

Research On Water-Immersion Softening Mechanism of Coal Rock Mass Based on Split Hopkinson Pressure Bar Experiment

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Research

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Posted Date: October 18th, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-966655/v1>

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1 **Research on Water-immersion Softening Mechanism of Coal Rock Mass Based** 2 **on Split Hopkinson Pressure Bar Experiment**

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9
10 **Abstract:** The coal mining process is affected by multiple sources of water such as groundwater and coal seam
11 water injection. Understanding the dynamic mechanical parameters of water-immersed coal is helpful to the safe
12 production of coal mines. The impact compression tests were performed on coal with different moisture contents
13 by using the $\phi 50$ mm Split Hopkinson Pressure Bar (SHPB) experimental system, and the dynamic characteristics
14 and energy loss laws of water-immersed coal with different compositions and water contents were analyzed.
15 Through analysis and discussion, it is found that: (1) When the moisture content of the coal sample is 0%, 30%,
16 60%, the stress, strain rate and energy first increase and then decrease with time; (2) When the moisture content of
17 the coal sample increases from 30% to 60%, the stress "plateau" of the coal sample disappears, resulting in an
18 increase in the interval of the compressive stress and a decrease in the interval of the expansion stress. (3) The
19 increase of the moisture content of the coal sample will affect its impact deformation and failure mode. When the
20 moisture content is 60%, the incident rod end and the transmission rod end of the coal sample will have obvious
21 compression failure, and the middle part of the coal sample will also experience expansion and deformation. (4)
22 The coal composition ratio suitable for the impact experiment of coal immersion softening is optimized.

23 **Keywords:** Coal immersion softening; Dynamic compressive response; Split Hopkinson pressure bar; Softening
24 Mechanism model

25 **1 Introduction**

26 Owing to the development of the coal mining industry, the depth of coal mining continues to increase, and the
27 dynamic disasters of coal rock in coal mines are becoming more and more serious^[1]. Understanding the dynamic
28 mechanical parameters of coal rock is of great significance for preventing and reducing the occurrence of disasters.^[2].
29 Coal is a porous, non-uniform and discontinuous medium composed of multiple mineral components. When the
30 underground water level in the mining area rises, the coal is immersed in the water, and the free water penetrates

31 into the pores and fissures of the coal. This promotes the expansion and connection of pores and fissures, changes
32 the water content and permeability of the coal and rock mass, and reduces or even destroys the bearing capacity and
33 strength of coal^[3,4].

34 In order to develop laboratory coal samples consistent with the properties of raw coal under complex geological
35 conditions, a large number of researchers have studied the composition, production process, and mechanical
36 properties of the formed coal samples. GU et al. ^[5]investigated the influence of coal particle size on briquette through
37 forming experiments on the change of particle size before and after the forming of pulverized coal and the forming
38 of raw materials with different particle sizes. XU et al. ^[6] pointed out that the smaller the particle size of the coal
39 sample, the larger the fractal dimension of the pore structure of the briquette, and the higher the mechanical strength
40 of the coal mass for abrupt failure. YU et al.^[7] carried out experiments on the permeability evolution of coal
41 samples^[8] with different particle size ratios. ZHAO et al.^[9] studied the effect of coal particle size on isothermal
42 adsorption. XU et al. ^[10] discussed the relationship between pulverized coal particles and pore structure, and pointed
43 out that the smaller the particle size of the briquette, the smaller the pore radius in the briquette, but the higher the
44 degree of pore structure development.

45 At the same time, some researchers have studied the influence of moisture on the mechanical properties of coal
46 rock mass ^[11]. Erguler et al. ^[12]quantified the influence of water content on the mechanical properties of rocks and
47 pointed out that as the water increases, the compressive strength and elastic modulus of the samples will decrease.
48 WANG et al.^[13] conducted uniaxial compression tests on raw coal and briquette with different moisture contents,
49 and their results showed that moisture has a significant effect on the initial compaction, elasticity, and yield stages
50 of coal sample deformation. Kim et al.^[14] studied the dynamic mechanical properties of sandstone at different water
51 saturations. JIANG et al. ^[15] pointed out that as the moisture content increases, the loading and unloading damage
52 of coal samples increases, and the load-bearing strength and residual strength show a downward trend. LI et al. ^[16]
53 established a constitutive model of coal rock segmental damage under hydraulic-mechanical coupling. YIN et al.^[17]
54 reported that there is an exponential relationship between the moisture content and the permeability of coal. QIN et
55 al. ^[18] examined the influence of moisture on the acoustic emission characteristics of coal and found that dry coal
56 samples have more acoustic emission events than water-bearing coal samples^[19]. PAN et al. ^[20] suggested that the
57 moisture in the coal matrix would cause the coal body to swell and deform. Perera et al. ^[21] explored the mechanism
58 of the effect of water saturation on the strength of coal, and found that the water in the coal extends to the tip of the
59 crack through dissolution, thereby promoting crack propagation. ZHANG et al. ^[22] pointed out that the softening
60 and blocking effects of water make the free gas have an inhibitory effect on the deformation of soft coal. XIAO et
61 al. ^[23] established the corresponding relationship between the impact tendency of coal samples with different

62 moisture contents and their acoustic emission signals.

63 The above-mentioned researchers have conducted in-depth research on the influencing factors of the physical
64 properties of briquette. However, in the actual production activities of coal mining operations, the influence of
65 dynamic factors such as mechanical shock, and rock fracture on coal destruction is more complicated^[24]. Daryadel,
66 ZHU, Mishra and Doner et al. ^[25–28] analyzed the dynamic mechanical properties of the Split Hopkinson Pressure
67 Bar (SHPB)^[29] on concrete specimens. AI et al. ^[30] studied the crack propagation and dynamic mechanical properties
68 of coal, and found that the direction of the bedding has a great influence on its dynamic compressive strength, strain
69 rate and strain energy. YIN et al. ^[31] tested the strain and energy dissipation characteristics of gas-bearing coal in
70 the SHPB test by changing the gas pressure and static load. HAO et al. ^[32] examined the effect of loading rate on
71 the dynamic compressive strength and crack growth of coal samples. KONG et al. ^[33–35] pointed out that under
72 different confining pressures, gas pressures and impact loads, the failure strength and failure strain of coal samples
73 increase linearly with the strain rate. ZHAO et al. ^[36] explored the correlation between different bedding directions
74 and dynamic tensile strength, and found that bedding roughness and discontinuity, impact speed, etc. would affect
75 the dynamic mechanical properties of coal. FENG et al. ^[37,38] reported that the axial fracture of the coal sample is
76 directly caused by the incident compressive stress wave, and the lateral fracture is caused by the reflected tensile
77 stress wave of the coal sample and the transmission rod. FAN and LI et al. ^[39,40] comparatively analyzed the dynamic
78 mechanical characteristics, destruction process and energy dissipation law of explosive coal and anti-explosive coal.
79 WANG et al. ^[41] suggested that under impact load, the dynamic strength of saturated sandstone should include the
80 influence of its free water viscosity and Stefan effect. LIU et al. ^[42] found that coal rock mostly exhibits axial
81 splitting failure at low strain rates, and crush failure at high strain rates. LI et al. ^[43] decomposed method to
82 decompose the measured SHPB test signal of coal impact damage by using the empirical mode decomposition
83 method.

