Distribution characteristics, source identification, and risk assessment of heavy metals in surface sediments of the salt lakes in the Ordos Plateau, China

Shengyin Zhang

Northwest Institute of Eco-Environment and Resources, Chinese Academy of Sciences

Shuncun Zhang

Northwest Institute of Eco-Environment and Resources, Chinese Academy of Sciences

Yongxin Chen (✉ chenyongxin@lzb.ac.cn)

Northwest Institute of Eco-Environment and Resources, Chinese Academy of Sciences

Bo Chen

Tianzhu Lei

Northwest Institute of Eco-Environment and Resources, Chinese Academy of Sciences

Research Article

Keywords: Heavy metals, Sediments, Salt lakes, Pollution assessment, Sources, Ordos Plateau

Posted Date: November 1st, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-958882/v2>

License: © ⓘ This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

Abstract

Salt lakes have a significant effect on the regional climate, environment, and ecology in semi-arid regions characterized by lower rainfall and high evaporation. However, under the stresses of global change and human disturbance, anthropogenic pollution is the main factor threatening the lake ecological environment. Surface sediment samples collected from four salt lakes in the Ordos Plateau were used to investigate the salinity, concentration, pollution status, potential sources of heavy metals, and influencing factors. The surface sediments of Beida Pond and Gouchi Pond were weakly alkaline ($\text{pH} < 9$) owing to Na_2SO_4 , whereas those of Chaigannaoer and Hongjiannao were strongly alkaline ($\text{pH} > 9$) owing to Na_2CO_3 . The concentration range of Cr, Ni, Cu, Zn, As, Cd, and Pb in the sediment samples collected from the salt lake in the Ordos followed the order of $\text{Cr} > \text{Zn} > \text{Ni} > \text{Pb} > \text{Cu} > \text{As} > \text{Cd}$. The Cr values were higher in Chagannaoer and Hongjiannao, but the Ni, Cu, and Zn values were higher in Beida Pond and Gouchi Pond. The geoaccumulation index (I_{geo}) and enrichment factor (EF) consistently indicated that Cr posed the greatest potential ecological risk and that Ni, Cu, and Zn pollution was more severe in Beida Pond and Gouchi Pond than in Chagannaoer or Hongjiannao. However, Er and RI indicated these heavy metals were a low risk to the environment. Risk assessment code (RAC) revealed that Pb and Cr exhibited no mobility and had low potential bioavailability risk, although Zn, Ni, and As were categorized as medium risk. Cu had the highest mobility and high risk. Principal component analysis for Beida Pond and Gouchi Pond revealed that the source of Ni, Cu, Zn, Cd, and Pb might be associated with water - soluble elements in aqueous migration. For Cr, Pb, and As, it was indicated that the source of these heavy metals might be lithospheric minerals carried by dust storms. Ni, Cu, Zn, Cd, Cr, and Pb in Chagannaoer and Hongjiannao may be derived from surface runoff, and chemicals from these sources may eventually accumulate in sediments. Pearson's correlation analysis indicated that pH was the main environmental factor controlling the distribution of heavy metals in Chagannaoer and Hongjiannao.

1. Introduction

As an important sink of surface runoff, lakes have a significant effect on the regional climate, environment, and ecology in semi-arid regions characterized by lower rainfall and high evaporation (Chen et al. 2020b, Chen et al. 2021, Crotoft et al. 2015, Fang et al. 2018). These lakes serve not only as a link between regional ecosystems but also provides habitat for animals, adjusts local climate, and provides water and food for human beings (Bullerjahn et al. 2020, Kong et al. 2016, Liu et al. 2021, Xie et al. 2021). However, under the stresses of global change and human disturbance (for example, agriculture and resources exploitation, chemical industry), the ecological environment of the lake deteriorates owing to anthropogenic pollution, restricting the sustainable development of the regional economy (Abu El-Magd et al. 2021, Li et al. 2021, Popovicheva et al. 2021, Wu et al. 2021). Heavy metals in sediments mainly exist in the form of clay mineral adsorption or co-precipitation. When the condition of solid-liquid interface changes, heavy metals will release into the water, posing a threat to the surrounding biological community and food chain (Wang et al. 2017, Wen et al. 2018, Zhan et al. 2020, Zhang et al. 2016, Zhang & Gao 2015). In general, the bioavailability, toxicity and mobility of heavy metals in sediment-water

depend on pH, organic matter, cation substitution amount and physical clay content (Abu El-Magd et al. 2021, Li et al. 2021, Popovicheva et al. 2021, Wu et al. 2021).

The Ordos Plateau consists of a loess plateau in the south and a desert plateau in the north, which contains the Mu us Desert and the Kubuqi Desert (Xu et al. 2010, Zhang & Wang 2020). There are many lakes distributed throughout the Ordos Plateau, all of which are inland lakes with lower water level. Shallow diving and spring water are the water sources of salt lakes in this area. In particular, these lakes are mainly saline-alkali water bodies because of the high ratio of evaporation/precipitation (Chen et al. 2020b, Fang et al. 2018). The ecological geography of the Ordos Plateau is arid/semi-arid steppe - desert area, with lots of wind and sand and little precipitation. Therefore, saline lakes are an important water resource in this region, maintaining the regional ecosystem security of the Ordos Plateau, which is an important base for the production of raw coal, petroleum, trusone, and other chemicals in China (Chen et al. 2020a, Chen et al. 2020c, Qi et al. 2020). Heavy metal elements released by industrial development pose a serious threat to saline lake. Therefore, the distribution, source, and pollution assessment of heavy metals in lake sediments are of great significance.

