

Novel Metabolic Biomarker for Early Detection and Prognosis to the Patients with Gastric Cardia Adnocarcinoma

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Research

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1 **Novel metabolic biomarker for early detection and prognosis to the patients with**
2 **gastric cardia adnocarcinoma**

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4 Running tittle: Novel metabolic biomarker in gastric cardia adnocarcinoma

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14 Final improvement: All authors.

15

16 **Abstract**

17 **Background:** Gastric cardia adenocarcinoma (GCA), which has been normalized as type II
18 of adenocarcinoma at esophagogastric junction in western countries. In clinical, most of the
19 GCA patients are lack of early alarming symptoms, more than 90% of GCA patients were
20 diagnosed at advanced stage, resulted in a very poor prognosis, with less than 20% of 5-year
21 survival. Obviously, early detection for GCA plays crucial role in decreasing the high
22 mortality. Metabolomics allows for appraisal of small molecular mass compounds in a
23 biofluid, which may provide a way for finding biomarkers for GCA.

24 **Methods:** The serum metabolic features of 276 curatively resected GCA patients and 588
25 healthy control participates were collected from the database of State Key Laboratory of
26 Esophageal Cancer Prevention & Treatment and Henan Key Laboratory for Esophageal
27 Cancer Research of The First Affiliated Hospital of Zhengzhou University to discover the
28 metabolic dysregulation by using the ultraperformance liquid chromatography-mass
29 spectrometry (UPLC-MS). Joint pathway analysis with metabolites identified, survival
30 analysis and auxiliary diagnosis metabolites were discussed in present work.

31 **Results:** A sum of 200 known differential metabolites were obtained with $p < 0.05$ and fold
32 change $FC \geq 1.25$ or $FC \leq 0.8$ by comparison GCA and healthy control participates. 12
33 metabolites significant correlated with survival ($p < 0.05$) and 17 metabolites for potential
34 auxiliary diagnosis ($FC > 1.5$ or $FC < 0.67$) for GCA. Dysregulated arginine biosynthesis
35 was an important pathway of GCA. 9 differential metabolites of 12-ketolithocholic acid, 2-
36 Hydroxybutanoic acid, Aldosterone, All-trans-13,14-dihydroretinol, Hododeoxycholic acid,
37 L-histidine, Malonic acid, Prostaglandin E2 and Sphingosine were identified as potential
38 metabolic markers for distinguishing the GCA and healthy control (AUC=0.976, sensitivity
39 =0.913, specificity =0.027, optimal cut off value=0.470).

40 **Conclusions:** This work was first identified 12 metabolites significant correlated with
41 survival and 17 metabolites for potential auxiliary diagnosis for GCA. In addition, arginine
42 biosynthesis pathway metabolism showed important roles in GCA. Results provide the
43 understanding of the molecular difference between GCA and healthy control. The novel
44 plasma biomarkers panel could clearly distinguish GCA patients from the healthy control
45 group. This finding may form the basis for the development of a minimally invasive method
46 for GCA detection.

47 **Keywords:** Gastric cardia adenocarcinoma (GCA), Nontargeted metabolomics, Prognosis,
48 Arginine biosynthesis
49

50 **Introduction**

51 Endoscopy with iodine staining was widely used for gastric cardia cancer (GCA) and
52 esophageal cancer (EC) screening in high-incidence area. Most endoscopy screening-positive
53 population was found to develop esophageal epithelium lesion, and therefore endured higher
54 risk for developing gastric cardia cancer (GCA) and EC than normal population. However,
55 endoscopic screening may be too costly and invasive for large-scale population, and non-
56 invasive biomarkers may be more applicable and cost effective for population-based
57 screening. In this population-based screening study, we aim to identify potential metabolic
58 biomarkers for early screening of GCA, and establish the optimal early GCA screening
59 model.

60 Gastric cardia adenocarcinoma (GCA) is a cancer which occurs in the gastric cardia area
61 (gastric-esophageal boundary), that originates or mainly occupies within 2 cm of the
62 esophagus and gastric mucosa junction line[1], and it is one of the common malignant tumor
63 of the digestive tract in China. GCA morbidity and mortality have increased in recent
64 years[2].GCA and esophageal squamous cell carcinoma (ESCC) are two common
65 gastrointestinal tumors,and have been called sister cancer owing to their similar
66 characteristics, including to the adjacent anatomical locations, and simultaneously occurrence
67 in clinical practice[3]. And it suggests that they may have similar prognostic molecules
68 mechanism. Early diagnosis, prevention and treatment are the keymeans to reducing the
69 incidence and mortality of GCA, and it is particularly important to find non-injury (serum)
70 early detection indicators.

71 The abnormal metabolism of cancer has been considered an important characteristic of
72 tumors, which could clarify the pathogenesis and provide potential therapeutic targets for
73 clinical treatments (3). According to the Warburg effect, the deregulated energy metabolism
74 of cancer cells may also modify many related metabolic pathways that influence various
75 biological processes, such as cell proliferation and apoptosis. As a common characteristic of
76 cancer cells (4, 5), modified metabolism has been the focus of cancer research.

77 Metabolomics was first proposed by Nicholson Lindon and Holmes in 1999.
78 Metabolomics is a newly developed discipline after genomics, and it is an important part of
79 systems biology[4, 5]. Metabolomics is a new discipline that simultaneously conducts
80 qualitative and quantitative analysis of all low molecular weight (relative molecular weight
81 less than 1000 Da) metabolites of a certain organism or cell in a specific physiological and

82 pathological period. The object of metabolomics research is the endogenous metabolites of
83 small molecules in the body. Through high-throughput qualitative and quantitative analysis,
84 we can understand the changes in metabolites in the body. Changes in any physiological,
85 pathological or other factors in the body will cause its metabolic level has changed. Through
86 metabolomics research, the impact of various factors on the body can be analyzed at the
87 overall level, which truly reflects the impact of the body[6]. The uniqueness of metabolomics
88 is that it can reflect the changes currently taking place in the body in real time. Therefore,
89 metabolomics is a powerful tool to reveal the dynamic changes closest to the phenotype[7].
90 Small changes in genes and proteins can be amplified at the metabolic level. Potential
91 biomarkers related to the course of the disease can be screened based on differences in
92 substance types and different concentrations of the same substance. In the past few decades,
93 metabolomics has become the study of metabolic processes, identifying potential biomarkers
94 and revealing A powerful tool for metabolic changes in various diseases [8-11].The screening
95 biomarkers play an important role in measuring disease status[12], monitoring drug
96 sensitivity[13], and physiological status[14].

97 Metabolic changes in tumors are usually manifested as: (1)Enhancement of aerobic
98 glycolysis process, leading to glucose carbon being directed to lactic acid and nuclmeotides;
99 (2)Anabolism enhancement of amino acids and lipids, which related to the interruption of the
100 tricarboxylic acid cycle and the increased use of glutamine as a carbon source (increased
101 glutamine uptake and catabolism); (3)Enhancement of the tumor-induced pentose phosphate
102 pathway which increased the cycle of reduced coenzyme II to protect cell from oxidative
103 stress; (4)Consumption and uptake of glucose.

104 In this present work, we characterized the metabolic features of GCA using a
105 nontargeted metabolic profiling strategy based on liquid chromatography-mass spectrometry
106 metabolomics analysis, and a two-phase biomarker development strategy (discovery set and
107 validation set) was applied in 864 subjects including to clinically relevant controls, and
108 univariate statistical analysis and multivariate analysis (MVA) methods were used to identify
109 differential metabolites. The serum of 276 curatively resected GCA patients and 588 healthy
110 people were collected to discover metabolic dysregulation, and a technique was used to
111 establish a novel diagnostic tool. Joint pathway analysis with metabolites identified relevant
112 metabolic pathways and detection biomarkers for GCA.