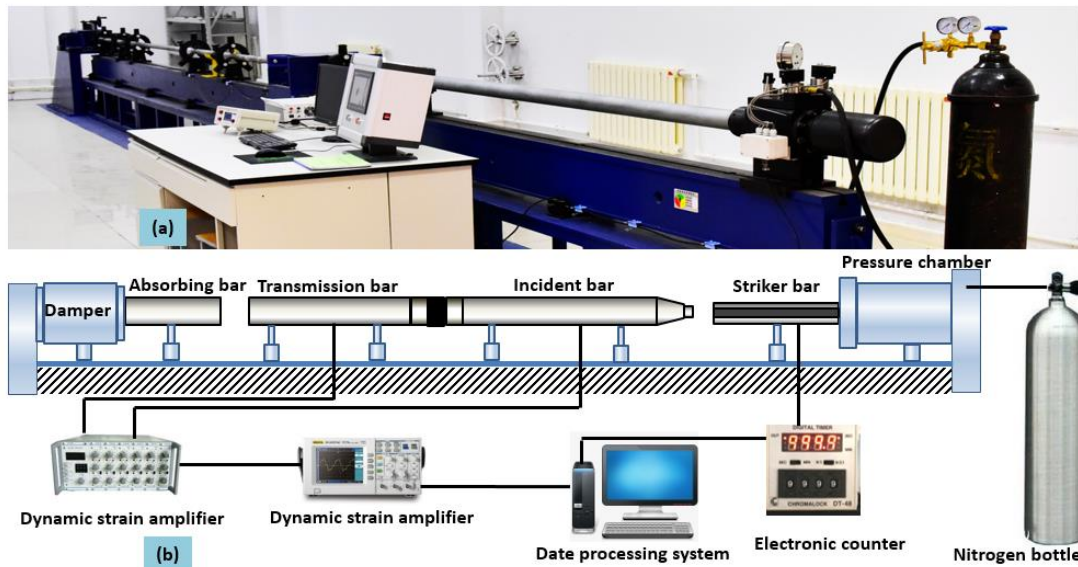
84 In summary, researchers studied the mechanical structure characteristics of the laboratory coal sample matrix,
85 namely coal. Some of them believe that moisture has a great influence on the mechanical properties of coal, mainly
86 in the deformation stage, and investigate the characteristics of permeability evolution and soften in of coal in water.
87 In order to further study the impact load characteristics of coal samples, researchers have carried out a large number
88 of SHPB tests, mainly studying the influencing factors of the failure mode of coal. Therefore, in order to find a
89 suitable laboratory sample replace the specimen wetted by the water injection of raw coal, the impact load of the
90 coal sample is analyzed through the SHPB dynamic impact test in this paper. Starting from the composition and
91 moisture content of the coal sample, the damage characteristics of the coal sample under impact load are analyzed
92 and optimized, which is more in line with the type of the coal seam and rock mechanics standard coal proportioning

93 plan. This lays the experimental material foundation for revealing the mechanism of coal seam water injection for
 94 disaster prevention and dust reduction.

95 2 Design of dynamic mechanical test of water immersed coal

96 2.1 Split Hopkinson pressure bar test system

97 The SHPB test device (shown in Figure 1) includes a pressure bar, super dynamic strain gauges, an oscilloscope
 98 and a data acquisition system. The diameter of the strut is 50 mm, and the material of the bullet, strut, and absorption
 99 rod are the same. The elastic modulus is 206 MPa, the density is 7850 kg/m³, the bullet length is 500 mm, the
 100 incident and projection rod length is 3000 mm, and the wave velocity is 7143 m/s. The principle of the SHPB test
 101 is as follows. At different impact speeds, the punch acts on the incident rod, and a stress wave is generated on the
 102 incident rod. After the stress wave contacts the specimen, the reflected wave and the incident wave are generated
 103 on the incident rod and the transmission rod, respectively, and the data acquisition system records the data of the
 104 strain gauges on each compression bar.



105
 106 **Figure 1 Split Hopkinson Pressure Bar test apparatus**

107 **(a) SHPB system equipment (b) SHPB equipment schematic diagram**

108 2.2 Composition ratio of coal sample for immersion experiment

109 The pulverized coal was taken from the N2808 working face of the 8# anthracite coal seam of Yuyang Coal
 110 Mine of Chongqing Songzao Coal and Electricity Co., Ltd. The specific parameters of the coal mass are shown in
 111 Table 1:

112 **Table 1 Industrial analysis parameters of pulverized coal**

Parameter	Volatile content	Ash content	Moisture content	True density
Range	9.87~10.97%	11.53~19.13%	0.56~2.55%	1.5~1.53 g/cm ³

Parameter	Apparent density	Robustness coefficient	Uniaxial strength	Peak coal failure type
Range	1.34~1.38 g/cm ³	0.21~0.38	Less than 1 MPa	Class III~V

113 In order to study the relationship between the immersion softening mechanism and mechanical parameters of
 114 coal, coal samples with different mechanical properties are prepared by configuring different coal sample
 115 components in this paper. Cement, sand, activated carbon, and coal powder of different particle sizes are used to
 116 prepare coal samples with a relatively uniform pore and fissure structure compared with that of the raw coal^[28].

117 Table 2 Composition of prepared coal sample

Composition ratio scheme	Cement (#425 Ordinary Portland Cement)	Sand (ordinary river sand, particle size 20-40 mesh)	Water (pure water)	Activated carbon (granular, $\Phi 2.6 \times 5.6 \text{mm}^2$)	Coal powder (particle size 20-40 mesh, 40-80 mesh, ratio 1:1)
1	4	3.5	8.25	0.88	83.37
2	5	6	7.75	0.7	80.55
3	6	2.5	6.50	0.90	84.1
4	7	5.5	8.50	0.84	78.16
5	8	2	7.25	0.78	81.97

118 2.3 Preparation of water-immersed coal sample for dynamic mechanics test

119 In order to study the mechanism of coal immersion softening, and to make the effect of immersion softening
 120 more obvious, three immersion schemes with a large gradient are designed: dry coal sample, coal sample with a
 121 moisture content of 30% and coal sample with a moisture content of 60%. In order to avoid the influence of residual
 122 moisture in the production process, the coal sample in Section 2.1 is first dried, and then the coal sample that needs
 123 to be immersed is weighed. During the immersion process, the water does not need to be pressurized, that is, the
 124 coal sample is immersed in the water container. According to the moisture absorption capacity of the coal sample,
 125 a certain amount of water is absorbed to reach the moisture content required by the experiment. Finally, the soaked
 126 coal samples are wrapped in plastic wrap and put all into the storage box ready for the SHPB test.

