

# The Hopewell airburst event, 1699-1567 years ago (252-383 CE)

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## Research Article

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1 **The Hopewell airburst event, 1699-1567 years ago (252-383 CE).**

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24 **Abstract**

25 Meteorites, silicious vesicular melt glass, Fe and Si-rich magnetic spherules, positive Ir and Pt  
26 anomalies, and burned charcoal-rich Hopewell habitation surfaces demonstrate that a cosmic  
27 airburst event occurred over the Ohio River valley during the late Holocene. A comet-shaped  
28 earthwork was constructed near the airburst epicenter. Twenty-nine radiocarbon ages  
29 demonstrate that the event occurred between 252 and 383 CE, a time when 69 near-Earth comets  
30 were documented. While Hopewell people survived the catastrophic event, it likely contributed  
31 to their cultural decline. The Hopewell comet airburst expands our understanding of the  
32 frequency and impact of cataclysmic cosmic events on complex human societies.

33

34 **Introduction**

35 Direct positive evidence of catastrophic cosmic airburst and impact events have been found in  
36 the Western Hemisphere at the Cretaceous and Tertiary (KT) boundary ~65 million years ago  
37 and at the Younger Dryas (YD) boundary ~12,800 years ago.<sup>1,2</sup> Both of these events are  
38 associated with global mass extinctions and they occurred before humans culturally evolved into  
39 complex, sedentary, agricultural-based societies. The recent discovery of two Holocene cosmic  
40 impact events in Argentina (~6,000 B.P. and ~3,000 B.P.), and one in Jordan (~3,700 B.P.),  
41 suggests that these natural catastrophes are far more common than previously suspected.<sup>3,4</sup>  
42 Between 1,800 and 1,431 years ago (220 and 589 CE), Chinese astronomers documented 69  
43 comets, including Haley's, which came within 0.09 au of earth in 374 CE (1646 B.P.).<sup>5</sup> At this  
44 time, human communities and the resources they needed for survival were at a heightened risk of  
45 being destroyed by a comet airburst event.

46 Comets are dirty fractured snowballs composed of cosmic dust, frozen gases, and  
47 meteoroids.<sup>6</sup> In some cases, comets such as SL9 break apart and impact planets.<sup>7</sup> If a comet  
48 fragment fell into the high-pressure air of earth's thermosphere, an explosion, known as an  
49 airburst, would release a devastating high-energy shockwave over a large area resulting in  
50 burned agricultural fields, buildings, and forests as evidenced by the Tunguska event of 1908.<sup>8</sup>  
51 Comet airburst events produce meteorites, vesicular melt glass from the melting and vaporizing  
52 soil, iron (Fe) and silicon (Si) rich magnetic spherules, and positive anomalies of iridium (Ir) and  
53 platinum (Pt).<sup>9</sup>

54 Archaeological evidence of ancient cosmic impact events, such as meteorites, tektites,  
55 and vesicular melt glass, has been recovered from archaeological sites of various ages in Europe,  
56 the Near East, and China.<sup>10,11</sup> In the western Hemisphere, Hopewell archaeological sites in the  
57 Ohio River valley contain an anomalously high concentration and diversity of meteorites when  
58 compared to all other cultural periods. They include iron meteorites (e.g., ataxites, hexahedrites,  
59 octahedrites), stony iron meteorites (e.g., mesosiderites, pallasites), and stony meteorites (e.g.,  
60 olivine hypersthene chondrites).<sup>12</sup>

61 The spatial distribution, contexts, and diverse composition of Hopewell meteorites has  
62 been explained as acquisition through long-distance exchange systems and trade  
63 networks.<sup>13,14,15,16,17</sup> It is possible, however, that many of the Hopewell meteorites resulted from  
64 a single cosmic airburst event. Comets contain a variety of meteoroids with different elemental  
65 and mineralogical composition. Here, we present the results of an interdisciplinary examination  
66 of multi-proxy evidence for a Hopewell comet airburst event from the systematic investigations  
67 of eleven archaeological sites in the Ohio River valley. We use radiocarbon and typological

68 dating to determine the timing of the event and we suggest that it may have contributed to the  
69 social decline of the Hopewell.