113 **Materials and methods**

114 **Study participates**

115 In this study, 276 GCA patients and 588 non-GCA participants (control) were collected.
116 The GCA patients were diagnosed between 1987 to 2020 and followed up to 2020. We
117 divided the study cohort into training set (138 GCA participates and 363 control participates)
118 and validation set (138 GCA participates and 225 control participates), respectively. Entry
119 criteria for the experimental participates: ①The pathological type was clearly diagnosed by
120 histopathology; ②The pathological type was cardia adenocarcinoma; ③The age of GCA
121 participates were from 18 to 90 years old; ④None of the participates had received
122 chemotherapy, radiotherapy and other anti-tumor treatments before the examination; ⑤
123 Clinical stage T1-4N1M0/T4N0M0 (stage IIIA or IIIB), no distant metastasis; ⑥No other
124 malignant tumors; ⑦No other metabolic diseases; ⑧No symptoms of co-infection, such as
125 fever, elevated blood picture, etc. Conditions for entry into the control group: ①18 to 90
126 years old; ②Without malignant tumors or other metabolic diseases.

127 The serum metabolites of GCA and the non-GCA (control) participants were explored
128 through metabolomics strategy based on ultra-performance high liquid chromatography-mass
129 spectrometry (UPLC-MS)(QTRAP®, <https://sciex.com/>). Differential metabolites, metabolic
130 pathways and correlation networks (KEGG) were investigated and their potential for use in
131 GCA early detection were investigated.

132 For the metabolites qualitative and relative quantitative analysis, UPLC-MS was used,
133 and the chromatographic grade acetonitrile and formic acid were purchased from Merck
134 during the measurement process. Ultra-water was filtered by Mili-Q system. Analytical
135 metabolites were purchased from Sigma-Aldrich.

136 **Metabolomics preparation and analysis**

137 **Blood sample**

138 Serum collection in the morning on an empty stomach, peripheral venous blood was
139 collected at 7:00 am; blood samples were collected in a centrifuge tube, and left standing at
140 37°C or room temperature for 1 hour for stratification; centrifuged at 3,000 r/min (r=8 cm) at
141 room temperature for 10 min, and taken. Transfer the supernatant to a clean centrifuge tube;
142 centrifuge at 12,000 r/min (r=8 cm) at 4 °C for 10 min, transfer the supernatant to 1.5 mL
143 centrifuge tubes, 0.2 mL per tube. Store in the refrigerator at -80 °C, and transport in dry ice.

144 The GCA and non-GCA (control) blood samples were prepared on the ice in subsequent

145 follow-up treatment. Firstly, vortexed for 10s for sufficient mixing, after taking out from -
146 80°C refrigerator and thoroughly dissolving out. Secondly, 300 μ L chromatographic grade
147 methanol was added into 50 μ L blood sample in numbered centrifuge tube, and then vortexed
148 for 3 min for adequately dissolution of metabolites. The extraction blood samples were
149 centrifuged 10 min with the rate of 12,000 r/min. Thirdly, the 200 μ L supernatant was
150 separated into another numbered centrifuge tube, and then centrifuged 3 min with the rate of
151 12,000 r/min after 30 min 4°C after stored 30 min -20°C. Finally, 150 μ L supernatant was
152 analyzed by UPLC-MS.

153 **UPLC-MS condition**

154 The serum metabolites compounds were analyzed by ultraperformance liquid
155 chromatography (ExionLC AD, <https://sciex.com.cn/>)-mass spectrometry(<https://sciex.com/>)
156 (SCIEX, USA), which were included section 1 of HPLC conditions and section 2 of ESI-
157 QTRAP-MS/MS.

158 Section 1: Mobile phase A was ultra-water (0.1% chromatographic formic acid), and
159 mobile phase B was chromatographic acetonitrile (0.1% chromatographic formic acid). 2 μ L
160 of the 15 μ L supernatant mentioned above was injected into the detector and separated by
161 Water ACQUITY UPLC HSS T3 C18 chromatographic column (1.8 μ m, 2.1 mm \times 100 mm)
162 in 40 °C with the flow rate of 0.4 mL/min. The phase A elution condition decreased from
163 95% to 10% from 0 min to 10 min, and then changed to 95% in 11.1 min immediately and
164 maintaining 3 min.

165 Section 2: The analysis was performed in positive and negative ion mode. ESI source
166 parameters were as follows: capillary voltage-4,500 V (negative) and 5,500 V (positive);
167 capillary temperature 500 °C; Sheath and Auxiliary Gas flow (N₂), 55 psi (GS I) and 60 psi
168 (GS II); Sweep gas 25 psi; Instrument tuning and mass calibration were performed with 10
169 and 100 μ mol/L polypropylene glycol solutions in QQQ and LIT modes, respectively. A
170 specific set of MRM transitions were monitored for each period according to the metabolites
171 eluted within this period.

172 Xcalibur software version 2.1 was used for instrument control, data acquisition and data
173 analysis.

174 **Analysis method**

175 **Metabolomics analysis**

176 Unsupervised PCA (principal component analysis) was performed by statistics function
177 prcomp within R(www.r-project.org). The data was unit variance scaled before unsupervised
178 PCA. The data set was analysed with pattern-recognition methods using the software package
179 Simca version 14.1 (Umetrics AB, Umeå, Sweden). The response variables were centred and
180 scaled to Pareto variance, and the base weight was computed as 1/square root (standard
181 deviation of the response variables). Moreover, to normalize the skewed distributions, log
182 transformations were used for non-linear conversions of the data. To eliminate the effect of
183 inter-subject variability and to identify endogenous metabolites that contributed significantly
184 to the classification, linear combinations of X variables orthogonal to the Y vector were
185 removed by orthogonal projections to latent structures (OPLS)-discriminant analysis (DA)[15,
186 16].

187 **Hierarchical cluster analysis with TNM stage**

188 The HCA (hierarchical cluster analysis) results of samples and metabolites were
189 presented as heatmaps with dendrograms and carried out by R package Complex Heatmap.
190 For HCA, normalized signal intensities of metabolites (unit variance scaling) are visualized
191 as a color spectrum.

192 The differential metabolites of interest were screened using the following rules: features
193 that meet the following conditions are removed[17]. (1) The t-test results, fold change (FC)
194 and p value of the QC samples of all features were $0.67 < FC < 1.5$, respectively; (2) The
195 isotopic ions of the features; (3) Some different adduct ions which represent the same
196 metabolite, and the adduct ion with the highest intensity compared with other adduct ions
197 should be retained; (4) The features with such a low intensity that lack the MS/MS fragments
198 information supplied by the information dependent acquisition (IDA) explorer function in the
199 PeakView 2.2 software. (5) The feature with such a poor peak shape that led to inaccurate
200 quantification. In the analysis results of the lower phase, the differential metabolites of
201 interest screened in the negative mode could also be found in the positive mode with
202 relatively high intensity. The metabolites of the upper phase in the negative mode were
203 mainly some fatty acids without differential results. From the above description, 17
204 differential metabolites of interest were screened out including to 1,7-Dimethylxanthine, 18 β -
205 Glycyrrhetic acid, 3-Indolepropionic Acid, 4-Hydroxy-3-methoxybenzaldehyde, 4-
206 Hydroxytryptamine, 5(S),15(S)-DiHETE [5S,15S-dihydroxy-6E,8Z,10Z,13E-
207 eicosatetraenoic acid], Bis(1-inositol) -3,1'-phosphate 1-phosphate, Coniferyl acetate,

208 Ginkgoic acid, Glycine deoxycholic acid, Leu-Val, L-Valyl-L-phenylalanine, Prostaglandin
209 E2, Pyridoxal, Theophylline, Trans-3-Hydroxycotinine, Valyl-leucine.

