

# Quantifying Biological Processes Producing Nitrous Oxide in Soil Using a Mechanistic Model

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## Research Article

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1 **Quantifying Biological Processes Producing Nitrous Oxide in Soil Using a Mechanistic Model**

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31 **Abstract**

32 Soil nitrous oxide (N<sub>2</sub>O) is an important source of greenhouse gas contributing to climate change. Many  
33 processes produce N<sub>2</sub>O in soils and the production rate of each process is affected variably by climatic-edaphic  
34 factors, making the soil-to-atmosphere N<sub>2</sub>O flux extremely dynamic. Experimental approaches, including  
35 natural and enriched isotopic methods, have been developed to separate and quantify the N<sub>2</sub>O production from  
36 different processes. However, these methods are often costly or difficult to conduct, hampering their widely  
37 applications. This study aimed to develop a mechanistic model quantifying the soil N<sub>2</sub>O production from  
38 nitrifier nitrification (NN), nitrifier denitrification (ND), and heterotrophic denitrification (HD), which are  
39 considered as the most important biological contributors, and to investigate how climatic-edaphic factors affect  
40 individual processes as well as total N<sub>2</sub>O production rates. The developed model demonstrated its robustness  
41 and capability by reliably reproducing N<sub>2</sub>O productions from the three individual processes of NN, ND, and HD  
42 under different moisture contents and oxygen concentrations. The model simulations unraveled how  
43 environmental conditions and soil properties controlled the total N<sub>2</sub>O production rate by regulating individual  
44 rates variably. Therefore, the mechanistic model is able to potentially elucidate the large spatiotemporal  
45 variances of *in-situ* soil N<sub>2</sub>O flux and improve the assessment of soil N<sub>2</sub>O emission at regional and global  
46 scales.

47  
48 **Keywords:** soil N<sub>2</sub>O flux, mechanistic model, nitrifier nitrification, nitrifier denitrification, heterotrophic  
49 denitrification

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## 61 1. Introduction

62 Nitrous oxide (N<sub>2</sub>O) is a potent greenhouse gas, and soils are one of the most important sources of N<sub>2</sub>O  
63 emission (Tian et al., 2020). However, the estimation of soil N<sub>2</sub>O emission is largely uncertain, mainly because  
64 soil N<sub>2</sub>O emission rates are highly variable across spatial locations and temporal periods (Hénault et al., 2012).  
65 First, the processes producing N<sub>2</sub>O are complicated, including the aerobic processes of nitrification, anaerobic  
66 processes of denitrification, chemical decomposition, and interactions with other ecosystem N turnover  
67 (Butterbach-Bahl et al., 2013). Second, the N<sub>2</sub>O production rate from each process changes variably with  
68 climatic-edaphic conditions, such as soil moisture and structure, and management practices, such as fertilization  
69 and irrigation (Hu et al., 2015). The interactions between producing processes and environmental conditions  
70 make *in-situ* N<sub>2</sub>O flux extremely fluctuated (Mathieu et al., 2006). Although measurements of soil N<sub>2</sub>O fluxes  
71 are becoming widely available, the spatial and temporal resolutions of measurements are not sufficient to  
72 reliably estimate N<sub>2</sub>O emission at regional or global scale (Mathieu et al., 2006). Therefore, scaling up *in-situ*  
73 measurements using models is required to assess soil N<sub>2</sub>O emissions at regional and global scales (Tian et al.,  
74 2020).

75 Many processes contribute to the production of N<sub>2</sub>O in soils (Butterbach-Bahl et al., 2013). Nitrifier  
76 nitrification (NN), nitrifier denitrification (ND), and heterotrophic denitrification (HD) are considered as the  
77 leading biological processes, though other processes such as heterotrophic nitrification were found significant in  
78 specific soils such as acidic and organic carbon enriched soils (Zhang et al., 2018). Especially, ND has been  
79 recently discovered as the predominate process producing N<sub>2</sub>O when soil moisture is below the range favorable  
80 to HD (Wrage-Mönnig et al., 2018). However, only few studies separated and quantified N<sub>2</sub>O production from  
81 NN, ND, and HD (Hu et al., 2015; Wrage-Mönnig et al., 2018), which are mainly regulated by soil moisture and  
82 are modified by nitrogen availability, carbon content, and temperature (Kool et al., 2011; Zhu et al., 2013; Duan  
83 et al., 2019). The difficulty of quantifying N<sub>2</sub>O production from NN, ND, and HD is the major obstacle to  
84 understand how soil N<sub>2</sub>O production is controlled by these individual processes (Wrage-Mönnig et al., 2018).  
85 Isotopic methods using natural abundance of <sup>15</sup>N quantitatively distinguish different processes producing N<sub>2</sub>O,  
86 but exhibit large uncertainties due to the similar N isotopic signature occurring in NN and ND (Huang et al.,  
87 2015). Dual isotope methods using enriched <sup>15</sup>N and <sup>18</sup>O isotopes are the most accurate tracing approach to  
88 differentiate NN, ND, and HD, but the theory is difficult to understand and the experiment is costly to conduct  
89 (Kool et al., 2007; Kool et al., 2011). Therefore, developing mechanistic models that aim to separate and

90 quantify N<sub>2</sub>O production from NN, ND, and HD contributes to the interpretation and prediction of the  
91 spatiotemporal variances of soil N<sub>2</sub>O flux.

92 Process-based models have been developed and used to simulate soil N<sub>2</sub>O fluxes at local and regional  
93 scales (Li et al., 2000; Butterbach-Bahl et al., 2013; Tian et al., 2018). Most models related to soil N<sub>2</sub>O  
94 emissions are based on the “hole-in-the-pipe” (HIP) concept which symbolizes the N<sub>2</sub>O leak during nitrogen  
95 cycling (Firestone and Davidson, 1989), and are able to capture the trends of N<sub>2</sub>O production rates from  
96 increase to decrease as soil moisture increases (Davidson et al., 2000). However, none of these models  
97 accounted for N<sub>2</sub>O production from individual processes of NN, ND, and HD, and failed to reproduce the  
98 spatiotemporal variances of N<sub>2</sub>O emissions across soil sites (Klier et al., 2011; Müller et al., 2014; Chamindu  
99 Deepagoda et al., 2019). By contrast, process-based models separating NN and ND have been developed to  
100 simulate N<sub>2</sub>O productions in wastewater system, and they capture N<sub>2</sub>O productions under different oxygen  
101 conditions better than the models without separating NN and ND (Ni et al., 2014; Chen et al., 2019). Therefore,  
102 developing mechanistic models incorporating the individual processes of NN, ND, and HD in soils can  
103 potentially improve the precision of modeling N<sub>2</sub>O emission across different soil sites and under various  
104 environmental conditions.