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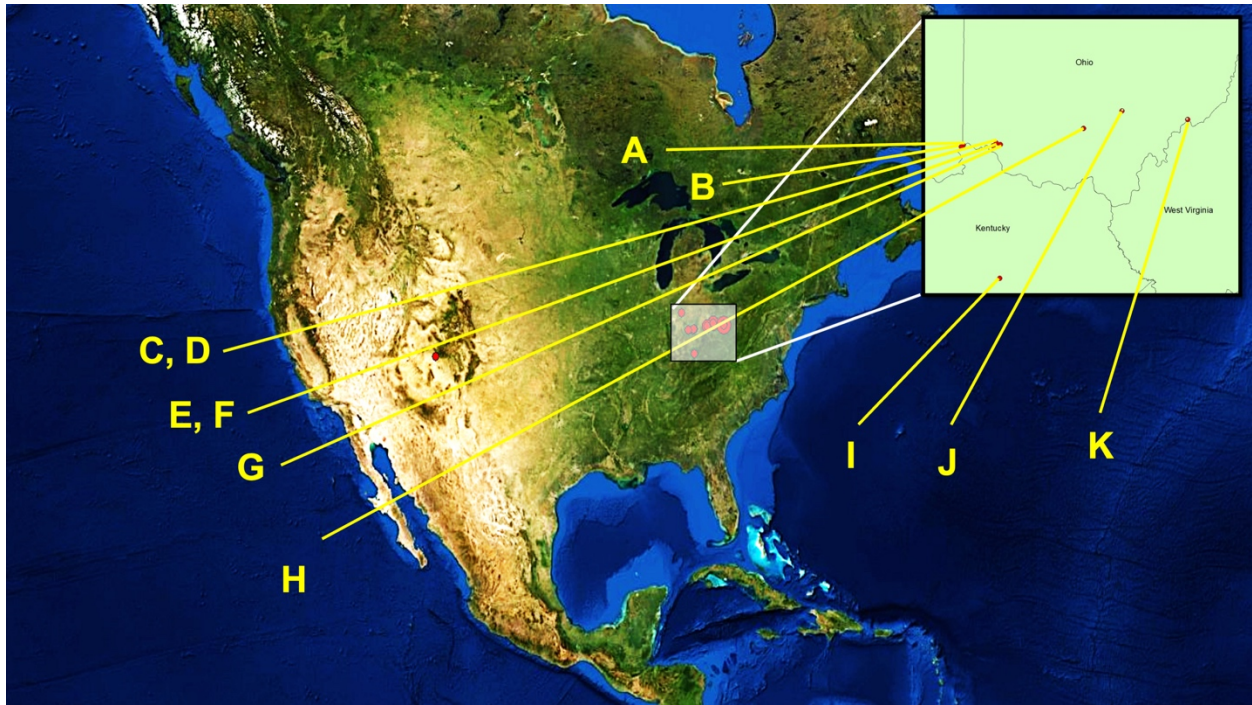
## 71 **Hopewell**

72 Genetic analyses demonstrate that modern Native Americans are the direct descendants of the  
73 Hopewell.<sup>18,19</sup> The archaeological hallmarks of the Hopewell include monumental landscape  
74 architecture—the largest geometric earthen enclosures in the world, intricate water management  
75 systems, massive burial mounds, and extensive ceremonial centers.<sup>20,21</sup> Distinctive symbols,  
76 artwork, and exotic goods, which have been traced from unprecedented continental distances of  
77 more than 2,400 km indicate that the Hopewell had a widespread social network between ~100  
78 BCE and 400 CE, which spanned the Atlantic Ocean to the Rocky Mountains and from Canada  
79 to the Gulf of Mexico.<sup>22</sup> The spatial and temporal distribution of Hopewell archaeological sites  
80 are associated with a stable and sedentary society with a political hierarchy. The greatest  
81 concentration of Hopewell archaeological sites occurs in the Ohio River valley with the largest  
82 ceremonial centers (hundreds of hectares) located along the tributaries of the Scioto and the  
83 Little and Great Miami rivers.<sup>23,24</sup>

84 The earliest investigations of Hopewell archaeological sites began in the 18<sup>th</sup> century and  
85 accelerated during the late 19<sup>th</sup> and 20<sup>th</sup> century, resulting in detailed site maps, chronometric  
86 ages, and artifact provenances.<sup>23,24</sup> While past investigations have focused on Hopewell  
87 economies, mortuary practices, sociopolitical interaction, social stratification, and symbolism,  
88 the reason for the rapid cultural decline of the Hopewell ~1,600 B.P. remains unresolved.<sup>25</sup>  
89 Theoretically, a comet airburst event is a possible explanation for the cultural downturn given  
90 that the period of near-Earth comets occurred immediately prior to the terminal age of Hopewell

91 archaeological sites. In order to address this possibility, we examined the contextual and  
92 temporal evidence of comet airburst-related proxies at eleven Hopewell sites in the Ohio River  
93 valley (Fig. 1).

94



95

96

97 **Fig. 1.** Geographic setting of Hopewell archaeological study sites for airburst-related proxies:  
98 (A) Jennison-Guard village site, Indiana; (B) Miami Fort hilltop earthworks, Ohio; (C) Turner  
99 earthworks and village, Ohio; (D) Moundview village and mound, Ohio; (E) Milford earthworks,  
100 Ohio; (F) Beech Tree village, Ohio; (G) Foster's Crossing earthwork, Ohio; (H) Krasnosky  
101 earthworks, Ohio; (I) Indian Fort Mountain earthworks, Kentucky; (J) Junction earthworks,  
102 Ohio; and (K) Marietta earthworks and mounds.