210 **Differential metabolites selected**

211 Significantly regulated metabolites between groups were determined by absolute
212 Log₂FC (fold change) ≥ 1 . The data was log transform (log₂) and meancentering before
213 OPLS-DA. In order to avoid overfitting, a permutation test (200 permutations) was
214 performed. Establishing a diagnostic model to predict the presence of GCA through the
215 distinct metabolic profile was difficult because of the high-dimensional dataset. To improve
216 the prediction of cachexia, random forest analysis was performed to determine whether the
217 metabolic data could successfully differentiate the two groups. Then, a forward stepwise
218 logistic regression model was constructed on the training sample set to design the best
219 metabolite combination. Receiver Operating characteristic (ROC) curves were used to
220 evaluate the accuracy of this model in the validation sets following the DeLong method. The
221 global performance of each biomarker model was evaluated using the Area under the curve
222 (AUC) and the determination of sensitivity and specificity at the optimal cut-off point defined
223 by the minimum distance to the top-left corner.

224 **KEGG annotation and enrichment analysis**

225 Identified metabolites were annotated using KEGG compound database
226 (<http://www.kegg.jp/kegg/compound/>), annotated metabolites were then mapped to KEGG
227 pathway database (<http://www.kegg.jp/kegg/pathway.html>). Pathways with significantly
228 regulated metabolites mapped to were then fed into MSEA (metabolite sets enrichment
229 analysis), their significance was determined by hypergeometric test's p-values.

230 **Follow-up**

231 The follow-up of this present study was mainly carried out through telephone, home visit
232 and direct contact between village doctors and patients or patients' families, or through
233 system query methods such as new cooperative medical database, Medical Security Bureau
234 database and citizen death information registration management. The follow-up is carried out
235 once a year to record the time and main cause of death. This study was follow-up until
236 January 25, 2021.

237 **Data analysis**

238 The raw data were aligned, deconvoluted, and normalized (sum of total area) using the
239 MarkerView™ Software 1.3 (SCIEX). The retention time (RT) was from 0.5 min to 14 min.

240 The mass and RT tolerance values were set to 10 ppm and 0.15 minutes, respectively. After
241 the 80% rule was used to treat the missing values for each sample group, a list of the
242 intensities for each detected peak was generated, using retention time and the mass-to-charge
243 (m/z) ratio data pairs as the parameters for each ion. Thus, each spectral feature was
244 represented by a unique m/z, retention time, and peak area. After the data preprocessing, the
245 resulting 2-dimensional data matrix (pareto scaled) was subjected to MVA using the SIMCA-
246 P software (version 14.1, Umetrics AB, Umea, Sweden). Principal component analysis (PCA)
247 was used to visualize system stability of the system and sample distribution. The orthogonal
248 partial least squares discriminant analysis (OPLS-DA) was used to identify the variables
249 responsible for the discrimination. The "goodness of fit" and predictive power of the model
250 were evaluated using R^2Y (sum) and Q^2 (sum), respectively. A 200-times permutation test
251 was performed to evaluate the risk of model overfitting. Additionally, the Student's t-test was
252 applied to measure the significance of each variable. To remove any p-values (up to a 95 %
253 confidence) that could have been false positives, the resultant p values for each metabolite
254 were corrected by Bonferroni correction. Volcano plot, S-plot, and Venn diagram depictions
255 were used to filter important variables that displayed statistical significance (adjusted $p <$
256 0.05), significant fold changes ($FC \geq 1.25$ or ≤ 0.8) between the two groups. The Formula
257 Finder algorithm was used to identify potential differential metabolites and generate a group
258 of probable formulas on an unknown ion based on the secondary fragment information, mass
259 error, and isotope distribution patterns. Subsequently, the HMDB, METLIN, MoNA, and
260 KEGG databases were browsed for these candidates, and the final decisions about possible
261 structures and final biomarkers were based on the obtained MS/MS spectra. Statistical
262 analyses were performed using the SPSS software version 21.0 (IBM Corp., Armonk, New
263 York). By step wise regression analysis, the factors with significant influence were selected
264 as independent variables, and an "optimal" regression equation was established to find
265 potential biomarkers for distinguishing between the GCA group and control groups. Logistic
266 regression analysis and receiver operating characteristic (ROC) analysis were used for the
267 diagnosis of ESCC and HC. The area under the receiver-operating characteristic curves
268 (AUROC) was calculated by SPSS to evaluate the predictive performance of the constructed
269 signatures in both the training and validation sets. The Youden index (J) was used as the best
270 threshold to select the optimal cut-point that maximized its value[22]. A heat map of the
271 identified key metabolites was drawn by the pheatmap package (R version 3.3.0). Open

272 database sources, including the KEGG and MetaboAnalyst, were used to identify metabolic
273 pathways. By this analysis, several dysregulated metabolic pathways associated with the
274 development of ESCC were uncovered.
275

276 **Results**

277 **Demographic characteristics of the study population**

278 A total of 864 participants, consisting of 588 healthy volunteers, 276 patients with GCA
279 from 2 independent cohorts were recruited (Fig. 1A). The discovery set included 363 healthy
280 volunteers, 138 patients with GCA, and the validation set included 255 healthy volunteers
281 and 138 patients with GCA. The GCA participants were enrolled without any
282 chemoradiotherapy record, and healthy participants without any history of esophagus and
283 cardia diseases. More than half of the discovery set GCA participants were collected from the
284 Anyang cancer hospital, and most of the others were collected from the Henan regional
285 hospitals, and the validation cohort of 138 GCA patients were collected from the Anyang
286 cancer hospital, Linzhou people's hospital and Linzhou cancer hospital, with the detail
287 information showing in supplementary TableS1. Written informed consent was obtained from
288 each participant. The study was approved by the ethics committee of each cohort. The
289 diagnosis was confirmed with histopathology.

290 The univariate analysis was carried out with the categorical and continuous variables of
291 gender, age, family history, city/village, low/high incidence area, smoking, drinking, family
292 history, BMI stage, blood group, degree of differentiation, lymph node metastasis, T stage,
293 TNM stage, outcome variables of GCA and healthy controls subjects. And the clinical
294 characteristics of the participants with the univariate analysis results are presented in Table 1.
295 As we observed in the discovery group that the BMI stage is the important factor for the
296 cancerous of cardia, and the age, high/low incidence area, city/village, smoking, TNM stage
297 in the validation group.

298 **Differential metabolites between GCA and DNT**

299 The principal component analysis of this phase showed differences among samples from
300 normal healthy controls and GCA participants (Fig. 1B), and no significant outliers were
301 observed, which indicated that the stability of the analysis data is good. A PCA was
302 performed on all plasma sample data. The best separation of groups was obtained in the
303 principal components (PC) 1 and 2, which accounted for 15.3% and 6.0% of the whole
304 variance of the data set, respectively. The two sets showed a major overlap but samples from
305 GCA patients had a tendency towards lower scores in PC1, which was remarkable for a
306 heterogeneous cohort with high inter individual variability due to diverse lifestyles,
307 medications and comorbidities. In the PCA obtained in the second validation study, GCA

308 patients were added as another diagnosis group in addition to the test set (Fig. 3B). The best
309 separation between the GCA and control groups was again observed in PC1 and PC2 (25.3%
310 and 8.1% of the observed variance), which means that the special metabolites could clearly
311 separate GCA from normal. Remarkably, an almost complete separation of the control group
312 from the other two could be observed. The GCA patients tended to have higher scores in the
313 PC2 than the liver cirrhosis patients, resulting in a visible separation between these groups.

314 We conducted test set and validation set simultaneously, and they were done this to
315 show that the metabolomic profiles and distribution of the GCA versus control group patients
316 in the test and validation set. And in conclusion, we observed that the similar separation
317 between the two sets, which demonstrated that the two sets were actually comparable,
318 although conducted independently. The two PCA of the test set and validation set were a
319 good overview on how the metabolic profile of the GCA patients relate the metabolic profiles
320 of GCA patients and controls.