105 This work aimed to develop a mechanistic model simulating soil N<sub>2</sub>O production by incorporating the three  
106 key biological processes of NN, ND, and HD. It was hypothesized that the uncertainty of estimating soil N<sub>2</sub>O  
107 emissions would be reduced by taking into account individual processes as well as their responses to changes in  
108 soil properties and environmental conditions. The specific objectives were to 1) develop a mechanistic model, 2)  
109 calibrate and validate the model, 3) investigate the impacts of model parameters as well as the soil properties  
110 and environmental conditions on N<sub>2</sub>O productions by using the developed model, and 4) discuss the sensitivities  
111 and uncertainties of the model.

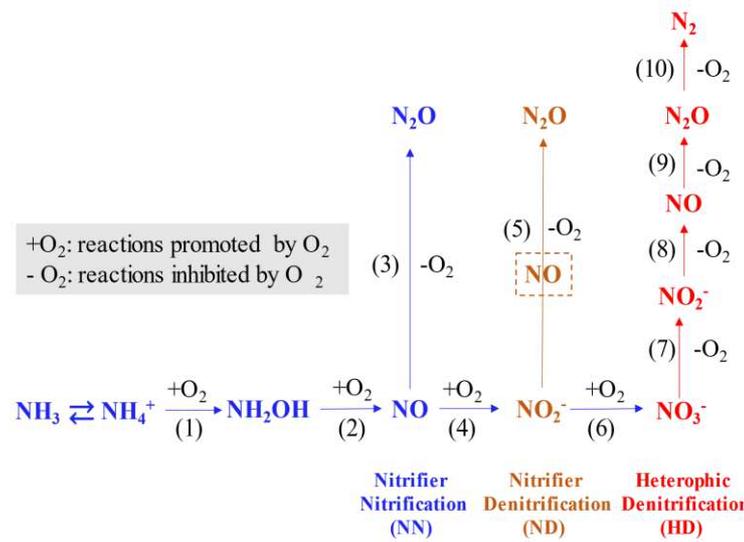
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## 113 **2. Methods**

### 114 **2.1 Model development**

115 Three biological processes producing N<sub>2</sub>O were considered in the mechanistic model (Fig. 1): nitrifier  
116 nitrification (NN), nitrifier denitrification (ND), and heterotrophic denitrification (HD). The nitric oxide (NO)  
117 produced in the NN process was assumed as an intermediate of NH<sub>2</sub>OH oxidation to NO<sub>2</sub><sup>-</sup> (Caranto and Lancaster,  
118 2017). The NO produced from ND was assumed immediately being converted to N<sub>2</sub>O to avoid inter-loop between  
119 NN and ND, given that NO emissions under conditions favorable to ND were observed much lower than that

120 favorable to NN (Pilegaard, 2013). Since oxygen concentration significantly affects N<sub>2</sub>O production in soils (Zhu  
 121 et al., 2013; Song et al., 2019), it was considered as the major factor to regulate reaction rates during the reaction  
 122 pathways.



123  
 124 **Fig. 1** Reaction pathways used in the model. The number refers to the corresponding governing equations as  
 125 shown in text

126  
 127 The governing equations of the reaction pathways in Fig. 1 are presented as below.

$$\text{NH}_4^+ + \frac{1}{2}\text{O}_2 \rightarrow \text{NH}_2\text{OH} + \text{H}^+ \quad (1)$$

$$\text{NH}_2\text{OH} + \frac{3}{4}\text{O}_2 \rightarrow \text{NO} + \frac{3}{2}\text{H}_2\text{O} \quad (2)$$

$$\text{NO} + \frac{1}{4}\text{NH}_2\text{OH} \rightarrow \frac{1}{2}\text{N}_2\text{O} + \frac{1}{4}\text{NO}_2^- + \frac{1}{4}\text{H}^+ + \frac{1}{4}\text{H}_2\text{O} \quad (3)$$

$$\text{NO} + \frac{1}{4}\text{O}_2 + \frac{1}{2}\text{H}_2\text{O} \rightarrow \text{NO}_2^- + \text{H}^+ \quad (4)$$

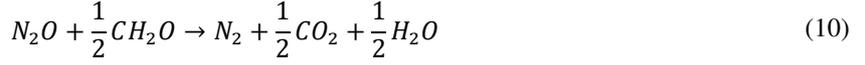
$$\text{NO}_2^- + \text{NH}_2\text{OH} + \text{H}^+ \rightarrow \text{N}_2\text{O} + 2\text{H}_2\text{O} \quad (5)$$

$$\text{NO}_2^- + \frac{1}{2}\text{O}_2 \rightarrow \text{NO}_3^- \quad (6)$$

$$\text{NO}_3^- + \frac{1}{2}\text{CH}_2\text{O} \rightarrow \text{NO}_2^- + \frac{1}{2}\text{CO}_2 + \frac{1}{2}\text{H}_2\text{O} \quad (7)$$

$$\text{NO}_2^- + \frac{1}{4}\text{CH}_2\text{O} + \text{H}^+ \rightarrow \text{NO} + \frac{1}{4}\text{CO}_2 + \frac{3}{4}\text{H}_2\text{O} \quad (8)$$

$$\text{NO} + \frac{1}{4}\text{CH}_2\text{O} \rightarrow \frac{1}{2}\text{N}_2\text{O} + \frac{1}{4}\text{CO}_2 + \frac{1}{4}\text{H}_2\text{O} \quad (9)$$



128 Correspondingly, the reaction rates for each reaction pathway are expressed as below.

$$r_{NH_4^+-NH_2OH} = -\mu_{NH_4^+-NH_2OH}X_{AOB} \frac{C_{NH_4^+}}{C_{NH_4^+} + K_{NH_4^+}} \frac{C_{O_2,aq}}{C_{O_2,aq} + K_{O_2,NH_4^+-NH_2OH}} \quad (11)$$

$$r_{NH_2OH-NO} = -\mu_{NH_2OH-NO}X_{AOB} \frac{C_{NH_2OH}}{C_{NH_2OH} + K_{NH_2OH,NH_2OH-NO}} \frac{C_{O_2,aq}}{C_{O_2,aq} + K_{O_2,NH_2OH-NO}} \quad (12)$$

$$r_{NO-N_2O,NN} = -\mu_{NO-N_2O,NN}X_{AOB} \frac{C_{NO,aq}}{C_{NO,aq} + K_{NO,NO-N_2O,NN}} \frac{C_{NH_2OH}}{C_{NH_2OH} + K_{NH_2OH,NO-N_2O,NN}} \quad (13)$$

$$r_{NO-NO_2^-} = -\mu_{NO-NO_2^-}X_{AOB} \frac{C_{NO,aq}}{C_{NO,aq} + K_{NO,NO-NO_2^-}} \frac{C_{O_2,aq}}{C_{O_2,aq} + K_{O_2,NO-NO_2^-}} \quad (14)$$

