RBD) and their interaction energies without ( $E_{\text{Int}}^{\text{DFT}}$ ) and with ( $E_{\text{Int}}^{\text{DFT-DD}}$ ) van der Waals *dispersion* corrections [DD].<sup>b</sup>**

$E_{\text{hACE2...S-RBD}}$	$E_{\text{hACE2}}$	$E_{\text{S-RBD}}$	$E_{\text{Int}}^{\text{DFT}}$	$E_{\text{Int}}^{\text{DFT}}$	$E_{\text{Int}}^{\text{DD}}$	$E_{\text{Int}}^{\text{DFT-DD}}$
[Hartrees]	[Hartrees]	[Hartrees]	[Hartrees]	[kcal/mol]	[kcal/mol]	[kcal/mol]
-22240.4565923	-10953.2725200	-11287.1426313	-0.0414410	-26.00	-378.26	-404.26

<sup>a</sup> DFT energies computed with the B3LYP [27] functional and 6-31+G\* basis in the gas-phase.

<sup>b</sup> Distance-dependent (DD) *dispersion* evaluated with the B3LYP-DD semiempirical method. [23]

## 123 RESULTS

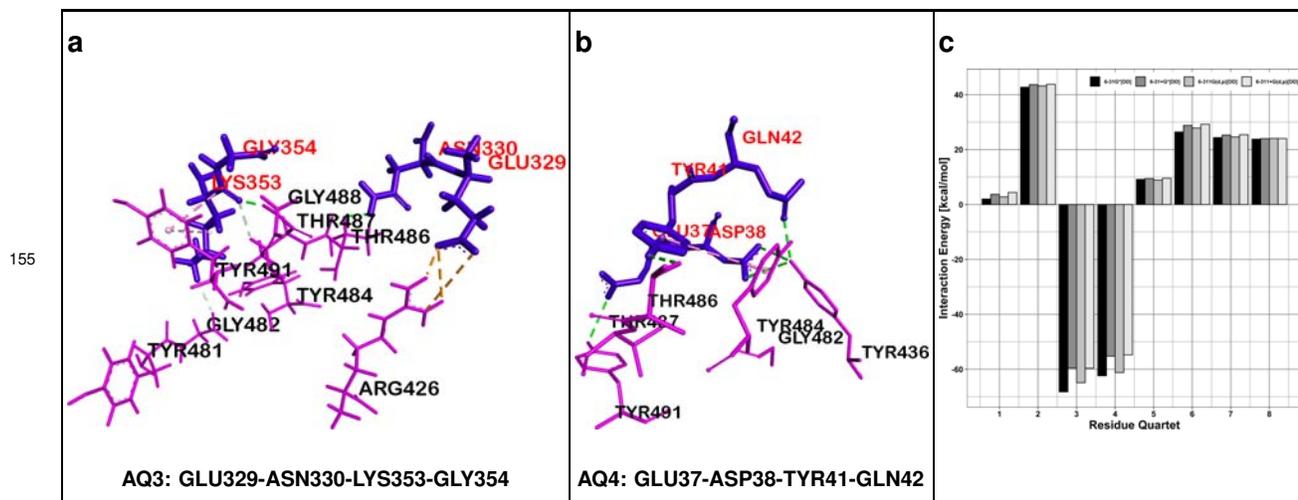
124 Total and partial interaction energies between hACE2 and the SARS-CoV-1 S-RBD were com-  
 125 puted, in the low temperature limit, *via* quantum biochemical calculations and the supermolecular  
 126 approach. [23] A fragment-based methodology, by which proteins are divided into fragments, was  
 127 used to evaluate *partial* interaction energies and identify the dominant, attractive or repulsive, sets  
 128 of residues at the hACE2-S-RBD interface. All calculations were based on all-electron dispersion-  
 129 corrected [23] density functional theory. [27, 28]

130 **Attractive nature of the hACE2...S-RBD interaction.** Table I shows that the net interaction  
 131 between hACE2 and the S-RBD is attractive as indicated by the negative sign of their interaction  
 132 energy ( $E_{\text{Int}}^{\text{DFT-DD}}$ ). This finding confirms and is consistent with the tendency of the SARS-CoV-1  
 133 prefusion S-RBD to bind to the hACE2 receptor. [10, 14] The attractive nature of the interaction  
 134 energies is also consistent with the structure of the virus-receptor interface, as displayed by the  
 135 crystallographic structure, [3] which corresponds to a thermodynamically favorable conformation.

136 The hACE2...S-RBD interaction energy was calculated, separately, in gas and solvent phases  
 137 with both results corresponding to a net intermolecular attraction. In addition, Vander Waals *dis-*  
 138 *persion* corrections were evaluated *via* the accurate B3LYP-DD methodology [23] which, in the  
 139 gas phase, added a significant attractive contribution. The gas-phase interaction energies, in the  
 140 absence and presence of *dispersion* corrections, were on the order of  $-26$  kcal/mol and  $-404$   
 141 kcal/mol, respectively, when evaluated with the 6-31+G\* basis set (Table I). Similar trends were  
 142 found from calculations with other basis sets as shown in Supplementary Table S1. It should be

143 noted that *partial* electrostatic contributions to the interaction energy can be attractive or repul-  
 144 sive which tends to lower the net additive magnitude of this mechanism. By contrast, *dispersion*  
 145 contributions are additively attractive which explains the large energetic contribution of *dispersion*  
 146 ( $E_{\text{Int}}^{\text{DD}}$ ). *Dispersion* contributions were calculated at the short intermolecular distances correspond-  
 147 ing to hACE2...S-RBD noncovalent attachment as displayed by the crystallographic structure. [3]  
 148 At these short distances Vander Waals forces are particularly strong.