321 Subsequently, the orthogonal partial least squares discriminant analysis shows
322 significant separations of healthy from GCA participants, GCA participants were distributed
323 in negative quadrant, and the healthy control were distributed in positive quadrant, which
324 showed obvious difference between GCA and healthy control. The R²_Y and Q² were
325 significant fitting parameters which showed the fitting effect of OPLS-DA model. R²_Y was
326 indicated the ratio of the explainable variation to the total variation in the OPLS-DA model,
327 and the Q² refers to the ratio of the predictable variation in the OPLS-DA model to the total
328 variation. And the R²_Y and Q² of the OPLS-DA model were 0.349 and -0.303, which
329 indicated that 34.9% of variation for the OPLS-DA model is explainable, and 30.3% of
330 variation for the OPLS-DA model can be explained. In conclusion, the metabolic profile
331 existed significant difference between GCA and healthy control.

332 The representative total chromatograms for healthy and GCA participants are shown in
333 Fig. 1C. A total of 903 metabolites were detected in both positive and negative electrospray
334 ionization (ESI+ and ESI-) modes with 788 and 801 metabolites for discovery set and
335 validation set, respectively. The differential metabolites biomarker candidates were satisfied
336 with the criterion of $p < 0.05$ and $(FC) \geq 1.25$ or $FC \leq 0.8$. Hence, 501, 609 differential
337 metabolites were identified from the sets of healthy vs GCA for discovery set and validation
338 set, respectively, with 34 and 166 differential metabolites for upregulated and down regulated,
339 respectively. Importantly, 25 differential metabolites (shown in Table 2) including 11 up-

340 regulated and 14down-regulated metabolites were significantly altered in the two sets. 11up-
341 regulated metabolites were 4-Guanidinobutyric Acid, N1-Acetylspermine, Acetylcholine,
342 All-Trans-13,14-Dihydroretinol, L-2-Aminobutyric acid, 2-Hydroxybutanoic Acid, Capric
343 Acid(C10:0), 19(S)-HETE, 4-Pyridoxic Acid, L-Fucose, Malonic acid, 3-Hydroxybutyrate.
344 And 14down-regulated metabolites were 12,13-DiHOME, Aldosterone, 3-Indolepropionic
345 Acid, Glycochenodeoxycholic Acid, L-Proline, Glycine deoxycholic acid, Trans-3-
346 Hydroxycotinine, Prostaglandin E2, 7-ketolithocholic acid, 12-ketolithocholic acid,
347 Hododeoxycholic acid, L-Histidine, Sphingosine, 4-Hydroxytryptamine.

348 **Metabolomic profile of matched early and middle-late stage of GCA**

349 Heatmapof serum metabolomic profile showed in Fig. 2, which indicated that each
350 metabolite was normalized by dividing by the average of control samples. Samples colored in
351 red to white to blue scheme indicate relatively higher, average, and lower concentrations,
352 respectively. Only metabolites showing a significant difference in $FC > 1.5$ or $FC < 0.67$ were
353 used. These metabolites were clustered using Pearson correlation. 17 metabolites showed
354 significantly higher average concentrations consistently in CCA serum. (Raw data showed in
355 Supplementary Tables S2 for discovery set and validation set). Moreover, there were
356 significant difference between the early and middle-late stage group in different pathological
357 stage.

358 **Established the biomarker diagnostic model**

359 From the 200 metabolites, 12 down-regulated differential metabolites significantly
360 related with survival were selected, including to 9,10-DiHOME [(±)9,10-dihydroxy-12Z-
361 octadecenoic acid], 12,13-DiHOME, Aldosterone, 9-HpODE, 12,13-EpOME
362 [(±)12(13)epoxy-9Z-octadecenoic acid], 9,10-EpOME [(±)9,10-epoxy-12Z-octadecenoic
363 acid], Lysope 18:2 (2N Isomer), 2-Hydroxycaprylic acid, 23-deoxydeoxycholic acid, 7-
364 ketolithocholic acid, 12-ketolithocholic acid, RvE1 [5S,12R,18R-trihydroxy-
365 6Z,8E,10E,14Z,16E-eicosapentaenoic acid]. And we found that the 6/12 were oxidized lipids,
366 including to the 9,10-DiHOME [(±)9,10-dihydroxy-12Z-octadecenoic acid], 12,13-DiHOME,
367 9-HpODE, 12,13-EpOME [(±)12(13)epoxy-9Z-octadecenoic acid], 9,10-EpOME [(±)9,10-
368 epoxy-12Z-octadecenoic acid], RvE1 [5S,12R,18R-trihydroxy-6Z,8E,10E,14Z,16E-
369 eicosapentaenoic acid]. 3/12 differential metabolites were bile acids, and 1/12 differential
370 metabolite (aldosterone) was ketones, and 1/12 differential metabolite (Lysope 18:2 (2N
371 Isomer) was lysophatidylethanolamine, and 1/12 differential metabolite (2-Hydroxycaprylic

372 acid) was organic acid and its derivatives.

373 Based on the binary logistic regression model, 9 different metabolites of 12-
374 ketolithocholic acid, 2-Hydroxybutanoic acid, Aldosterone, All-trans-13,14-dihydroretinol,
375 Hododeoxycholic acid, L-histidine, Malonic acid, Prostaglandin E2, and Sphingosine were
376 identified as potential metabolic markers for distinguishing the GCA and healthy control. The
377 panel including to the up-regulated metabolites of 2-Hydroxybutanoic acid (AUC=0.852;
378 P=0.000; 95%CI 0.823-0.831), All-trans-3,14-dihydroretinol (AUC=0.642; P=0.000;95%CI
379 0.604-0.681), and Malonic acid (AUC=0.593; P=0.000;95%CI 0.549-0.636), and the down-
380 regulated metabolites of 12-ketolithocholic acid (AUC=0.288; P=0.000; 95%CI 0.253-0.322),
381 Aldosterone (AUC=0.263; P=0.000; 95%CI 0.227-0.298), Hododeoxycholic acid
382 (AUC=0.139; P=0.000;95%CI 0.111-0.167), L-histidine (AUC=0.358; P=0.000; 95%CI
383 0.318-0.398), Prostagl and in E2 (AUC=0.224; P=0.000; 95%CI 0.193-0.256), and
384 Sphingosine (AUC=0.271; P=0.000; 95%CI 0.235-0.307), showed the AUC of 0.976,
385 sensitivity of 0.913, and specificity of 0.027, with the optimal cut off value of 0.470 showed
386 in Fig. 2A.

387 As we mentioned above, we found 3 potential metabolites (2-Hydroxybutanoic acid,
388 All-trans-13,14-dihydroretinol, and Malonic acid) with AUC above 0.5 with P-value below
389 0.05, which indicated the good diagnostic character of the 3 metabolites. The panel including
390 to the up-regulated metabolites of 2-Hydroxybutanoic acid (AUC=0.852; P=0.000; 95%CI
391 0.823-0.831), All-trans-3,14-dihydroretinol (AUC=0.642; P=0.000; 95%CI 0.604-0.681), and
392 Malonic acid (AUC=0.593; P=0.000; 95%CI 0.549-0.636) showed the AUC of 0.866,
393 sensitivity of 0.913, 95% CI of 0.839-0.893, and specificity of 0.027, with the optimal cut off
394 value of 0.470 showed in Fig. 2B.

395 **Differential metabolites for survival analysis**

396 We obtained 12 metabolites including to 9,10-DiHOME [(±)9,10-dihydroxy-12Z-
397 octadecenoic acid], 12,13-DiHOME, Aldosterone, 9-HpODE, 12,13-EpOME
398 [(±)12(13)epoxy-9Z-octadecenoic acid], 9,10-EpOME [(±)9,10-Epoxy-12Z-octadecenoic
399 acid], Lysope 18:2 (2N Isomer), 2-Hydroxycaprylic acid, 23-deoxydeoxycholic acid, 7-
400 ketolithocholic acid, 12-ketolithocholic acid, and RvE1 [5S,12R,18R-trihydroxy-
401 6Z,8E,10E,14Z,16E-eicosapentaenoic acid] were significant associated with survival, and
402 excretion of exogenous substances, we finally obtained 7 biomarkers of 12,13-DiHOME,
403 Aldosterone, 12,13-EpOME [(±)12(13)epoxy-9Z-octadecenoic acid], Lysope 18:2 (2N

404 Isomer), 23-Deoxydeoxycholic acid, 7-Ketolithocholic acid, and 12-Ketolithocholic acid,
405 which were showed significant association with survival, and the results were showed in Fig.
406 4.