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104

105 **Results**

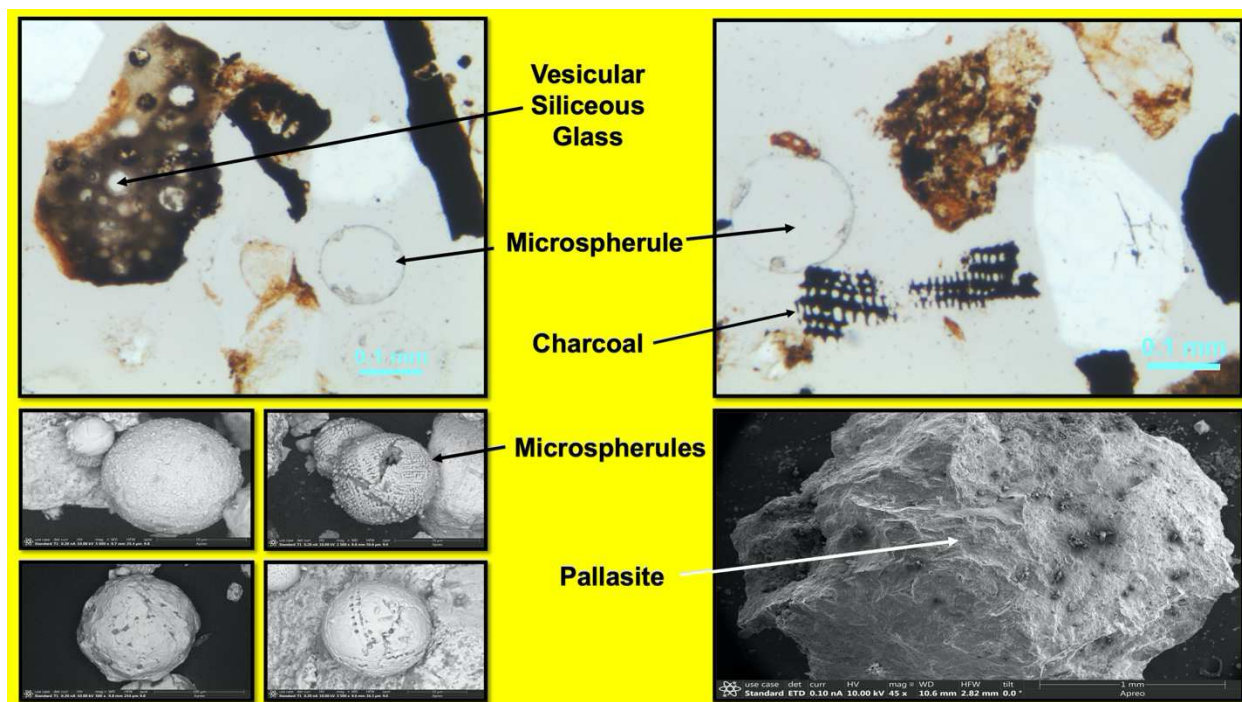
106 **Comet airburst-related proxies**

107 Our interdisciplinary research focused on six comet airburst-related proxies including meteorites,  
108 silicious vesicular melt glass, Fe and Si-rich magnetic spherules, positive Ir and Pt anomalies,  
109 and burned carbon-rich strata on Hopewell archaeological sites in the Ohio River valley (Section  
110 S1). Of the eleven archaeological sites, which we investigated, meteorites were found at the  
111 Turner earthworks and village in Hamilton County, Ohio and the Marietta earthworks and  
112 mounds in Washington County, Ohio. The Marietta meteorite was collected in 1819.<sup>13,16,26</sup>  
113 Approximately 1,604 g of pallasites have been recovered from the Turner site (Fig. 2).<sup>27,28,29</sup>  
114 Some of the meteorites appeared burned and hammered into thinly pounded sheets used in the  
115 production of jewelry and musical instruments.<sup>30</sup> Recent pallasites recovered from the Turner  
116 site are fine gravel or coarse sand size ( $\leq 2.5$  mm). They were likely missed in previous  
117 archaeological investigations because the sediments were not screened (Fig. 2).

118 It long has been assumed that meteorites from the Turner site were pieces of the Brenham  
119 pallasite obtained from Kiowa County, Kansas through trade.<sup>13,14</sup> However, the concentrations of  
120 Ga and Ge in the Turner specimens are 10% lower than the Brenham pallasite.<sup>12</sup> While the Ir  
121 concentration of the Turner meteorites is well within the range found in the Brenham pallasite  
122 the level of Pt is five times lower (Section S2).

123 In order to determine if the meteorites from the Turner site resulted from a cosmic impact  
124 event, sediment from the village habitation stratum was petrographically examined for melt  
125 glass. Siliceous melt glass is one of the most prominent cosmic airburst and impact materials  
126 because it forms at temperatures  $\geq 1,700$  °C.<sup>31</sup> Scoria-like vesicular melt glass, up to 0.4 mm in  
127 diameter, was identified from the burned carbon-rich habitation stratum of the Turner site (Fig

128 2). The melt glass is comparable in size to specimens identified in YD boundary strata where it  
129 co-occurred with Fe and Si-rich micro-spherules.<sup>31</sup>



130  
131 **Fig. 2.** Airburst-related proxies from the burned, carbon-rich habitation stratum of the Turner  
132 village site including siliceous vesicular melt glass, Fe and Si-rich magnetic spherules, and a  
133 pallasite meteorite.

134 At the Turner site, Fe and Si-rich magnetic spherules are present as black-to-clear micro-  
135 spheroids up to 0.4 mm in diameter (Fig 2). Fe and Si-rich magnetic spherules were also found in  
136 the habitation strata of all of the Hopewell archaeological sites sampled, ranging in size from  
137 28.2 to 395.8  $\mu\text{m}$  (Section S1, S3). SEM microscopy shows that the morphology and outer  
138 surface textures are distinctive of spherules of Fe and Si-rich magnetic spherules that resulted  
139 from rapid quenching. They are well within the size range, morphology, and texture as those  
140 recovered from the KT boundary strata (300  $\mu\text{m}$  to 1.4 mm), the YD boundary strata (10  $\mu\text{m}$  to  
141 5.5 mm) and the 1908 Tunguska airburst event site (20 to 100  $\mu\text{m}$ ).<sup>1,31,32,33</sup> Like the siliceous