149 In contrast to gas-phase *dispersion*-corrected interaction energies ( $E_{\text{Int}}^{\text{DFT-DD}}$ ), which in that  
 150 limit are generally accurate to better than 1 kcal/mol [23], the calculation of solvent-phase in-  
 151 teraction energies introduces greater uncertainties. Thus, the solvent-phase energies given in  
 152 Supplementary Table S1 should be considered as rough approximations which illustrate the still  
 153 attractive, although weaker, hACE2...S-RBD intermolecular interactions when solvation effects are  
 154 taken into account.



156 FIG. 2. | **The two hACE2-centered fragments producing a net attractive interaction towards**  
 157 **S-RBD. a-b**, ACE2 *quartet* residues (shown in blue) and neighboring S-RBD residues (shown in  
 158 pink) corresponding to the dominant *attractive* ACE2...S-RBD interactions. ACE2 is the structural  
 159 reference. **c**, Main repulsive (positive) and attractive (negative) interactions [kcal/mol] between  
 160 *quartets* of the human ACE2 receptor, used as structural references, and neighboring residues of  
 161 the receptor binding domain of the SARS corona virus spike protein (S-RBD). The four adjacent  
 162 vertical bars for each *quartet* correspond, from left to right, to *dispersion*-corrected [DD] [23]  
 163 interaction energies evaluated with the 6-31G\*, 6-31+G\*, 6-311G(d,p) and 6-311+G(d,p) basis  
 164 sets.

TABLE II. | **Human ACE2 receptor (hACE2) quartets and their interaction energies [kcal/mol]<sup>a</sup> with neighboring<sup>b</sup> virus S-RBD residues.**

Quartet	Human ACE2 Receptor		$E_{Int}^{DFT}$	$E_{Int}^{DD}$	$E_{Int}^{Total}$
	Residues				
<b>AQ1</b>	ASP30-LYS31-ASN33-HIS34		+17.50	-13.10	+4.41
<b>AQ2</b>	GLN24-ALA25-LYS26-THR27		+51.12	-7.32	+43.80
<b>AQ3</b>	GLU329-ASN330-LYS353-GLY354		-30.82	-28.81	-59.63
<b>AQ4</b>	GLU37-ASP38-TYR41-GLN42		-38.48	-16.30	-54.78
<b>AQ5</b>	LEU91-THR92-GLN325-GLY326		+11.82	-2.25	+9.57
<b>AQ6</b>	MET82-TYR83-GLN89-ASN90		+34.46	-5.23	+29.23
<b>AQ7</b>	SER44-LEU45-ALA46-SER47		+28.01	-2.60	+25.41
<b>AQ8</b>	SER77-THR78-LEU79-ALA80		+26.03	-2.01	+24.02

<sup>a</sup> DFT energies computed at 6-311+G(d,p)/B3LYP level; *Dispersion* (DD) corrections evaluated with semiempirical method. [23]

<sup>b</sup> All S-RBD residues within 4.5 Å of each ACE2 *quartet* were included.

165 **Structural separation of the hACE2...S-RBD interface into *quartet* residue fragments.** It  
166 is of great interest to identify the dominant sets of contact residues involved in physico-chemical  
167 attraction or repulsion between hACE2 and the S-RBD. It was determined that partitioning each  
168 protein structure into sets of four residues allowed for a qualitatively meaningful determination  
169 of intermolecular interaction energies. Protein fragments of smaller size did not include a min-  
170 imum of nearest-neighbor and next-nearest-neighbor interactions between protein residues to  
171 allow for accurate estimates of *partial* contributions to the overall hACE2...S-RBD interaction en-  
172 ergy. Therefore, sets of four ACE2 contact residues, herein referred to as ACE2 *quartets*, were  
173 selected together with their neighboring, i.e. within a range of 4.5 Å, viral S-RBD residues. An  
174 hACE2-centered *supermolecular fragment* is herein defined as a particular hACE2 residue *quar-*  
175 *tet* and its neighbouring S-RBD residues. Thus, any S-RBD residue localized in a region of strong  
176 noncovalent interaction with a particular ACE2 *quartet* was included in a respective *supermolecu-*  
177 *lar fragment* of the hACE2...S-RBD complex. Examples of such hACE2...S-RBD *supermolecular*  
178 *fragments* are shown in Fig. 2,**a-b**. These constructs were then used to compute *partial*, attractive  
179 or repulsive, interaction energies between particular hACE2 *quartets* and the S-RBD as reported  
180 in Table II and Fig. 2,**c**. Similarly, an S-RBD-centered *supermolecular fragment* constitutes a  
181 particular S-RBD residue *quartet* and its neighbouring hACE2 residues with examples given in  
182 Fig. 3,**a-c**.

183 **Evaluation of *partial*, attractive or repulsive, hACE2...S-RBD interactions.** The net attrac-  
184 tive intermolecular interaction promotes the thermodynamic stability of the hACE2...S-RBD com-  
185 plex. Despite the net interaction being attractive, the calculated interaction energies ( $E_{\text{Int}}^{\text{DFT-DD}}$ )  
186 can be interpreted as the combined result of several *partial* interactions, some attractive and  
187 some repulsive, between particular sets of hACE2 and S-RBD residues. The evaluation of *quar-*  
188 *tet*-centered *partial* contributions to the interaction energy allow the identification, as illustrated by  
189 Fig. 1, of which protein fragments are primarily responsible for binding energy of the ACE2...S-  
190 RBD complex. In addition, evaluation of *partial* interactions between hACE2 and S-RBD frag-  
191 ments, whether of attractive or repulsive character, provide molecular-level and energetic insight  
192 about the related processes of host-virus recognition and attachment.