407 **Metabolic pathway analysis**

408 To gain a deeper understanding of the biological significance of the potential biomarkers
409 identified in the present study, KEGG database was used for metabolic pathway analysis.
410 Perturbed metabolic pathways were mainly related to: ① alanine, aspartate and glutamate
411 metabolism, ② arginine biosynthesis, ③ phenylalanine, tyrosine and tryptophan biosynthesis,
412 and ④ Vitamin B6 metabolism. These pathways are mainly associated with energy
413 metabolism, inflammatory reactions and immune responses.

414 It is worth noting that eight metabolites of N-Acetylaspartate (up-regulated), L-Aspartic
415 Acid (down-regulated), L-Alanine (down-regulated), L-Glutamic Acid (down-regulated), L-
416 Asparagine Anhydrous (down-regulated), Argininosuccinic acid (up-regulated), N-
417 Carbamoyl-L-aspartate (up-regulated), and L-Glutamine (down-regulated) were collected
418 in ① alanine, aspartate and glutamate metabolism, and three metabolites of L-Glutamine (up-
419 regulated), Argininosuccinic acid (up-regulated), and N-Acetylornithine (down-regulated)
420 were collected in ② arginine biosynthesis, in addition, the L-Tyrosine (down-regulated), 4-
421 Pyridoxic Acid (up-regulated) were collected in ③ phenylalanine, tyrosine and tryptophan
422 biosynthesis, and ④ Vitamin B6 metabolism, respectively.

423 For the ① Alanine, aspartate and glutamate metabolism pathway, GCA patients and
424 healthy control serum both collected 8 metabolites with the same regulated trend for
425 discovery set and validation set, and 5 of 8 metabolites were down-regulated in GCA patients
426 compared with healthy controls, which indicated in detailly that the 5 amino acids of L-
427 Aspartic Acid, L-Alanine, L-Glutamic Acid, L-Asparagine Anhydrous, and L-Glutamine
428 biosynthesis pathway were restrained, and 3 of 8 metabolites were up-regulated in GCA
429 patients compared with healthy controls, which indicated that the 3 metabolites biosynthesis
430 pathway of N-Acetylaspartate, Argininosuccinic acid, and N-Carbamoyl-L-aspartate were
431 activated.

432 For the ② Arginine biosynthesis pathway, GCA patients and healthy control serum both
433 collected 3 metabolites with the same regulated trend for discovery set and validation set, and
434 2 of 3 metabolites of were up-regulated in GCA patients compared with healthy controls,

435 which indicated in detailly that the amino acid of L-Glutamine and organic acid of
436 Argininosuccinic acid biosynthesis pathway were activated, and 1 of 3 metabolites were
437 down-regulated in GCA patients compared with healthy controls, which indicated that the 1
438 metabolites biosynthesis pathway of N-Acetylornithine were restrained. Citrulline, one kind
439 of α -amino acid, which is abundant in watermelon. It is the precursor of arginine and nitric
440 oxide. It mainly participates in the ornithine cycle in the body. The current research on
441 citrulline mainly focuses on immune diseases such as rheumatoid arthritis[18]. Barza et al.
442 [19]found that plasma citrulline level is one of the potential non-invasive biomarkers of
443 whether the gastrointestinal mucosa is damaged. Citrulline in the body comes from the
444 glutamate pathway and the proline pathway. The synthesis of proline in the body includes the
445 glutamate pathway and the ornithine pathway, in which glutamate is mainly derived from the
446 citric acid cycle. Proline is mainly involved in collagen synthesis in the body[20]. In the
447 study of colon cancer, proline can induce the production of hypoxia inducible factor-1 α (HIF-
448 1 α), thereby promoting angiogenesis[21]. Arginine is an essential amino acid for the human
449 body and participates in a variety of life activities. It is the precursor of nitric oxide,
450 polyamines, proline, creatinine and glutamic acid. It is also a key amino acid in the ornithine
451 cycle. Studies have shown that tumor cells lose the ability to independently synthesize
452 arginine, so arginine depletion may be a target for the treatment of tumors[22]. There are
453 currently 5 methods for depleting arginase. Considering the effectiveness, immunogenicity,
454 stability and potential by-products of arginine depletion, only modified arginase and arginine
455 deiminase are used. Applied to the treatment of related tumors [23]. Lohavanichbutr et al. [24]
456 analyzed 101 oral squamous cell carcinoma (OSCC) patients and 35 normal human saliva
457 samples by nuclear magnetic resonance spectroscopy, liquid chromatography-tandem mass
458 spectrometry, etc. The results showed that glycine, proline, and ornithine in the saliva of
459 early OSCC patients. The levels of four potential biomarkers such as citrulline and citrulline
460 are lower than those of normal people, and the decrease of citrulline content is contrary to the
461 results of this experimental study. This study found that the citrulline content in OSCC
462 tissues was significantly increased, suggesting that the catabolism of proline and arginine in
463 OSCC is enhanced, so citrulline, glutamate cycle, arginine and proline metabolism are in the
464 development of OSCC. The role of citrulline is for further study.

465 For ③ phenylalanine, tyrosine and tryptophan biosynthesis pathway, GCA patients and
466 healthy control serum both collected 1 metabolites of L-Tyrosine with the same regulated

467 trend for discovery set and validation set, which was down-regulated in GCA patients
468 compared with healthy controls, and it was indicated that the L-Tyrosine biosynthesis
469 pathway was restrained.

470 ④ Vitamin B6 metabolism is one important metabolic pathway for human beings, in
471 this present work, GCA patients and healthy control serum both collected 1 metabolites of 4-
472 Pyridoxic Acid with the same regulated trend for discovery set, which was up-regulated in
473 GCA patients compared with healthy controls, and it was indicated that the 4-Pyridoxic Acid
474 biosynthesis pathway was activated.

475 We totally collected 53 metabolites for the metabolic pathway analysis, and 12
476 metabolites were collected with the same regulated trend, and 9 amino acids of 12
477 metabolites with 3 up-regulated and 6 down-regulated. And the other 3 metabolites were
478 organic acid with 2 up-regulated and 3 down-regulated.

479 **Discussion**

480 The nature of malignant tumors is a genetic disease, and also a metabolic disease. Its
481 occurrence, development, sensitivity to radiotherapy and chemotherapy, and toxic side effects
482 are also caused by genetic abnormalities, but from genetic abnormalities to differences in
483 functional performance, experience. The extremely complex biochemical process is mainly
484 the result of the joint action of the individual patient, anti-tumor therapy and the tumor itself.
485 To study the occurrence and development of tumors based on metabolomics has the
486 advantages of objectiveness, accuracy, efficiency and directness. At present, early diagnosis
487 of breast cancer, liver cancer, colorectal cancer, pancreatic cancer and other applied
488 metabolomics has been studied. From this point of view, GCA should also have its own
489 unique metabolic characteristics, which can be used to reveal the internal physiological and
490 biochemical processes of its tumors, and may even further push back to the abnormality of
491 gene regulation.

492 In this study, a cohort of 864 participants were enrolled that included healthy controls
493 (n=588) and GCA participants (n=276) from 2 cohorts (discovery set and validation set). This
494 study attempts to compare the differences in serum metabolomics between patients with
495 cardia cancer and normal people, and to look for specific serum metabolites of cardia cancer,
496 to provide clues for understanding the occurrence and development and early diagnosis of
497 cardia cancer, as well as the sensitivity of radiotherapy and chemotherapy for cardia cancer in
498 the next step. The evaluation provides the experimental basis. At present, there have been

499 many metabolomics researches on gastric cardia cancer, but the research design is very
500 different, the specimens used are different, the detection methods and conditions used are not
501 uniform, so the results are very different and the experiment reproducibility is poor.