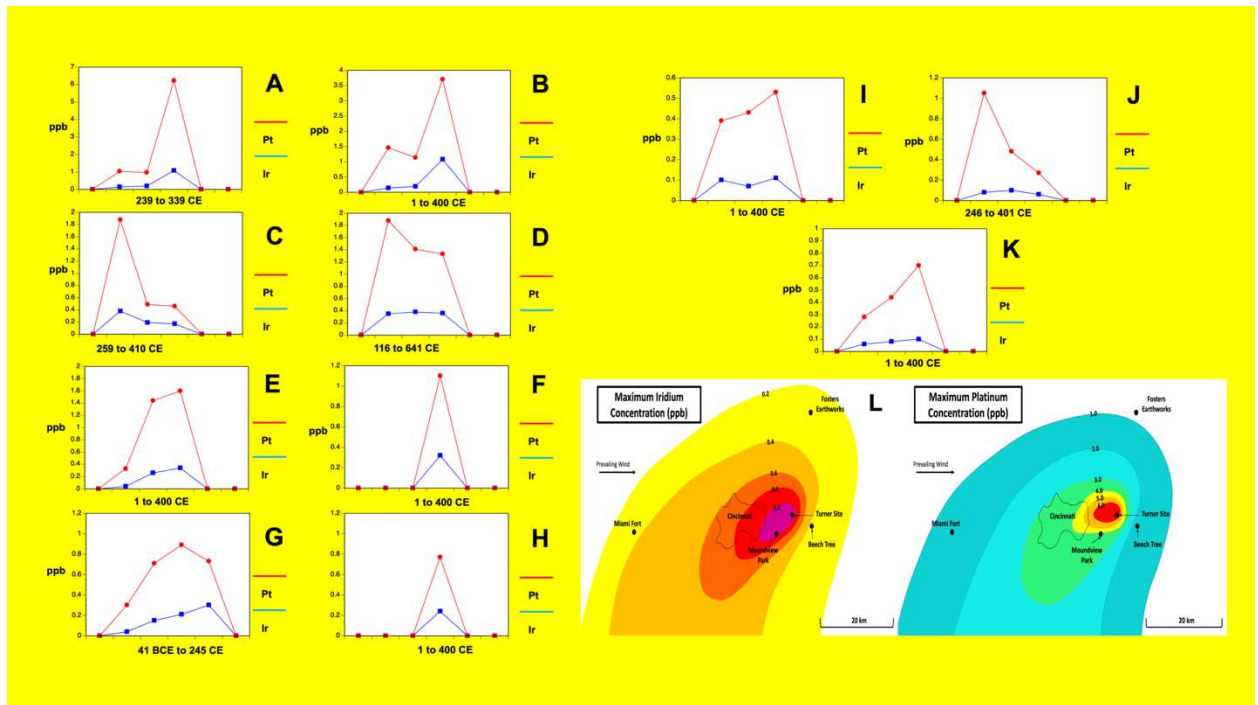
142 melt glass, the Fe and Si-rich micro-spherules do not occur above or below the burned carbon-  
143 rich habitation strata.

144 A positive Ir anomaly (1.08 ppb) was found in the burned carbon-rich Hopewell  
145 habitation stratum of the Turner site (Section S3). Ir in the Turner site sediments is 50x as high  
146 as the natural crustal abundance (0.02 ppb).<sup>34</sup> Of the eleven Hopewell archaeological sites  
147 sampled, Ir levels from burned carbon-rich habitation strata ranged from 0.10 to 1.08 ppb. The  
148 level of Ir (1.08 ppb) in the habitation strata of the Turner and the nearby (5 km) Moundview site  
149 is approximately twice that commonly found in meteorites (0.5 ppb) (Fig 3.).<sup>34,35</sup> Although the  
150 level of Ir in the habitation strata of the Turner and Moundview sites is not as elevated as that  
151 found in the KT boundary strata (3.9 ppb), it is well within the range reported from YD boundary  
152 strata (< 0.5 to 3.8 ppb).<sup>1,34</sup>

153 Positive Pt anomalies (0.53 to 6.23 ppb) were found in ten of the eleven Hopewell  
154 archaeological sites sampled (Fig. 3). The Pt level of the Turner site (6.23 ppb) is 12x the natural  
155 crustal abundance (0.5 ppb) and well within the range reported for the KT (4.0 to 8.0 ppb) and  
156 YD (0.3 to 65.6 ppb) boundary strata.<sup>36,37</sup> The Pt abundance in the burned charcoal-rich  
157 habitation level of the Krasnosky earthwork (0.53 ppb) fell within the level of the crustal  
158 abundance of 0.5 ppb.<sup>37</sup> Positive Pt and Ir anomalies were only found in the burned carbon-rich  
159 habitation strata of the Hopewell sites sampled (Section S3). Pt and Ir levels were below  
160 detection limits above and below the habitation strata.