Moreover, because of the difference in the chemical composition of soil and rock in runoff areas (the loess, desert and sandstone) (Shi & Huang 2021, Sun et al. 2021, Xu et al. 2010), the lakes of the Ordos Plateau clearly differ in chemical composition. The water of salt lakes are rich in sulfate ions in the southwest plateau (Yanchi county of Ningxia province and Dingbian county of Shanxi province), and the northeastern Ordos Plateau (Ordos city of Inner Mongolia) contains more carbonate rich lakes. Detailed knowledge about the changes in heavy metals in contaminated lake sediments is required for a better understanding of the mobilization and immobilization of metals and the associated controlling processes. Therefore, in this study, we investigated the metals in the surface sediments in these salt lakes and aimed to: (1) determine the heavy metals in the surface sediment of the salt lakes in the Ordos Plateau; (2) assess the level of ecological risk posed by each of the heavy metals in the sediment; and (3) identify the potential sources and factors controlling the distribution of these metals in the sediment.

2. Materials And Methods

2.1 Geographic setting

The Ordos Plateau is located in the south of the Inner Mongolia Autonomous Region and the east of the Ningxia Autonomous Region (north latitude, 37°20'–40°50'; east longitude, 106°24'–111°28'). The north and east areas are surrounded by the Yellow River, and the southeast is bounded by the ancient Great Wall and the Northern Shaanxi Loess Plateau. The administrative divisions include Ordos City in Inner Mongolia Autonomous Region, Jingbian and Dingbian county in Shaanxi province, and Yanchi County in Ningxia Hui Autonomous Region. The plateau covers a total area of more than 120,000 square kilometers, with an average annual temperature of 6°C–8°C and an average annual precipitation of 150–500 mm (Xu et al. 2010). There are more than 50 salt lakes in the Ordos Plateau, and Beida Pond and

Gouchi Pond is mainly supplied by groundwater, Chagannaoer, and Hongjiannao are mainly supplied by rivers surrounding these lakes.

2.2 Sampling and analysis methods

In August 2020, 34 surface sediment samples (0–10 cm) were selected as sampling sites in the Ordos Plateau, including Beida Pond, Gouchi Pond, Chagannaoer, and Hongjiannao (Fig. 1). These 34 sampling sites were designated as follows: BP1–BP9; CP1–CP9; Ch1–Ch9; and HJ–D7, respectively (Fig. 1). All samples were wrapped in aluminum foil and stored at –20°C until analysis.

Prior to measurement, the stored samples were freeze-dried, passed through a 200 mesh sieve. Electrical conductivity (EC), pH, and ion concentration (of K^+ , Na^+ , Ca^{2+} , Mg^{2+} , F^- , Cl^- , NO_3^- , and SO_4^{2-} ions) were determined from the measurement of a 1:2.5 sediment: water suspension using conductivity, pH meters (Mettler Toledo FE20, Switzerland), and an ion chromatograph (Metrohm 883, Switzerland), respectively. The contents of CO_3^{2-} and HCO_3^- were determined using a double indicator neutralization titration method (Kai et al. 2020).

For the metal analysis, the prepared samples were weighed, and 20 mg of each sample was digested in an oven by a mixture of 10 mL HNO_3 , 5 mL HF , and 5 mL $HClO_4$. The concentration of heavy metals was determined by inductively coupled plasma-mass spectrometry (ICP-MS, 7850 Agilent). During the digestion and test procedures, standard reference samples (GSS-1), blank samples, parallel samples, and the study samples were analyzed in the same way to control the quality of the entire analytical procedure and ensure comparable detection results (Wu et al. 2014).

The sequential extraction procedure was performed to measure the following fraction (Tessier et al. 1979): the exchangeable fraction (F1, 1 M $MgCl_2$, pH 7.0), carbonate-bound fraction (F2, 1 M $NaOAc$, pH 5.0), Fe\Mn oxide-bound fraction (F3, 0.04 M $NH_2OH \cdot HCl$ in 25% $HOAc$, pH 2.0), organic matter-bound fraction (F4, 0.02 M HNO_3 in 30% H_2O_2 ; 3.2 M NH_4OAc in 20% HNO_3 , pH 2.0), and residual fraction (F5, $HF-HNO_3-HClO_4$) of sediments (Liang et al., 2017). The concentrations of Cd, Cu, Ni, Pb, Zn, Cr, Cu, Be, and V were determined using ICP-MS. The recovery rates of five sequential extractions for all heavy metals were determined to be between 89% and 101%.

2.3. Assessment of sediment pollution

2.3.1. Geoaccumulation index

The geoaccumulation index (I_{geo}) was used to quantify metal contamination caused by both natural geological and geographical processes and human activities (Muller 1969). I_{geo} is calculated from the following formula:

$$I_{geo} = \log_2 [C_m / (1.5B_m)] \quad (1)$$

where C_m is the examined concentration of metals in samples and B_m is the regional background level of the evaluated metal (Centre) 1990). A factor of 1.5 was used to adjust for lithospheric effects. The I_{geo} parameter divides heavy metal contamination degree from non-pollution to extremely strong pollution (Table.1) (Muller, 1981).

Table 1 Geo-accumulation index grading (Muller, 1981)

I_{geo}	Rank	Sediment quality
≤ 0	0	Uncontaminated
0 - 1	1	Uncontaminated to moderately contaminated
1 - 2	2	Moderately contaminated
2 - 3	3	Moderately contaminated to heavily contaminated
3 - 4	4	Heavily contaminated
4 - 5	5	Heavily to extremely contaminated
>5	6	Extremely contaminated

2.3.2. Enrichment factor

The enrichment factor (EF) is commonly used to determine the degree of anthropogenic heavy metal pollution (Caeiro et al. 2005). The EF is the following equation:

$$EF = [(C_E/C_R)_{\text{Sample}}] / [(C_E/C_R)_{\text{Background}}] \quad (2)$$

where $(C_E/C_R)_{\text{Sample}}$ represents the ratio between the level of the examined element and the level of a normalized element in the surface sediment; $(C_E/C_R)_{\text{Background}}$ is the ratio of two elements in the Ordos Plateau (Centre) 1990). Al was used as the standardized element for geochemical normalization.