502 We find no reports have been analyzed the serum metabolomics about GCA, however,
503 owing to the sister cancer relationship between GCA and EAC, we could learn a lot from the
504 EAC reports. Some previous metabolomics studies based on nuclear magnetic resonance
505 spectroscopy [25, 26] showed that the metabolite composition in the urine of esophageal
506 cancer patients is different from that in healthy controls, but the consistency of the different
507 metabolic components screened is poor. Some studies have tried to compare esophageal
508 cancer The correlation between tumor tissue and urine metabolism spectrum, to evaluate the
509 reliability of using urine as a test sample, the results show that there is a certain correlation
510 between the obvious metabolic characteristics and metabolic pathway disorders between the
511 patient's tumor tissue and urine, and urine metabolism. How does the change of
512 characteristics reflect the metabolism in esophageal tumor tissue, which may also undergo a
513 series of biochemical processes, still needs further research[27]. Due to the complexity of
514 biological samples encountered in metabolomics, in order to detect as many metabolites as
515 possible, mass spectrometer (MS) analysis is usually combined with separation techniques.
516 Common separation methods include gas chromatography (GC), liquid chromatography
517 (liquid chromatography, LC). Gas chromatography is suitable for the separation of
518 compounds with good thermal stability or volatility. Liquid chromatography-mass
519 spectrometry technology combines the high efficiency and rapid separation performance of
520 liquid chromatography with the high sensitivity and high specificity of mass spectrometry.
521 For complex biological samples such as polar, hard-to-volatile or macromolecular
522 compounds, It is an ideal choice for determination and analysis[28]. Therefore, this study still
523 uses LC-MS as the main detection technology. Sample preparation is a key step in
524 metabolomics. Therefore, a comprehensive and systematic screening strategy for tissue
525 preparation programs is very desirable. An author in my country has developed an
526 optimization and evaluation strategy based on LC-MS to screen different types of
527 endogenous metabolites (amino acids, carnitine, choline, etc.) with high extraction efficiency
528 and reproducible esophageal tissue preparation programs , And pay special attention to low-
529 level metabolites, and established a program with good stability, sensitivity and
530 reproducibility, called "stepwise addition of solvents and a homogenized wet tissue program"

531 (stepwise addition of solvents and a homogenized wet tissue). protocol, SWHW)[29]. It can
532 be seen that the sample selection and preparation process in the experiment is very important.

533 In this present work, we found 200 metabolites selected from the discovery set and
534 validation set from the statistical analysis based on metabolomics (Table 2S), we found 35
535 CAR (carnitines) metabolites with decreased metabolism during the process of canceration of
536 the cardiac. CAR compounds play an important role in energy metabolism, they mainly
537 participate in fatty acid metabolism in body to provide energy. Many functions of carnitine
538 have not been clearly elucidated, and many mechanisms regulating carnitine metabolism are
539 still unclear. Especially for the down-regulated metabolite of dodecylcarnitine, which may be
540 strongly related to the metabolic energy demand of tumors.

541 19 lysophosphatidylcholine (LPC) were selected from the 2 sets. The LPC is mainly
542 involved in the glycerophospholipid metabolism pathway. It is the product obtained by
543 phospholipid losing a molecular fatty acid bond under the action of phospholipase A1 or A2.
544 It has pro-inflammatory and promoting apoptosis and the role of cell membrane lysis. As an
545 endogenous metabolite with surface activity, LPC can transfer cytochrome C to the
546 cytoplasm by regulating the mitochondrial membrane potential, which can destroy the cell
547 membrane of various tissues and cause organ damage. Studies have shown that LPC can be
548 used as a diagnostic marker for alcoholic liver disease[30]and liver cancer[31]. Taylor et al.
549 [32]found that the concentration of LPC in cancer patients decreased, and the plasma
550 concentration of LPC was related to weight loss and inflammatory parameters, so it may be
551 an indicator of the severity of malignant diseases. However, the content of LPC decreases in
552 patients with ovarian cancer[33] and increases in patients with colon cancer[34]. The specific
553 mechanism needs to be further studied. The decrease in LPC content in this experiment may
554 be related to the active proliferation of OSCC cells and the increased anti-apoptotic activity.

555

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561 article. The control groups mentioned in this work were selected from our laboratory
562 database by the long term follow-up population in high incidence areas, and we thanks to
563 them because most of them were normal people during follow-up, less number of real cancer
564 was obtained finally.

565 **Author contributions:**

566 Lidong Wang conceived the original idea and designed the study. Mengxia Wei
567 prepared the draft of the study. Mengxia Wei and Panpan Wang contributed to the
568 experiments, data analysis and data interpretation. Lidong Wang revised the manuscript. The
569 other authors made great jobs for the data statistics and manuscript revising. All authors
570 agreed with the conclusion and approved the final version of manuscript.