$$r_{NO_2^- - N_2O,ND} = -\mu_{NO_2^- - N_2O,ND}X_{AOB} \frac{C_{NO_2^-}}{C_{NO_2^-} + K_{NO_2^-,NO_2^- - N_2O,ND}} \frac{C_{NH_2OH}}{C_{NH_2OH} + K_{NH_2OH,NO_2^- - N_2O,ND}} \frac{I_{O_2,NO_2^- - N_2O,ND}}{C_{O_2,aq} + I_{O_2,NO_2^- - N_2O,ND}} \quad (15)$$

$$r_{NO_2^- - NO_3^-} = -\mu_{NO_2^- - NO_3^-}X_{NOB} \frac{C_{NO_2^-}}{C_{NO_2^-} + K_{NO_2^-,NO_2^- - NO_3^-}} \frac{C_{O_2,aq}}{C_{O_2,aq} + K_{O_2,NO_2^- - NO_3^-}} \quad (16)$$

$$r_{NO_3^- - NO_2^-} = -\mu_{NO_3^- - NO_2^-}X_{DEN} \frac{C_{NO_3^-}}{C_{NO_3^-} + K_{NO_3^-,NO_3^- - NO_2^-}} \frac{C_{DOC}}{C_{DOC} + K_{DOC,NO_3^- - NO_2^-}} \frac{I_{O_2,NO_3^- - NO_2^-}}{C_{O_2} + I_{O_2,NO_3^- - NO_2^-}} \quad (17)$$

$$r_{NO_2^- - NO,HD} = -\mu_{NO_2^- - NO,HD}X_{DEN} \frac{C_{NO_2^-}}{C_{NO_2^-} + K_{NO_2^-,NO_2^- - NO,HD}} \frac{C_{DOC}}{C_{DOC} + K_{DOC,NO_2^- - NO,HD}} \frac{I_{O_2,NO_2^- - NO,HD}}{C_{O_2,aq} + I_{O_2,NO_2^- - NO,HD}} \quad (18)$$

$$r_{NO-N_2O,HD} = -\mu_{NO-N_2O,HD}X_{DEN} \frac{C_{NO}}{C_{NO} + K_{NO,NO-N_2O,HD}} \frac{C_{DOC}}{C_{DOC} + K_{DOC,NO-N_2O,HD}} \frac{I_{O_2,NO-N_2O,HD}}{C_{O_2,aq} + I_{O_2,NO-N_2O,HD}} \quad (19)$$

$$r_{N_2O-N_2} = -\mu_{N_2O-N_2}X_{DEN} \frac{C_{N_2O}}{C_{N_2O} + K_{N_2O,N_2O-N_2}} \frac{C_{DOC}}{C_{DOC} + K_{DOC,N_2O-N_2}} \frac{I_{O_2,N_2O-N_2}}{C_{O_2,aq} + I_{O_2,N_2O-N_2}} \quad (20)$$

129 where  $r_{X-Y}$  represents the production rate of  $Y$  from  $X$ ,  $C_X$  represents the concentration of  $X$ . The subscripts of  
 130  $NN$ ,  $ND$ ,  $HD$ , refer to the individual process. The subscript  $aq$  represents the aqueous species of the gases, i.e.,  
 131 dissolved gases, to distinguish from gaseous gases. The descriptions of other variables and parameters were  
 132 listed in Table S1 and S2.

133 Furthermore, incubation experiments with soils added into closed containers were simulated to calibrate and  
 134 validate the mechanistic model. The concentrations of gases, including  $O_2$ ,  $NH_3$ ,  $N_2O$ ,  $NO$  and  $N_2$ , at the half  
 135 depth of the bottom soils were assumed to represent the averaged concentrations in the soils, and were assumed  
 136 well mixed in the top headspace of the containers. The gas exchanges between the soils and the headspace were  
 137 calculated by the following Fick's law (Yan et al., 2018),

$$F_{gas} = D_{gas} \frac{C_{gas,soil} - C_{gas,headspace}}{h_{soils}/2} \quad (21)$$

138 where  $F_{gas}$  is the flux rate of gas.  $C_{gas,soils}$  is the concentrations of gaseous gas at the half depth of soils,  
 139  $C_{gas,headspace}$  is the concentrations of gaseous gas at the headspace,  $h_{soils}$  is the soil depth, and  $D_{gas}$  is the  
 140 effective diffusion coefficient that can be expressed by (Yan et al., 2016)

$$D_{gas} = \phi^{m-n} (\phi - \theta)^n D_{gas,0} \quad (22)$$

141 where  $D_{gas,0}$  is the corresponding diffusion coefficient in air.  $m$ ,  $n$  are parameters accounting for the effects  
 142 of pore tortuosity and connectivity on diffusion of gases in soils.

143 The contribution ratios of NN, ND, and HD were calculated by

$$R_X = \frac{r_{NO-N_2O,X}}{r_{NO-N_2O,NN} + r_{NO_2^- - N_2O,ND} + r_{NO-N_2O,HD}} \quad (23)$$

144 where the subscript  $X$  represents the individual process of NN, ND, or HD.

145 The adsorbed and dissolved  $NH_4^+$  were assumed to reach equilibrium and follow the Langmuir model as  
 146 below (Sieczka and Koda, 2016),

$$q_{NH_4^+} = \frac{q_{max} K_L C_{NH_4^+}}{1 + K_L C_{NH_4^+}} \quad (24)$$

147 where  $q_{NH_4^+}$  is the concentration of adsorbed  $NH_4^+$ ,  $q_{max}$  is the maximum adsorption capacity of  $NH_4^+$ ,  $K_L$   
 148 is the Langmuir constant, and  $C_{NH_4^+}$  is the concentration of dissolved  $NH_4^+$ . Moreover, the dissolved  $NH_4^+$  and  
 149 dissolved  $NH_3$  were assumed to reach equilibrium as below (Maggi et al., 2008),

$$C_{NH_3,aq} = \frac{C_{NH_4^+}}{10^{-pH} K_{NH_3-NH_4^+}} \quad (25)$$

150 where  $C_{NH_3,aq}$  is the concentration of dissolved  $NH_3$ , and  $K_{NH_3-NH_4^+}$  is the equilibrium constant.

151 The dissolved gas in water pores and the gaseous gases in air pores of soils were assumed to reach  
 152 equilibrium immediately by following the Henry's law (Sander, 2015),

$$C_{gas,soil} = \frac{C_{gas,aq}}{K_{gas,eqi}} \quad (26)$$

153 where  $C_{gas,aq}$  is the concentration of dissolved gases, and  $K_{gas,eqi}$  is the corresponding Henry constant.

154 The rate of dissolved organic carbon (DOC) released from soil adsorbed organic carbon (SOC),  $R_{SOC-DOC}$ ,  
 155 was estimated by (Yan et al., 2018),

$$R_{SOC-DOC} = \frac{\alpha \theta (C_{SOC} - K_{SOC} C_{DOC})}{K_{\theta} + \theta} \quad (27)$$

156 where  $\alpha$  is the mass transfer coefficient,  $\theta$  is water content,  $K_{SOC}$  is the adsorption and desorption equilibrium  
157 constant of DOC,  $K_{\theta}$  is the moisture constant,  $C_{SOC}$  is the SOC content, and  $C_{DOC}$  is the DOC concentration.