2.3.3 Hakanson potential ecological risk assessment

The Hakanson potential ecological risk (PER) assessment was proposed by (Hakanson 1980) for the assessment of the risk of heavy metal pollution in sediment. The PER was calculated using the following equations:

$$C_f^i = C_i / C_n^i \quad (3)$$

$$E_r^i = C_f^i \times T_r^i \quad (4)$$

$$RI = (E_r^1 + E_r^2 + E_r^3 + E_r^4 + \dots + E_r^n) \quad (5)$$

where C_f^i is the contamination factor of heavy metal i , C_i is the measured concentration of heavy metal i , and C_n^i is the geochemical background value of heavy metal i . E_r^i and T_r^i are the ecological risk index and

the toxicity coefficient of heavy metal i , respectively. The toxicity coefficients of Cr, Ni, Cu, Zn, As, Cd, and Pb are 2, 5, 5, 1, 10, 30, and 5, respectively (Hakanson 1980). E_r^i is the single potential ecological risk index of heavy metal in sediments, and RI is the sum of potential of all E_r^i in the sampling site.

2.3.4. Risk assessment code

The degree of heavy metal mobility and bioaccessibility can be assessed quantitatively using the risk assessment code (RAC) method by analyzing the total metal concentration and the chemical fraction. Given that the acid-extractable fraction, which comprises the exchangeable fraction (F1) and the carbonate fraction (F2), has a higher bioaccessibility level, the total acid-extractable fraction was used to assess the bioaccessibility of metals in the sediment using the RAC method.

$$RAC_i = C_F^i / C_T^i \quad (6)$$

where RAC_i is the RAC index of heavy metals in the sediment, C_F^i is the concentration of the acid-extractable fraction in the sediment (F1 + F2), and C_T^i is the actual measured concentration of the heavy metal in the sediment.

2.3 Statistical analysis

Statistical analyses were performed using SPSS 19.0 software (IBM, NYC, USA). The sampling site map (Fig. 1) was drawn using ArcGIS10.2; all other figures were drawn using Origin 9.0.

3. Results And Discussion

General properties of the surface sediments

The EC, TDS, pH, and ion concentrations (K^+ , Na^+ , Ca^{2+} , Mg^{2+} , F^- , Cl^- , NO_3^- , SO_4^{2-}) in the sediment samples collected from these salt lakes are shown in Table S1. For Beida Pond, the conductivity for surface sediment is in the range of 2.45 - 29.4 mS/cm, averaging 10.66 mS/cm, the average of TDS was 7.44 g/L, with a range from 1.7 - 20.5 g/L, and the pH ranged from 8.49 to 8.88. For Gouchi Pond, the EC present in the surface sediment is in the range from 1.91 - 10.6 mS/cm, with an average of 6.89 mS/cm, ranges of TDS and pH were 1.35 - 7.36 g/L and 8.25 - 8.88, respectively. For Chagannaoer, the EC and TDS ranged from 0.45 - 17.2 mS/cm and 0.31 - 12.2 g/L, respectively. The pH ranged from 10.19 - 10.94, with an average of 10.49. For Hongjiannao, ranges of EC and TDS were 0.11 - 0.86 mS/cm and 0.07 to 0.60 g/L, respectively. The average of pH is 9.48, with a range from 9.05 to 9.77. In conclusion, Beida Pond and Gouchi Pond have weakly alkaline salt deposits, whereas Chagannaoer and Hongjiannao have strongly alkaline salt deposits.

The main cations in the surface sediment soluble salts of Beida Pond were Na^+ and Ca^{2+} , and the main anions were Cl^- and SO_4^{2-} . The K^+ , Na^+ , Ca^{2+} , and Mg^{2+} concentrations ranged from 12.71–71.38, 32.16–6268.75, 55.07–672.89, and 23.43–472.22 ppm, respectively; the Cl^- , SO_4^{2-} , and HCO_3^- concentrations

ranged from 5.32–5485.35, 1146.42–8165.87, and 7.32–43.93 ppm, respectively. The main cations in the surface sediment soluble salts from Gouchi Pond were Na^+ , Ca^{2+} , and Mg^{2+} , and the main anions were Cl^- and SO_4^{4-} . The K^+ , Na^+ , Ca^{2+} , and Mg^{2+} concentrations ranged from 4.36 - 33.56, 320.11 - 1465.92, 19.34 - 663.8, and 38.13 - 417.38 ppm, respectively, and the Cl^- , SO_4^{4-} , and HCO_3^{3-} concentrations ranged from 261.6 - 2219.07, 387.23 - 3558.07, 36.61 - 213.32 ppm, respectively. The cations in the soluble salts of Chagannaoer surface sediments were Na^+ , and the anions were Cl^- , SO_4^{4-} , and CO_2^{3-} . The K^+ , Na^+ , Ca^{2+} , and Mg^{2+} concentrations ranged from 33.14 - 436.44, 112.51 - 4548.57, 1.01 - 110.71, and 10.10 - 74.48 ppm, respectively, and the Cl^- , SO_4^{4-} , and CO_2^{3-} concentrations ranged from 4.15 - 2168.54, 10.00 - 481.35, and 66.37 - 2448.42 ppm, respectively. The cation in the surface sediment soluble salts of Hongjiannao was Na^+ , and the anions were Cl^- , SO_4^{4-} , and HCO_3^{3-} . The K^+ , Na^+ , Ca^{2+} , and Mg^{2+} concentrations ranged from 4.58 - 11.51, 10.02 - 156.87, 5.68 - 10.92, and 2.97 - 8.93 ppm, respectively, and the Cl^- , SO_4^{4-} , CO_2^{3-} , and HCO_3^{3-} concentrations ranged from 1.89 - 94.77, 9.78 - 96.02, 5.04 - 21.60, and 35.14 - 166.92 ppm, respectively.