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574 **Declarations**

575 **Ethics approval and consent to participate**

576 Ethical approval for the study was obtained from the Zhengzhou University Life Science
577 Ethics Review Committee

578 **Consent for publication**

579 Not applicable.

580 **Competing interests**

581 The authors declare that they have no competing interests.

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Figures

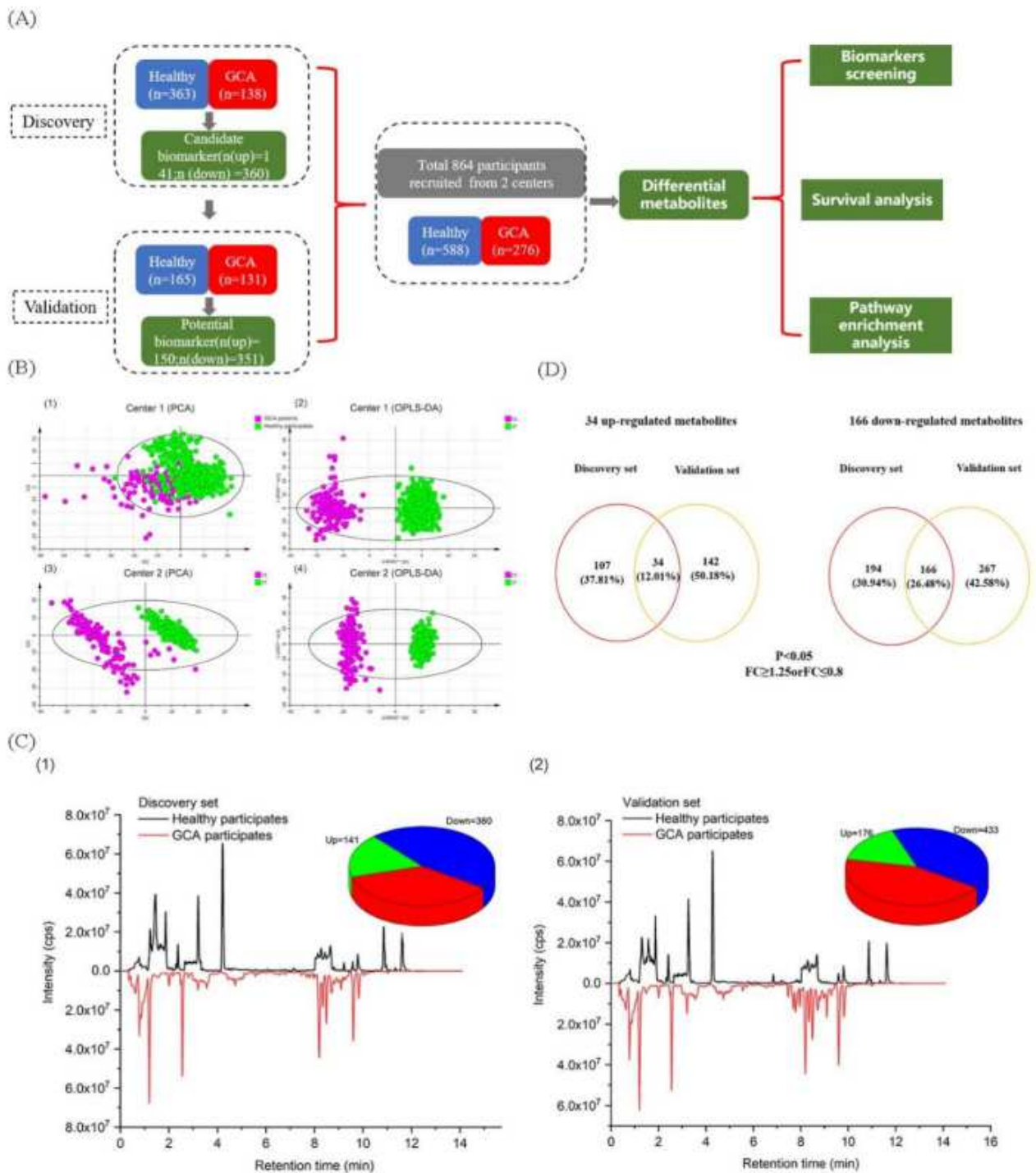


Figure 1

(A) Study design. There are a total of 864 participants, including 588 healthy volunteers, 276 GCA patients in the discovery and validation sets. (B). Score plots of principal component analysis based on the combined data of ESI+ and ESI- modes from the discovery set. (C) Total ion chromatograms of the discovery set and validation set for the healthy vs GCA groups. (The x-axis represents retention time, and

the y-axis represents the charge-to-mass ratio of the features. Each circle in the cloud plot represents 1 differential feature, and the circle size represents the relative concentration of the feature. Before differential metabolite analysis, first perform principal component analysis on the grouped samples for difference comparison, and observe the degree of variability between the difference groups and the samples within the group.) (D). Venn diagram displaying the 200 differential metabolites that were altered as biomarker candidates from the 2 cohorts in the discovery set and validation set.

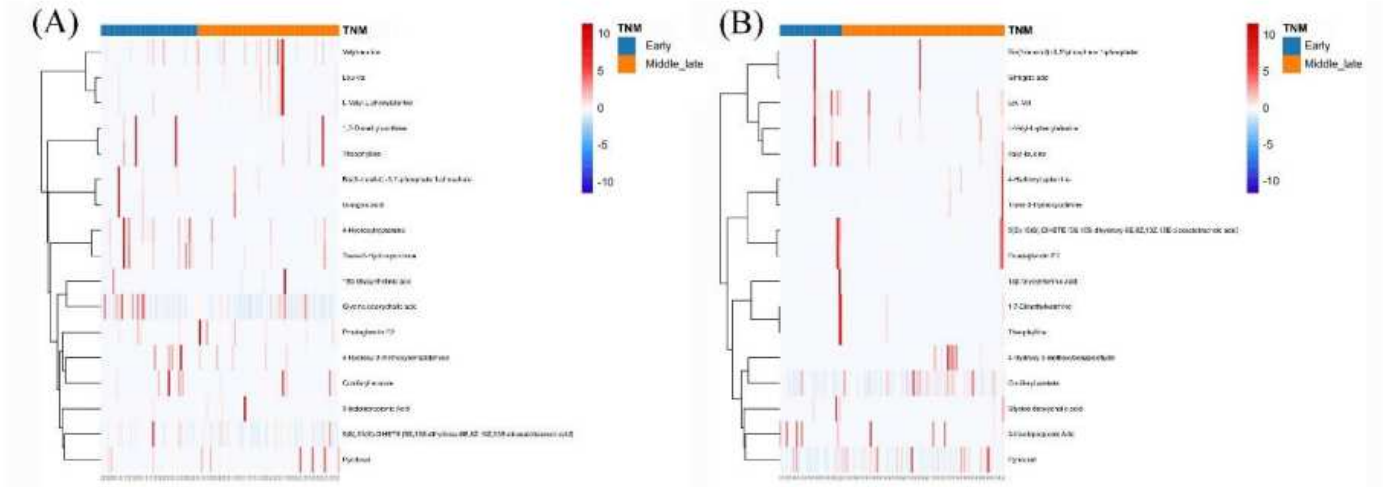
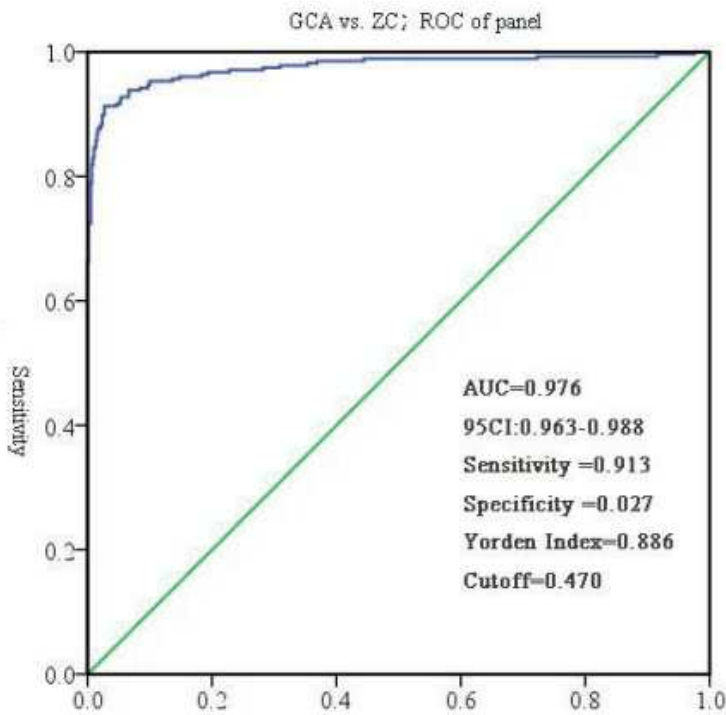


Figure 2

Metabolomic profile of matched early and middle-late stage of GCA for discovery set (A) and validation set (B).

(A)



(B)

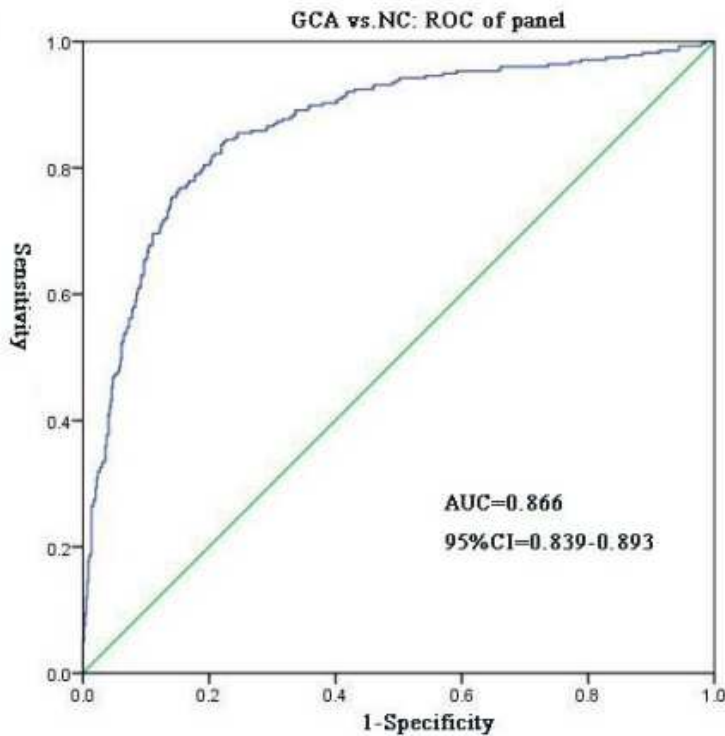


Figure 3

(A). GCA vs. NC. Roc of panel (9 different metabolites of 12-ketolithocholic acid, 2-Hydroxybutanoic Acid, Aldosterone, All-Trans-13,14-Dihydroretinol, Hododeoxycholic acid, L-Histidine, Malonic acid, Prostaglandin E2, and Sphingosine).(B). GCA vs. NC. Roc of panel (3 different metabolites of 2-Hydroxybutanoic Acid, All-Trans-13,14-Dihydroretinol, and Malonic acid)