158

## 159 **2.2 Model calibration and validation**

160 The model was calibrated using incubation experiments (Zhu et al., 2013), in which N<sub>2</sub>O productions from  
161 NN, ND, and HD under different oxygen concentrations were measured (see Supplementary information). The  
162 parameter values of all the maximum reaction rates,  $\mu$ , and the inhibition rates,  $I$ , except  $\mu_{DOC-CO_2}$  for organic  
163 carbon decomposition and  $\mu_{NH_2OH-NO}$  for hydroxylamine oxidation, were determined by fitting model  
164 simulations with laboratory experiments (see Table S2), in which the dynamics of organic carbon and  
165 hydroxylamine were not measured. The values of other parameters were adopted from literatures (see Table S1).  
166 The procedure of determining parameter values based on manual adjustment and Monte Carlo optimization was  
167 illustrated in the Supplementary information.

168 The model was further validated using another independent incubation experiment (Kool et al., 2011), in  
169 which N<sub>2</sub>O productions from NN, ND, and HD under different moisture contents were measured (see  
170 Supplementary information). This experiment didn't measure the changes of NH<sub>4</sub><sup>+</sup> and NO<sub>3</sub><sup>-</sup> as well as other  
171 variables required to simulate the magnitude of N<sub>2</sub>O production. Therefore, only the relative contribution ratios  
172 of NN, ND, and HD were compared between model simulations and experiment measurements.

173

## 174 **2.3 Model sensitivity analysis and applications**

175 The effects of the kinetic parameters, i.e.,  $\mu$ ,  $K$ , and  $I$ , on the N<sub>2</sub>O production from NN, ND, and HD were  
176 evaluated by changing their values during the simulations. The same experimental conditions for model  
177 calibration were used for the sensitivity analysis (see Supplementary information). The N<sub>2</sub>O production under  
178 different oxygen concentrations were analyzed.

179 The mechanistic model was eventually applied to investigate the effects of environmental conditions and soil  
180 properties, including moisture content, bulk density, nutrient availability, etc., on the N<sub>2</sub>O production from NN,  
181 ND, and HD. The soil physical and chemical properties were modified based on the incubation experiments for  
182 model validation, in which N<sub>2</sub>O production under different moisture contents were quantified (see  
183 Supplementary information).

184

## 185 **2.4 Numerical procedure**

186 The soils were considered as a single numerical voxel during simulations. The initial concentrations of  
187 different N species, O<sub>2</sub>, and SOC as well as pH value were given by the incubation experiments (see  
188 Supplementary information). The initial concentration of DOC was determined by assuming that it reached  
189 adsorption and desorption equilibrium with SOC, and the pH values was assumed constant during the  
190 simulations. The reaction systems were closed and the concentrations of variables were calculated based on  
191 mass conservation. The concentrations of variables in each time step were calculated by the concentrations in  
192 the previous time step plus mass changes based on the current reaction rates.

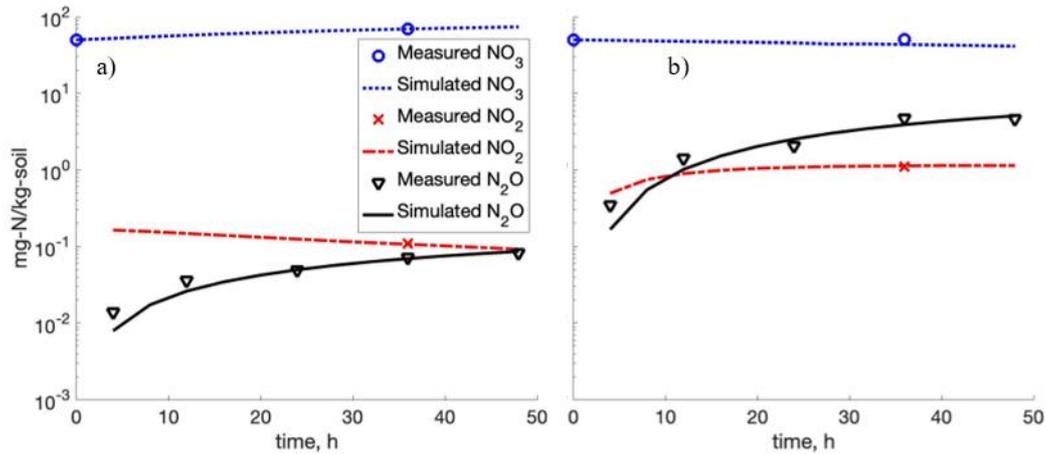
193 The change of gas concentrations was assumed to occur simultaneously in the soils and the headspace of the  
194 reaction system. First, the changed mass of gases was calculated using equations (11)-(20) at each time step.  
195 Second, the concentrations of dissolved and gaseous gases in soils were calculated using equation (26) based on  
196 the equilibrium law. Third, the concentrations of gases in the soils and the headspace were updated using  
197 equation (21) to account for gas exchange. The next time step replicated the above procedure till the end of  
198 simulations. Finally, the changes of gases in the headspace were calculated to obtain the production rates, which  
199 were used to compare with the experimental measurements in order to calibrate and validate the developed  
200 model.

201

## 202 **3. Results**

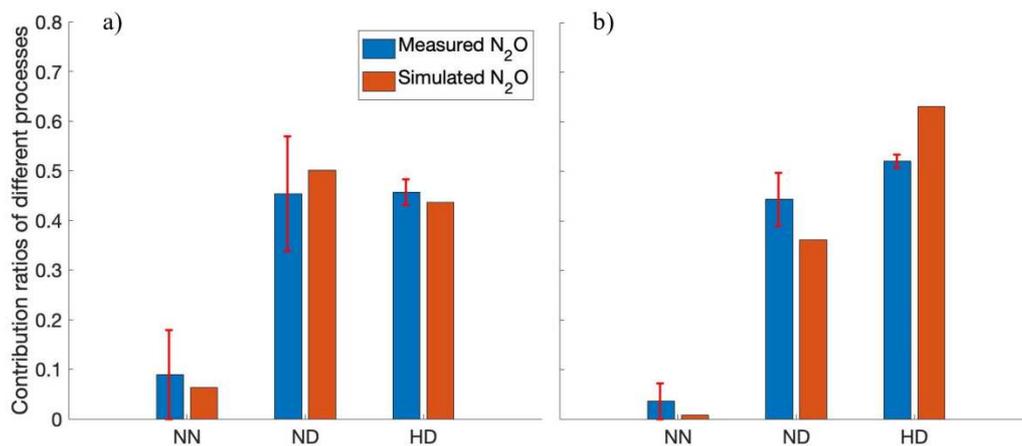
### 203 **3.1 Model calibration**

204 The total N<sub>2</sub>O production rate as well as the concentrations of NO<sub>3</sub><sup>-</sup> and NO<sub>2</sub><sup>-</sup> were compared between the  
205 model simulations and reported experiments. Fig. 2 shows the comparisons under anaerobic and aerobic  
206 conditions. The mechanistic model generally captured the dynamics of different N species well. Especially, the  
207 model almost reproduced the production rates of N<sub>2</sub>O during the entire experimental periods under both  
208 anaerobic and aerobic conditions.