127 3 Experiment process and result analysis

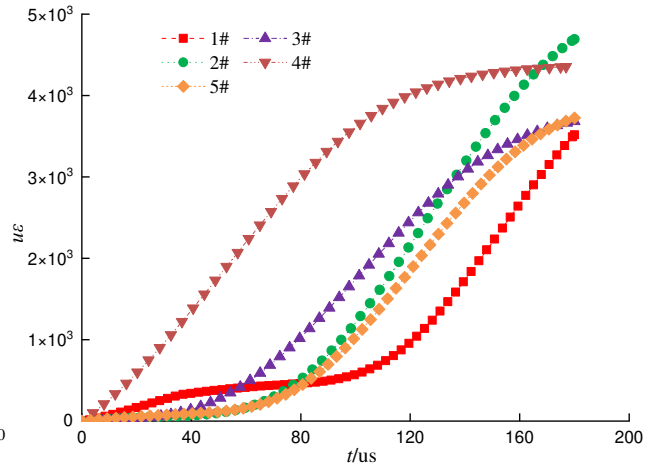
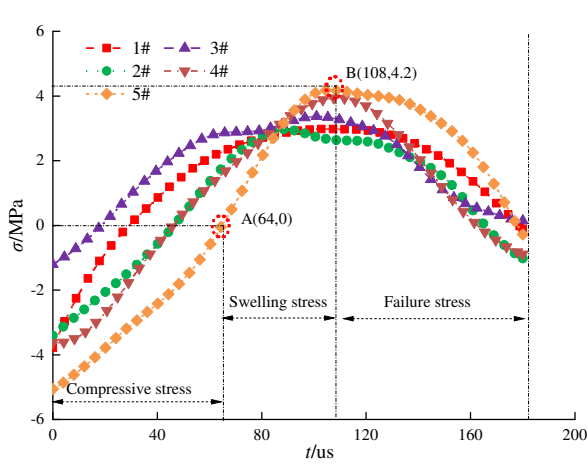
128 3.1 Experiment process and results

129 This test is mainly to study the influence of coal composition and water immersion on coal softening
 130 mechanism. Therefore, each type of water-bearing coal sample consists of 5 groups of coal samples with different
 131 components, and each group of 3 coal samples is subjected to repeated tests. After the SHPB test is conducted on
 132 the coal samples with different moisture contents, the stress, strain, and strain rate of the samples are calculated by
 133 the "dual wave method"^[44]. Through data processing, the changes in the stress, strain, strain rate and energy of the

134 coal sample over time are obtained^[45].

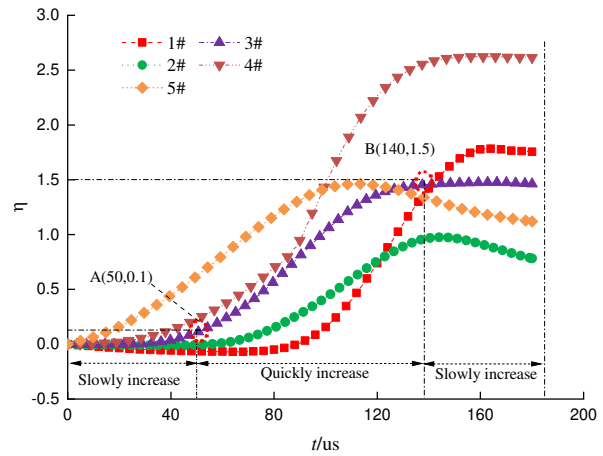
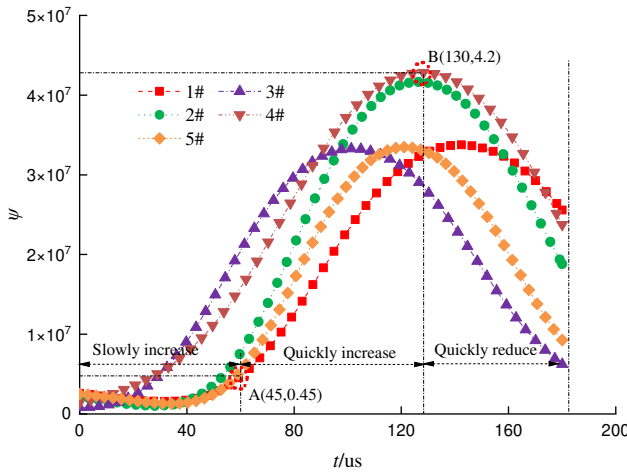
$$135 \quad \begin{cases} \varepsilon_x(t) = -\frac{2C}{l_0} \varepsilon_r(t) \\ \varepsilon(t) = -\frac{2C}{l_0} \int_0^t \varepsilon_r(t) dt \\ \sigma(t) = \frac{A}{A_0} E \varepsilon_r \end{cases} \quad (1)$$

136 where C is the elastic wave velocity, E is the elastic modulus, A is the cross-sectional area of the compression bar,
 137 l_0 is the length of the test sample, A_0 is the cross-sectional area of the test sample, ε_r is the measured strain from
 138 the reflected waves, σ is the measured stress .



140 (a) Variation of stress with impact time

(b) Variation of strain with impact time



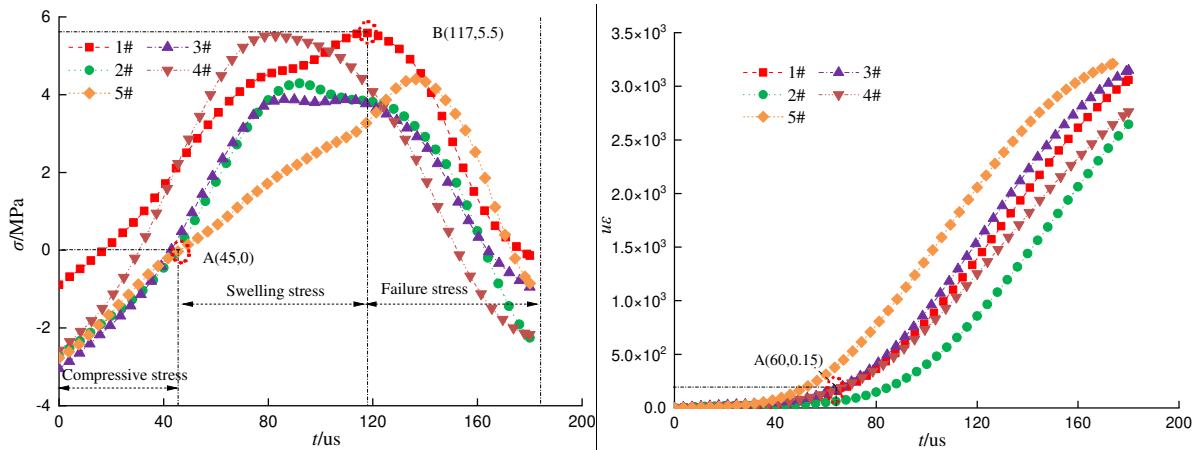
141 (c) Variation of strain rate with impact time