161 The habitation surface of all eleven of the Hopewell sites sampled were fire-hardened,  
162 carbon-rich, and contained masses of wood charcoal (Section S3). The burned, carbon-rich  
163 nature of Hopewell habitation strata in the Ohio River valley was first described during the late  
164 19<sup>th</sup> century.<sup>28,39,40</sup> At the Turner site, the remains of Hopewell habitation structures were found

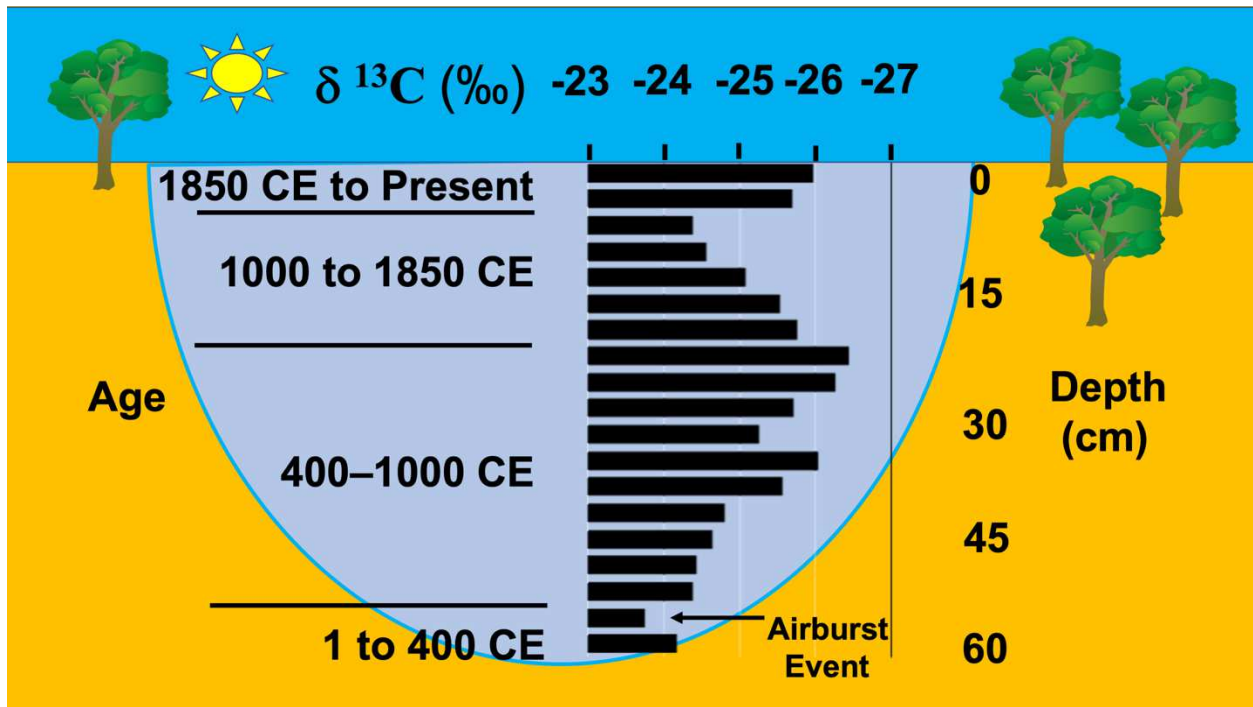
165 on fire-hardened, ash covered surfaces with post-molds filled with wood charcoal.<sup>30</sup> At Foster's  
 166 Crossing in Warren County, Ohio, the habitation surface was described as having been exposed  
 167 to extreme heat. The stratum was labeled as "vitreous" with "great masses of slag" resembling  
 168 "that from a blast furnace," and limestone that had been thermally reduced to lime.<sup>39,41</sup>



169  
 170  
 171 **Fig. 3.** Positive Ir and Pt anomalies from the burned carbon-rich strata on Hopewell  
 172 archaeological sites in the Ohio River valley: (A) Turner earthworks and village, Ohio; (B)  
 173 Moundview village and mound, Ohio; (C) Miami Fort hilltop earthworks, Ohio; (D) Jennison-  
 174 Guard village site, Indiana; (E) Beech Tree village, Ohio; (F) Milford earthworks, Ohio; (G)  
 175 Indian Fort Mountain earthworks, Kentucky; (H) Foster's Crossing earthwork, Ohio; (I)  
 176 Krasnosky earthworks, Ohio; (J) Junction earthworks, Ohio; (K) Marietta earthworks and  
 177 mounds; and (L) isoline maps of positive Ir and Pt anomalies.  
 178

179           We found similar burned features at the Miami Fort site in Hamilton County, Ohio and  
180 the Jennison-Guard site in Dearborn County, Indiana (Section S3). The remains of burned  
181 Hopewell structures had been swept into piles of carbonized timbers and thatch, fire-hardened  
182 daub, and thermally damaged artifacts. Limestone from the burned structures was reduced to  
183 calcium oxide, which indicates a temperature of  $\geq 765^{\circ}\text{C}$ . The widespread occurrence of burned  
184 wooden structures and carbon-rich, heat-altered habitation surfaces at all eleven of the Hopewell  
185 sites examined suggests widespread synchronous fires resulting from a catastrophic cosmic  
186 airburst event.