209 **Fig. 2** Comparisons of the total N<sub>2</sub>O production rates and the concentrations of NO<sub>3</sub><sup>-</sup> and NO<sub>2</sub><sup>-</sup> between model  
 210 simulations and experimental measurements under two oxygen concentrations: a) [O<sub>2</sub>] = 3%, and b) [O<sub>2</sub>] = 0%  
 211

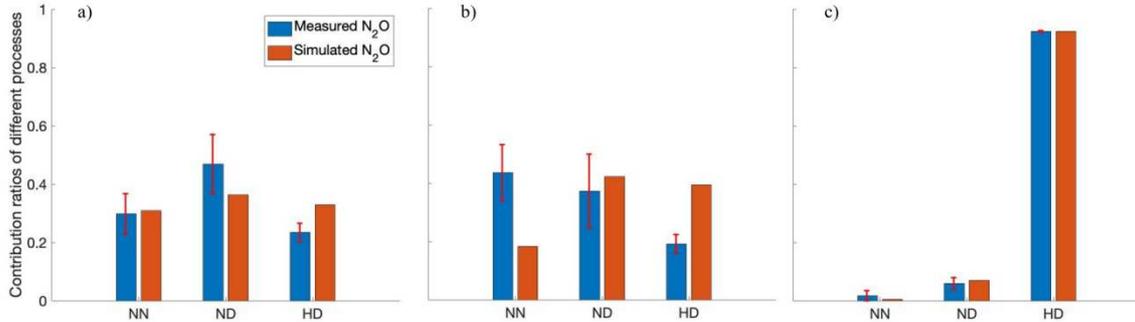
212 The contribution ratios of different biological processes were further compared between the model  
 213 simulations and reported experiments. Fig. 3 shows the comparisons of the relative contributions of NN, ND,  
 214 and HD to the total N<sub>2</sub>O production under two aerobic conditions. The simulated contributions of the three  
 215 biological processes to N<sub>2</sub>O production generally matched the measured values well under both oxygen  
 216 conditions, especially when the oxygen concentration was not too low, i.e., 3%. Under the low oxygen content  
 217 of 0.5%, the model underestimated the contributions of NN by 2.8% and ND by 8.2%, while overestimated the  
 218 contribution of HD by 11%.



219 **Fig. 3** Comparisons of the relative contributions to N<sub>2</sub>O productions from NN, ND, and HD between model  
 220 simulations and experimental measurements under two oxygen concentrations: a) [O<sub>2</sub>] = 3%, and b) [O<sub>2</sub>] = 0.5%  
 221  
 222

### 223 3.2. Model validation

224 Another independent experiment was used to validate the mechanistic model. Fig 4 shows the comparisons  
 225 of  $N_2O$  production from NN, ND, and HD between simulations and experiments under moisture conditions of  
 226 50%, 70% and 90% water filled pore space (WFPS). The results show that the mechanistic model predicted the  
 227 experimental results well under 50% WFPS and precisely under 90% WFPS. However, under 70% WFPS, the  
 228 model overestimated the contribution of HD by 20% and underestimated the contribution of NN by 25%.



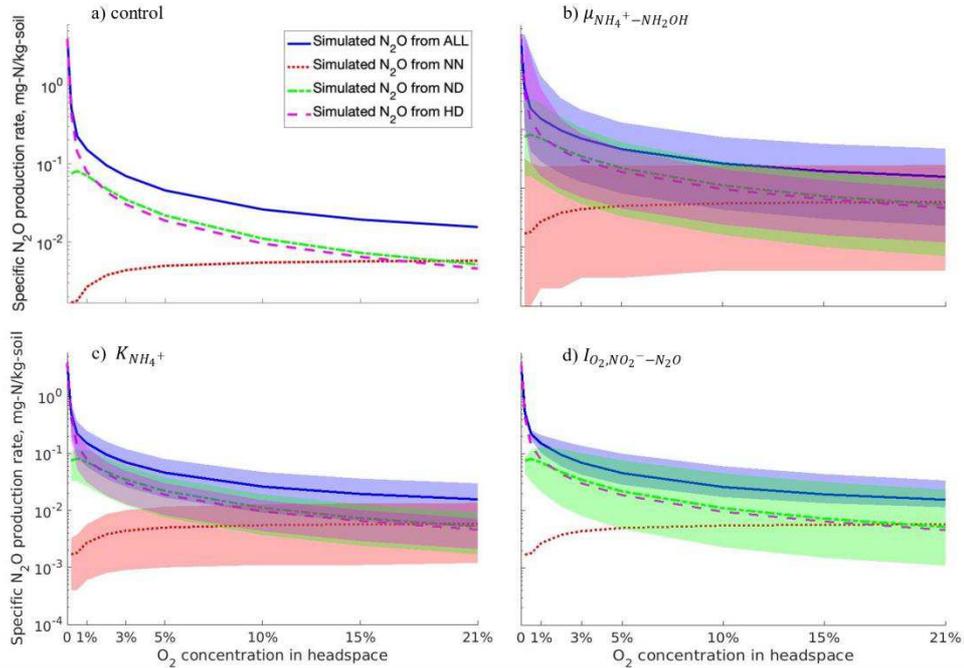
229  
 230 **Fig. 4** Comparisons of the relative contributions of NN, ND, and HD to  $N_2O$  production under three soil  
 231 moisture conditions: a) water filled pore space (WFPS) = 50%, b) WFPS = 70%, and c) WFPS = 90%

232  
 233 **3.3. Sensitivity analysis**

234 The impact of oxygen concentration on the  $N_2O$  production rate ( $r_{N_2O}$ ) for individual processes (NN, ND,  
 235 and HD) as well as all together (NN+ND+HD), were further investigated using the same numerical setup as in  
 236 the Section 3.1. Fig. 5a shows that as oxygen concentration increased from 0 to 21%, the  $r_{N_2O}$  increased for NN  
 237 but decreased for HD with a declining slope, while the  $r_{N_2O}$  for ND first increased and then decreased.  
 238 Consequently, as oxygen concentration increased, the total  $r_{N_2O}$  first reduced quickly and then approached  
 239 stable, while the dominant contributor of  $N_2O$  production shifted from HD to ND followed by NN.