Concentrations of metals in the surface sediments

The concentrations of heavy metals in the sediment samples are presented in Table S1. The ranges of Cr, Ni, Cu, Zn, As, Cd, and Pb in the salt lakes of the Ordos were 50.84 - 261.73, 6.05 - 36.94, 3.59 - 24.33, 12.57 - 66.38, 0.04 - 1.24, 0.01 - 0.04, and 8.77 - 22.38 $\mu\text{g/g}$, respectively (Table.2). The mean heavy metal concentration in pond sediments was 75.49, 18.08, 9.91, 29.75, 0.37, 0.02, and 13.71, respectively. The concentration of heavy metals in Gouchi Pond followed a similar pattern to that of Gouchi Pond: $\text{Cr} > \text{Zn} > \text{Ni} > \text{Pb} > \text{Cu} > \text{As} > \text{Cd}$. The means of heavy metal contents in Chagannaoer sediments were 142.66 (Cr), 9.21 (Ni), 5.85 (Cu), 19.46 (Zn), 0.63 (As), 0.01 (Cd), and 15.08 (Pb), respectively, and followed a similar pattern to those of Hongjiannao sediments: $\text{Cr} > \text{Zn} > \text{Pb} > \text{Ni} > \text{Cu} > \text{As} > \text{Cd}$. In comparison, the Ni, Cu, and Zn concentrations in Beida Pond and Gouchi Pond were higher than those in Chagannaoer and Hongjiannao. The Cr concentration in Chagannaoer and Hongjiannao was significantly higher than that in Beida Pond and Gouchi Pond. The Pb concentration in the four salt lakes was similar, at 13.71, 15.15, 15.08, and 16.66, respectively. The concentrations of As and Cd in all the salt lake sediments were lower than the background values, within the ranges of 0.04 - 1.24 and 0.01 - 0.04, respectively.

Table.2 Heavy metal concentrations (based on dry weight basis) and background values ($\text{mg}\cdot\text{kg}^{-1}$) in the surface sediment of the salt lake in Ordos, China

Salt lake	Cr	Ni	Cu	Zn	As	Cd	Pb
Beida pond (mean)	50.84- 130.89 75.49	14.75- 26.73 (18.08)	7.72-19.51 (9.91)	23.94- 50.15 (29.75)	0.07- 1.08 (0.37)	0.02- 0.03 (0.02)	8.77-17.10 (13.71)
Gouchi pond	65.79-89.22 (73.79)	17.86- 36.94 (23.89)	10.70- 24.33 (13.95)	30.41- 66.38 (40.51)	0.36- 0.92 (0.62)	0.02- 0.04 (0.03)	14.04- 22.38 (15.15)
Chaigannaoer	85.66- 165.16 (142.66)	7.54-16.57 (9.21)	3.91-9.86 (5.85)	14.25- 31.94 (19.46)	0.27- 1.24 (0.63)	0.01- 0.02 (0.01)	13.16- 16.13 (15.08)
Hongjiannao	77.74- 261.73 (130.12)	6.05-13.60 (9.20)	3.59-8.10 (5.33)	12.57- 29.03 (19.00)	0.04- 1.05 (0.83)	0.01- 0.02 (0.02)	15.88- 16.92 (16.66)
Background ^a	24.8	11.5	8.8	23.5	4.3	0.044	13.8

^a the concentration metal of soil in Ordos Plateau (Centre) 1990).

Risk assessment

Geoaccumulation index

The geoaccumulation index (I_{geo}) values of heavy metals detected in the sediments of the salt lake in the Ordos are shown in Fig. 2, and the details are presented in Table S1. The I_{geo} ranges of Cr in Beida Pond, Gouchi Pond, Chagannaoer, and Hongjiannao were 0.51 - 1.81, 0.82 - 1.26, 1.20 - 2.15, 1.06 - 2.81, respectively, suggesting moderate contamination by Cr in the four salt lakes. The I_{geo} of Ni in Chagannaoer and Hongjiannao were <0, and ranged from -0.22 - 0.63 (average, 0.13) and 0.05 - 1.10 (average, 0.48) in Beida Pond and Gouchi Pond, respectively, suggesting no contamination in Chagannaoer and Hongjiannao, but moderate contamination in Beida Pond and Gouchi Pond. The index values of Cu and Zn were <0 in the four salt lakes, except Gouchi Pond (average Cu, 0.12; average Zn, 0.22). The I_{geo} values of As, Cd, and Pb were < in the four salt lakes. Based on the I_{geo} values of these heavy metals, their pollution potential can be ranked as Cr>Ni>Zn>Cu>Pb>Cd>As in Beida Pond and Gouchi Pond, and Cr>Pb>Zn>Ni>Cu>Cd>As in Chagannaoer and Hongjiannao. The negative values of Ni (in Chagannaoer and Hongjiannao), Cu (in Beida Pond, Chagannaoer, and Hongjiannao), Zn (in Beida Pond, Chagannaoer, and Hongjiannao), and As, Cd, and Pb in the four lakes correspond to the uncontaminated level based on the Muller scale (Muller 1969), indicating have the potential to cause limited pollution to the salt lakes in the surroundings soil. In contrast, the I_{geo} values of Cr (in four lakes), Ni (in Beida Pond and Gouchi Pond), Cu (in Gouchi Pond), and Zn (in Gouchi Pond) suggested moderate contamination in the study area.

Enrichment factor

The EF is commonly used to determine the degree of anthropogenic heavy metal pollution (Li et al. 2020) (Atiemo et al., 2012). Generally, an EF of <1.5 suggests that an element is entirely controlled by natural processes, and 1.5 < EF < 3, 3 < EF < 5, and 5 < EF < 10 are interpreted as minor, moderate, severe, and very severe sediment contamination, respectively (Loska and Wiechula, 2003; Sutherland, 2000; Xu et al., 2017b). The average EF values of the heavy metals tested in this study followed the order Cr > Ni > Zn >

Cu > Pb > Cd > As in Beida Pond and Gouchi Pond, and Cr > Pb > Zn > Ni > Cu > Cd > As in Chagannaoer and Hongjiannao (Fig. 3).