187           In order to evaluate the impact of the airburst event on vegetation, stable carbon isotope  
188 values were obtained on bulk organic matter obtained from Hopewell reservoir sediments at the  
189 Miami Fort site (Section S3).<sup>42</sup> The reservoir strata ranged in age from the beginning of the  
190 Middle Woodland cultural period, ~100 BCE, to modern. The  $\delta^{13}\text{C}$  values of bulk organic  
191 matter show that the vegetation composition varied through time. The  $\delta^{13}\text{C}$  isotope values from  
192 the Hopewell sediments ranged -23.76 to -24.77 (N = 3) with an average of  $-24.24 \pm 0.5\%$ . The  
193  $\delta^{13}\text{C}$  values of bulk organic matter from the post-Hopewell sediments ranged from to -24.38 to -  
194 26.45 (N =17) with an average of  $-25.33 \pm 0.5\%$ . Stable carbon isotope values indicate a  
195 landscape dominated by C3 vegetation throughout the late Holocene with a significant change in  
196 C3 vegetation indicated by a  $\delta^{13}\text{C}$  value of -23.76, which was obtained from a stratum dating to  
197 the time of the Hopewell airburst (Fig. 4).



198

199 **Fig. 4.** Chronostratigraphy of a Hopewell reservoir at the Miami Fort earthworks and village site  
 200 showing the  $\delta^{13}\text{C}$  values and ages by depth.

201

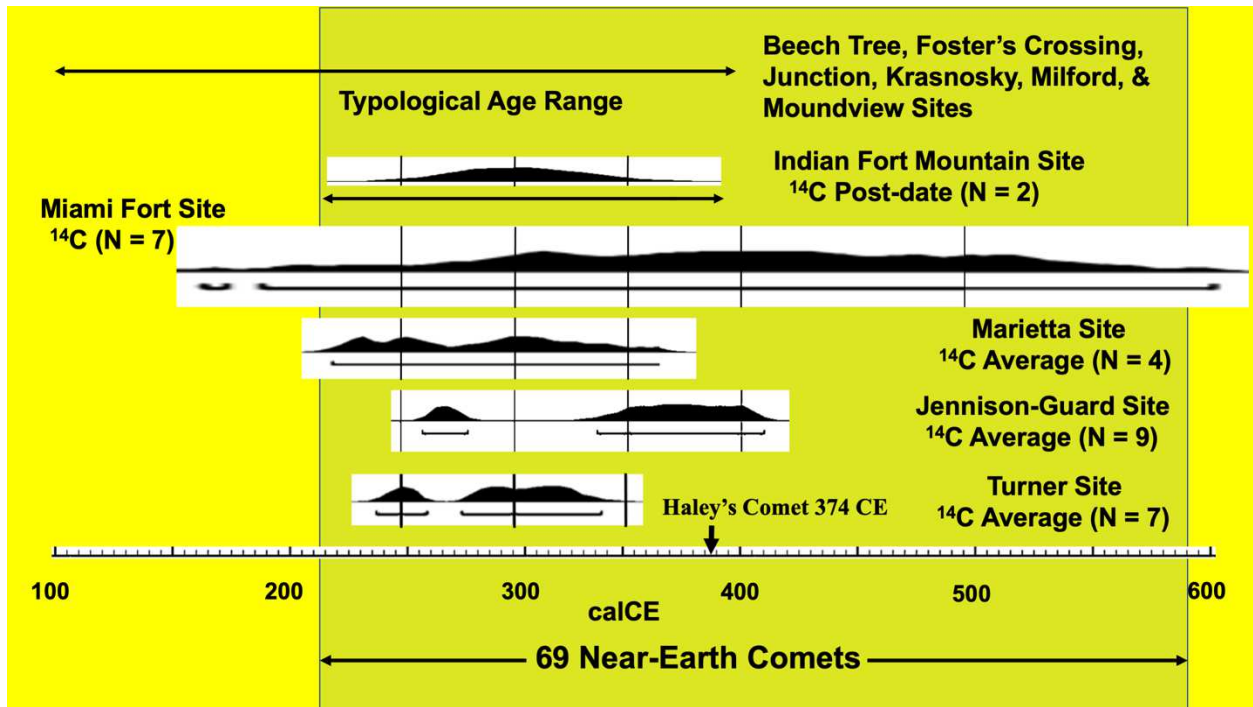
202 **Chronological context**

203 Radiocarbon and typological dating were used to provide a chronological context for the  
 204 Hopewell comet airburst related proxies and evaluate their synchronicity. Typologically,  
 205 distinctive Hopewell artifacts were defined on the basis of similarities in their form, method of  
 206 manufacture, raw material, style, and use. Temporally diagnostic artifacts, which date between  
 207 100 BCE and 400 CE, were found in the Hopewell habitation strata of all eleven of the  
 208 archaeological sites examined (Section S3). They include Hopewell earthenware pottery and  
 209 figurines, microblades and microblade cores, mica effigies, and Lowe-flared and Snyders  
 210 bifaces.<sup>23</sup>

211 Radiocarbon ages were obtained for the Turner, Jennison-Guard, Miami Fort, Marietta,  
212 and Indian Fort Mountain sites (Fig. 5). Radiocarbon ages were calibrated to produce probability  
213 density functions using Bayesian statistics with credible intervals assigned to each date (Section  
214 S3). We used the IntCal20 calibration curve in the OxCal 4.4 computer program for Bayesian  
215 statistical analysis. Radiocarbon ages from the Turner (N = 7) and Jennison-Guard (N = 8) sites  
216 are considered high-quality because they were obtained directly from the burned carbon-rich  
217 habitation strata, they have the highest number of ages per stratum, the smallest degrees of  
218 uncertainties, and they are associated with a plethora of temporally distinctive artifacts.<sup>43</sup> The  
219 age of the airburst-proxy stratum at the Turner site is 1712-1612 B.P. (239-339 CE) with a  
220 probability of 95%. The age of the airburst-proxy stratum at the Jennison-Guard site is 1691-  
221 1541 B.P. (259-410 CE) with a probability of 95%. The Turner and Jennison-Guard site  
222 radiocarbon ages overlap at one standard deviation.