193 Our results show that some *supermolecular fragments* at the ACE2...S-RBD interface are in-  
194 trinsically attractive and thus directly favor the formation of the human receptor's complexation  
195 with the virus S-protein. Although other supermolecular fragments were found to be intrinsically  
196 repulsive, these too play a concomitant and important role in the formation of the ACE2...S-RBD  
197 complex. In fact the repulsive fragments, together with their attractive counterparts, help to guide  
198 the process of intermolecular recognition which ultimately leads to attachment. Among the super-  
199 molecular fragments that produce attractive hACE2...S-RBD interactions, *dispersion* forces were  
200 also found to play an important role. The latter correspond to *partial* contributions to the *disper-*  
201 *sion* energy and are consistent with the importance of the Vander Waals mechanism previously  
202 uncovered for the total interaction energy (Table I) of the entire host-virus contact interface.

203 **Identification of key hACE2-centered *quartet* interactions with S-RBD.** Table II and  
204 Fig. 2,c display *partial* interaction energies between hACE2 *quartets* and their neighboring S-  
205 RBD residues. There are two ACE2 *quartets*, **AQ3** (GLU329-ASN330-LYS353-GLY354) and **AQ4**  
206 (GLU37-ASP38-TYR41-GLN42), whose interactions with S-RBD are strongly attractive as indi-  
207 cated by the magnitudes and negative signs,  $-59.63$  and  $-54.78$  kcal/mol, respectively, of their  
208 interaction energies. Fig. 2,a-b shows the structural composition of these two ACE2 *quartets*  
209 and their closely interacting S-RBD residues. The physico-chemical origin of the attractive na-  
210 ture of these *partial* ACE2...S-RBD interactions is not only related to conventional electrostatic  
211 effects, including hydrogen bonding, but also to sizable *dispersion* contributions (Table II). For  
212 *quartets* **AQ3** and **AQ4** *dispersion* contributions are on the order of  $-28.81$  and  $-16.30$  kcal/mol,  
213 respectively, corresponding to  $\approx 48\%$  and  $\approx 30\%$  of their *partial* interaction energies. Additional

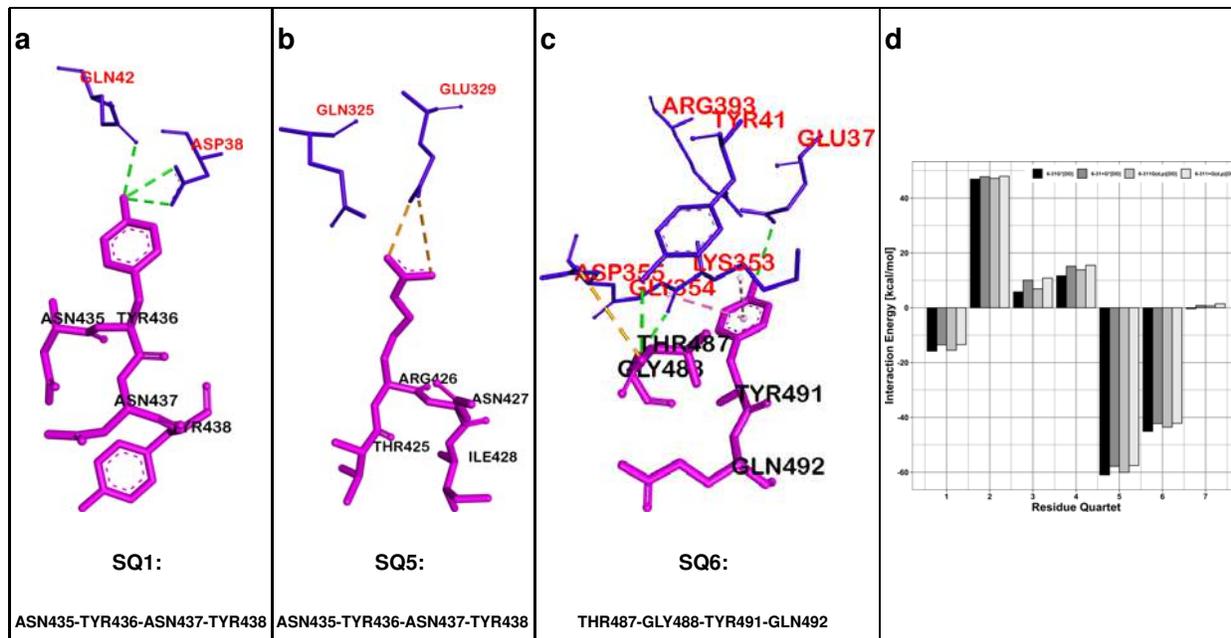
214 electronic structure calculations were done using the same protocol but using other, closely re-  
215 lated, computational basis sets. Supplementary Tables S2-S4 list the corresponding energies  
216 which display similar trends, thus confirming the intrinsically attractive nature of ACE2 *quartets*  
217 **AQ3** and **AQ4** with respect to S-RBD.

218 The quantum mechanical (*ab-initio*) character of the present calculations takes into account, at  
219 the same time, intermolecular interactions in the low temperature regime. Therefore, the present  
220 calculations do not separate or distinguish, contrary to traditional classifications, between par-  
221 ticular types of intermolecular forces with the exception of *dispersion* contributions to Vander  
222 Waals forces. However, qualitatively, it is possible to relate some of our results to traditional  
223 classifications. To this effect, Fig. 2 shows some qualitative (color coded) assignments which in-  
224 clude: i) amid- $\pi$  interactions in (a) and  $\pi$ - $\pi$  interactions in (b) (dotted pink lines) which, involving  
225 six-membered aromatic rings, more fundamentally correspond in the present work to *dispersion*  
226 forces; ii) conventional (dotted green lines) and non-conventional (dotted white lines) hydrogen  
227 bonds; and iii) electrostatic interactions (dotted yellow lines).