240 The impacts of maximum reaction rates,  $\mu$ , half-saturated concentration,  $k$ , and inhibition constant,  $I$ , on the  
 241 individual  $r_{N_2O}$  from NN, ND, and HD as well as the total  $r_{N_2O}$  were investigated by changing their parameter  
 242 values during simulations. Fig. 5b-d present the responses of  $r_{N_2O}$  to the changes in  $\mu_{NH_4^+-NH_2OH}$ ,  $K_{NH_4^+}$ , and  
 243  $I_{O_2,NO_2^- - N_2O}$ . The results show that  $r_{N_2O}$  was most sensitive to  $\mu_{NH_4^+-NH_2OH}$  and least sensitive to  
 244  $I_{O_2,NO_2^- - N_2O}$ . The changes in  $\mu_{NH_4^+-NH_2OH}$  and  $K_{NH_4^+}$  modified the  $r_{N_2O}$  for all the NN, ND, and HD, while  
 245 the change in  $I_{O_2,NO_2^- - N_2O}$  did not modify the  $r_{N_2O}$  for NN and HD. The response of  $r_{N_2O}$  for ND to the  
 246 change in  $I_{O_2,NO_2^- - N_2O}$  decreased as oxygen concentration declined, resulting in a negligible change in the total  
 247  $r_{N_2O}$  under low oxygen condition. Furthermore, the responses of  $r_{N_2O}$  to the changes in other  $\mu$ ,  $k$ , and  $I$  were  
 248 presented in Fig. S1-S3. The results show that the changes in different parameter values exerted a variety of

249 impacts on the contributions of NN, ND, and HD. Generally, the  $r_{N_2O}$  was most sensitive to the changes in  
 250 kinetic parameters related to NN, and was least sensitive to that related to HD.



251 **Fig. 5** Contributions of NN, ND, and HD to  $N_2O$  productions under different oxygen concentrations: a)  
 252 benchmark simulations, in which the numerical setup was the same as in the Section 3.1. The values of b)  
 253 maximum reaction rate of  $NH_4^+$  to  $NH_2OH$ ,  $\mu_{NH_4^+ - NH_2OH}$ , c) half-saturated concentration of  $NH_4$ ,  $K_{NH_4^+}$ ,  
 254 and d) inhibition constant of  $NO_2^-$  to  $N_2O$ ,  $I_{O_2,NO_2^- - N_2O}$  were changed from 20% to 500% with respect to that  
 255 in Table S1 and S2. The blue, red, green, and magenta regions represented the varied ranges of  $N_2O$  productions  
 256 from all (NN+ND+HD), NN, ND, and HD, respectively, as parameter values were changed  
 257

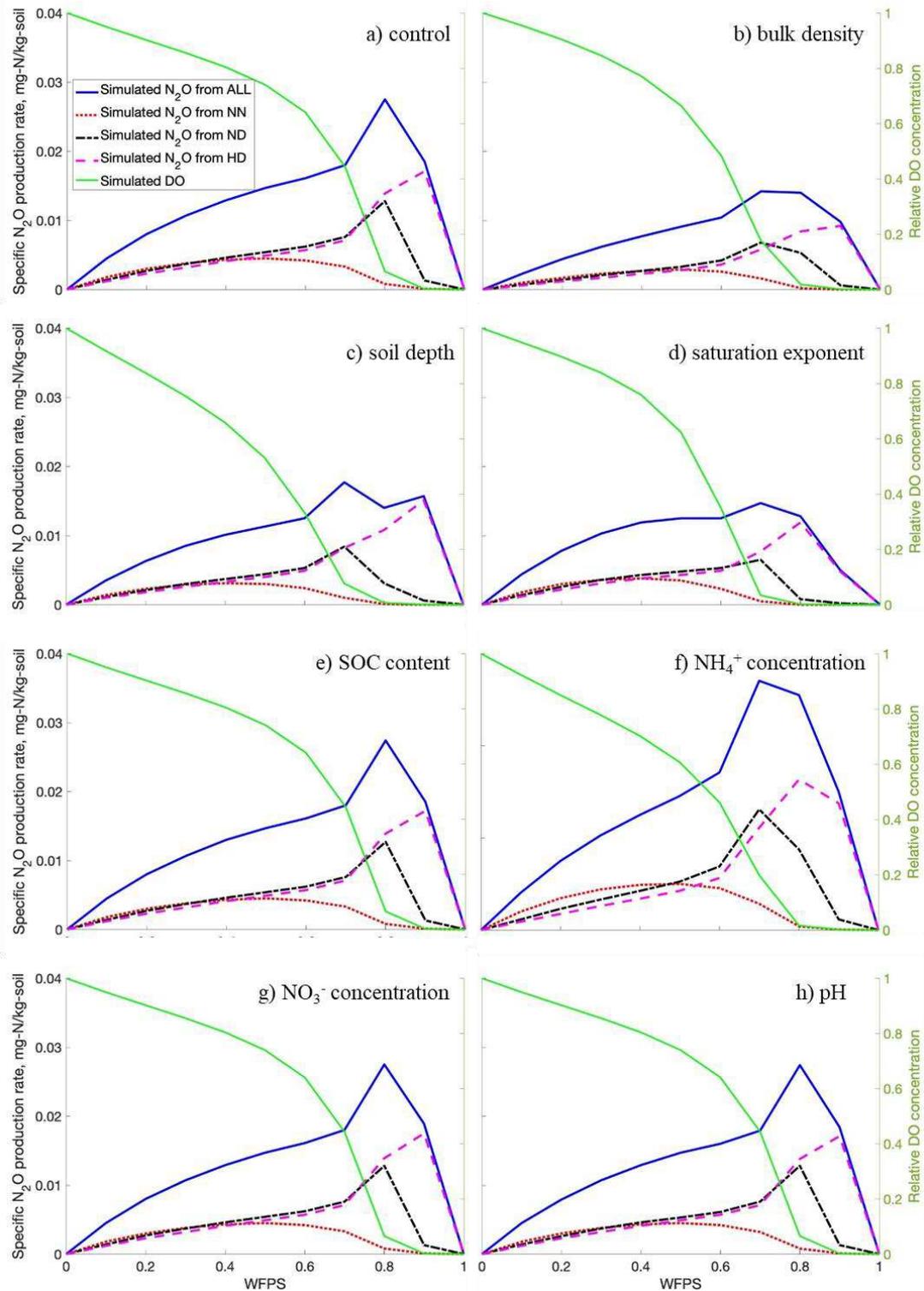
258

### 259 3.4 Model applications

260 Effects of water content and soil physicochemical factors were investigated using the mechanistic model to  
 261 explore how environmental conditions and soil properties affect the  $N_2O$  production from the individual  
 262 processes of NN, ND, and HD as well as from all together (NN+ND+HD). Fig. 6a show the changes of  $r_{N_2O}$   
 263 under different water contents, during which the same numerical setup was used as in the Section 3.2. The  $r_{N_2O}$   
 264 first increased and then decreased for all the three processes as soil moisture increased, and the dominant  
 265 contributor of  $N_2O$  production shifted from NN to ND followed by HD. Correspondingly, the optimal water  
 266 content for the maximum  $r_{N_2O}$  was smallest for NN and largest for HD. The oxygen concentration, which  
 267 reduced as soil water content increased, clearly regulated the dynamics of  $r_{N_2O}$ . When oxygen concentration

268 was high, the  $r_{N_2O}$  from all NN, ND, and HD increased as oxygen concentration decreased. As oxygen  
269 concentration further declined, the  $r_{N_2O}$  from NN reduced first and then ND followed by HD.