(d) Variation of energy dissipation with impact time

143 **Figure 3 Dynamic mechanical characteristics of coal with a water content of 0%**

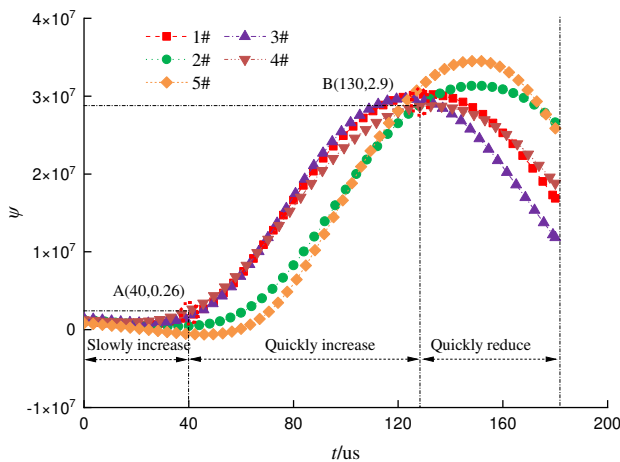
144 Figure 3 shows the stress, strain and energy dissipation of the dry coal sample within 180 us. This figure
 145 indicates that the stress, strain rate and energy dissipation of the coal sample show a trend of first increasing and
 146 then decreasing over time while the strain almost always increases. Figure 3 (a) shows the change of coal sample
 147 stress with time, where the negative stress is defined as the compressive stress, and the positive stress as the
 148 expansion stress. At first, the stress increases with time. After reaching the turning point, it begins to decrease and

149 becomes the failure stress. Figure 3 (b) shows the variation of coal sample strain with time. For the #3 and #5 coal
 150 samples, the strain first increases slowly, then rapidly, and finally slowly. However, this change is not obvious for
 151 other coal samples. Figure 3 (c) shows the change of the strain rate of the coal sample with time. Compared with
 152 the stage change of the stress and strain, the stage change of the strain rate is more obvious. However, the turning
 153 points between the stages are different due to the influence of the composition of the coal sample. Figure 3 (d) shows
 154 the time variation of the energy dissipation of the coal sample during the entire destruction process. Combined with
 155 Figure 3 (b), it can be seen that the coal sample consumes a lot of energy during the large deformation stage.