228 Despite the overall ACE2...S-RBD interaction as well as the dominant *partial* contributions  
229 being attractive, Fig. 2,c also shows that several of the ACE2 *quartets* are actually repulsive  
230 relative to S-RBD. ACE2 *quartet* **AQ2** is the most repulsive with a *partial* interaction energy of  
231 about +43.80 kcal/mol (Table II) which includes a large repulsive contribution ( $\approx +51.12$  kcal/mol)  
232 and only a small ( $\approx -7.32$  kcal/mol) *dispersion* component. The structure of the corresponding  
233 *supermolecular fragment* is shown in Supplementary Fig. S1.

234 **Identification of key S-RBD-centered *quartet* interactions with hACE2.** Table III and Fig-  
235 ure 3,d show *partial* energies corresponding to spike protein (S-RBD) *quartets* interacting with  
236 neighbouring hACE2 residues. There are two S-RBD *quartets*, **SQ5** (THR425-ARG426-ASN427-  
237 ILE428) and **SQ6** (THR487-GLY488-TYR491-GLN492), which dominate the attractive interactions  
238 with hACE2 and lead to *partial* interaction energies of  $-57.57$  and  $-42.15$  kcal/mol, respectively.  
239 Consistent with the absence of six-membered rings no significant *dispersion* contribution was  
240 evaluated for *quartet* **SQ5** but, for the opposite reason, *dispersion* contributions were much more  
241 prominent for the intermolecular interaction of *quartet* **SQ6** ( $\approx -28$  kcal/mol). In addition, S-RBD  
242 *quartet* **SQ1** (ASN435-TYR436-ASN437-TYR438) produced a substantially weaker attraction rel-  
243 ative to hACE2. Additional electronic structure calculations were done with the same protocol  
244 but using other, closely related, computational basis sets. Supplementary Tables S5-S7 list the

245 corresponding energies which display similar trends, thus confirming a dominant and intrinsically  
 246 attractive nature of S-RBD *quartets* **SQ5** and **SQ6** with respect to hACE2. The weaker attractive  
 247 nature of S-RBD *quartet* **SQ1** was also confirmed by the data in the Supplementary Tables.



249 **FIG. 3. | The three S-RBD-centered fragments producing a net attractive interaction to-**  
 250 **wards hACE2.** **a-c**, S-RBD *quartet* residues (shown in pink) and neighboring ACE2 residues  
 251 (shown in blue) corresponding to the dominant *attractive* ACE2...S-RBD interactions. S-RBD  
 252 is the structural reference. **d**, Main repulsive (positive) and attractive (negative) interactions  
 253 [kcal/mol] between *quartets* of the SARS-CoV-1 S-RBD, used as structural references, and neigh-  
 254 boring residues of the human hACE2 receptor. The four adjacent vertical bars for each *quartet*  
 255 correspond, from left to right, to *dispersion*-corrected [DD] [23] interaction energies evaluated with  
 256 the 6-31G\*, 6-31+G\*, 6-311G(d,p) and 6-311+G(d,p) basis sets.

## 257 DISCUSSION

258 **Relationship between biomolecular structure and quantum-mechanical non-covalent**  
 259 **hACE2...S-RBD interactions.** Interaction energies, as defined in Eqs. 2-3, can be positive or  
 260 negative and are a measure of the tendency of two biomolecular structures to repel or attract each  
 261 other, respectively. Within the present quantum biochemical framework interaction energies are  
 262 the combined result of several physico-chemical effects, incorporated in Eqs. 1-3, some of which

TABLE III. | S-RBD-centered *quartets* and their interaction energies [kcal/mol]<sup>a</sup> with neighboring<sup>b</sup> hACE2 residues.

Quartet	SARS-CoV-1 S-RBD			
	Residues	$E_{Int}^{DFT}$	$E_{Int}^{DD}$	$E_{Int}^{Total}$
<b>SQ1</b>	ASN435-TYR436-ASN437-TYR438	-10.05	-3.40	-13.45
<b>SQ2</b>	LYS439-TYR440-LEU478-ASN479	+52.04	-4.09	+47.95
<b>SQ3</b>	PHE483-TYR484-THR485-THR486	+31.72	-20.90	+10.81
<b>SQ4</b>	PRO470-ALA471-LEU472-ASN473	+24.48	-8.99	+15.49
<b>SQ5</b>	THR425-ARG426-ASN427-ILE428	-55.25	-2.32	-57.57
<b>SQ6</b>	THR487-GLY488-TYR491-GLN492	-14.06	-28.08	-42.15
<b>SQ7</b>	TYR442-LEU443-TYR475-TRP476	+18.51	-17.02	+1.48

<sup>a</sup> DFT energies computed at 6-311+G(d,p)/B3LYP level; *Dispersion* (DD) corrections evaluated with semiempirical method. [23]

<sup>b</sup> All ACE2 residues within 4.5 Å of each S-RBD *quartet* were included.

263 are intrinsically attractive whereas others are repulsive. For example, intermolecular Coulomb  
 264 interactions between atoms whose charge has the same(different) sign are repulsive(attractive),  
 265 respectively, whereas intermolecular *dispersion* van der Waals forces are additively attractive. *Dis-*  
 266 *persion* forces correspond to the attractive portion of intermolecular van der Waals potentials [23]  
 267 and were carefully evaluated and incorporated in this work.