In Beida Pond, the average EF values of Cr (3.35), Ni (1.97), and Zn (1.55) were >1.5, and for Cu (1.47), Pb (1.06), Cd (0.64), and As (0.13) were <1.5. In Gouchi Pond, the average EF values of Cr (2.95), Ni (2.08), Zn (1.74), and Cu (1.63) were >1.5, and for Pb (1.13), Cd (0.65), and As (0.14) were <1.5. In Chagannaoer and Hongjiannao, the average EF values of all tested heavy metals were > 1.5, except for Cr, which was 5.43 and 5.52, respectively. These data indicated that the sediments in Beida Pond were moderately polluted by Ni and Zn, and severely polluted by Cr. The sediments in Gouchi Pond were moderately polluted by Cr, Ni, Cu, and Zn. The sediments in Chagannaoer and Hongjiannao were only very severely polluted by Cr. Thus, the results suggested that there was a minor anthropogenic impact from As, Cd, and Pb in the four salt lakes in the Ordos, a moderate anthropogenic impact from Ni and Zn in Beida Pond, and Cr, Ni, Cu, and Zn in Gouchi Pond, and a severe anthropogenic impact from Cr in Chagannaoer and Hongjiannao.

Potential ecological risk index

The ecological risk index (Er) was calculated to determine the potential ecological risk (RI) associated with heavy metals in sediments of the salt lakes in the Ordos. The standard level of the potential risk of heavy metals is presented in Table S1, indicating the various risk levels based on values of the index. The comprehensive RI values of individual heavy metals, individual sampling sites, and among the group sites were calculated and are presented in Table S2. The calculated mean Er for Cr, Ni, Cu, Zn, As, Cd, and Pb was 6.68 (4.10 - 21.11), 6.67 (2.63 - 16.06), 4.67 (2.04 - 13.83), 1.17 (0.53 - 2.82), 1.44 (0.10 - 2.88), 13.85 (7.24 - 30.54), and 5.60 (3.18 - 8.11), respectively. The seven heavy metals pose a low risk (Table 2). The RI values of sediments ranged from 28.50 to 79.45, and with a mean value of 41.94. The average RI for polluted habitats decreased in the following order Gouchi pond > Beidachi pond > Hongjiannao > Chagannaoer, demonstrating that the contaminated sediments in the sampling area pose a low ecological risk.

The risk assessment code (RAC)

Heavy metal analysis was performed using Tessier's sequential extraction procedure to identify the potential bioavailability and mobility of heavy metals and their risk to the environment (Ma et al. 2016, Rosado et al. 2016). The values were categorized using the RAC classifications (Martley et al. 2004) : RAC < 1%, no risk; and metals with RAC values of 1% - 10%, 11% - 30%, 31% - 50%, and >50% were classified as low risk, medium risk, high risk, and very high risk, respectively. Cr, Ni, Cu, Zn, As, Cd, and Pb were mainly present in residual fractions, accounting for 51.14% - 68.45%, 43.35% - 54.52%, 33.02% - 66.11%, 54.65% - 65.78%, 44.32% - 61.38%, 9.52% - 45.55%, and 91.10% - 98.49% of the total, respectively (Table 3 and Table S2). This indicated that these metals were associated strongly with crystalline mineral structures, were stable under natural conditions, and had low transferability (Ma et al. 2016, Rosado et al. 2016, Xia et al. 2020). With the exception of Cu at the Ch-7 and Ch-8 sites of Chagannaoer, the proportion of these elements in fraction F1 was relatively low. Cu, Zn, and Ni were mainly present in fraction F2, accounting for 23.41% - 28.07%, and 19.35% - 31.76% of the total amount, respectively. Moreover, Cd

mainly occurred in fractions F1, F2, F3, and F4, with proportions of 1.07% - 13.62%, 5.05% - 13.85%, 10.49% - 45.33%, and 19.75% - 50.15% of the total metal content, respectively.

Table 3 RACs of heavy metals from surface sediments in the salt lakes

Sites	Cr	Ni	Cu	Zn	As	Cd	Pb
BP-6	10.61	29.67	49.64	32.07	25.05	11.02	0.33
BP-7	9.30	24.75	42.22	28.74	13.38	12.61	0.34
GP-7	6.41	29.82	45.77	30.84	28.68	14.47	0.23
GP-8	6.18	28.57	43.68	26.94	21.99	15.80	0.69
Ch-7	10.76	32.72	48.32	31.23	14.75	19.32	3.85
Ch-8	15.39	36.90	63.55	38.98	22.94	18.67	7.67
HJ-5	7.23	25.80	29.15	21.14	10.88	13.11	1.24
HJ-4	6.36	25.65	25.56	22.17	11.04	8.90	0.95

Assessment of the risk posed by heavy metals in sediments at the sampling area using RAC revealed that Pb and Cr exhibited no mobility and had low potential bioavailability risk and that Zn, Ni, and As were categorized as medium risk (Fig. 3). Cu had the highest mobility, with a high risk. Based on the average RAC values, the environmental risk of the bioavailability fraction of these metals decreased in the order Cu (43.49) > Ni (29.23) > Zn (29.01) > As (18.59) > Cd (14.24) > Cr (9.03) > Pb (1.91). These RAC values indicated that Cu, Ni, and Zn posed the greatest ecological risk in this environment. Overall, heavy metals in sediments posed the greatest ecological risk at Chagannaoer, followed by Gouchi Pond, Beida Pond, and Hongjiannao.

In this study, the inconsistent results were obtained from the above evaluation methods for ecological risk of heavy metals. I_{geo} and EF values showed that Cr was the largest potential ecological risk heavy metal in all salt lakes. The Ni, Cu and Zn pollution in Beida Pond and Gouchi Pond was more serious than that in Chaganore and Hongjiannao. Both Er and RI show that the environmental risk of these heavy metals is low in all salt lakes, with the overall characteristic is Gouchi pond > Beida pond > Hongjiannao > Chagannaoer. However, RACs indicate that the ecological risk of Cu, Ni, and Zn was higher than Cr, with the order of Chagannaoer > Gouchi Pond > Beida Pond > Hongjiannao. These inconsistencies may be due to the different assessment objectives of the methods. I_{geo} , EF, Er, and RI were calculated by compare metal concentrations of pollutants with natural background levels, while RAC used metals from F1 and F2 to indicate metal mobility and bioavailability in sediments. Therefore, it is necessary to use a variety of methods and multi-purpose assessment of heavy metal levels, for the aims of understand the ecological risk of heavy metals to sediment fully and accurately.