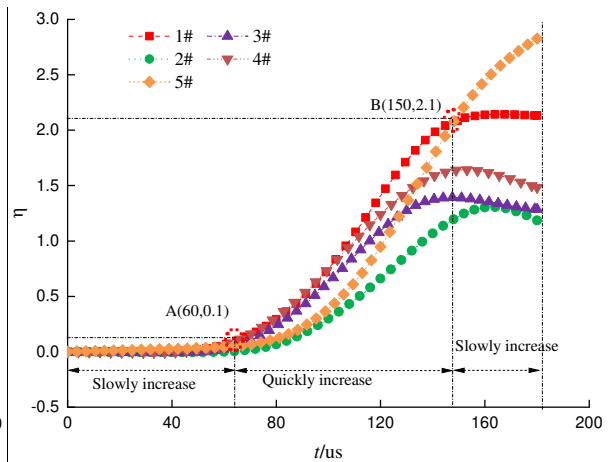


156 (a) Variation of stress with impact time

157 (b) Variation of strain with impact time



158 (c) Variation of strain rate with impact time

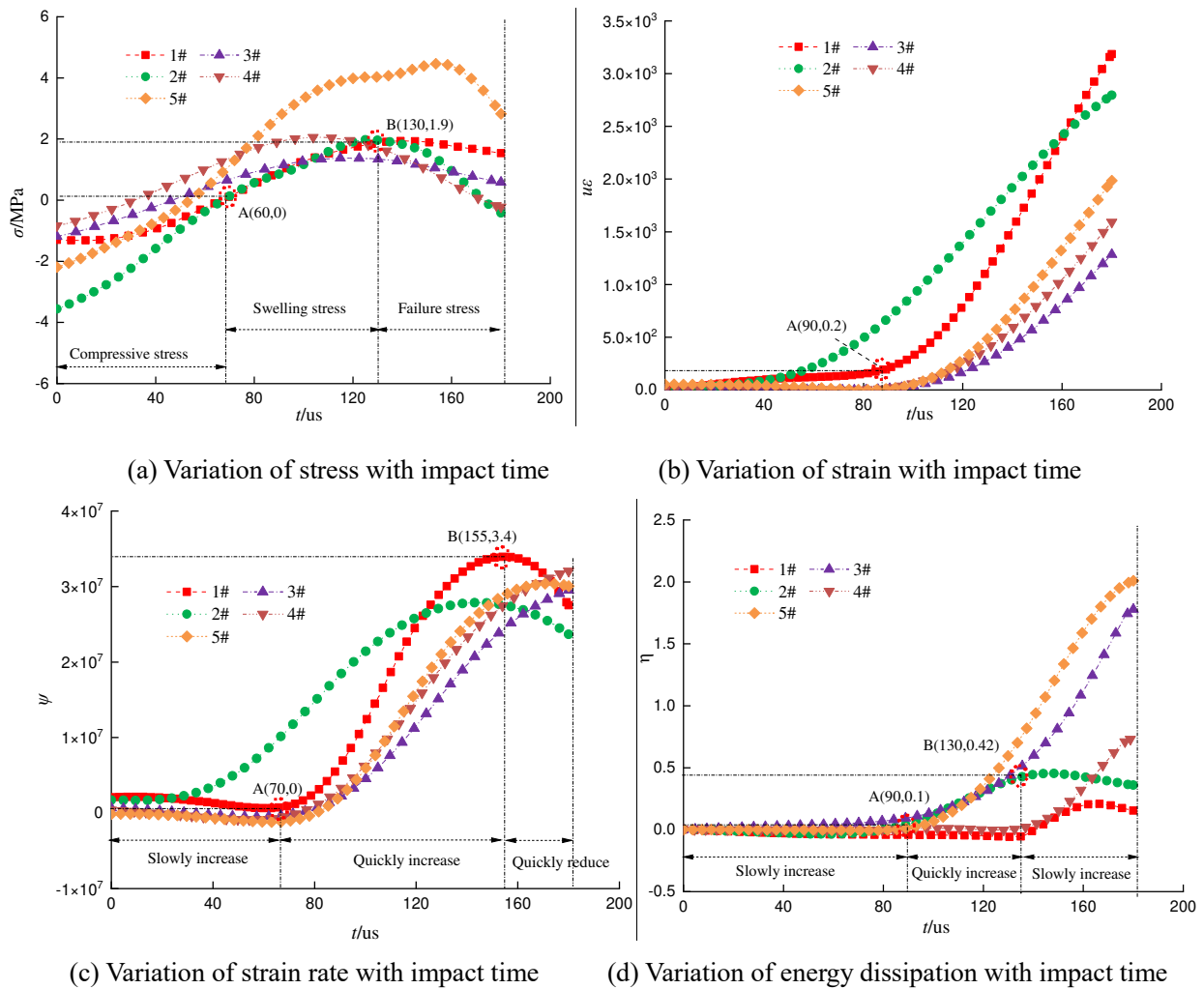


159 (d) Variation of energy dissipation with impact time

160 **Figure 4 Dynamic mechanical characteristics of coal with a water content of 30%**

161 Figure 4 shows the stress, strain and energy dissipation of the coal sample with a water content of 30%.
 162 Compared with the change rule of the dry coal sample, the characteristic rule of the four parameters of the coal
 163 sample is more obvious. From the overall analysis, the effect of coal immersion is regular, especially the evolution
 164 of the strain of the coal sample over time^[33]. In Figure 4 (a), a "plateau" appears for the #1, #2, and #3 coal samples
 165 after the swelling stress is generated in the coal sample, indicating that the internal moisture of the coal sample has
 166 a certain buffer effect on the deformation of the coal sample. Figure 4 (b) shows that the strain of the coal sample

167 has a trend of slow increase before 60 μs owing to the influence of water immersion. After the rapid increase
 168 afterwards, the coal samples of 5 different compositions have a trend of slow increase again^[37]. Figure 4 (c) indicates
 169 that the strain rate change curve of the coal sample is similar to that of the dry coal sample. The strain rate of the
 170 coal sample is in a slow increase stage within the first 45 μs , and then enters a rapid increase stage until 130 μs .
 171 Further analysis of the strain rate of the coal sample indicates that the strain rate changes in the slow growth zone,
 172 the rapid growth zone and the rapid decline zone are more obvious than those of the dry coal sample. This means
 173 that water can promote an increase of internal deformation of the coal sample. Figure 4 (d) shows the energy
 174 dissipation during the entire destruction process of the coal sample. Compared with the energy dissipation of the
 175 dry coal sample, the slow growth stage of energy dissipation takes longer. In addition, the rapid growth stages of
 176 the five coal samples with a water content of 30% are more regular, indicating that water can activate the coal
 177 samples to absorb energy.



182 **Figure 5 Dynamic mechanical characteristics of coal with a water content of 60%**

183 Figure 5 shows the variation of stress, strain and energy dissipation over time when the moisture content of the
 184 coal sample increases to 60%. As indicated in Figure 4 (a) and Figure 5 (a), when the water content of the coal

185 sample increases from 30% to 60%, the stress “plateau” of the coal sample disappears, the compressive stress stage
 186 becomes longer, and the expansion stress stage becomes shorter. In addition, the failure stress stage is also
 187 significantly shortened. By comparison of Figure 4 (b) and Figure 5 (b), it is found that with the increase of the
 188 water content, the first slow increase stage of the strain becomes longer, and the rapid increase stage does not change
 189 much, but the second slow increase stage disappears. Figure 5 (c) shows a more obvious interval variation and the
 190 variation patterns of the five coal samples are also more uniform. From the change of strain rate with time alone,
 191 the effect of water immersion on the coal sample with a water content of 60% is more obvious than that on the coal
 192 samples with a water content of 0% and 30%. Figure 5 (d) shows the energy dissipation curve of coal sample
 193 destruction. When the water content increases to 60%, the first slow increase interval of energy dissipation increases,
 194 and the rapid increase interval and the second slow increase interval decrease, indicating that the energy consumed
 195 during the destruction process of coal mass is reduced after the coal mass is immersed in water.

196 3.2 Analysis of test results

197 3.2.1 Analysis of immersion softening mechanism of coal mass on microscopic scale

198 According to classic damage mechanics, the Drucker-Prager failure criterion has the advantages of simple
 199 parameter form and wide application in rock materials. When the rock is damaged by a three-way force, the strength
 200 of each component is^[46]:

$$201 \begin{cases} I_1 = \sigma_1^* + \sigma_2^* + \sigma_3^* \\ J_2 = \frac{1}{6} [(\sigma_1^* - \sigma_2^*)^2 + (\sigma_2^* - \sigma_3^*)^2 + (\sigma_3^* - \sigma_1^*)^2] \end{cases}$$

202 (2)

203 Under the condition of triaxial stress, σ_i^* ($i=1, 2, 3$)^[13], when there is no fluid inside the rock, the triaxial stress
 204 forms an effective stress, and thus the corresponding strain ε_i^* ($i=1, 2, 3$)^[47] is generated. According to Hooke's law:

$$205 \varepsilon_1 = \frac{1}{E} (\sigma_1^* - \mu\sigma_2^* - \mu\sigma_3^*) \quad (3)$$

206 where μ is the Poisson's ratio of the rock, E is the initial elastic modulus, and ε_1 is the axial strain of the rock.

207 Then the effective damage stress of the rock is^[48]:

$$208 \sigma_i^* = \sigma_i / (1 - D), (i = 1, 2, 3) \quad (4)$$

209 where D is the statistical damage variable.

210 The statistical damage variable D is defined as follows:

$$211 D = \frac{N_d}{N} \quad (5)$$

212 where N is the number of micro-units that the rock can be divided into, and N_d is the number of damaged micro-
 213 units in the rock.

214 Assuming that the micro-units obey the Weibull distribution, the density function of the number of damaged

215 micro-units in the rock is:

$$216 \quad P(F_1) = \frac{m}{F_0} \left(\frac{F_1}{F_0}\right) e^{\left[-\left(\frac{F_1}{F_0}\right)^m\right]} \quad (6)$$

217 where F_0 and m are the Weibull distribution parameters, and F_1 is the strength variable of the rock micro-unit at the
218 first failure point.