268 The structural details, at the molecular level, of host-virus interfaces are crucial for determining  
 269 the strength and relative importance of the various types of intermolecular forces since these are  
 270 dependent on different powers of interatomic distances ( $r_{ij}$ ). For example, Coulomb interaction  
 271 energies between two atomic centers  $i$  and  $j$ , separated by a distance  $r_{ij}$ , scale as  $\frac{1}{r_{ij}}$ . By contrast,  
 272 at short intermolecular distances (i.e. the nonretarded regime), attractive *dispersion* contributions  
 273 to van der Waals energies scale inversely to the sixth power ( $\frac{1}{r_{ij}^6}$ ) of the distances. [23, 29, 30]  
 274 Thus, the relative importance of each type of noncovalent intermolecular interaction is highly  
 275 dependent on intermolecular distances with Coulomb interactions being longer range and *disper-*  
 276 *sion* interactions playing critical roles at shorter ranges. In this work we focus on evaluation of  
 277 host-virus interactions corresponding to the intermolecular distances of the non-covalently bound  
 278 hACE2...S-RBD structure determined by crystallography. [3] That is, we focus on key hACE2...S-  
 279 RBD interactions at the crucial structural, as opposed to temporal, stage when hACE2 has formed,  
 280 upon completion of a process of intermolecular recognition, a thermodynamically favorable non-  
 281 covalent complex with the prefusion conformation of the SARS-CoV-1 spike protein.

282 **Particularly important attractive residues at the hACE2...S-RBD interface.** The parallel  
283 evaluation of two sets of interaction energies, hACE2-centered *quartets* interacting with S-RBD  
284 and S-RBD-centered *quartets* interacting with hACE2, allows the identification of contact residues  
285 of particular importance to the host-virus binding energy. Tables II and III provide complemen-  
286 tary information and suggest a number of residues which dominate the hACE...S-RBD attractive  
287 energy. Most hACE2 residues belonging to *quartet AQ3* (GLU329, LYS353 and GLY354) and all  
288 hACE2 residues making up *quartet AQ4* (GLU37, ASP38, TYR41 and GLN42) are involved in  
289 significant attractive interactions as determined by both, hACE2-centered and S-RBD-centered,  
290 energetic calculations. Similarly, residue TYR436 from S-RBD *quartet SQ1*, residue ARG426  
291 from S-RBD *quartet SQ5* and most residues from S-RBD *quartet SQ6* (THR487, GLY488 and  
292 TYR491) are likely primary attractors, with respect to hACE2, based on a similar analysis.

293 Some of the previous results are consistent not only with available crystallographic data but  
294 also with functional and substitutional studies. For example the strong (salt bridge) interaction  
295 between hACE2(GLU329) and S-RBD(ARG426) has been noticed [22] from structural analysis  
296 whereas the importance, for hACE2 binding, of S-RBD residues ARG426 and THR487 was sug-  
297 gested from mutation substitutional studies. [24] In addition, S-RBD residue TYR484 has been  
298 postulated as an important hACE2 binder. [3, 24] In this work this residue is part of S-RBD *quartet*  
299 **SQ3** which produces a net weak repulsion relative to hACE2. However Table III shows that, due  
300 to the presence of its phenolic group, TYR484 likely contributes an attractive *dispersion* interac-  
301 tion consistent with the  $\approx -29.90$  kcal/mol *dispersion* energy of the entire *quartet*. Thus, this  
302 residue can potentially be an important attractor even though the evidence in the present study is  
303 somewhat indirect.

## 304 CONCLUSION

305 SARS-CoV-1 is a potentially-reemerging [1, 2] highly-pathogenic virus and substantial gaps re-  
306 main in our understanding of its molecular-level mechanisms of transmissibility. [2] Spike proteins  
307 of coronaviruses interact, *via* their *receptor binding domains*, with human ACE2 receptors. The  
308 identification of protein fragments, at the hACE2...S-RBD interface, which are primarily responsi-  
309 ble for close-range attractive or repulsive interactions is of importance i) fundamentally for elucidat-  
310 ing the physico-chemical origin of host-virus attachment and ii) for identifying specific therapeutic

311 targets and viral epitopes. Among the various anti-coronavirus therapeutic strategies there are  
312 two which may, in particular, benefit from this study. Namely, therapies which target the human  
313 ACE2 receptor and therapies which attempt to block SARS-CoV-1 spike proteins. The present  
314 studies, complementary to those based on X-ray crystallography or cryo-EM, have uniquely iden-  
315 tified which protein fragments, herein referred to as residue *quartets*, are involved in the strongest,  
316 attractive or repulsive, hACE2...S-RBD interactions. The dominant residue fragments of attractive  
317 nature are shown in Fig. 1.

318 Our results are based on the three-dimensional biomolecular structures of the human ACE2  
319 receptor and the SARS-CoV-1 spike protein. Thus, the present identification of specific, attractive  
320 and repulsive, biomolecular fragments as well as the quantification of their interaction energies is  
321 particular to this system, namely hACE2 interacting with the prefusion conformation of the SARS-  
322 CoV-1 spike protein. Our results also suggest possible interaction mechanisms of hACE2 with  
323 other similar, but not structurally identical, spike protein RBDs such as those from SARS-CoV-2.  
324 The fact that the sequence identity of the *domains* (S-RBD) from SARS-CoV-1 and SARS-CoV-2  
325 is about 72-73% whereas the identity of their *motifs* (S-RBM) is only about 48% [24, 31] suggests  
326 similarities as well as differences in the relative importance of their specific amino acid residues  
327 towards hACE2 binding energies. This would be consistent with structural differences between  
328 their respective S-RBM and their non-identical binding affinities towards hACE2. [7] Interaction  
329 studies of hACE2 with SARS-CoV-2 must take into account the sequence and structural details  
330 of its own S-RBD. Indeed, some key hACE2-interacting S-RBD residues in SARS-CoV-1 may  
331 not play an equivalent role in SARS-CoV-2. [32] Interaction energy studies for SARS-CoV-2 to  
332 determine similarities as well as differences in hACE2...S-RBD binding, relative to SARS-CoV-1,  
333 are needed and are currently in progress in our laboratory.