223 The radiocarbon ages from the Marietta (N = 4), Miami Fort (N = 7), and Indian Fort  
224 Mountain (N = 2) sites are well-dated, but they have greater degrees of uncertainties. The age of  
225 the airburst-proxy stratum at the Marietta site is 1704-1539 B.P. (246-401 CE) with a probability  
226 of 95%. The age of the airburst-proxy stratum at the Miami Fort site is 1867-1310 B.P. (116-641  
227 CE) with a probability of 95%. The age of the airburst-proxy stratum at the Indian Fort Mountain  
228 site post-dates 1990-1706 B.P. (post-dates 41 BCE-245 CE) with a probability of 95%. Although  
229 the Marietta, Miami Fort, and Indian Fort Mountain radiocarbon ages are not considered high-  
230 quality, they do overlap at one standard deviation with the Turner and Jennison-Guard site  
231 radiocarbon ages. Twenty-eight radiocarbon ages place the Hopewell airburst proxies at 1699-  
232 1567 B.P. (252-383 CE).

233



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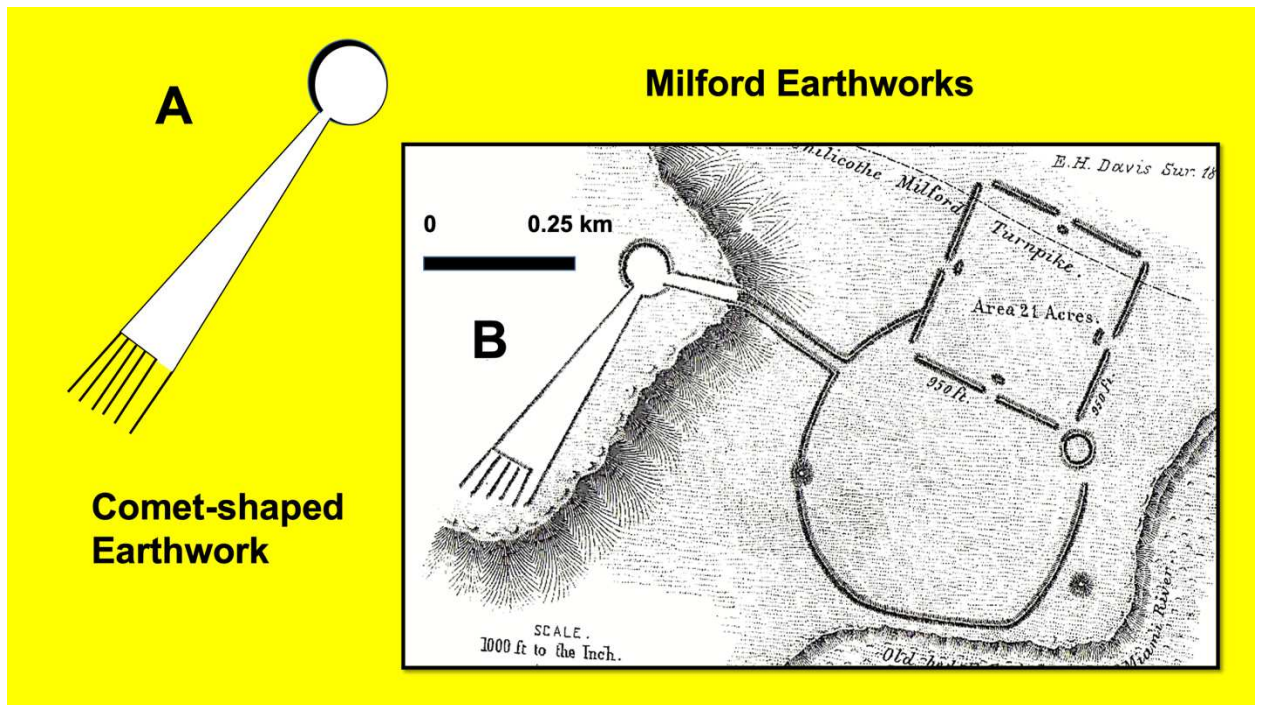
235 **Fig. 5.** Typological age range and Bayesian adjustments of the radiocarbon ages averages for the  
 236 Hopewell archaeological study sites using the IntCal20 calibration curve in the OxCal 4.4  
 237 computer program relative to the timing of 69 near-Earth comets.

238

239 **Discussion**

240 Meteorites, vesicular melt glass, the highest levels of Ir and Pt, and the largest Fe and Si-rich  
 241 magnetic spherules occur in a burned, charcoal-rich Hopewell stratum of the Turner site, which  
 242 suggests it was at or near the epicenter of the airburst (Figs. 2 & 3). The spatial distribution of six  
 243 independent proxies shows that the trajectory of the comet airburst was from the northeast to the  
 244 southwest and the deposition of the ejecta was influenced by the prevailing westerly winds. The  
 245 levels of Ir and Pt and the diameter of Fe and Si-rich magnetic spherules decrease with  
 246 increasing distance from the epicenter. The high positive Pt anomaly at the Marieta earthwork  
 247 suggests that multiple comet fragments likely impacted the Ohio River valley.