Source identification and influence factors

The lakes in the study area were divided into two groups based on the pH of soluble salts in the sediments: the first group (group 1) was Beida Pond and Gouchi Pond (pH < 9), the second group (group

2) was Chagannaoer and Hongjiannao ($\text{pH} > 9$). Principal component analysis (PCA) was performed to analyze the potential sources and influence factors of heavy metals in the two groups of lake sediment. The PCA results passed the Bartlett sphericity tests ($P < 0.001$), indicating that the application of PCA was appropriate for the assessment of heavy metals, pH, anions, and cations in these salt lakes sediments. The principal components of the group 1 and group 2 of lakes that explained the variance accounted for 72.19% and 70.16% of the total variance, respectively (Fig. 4 and Fig. 5).

For group 1, the first principal component (PC1), which explained 49.73% of the total variance, was positively loaded with K^+ (0.94), Na^+ (0.85), Cl^- (0.85), SO_2^{4-} (0.80), Ni (0.72), Cu (0.95), Zn (0.96), Cd (0.76), and Pb (0.78). The correlation analysis coefficients showed that there were significant positive correlations between K^+ and these metals, including Ni, Cu, Zn, Cd, and Pb, suggesting that these heavy metals may come from the same source (Table S4). Given that K^+ and Na^+ are mobile elements present in nature, it can be concluded that PC1 represents the sources associated with water - soluble elements, which are discharged by agricultural industries (Kharazi et al. 2021, Wang et al. 2021), resulting in heavy metal pollution. The second principal component (PC2) explained 22.50% of the total variance, and was positively loaded with SiO_2 (0.916), Al_2O_3 (0.78), Cr (0.61), Pb (0.62), and As (0.43). There were positive correlations between SiO_2 , Al_2O_3 and Cr, Pb, and As, indicating that these heavy metals arose from the same source of lithospheric minerals carried by dust storms (Oliveira et al. 2011, Zhang & Wang 2020).

For group 2, PC1 was loaded by Al_2O_3 (0.92), Ni (0.99), Cu (0.99), Zn (0.99), Cd (0.78), Pb (0.91), and moderately by Cr (0.54), and this component accounted for 42.8% of the total variance. The correlation analysis coefficients indicated that Ni, Cu, Zn, Cd, Cr, and Pb might be derived from similar sources (Table S5). Cu, Cd, and Pb had significantly positive correlations with Al_2O_3 , which was the essential element of the clay mineral (illite and montmorillonite) in sediment (Qiao et al. 2015, Sutherland et al. 2007); therefore, we suggested that PC1 may represent heavy metals via surface runoff and that chemicals from these sources may eventually accumulate in sediments. Moreover, As had a relatively smaller loading in PC1 and did not show a correlation with other metals, and this may also be derived from the dissolution and release of minerals. PC2, which explains 27.3% of the total variance, was mainly characterized by the positive loading of Na^+ (0.92), Cl^- (0.77), and SO_2^{4-} (0.73), suggesting that these ions migrate in surface water and the groundwater surrounding lakes (Chen et al. 2020a, Zhang & Wang 2020).

Prior to the above, the bioavailability, toxicity and mobility of heavy metals were affected by pH, organic matter, cation substitution amount, and clay content in sediment-water. Pearson correlation analysis showed that when pH was greater than 9, metal element content was significantly negatively correlated with pH (Table S5). pH can indirectly or directly affect the solubility, adsorption, retention and movement of metals in the solid-liquid interface (Kashem & Singh 2001, Ma et al. 2016). It has been reported that increasing pH value can increase the possibility of conversion of heavy metal components into oxidizable and residual states (Zhang et al. 2014). In this study, the concentration levels of Ni, Cu, Zn and Cd in surface sediments decreased with the increase of pH, but F4 and F5 components did not show significant differences, indicating that high pH did not lead to chemical precipitation of heavy metals in the lake, but

only affected the change of the total amount of metal elements in the sediments. Therefore, pH in sediment of Chagannaoer and Hongjiannao has a significant negative correlation with heavy metal concentration, which may be because high pH reduces the activity of heavy metals in water from source to sink, leading to lower heavy metal content in water and sediment of these two lakes.

4. Conclusions

Surface sediment samples were collected from the salt lakes in the Ordos and assessed to determine the concentrations of heavy metals, including Cr, Ni, Cu, Zn, As, Cd, and Pb. The surface sediments of Beida Pond and Gouchi Pond were weakly alkaline ($\text{pH} < 9$) owing to Na_2SO_4 , whereas those of Chaigannaoer and Hongjiannao were strongly alkaline ($\text{pH} > 9$) owing to Na_2CO_3 . The concentrations ranges of Cr, Ni, Cu, Zn, As, Cd, and Pb in the sediment samples collected from the salt lakes in the Ordos were 50.84 - 261.73, 6.05 - 36.94, 3.59 - 24.33, 12.57 - 66.38, 0.04 - 1.24, 0.01 - 0.04, and 8.77 - 22.38 $\mu\text{g/g}$, respectively. The I_{geo} and EF consistently indicated that Cr posed the greatest potential ecological risk and that Ni, Cu, and Zn pollution was more severe in Beida Pond and Gouchi Pond than in Chagannaoer and Hongjiannao. However, Er and RI indicated these heavy metals were a low risk to the environment. RAC revealed that Pb and Cr exhibited no mobility and had low potential bioavailability risk, and Zn, Ni, and As were categorized as medium risk. Cu had the highest mobility, with a high risk. PCA for Beida Pond and Gouchi Pond revealed that the source of Ni, Cu, Zn, Cd, and Pb might be associated with water-soluble elements in aqueous migration. For Cr, Pb, and As, the source of these heavy metals may be lithospheric minerals carried by wind sand. However, the PAC for Ni, Cu, Zn, Cd, Cr, and Pb in Chagannaoer and Hongjiannao may be derived from surface runoff, and chemicals from these sources may eventually accumulate in sediments. Pearson's correlation analysis indicated that clay minerals were the main adsorbers for Ni, Cu, Zn, Cd, and Pb in Beida Pond and Gouchi Pond, whereas pH was identified as the main environmental factor controlling the distribution of heavy metals in Chagannaoer and Hongjiannao.