219 Then the damage of dF_1 extends to the inside of the rock. At this time, the failure interval of the rock is $(F_1,$
220 $F_1+dF_1)$, and the number of micro-units damaged inside the rock is $NP(x)$, that is, the total number of damaged
221 micro-units when the rock is stressed is:

$$222 \quad N(F) = \int_0^{F_1} NP(x) dx = N \left\{ 1 - \exp \left[- \left(\frac{F_1}{F_0} \right)^m \right] \right\} \quad (7)$$

223 After Equation (7) is substituted into Equation (6), the damage variable D of the rock can be obtained as^[30]:

$$224 \quad D = 1 - \exp \left[- \left(\frac{F}{F_0} \right)^m \right] \quad (8)$$

225 Therefore, further substituting Equation (4) into Equation (3), we can obtain:

$$226 \quad \varepsilon_1 = \frac{\sigma_1 - \mu\sigma_2 - \mu\sigma_3}{E(1-D)} \quad (9)$$

227 Combining Equation (9) and Equation (4) together, we get:

$$228 \quad \sigma_1^* = \frac{\sigma_i E \varepsilon_1}{\sigma_1 - \mu\sigma_2 - \mu\sigma_3}, (i = 1, 2, 3) \quad (10)$$

229 When the coal mass is immersed in water, the water moves in the fissure structure of the coal mass in a laminar
230 flow, and performs capillary or diffusion movement in the smaller pores. Therefore, capillary force or self-suction
231 force is introduced into the water-immersed coal mass. Assuming that water produces capillary force inside the
232 pores of the coal sample and surface tension on the surface of the water, the force of water will exist in the form of
233 "liquid bridge force". This means that when moisture condenses in the pores between the pulverized coal particles,
234 the moisture and the particles form a common micro-unit force body. With more and more water in the pores, the
235 thickness of the water film between the particles increases, and the formed liquid bridge force also increases, thereby
236 increasing the cohesive force between the pulverized coal particles. However, there is a certain upper limit for the
237 self-suction of the pores. When the water film increases to a certain thickness, the change in this cohesive force
238 decreases. From a microscopic point of view, there are many influencing factors, such as the viscosity coefficient
239 of the liquid and the distance between the pulverized coal particles. In the case of an infinitesimal body, the liquid
240 bridge force inside the infinitesimal body is simplified to:

$$241 \quad \sigma_w = \sigma_{w1} + \sigma_{w2} \quad (11)$$

242 where σ_w is the liquid bridge force inside the micro-unit body, σ_{w1} is the static liquid bridge force of the micro-unit
243 body, and σ_{w2} is the dynamic liquid bridge force of the micro-unit body.

244 The expressions of the two liquid bridge forces are^[49]:

$$245 \begin{cases} \sigma_{w1} = 2\pi\varphi - \pi\varphi^2\gamma\left(\frac{1}{\varphi} + \frac{1}{\omega}\right) \\ \sigma_{w2} = \frac{3}{2}\pi\epsilon R\vartheta \end{cases}$$

246 (12)

247 where φ is the distance between the pulverized coal particles, ω is the contact angle between the pulverized coal
248 particles and water, and ν is the viscosity coefficient of water.

249 Usually the dimensionless tension parameter Ca is used to measure the ratio of dynamic liquid bridge force to
250 static liquid bridge force:

$$251 C_a = \frac{\mu_l \nu_r}{\gamma} \quad (13)$$

252 Assuming that the temperature is 20°C. At this temperature, the surface tension coefficient γ of water is
253 0.07275 N/m, the viscosity coefficient μ_l is 1.01×10^3 N·s·m⁻², and the maximum value of the relative velocity
254 between particles ν_r is 2.084 m/s. In this paper, only the capillary force, i.e., the static liquid bridge force is
255 considered in the calculation of the liquid bridge force. Therefore, assuming that the adhesion force between
256 pulverized coal particles and water is a liquid bridge force, the calculation equation is:

$$257 \frac{\sigma_w}{a} = 2\pi\sigma_\gamma \cos \theta_p \quad (14)$$

258 where σ_γ is the surface tension of water, and θ_p is the contact angle between coal particles and water.

259 The calculation equation of the liquid bridge force is further transformed into:

$$260 \frac{\sigma_w}{a} = \frac{2\pi\sigma_\gamma \cos \theta_p}{(1+H/2d)} \quad (15)$$

261 where a is the radius of the pulverized coal particles, H is the length of the liquid bridge or the distance between
262 two pulverized coal particles, and d is the immersion height of the liquid bridge or the height of the pulverized
263 coal particles that can be wrapped by water to remove the surface tension.

264 3.2.2 Analysis of macroscopic strength failure based on microscopic coal immersion softening

265 Combined with the strength analysis of the rock micro-unit body, the macro-strength criterion of the
266 unimmersed coal sample is derived as follows. Using the Lemaitre equivalent strain principle, we obtain:

$$267 \sigma = E\varepsilon_1(1 - D) + \mu(\sigma_2 + \sigma_3) \quad (16)$$

268 Substituting Equation (8) into Equation (16), we get^[50]:

$$269 \sigma = E\varepsilon_1 p \left[-\left(\frac{F_1}{F_0}\right)^m \right] + \mu(\sigma_2 + \sigma_3) \quad (17)$$

270 After further substituting into Equation (2) and simplifying, we have^[51]:

$$271 \quad \begin{cases} I_1 = \frac{\sigma_1 + \sigma_2 + \sigma_3}{\sigma_1 - \mu\sigma_2 - \mu\sigma_3} \\ J_2 = \frac{1}{6} \left[\left(\frac{\sigma_1 - \sigma_2}{1-D} \right)^2 + \left(\frac{\sigma_2 - \sigma_3}{1-D} \right)^2 + \left(\frac{\sigma_3 - \sigma_1}{1-D} \right)^2 \right] \end{cases}$$

$$272 \quad (18)$$

273 Assuming $\sigma_2 = \sigma_3 = 0$, that is, the coal sample is subjected to uniaxial stress. At this time, without considering the
274 influence of water, we obtain the strength damage model of the coal sample^[45]:

$$275 \quad \begin{cases} \sigma = E\varepsilon_1 p \left[- \left(\frac{F_1}{F_0} \right)^m \right] \\ I_1 = E\varepsilon_1 \\ J_2 = \frac{1}{6} (E\varepsilon_1)^2 \end{cases}$$

$$276 \quad (19)$$

277 When the immersed coal sample is under uniaxial compression, its strength damage model is:

$$278 \quad \begin{cases} \sigma = E\varepsilon_1 p \left[- \left(\frac{F_1}{F_0} \right)^m \right] + \mu\sigma_w \\ I_1 = \frac{\sigma_1 + \mu\sigma_w}{\sigma_1 - \mu\sigma_w} \\ J_2 = \frac{1}{6} [(E\varepsilon_1)^2] \end{cases} \quad (20)$$