248 It is unknown whether or not there were human casualties from the airburst. Following  
249 the airburst event, Hopewell people collected meteorites, which they used in the production of  
250 objects that were interred with human remains. A Hopewell earthwork was constructed in the  
251 shape of a comet in the immediate vicinity of the airburst epicenter (Fig 6). Hopewell symbolic  
252 systems continue to be used ritually by descendant tribes as well as told in their oral histories,  
253 which refer to an ancient cosmic event.<sup>44,45</sup>



254  
255 **Fig. 6.** Comet-shaped Milford earthwork based on E. G. Squier and E. H. Davis' 1848 *Ancient*  
256 *Monuments of the Mississippi Valley Comprising the Results of Extensive Original Surveys and*  
257 *Explorations* (Smithsonian Institution, Washington D.C.).

258  
259 The Myaamia observed an ancient comet, which they call *Lenipinšia*, a horned serpent  
260 that crossed the sky and dropped rocks on the land before plummeting into the river.<sup>46</sup> The  
261 Shawnee word *Tekoomsē* refers to a comet known as the Sky Panther.<sup>47</sup> The Haudenosaunee say

262 that the Sky Panther, *Dajoji*, has the power to tear down forests.<sup>48</sup> Ottawa oral histories describe  
263 a day when the sun fell from the sky, and the Huron and Wyandot recount a time when a black  
264 cloud rolled across the sky and was destroyed with a fiery dart by *Hehnoh*.<sup>49</sup> These millennial  
265 generational oral histories are deeply rooted in eye-witnessed events.<sup>50</sup>

266

## 267 **Conclusion**

268 The Hopewell comet airburst event adds to our growing body of knowledge of catastrophic  
269 cosmic events, which led to cultural downturns in ancient complex, sedentary, and agricultural-  
270 based societies. Multiple comet airburst event proxies have been found on eleven Hopewell  
271 archaeological sites in the Ohio River valley, which have typological and calibrated radiocarbon  
272 age ranges of 1699-1567 B.P. (252-383 CE). This time period coincides with historically  
273 documented near-Earth comets and occurs prior to the cultural downturn of the Hopewell. The  
274 discovery of meteorites, silicious vesicular melt glass, Fe and Si-rich magnetic spherules,  
275 vitrified clay, and thermally decomposed limestone on burned, charcoal-rich Hopewell habitation  
276 surfaces suggests that surface temperatures reached  $\geq 1,700$  °C, which would have burned  
277 wooden structures and altered the composition of C3 vegetation.

278 The cultural impact and geographic extent of cosmic airburst events can only be evaluated  
279 and interpreted through interdisciplinary investigations that include chronostratigraphy,  
280 petrography, and geochemical analyses. These methods, if carried out rigorously, will provide a  
281 greater understanding of ancient catastrophic cosmic airburst events, their impact on complex  
282 human societies, and their frequency not only in the Western Hemisphere, but elsewhere in the  
283 world.

284



285 **Methods**

286 Sediment samples were obtained from archaeological units and trenches, which were hand  
287 excavated by the authors (Section S3). Stable carbon isotope samples were extracted using a  
288 split-spoon, 5-cm diameter solid sediment core and a hand-operated drop-hammer. Soil horizons  
289 and stratigraphic boundaries were defined in the field on the basis of color, texture, structure, and  
290 pedogenic features and confirmed in the lab with particle size analysis and Munsell soil color  
291 charts (Section 3). The location of all archaeological features, sediment cores, excavation units,  
292 and trenches were recorded in the field using a hand-held GPS (Section S1). AMS radiocarbon  
293 samples and XRD samples were collected from excavation units, trenches, and solid sediment  
294 cores (Section S3). AMS radiocarbon ages were determined at Beta Analytic and the Center for  
295 Applied Isotope Studies at the University of Georgia (Section S3). Radiocarbon ages were  
296 calibrated and Bayesian statistical analysis was done using the IntCal20 calibration curve in the  
297 OxCal 4.4 computer program (<https://c14.arch.ox.ac.uk/oxcal.html>). Scanning electron  
298 microscopy (SEM) and energy dispersive spectrometry (EDS/EDAX) was accomplished in the  
299 Advanced Materials Characterization Center at the University of Cincinnati (Sections S1 and  
300 S3). ICP-MS analyses were conducted at the Center for Applied Isotope Studies at the University  
301 of Georgia and are discussed completely in the Supplementary Materials (Sections S1, S2 and  
302 S4). Stable carbon isotope analyses were conducted at the Center for Applied Isotope Studies at  
303 the Reston Stable Isotope Laboratory, United States Geological Survey and are discussed in the  
304 Supplementary Materials (Section S5). Extraction of the Fe and Si-rich magnetic spherules from  
305 Hopewell archaeological contexts was accomplished in the Ohio Valley Archaeology  
306 Laboratory at the University of Cincinnati and are discussed in the Supplementary Materials  
307 (Section S6).

308

309 **Data availability**

310 All data generated or analyzed during this study are included in this published article (and its  
311 Supplementary Information files).

312

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441 K.B.T. conceived of the project and wrote most of the manuscript. K.B.T. and S.D.M. directed  
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443 directed the laboratory work. D.L.L. and S.A.M. conducted the archaeobotanical analyses.

444

445 **Competing interests**

446 The authors declare no competing interests.

447



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