Declarations

Acknowledgments This study was financially supported by the National Natural Science Foundation of China (Grant Nos. 41503048, 41872145) and the Guangxi Natural Science Foundation, China(2019GXNSFAA245016).

Author contribution Yongxin Chen: conceptualization and writing. Shengyin Zhang: original draft preparation, methodology. Shuncun Zhang: experiment and software. Bo Chen: data curation and editing. Tianzhu Lei: data curation and investigation.

Funding Financial support is provided by supported by the National Natural Science Foundation of China

Data availability The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Ethics approval Not applicable.

Consent to participate Not applicable.

Consent for publication Not applicable.

Competing interests The authors declare no competing interests.

References

- Abu El-Magd SA, Taha TH, Pienaar HH, Breil P, Amer RA, Namour P (2021): Assessing heavy metal pollution hazard in sediments of Lake Mariout, Egypt. *Journal of African Earth Sciences* 176
- Bullerjahn GS, McKay RML, Bernát G, Prášil O, Vörös L, Pálffy K, Tugyi N, Somogyi B (2020): Community dynamics and function of algae and bacteria during winter in central European great lakes. *Journal of Great Lakes Research* 46, 732-740
- Caeiro S, Costa MH, Ramos TB, Fernandes F, Silveira N, Coimbra A, Medeiros G, Painho M (2005): Assessing heavy metal contamination in Sado Estuary sediment: An index analysis approach. *Ecological Indicators* 5, 151-169
- Centre) CCNEM (1990): The Background Values of Chinese Soils. Environmental Science Press of China, Beijing (in Chinese)
- Chen G, Sun Z, Nie F, Li C, Zhen Y, Zhou Z (2020a): Hydrogeochemical characteristics of the sandstone-hosted uranium mineralization in northern Ordos Basin, China. *Ore Geology Reviews* 126
- Chen J, Qian H, Gao Y, Wang H, Zhang M (2020b): Insights into hydrological and hydrochemical processes in response to water replenishment for lakes in arid regions. *Journal of Hydrology* 581
- Chen Q, Wang S, Ni Z, Guo Y, Zhang H (2021): Dynamic and driving evolution of lake basin pressure in cold and arid regions based on a new method: A case study of three lakes in Inner Mongolia, China. *J Environ Manage* 298, 113425
- Chen Y, Lin S, Bai B, Zhang T, Pang Z, Tao S, Hu S (2020c): Effects of petroleum retention and migration within the Triassic Chang 7 Member of the Ordos Basin, China. *International Journal of Coal Geology* 225
- Crootof A, Mullabaev N, Saito L, Atwell L, Rosen MR, Bekchonova M, Ginatullina E, Scott J, Chandra S, Nishonov B, Lamers JPA, Fayzieva D (2015): Hydroecological condition and potential for aquaculture in lakes of the arid region of Khorezm, Uzbekistan. *Journal of Arid Environments* 117, 37-46
- Fang L, Tao S, Zhu J, Liu Y (2018): Impacts of climate change and irrigation on lakes in arid northwest China. *Journal of Arid Environments* 154, 34-39

Hakanson L (1980): An ecological risk index for aquatic pollution control. a sedimentological approach. *Water Research* 14, 975-1001

Kai J, Wang J, Ju J, Huang L, Ma Q, Daut G, Zhu L (2020): Spatio-temporal variations of hydrochemistry and modern sedimentation processes in the Nam Co basin, Tibetan Plateau: Implications for carbonate precipitation. *Journal of Great Lakes Research* 46, 961-975

Kashem MA, Singh BR (2001): Metal availability in contaminated soils: I. Effects of flooding and organic matter on changes in Eh, pH and solubility of Cd, Ni and Zn. *Nutrient Cycling in Agroecosystems* 61, 247-255

Kharazi A, Leili M, Khazaei M, Alikhani MY, Shokoohi R (2021): Human health risk assessment of heavy metals in agricultural soil and food crops in Hamadan, Iran. *Journal of Food Composition and Analysis* 100

Kong X, He W, Liu W, Yang B, Xu F, Jørgensen SE, Mooij WM (2016): Changes in food web structure and ecosystem functioning of a large, shallow Chinese lake during the 1950s, 1980s and 2000s. *Ecological Modelling* 319, 31-41

Li M, Zhang Q, Sun X, Karki K, Zeng C, Pandey A, Rawat B, Zhang F (2020): Heavy metals in surface sediments in the trans-Himalayan Koshi River catchment: Distribution, source identification and pollution assessment. *Chemosphere* 244, 125410

Li X, Yang J, Fan Y, Xie M, Qian X, Li H (2021): Rapid monitoring of heavy metal pollution in lake water using nitrogen and phosphorus nutrients and physicochemical indicators by support vector machine. *Chemosphere* 280, 130599

Liu H, Liu G, Xing W (2021): Functional traits of submerged macrophytes in eutrophic shallow lakes affect their ecological functions. *Sci Total Environ* 760, 143332

Ma X, Zuo H, Tian M, Zhang L, Meng J, Zhou X, Min N, Chang X, Liu Y (2016): Assessment of heavy metals contamination in sediments from three adjacent regions of the Yellow River using metal chemical fractions and multivariate analysis techniques. *Chemosphere* 144, 264-272

Martley E, Gulson B, Louie H, Wu M, Di P (2004): Metal partitioning in soil profiles in the vicinity of an industrial complex, New South Wales, Australia. *Geochemistry-exploration Environment Analysis - GEOCHEM-EXPLOR ENVIRON ANAL* 4, 171-179

Muller G (1969): Index of Geoaccumulation in Sediments of the Rhine River. *Geo J.* 2, 109-118