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413 **METHODS**

414 The biomolecular structure of the hACE2...S-RBD interface corresponding to the SARS-CoV-1  
 415 virus, as extracted from the published X-ray crystallographic structure, [35] was studied as a single  
 416 structure and also separated into *quartet*-based fragments as described in the main text. A locally  
 417 developed algorithm was used to divide the interacting hACE2...S-RBD molecular structure into  
 418 *quartet* fragments. It was determined that, either hACE2-centered or S-RBD-centered fragments  
 419 composed of at least four residues was necessary to evaluate fragment-based interaction ener-  
 420 gies. Fragments of smaller size, i.e. containing less than four residues, did not include a minimum  
 421 of nearest neighbor and next nearest neighbor interactions to provide reliable qualitative estimates  
 422 of *partial* intermolecular interaction energies.

423 All electron Khon-Sham density functional calculations were done on the overall structure in  
 424 both, gas and solvent, phases. Similar calculations were done on all host-virus biomolecular  
 425 fragments which in the main text are referred to as *supermolecular fragments*. Khon-Sham density  
 426 functional calculations solve, numerically, a quantum mechanical Hamiltonian that includes an  
 427 approximation to the exact, but unknown, exchange-correlation potential. Energies were obtained,  
 428 in the low temperature limit, in terms of Eq. 1 for all biomolecular structures described in the text.

$$\begin{aligned}
 E^{\text{DFT}} = & \text{KE}[\rho(\mathbf{r})] + \frac{1}{2} \int \frac{\rho(\mathbf{r})\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r}d\mathbf{r}' \\
 & + E_{\text{xc}}[\rho(\mathbf{r})] + \int v(\mathbf{r})\rho(\mathbf{r})d\mathbf{r} + E_{\text{NN}}
 \end{aligned}
 \tag{1}$$

429 Here,  $\rho(\mathbf{r})$  represents the electron density obtained from solution of the Khon-Sham equations.  
 430 The B3LYP [36-37] exchange-correlation functional was used in the energy calculations due to  
 431 its complementarity with the B3LYP-DD *dispersion*-correction methodology. [38] Many exchange-  
 432 correlation functionals, including B3LYP, fail to properly account for intermolecular *dispersion* van  
 433 der Waals contributions. Therefore, semiempirical corrections ( $E_{\text{Int}}^{\text{DD}}$ ) were added to the Khon-  
 434 Sham interaction calculations *via* the B3LYP-DD methodology [38] which fairly accurately incor-  
 435 porates *dispersion* for a range of intermolecular distances. As reported in the main text and the  
 436 Supplementary Tables, several basis sets of progressively increasing size [including 6-31G\*, 6-  
 437 31+G\*, 6-311G(d,p) and 6-311+G(d,p)] were used in a series of independent energy calculations  
 438 to ensure qualitative consistency of the numerical results. Interaction energies were computed  
 439 in the absence ( $E_{\text{Int}}^{\text{DFT}}$ ) and presence ( $E_{\text{Int}}^{\text{DFT-DD}}$ ) of *dispersion*, *via* Eqs. 2-3, following the super-

440 molecular approach as described in the B3LYP-DD reference. [38]

$$E_{\text{Int}}^{\text{DFT}} = E_{\text{hACE2}\dots\text{S-RBD}}^{\text{DFT}} - E_{\text{hACE2}}^{\text{DFT}} - E_{\text{S-RBD}}^{\text{DFT}} \quad (2)$$

$$E_{\text{Int}}^{\text{DFT-DD}} = E_{\text{Int}}^{\text{DFT}} + E_{\text{Int}}^{\text{DD}} \quad (3)$$

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## 454 AUTHOR CONTRIBUTIONS

455 J.H.R was responsible for the overall design of the project and carried out all electronic struc-  
456 ture and numerical calculations. J.H.R also wrote the complete manuscript. A.G. implemented  
457 and executed the computational algorithm to create protein residue fragments based on a previ-  
458 ously published X-ray crystallographic structure.

459 **COMPETING INTERESTS**

460 The authors declare no competing interests.

461 **ADDITIONAL INFORMATION**

462 **Supplementary information** is available for this paper at <https://doi.org/>

463 **Correspondence and requests for materials** should be addressed to J.H.R.