270 The impacts of soil properties on the  $N_2O$  productions from NN, ND, and HD were further studied by  
271 changing the values of soil parameters. Fig. 6b-h show the relationships between  $r_{N_2O}$  and soil water content as  
272 physicochemical factors changed. The values of maximum  $r_{N_2O}$  and the corresponding optimal water content  
273 changed as soil properties varied, though the  $r_{N_2O}$  presented the same pattern of first increase and then decrease  
274 as water content increased. Specifically, the maximum  $r_{N_2O}$  for all the three processes increased with the  
275 concentration of  $NH_4^+$ , but reduced as bulk density, soil depth, and saturation exponent increased. By contrast,  
276 the  $r_{N_2O}$  was not sensitive to the changes in the concentrations of SOC and  $NO_3^-$  as well as pH value.  
277 Moreover, the optimal water content for maximum  $r_{N_2O}$  occurred in NN, ND, and HD moved toward a lower  
278 moisture condition as saturation exponent and  $NH_4^+$  concentration increased (Fig. 6d and 6f), while the same  
279 shift happened only for NN and ND as soil depth increased (Fig. 6c) and only for ND as bulk density increased  
280 (Fig. 6b). By contrast, the optimal water content for maximum  $r_{N_2O}$  with respect to other processes did not  
281 change as the rest of soil factors altered.



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**Fig. 6** Concentrations of dissolved oxygen (DO) and N<sub>2</sub>O under different water filled pore space (WFPS) for: a) benchmark simulations, in which numerical setup was the same as in the Section 3.2. Simulations used the same numerical setup as in a) except increasing: b) bulk density, c) soil depth, d) saturation exponent  $n_g$ , e) SOC content, f) concentration of NH<sub>4</sub><sup>+</sup>, g) concentration of NO<sub>3</sub><sup>-</sup>, and h) pH value by 1.5 times. Note the range of left y-axis in f) is different from others

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## 289 **4. Discussion**

### 290 **4.1 Model performance and capacity**

291 The mechanistic model developed in this study generally well captured the total and individual N<sub>2</sub>O  
292 productions that were measured by independent experiments, in which different types of soils and  
293 environmental conditions were applied. The results of the model validation clearly demonstrated the capacity  
294 and robustness of the mechanistic model in quantifying N<sub>2</sub>O productions from different biological processes of  
295 NN, ND, and HD.

296 The mechanistic model underestimated the contribution of NN to N<sub>2</sub>O production especially under low  
297 oxygen concentration, a condition often occurs when soil water content is high (Fig. 3 and 4). Similarly, the  
298 model significantly underestimated the contribution of NN while overestimated the contribution of HD under  
299 70% WFPS (Fig. 4b). Two reasons likely caused the discrepancies between modeling and experimental results.  
300 First, the experiments measured the contribution ratios of NN, ND, and HD imprecisely, since the dual isotope  
301 approach was not designed to distinguish the three biological processes from other processes such as fungal  
302 denitrification and chemical decomposition. For instance, the contribution ratio of HD was hypothetically  
303 assumed to increase consistently as oxygen concentration reduced or water content increased (Davidson et al.,  
304 2000; Ciarlo et al., 2007), but the ratio first increased and then decreased in both incubation experiments (Kool  
305 et al., 2011; Zhu et al., 2013). Second, the mechanistic model underrepresented the processes producing N<sub>2</sub>O in  
306 soils. The developed model did not include chemical processes, heterotrophic nitrification, dissimilatory nitrate  
307 reduction to ammonium (DNRA), and other processes that potentially produced N<sub>2</sub>O in soils, though these  
308 processes were not as significant as NN, ND, and HD in the experiments used for the model calibration and  
309 validation (Kool et al., 2011; Butterbach-Bahl et al., 2013; Zhu et al., 2013). Therefore, experimental  
310 approaches differentiating various processes reliably and theoretical advances unraveling fundamental processes  
311 warrant further investigations to better measure and simulate N<sub>2</sub>O productions from diverse processes.

312

### 313 **4.2 Model sensitivity and influencing factors**

314 The soil N<sub>2</sub>O production rate depends on the bioavailability of electron donors and acceptors, both of which  
315 are highly related to water content in soils. On one hand, N<sub>2</sub>O production requires electron donors, i.e., NH<sub>2</sub>OH  
316 for NN and ND, and electron acceptor, i.e., NO<sub>3</sub><sup>-</sup> for HD. The N<sub>2</sub>O production rate from all the three processes  
317 would increase if the bioavailability of NH<sub>4</sub><sup>+</sup> or NO<sub>3</sub><sup>-</sup> was enhanced by increasing soil water content or N inputs

318 (Fig. 6). However, as water content further increases, electron acceptor required by NN, i.e., oxygen, is limited  
319 since high moisture impedes oxygen diffusion from atmosphere, resulting in a decrease in N<sub>2</sub>O production from  
320 NN. Therefore, the N<sub>2</sub>O production from NN first increased and then decreased as water content increased (Fig.  
321 6). Different from NN, the processes of ND and HD were inhibited by oxygen with distinct extents. As water  
322 content increased, the reduced oxygen concentration in soil stimulated N<sub>2</sub>O productions from ND and HD (Fig.  
323 6). Nevertheless, the N<sub>2</sub>O production from ND rose first and then followed by HD, because the ND requires  
324 trace amount of oxygen to produce NH<sub>2</sub>OH, a precursor of N<sub>2</sub>O, while HD activates in anoxic environment  
325 (Wrage et al., 2004). As water content further increased, both NH<sub>2</sub>OH and NO<sub>2</sub><sup>-</sup> became limited due to the  
326 depressed nitrification caused by low oxygen availability, resulting in an obvious decline in N<sub>2</sub>O production  
327 from ND. In contrast, the N<sub>2</sub>O production from HD climbed up as water content increased until oxygen was  
328 almost completely depleted. However, under extremely low or no oxygen conditions, N<sub>2</sub>O production from HD  
329 declined since the biogenic N<sub>2</sub>O was reduced to N<sub>2</sub>, a phenomenon has been widely observed in laboratories and  
330 fields (Castellano et al., 2010; Chen et al., 2016; Song et al., 2018).