Oliveira A, Palma C, Valença M (2011): Heavy metal distribution in surface sediments from the continental shelf adjacent to Nazaré canyon. *Deep Sea Research Part II: Topical Studies in Oceanography* 58, 2420-2432

- Popovicheva O, Molozhnikova E, Nasonov S, Potemkin V, Penner I, Klemasheva M, Marinaite I, Golobokova L, Vratolis S, Eleftheriadis K, Khodzher T (2021): Industrial and wildfire aerosol pollution over world heritage Lake Baikal. *J Environ Sci (China)* 107, 49-64
- Qi Y, Ju Y, Tan J, Bowen L, Cai C, Yu K, Zhu H, Huang C, Zhang W (2020): Organic matter provenance and depositional environment of marine-to-continental mudstones and coals in eastern Ordos Basin, China—Evidence from molecular geochemistry and petrology. *International Journal of Coal Geology* 217
- Qiao S, Shi X, Fang X, Liu S, Kornkanitnan N, Gao J, Zhu A, Hu L, Yu Y (2015): Heavy metal and clay mineral analyses in the sediments of Upper Gulf of Thailand and their implications on sedimentary provenance and dispersion pattern. *Journal of Asian Earth Sciences* 114, Part 3, 488-496
- Rosado D, Usero J, Morillo J (2016): Assessment of heavy metals bioavailability and toxicity toward *Vibrio fischeri* in sediment of the Huelva estuary. *Chemosphere* 153, 10-17
- Shi W, Huang M (2021): Predictions of soil and nutrient losses using a modified SWAT model in a large hilly-gully watershed of the Chinese Loess Plateau. *International Soil and Water Conservation Research* 9, 291-304
- Sun Z, Mao Z, Yang L, Liu Z, Han J, Wanag H, He W (2021): Impacts of climate change and afforestation on vegetation dynamic in the Mu Us Desert, China. *Ecological Indicators* 129
- Sutherland TF, Petersen SA, Levings CD, Martin AJ (2007): Distinguishing between natural and aquaculture-derived sediment concentrations of heavy metals in the Broughton Archipelago, British Columbia. *Marine Pollution Bulletin* 54, 1451-1460
- Tessier A, Campbell PGC, Bisson M (1979): Sequential extraction procedure for the speciation of particulate trace metals. *Analytical Chemistry* 51, 844-851
- Wang W, Chen M, Guo L, Wang WX (2017): Size partitioning and mixing behavior of trace metals and dissolved organic matter in a South China estuary. *Sci Total Environ* 603-604, 434-444
- Wang Y, Guo G, Zhang D, Lei M (2021): An integrated method for source apportionment of heavy metal(loid)s in agricultural soils and model uncertainty analysis. *Environ Pollut* 276, 116666
- Wen J, Li Z, Luo N, Huang M, Yang R, Zeng G (2018): Investigating organic matter properties affecting the binding behavior of heavy metals in the rhizosphere of wetlands. *Ecotoxicol Environ Saf* 162, 184-191
- Wu G, Shang J, Pan L, Wang Z (2014): Heavy metals in surface sediments from nine estuaries along the coast of Bohai Bay, Northern China. *Marine Pollution Bulletin* 82, 194-200
- Wu Y, Wang S, Ni Z, Li H, May L, Pu J (2021): Emerging water pollution in the world's least disturbed lakes on Qinghai-Tibetan Plateau. *Environ Pollut* 272, 116032

Xia P, Ma L, Sun R, Yang Y, Tang X, Yan D, Lin T, Zhang Y, Yi Y (2020): Evaluation of potential ecological risk, possible sources and controlling factors of heavy metals in surface sediment of Caohai Wetland, China. *Science of The Total Environment* 740

Xie G, Tang X, Shao K, Zhu G, Gao G (2021): Bacterial diversity, community composition and metabolic function in Lake Tianmuhu and its dammed river: Effects of domestic wastewater and damming. *Ecotoxicol Environ Saf* 213, 112069

Xu DY, Kang XW, Zhuang DF, Pan JJ (2010): Multi-scale quantitative assessment of the relative roles of climate change and human activities in desertification – A case study of the Ordos Plateau, China. *Journal of Arid Environments* 74, 498-507

Zhan S, Wu J, Wang J, Jing M (2020): Distribution characteristics, sources identification and risk assessment of n-alkanes and heavy metals in surface sediments, Tajikistan, Central Asia. *Sci Total Environ* 709, 136278

Zhang C, Yu ZG, Zeng GM, Jiang M, Yang ZZ, Cui F, Zhu MY, Shen LQ, Hu L (2014): Effects of sediment geochemical properties on heavy metal bioavailability. *Environ Int* 73, 270-81

Zhang G, Bai J, Zhao Q, Lu Q, Jia J, Wen X (2016): Heavy metals in wetland soils along a wetland-forming chronosequence in the Yellow River Delta of China: Levels, sources and toxic risks. *Ecological Indicators* 69, 331-339

Zhang H, Wang X-S (2020): The impact of groundwater depth on the spatial variance of vegetation index in the Ordos Plateau, China: A semivariogram analysis. *Journal of Hydrology* 588

Zhang J, Gao X (2015): Heavy metals in surface sediments of the intertidal Laizhou Bay, Bohai Sea, China: Distributions, sources and contamination assessment. *Marine Pollution Bulletin* 98, 320-327

Figures

Figure 1

Location of the sampling sites in the Ordos Plateau, China

Figure 2

Geoaccumulation indices of various heavy metals present in sediments at sampling sites in Beida Pond (a), Gouchi Pond (b), Chagannaoer (c), and Hongjiannao (d).

Figure 3

Enrichment factor of heavy metals in surface sediments from Beida Pond (a), Gouchi Pond (b), Chagannaoer (c), and Hongjiannao (d).

Figure 4

Loading plot showing loading of the two principal components in the principal component analysis for Beida Pond and Gouchi Pond.

Figure 5

Plot showing the loading of the two principal components in the principal component analysis for Chagannaoer and Hongjiannao.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Supplementarytable.docx](#)