# Figures

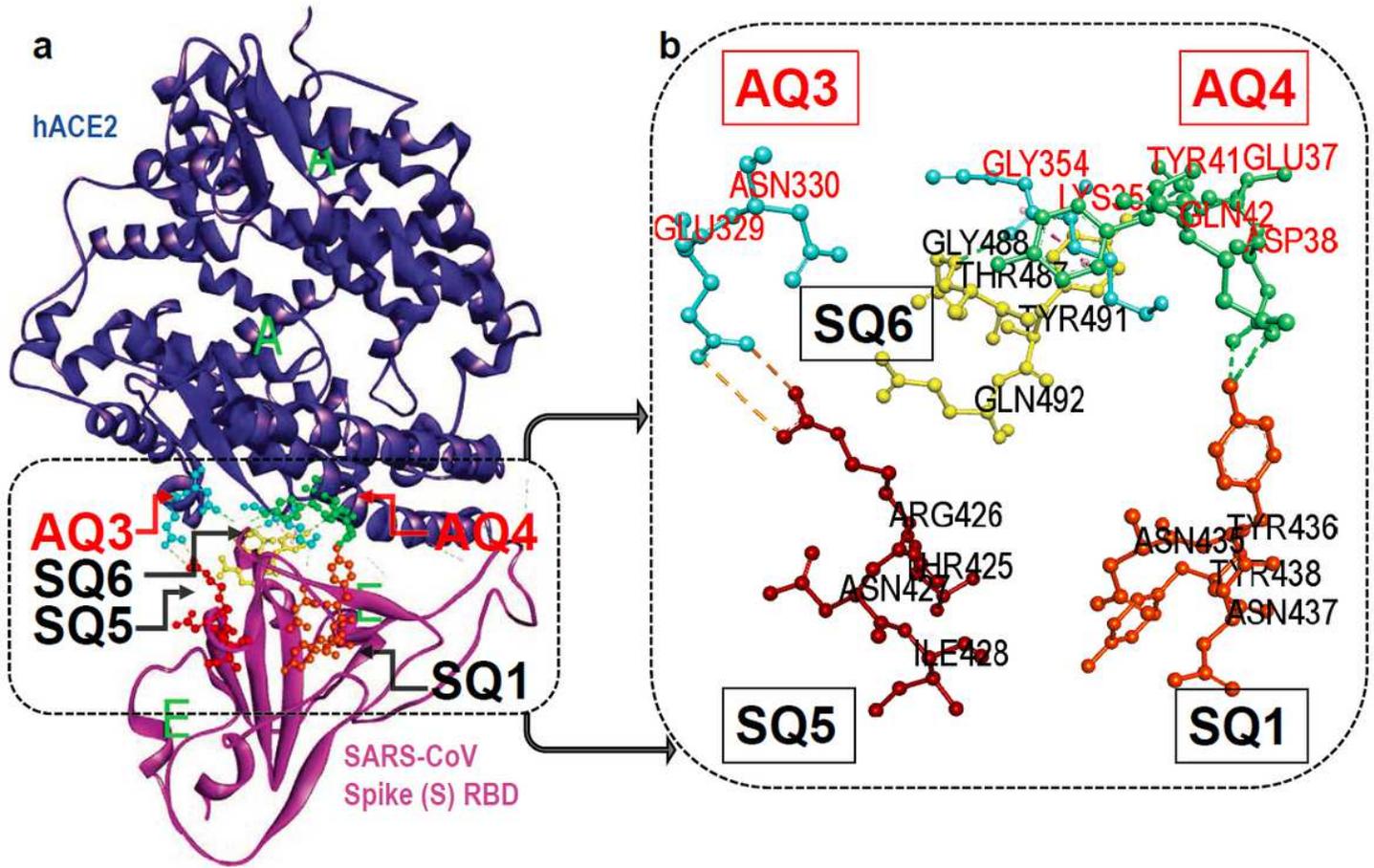
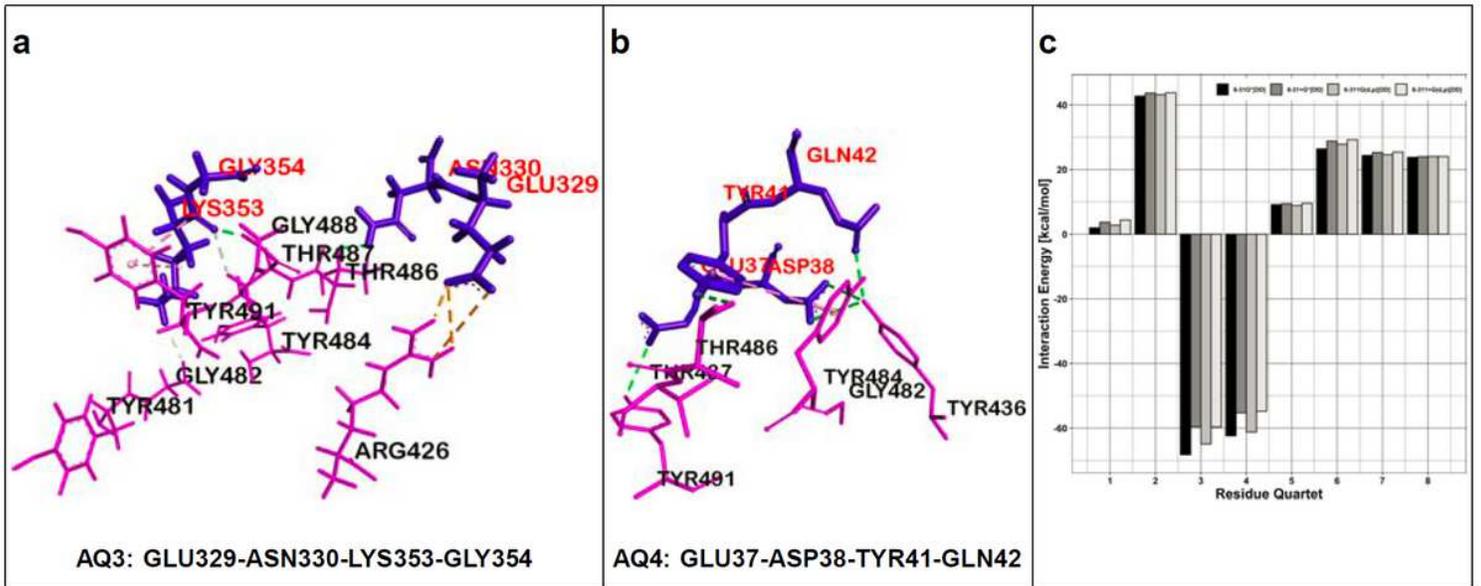