279 It can also be expressed as:

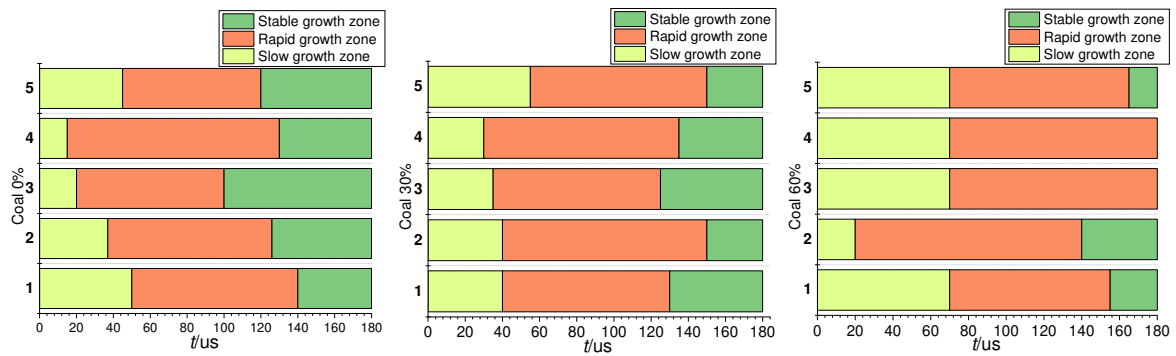
$$280 \quad \begin{cases} \sigma = E\varepsilon_1 p \left[- \left(\frac{F_1}{F_0} \right)^m \right] + 2\pi\mu\alpha \frac{\sigma_\gamma \cos \theta_p}{(1+H/2d)} \\ I_1 = 1 + \frac{4\pi\mu\alpha\sigma_\gamma \cos \theta_p}{\sigma_1(1+H/2d) - 2\pi\mu\alpha\sigma_\gamma \cos \theta_p} \\ J_2 = \frac{1}{6} [(E\varepsilon_1)^2] \end{cases}$$

$$281 \quad (21)$$

282 Figure 6 shows the transformation relationship between compressive stress, swelling stress and failure stress
283 of the coal samples with different water contents. When the water content of the coal sample increases from 0% to
284 60%, the failure stress interval of the five coal samples decreases while the expansion stress interval increases.
285 However, there is no uniform relationship between changes in the compressive stress interval. This means that after
286 the coal sample is immersed in water, the water inside the coal sample helps increase the swelling stress interval of
287 the coal sample. For the #2 and #5 coal samples, the above-mentioned change characteristics are particularly obvious.
288 From the analysis of coal sample composition, in the #2 coal sample the proportion of activated carbon is 0.7% and
289 the proportion of pulverized coal is 80.55%, while in the #5 coal sample the proportion of activated carbon is 0.78%
290 and the proportion of pulverized coal is 81.97%. The two components of the two coal samples are similar to those
291 of the other coal samples.

292 For different moisture contents, as the moisture increases from 0% to 30%, the coal particles are gradually
293 wetted by the moisture. Because the wet coal particles gradually agglomerate, the cohesion between the pore and

294 fissure structures of the coal mass is increased, and thus the compressive strength of the briquette is enhanced to a
 295 certain extent. When the moisture content of the coal sample is 60%, the pulverized coal particles are gradually
 296 surrounded by the moisture. As a result, the cohesion is reduced, and the compressive strength of the coal sample
 297 may be also reduced. The above impact compression test shows that the compressive strength of coal samples and
 298 the overall proportion of different stages are closely related to moisture. In addition, the ratio of activated carbon to
 299 pulverized coal has an important influence on the compressive stress, expansion stress and failure stress of the coal
 300 sample. The greater the ratio of activated carbon to pulverized coal, the more obvious the transformation of the three
 301 stresses, as shown in Figure 6.



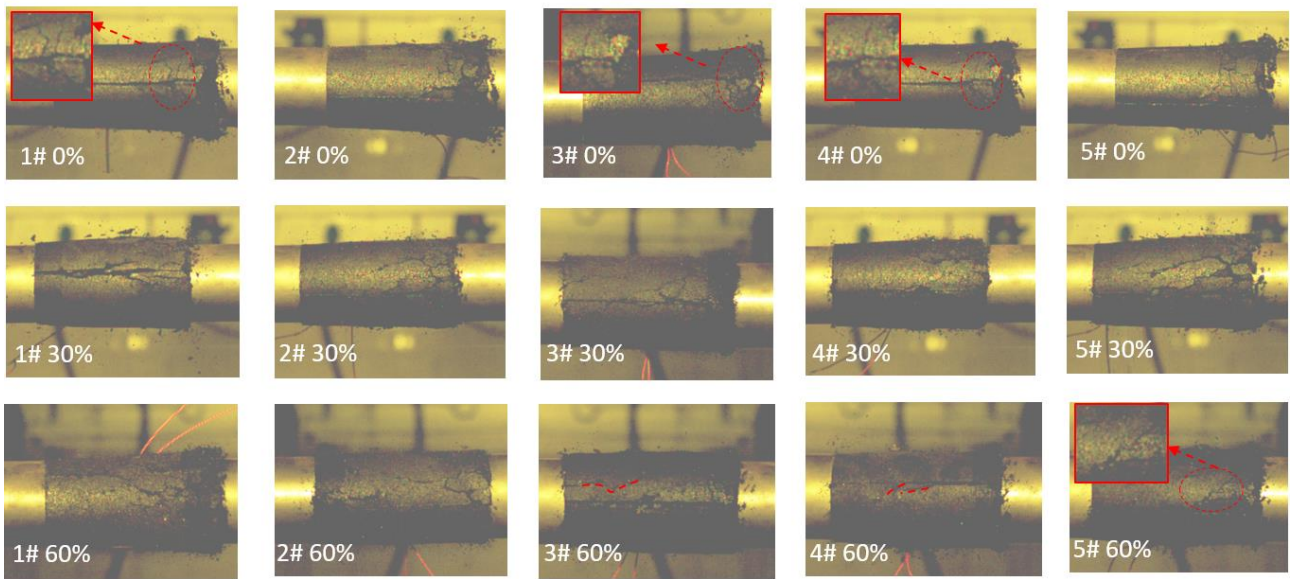
302
 303 **Figure 6 Influence of moisture content of coal sample on transformation of stress properties**

304 Figure 7 shows the impact failure modes of the coal samples with different moisture contents. It can be clearly
 305 seen that when the water content is 0%, 30% and 60%, the #1-#5 coal samples all undergo longitudinal compression
 306 failure. And from one end of the incident rod, obvious cracks were generated, until the coal sample was completely
 307 destroyed. However, when the moisture content of the coal sample increases, the coal sample is impacted by the
 308 incident rod, the middle part of the coal sample begins to expand and deform, and one end of the transmission rod
 309 also begins to break. The failure mode changes from damage on one side to damage on both sides.