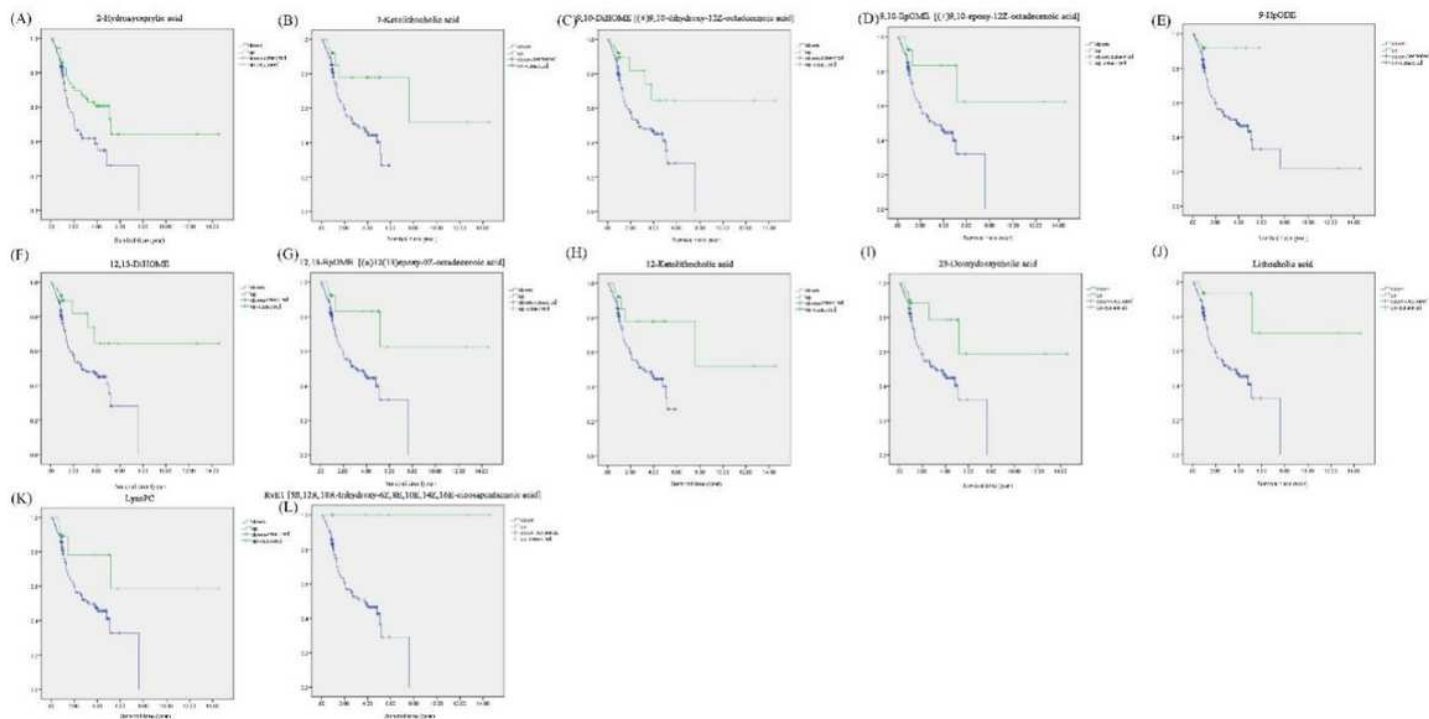


Figure 4

Survival analysis. We used the median of the metabolite in GCA as the cut-off value to set the up and down group.

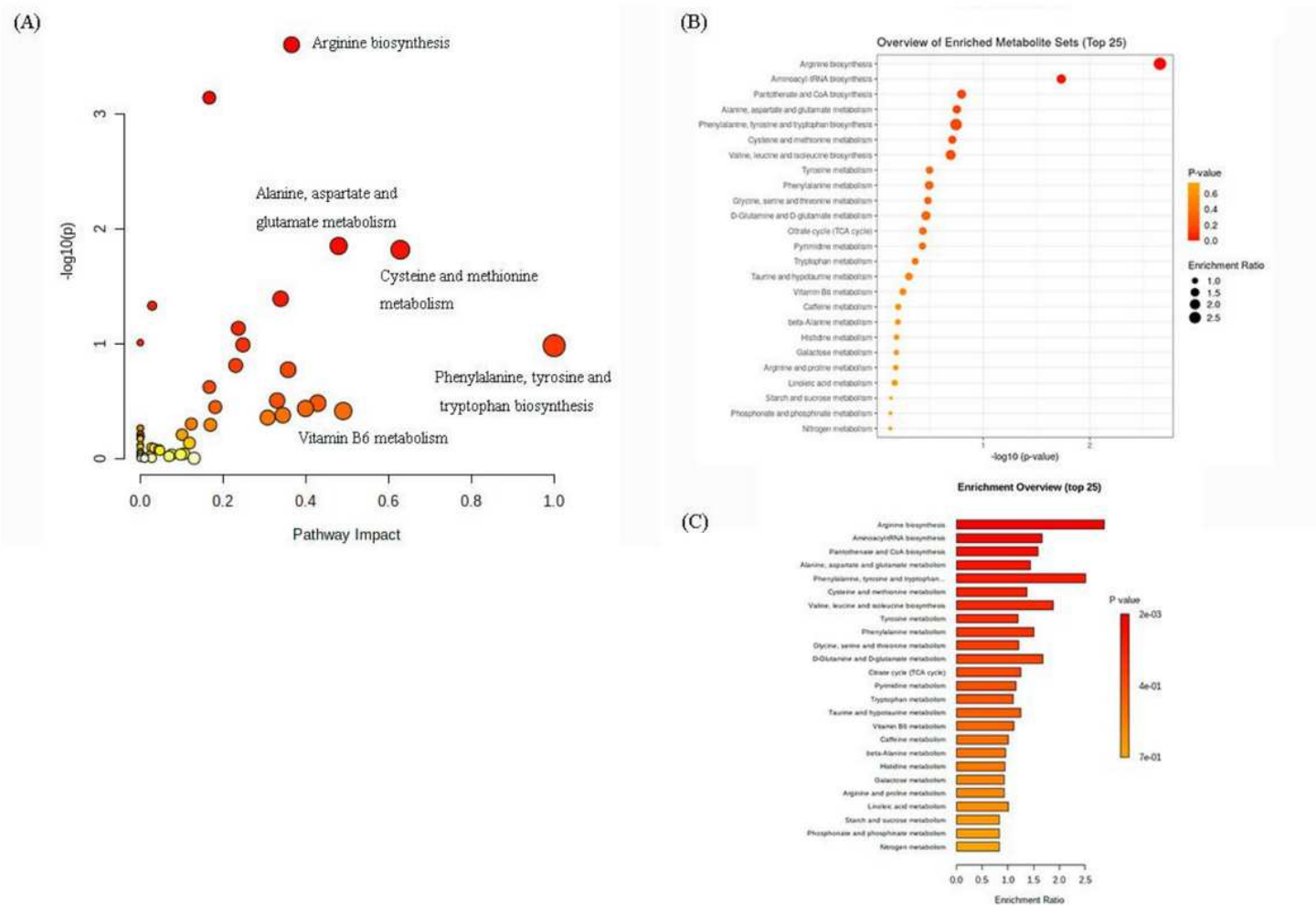


Figure 5

(A). Disturbed metabolic pathways identified from the candidate biomarkers in the 2 sets; (B). Overview of enriched metabolite sets (Top 25); (C). Metabolite set enrichment analysis of metabolite variations.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [FigS1.png](#)
- [Table12andTableS1S2.pdf](#)