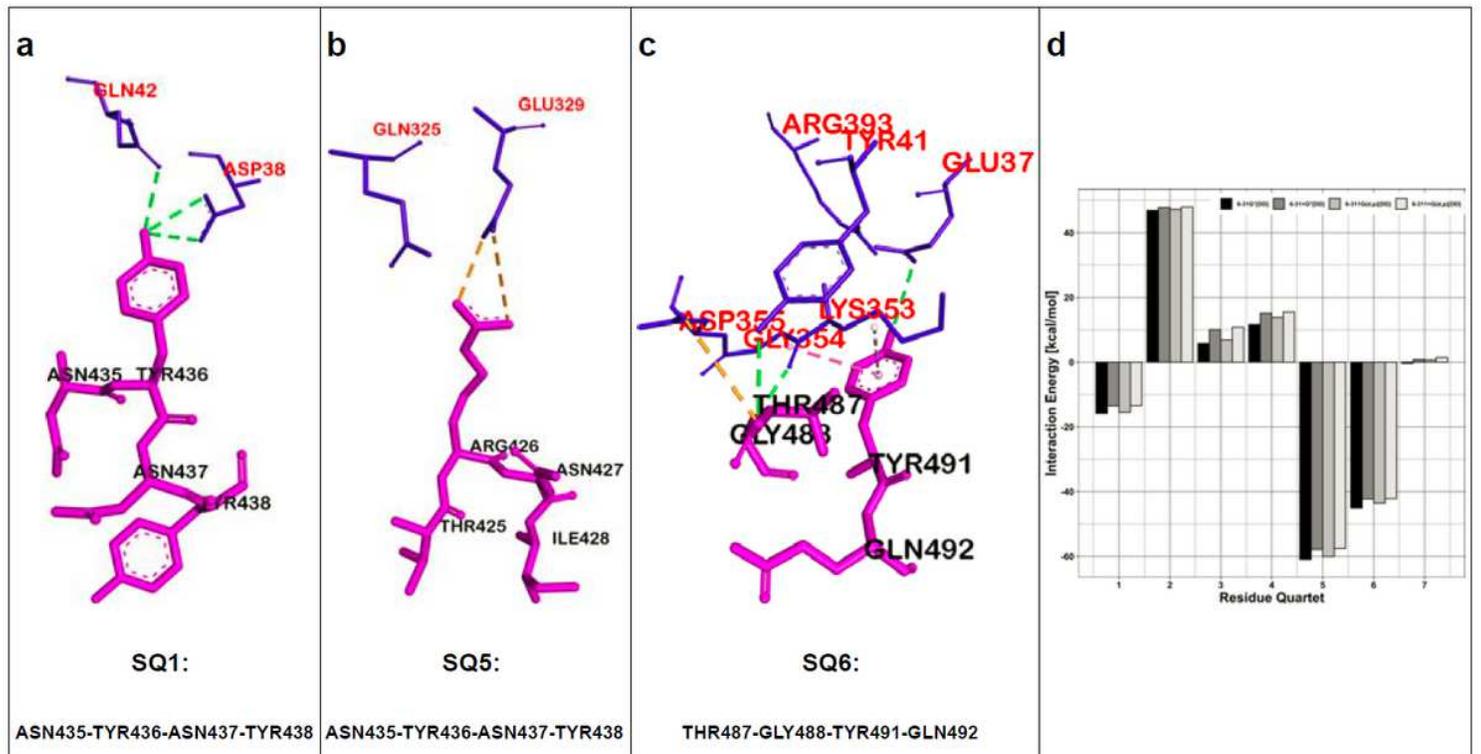
Figure 1

Identification of four-residue fragments (i.e. quartets) which produce attractive interaction energies between hACE2 and the SARS-CoV-1 S-RBD. a, Structure of hACE2 receptor (Chain A) in complex with SARS-CoV-1 spike protein (Chain E). [3] The key quartets, at the hACE2...S-RBD interface, promoting host-virus binding are shown in the dashed box. b, Magnified view of the hACE2 (AQ3, AQ4) and S-RBD (SQ1, SQ5, SQ6) residue quartets which mostly contribute to the attractive hACE2...S-RBD interaction energy (shown in ball and stick).



**Figure 2**

The two hACE2-centered fragments producing a net attractive interaction towards S-RBD. a-b, ACE2 quartet residues (shown in blue) and neighboring S-RBD residues (shown in pink) corresponding to the dominant attractive ACE2...S-RBD interactions. ACE2 is the structural 159 reference. c, Main repulsive (positive) and attractive (negative) interactions [kcal/mol] between 160 quartets of the human ACE2 receptor, used as structural references, and neighboring residues of 161 the receptor binding domain of the SARS corona virus spike protein (S-RBD). The four adjacent vertical bars for each quartet correspond, from left to right, to dispersion-corrected [DD] [23] interaction energies evaluated with the 6-31G\*, 6-31+G\*, 6-311G(d,p) and 6-311+G(d,p) basis sets.



### Figure 3

The three S-RBD-centered fragments producing a net attractive interaction to-250 wards hACE2. a-c, S-RBD quartet residues (shown in pink) and neighboring ACE2 residues 251 (shown in blue) corresponding to the dominant attractive ACE2...S-RBD interactions. S-RBD 252 is the structural reference. d, Main repulsive (positive) and attractive (negative) interactions 253 [kcal/mol] between quartets of the SARS-CoV-1 S-RBD, used as structural references, and neigh-254 boring residues of the human hACE2 receptor. The four adjacent vertical bars for each quartet 255 correspond, from left to right, to dispersion-corrected [DD] [23] interaction energies evaluated with 256 the 6-31G\*, 6-31+G\*, 6-311G(d,p) and 6-311+G(d,p) basis sets.

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [RodriguezNatureSBOctober2020Supplementary.pdf](#)