310 According to the theoretical analysis of microscopic coal mass water soaking softening, when the amount of
 311 moisture added to the dry coal particles reaches a reasonable range, the pulverized coal particles and water are
 312 combined with each other, thereby promoting the agglomeration of coal particles and increasing the overall cohesive
 313 force of the coal^[15]. When the pulverized coal particles are subjected to an impact force, greater force is required to
 314 separate the particles. The above is the process of transforming the macroscopic impact force of the coal mass into
 315 the microscopic separation force of the pulverized coal particles. When the amount of water added to the dry coal
 316 particles exceeds the reasonable range, the volume of the liquid bridge formed between the particles increases.
 317 However, the volume of the pore structure between the particles is ultimately limited, and thus more moisture will
 318 gradually wrap the particles, allowing the liquid to penetrate. This process reduces the cohesive force between the
 319 particles. If the coal sample is subjected to an external impact load, it is more prone to instability and damage. From

320 the analysis of energy consumption of the coal samples with different moisture contents, the microscopic liquid
321 bridging force between particles and water can reflect the macroscopic failure mode of the coal samples.

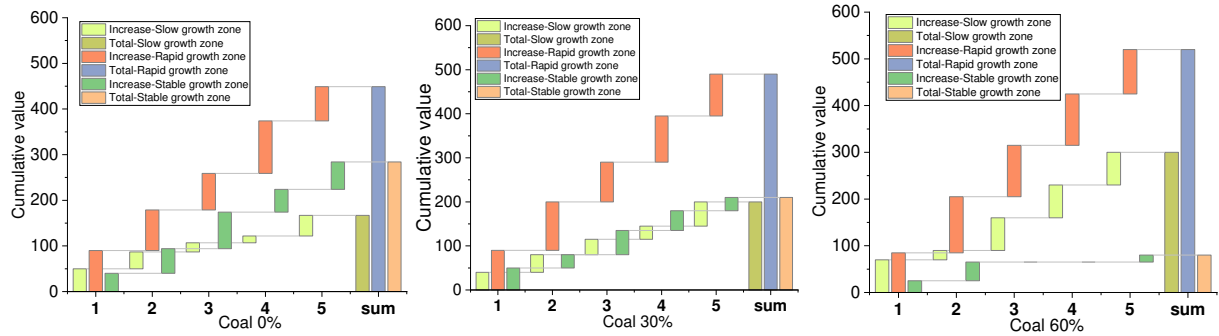
322 Through theoretical and experimental analysis, the degree of coal sample softening by immersion in water is
323 affected by the composition of the coal sample, especially the components of cement and activated carbon in the
324 coal sample. The greater the proportion of the cement component in the coal sample, the more obvious the softening
325 degree of the coal sample, and the smaller the influence of the activated carbon component. Therefore, the
326 microscopic effect of moisture on coal samples can be reflected from the macroscopic point of the experiment.



327

328 **Figure 7 Effect of coal sample immersion on transformation of stress properties**

329 Figure 8 shows the strain rate change of the coal samples with three different moisture contents and five
330 compositions. In this figure, the strain rates of the #1 and #5 coal samples are used as reference values, and there is
331 no obvious change and uniformity change in the rapid growth area. From the overall analysis, as the water content
332 increases, the interval of the slow growth area increases while the interval of the stable growth area decreases.
333 Especially when the water content of the coal sample is 60%, there is no stable growth area in the #3 and #4 coal
334 samples. From the analysis of the composition of the coal samples, the proportions of coal particles and sand in the
335 #1 and #5 coal samples are the same, but the cement component gradually increases. Therefore, when the coal
336 sample has high moisture content, the deformation of the coal sample is affected.



337

338

Figure 8 Strain rate changes of coal samples with three different moisture contents

339

From the analysis of the energy of the coal sample, the energy change of the coal sample during the entire destruction process is obvious, and it mostly occurs after 50 us. In the early stage, there is a process of energy accumulation. After the coal sample is destroyed, the energy is rapidly reduced. This experiment shows that reasonable moisture can promote the agglomeration of dry coal particles. When the moisture exceeds certain content, the agglomeration effect of moisture on coal particles is weakened.

344

In summary, the composition ratios of the #3 and #4 coal samples are not suitable for water immersion experiments on coal with high water content. In the case of the three water contents, the slow growth interval of Coal Sample #1 is relatively long, and thus Coal Sample #1 is used for the coal immersion softening experiment because it has the best composition ratio.

4 Conclusions

349

In this paper, the SHPB experiment was carried out on five coal samples with three different moisture contents, and the dynamic characteristics and energy dissipation of water-immersed coal with different compositions and water contents were analyzed. After analysis and discussion, the following conclusions are drawn:

352

(1) When the moisture content of the coal sample is 0%, 30%, 60%, the stress, strain rate, and energy dissipation of the coal sample first increase and then decrease with time while the strain of the coal sample almost increases all the time with slow growth stages and rapid growth stages.

355

(2) When the water content of the coal sample increases from 30% to 60%, the stress “plateau” of the coal sample disappears, the interval of the compressive stress increases, and the interval of the expansion stress decreases.

357

(3) The increase of water content of coal will affect the impact deformation and failure mode of coal. When the water content is 0% and 30%, the coal sample undergoes compression deformation and destruction from one end of the incident rod; but when the water content is 60%, the middle part of the coal sample shows expansion and deformation.

361

(4) The best coal composition ratio for this impact experiment of coal immersion softening is: “No. 425

362 Ordinary Portland Cement: 4%, River sand (20-40 mesh): 3.5%, water: 8.25%, Granular activated carbon $\Phi 2.6 \times 5.6$
363 mm²: 0.88%, Coal powder (20-40, 40-80 mesh ratio 1:1): 83.37%”.

364 **Funding and Acknowledgements**

365 This research was funded by the National Natural Science Foundation of China (51974176、51934004);
366 Shandong Province Natural Science Foundation of Outstanding Youth Fund (Grant No. ZR2020JQ22); Shandong
367 Province Colleges and Universities Youth Innovation and Technology Support Program (Grant No. 2019KJH006);
368 Taishan Scholars Project (TS20190935)

369 **Competing interests**

370 We declare that we have no financial and personal relationships with other people or organizations that can
371 inappropriately influence our work, there is no professional or other personal interest of any nature or kind in any
372 product, service and/or company that could be construed as influencing the position presented in, or the review of,
373 the manuscript entitled.

374 **Authors' contributions**

375 Gang Wang and Zhiyuan Liu interpreted the results and wrote the manuscript. Jinzhou Li, Haifeng Zhao and
376 Huaixing Li conceived and designed the experiments and theoretical models; Hongwei Shi and Jianli Lan performed
377 the experiments; All authors gave final approval for publication.

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