331 The residence time of N<sub>2</sub>O in soils is another important factor controlling soil-to-atmosphere N<sub>2</sub>O flux. The  
332 flux increases as more N<sub>2</sub>O escapes from soils, which highly depends on the N<sub>2</sub>O diffusivity in soils and is  
333 negatively related with water content (Equation 22). This explains the opposite trends observed in the two  
334 simulations with respect to different numerical setups: one increased and the other dropped as oxygen  
335 concentration approached to zero (Fig. 5 and 6). The former used a soil depth of 0.62 cm and a water content of  
336 18.68% WFPS that were favorable to N<sub>2</sub>O escape, while the latter used a soil depth of 4.7 cm and a higher water  
337 content that were favorable to N<sub>2</sub>O reduction (see Supplementary information). The results also illustrates the  
338 inconsistency that the switching point of N<sub>2</sub>O production from increase to decrease was often found in soil core  
339 experiments and *in-situ* fields as soil moisture was around 70% WFPS but was not observed in some soil  
340 incubation experiments even though soil moisture was above 90% WFPS (Dobbie and Smith, 2003; Ciarlo et  
341 al., 2007; Castellano et al., 2010; Laville et al., 2011).

342 The responses of N<sub>2</sub>O production rates to bulk density (Fig. 6b), soil depth (Fig. 6c), saturation exponent  
343 (Fig. 6d) further indicated the importance of diffusivity as well as residence time of gases in soils, in agreement  
344 with the observations acquired from both laboratory and fields (van den Heuvel et al., 2009; van der Weerden et  
345 al., 2012; Chamindu Deepagoda et al., 2019). However, the relationship between N<sub>2</sub>O production rate and water  
346 content was not sensitive to SOC content, NO<sub>3</sub><sup>-</sup> concentration, and pH value, a phenomena that violated many  
347 observations (Laville et al., 2011; Hu et al., 2015). This might because the developed model omitted the change

348 in microbial communities and functions, through which SOC,  $\text{NO}_3^-$  and pH significantly modified  $\text{N}_2\text{O}$   
349 production (Prosser et al., 2020). Furthermore, the selections of parameter values used in the simulations  
350 affected the sensitivity of  $\text{N}_2\text{O}$  production to environmental conditions variably (Fig. 5 and Fig. S1-S3).  
351 Different experimental results likely derive alternative parameter values, resulting in a different response of  $\text{N}_2\text{O}$   
352 production to SOC content,  $\text{NO}_3^-$  concentration, and pH value.

353

#### 354 **4.3 Model uncertainty and improvement**

355 The mechanistic model developed in this study has uncertainties, though it reliably quantified  $\text{N}_2\text{O}$   
356 production from different biological processes. First, the experimental data required for calibrating and  
357 validating the model was scarce (Wrage-Mönnig et al., 2018). Although the dual isotope approach has been  
358 proposed and applied to separate and quantify the NN, ND, and HD for more than a decade (Kool et al., 2007;  
359 Kool et al., 2009), only two experimental measurements were reported to study the contributions of NN, ND,  
360 and HD under different water contents or oxygen concentrations (Kool et al., 2011; Zhu et al., 2013). More  
361 experiments are required to constrain the reaction pathways and to evaluate the process-based models.

362 The mechanisms behind the three biological processes of NN, ND, and HD deserve further investigations.  
363 For example, it is still not clear how the intermediates and byproducts in the nitrogen cycling affect  $\text{N}_2\text{O}$   
364 production from NN, ND, and HD; how soil properties and environmental conditions interact with each other to  
365 control  $\text{N}_2\text{O}$  production from NN, ND, and HD; whether a consistent quantitative relationship between  
366 individual  $\text{N}_2\text{O}$  production from NN, ND, or HD and water content can be achieved. Answering these questions  
367 would help developing better mechanistic models and interpreting the spatiotemporal variances of  $\text{N}_2\text{O}$   
368 emissions observed in fields (Butterbach-Bahl et al., 2013). The contributions of NN, ND, or HD to  $\text{N}_2\text{O}$   
369 productions in wastewater system have been well illustrated but it is still a black box in soils (Ni et al., 2014;  
370 Peng et al., 2014).

371 The simulations in this study omitted the impact of soil layers on gas diffusion and therefore  $\text{N}_2\text{O}$  production  
372 (Castellano et al., 2010). Future simulations should divide the soil into different layers by using one-  
373 dimensional models, especially when soil body is deep. Furthermore, a three-dimensional model is required if  
374 intact soil cores were simulated, in which microsites have been proved to play a critical role in determining  $\text{N}_2\text{O}$   
375 productions (Mathieu et al. 2006; Kravchenko et al. 2017). Eventually, the three-dimensional mechanistic model  
376 will be able to provide critical information to unravel the spatial and temporal variances of  $\text{N}_2\text{O}$  emissions at  
377 different scales.

378

## 379 **5. Conclusions**

380 This study developed a mechanistic model to quantify N<sub>2</sub>O productions from NN, ND, and HD through  
381 incorporating individual reaction pathways. The model demonstrated its robustness and capacity by reliably  
382 reproducing soil N<sub>2</sub>O productions from all the three processes under different moisture contents and oxygen  
383 concentrations. Sensitivity analysis further illustrated that the contribution ratios of NN, ND, and HD were  
384 highly dependent on the selection of parameter values. More experimental results are needed to constrain the  
385 model. Furthermore, the impacts of soil physicochemical factors and water content on the N<sub>2</sub>O production from  
386 NN, ND, and HD were investigated using the developed model, revealing how the N<sub>2</sub>O production rate  
387 quantitatively changed with water content as bulk density, soil depth, diffusivity, organic carbon, nutrient  
388 availability, and pH value modified. The results contributed to interpret and predict large spatiotemporal  
389 variances of soil-to-atmosphere N<sub>2</sub>O flux. To sum up, the mechanistic model developed in this study provides a  
390 useful tool to quantify soil N<sub>2</sub>O productions from different processes, and is able to simulate the spatiotemporal  
391 variances of soil N<sub>2</sub>O flux.

392

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398

## 399 **Author contributions**

400 All co-authors contributed to the study. Baoxuan Chang and Zhifeng Yan wrote the numerical codes and run  
401 the simulations. Xiaotang Ju, Xiaotong Song, Yawei Li, Hui Wang and Xia Zhu-Barker helped to analyze the  
402 data. Baoxuan Chang wrote the first draft. Zhifeng Yan, Siliang Li, Pingqing Fu, and Xia Zhu-Barker  
403 contributed to improve the manuscript.

404

## 405 **Statements and Declarations**

406 **Conflict of interest:** The authors declare no conflict of interest.

407

408 **Data Availability**

409 All codes and data used in this study are freely available from the corresponding author upon request.

410

411 **Supplementary information**

412 Supplementary information to this article can be found online.

413

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